### **Context-Free Grammars**

 $(V, \Sigma, R, S)$ 

V: non-terminal symbols

 $\Sigma$ : terminals  $V \cap \Sigma = \emptyset$ 

R: productions R:  $V \rightarrow (V \cup \Sigma)^*$ 

S: start symbol

## Example

```
V = \{ q, f \} \Sigma = \{ 0, 1 \} R = \{ q \rightarrow 11q, \ q \rightarrow 00f, \ f \rightarrow 11f, \ f \rightarrow \epsilon \} \{ q \rightarrow 11q \mid 00f, \ f \rightarrow 11f \mid \epsilon \} S = q
```

#### Derivation

- If  $A \rightarrow B$ , then  $xAy \Rightarrow xBy$  (xAy yields xBy)
- If  $s \Rightarrow \cdots \Rightarrow t$ , then  $s \Rightarrow^* t$ .
- $x \text{ in } \Sigma^* \text{ is generated by } (V,\Sigma,R,S) \text{ if } S \Rightarrow^* x.$
- $G = (V, \Sigma, R, S), L(G) = \{ x \mid S \Rightarrow^* x \}.$

# Example

- G = ({S}, {0,1}. {S  $\rightarrow$  0S1 |  $\epsilon$  }, S)
- ε in L(G) since S □ ε
- 01 in L(G) since S □ 0S1□ 01
- 0011 in L(G) since

- $0^n 1^n$  in L(G) since  $S \Rightarrow 0^n 1^n$
- $L(G) = \{0^n 1^n | n \ge 0\}$

# Context-Free Language

A language L is context-free if L = L(G) for some CFG G

#### If L is regular, then L = L(G) for some CFG G

Let L=L(M) for finite automaton M=( $\Sigma$ , F, Q,  $\delta$ )

Consider the regular grammar  $G = (V, \Sigma, R, S)$ 

$$V = Q$$

$$\Sigma = \Sigma$$

$$R = \{ q \rightarrow xq' \mid \delta(q,x) = q' \} \cup \{ f \rightarrow \epsilon \mid f \text{ in } F \}$$

$$S = i$$

$$S \mathbin{\square} x_1 q_1 \mathbin{\square} x_1 x_2 q_2 \mathbin{\square} \cdots \mathbin{\square} x_1 \dots x_n f \mathbin{\square} x_1 \dots x_n$$

# Regular Grammars

A regular grammar is a CFG (V, Σ, R, S) where every rule has the form

$$V \rightarrow \Sigma^*(V+\epsilon)$$

Every regular language is generated by a regular grammar (previous slide)

# Regular grammars generate regular languages

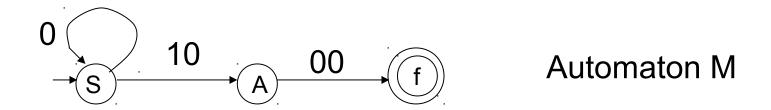
Consider regular grammar  $G = (V, \Sigma, R, S)$ 

Construct a directed graph with vertices VU {f}:

For each rule  $A \to xB$ , where x in  $\Sigma^*$  and B in V, draw edge  $A \stackrel{X}{\to} B$ .

For each rule  $A \rightarrow x$ , where x in  $\Sigma^*$ , Draw edge  $A \xrightarrow{x} f$ 

#### Example $G = (\{S,A\}, \{0,1\}, \{S \rightarrow 0S \mid 10A, A \rightarrow 00\}, S)$



There is a path from S to f consuming input x

$$L(G) = L(M)$$

#### CFL closed under concatenation

Let 
$$A = L(G_A)$$
 and  $B = L(G_B)$ ,

$$G_A = (V_A, \Sigma_A, R_A, S_A)$$
  
 $G_B = (V_B, \Sigma_B, R_B, S_B)$ 

Assume  $V_A \cap V_B = \emptyset$ .

Consider  $G = (V, \Sigma, R, S)$ ,

V = Va U Vb U {S}  

$$\Sigma = \Sigma_A U \Sigma_B$$
  
R = Ra U Rb U {S  $\rightarrow$  SaSb }

#### CFL closed under union

A = L(GA), B = L(GB),

$$GA = (VA, \Sigma A, RA, SA)$$

$$GB = (VB, \Sigma B, RB, SB)$$

where  $VA \cap VB = \emptyset$ 

Consider G = (V, \Sigma, R, S),

$$V = VA \cup VB \cup \{S\}$$

$$\Sigma = \Sigma A \cup \Sigma B$$

$$R = RA \cup RB \cup \{S \rightarrow SA \mid SB\}$$

#### CFL closed under Kleene closure

Let L = (G) where G = (V,  $\Sigma$ , R, S)

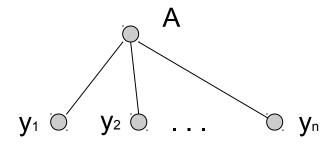
Consider  $G^* = (V, \Sigma, R^*, S)$ ,

 $R^* = R U \{ S \rightarrow \varepsilon \mid SS \}.$ 

#### Parse Trees

A vertex labeled with a nonterminal is a parse tree

If  $A \rightarrow y_1y_2 \dots y_n$  is a production, then



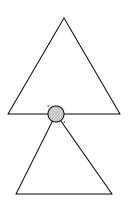
is a parse tree

If  $A \rightarrow \epsilon$  is a production, then



is a parse tree

If a leaf of a parse tree is the root of some other parse tree, then their union is a parse tree

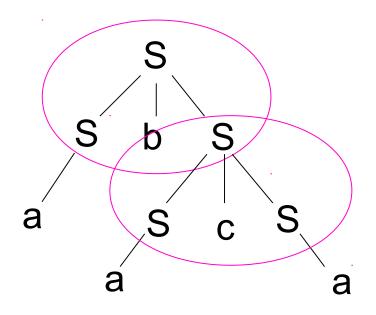


Nothing else is a parse tree

### Every derivation has a parse tree

Let  $G = (\{S\}, \{a, b, c\}, R, S)$ , where  $R = \{S \rightarrow SbS \mid ScS \mid a\}$ 

S ⇒ SbS ⇒ SbScS ⇒ abScS ⇒ abSca ⇒ abaca



A parse tree may correspond to multiple derivations

S ⇒ SbS ⇒ SbScS ⇒ SbSca ⇒ abSca ⇒ abaca

has the same parse tree

# Each parse tree corresponds to exactly one leftmost derivation

A leftmost derivation

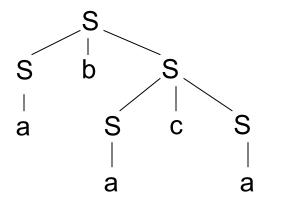
is obtained by applying at each step some production to the leftmost nonterminal symbol

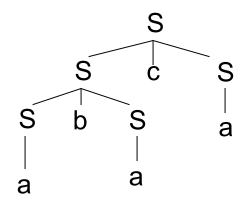
S ⇒ SbS ⇒ abS ⇒ abScS ⇒ abacS ⇒ abaca

#### **Ambiguous CFG**

A CFG is *ambiguous* if some string has more than one parse tree

 $G = (\{S\}, \{a, b, c\}, \{S \rightarrow SbS \mid ScS \mid a\}, S)$  is ambiguous because abaca has two parse trees





### **Parsing**

w in (V U  $\Sigma$ )\* is a *left sentential form* if S  $\Longrightarrow_{\mathbb{L}}^*$  w.

The *leftmost graph* g(G) is defined as follows: The vertex set is the set of all left sentential forms There exists directed edge (x, y) if  $x \Rightarrow_i y$ 

A breadth-first construction of g(G) might (termination is an issue) yield a derivation for a string (if one exists)

#### Greibach normal form

All productions are of the form  $A \rightarrow a x$ Where a in  $\Sigma$ , and x in V\*

If CFL L does not contain the empty string, then L is generated by a CFG in G-NF

A breadth-first construction of g(G) will (termination is no issue) yield a derivation for a string (if one exists)

### Chomsky normal form

All productions are of the forms  $A \rightarrow BC$ , or  $A \rightarrow a$ Where a in  $\Sigma$ , and B,C in V

If CFL L does not contain the empty string, then L is generated by a CFG in C-NF

A breadth-first construction of g(G) will (termination is no issue) yield a derivation for a string (if one exists)

#### CYK (Cocke, Younger, Kasami) algorithm

Given G in C-NF and w in  $\Sigma^*$ , decide if w in L(G) in O( $|w|^3$ ) time

Given  $w = a_1 \dots a_n$ , define

$$V_{ij} = \{ A \text{ in } V \mid A \Rightarrow^* w_{ij} = a_i \dots a_j \}$$

Note that w in L(G) if and only if S in V<sub>1n</sub>

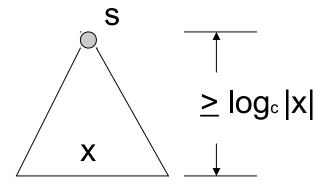
• A in  $V_{ii}$  if and only if  $A \rightarrow a_i$ 

• For j > i,  $A \Rightarrow^* w_{ij}$  if and only if  $A \rightarrow BC$ ,  $B \Rightarrow^* w_{ik}$ ,  $C \Rightarrow^* w_{k+1j}$ That is,  $V_{ij} = \bigcup_{i \le k < j} \{A \mid A \rightarrow BC, B \text{ in } V_{ik}, C \text{ in } V_{k+1j}\}$ 

### Pumping Lemma

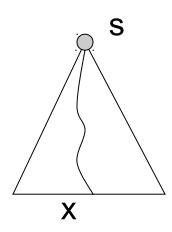
Let G = (V,  $\Sigma$ , R, S) be a CFG, and let c be such that for every production A  $\rightarrow$  w,  $|w| \le c$ 

Consider a parse tree T for x in L(G),



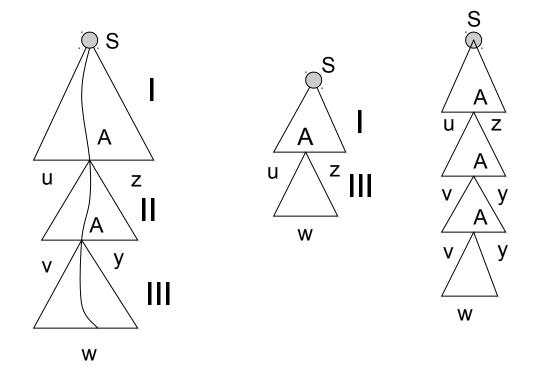
If 
$$|x| > K = c^{|v|+1}$$
, then T has depth >  $|V|+1$ 

Hence some path from root to a leaf contains more than |V| variables



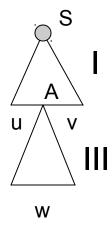
Therefore, some nonterminal A appears twice on the path; consider a path with lowest such A

#### Decompose T into subtrees I, II, III



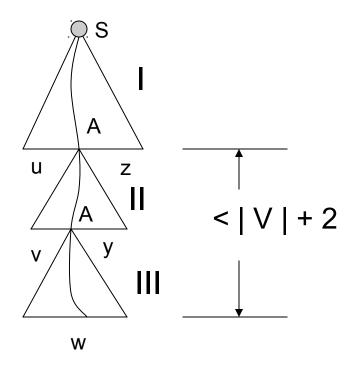
Correspondingly, x = uvwyzand  $uv^iwy^iz$  is in L(G) for any  $i \ge 0$  If T is a parse tree for x having minimum number of nodes, then  $vy \neq \varepsilon$ 

If  $vy = \varepsilon$ , then the following is a parse tree for x



having fewer nodes than T

#### A is a lowest repeated nonterminal



Subtree II u III has at most K leaves and depth < |V|+2 (otherwise A is not the lowest repeated nonterminal)

#### Pumping Lemma:

For any CFL L, there exists a constant K such that all x in L with |x| > K can be expressed as x = uvwyz such that

- (1)  $vy \neq \varepsilon$ ,
- (2) for any  $i \ge 0$ ,  $uv^i w y^i z$  is in L,
- (3) |vwy| < K

#### CFL not closed under intersection

A = 
$$\{a^{m}b^{m}c^{n}| m, n \ge 0\}$$
  
B =  $\{a^{m}b^{n}c^{n}| m, n \ge 0\}$ 

A and B are CFL, but

$$A \cap B = \{a^n b^n c^n | n \ge 0\}$$
 is not

#### CFL not closed under complement

# Deterministic Pushdown Automata

 $(Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$ 

Q: states (finite set)

Σ: *input alphabet* (finite set)

Γ: stack symbols (finite set)

δ: Q x (Σ U {ε}) x Γ → Q x Γ\* (transition function)

q<sub>0</sub>: start state

z<sub>0</sub>: initial stack top symbol

F: accepting states

# Pushdown Automata (nondeterministic)

$$\delta(q,a,x) = \{(q',\beta),...\}$$

q: current state

a: input symbol (ε transitions allowed)

x: current stack top

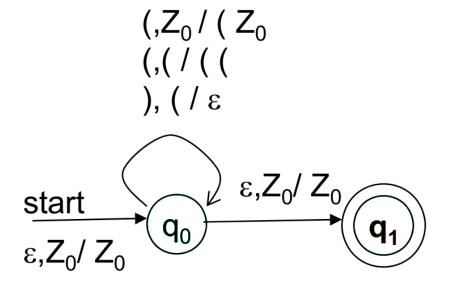
q': next state

β: replacement for x (top at left, β = ε for pop)

# Transition diagram

$$V = Q$$
;  $q_0 = \longrightarrow$ ,  $f = \bigcirc$  for  $f \in F$ )

$$E = \{ q \xrightarrow{a, x/\beta} q' \mid (q', \beta) \in \delta(q, a, x) \}$$



# Instantaneous Description

 $(q, w, \alpha)$ 

q: current state

w: remaining input

α: stack contents (top at left)

Id → Id' if instantaneous description Id could change to Id' in one move of the PDA

 $Id \rightarrow^* Id'$  (zero or more moves)

# The language L(P) of PDA P

w such that  $(q_0, w, z_0) \rightarrow^* (f, \varepsilon, \alpha)$  for some final state f

$$(q_0, ((())())(), Z_0) \rightarrow (q_0, (())())(), (Z_0) \rightarrow (q_0, ())())(), ((Z_0) \rightarrow (q_0, ())())(), (((Z_0) \rightarrow (q_0, ())(), ((Z_0) \rightarrow (q_0, ())(), (Z_0) \rightarrow (q_0, ())(), (Z_0) \rightarrow (Q_0, (), Z_0) \rightarrow (Q_0, (), Z_0) \rightarrow (Q_0, (), (Z_0) \rightarrow (Q_0, (), Z_0) \rightarrow (Q_0, (), Z_0) \rightarrow (Q_0, (), (Z_0) \rightarrow (Q_0, (), Z_0) \rightarrow (Q_0, Z_0) \rightarrow (Q_0,$$

w such that  $(q_0, w, z_0) \rightarrow^* (q, \varepsilon, \varepsilon)$  for some state q

# Accept: empty stack or final state

#### P' simulates P:

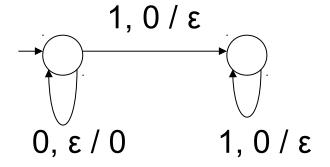
- When P accepts, P' empties its stack
- P' avoids emptying stack prematurely (use special stack-bottom marker)

#### P simulates P':

- If P' would accept by empty stack...
- ...then P moves to accepting state

### Accept by empty stack

L= 
$$\{0^n 1^n \mid n \ge 0\}$$



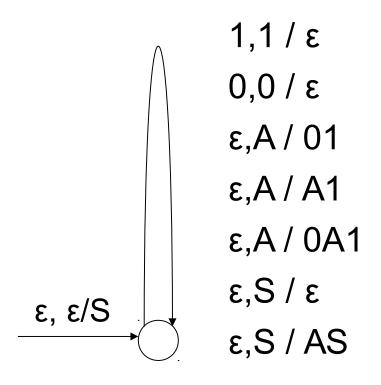
initialization: empty stack

special notation: push

# CFG $(V,\Sigma,R,S)$ to PDA (top-down)

```
\begin{array}{l} (Q,\, \Sigma,\, \Gamma,\, \delta,\, q_0,\, z_0,\, F) \\ Q\colon \{q\} \\ \Sigma\colon \Sigma \\ \Gamma\colon V\, \cup\, \Sigma \\ \delta(q,\epsilon,A) = \{(q,\alpha)\mid A \rightarrow \alpha \text{ in R}\} \ \textit{rewrite variables (store on stack)} \\ \delta(q,a,a) = \{(q,\epsilon)\mid a \text{ in }\Sigma\} \qquad \textit{match input (with derivation on stack)} \\ q_0\colon q \\ z_0\colon S \end{array}
```

F: not applicable; accept by empty stack



G = ({S,A}, {0,1}, {S  $\rightarrow$  AS| $\epsilon$ , A $\rightarrow$  0A1|A1|01}, S)

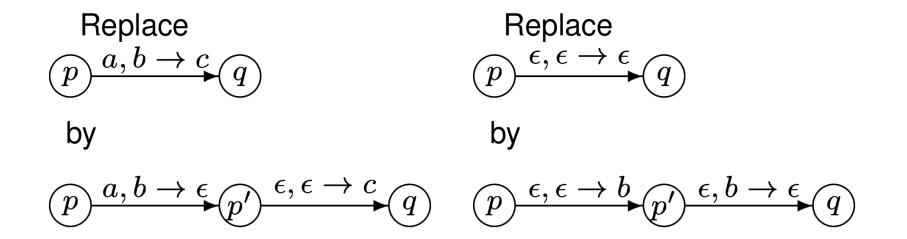
# PDA to CFG

$$P = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$$

F:  $\{q_a\}$  (if not, then  $\delta(q,\epsilon,\epsilon) = \{(q_a,\epsilon)\}$  for all q in F)

P empties its stack before accepting (modify  $\delta$  if necessary)

δ: a single symbol is either pushed or popped



G has (for each pair p,q of states of P) a variable  $A_{pq}$  that generates all strings which can take P from p with an empty stack to q with an empty stack

G has start symbol  $A_{q_0 q_a}$ ; the language of G is therefore the language accepted by P

On input w, P must first push (since each move is either push or pop, and the stack is initially empty) and P must have last move pop (because the stack returns to empty)

During the computation on w, either:

- a) The first symbol pushed is the last popped
- b) The stack is emptied during the computation (when the first symbol is popped)

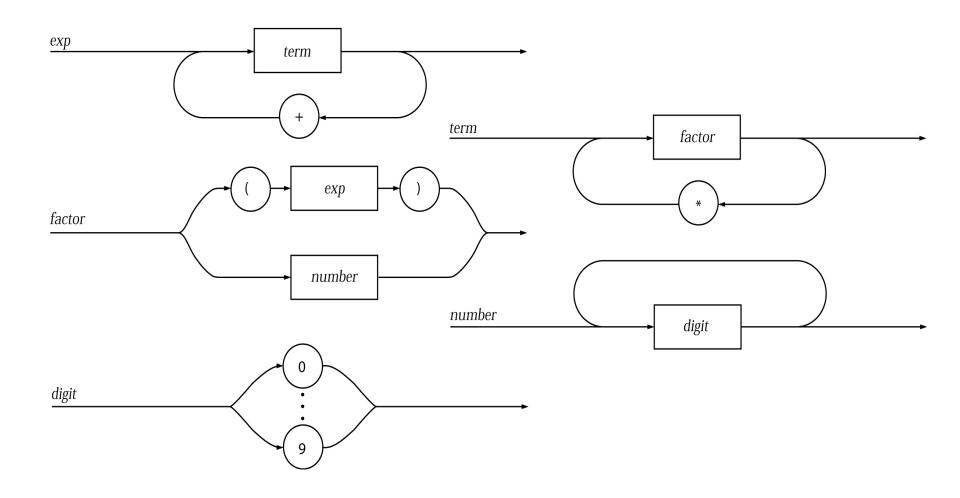
Case a: the computation of P on input w is simulated by  $A_{pq} \rightarrow a A_{rs}$  b where a is the input symbol read at the first move (from state p), b is the symbol read at the last move (to state q), r is the state following p, and s is the spate preceding q

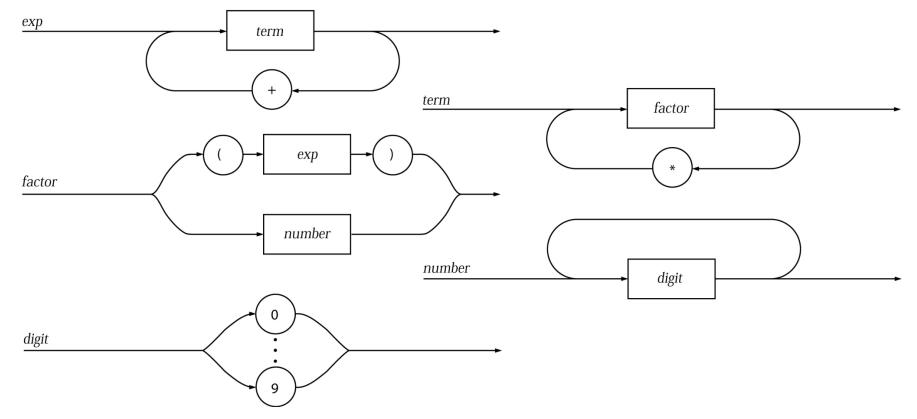
Case b: the computation of P on input w is simulated by A  $_{pq} \rightarrow$  A $_{pr}$  A $_{rq}$  where r is the state where the stack becomes empty

## **BNF** Notations

- Variables: left-hand-side or delimited by < >
- Terminals: boldface, underlined, or quoted
- ::= or = abbreviates →
- abbreviates "one or more" (as does + )
   (replace β... with variable V, add productions V → Vβ|β)
- \* abbreviates zero or more
   (replace β\* with variable V', add productions V' → V'β|ε)
- Symbols delimited by [ ] are optional (replace [β] with variable V", add productions V" → β|ε)
- Symbols delimited by { } or ( ) are treated as a unit (replace {β} with variable V"', add productions V"' → β)

# Syntax diagrams





### Syntax diagram to BNF

$$\langle exp \rangle$$
 ::=  $\langle term \rangle [+\langle term \rangle]^*$   
 $\langle factor \rangle$  ::=  $\{ (\langle exp \rangle) \} | \langle number \rangle$   
 $\langle digit \rangle$  ::=  $\mathbf{0} | \mathbf{1} | \mathbf{2} | \mathbf{3} | \mathbf{4} | \mathbf{5} | \mathbf{6} | \mathbf{7} | \mathbf{8} | \mathbf{9}$   
 $\vdots$ 

$$\langle exp \rangle ::= \langle term \rangle \left[ + \langle term \rangle \right]^*$$

$$\langle factor \rangle ::= \left\{ \left( \langle exp \rangle \right) \right\} \left| \langle number \rangle \right.$$

$$\langle digit \rangle ::= \mathbf{0} \left| \mathbf{1} \right| \mathbf{2} \left| \mathbf{3} \right| \mathbf{4} \left| \mathbf{5} \right| \mathbf{6} \left| \mathbf{7} \right| \mathbf{8} \left| \mathbf{9} \right|$$

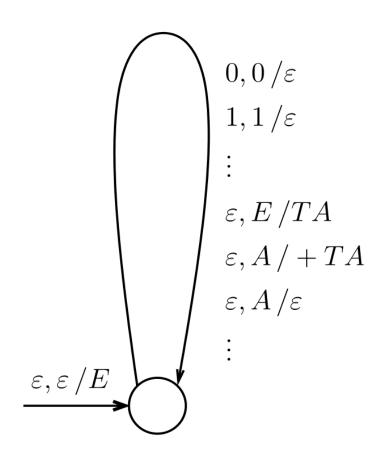
$$\vdots$$

BNF to CFG

$$\begin{array}{ccc} E & \longrightarrow & T A \\ A & \longrightarrow & + T A \mid \varepsilon \\ N & \longrightarrow & DN \mid D \\ \vdots \end{array}$$

$$\begin{array}{ccc} E & \longrightarrow & T \, A \\ A & \longrightarrow & + T \, A \mid \varepsilon \\ N & \longrightarrow & D N \mid D \\ \vdots \end{array}$$

CFG to PDA



#### PDA to CFG

First transform the PDA into an equivalent PDA N having the following properties:

- 1. There is a single accept state  $q_a$ .
- 2. The stack is emptied before accepting.
- 3. Each transition either pushes a single symbol or else pops a single symbol.

Type 1: for every state p of N,

$$A_{p,p} \longrightarrow \varepsilon$$

Type 2: for all states p, q, r of N,

$$A_{p,q} \longrightarrow A_{p,r}A_{r,q}$$

Type 3: if N has a transition of the form

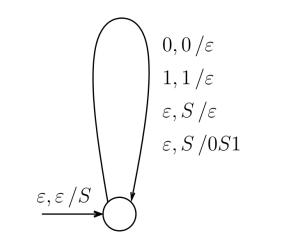
$$\underbrace{p \xrightarrow{a,\varepsilon/c} r}_{\text{push } c} \text{ and } \underbrace{s \xrightarrow{b,c/\varepsilon} q}_{\text{pop } c}$$

then

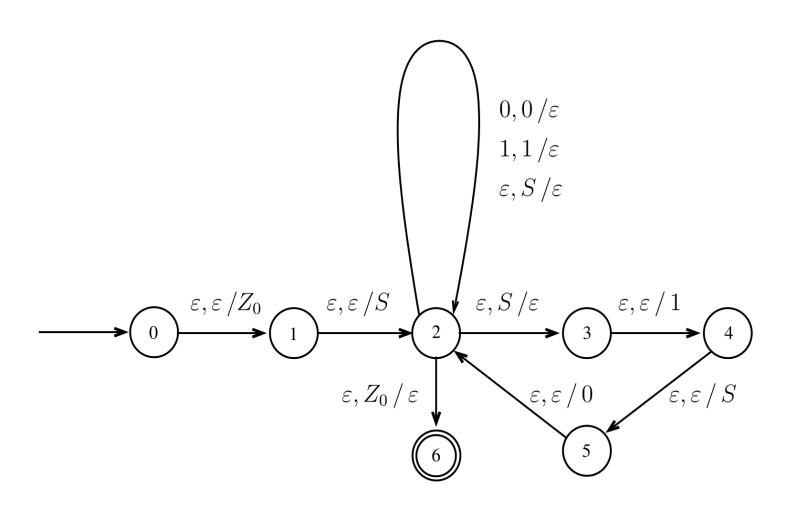
$$A_{p,q} \longrightarrow aA_{r,s}b$$

The start symbol is  $A_{q_0,q_a}$  (where  $q_0$  is the start state and  $q_a$  is the accepting state), since the symbols  $A_{p,q}$  generate input corresponding to moving from p to q beginning and ending with an empty stack.

Consider the following example which begins with an empty stack and accepts by empty stack.



An equivalent PDA N having the three required properties is



Since  $A_{p,q}$  generates input corresponding to moving from p to q beginning and ending with an empty stack, it follows that

$$\emptyset = A_{0,1} = A_{0,2} = A_{0,3} = A_{0,4} = A_{0,5}$$

$$= A_{1,0} = A_{1,4} = A_{1,5} = A_{1,6}$$

$$= A_{2,0} = A_{2,1} = A_{2,3} = A_{2,4} = A_{2,5} = A_{2,6}$$

$$= A_{3,0} = A_{3,1} = A_{3,4} = A_{3,5} = A_{3,6}$$

$$= A_{4,0} = A_{4,1} = A_{4,5} = A_{4,6}$$

$$= A_{5,0} = A_{5,1} = A_{5,3} = A_{5,4} = A_{5,6}$$

$$= A_{6,0} = A_{6,1} = A_{6,2} = A_{6,3} = A_{6,4} = A_{6,5}$$

The first line above follows from the observation that  $Z_0$  would be left on the stack. More generally, all instances of the form  $A_{p,q}$  where  $p \neq q$  and  $q \in \{1,4,5\}$  are empty because moving to q necessarily pushes something on the stack. Some instances of  $\emptyset = A_{p,q}$  follow from the observation that arrows can be traversed only in the indicated direction (in particular, that accounts for the last line above). Other instances of  $\emptyset = A_{p,q}$  follow from the observation that moving to q requires popping something which could not be top of stack (in particular, that accounts for  $\emptyset = A_{1,6} = A_{2,3}$ ).

Using the above to simplify Type 1 and 2 productions,

$$A_{3,3} \rightarrow A_{3,0}A_{0,3} \mid A_{3,1}A_{1,3} \mid A_{3,3}A_{3,3} \mid A_{3,4}A_{4,3} \mid A_{3,5}A_{5,3} \mid A_{3,6}A_{6,3} \mid \varepsilon$$

$$= A_{3,3}A_{3,3} \mid \varepsilon$$

$$A_{4,2} \rightarrow A_{4,0}A_{0,2} \mid A_{4,1}A_{1,2} \mid A_{4,2}A_{2,2} \mid A_{4,3}A_{3,2} \mid A_{4,4}A_{4,2} \mid A_{4,5}A_{5,2} \mid A_{4,6}A_{6,2}$$

$$= A_{4,2}A_{2,2} \mid A_{4,3}A_{3,2} \mid A_{4,4}A_{4,2}$$

$$A_{4,4} \rightarrow A_{4,0}A_{0,4} \mid A_{4,1}A_{1,4} \mid A_{4,2}A_{2,4} \mid A_{4,3}A_{3,4} \mid A_{4,4}A_{4,4} \mid A_{4,5}A_{5,4} \mid A_{4,6}A_{6,4} \mid \varepsilon$$

$$= A_{4,4}A_{4,4} \mid \varepsilon$$

$$A_{5,2} \rightarrow A_{5,0}A_{0,2} \mid A_{5,1}A_{1,2} \mid A_{5,2}A_{2,2} \mid A_{5,3}A_{3,2} \mid A_{5,4}A_{4,2} \mid A_{5,5}A_{5,2} \mid A_{5,6}A_{6,2}$$

$$= A_{5,2}A_{2,2} \mid A_{5,5}A_{5,2}$$

$$\begin{array}{lll} A_{5,5} & \to & A_{5,0}A_{0,5} \,|\, A_{5,1}A_{1,5} \,|\, A_{5,2}A_{2,5} \,|\, A_{5,3}A_{3,5} \,|\, A_{5,4}A_{4,5} \,|\, A_{5,5}A_{5,5} \,|\, A_{5,6}A_{6,5} \,|\, \varepsilon \\ & = & A_{5,5}A_{5,5} \,|\, \varepsilon \end{array}$$

$$A_{6,6} \rightarrow A_{6,0}A_{0,6} \mid A_{6,1}A_{1,6} \mid A_{6,2}A_{2,6} \mid A_{6,3}A_{3,6} \mid A_{6,4}A_{4,6} \mid A_{6,5}A_{5,6} \mid A_{6,6}A_{6,6} \mid \varepsilon$$

$$= A_{6,6}A_{6,6} \mid \varepsilon$$

### Type 3 productions are

 $Z_0: A_{0,6} \to \varepsilon A_{1,2} \varepsilon$ 

 $S: A_{1,2} \to \varepsilon A_{2,2} \varepsilon, A_{1,3} \to \varepsilon A_{2,2} \varepsilon, A_{4,2} \to \varepsilon A_{5,2} \varepsilon, A_{4,3} \to \varepsilon A_{5,2} \varepsilon$ 

 $0 : A_{5,2} \to \varepsilon A_{2,2} 0$ 

 $1 : A_{3,2} \rightarrow \varepsilon A_{4,2} 1$ 

Collecting productions and simplifying,

Therefore, the CFG is

$$S \rightarrow A1 \mid \varepsilon$$

$$A \rightarrow 0A1 \mid 0$$

and the corresponding CFL is

$$\{0^n 1^n \mid n \in \mathbb{Z}^{\geq 0}\}$$