# Module: Operating System Concepts Session: CPU Scheduling

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- In First Come First Serve (FCFS) Scheduling Algorithm, the process which requests the CPU first will be first assigned access to the CPU
- It is the easiest algorithm to implement
- The average waiting time for FCFS scheduling algorithm is high

Criteria to form queue : Arrival time

**Mode**: Non-preemptive

As the CPU is allocated to the process, the process keeps the CPU until it releases the CPU voluntarily either by terminating or by I/O request.

P.no	Burst time	Arrival time
P1	24 ns	0
P2	3 ns	0
P3	3 ns	0

Applying First Come First Serve for above data

Ready queue - In this example, it is assumed that all the processes arrive in the **ready queue** at the same time

P.no	Burst time	Arrival time	
P1	24 ns	0	P3 P2 P1
P2	3 ns	0	Quede form
P3	3 ns	0	

#### **Gantt chart**

- The Gantt chart was given by an American social scientist Henry Gantt in 1971. It is used for graphical illustration of a schedule.
- Which helps to plan, coordinate, and track specific tasks in a project

Gantt chart representation of execution of the processes:

 Process P1 enters the system first, since we are following the FCFS scheduling algorithm, therefore P1 utilize the CPU first for the burst time of 24ns. Then at 24ns, the CPU is allocated to P2(3ns). Now at 27ns, P3 starts its execution for 3ns

P.no	Burst time	Arrival time
P1	24 ns	0
P2	3 ns	0
P3	3 ns	0

Calculating Turnaround time & Waiting time for above example

**Turnaround time**: Turnaround time is the interval between the submission of the process: Arrival Time(AT) and its Completion Time(CT)

$$TAT(P1) = t1 = CT(P1)-AT(P1) = 24-0 = 24$$

$$TAT(P2) = t2 = CT(P2)-AT(P2) = 27-0 = 27$$

$$TAT(P3) = t3 = CT(P3)-AT(P3) = 30-0 = 30$$

**Average turnaround time** = Sum of individual process turnaround time / No of processes

Avg = 
$$t1 + t2 + t3 / 3$$
  
 $24+27+30/3 = 81/3 = 27 \text{ ns}$   
Avg = 27 ns (Average turnaround time)

Waiting time: Waiting time is the interval between turnaround time and burst time

**Waiting time (P1)** = 
$$w1 = TAT(P1) - BT(P1) = 24-24=0$$

**Waiting time (P2)** = 
$$w2 = TAT(P2) - BT(P2) = 27-3=24$$

Waiting time (P3) = 
$$w3 = TAT(P3) - BT(P3) = 30-3=27$$

**Average waiting time** = Sum of individual process waiting time / No of processes

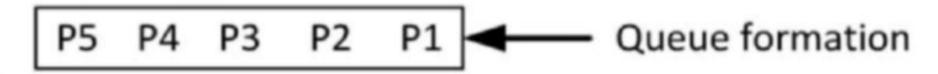
Avg = 
$$w1 + w2 + w3 / 3$$
  
0+24+27/3 = 51/3 = 17 ns  
Avg = 27 ns (Average waiting time )

P.no	Burst time	Arrival time
P1	4 ns	0
P2	3 ns	1
P3	1 ns	2
P4	2 ns	3
P5	5 ns	4

Calculating Turnaround time & Waiting time for above example

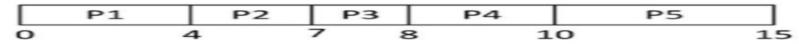
P.no	Burst time	Arrival time
P1	4 ns	0
P2	3 ns	1
P3	1 ns	2
P4	2 ns	3
P5	5 ns	4

#### Queue formation



P.no	Burst time	Arrival time
P1	4 ns	0
P2	3 ns	1
P3	1 ns	2
P4	2 ns	3
P5	5 ns	4

Gantt chart representation of execution of the process



## First-Come, First-Serve - Example 2 Turnaround time:

TAT(P1) = 
$$\mathbf{t1}$$
= CT(P1)-AT(P1) =  $4$ -0=  $\mathbf{4}$   
TAT(P2) =  $\mathbf{t2}$ = CT(P2)-AT(P2) =  $7$ -1=  $\mathbf{6}$   
TAT(P3) =  $\mathbf{t3}$ = CT(P3)-AT(P3) =  $8$ -2=  $\mathbf{6}$   
TAT(P4) =  $\mathbf{t4}$ = CT(P4)-AT(P4) =  $10$ -3=  $\mathbf{7}$   
TAT(P5) =  $\mathbf{t5}$ = CT(P5)-AT(P5) =  $15$ -4=  $\mathbf{11}$ 

**Average turnaround time** = Sum of individual process turnaround time / No of processes

Avg = 
$$t1 + t2 + t3 + t4 + t5 / 5$$
  
 $4+6+6+7+11/5 = 34/5 = 6.8 \text{ ns}$   
Avg = 6.8 ns (Average turnaround time)

Waiting time: Waiting time is the interval between turnaround time and burst time

**Waiting time (P1)** = 
$$w1 = TAT(P1) - BT(P1) = 4-4=0$$

**Waiting time (P2)** = 
$$w2 = TAT(P2) - BT(P2) = 6-3=3$$

**Waiting time (P3)** = 
$$w3 = TAT(P3) - BT(P3) = 6-1=5$$

**Waiting time (P4)** = 
$$w4 = TAT(P4) - BT(P4) = 7-2=5$$

**Waiting time (P5)** = 
$$w5 = TAT(P5) - BT(P5) = 11-5=6$$

**Average waiting time** = Sum of individual process waiting time / No of processes

**Avg** = w1 + w2 + w3 + w4 + w5 / 5 
$$0+3+5+5+6/5 = 19/5 = 3.8 \text{ ns}$$

Avg = 3.8 ns (Average waiting time)

#### First-Come, First-Serve - Features

**Non-preemption**: Once the job is arrived, first that jobs is finished then other will start

**Sequential processing:** The requests are being processed in the order in which they are coming

Waiting time and average turnaround time are long, so throughput is less (System performance is low)

#### First-Come, First-Serve - Features

Convoy effect: When the process blocks the CPU, for a long time, it is called the convoy effect. If lengthy job arrives first, then waiting time and turnaround time increases for the late arriving process

- In Shortest Job First(SJF) Scheduling algorithm, the CPU is allocated to the process having the smallest CPU burst time.
- If the next CPU burst time of two processes is same, FCFS Scheduling is used to break the tie. It can be implemented as both preemptive and non-preemptive.
- SJF algorithm has the minimal waiting time

Criteria to form queue : Burst time

**Mode**: Non-preemptive

- As the CPU is allocated to the process, the process keeps the CPU until its releases the CPU voluntarily either by terminating or by I/O request.
- SJF gives optimal average waiting time when compared with FCFS

P.no	Burst time	
P1	24 ns	
P2	3 ns	
P3	3 ns	

Applying SJF algorithm for above data

Ready queue - In this example, it is assumed that all the processes arrive in the **ready queue** at the same time

P.no	Burst time	
P1	24 ns	
P2	3 ns	P1 P3 P2 — Queue formation
P3	3 ns	

Gantt chart representation of execution of the processes:

P2 P3 P1 0 30

 As P2 is having smallest burst time, it will be allocated to CPU first. It utilizes the CPU for 3 ns. Then, it is the turn of P3 to execute as per the SJF scheduling algorithm. It utilizes the CPU for 3 ns. At last P1 executes for 24 ns.

P.no	Burst time	
P1	24 ns	
P2	3 ns	
P3	3 ns	

Calculating Turnaround time & Waiting time for the above example

**Turnaround time**: Turnaround time is the interval between the submission of the process(AT) and its completion(CT)

TAT(P1) = 
$$\mathbf{t1}$$
= CT(P1)-AT(P1) = 30-0=  $\mathbf{30}$   
TAT(P2) =  $\mathbf{t2}$ = CT(P2)-AT(P2) = 3-0=  $\mathbf{3}$   
TAT(P3) =  $\mathbf{t3}$ = CT(P3)-AT(P3) = 6-0=  $\mathbf{6}$ 

**Average turnaround time** = Sum of individual process turnaround time / No of processes

Avg = 
$$t1 + t2 + t3 / 3$$
  
 $30+3+6/3 = 39/3 = 13 \text{ ns}$   
Avg = 13 ns (Average turnaround time )

Waiting time: Waiting time is the interval between turnaround time and burst time

**Waiting time (P1)** = 
$$w1 = TAT(P1) - BT(P1) = 30-24=6$$

**Waiting time (P2)** = 
$$w2 = TAT(P2) - BT(P2) = 3-3=0$$

**Waiting time (P3)** = 
$$w3 = TAT(P3) - BT(P3) = 6-3=3$$

**Average waiting time** = Sum of individual process waiting time / No of processes

**Avg** = 
$$w1 + w2 + w3 / 3$$
  
6+0+3/3 = 9/3 = **3 ns**

Avg = 3 ns (Average waiting time)

## Shortest-Job-First Scheduling Advantages

Minimum average waiting time and turnaround time

#### **Disadvantages**

Longer jobs need to starve

# Shortest Remaining Time First (SJF-preemptive)

- The preemptive version of the shortest job first is known as the shortest remaining time first scheduling algorithm.
- In this scheme, CPU is allocated to the process having the shortest remaining CPU burst time
- If the remaining CPU burst time of two processes is same, FCFS scheduling is used to break the tie

# Shortest Remaining Time First (SJF-preemptive)

Criteria to form queue : Burst time

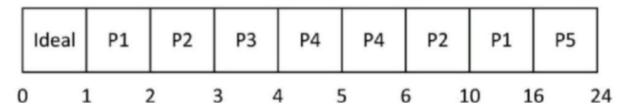
**Mode**: Preemptive

### **Shortest Remaining Time First**

Process Number	Arrival Time	Burst Time
pl	1	7
P2	2	5
P3	3	1
P4	4	2
P4 P5	5	8

Applying SRTF algorithm for above data

Gantt chart representation of execution of the processes:



 In this algorithm, the process with shortest burst time will be allocated first to the CPU, but preempt the current process from execution and replace with the process having shortest burst time from read queue

Process Number	Arrival Time	Burst Time
pl	1	7
P2	2	5
P3	3	1
P4	4	2
P5	5	8

Calculating Turnaround time & Waiting time for the above example

#### **Turnaround time:**

TAT(P1) = 
$$\mathbf{t1}$$
= CT(P1)-AT(P1) = 16-1=  $\mathbf{15}$   
TAT(P2) =  $\mathbf{t2}$ = CT(P2)-AT(P2) = 10-2=  $\mathbf{8}$   
TAT(P3) =  $\mathbf{t3}$ = CT(P3)-AT(P3) = 4-3=  $\mathbf{1}$   
TAT(P4) =  $\mathbf{t4}$ = CT(P4)-AT(P4) = 6-4=  $\mathbf{2}$   
TAT(P5) =  $\mathbf{t5}$ = CT(P5)-AT(P5) = 24-5=  $\mathbf{19}$ 

**Average turnaround time** = Sum of individual process turnaround time / No of processes

**Avg** = 
$$t1 + t2 + t3 + t4 + t5 / 5$$
  
15+8+1+2+19/5 = 45/5 = **9 ns**

Avg = 9 ns (Average turnaround time)

Waiting time: Waiting time is the interval between turnaround time and burst time

**Waiting time (P1)** = 
$$w1 = TAT(P1) - BT(P1) = 15-7=8$$

**Waiting time (P2)** = 
$$w2 = TAT(P2) - BT(P2) = 8-5=3$$

**Waiting time (P3)** = 
$$w3 = TAT(P3) - BT(P3) = 1-1=0$$

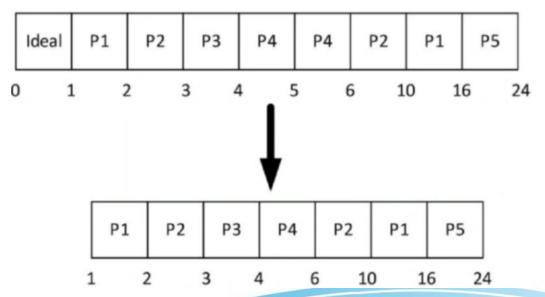
**Waiting time (P4)** = 
$$w4 = TAT(P4) - BT(P4) = 2-2=0$$

Waiting time (P5) = 
$$w5 = TAT(P5) - BT(P5) = 19-8=11$$

**Average waiting time** = Sum of individual process waiting time / No of process

Avg = 
$$w1 + w2 + w3 + w4 + w5 / 3$$
  
8+3+0+0+11/5 = 22/5 = 4.4 ns  
Avg = 4.4 ns (Average waiting time )

#### No of Context switches = 6



#### **Disadvantages**

Suffers from starvation

- In Longest Job First(LJF) Scheduling algorithm, the CPU is allocated to the process having the largest CPU burst time.
- If the next CPU burst time of two process is same, FCFS Scheduling is used to break the time. It can be implemented as both preemptive and non-preemptive.

Criteria to form queue : Burst time

**Mode**: Non-preemptive

As the CPU is allocated to the process, the process keeps the CPU until its releases the CPU voluntarily either by terminating or by I/O request.

Process Number	Arrival Time	Burst Time
pl	1	7
P2	2	5
P3	3	1
P4 P5	4	2
P5	5	8

Applying LJF algorithm for above data

• Gantt chart representation of execution of the processes: | | P1 | P5 | P2 | P4 | P3 |

21

16

 In this algorithm, the process with longest burst time will be allocated the CPU first with respect to arrival time

Process Number	Arrival Time	Burst Time
pl	1	7
P2	2	5
P3	3	1
P4	4	2
P5	5	8

Calculating Turnaround time & Waiting time for above example

#### **Turnaround time:**

TAT(P1) = 
$$\mathbf{t1}$$
= CT(P1)-AT(P1) = 8-1=  $\mathbf{7}$   
TAT(P2) =  $\mathbf{t2}$ = CT(P2)-AT(P2) = 21-2=  $\mathbf{19}$   
TAT(P3) =  $\mathbf{t3}$ = CT(P3)-AT(P3) = 24-3=  $\mathbf{21}$   
TAT(P4) =  $\mathbf{t4}$ = CT(P4)-AT(P4) = 23-4=  $\mathbf{19}$   
TAT(P5) =  $\mathbf{t5}$ = CT(P5)-AT(P5) = 16-5=  $\mathbf{11}$ 

**Average turnaround time** = Sum of individual process turnaround time / No of processes

Avg = 
$$t1 + t2 + t3 + t4 + t5 / 5$$
  
7+19+21+19+11/5 = 77/5 = 15.4 ns  
Avg = 15.4 ns (Average turnaround time )

Waiting time: Waiting time is the interval between turnaround time and burst time

**Average waiting time** = Sum of individual process waiting time / No of processes

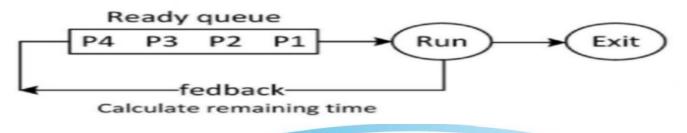
Avg = 
$$w1 + w2 + w3 + w4 + w5 / 3$$
  
0+14+20+17+3/5 = 54/5 = 10.8 ns  
Avg = 10.8 ns (Average waiting time)

## Longest Remaining Time First

- Preemptive version of LJF. The priority is given for longest burst time
- The algorithm implementation is similar to SRTF. However, here priority is given to the process having the longest burst time.

- Round Robin scheduling algorithm is designed for time-sharing systems.
- It is similar to FCFS scheduling but preemption is added to switch between processes.
- A time quantum is allocated to each process for execution

Once a process is executed for a given period of time, it is preempted and another process is given a chance to execute for a given period of time. This is a preemptive scheduling algorithm



#### Criteria to form queue :

Time quantum/time slice + arrival time

**Mode**: Preemptive

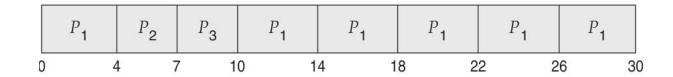
Preemptive because after the time quantum expires, CPU is allocated to next process.

Process	<b>Burst Time</b>
$P_1$	24
$P_2$	3
$P_3$	3

Applying Round Robin with time quantum 4ns for above data

Every process will get the access to CPU for execution of 4ns at one time. If the process has a larger burst time than 4ns, it will be preempted and will be placed in the ready queue at the end. The next job would be executed in the next turn

Gantt chart representation of execution of the processes:



**Completion order by using RR**: P2 P3 P1 **Turnaround time**:

TAT(P1) = 
$$\mathbf{t1}$$
= CT(P1)-AT(P1) = 30-0=  $\mathbf{30}$   
TAT(P2) =  $\mathbf{t2}$ = CT(P2)-AT(P2) = 7-0=  $\mathbf{7}$   
TAT(P3) =  $\mathbf{t3}$ = CT(P3)-AT(P3) = 10-0=  $\mathbf{10}$   
Average TAT =  $30+7+10/3$  = 15.6ns

Waiting time: Waiting time is the interval between turnaround time and burst time

**Waiting time (P1)** = 
$$w1 = TAT(P1) - BT(P1) = 30-24=6$$

**Waiting time (P2)** = 
$$w2 = TAT(P2) - BT(P2) = 7-3=4$$

**Waiting time (P3)** = 
$$w3 = TAT(P3) - BT(P3) = 10-3=7$$

**Average waiting time** = Sum of individual process waiting time / No of processes

$$Avg = w1 + w2 + w3 / 3$$

$$6+4+7/3 = 17/3 = 5.6 \text{ ns}$$

Avg = 5.6 ns (Average waiting time)

- Effect of **time quantum** on the performance of a system in RR.
- If time quantum is less, then the number of context switches increases.
- If the time quantum is too large, then the number of context switches decrease and it is same as FCFS policy

## **Priority Scheduling Algorithm**

- The implementation of Priority Scheduling Algorithm can be in preemptive and non-preemptive.
- The overall implementation of algorithm is based on priority of the process. It is similar to SJF. However, here criteria is priority of the process instead of Burst time

# Thank you