# Measuring Phonons with Neutrons

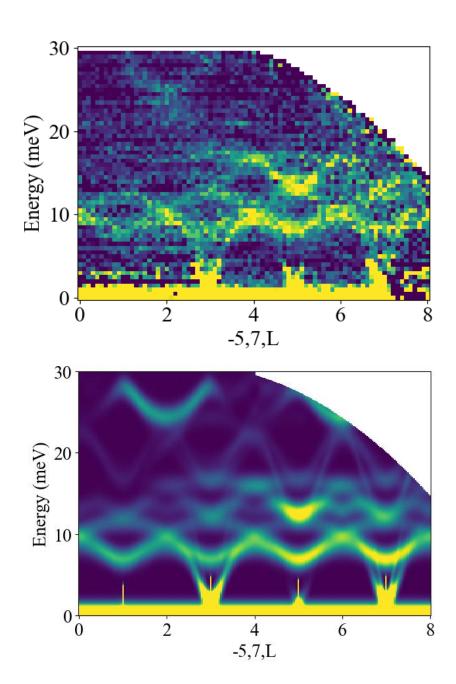
D. J. Voneshen – Excitations training course 7/6/2024



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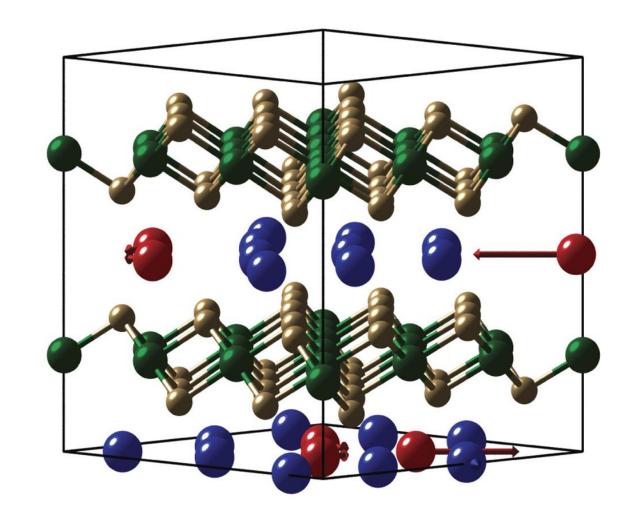
- What and why phonons?
- One phonon cross section
- Measuring single crystals
- Measuring powders
- Incoherent approximation





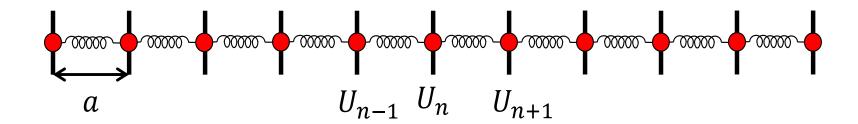
#### Why Phonons?

- Not just background!
- Lattice dynamics is important for
  - Bonding
  - Heat transfer
  - Phase transitions
  - Some superconductors





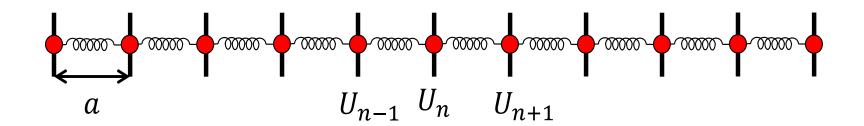
#### Phonons an overview



- We are going to do everything within the harmonic approximation.
- So, forces on atom n if displaced in x is

$$F = k(U_{n+1} - 2U_n + U_{n-1}).$$

#### Phonons an overview

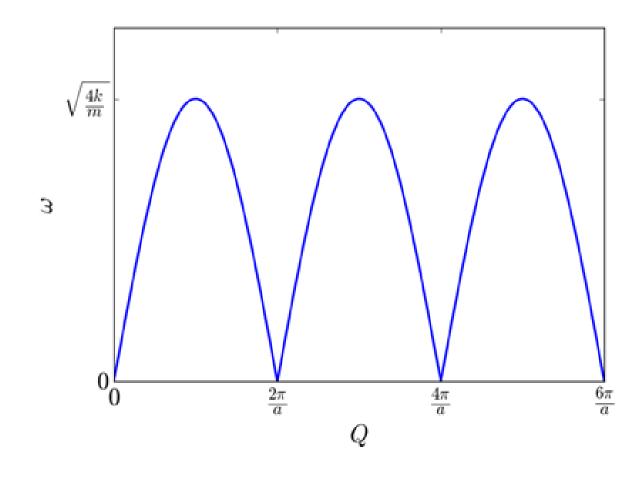


- We are going to do everything within the harmonic approximation.
- So, forces on atom n if displaced in x is  $F = k(U_{n+1} 2U_n + U_{n-1}).$
- $F = ma = m\ddot{U}$ . This is a second order differential, if we try  $U = Ae^{-i(Qx_n \omega t)}$ , and work through it

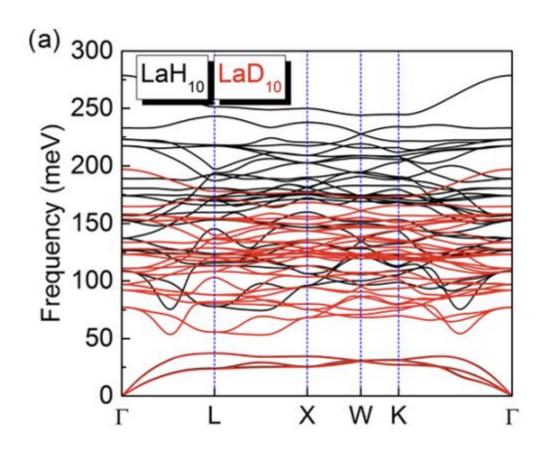
### Phonons, an overview

• 
$$\omega = \pm \sqrt{\frac{4k}{m}} \sin\left(\frac{Qa}{2}\right)$$
.

- As we saw in the first practical, our maximum frequency is related to the spring constant and mass.
- So strong bonds, high frequency.
- Light isotopes also are at high energy.



### Phonons, an overview



### Now to neutrons!



$$I(\vec{Q}, E) = \frac{N\hbar}{2} \sum_{\nu} \frac{1}{\omega(\vec{Q}, \nu)} \left| \sum_{j} \frac{b_{j}}{m_{j}^{1/2}} [\vec{Q} \cdot \vec{e_{j}}(\vec{k}, \nu)] e^{i\vec{Q} \cdot \vec{R_{j}}} T_{j}(\vec{Q}) \right|^{2} \times \left( \frac{[n(\omega(\vec{Q}, \nu), T) + 1]\delta(E + \hbar\omega(\vec{Q}, \nu)) + [n(\omega(\vec{Q}, \nu), T)]\delta(E - \hbar\omega(\vec{Q}, \nu))}{[n(\omega(\vec{Q}, \nu), T)]\delta(E - \hbar\omega(\vec{Q}, \nu))} \right).$$



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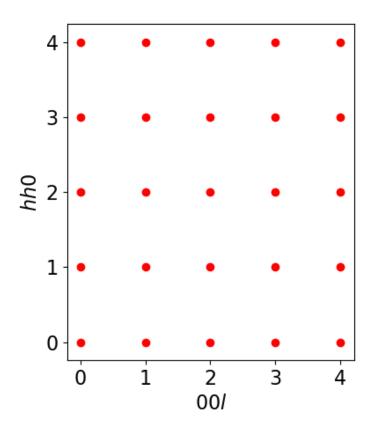


### One phonon scattering-key points

- Phonons are strongest when  $\vec{Q}$  is parallel to direction of atomic motion.
- Phonon intensity goes up with  $Q^2$ .
- Phonons are weaker at high energy.
- Strong Bragg reflections often have strong phonons around them.
- Phonons can be stronger at high temperature (but care needed here).



## Measuring a longitudinal acoustic phonon

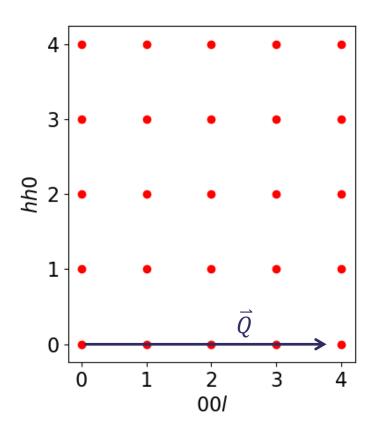


We want to measure a longitudinal phonon along *OOL*.

Which peak would be best to measure around?



## Measuring a longitudinal acoustic phonon

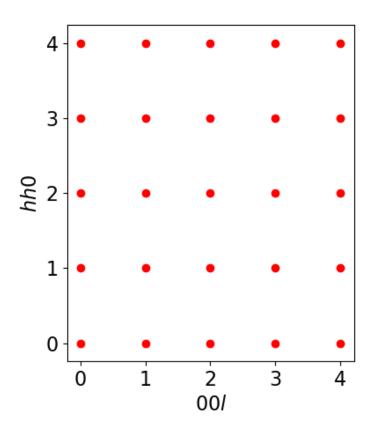


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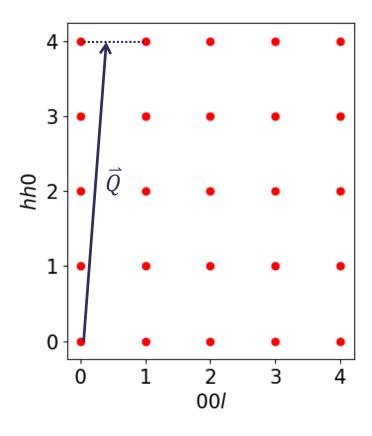
## Measuring a transverse acoustic phonon



What about a transverse phonon along 00l?



## Measuring a transverse acoustic phonon

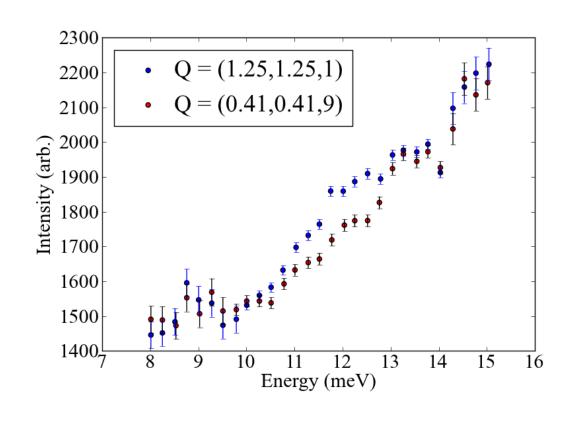


What about a transverse phonon along 00l?



### Exploiting $Q \cdot e$ , an example

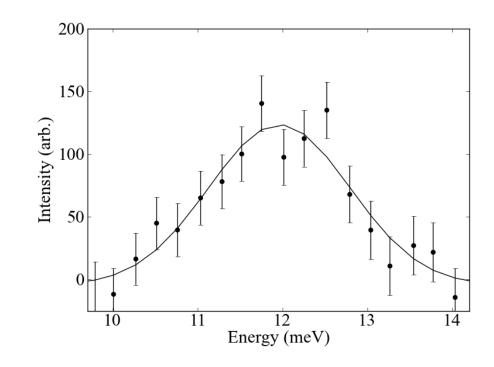
- We were looking for a phonon around 12 meV.
- However, the background from the cryostat/mount was huge.
- Rotate sample 90°.
   Suppresses, phonon but background unchanged





### Exploiting $Q \cdot e$ , an example

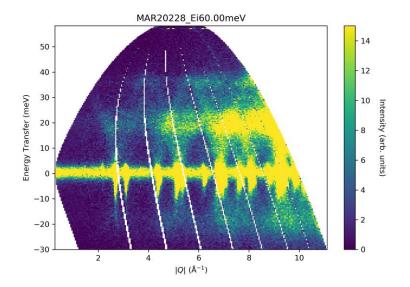
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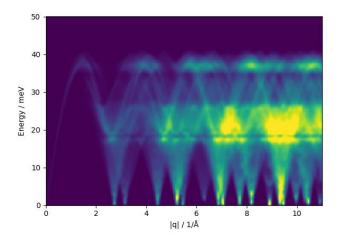




#### Powders!

- Good news, powder experiments are simpler!
- Much simpler.
- With them we can extract the neutron weighted phonon density of states.
- But, going beyond that is hard.



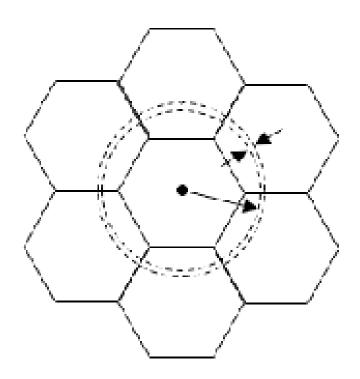




### Powders, what are we doing?

- We are averaging over a sphere at some |Q|.
- So, for small values of |Q| we are covering just a few (or even 1) Brillouin zones.
- But, for large |Q| we are covering many zones, essentially capturing everything in 1 shot.
- This means for high |Q| we can no longer see the effect of  $Q \cdot e$  and the signal is the same as incoherent scattering.

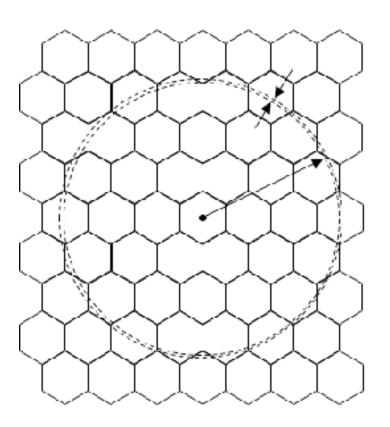




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## The neutron weighted phonon density of states

$$PDOS_{neut}(E) = A \sum_{j} \left(\frac{b_j^2}{m_j}\right) PDOS_j(E),$$

- We normally correct the data for the effects of Bose statistics,  $1/\omega$  and  $Q^2$ .
- Then, in the incoherent approximation, the real Phonon Density of States (PDOS) is related to the PDOS we see via the above.
- This means we cannot obtain the true PDOS for anything other than a monoatomic system.



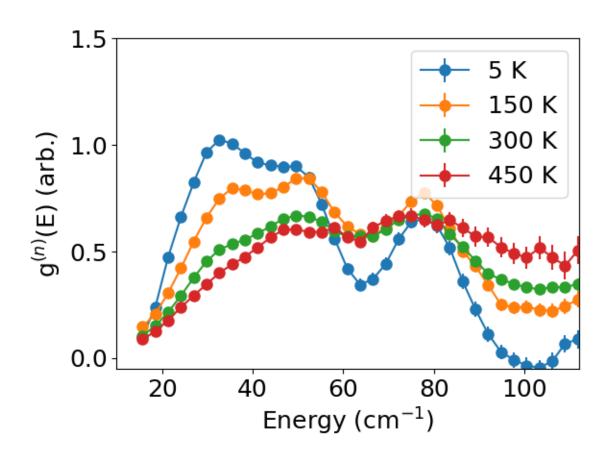
### Beyond the harmonic approximation

This is where all the interesting stuff happens

- But, it gets tricky fast.
- Phonon-phonon scattering (broadening)
- Multiphonon signals (signal above the top of the dispersion)



### Beyond the harmonic approximation







# Thankyou







