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### Key Points:

- GPlates is an open-source plate tectonic geographic information system, enabling the interactive manipulation of tectonic reconstructions
- GPlates enables the building of topological plate models, including plate deformation, and allows the visualization of subsurface volumes
- GPlates applications include tectonics, geodynamics, basin evolution, orogenesis, resource exploration, paleobiology, and paleoclimate

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## GPlates: Building a Virtual Earth Through Deep Time

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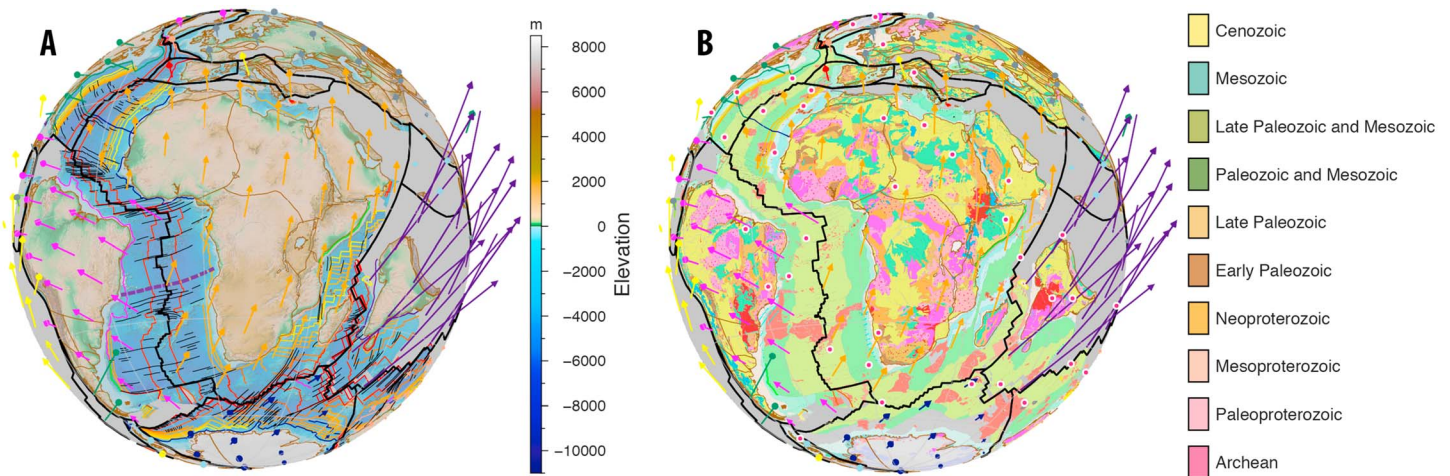
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**Abstract** GPlates is an open-source, cross-platform plate tectonic geographic information system, enabling the interactive manipulation of plate-tectonic reconstructions and the visualization of geodata through geological time. GPlates allows the building of topological plate models representing the mosaic of evolving plate boundary networks through time, useful for computing plate velocity fields as surface boundary conditions for mantle convection models and for investigating physical and chemical exchanges of material between the surface and the deep Earth along tectonic plate boundaries. The ability of GPlates to visualize subsurface 3-D scalar fields together with traditional geological surface data enables researchers to analyze their relationships through geological time in a common plate tectonic reference frame. To achieve this, a hierarchical cube map framework is used for rendering reconstructed surface raster data to support the rendering of subsurface 3-D scalar fields using graphics-hardware-accelerated ray-tracing techniques. GPlates enables the construction of plate deformation zones—regions combining extension, compression, and shearing that accommodate the relative motion between rigid blocks. Users can explore how strain rates, stretching/shortening factors, and crustal thickness evolve through space and time and interactively update the kinematics associated with deformation. Where data sets described by geometries (points, lines, or polygons) fall within deformation regions, the deformation can be applied to these geometries. Together, these tools allow users to build virtual Earth models that quantitatively describe continental assembly, fragmentation and dispersal and are interoperable with many other mapping and modeling tools, enabling applications in tectonics, geodynamics, basin evolution, orogenesis, deep Earth resource exploration, paleobiology, paleoceanography, and paleoclimate.

**Plain Language Summary** The GPlates virtual globe software provides the capability to reconstruct geodata attached to tectonic plates to develop and modify models that describe how the plates and their boundaries have evolved through time. It allows users to deform plates and to visualize surface tectonics in the context of convecting mantle structure and evolution by importing seismic tomography models or outputs from geodynamic models. GPlates applications include tectonics, geodynamics, basin evolution, orogenesis, deep Earth resource exploration, paleobiology, paleoceanography, and paleoclimate. The software is enabling end-users in universities, government organizations, industry, and schools to explore the evolution of planet Earth on their desktop.

## 1. Introduction

Geographic information systems (GIS) form a core part of Earth Science, enabling the growing repositories of digital geodata to be integrated and visualized in a unified fashion. These systems cope with the wide variety of spatial data types, each with their own properties and metadata. In order to make digital geospatial data sets suitable for exploring geological processes and events that have occurred on our planet over geological time, one needs the ability to restore the geographic positions of all data into the distant past and then link them to models that simulate Earth's long-term evolution. Plate tectonic reconstructions, which quantitatively describe the motions of tectonic plates through time, are the framework used to determine the temporal and spatial positions of these data. They rely on the integration of disparate data types to build and test alternative plate tectonic models, at multiple scales, which can then be connected to geodynamic models of Earth's internal structure, models of the evolution of surface topography, including erosion, sediment transport and deposition, and coupled ocean-atmosphere models to simulate Earth's past



**Figure 1.** Reconstruction of a selection of the GPlates sample data at 67 million years ago using the Müller, Seton, et al. (2016) plate motion model, including plate boundaries (thick black lines), absolute plate velocity fields (arrows colored by tectonic plate), and reconstructed present-day coastlines (brown). (a) Reconstruction with reconstructed present-day topography (Amante & Eakins, 2009), oceanic fracture zones (Matthews et al., 2011), and seafloor isochrons (Müller, Seton, et al., 2016). (b) Reconstruction of the United Nations Educational, Scientific and Cultural Organization world geology with present-day mantle hotspots (red dots with white outlines).

climate and ocean circulation patterns. The GPlates software was conceived to play this role as a “plate tectonic geographic information system (GIS)” in which plate tectonic models can be built and assessed, and geodata attached to an evolving mosaic of reconstructed tectonic plates via a standardized, platform-independent virtual globe software system that is interoperable with many other mapping, GIS, and modeling software packages.

## 2. Development History

GPlates open source software development was initiated in 2003 at the University of Sydney's School of Geosciences. In 2004 the California Institute of Technology's Seismolab started contributing code, and in 2007 the Geological Survey of Norway's Geodynamics Group joined the development process. In 2004 the Australian Partnership for Advanced Computing started supporting the development of GPlates and of its GML standard-based information model, the GPlates Markup Language (GPML), via a 3-year program. This was followed in 2006 by an Australian Research Council Special e-Research Initiative 2-year grant, enabling the implementation of the originally conceived idea to link GPlates to mantle convection software such as CitcomS to advance our understanding of the feedbacks between plate motions and the evolution of the Earth's deep interior via subduction and mantle upwellings. This entailed the invention of an entirely new semi-interactive workflow and associated data structures to construct “topological plate boundary models,” in which a continuous, closing mosaic of plate boundaries evolves through time (Gurnis et al., 2012). This e-Research pilot project led to inclusion of GPlates development into the AuScope National Collaborative Research Infrastructure System (NCRIS) funding from 2007 to the present. Over this period the GPlates software and its underlying information model evolved into a robust, platform-independent infrastructure (Boyden et al., 2011) which has been downloaded over 90,000 times between 2003 and 2018, with users in 182 countries and regions, covering academia, government agencies, and industry (see <http://www.gplates.org/download.html> for up-to-date download statistics).

## 3. GPlates Functionality and Applications

In GPlates, users can build regional or global plate motion models, import their own data, and digitize features. GPlates can handle paleomagnetic data, create and display virtual paleomagnetic poles, and derive absolute plate rotations from them. GPlates allows users to interactively investigate alternative fits of the continents, to test hypotheses of supercontinent formation and breakup through time, and to unravel the evolution of tectonically complex areas such as the Tethys, the Caribbean, and Southeast Asia. Numerical and color raster files and images in a variety of formats can be loaded (Figure 1), assigned to tectonic

plates, age-coded, and reconstructed through geological time. The software also allows the exporting of image sequences for animations or for publication-quality figure generation as vector graphics files. Plates and plate boundaries through time can be visualized over time-dependent rasters, for example, mantle tomography image stacks, which are provided in the GPLates sample data.

GPLates is also designed to enable the linking of plate tectonic models with mantle convection models (e.g., Bower et al., 2015). The software allows the construction of time-dependent plate boundary topologies as well as exporting plate polygons and velocity time sequences. Mantle convection model output images can be imported with plate tectonic reconstructions overlain. Plate topologies can also be used to study the size distribution of plates through time (Mallard et al., 2016; Morra et al., 2013), while global plate velocity fields can be used to assess individual and global RMS plate speeds through time (e.g., Zahirovic et al., 2015). GPLates is interoperable with other GIS tools, including QGIS, ArcGIS, GeoMapApp, and others (i.e., it can read and write shapefiles), with reconstructed GPLates data that can be exported to be processed and plotted seamlessly using the open-source Generic Mapping Tools (GMT; Wessel & Smith, 1991; Wessel & Smith, 1998).

GPLates allows users to explore the evolution of the entire Earth system in accordance with past tectonic plate configurations (e.g., Matthews et al., 2016; Müller, Seton, et al., 2016) by combining GPLates with a variety of research tools, data, and workflows. GPLates applications include deep Earth dynamics (e.g., Bower et al., 2013; Coltice et al., 2013; Flament et al., 2017; Shephard et al., 2012), global and regional dynamic surface topography (e.g., Barnett-Moore et al., 2017; Flament et al., 2015; Harrington et al., 2017; R. Müller et al., 2018; Rubey et al., 2017; Spasojevic & Gurnis, 2012), tectonics and continental margin reconstruction (e.g., Brune et al., 2016; Williams et al., 2011; Zahirovic et al., 2015), evolution of continental stress fields (e.g., Dyksterhuis & Müller, 2017; Müller et al., 2012), evolution of river systems (Salles et al., 2017) and carbonate reefs (DiCaprio et al., 2010), long-term sea level change (e.g., Müller et al., 2008; Spasojevic & Gurnis, 2012), biological evolution (Lehtonen et al., 2017), the evolution of sedimentation in the ocean basins (Dutkiewicz et al., 2017), sedimentary basin kinematics (Heine et al., 2013; Pángaro & Ramos, 2012; Torsvik et al., 2009) and dynamic evolution (Yang et al., 2016; Zahirovic et al., 2016), mountain building processes (Cook et al., 2018), continental arc evolution (Cao, Lee, et al., 2017), global paleogeography (Cao et al., 2018; Herold et al., 2008; Herold et al., 2014; Scotese & Schettino, 2017; van Hinsbergen et al., 2016; Wright et al., 2013), and the evolution of climate and vegetation (Henrot et al., 2017; Herold et al., 2011; Huber, 2012; O'Regan et al., 2011) and ocean circulation (Hague et al., 2012; Herold et al., 2012; Scher et al., 2015).

#### 4. GPLates Information Model

The GPLates Geological Information Model (GPGIM) represents a formal specification of geological and geophysical data in a time-varying plate tectonics context, used by the GPLates virtual-globe software (Qin et al., 2012). It provides a framework in which relevant types of geological data are attached to a common plate tectonic reference frame, allowing the data to be reconstructed in a time-dependent spatiotemporal framework. The GPML, being an extension of the open standard Geography Markup Language (GML), is both the modeling language for the GPGIM and an XML-based data format for the interoperable storage and exchange of data modeled by it. The GPLates software implements the GPGIM allowing researchers to query, visualize, reconstruct, and analyze a rich set of geological data including numerical raster data. The GPGIM has recently been extended to support time-dependent georeferenced numerical raster data by wrapping GML primitives into the time-dependent framework of the GPGIM.

#### 5. Vector Feature Geometries

All features in GPLates store their geometry in present-day coordinates so that different rotation models can be used to reconstruct them backward in time. GPLates can reconstruct a variety of present-day vector geometries, including points, multipoints, polylines, and polygons. Although these geometries are reconstructed using Euler rotations, their overall shape remains the same, and hence, they are often referred to as “rigid” or “static” geometries. When a new feature is digitized at a past geological time, the digitization tool reverse reconstructs the digitized geometry forward in time to present day. This way when the newly created feature is reconstructed back to its digitization time, it will match the location of the original digitized geometry. These reconstructions use information stored inside a feature (in the form of feature properties) to determine how to reconstruct the feature. Most features are simply reconstructed using a single plate identification

number (Plate ID) that looks up a rotation model. Other features have more complex reconstructions, such as a mid-ocean ridge that uses two Plate IDs to calculate spreading between the neighboring plates.

## 6. Topological Lines and Plate Topologies

As each geometry can move independently in GPLates (using different Plate IDs), the user can select multiple point and/or polyline geometries to participate in an evolving topological line, where an evolving line feature is generated from the connections between points or intersections between lines. These topological lines, along with standard polylines, can be used to create a tectonic plate topology that persists and evolves geometrically through time. GPLates includes a continuously closed plate (CCP) algorithm, such that a plate polygon, with a finite set of plate margins, all with different Euler rotations, remains closed as a function of time (Gurnis et al., 2012). A continuously closing plate is constructed with the rules of plate tectonics (Cox & Hart, 1986) in which a plate is represented at any moment in time by a closed polygon (Figure 1). The difficulty in creating such polygons is that the different segments of its boundary continuously change. Each segment potentially has a different Euler rotation. Euler rotations may only exist for a finite period of time and some boundaries may disappear while others appear. The time intervals over which each of these plate boundary processes occurs are typically different for each segment. For complete coverage of the surface of the Earth with no gaps or overlaps, the plate margins and data that define them between adjacent plate boundaries must form a continuous boundary network.

When a new plate topology is created, the user typically selects which point, polyline, or topological line features collectively become the boundary of the plate. As these choices are made, GPLates determines the intersection of a given (topological) line with its neighbors and then chooses the middle segment of three lines to participate in the plate topology. Where a line intersects with only one neighbor, it selects the longest segment of the line. In this way, GPLates maintains the intended choice of which part of the line feature to use for the boundary and which part is to be discarded. Once the boundary segments have all been identified, a full topological boundary is formed and the new closed plate polygon feature is added to the feature collection. During each reconstruction time step, GPLates performs a few steps to execute the continuously closing plate algorithm. First, all regular features (points, lines, static polygons, etc.) are reconstructed from present-day positions to new positions at the reconstruction age. Next, all topological features (topological lines, continuously closing plates, deforming zones, etc.) are computed by processing their list of topological boundary sections. Each section on the list holds a reference to a regular feature (using a hexadecimal Feature ID string), and that reference is used to obtain the new reconstructed position. The coordinates of the current section are fetched from the reconstruction, after which the intersection relationships are processed. The coordinates for the previous and next neighbors are also extracted from the reconstruction, and these are tested against the coordinates for the current section. If there are intersections, GPLates splices out the proper subset of coordinates and appends them to the closed plate polygon. To extend the lifespan of a plate topology, especially considering that individual plate boundary segments may change shape or character (for example, a subduction zone feature being replaced by an orogenic belt following collision), GPLates allows plate boundaries to participate for a portion of the plate topology timeframe (for example, the subduction zone geometry disappears and the topology is rebuilt using an orogenic belt line feature that appears in its place). In the case where individual boundaries do not have two intersections in order to close the entirely topology, GPLates will apply “rubber banding” to close the topology by connecting the closest points from the “dangling” lines. However, a user can edit a topology and add line features using insertion points to address breaks in the plate topologies through time. In summary, an important functionality of GPLates is the ability to interpolate plate and plate boundary reconstructions, that is, the relative or absolute positions of any feature can be reconstructed for any user-chosen time.

## 7. GPLates Interoperability

One of the key design features of GPLates is the interoperability with a broad range of community and commercial software packages. GPLates is most commonly identified as a deep-time GIS platform, which makes use of the open source Geospatial Data Abstraction Library (GDAL) to seamlessly convert native GPLates geometry files (GPML and GPMLZ) into other common formats, as well as converting between a range of industry- and community-standard raster formats. GPLates can read and write the ubiquitous



and commercially standard ESRI Shapefile format, either converting the entirety of the GPML file or exporting reconstructed snapshots at user-defined temporal intervals as Shapefiles. The Shapefiles can be used directly with GIS platforms including ArcGIS, QGIS, GRASS, GeoMapApp, and many others. GPlates is also backward compatible with the PLATES formats (Gahagan, 1998), including the rotation parameters (ROT) and the present-day geometry files (DAT), while the Shapefile geometries and rotations can be imported for use in the proprietary 4DPlates software (Clark et al., 2012), as well as the commercial PaleoGIS module for ArcGIS. Although many users generate cartographic material using interactive GIS platforms, GPlates is interoperable with the open source GMT (Wessel & Smith, 1991, 1998), which can be used to visualize present-day and reconstructed vector (load/save OGR gmt and export GMT xy) and raster (NetCDF GRD/NC) data. By leveraging GDAL, GPlates can read a variety of raster formats that are in the WGS84 geographic reference system (Erdas Imagine IMG, ER Mapper ERS, NetCDF GRD/NC, GeoTIFF), as well as nongeographic rasters that can be georeferenced internally using corner coordinates or an affine spatial transformation (JPG, PNG, BMP, TIFF, and GIF). Numerical rasters can be colored using a library of in-built color palettes or GMT-compatible palettes with the ability to also export the present-day or reconstructed numerical or color rasters. The rainbow color scale is our default because it maximizes the effect of shading (artificial sun illumination, resulting in the addition of white or black) since the primary colors along the hexagonal color wheel are the most distant from the gray axis in the rainbow color scale. Other color scales are preferable for other reasons but have very little dynamic range for shading. Users can load their own color palettes in GPlates, and for rasters, 3-D volumes, and crustal thickness visualization, there is a selection of color palettes available using the color schemes that are perceptually linear. The user's choice of palettes gets saved to project files, subsequently becoming user project defaults. For users who prefer to edit GPlates views in vector and raster graphics editing tools, snapshots can be exported in standard raster formats, as well as the more flexible layered scalable vector graphics format (SVG).

Beyond the deep-time GIS functionality, one of the key applications of GPlates is the interoperability with a range of numerical geodynamic modeling codes of plate tectonics and mantle convection. The first code to be coupled to GPlates was the spherical version of the California Institute of Technology Convection in the Mantle code, CitcomS (Zhong et al., 2000). The resolved plate topologies are used to calculate plate velocities, which are sampled using the CitcomS diamond-shaped mesh caps and exported as simple text ASCII (DAT, XY, etc.) or GPML files, and the geometry of subduction zones and their polarity through time is also exported from GPlates. In addition to assimilating the subduction zone geometries and plate velocities, the age of the oceanic crust and the tectonothermal age of the continents are used to assimilate the thermal lithosphere from the GPlates reconstructions into CitcomS (Bower et al., 2015). Similarly, the plate velocities can be sampled from in-built functionality that generates a mesh for the TERRA mantle convection code (e.g., Rubey et al., 2017; Shephard et al., 2012). More recently, GPlates reconstructions have been applied to the Advanced Solver for Problems in Earth's ConvecTion (ASPECT) mantle convection code with adaptive mesh refinement (e.g., Zhang & Li, 2017).

GPlates has also been applied to other workflows, including data mining through the export of coregistered data (Landgrebe et al., 2013), and has been linked to a number of Web services, including the Paleobiology Database (Peters & McClennen, 2016; <https://paleobiodb.org>) and the GPlates Web Portal (<http://portal.gplates.org/>; Müller, Qin, et al., 2016). More generally, the development of an open source GPlates Python library, released as pyGPlates, has exposed GPlates functionality to a wide range of community Python automation tools and interfaces, which has been applied to tectonics (Brune et al., 2016; Merdith et al., 2017), to the deep carbon cycle (Brune et al., 2017; Müller & Dutkiewicz, 2018), and to paleobiogeography (Cao et al., 2018). This approach will enable users to generate their own interfaces between their data and workflows with GPlates functionality and opens avenues for cloud-based processing and deeper GPlates integration with high performance computing workflows.

## 8. Raster Visualization

In addition to reconstructing regular geometries (points, lines, and polygons), GPlates supports interactive reconstruction of very large raster data sets. Raster data can be imported from a variety of color image formats containing red green blue alpha (RGBA) color data (including JPEG and PNG, Figure 1), as well as

numerical image formats containing floating-point and integer data (including NetCDF and GeoTIFF). Numerical raster data are visualized by selecting a color palette that is either built-in or loaded from a GMT (Wessel & Smith, 1998) regular CPT file.

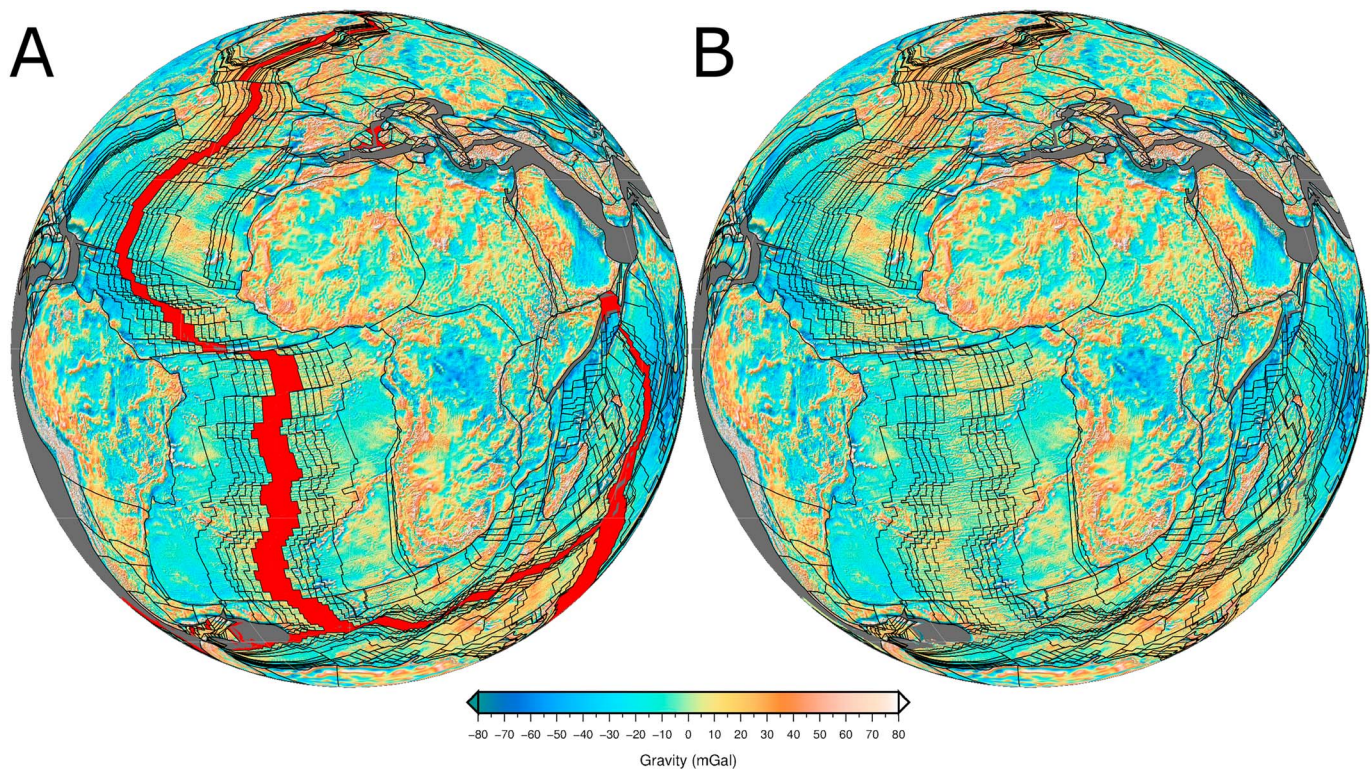
To reconstruct regular geometries, features must first be partitioned into tectonic polygons and assigned their Plate IDs, after which the tectonic polygons are no longer required (since only the Plate IDs are needed to look up rotations in the rotation model). On the other hand, to reconstruct raster data, both the tectonic polygons and rotation model must always be present, and hence, the user reconstructs a raster layer by connecting it to a polygon layer that is in turn implicitly connected to the default rotation layer (unless overridden with an explicit connection). This is necessary because the graphics hardware accelerates the reconstruction process by combining both the partitioning and rotation steps into a single rendering operation. It essentially does this by simultaneously extracting chunks of raster data, in the shape of the tectonic plates, from the unpartitioned raster (using a separate triangulation for each tectonic polygon) and rendering rotated versions of those plates (and the raster data along with them) into the reconstructed arrangement. However, the extraction part is complicated by the fact that each raster data set can have its own georeferenced coordinate system and optional projection (such as Lambert Conic Conformal) that positions its raster data on the globe. Reconstruction is greatly simplified by using a common georeferencing and projection for all raster data sets. GPlates achieves this by resampling all raster data into a cube map representation (Cannon et al., 2014) immediately prior to reconstruction. Rotated tectonic polygon rendering then references these cube map raster data instead of the original georeferenced raster.

Since a raster can be arbitrarily large and may not fit into memory, the cube map structure is further enhanced with a multiresolution tile partitioning that significantly reduces the in-memory raster data to only those tiles that are currently visible and at the lowest resolution required. For example, when the view is zoomed in, only part of a reconstructed raster is visible but a higher resolution is required, whereas a zoomed-out view sees more of the reconstructed raster but at a lower resolution. The cube map structure also enables multiple rasters with different georeferencing to be combined once they have been resampled into cube maps. An example is enhancing raster reconstruction with a present-day age grid that contains the time of appearance of oceanic crust at each pixel location. Here both the age raster and the actual raster being reconstructed are simultaneously accessed via their cube maps when rendering rotated tectonic polygons, during which a per-pixel age comparison determines whether to render each reconstructed raster pixel, thus achieving a finer granularity than is possible with polygon-size tests (each tectonic polygon also has a time of appearance). Figure 2 shows how reconstructing a raster with an age grid removes the gaps caused by polygons following discrete isochrons. Another example of combining cube maps is surface relief shading where a second raster is treated as an elevation map. Here surface lighting is not precomputed for the first raster but calculated interactively from the second raster and modulated with the color from the first raster. Figure 3 shows an oceanic age grid (colored by age) overlain on a topography raster (colored by elevation), but both with lighting determined by the topography raster.

In addition to visualizing rasters, GPlates can also interactively analyze reconstructed numerical rasters by computing statistics such as the mean and standard deviation within raster regions restricted to a user-specified distance from points, lines, and polygons. Alternatively, researchers can export reconstructed numerical raster data from GPlates for analysis in other software.

## 9. Volume Visualization

GPlates supports the interactive visualization of subsurface 3-D scalar fields, enabling them to be observed together with traditional geological surface data in a common plate tectonic reference frame. For example, a user can visualize the output of geodynamic models in relation to their plate tectonic surface constraints. Scalar fields can be visualized either as isosurfaces or cross sections. Figure 4 shows the MIT-P08 (Li et al., 2008) tomographic model visualized both as an isosurface representation (Figure 4a) and color-coded on cross sections along tectonic plate boundaries (Figure 4b). An isosurface represents the surface through the scalar field with a specific scalar value known as the isovalue. Isosurfaces are rendered by tracing a ray through the scalar field, at each screen pixel in the globe view, until the isosurface is found. To achieve this, a ray-tracing process is executed on the graphics hardware simultaneously for

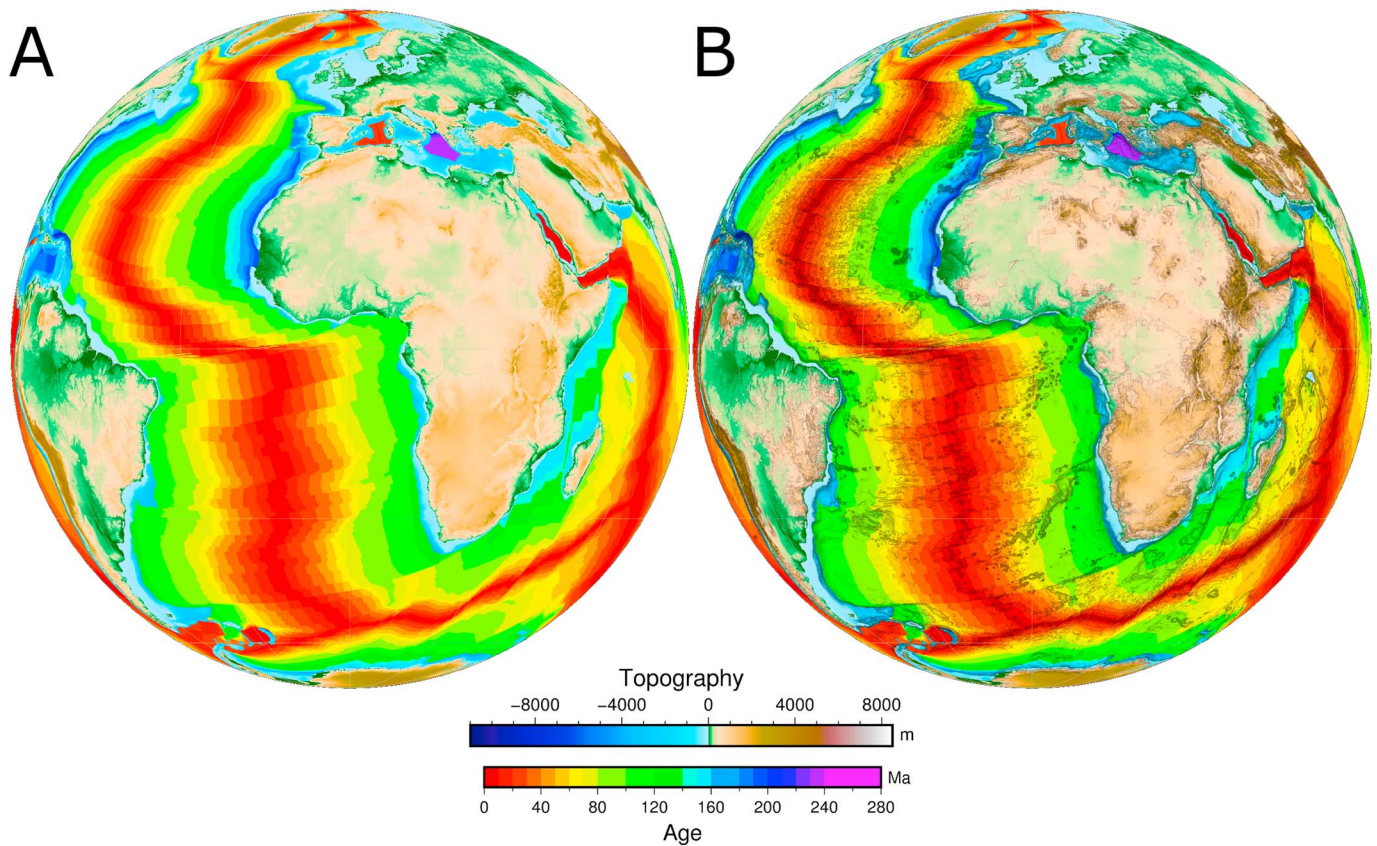


**Figure 2.** GPlates reconstruction of a present-day global free air gravity raster (Sandwell et al., 2014) (a) using static polygons only and (b) using a combination of static polygons and an age grid. Note that red color has been added to (a) purely to highlight the difference. The static plate polygons are based on discrete seafloor isochrons (Müller, Seton, et al., 2016), resulting in gaps in reconstructions whose ages do not correspond to a given isochron age, while using the associated present-day age grid (Müller, Seton, et al., 2016) removes the gaps.

each pixel. This approach is particularly suited to modern graphics hardware because the rays (pixels) are independent of each other and hence can be distributed in parallel across the thousands of computational units in the Graphics Processing Unit (GPU). This enables real-time interactivity when the isosurface is rerendered each time the view changes or the user adjusts the isovalue. An intuitive 3-D visualization is achieved by evaluating a lighting model at each intersection point—resulting in specific shadow effects. Cross sections are surface lines extruded vertically (in the radial direction) to visualize the 3-D scalar field along the resultant 2-D slices—based on a specific color palette. Any existing surface geometries can be used as the source of these cross sections, such as the black tectonic plate boundaries in Figure 4b. Additionally, the existing canvas tools enable the user to interactively manipulate cross sections by moving, inserting, and deleting surface geometry vertices.

The rendering of isosurfaces and cross sections both have the common requirement that the graphics hardware must convert locations (within the 3-D scalar field) into scalar values. A cube map data structure was chosen for this purpose and is an extension of the cube map structure used for 2-D surface rasters with the addition of depth layers in order to support subsurface 3-D scalar fields. A 3-D scalar field is created by importing several 2-D rasters, each assigned to a different depth. During import, these 2-D georeferenced depth rasters are resampled into a cube map partition that is similar to that used for a 2-D surface raster except each partition in the cube map is a tile column, instead of a single tile, since it now has several tiles below it (one for each depth). This pregenerated cube map partitioning is then imported into a binary file (GPlates Scalar Field), referenced by a GPML file, such that future loads of the scalar field are fast. During isosurface or cross-section rendering, sampling the 3-D scalar field at an arbitrary 3-D location within the field then involves projecting that location into a specific partition (tile column) of the cube map, to find its 2-D coordinates within any tile image below that partition (tile column), followed by interpolating between the two depth layer tiles in that tile column that surround the 3-D location in the depth dimension. All interpolations are performed by efficient texture lookups directly on the GPU.



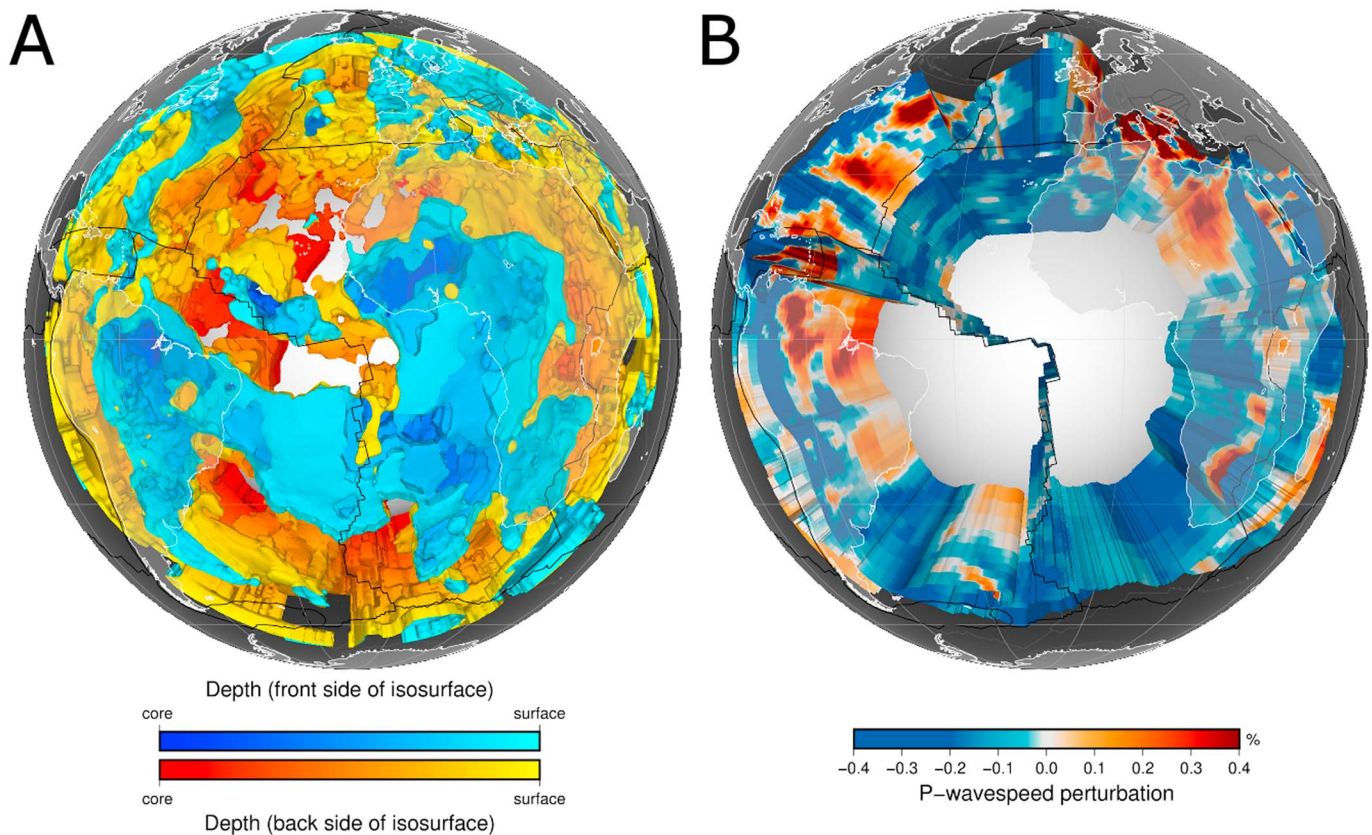


**Figure 3.** GPlates visualization of a raster file displaying merged oceanic crustal age colored by age (Müller, Seton, et al., 2016) and continental topography (Amante & Eakins, 2009) (a) without surface lighting and (b) with surface lighting calculated on the fly from the second topography raster file.

Visualization of isosurfaces and cross sections can optionally be constrained using a surface mask and a restricted depth range. A surface mask is defined as the concave interior of one or more surface polygon geometries, and the depth range is defined by a minimum and maximum radius. The rendering of isosurfaces and cross sections is then restricted to the 3D region defined by the surface mask extruded through the depth range. This is demonstrated in Figures 5c and 5d where mantle temperature isosurfaces are only rendered below the North and South American plates. There is a further option to render vertical walls along the boundary of the surface mask to highlight the boundary of the restricted 3-D region (Figure 5d). These walls are formed by vertically extruding the line segments of the surface polygons through the depth range.

Both isosurfaces and cross sections support mapping scalar values to colors using a color palette (Figures 6a and 6b). Here the user can map a configurable range of scalar values to either a built-in color palette or a color palette loaded from a GMT (Wessel & Smith, 1998) regular CPT file. Note that, for an isosurface, this means the entire surface is the same color (Figure 6a) since it represents a single isovalue and the color changes only when the isovalue is modified. Similar to mapping scalar values to colors, both isosurfaces and cross sections also support mapping the magnitudes of scalar gradient vectors to colors (Figures 6c and 6d) in order to visualize how rapidly the scalar values are increasing or decreasing in the direction perpendicular (normal) to the isosurface. A magnitude is positive by definition, and cross sections only map this positive range of values to colors (the red shades in Figure 6d). However, an isosurface divides the space containing the scalar field into two regions, one containing higher scalar values and the other lower scalar values. The front side of the isosurface, facing the region with higher scalar values, maps the negative of the gradient magnitude to color (the blue shades in Figure 6c), while the back side, facing the region with lower scalar values, maps the positive gradient magnitude to color (the red shades in Figure 6c). Since only one side of the isosurface is visible at any particular point on the surface, this separated mapping helps visualize whether the scalar field is increasing (viewing back side) or decreasing (viewing front side) along the view direction. A third color mode, supported only for isosurfaces, is a hardwired mode (not configurable using a color palette) where

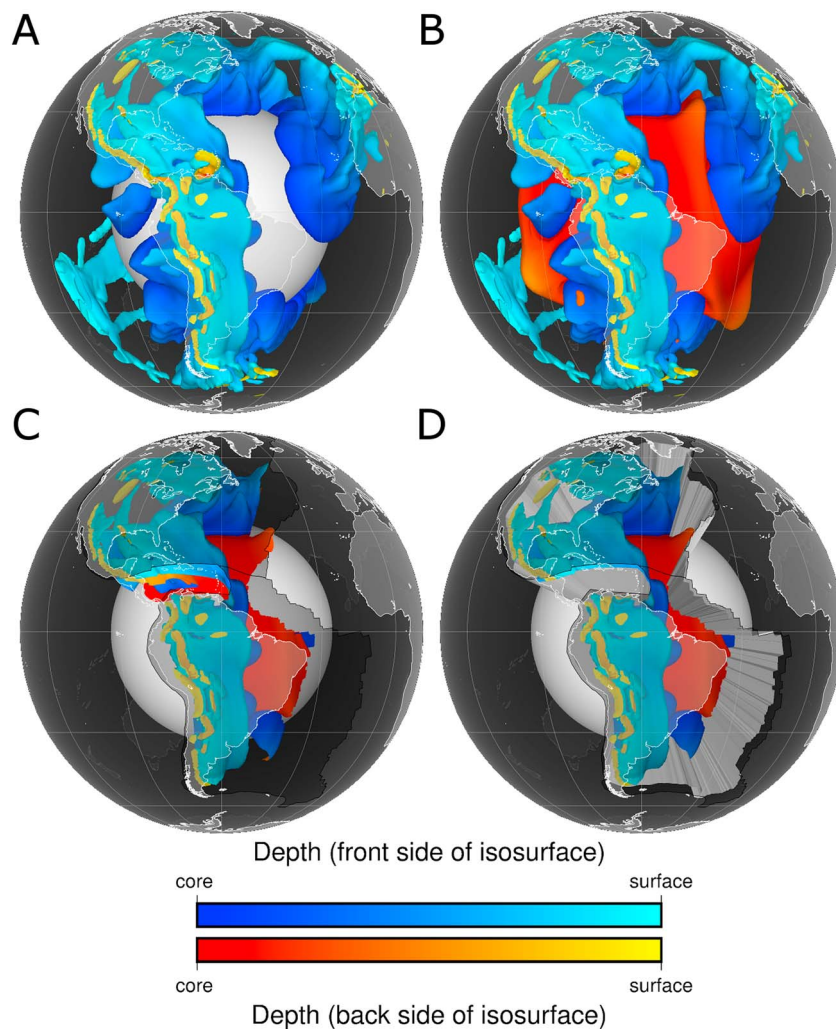




**Figure 4.** MIT-P08 tomographic model (Li et al., 2008) overlain with coastlines in white and tectonic plate boundaries in black. The gray interior of the continents is semitransparent. (a) A seismic velocity anomaly isosurface colored by depth. (b) Vertically extruded cross sections are shown along tectonic plate boundaries.

the color varies with depth as shown in Figure 5. Here the front side of the isosurface blends between blue at the bottom (deepest) layer and cyan at the top (shallowest) layer, and the back side of the isosurface blends between red at the bottom layer and yellow at the top layer. The use of blue and red shades on the front and back sides of an isosurface is intuitive for mantle temperature scalar fields (Figure 5) where downgoing slabs are shades of blue, to indicate material inside the slab is cooler than its surrounding, and mantle upwellings are shades of red, to indicate material inside the upwelling is hotter than its surrounding.

An isosurface deviation window consists of a main isosurface and a deviation isosurface on either side of it. The two deviation isovalues are offset from the main isosurface by a user-adjustable constant such that the deviation isosurfaces track the main isosurface as its isosurface is modified. The main isosurface is opaque and white, whereas the two deviation isosurfaces are colored according to the user-selected color mode and are semitransparent by default so that the main isosurface is visible through them. The deviation window essentially provides an alternative visualization of gradients along the main isosurface since locations with higher gradients will result in larger distances between the main and deviation isosurfaces. A single deviation window consists of one main isosurface and its two deviation isosurfaces. A double deviation window is also possible and consists of two nonoverlapping deviation windows, with each window having a separately configurable main isosurface and deviation offset. This is useful for the mantle temperature example, shown again in Figure 7, where cooler downgoing slabs use a lower isosurface in the first window and hotter mantle upwellings use a higher isosurface in the second window. Since a deviation window is a 3-D region of space, enclosed by its two deviation isosurfaces (surrounding its main isosurface), it can be intersected by the 2-D outer sphere (topmost depth layer) and by the 2-D vertically extruded polygon walls of the surface mask (Figure 7c). These intersections are 2D deviation surfaces that essentially close off the 3-D deviation window region. They can optionally be rendered using the same color mode as the isosurface, with the depth color mode also blending from green at the main isosurface to the usual blue and red shades at the deviation isosurfaces (which, at the outer sphere, are the topmost-depth blue and red shades of cyan and yellow).

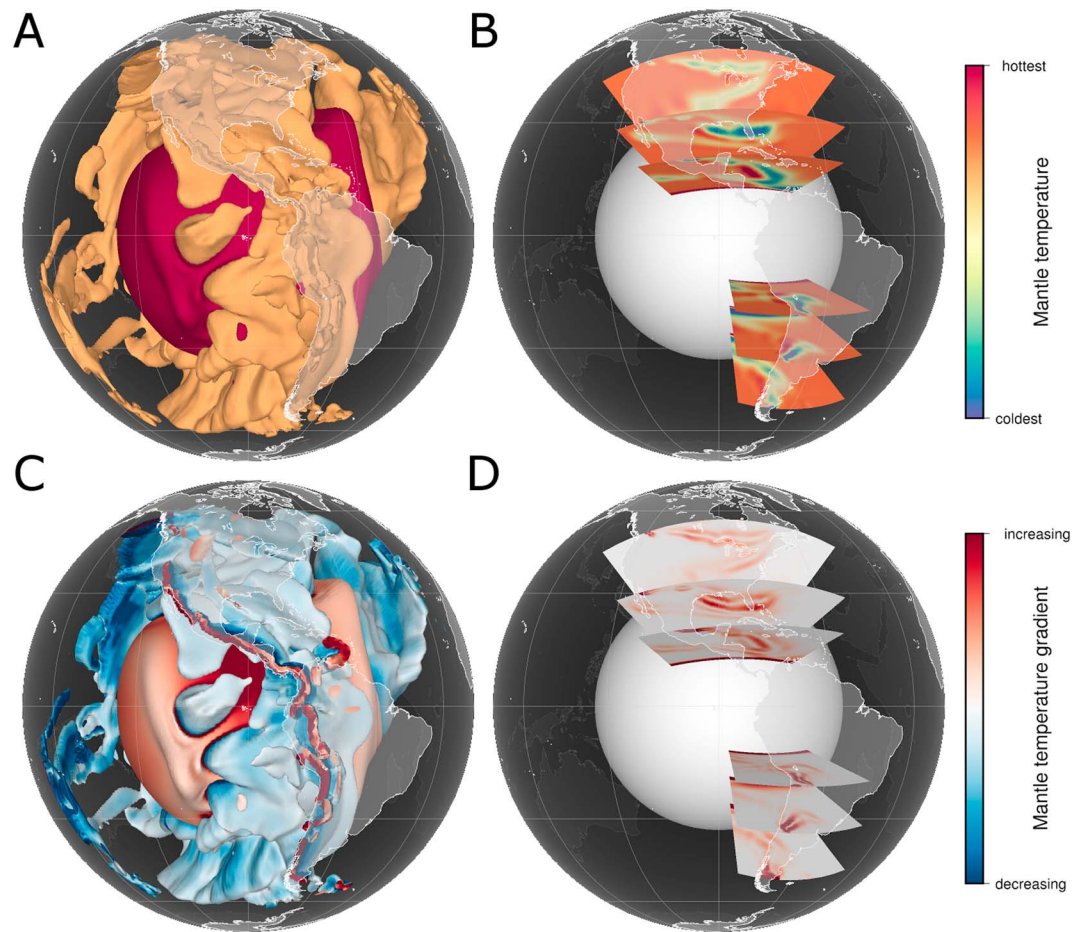


**Figure 5.** Mantle temperature isosurfaces colored by depth. Coastlines are overlain with white outlines and light semitransparent continental interiors. (a) Isosurface of the cooler downgoing slabs. Material inside a slab is cooler than outside, and this is intuitively visualized with shades of blue on the outside slab surface. Note that the inside surface of the slabs is partially visible and is colored red/yellow since material on the other side (ie, outside the slab) is hotter. (b) The isosurface of the hotter mantle upwellings are shaded red to indicate that material inside the upwellings is hotter than ambient mantle. (c) Both slab and upwelling isosurfaces are limited to the region below the North and South American plates (outlined in black with dark semitransparent interior). (d) Here vertically extruded walls are added along the western boundaries of the North and South American plates.

Additionally, white isolines can be rendered onto these deviation surfaces to highlight regularly spaced isovalues, of a user-specified frequency, within the deviation window. These are essentially what the intersections of regularly spaced isosurfaces would look like if they intersected the deviation surface (outer sphere or polygon wall). Figure 7d shows these deviation surfaces, colored by depth and rendered with isolines, for the two mantle temperature deviation windows corresponding to the cooler downgoing slabs and the hotter mantle upwellings.

## 10. Rigid Plate Reconstructions

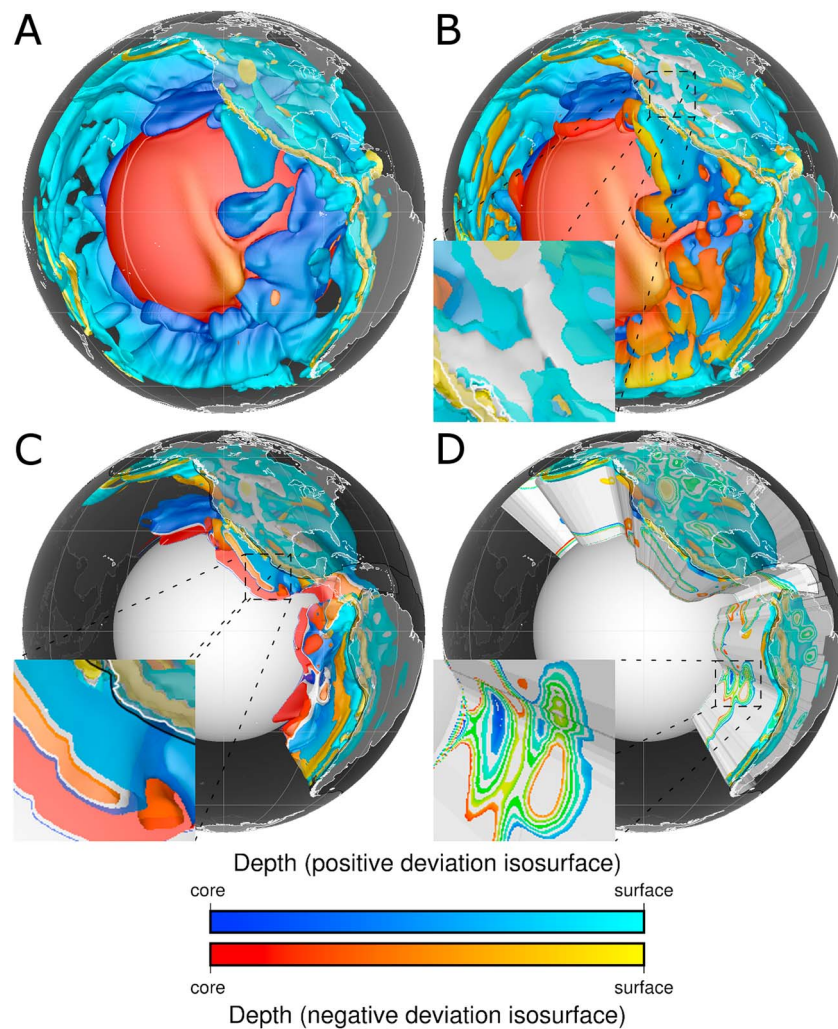
One of the primary applications of GPlates is the interactive fitting of joint geological and geophysical data sets to derive relative plate motion models for rigid (nondeforming) plates. Data constraining such reconstructions include marine geophysical data such as magnetic anomaly identifications, fracture zone traces interpreted from gravity anomaly data, paleomagnetic data, and a multitude of other geological data



**Figure 6.** Mantle temperature isosurfaces and cross sections colored by (a and b) mantle temperature and (c and d) temperature gradient magnitude. Coastlines are overlain with white outlines and semitransparent interiors. (a) Visualization of two isosurfaces of downgoing slabs and mantle upwellings colored by temperature. Each isosurface is a constant temperature and hence a constant color. (b) Cross sections of mantle temperature highlighting cooler (blue) slabs of oceanic crust subducting into the relatively hotter mantle (red/orange) underneath the North and South American continents. (c) Visualization of two isosurfaces colored by temperature gradient magnitude. The shades of red indicate that the temperature is increasing along the view direction, such as traveling from outside to inside a hot mantle upwelling. The shades of blue indicate decreasing temperature, for instance as seen in the gradient from outside to inside a cool slab. The darker shades of red or blue indicate higher temperature gradients compared to the lighter shades. (d) Mantle cross sections colored by temperature gradient magnitude. The temperature gradient direction relative to an isosurface is not visualized here, so only shades of red exist with darker shades indicating higher gradients.

including terrain boundaries, faults, large igneous provinces, ophiolites, and other geological markers as described in Müller, Seton, et al. (2016). Reconstructions of conjugate magnetic anomalies and fracture zone identifications can also be performed quantitatively in a least squares sense using Hellinger's (1981) criterion of fit, via a canvas tool workflow. The computed best fit depends on providing conjugate pairs of *segments* of magnetic or fracture zone identifications ("picks"), which represent a common mid-ocean ridge-segment or a common transform fault. One set of segments is considered fixed, and the other set moves with respect to the fixed set. The fitting algorithm uses an initial user-provided rotation-pole estimate to rotate the moving picks toward the fixed picks. Picks from conjugate segment pairs are fitted to a great circle arc, and the deviations of picks from their great circles are used to generate a measure of the goodness of fit. The best fitting rotation pole is found either by a grid-search around the initial estimate or by a downhill-simplex minimization algorithm. The GPlates interface allows interactive adjustment of magnetic or fracture zone pick locations, data segmentation, and fit parameters. Both two-plate and three-plate fitting can be performed. To maintain compatibility with legacy code, GPlates can





**Figure 7.** Mantle temperature deviation windows colored by depth. Coastlines are overlain with white outlines and semi-transparent continental interiors. (a) Two isosurfaces of cooler downgoing slabs and hotter mantle upwellings without deviation windows. (b) Isosurfaces with deviation windows added. Each constant-temperature main isosurface has its own temperature offset (deviation). Each resultant deviation window has an opaque white isosurface surrounded by two semitransparent deviation isosurfaces colored by depth. Note that the white isosurfaces are exposed at the surface. (c) Both slab and upwelling deviation windows are limited to the region below the North and South American plates (outlined in black with semitransparent interior). Note that the white isosurfaces are also exposed by the cut-out region. (d) The exposed deviation windows are filled in with depth coloring and white isolines. The deviation windows at the outer sphere surface are semitransparent, whereas those along the sidewalls are opaque. Note that depth coloring blends from the usual red and blue shades at the deviation isosurfaces to green at the main (white) isosurfaces.

import magnetic picks and fit parameters from text files suitable for use with legacy FORTRAN programs (Kirkwood et al., 1999); picks can also be created and edited through the GPlates user interface. The resulting best fit finite rotation pole is displayed on the GPlates globe together with its uncertainty ellipse, computed following Kirkwood et al. (1999).

The GPlates rotation engine allows for calculation of time-dependent position and velocity information of an arbitrary point on any plate. Such information can be exported to various file formats through GPlates' export functionality. The *Kinematics Tool* provides an interactive way of accessing this information, visualizing latitude, longitude, and the relative or absolute plate motion velocity history of any point of interest, in both tabular and graphical form. Positional and velocity information are calculated at user-defined intervals; velocity calculation parameters (e.g., the time interval used in the velocity calculation) are also user-adjustable.

## 11. Plate Deformation

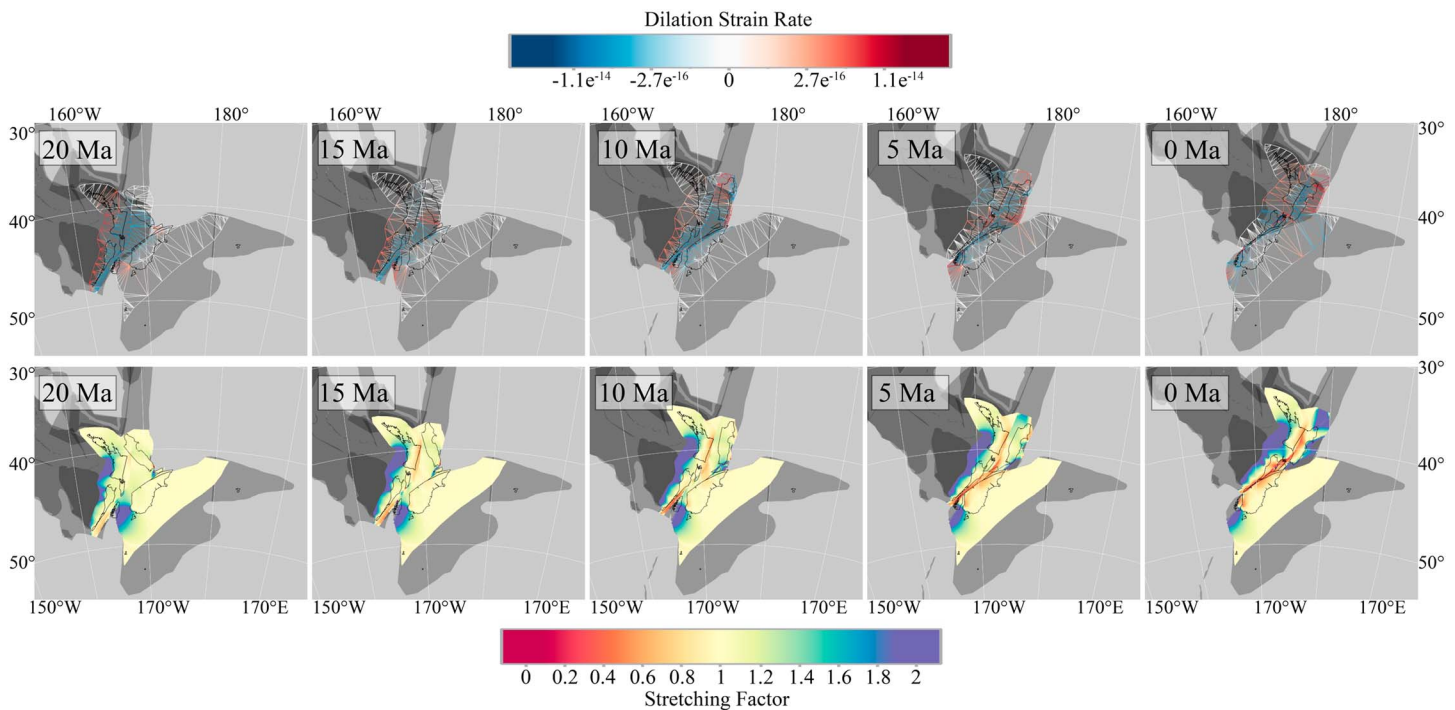
GPates deforming plate functionality allows users to define the spatial and temporal extent of diffuse plate boundaries and model the deformation of these regions through time (Gurnis et al., 2018). These are regions combining extension, compression, and shearing that accommodate the relative motion between rigid blocks that follow the traditional concept of plate tectonics. Alternatively, GPates can input a set of points within a deformation region with rotations relative, for example, to its edge. These could be computed outside of GPates with a wide range of data not directly used inside of GPates, such as paleomagnetic rotations of small blocks, tectonic subsidence curves, or structure measures of finite strain, as commonly used in regional reconstructions. Users can explore how strain rates, stretching/shortening factors, and crustal thickness evolve through space and time within deforming regions and interactively update the kinematics associated with deformation to investigate how these parameters are influenced by alternative plate reconstruction scenarios. The geometries that define regions of deformation change over time in response to the user-defined kinematics, and the consequences of these changes can be quantified and represented using stretching/shortening factors. Together, the new tools form the basis for building reconstructions that quantitatively describe the cycles of rifting, mountain building, and intracontinental shearing that accompany supercontinent assembly and dispersal.

The building blocks of a deforming plate reconstruction are points, lines, and polygons that define plate boundaries, the boundaries between deforming and rigid regions, and can partition the deformation within the regions of deformation. Each of these geometries can be assigned its own history of motion in the same way as a plate. The GPates user interface is designed to facilitate the process of defining both the extent of deforming regions and the motion history of each building block, as well as define subregions that have not experienced deformation (i.e., behaved as rigid tectonic blocks). Defining a region of deformation follows the same logic as creating plate topologies, with the additional option of adding features into the deforming region that model deformation (such as rifts or orogens) or the absence of deformation (such as rigid elements). The spatial extent of deforming meshes is mainly based on a variety of geological and geophysical evidence—for example, models of crustal thickness, estimates of sediment thickness for extensional regions, and the topography and structure of mountain belts. GPates allows the computation of time-dependent stretching or compression within deforming regions based on the kinematic motions of the surrounding (rigid) features. Although the rigid elements are reconstructed using their Plate ID, and hence, their velocities are simply derived from the Euler rotation, the velocities within the deforming mesh are interpolated based on the deformation history of the topology. One can also compute finite strain and quantities related to finite strain, like stretching factors. Here points are integrated forward in time for points within the deforming mesh with an assumption made of an initial condition, like crustal thickness prior to a given phase of extension. The strain history is simple to modify within the GPates interface, allowing multiple estimates of stretching factor be determined for a single kinematic reconstruction based on alternative prerift scenarios. If the deformation within a region entirely arises from the rotations of the edges of the region, a simplification in the current model is the absence of deformation partitioning within each margin so there is currently no distinction between high extension factors expected in distal margin areas versus the more moderate amounts of extension closer to the rift edge—instead, the displayed values represent average stretching factors for each margin segment that vary along strike.

As an example we show a deforming plate model for New Zealand (D. Müller et al., 2018), focusing on the last 20 million years (Figure 8), based on a rigid plate model by Wood and Stagpoole (2007). The reconstruction provides a representation of deformation including the individual relative motions of the blocks corresponding to the western North Island, East coast North Island, Marlborough, west coast South Island, and east coast South Island, including regions of extension and shortening, with oblique compression forming the Southern Alps, the North Island's eastern ranges, and the East Coast fold and thrust belt (Wood & Stagpoole, 2007).

## 12. Reconstructing Features Using Topologies

GPates 2.0 introduces a reconstruction paradigm called “topological reconstruction” that involves using plate boundary topologies (deforming and nondeforming) to partition features into tectonic plates and reconstruct features. Topological reconstruction is based on points since this greatly simplifies the implementation. If the initial geometry is a polyline or polygon, then it is tessellated into closely spaced points that



**Figure 8.** (a) Deforming meshes colored by strain rate covering the main regions that have undergone distributed deformation in New Zealand since 20 Ma, based on a rigid plate model by Wood and Stagpoole (2007). (b) Stretching factor grids that display the lithospheric stretching/thickening factor as defined by Le Pichon and Sibuet (1981) with values from one to two expressing extension, while values less than one correspond to compression.

approximate its shape. Incremental topological reconstruction begins with the points of the initial geometry. A single time step involves independently attaching each point to the deforming or nondeforming topology (if any) whose boundary contains the point. Each point then moves to its new position, over an incremental time interval, as its temporarily assigned topology would at that location. For a nondeforming topology the incremental point movement is a rigid plate motion that simply uses the Plate ID of the topology to rotate the point. For a deforming topology this incremental point movement requires further locating the point within the nonuniform deformation field inside the topology, unless the point is contained within a rigid interior block in which case it is treated the same as regular nondeforming topologies. This deformation field is represented by a triangulation where each vertex in the triangulation has a Plate ID and hence a motion defined by Euler rotations. Initially, the triangle containing the point is located along with the point's interpolation coordinates within that triangle. Then the three vertices of the triangle are rotated over the incremental time interval (using their Plate IDs), resulting in a new triangle with a distorted shape (if the three Plate IDs are not the same). Finally, the deformed point position is obtained by using the interpolation coordinates to interpolate the new vertex positions of the triangle. There is also an option to interpolate additional nearby vertices than just the three of the triangle containing the point to obtain a smoother deformation field. It is also possible that the point might not be contained by any topologies (deforming or non deforming), for example, when topologies do not have global coverage. In this case the point falls back to using its own Plate ID, as would have been the case if topological reconstruction was switched off.

After obtaining the new reconstructed point positions from the first time step, these positions are then used as input to the next time step and the process is repeated until the desired reconstruction time is reached resulting in the reconstructed points of the final geometry. Note that at each time step the set of topologies can change, since nondeforming plates can split and merge, and deforming networks can appear and disappear when deformation begins and ends. As a result, each point can transition from one topology to another, and this highlights the advantage of using topologies that have global coverage. For example, when deformation ends in a region, its deforming topology will disappear and be replaced by nondeforming plates that will then pick up the points and continue to reconstruct them



appropriately (instead of falling back to using the Plate ID of the points feature). Note that a deforming topology can be overlain on top of nondeforming topologies, in which case a point contained by both will only be influenced by the deforming topology. However, deforming topologies are typically embedded into a global nondeforming plate model such that they share common boundaries and hence do not overlap.

Topological reconstruction also supports automatic lifetime detection, such as determining when each topologically reconstructed point of a gradually subducting seafloor isochron should disappear. This is in contrast to the usual method of reconstruction whereby a user-specified time of appearance and disappearance is required and must be manually updated whenever the tectonic plate arrangement changes. The lifetime detection algorithm works by looking at a point's convergent/divergent velocity when it transitions from one plate to another. These transitions represent an opportunity for the point to appear at a divergent plate boundary (seafloor created at a mid-ocean ridge) or disappear at a convergent plate boundary (seafloor subducted).

### 13. Project Files

A GPlates session consists of the loaded data filenames and associated layers. Unlike previous versions, GPlates2.0 saves and restores *all* layer information including layer order and visibility and all settings within each layer such as color styles and color palette filenames. A GPlates session can be saved to a user-specified project file and later restored from it when GPlates is reopened. The most recent sessions are also automatically saved internally as an extra convenience, allowing the user to restore from a list of recent sessions without having to save or load a project file. However, only a limited number of sessions are stored in this way, with new sessions overwriting old sessions. Project files do not have this limitation and also can be copied to other computers. Hence, they are generally recommended over the recent-sessions list.

### 14. Plate Motion Models and Associated Data

The broad philosophy behind the GPlates project (GPROJ) is to provide open-source workflows and open-access data for the scientific community, and in this regard, the software is bundled with a comprehensive set of sample data. The plate motion model (including rotation parameters and plate topologies) that is included with GPlates 2.0 and the recently released GPlates2.1, which includes a number of bug fixes and minor updates, spans the last 410 million years (Matthews et al., 2016), which is a modified and merged version of the pre-Pangea (Domeier & Torsvik, 2014) and post-Pangea (Müller, Seton, et al., 2016) plate reconstructions. It is important to note that GPlates is not tied to any given plate model. One of its strengths is that it allows for easy comparison between different published plate models (e.g., Cao et al., 2018). A number of GPlates-compatible plate models are available on the EarthByte Web site at <https://www.earthbyte.org/global-plate-models> and at <https://www.earthbyte.org/paleomap-paleoatlas-for-gplates/>, while the model from Torsvik and Cocks (2016) is available at <http://www.earthdynamics.org/earthhistory/>. The most appropriate model for any user will depend on the purpose of their research, for example, paleoenvironmental or climate modeling versus geodynamic modeling, how far back in time a given model needs to go and whether or not a given application requires a plate model with evolving topological plate boundaries or not. To ensure that vector and raster data can be reconstructed in GPlates, the bundle includes a global set of present-day polygons that allow GPlates to partition the Earth's surface into tectonic elements by their Plate ID and their age. These present-day polygons are referred to as "static polygons" as they do not change their shape through time but can be rotated and reconstructed using the Euler rotations loaded in GPlates and enable the partitioning of data into tectonic plates and reconstruction of geospatial vector and raster data.

One of the most commonly used vector data sets represents the present-day global self-consistent hierarchical high-resolution shorelines (GSHHS; Wessel & Smith, 1996), which are partitioned into tectonic plates using static polygons. The reconstructed present-day coastlines are useful to help the user identify present-day features in deep-time plate reconstructions (Figure 1), but due to the nature of sea level change, paleo-shorelines are an additional geometric feature that can be plotted to better represent the paleogeographic reconstruction (Cao, Zahirovic, et al., 2017). Similarly, the supplied present-day 5° graticule grid marks can be reconstructed to provide a visual reference at reconstructions snapshots.

Not all of the geographic features are partitioned in this way, with the best example representing present-day hotspots (Whittaker et al., 2015), which are separated into their Indo-Atlantic and Pacific domains (Figure 1b). For continental regions, the outline of present-day continental crust is supplied (Müller, Seton, et al., 2016), as well as the paleomagnetic virtual geomagnetic poles (VGP) for the major continental blocks (Torsvik et al., 2008). The continent-ocean boundaries as the transition between continental and oceanic crust at passive margins are also included, as well as a number of data sets specific to the oceanic crust including the mapped seafloor fabric (Matthews et al., 2011), seafloor age isochrons, and extinct and active mid-oceanic ridges (Müller, Seton, et al., 2016; Figure 1a). The new functionality of GPROJ files means that a single GPROJ file can be opened in GPlates, which then loads basic and commonly used vector and raster layers (with their coloring and appropriate links encoded), which provides added flexibility for users to use and expand their GPlates workspaces.

An extended set of common geophysical raster data sets are bundled in GPlates2.1, including the numerical NetCDF grid of seafloor age (Müller, Seton, et al., 2016), as well as the color rasters of elevation (Amante & Eakins, 2009; Figure 1a), free air gravity anomalies and vertical gravity gradients (Sandwell et al., 2014), Bouguer and isostatic gravity anomalies (Balmino et al., 2012), magnetic anomalies without directional gridding from seafloor age models applied (Maus et al., 2009), crustal thickness (<https://igppweb.ucsd.edu/~gabi/crust2.html>), crustal strain (Kreemer et al., 2014), and UNESCO global geology (<https://ccgm.org/en/home/164-carte-geologique-du-monde-a-l-echelle-de-135-000-000-9782917310243.html>, Figure 1b). The present-day rasters can be partitioned and reconstructed using the static polygons, and their reconstructed snapshots exported. Numerical rasters can be exported as reconstructed numerical or color rasters, allowing such data sets to retain their flexibility. One common approach to expand the utility of reconstructing rasters using the “static polygons” involves connecting the present-day seafloor age numerical raster to another color raster layer (such as elevation), which enables the color raster pixels to be masked by the seafloor age, and is especially useful when visualizing oceanic basin evolution. Time-dependent rasters, for instance of modeled paleo-bathymetry (Müller et al., 2008), lack the detail of present-day grids but add the dimension of time. These rasters are classified as “time-dependent” as they represent a series of snapshots at different geological times, enabling GPlates to load the relevant global or regional raster field. However, time-dependent rasters are tied to a particular plate model. Other examples of time-dependent rasters include the output of geodynamic models of mantle convection, with time-dependent rasters of dynamic topography or mantle temperature at depth. GPlates2.1 is bundled with a time-dependent raster of age-coded subducted slabs, represented by fast seismic velocities in tomographic models of the mantle. The bundled rasters use the global *P* wave model of Li et al. (2008) and assume a constant vertical sinking rate of 3 and 1.2 cm/yr in the upper and lower mantles, respectively. This tomography model is also provided as a 3-D scalar field, but for the Southeast Asia region in order to reduce the file size of the sample data. The sample data provide an overview of what vector, raster, and scalar field data can be loaded by the user in GPlates, as well as how such data are structured and stored in the file system. GPlates compatible data are available from <https://www.earthbyte.org/gplates-2-0-software-and-data-sets>.

## 15. Future Outlook

Future development of GPlates includes improvements to volume visualization, globe viewing, and symbology as well as the use of the Open Compute Language (OpenCL) to transform gridded data on the fly. Currently, only one data volume for a given reconstruction time can be imported, while in the future, this functionality will be extended to handle time-dependent scalar fields, for instance allowing the importing of time-dependent mantle volume outputs from geodynamic models. Globe viewing will be extended to include camera tilts, and the symbology used to render points and lines will be extended to include appropriate symbols for different plate boundaries and properties of point data (such as lithology symbols). Users will also be able to apply equations to rasters using OpenCL, for instance to convert seafloor age to depth. Future development will also include vertical rasters, for instance representing imported seismic cross sections, which may appear as “pop-ups” orthogonal to the globe surface, as well as variable altitude rasters, that is, visualizing a global raster at altitudes above or below the surface of the Earth. We welcome input about improved functionality from the growing community of users via our “GPlates-discuss” mailing list (subscription here: <https://mailman.sydney.edu.au/mailman/listinfo/gplates-discuss>).

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