

# A LEXANDER G ROTHENDIECK

Descent technique and existence theorems in algebraic geometry. I. General. Descent by faithfully flat morphisms

N. Bourbaki Seminar, 1960, exp. n ° 190, p. 299-327

< http://www.numdam.org/item?id=SB 1958-1960 5 299 0 >

© Association of collaborators of Nicolas Bourbaki, 1960, all rights reserved.

Access to the Bourbaki seminar archives ( <a href="http://www.bourbaki. Ens.fr/">http://www.bourbaki. Ens.fr/</a>) implies agreement with the general conditions of use ( <a href="http://www.numdam.org/conditions">http://www.numdam.org/conditions</a>). Any commercial use or systematic printing constitutes a criminal offense. Any copy or print this file should contain the present mention of copyright.



 $Article\ digitized\ in\ the\ framework\ of\ the\ program\ Scanning\ of\ Documents\ ancient\ mathematics\ \underline{\ http://www.numdam.org/lease}$ 

seminar BOURBAKI Grade 12 , 1959/60, n ° 190

December 1959

TECHNIQUE OF DESCENT AND THEOREMS OF EXISTENCE IN ALIGNMENT ALGEBRIQUE

I.GENERAL. DESCENT BY FIDELY PIATS MORPHISMS by Alexander GROTHENDIECK

On the point of view technique, the present outlines, and those that it will result, can be considered ::: s as variations on the famous "Theorem 90" of Hilbert. The introduction of the method of descent in geometry algebraic seems due to A. Weil, under the name of "descentedu body of basis." WEIL is restraint of garlic

their in the case of finite separable body extensions. If extensions radicals cial of height 1 has been then treated by P. CARI'IER. For lack of the language of the schells, and more particularly for the lack of nilpotent elements in the rings which one allowed oneself to consider, the essential identity between these two cases could not have been formulated by CARI'IER.

A time current, it seems qua art General descent to be exposed e (attached e l e ca s echean t at x theorem s fondamentau x d e  $sa^{11}g$  eome t ri e fonnel-

the "see [3J) is in the base of the majority of the theorems of "existence in geometry

algebraic . I the convient de Noter d'ailleur sthat has the has saide technique little t certainly lies to transpose "geometrieanalytique", and it is hoped quain a proche future, the specialists will demonstrate las similar "analytical" of theorems of 'existenc in geometry fonnelle who will give in exposes II. in any case, the recants work of Kodaira-Spencer, including the methods seem in-

likely has the definition and the study of "variates of modules" in the vicinity of their

singular points, show clearly enough the need methods closest to the theory of schemes (which will complete course las technical trans cendantes).

In the present exhibits I, we treat the case of lowering the more elementary (indicated in the title). The applications of Theorems 1, 2, 3 below, are however already very numerous. We are terminals to give some way of example, without trying to give here the maximum of generality pos-sible.

We freely use the language of schemes, for which we refer At exposes already cited, as has [2]. Note, however, expressly qua the pre-schemes envisaged in the present exposes only are not necessarily Noetherian, and the

A. GROTHENDIECK

morphisms do are not necessarily of the type done. Thus, if A is a local Noetherian ring , of complete A, we will  $have\ to$  consider the non-Noetherian ring

 $1\,\mathrm{Ai}$  , and the morphisms of affine schemas corresponding to the inclusions between

the rings envisaged.

A. Preliminaries on the categories.

1. Categories fibered, data of descent, morphisms of ,: -descente.

at. DEFINITION 1.1. - A category fibered :J' of base C is FORMED a category C, for all XECa category :FX, for all-£ -morphism.

f: Xyof an functu: - r \*: J'...J not e also



for  $\mathfrak{t}(\mathsf{X}\,\mathsf{being}\,\mathsf{cqnsidere}\,\mathsf{as}\,\mathsf{an}\,\mathsf{"object}\,\mathsf{to}\,\mathsf{.}\,\mathfrak{t}.\,\mathsf{above}\,\mathsf{of}\,\mathsf{y}_{\,^{\parallel}\!\mathsf{i}}$ 

ie as endowed with mornhism f ), and finally for two composable morphisms

X -  $\mbox{\bf \pounds}.~y\,\mbox{\bf Z}\,,\mbox{of an isomorphism of functors}$ 

these data being subject to the following conditions

(i) id \* = id

(ii) cf, q identical

es t 1 is omo rphi **s** e identiqu e s i f

where g is an isomorphism

(iii)

As data three morphisms composable

, we have



commutativity in the diagram according isomorphism form the means of isomorphism of the form c



Example 1. - Let C a category or the products fibers exist, it defines then a category fibered ; f' of base £ \_. In posing :,; \_ = category of objects of C above for X , the functor  $f^*$ :.{corresp,; mdant has a mor- morphism f X-) Y being defined by the product fiber Z, vv \,) Z xyX •

EXAMPLE 2. - Let C be the category of pre-schemes, and for X  $\mathbf{f.\,\,\,\,\,\,\,\,\,\,\,\,\,\,}$  or the category of quasi-coherent sheaves of moduli on  $\mathbf{X} \cdot \text{If f}: X + Y$  is a morphism of pre-schemas, r " ': 'fy-- $\frac{1}{2}$  is the functor picture reverse

TECHNIQUE OF DESCENT, I

 $\underline{\text{of beams of modules}}$  . It gets as a category fibered of basic b.DEFINITION 1.2. - A diagram

C.

EE'

mappings of sets is said to be <u>exact</u> if u is a bijection from E on the part of E ' formed by  $\mathbf{x'E}$  E' such that v  $_1$  (x ') = v  $_2$  (x') •

DESTRUCTION 1.I. D'11

DEFINITION 1.J.-Either

diagram

fa category fibered of base

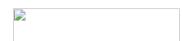
C, consider a



(+)

of morphisms in C such that o1..JJ  $_1$  =  $^{\circ}$  (.  $^{\circ}$  T0 this diagram is said : F-exact if

for any pair  ${f S}$  , I of elements of , the following diagram of sets of mappings



(+)

```
Hom (1,<sub>1</sub>)
   this. *
 Hom ( o(.
Cs)
                                                                                                                                                                                                                                                                                                            (or

\mathbf{Y} = \mathbf{"ft}_{1} = \mathbf{Ql.} \cdot \mathbf{1}_{2}
 are t right.
                      ^{\mathsf{D}} years this last diagram, we have identified ^{\mathsf{I}} grace has\,\mathtt{c} / 3i''' - ' to simplify.
 ,, .,/ • has
  (R_{\cdot,r_i}^R), \cdot =
                                                                         DEFINITION 1.4. - Let m{J}\!\!: a category fibered of base C us consider two
                   morphisms \mathbf{fi}_1, \boldsymbol{\rho}_2:S "- + S ' in C • Let • \mathbf{e}F; ,, . We call given
                   of re-gluing on ; ' (relative to the couple ( ,, \boldsymbol{a}_1, /\boldsymbol{J}_2 )), untisomorphism
                  de m{ft}; (5) su r/3; () . Si, , ?,,, His t provided s Chacu n a e Don-
                   nee of reattachment, a morphism u : t' --- r'?' in ' is said to be compatible
                                                                   with the data for pasting if it is commutative in the diagram as follows:
                       Within this way is, the objects of , provided a given of reattachment (relatively vely {\rm has}\, n_1 , {\rm j.3}_2) f rm then a
                                                                    category. If oC..:S ' S is a mor
             phism e te s that e d...13_1 = o < ../3_2, Alor s pou r tou t \mathbf{S} \in ,,1 ob j e t \cdot = \circ (*(!))
                                                                                    A. GROTHENDIECK
                    of eet having a given of gluing canonical, since
                    e n posan t encor e 
matheface = c(//=cl..fhas_2);
 d e more , s i u : 
matheface to the constant of the c
  ,r
JS,
   so
                   o (* (u): o <* ( ) - joe .. * ('7)
                    is a morphism in g;,,, consistent with the data of gluing canonical.
                    We thus obtain a <u>canonical functor</u> of the category in the category of objects of . r s, provided a given
                     reattachment relat1 tively to couples
                     (\mathrm{fi}_{\ 1} , oldsymbol{p}_{\ 2}). This said, we can also express the definition 3 saying that the
                    diagram (+) of said is said : f..exact if the preceding functor is "full
                    \texttt{men t f idele ".i.e. Set t a e equivalenc e d e l a class to e ave c a e sub-category of the category of objects}
                     . provided with a reattachment data relative to (fa _{1}, ft, _{2}).
                       DEFINITION 1.5. - We say that an <u>effective</u> (relatively to r;; L) If
                       • ( ) , with f:.
                        In the case where the diagram (+)
given to gluing on 🗾
  ( ' Equipped with this given is isomorphic to a
```

https://translate.googleusercontent.com/translate\_f

```
is : f '.. exact, the object {f t} of the definition
          precedent is therefore determined to a near unique isomorphism , and \underline{the\ category\ }:J'S
         is equivalente has the category of objects : ;; ,, mrmis a given of harvested LEMENT effective.
           vs. The case the most significant is the one or
         the j3i being the two projections P
 and p
 of S ^{1} x S ^{1} on these two fac-
          tors (we now assume that in C, the fiber products exist). We have
          then two terms necessary immediates to that given to recolla ent
          \cdot Lf: p ( ') -) p; (f) on an effective softe.
           We have
          (i)
          {\sf OR}\,6:S'S'XS\,S' designates the morphism diagonal, and {\sf OR}\, is aidentifies
          fl*p (\bullet) a (pi 6.)*(\bullet) =
          We have
          (ii)
                                                              TECHNIQUE OF DESCENT I
          or pij designs the projection canonical of S ' XS S' XS S' on the product par- tial of the i-th and j-th
          factor.
           DEFINITION 1.6.-We call given by descents on \bullet \epsilon', relative to the morphisms c(:S'-tS), a given of gluing on
          relatively the pair (p _1 , p _2 ) of the projections canonical | | | | XS | | | + | S | | , satisfying the
          conditions (i) and (ii) above.
                               DEFINITION 1.7.-A morphisms o < .: S' -, S is called a <u>morphisms of !</u> F'
          down if the diagram of morphisms
                                                           ss•s•xs•
         is :: F-exact (definition 1.3.); we said that is a morphism of =; a.escente.? strict side over any given of Dr.
         descent (definition 1.6.) on the subject of: \overline{J} ,
         is effective.
           This last requirement is also of Fagon more pictures to enunciate well: "It
         returns to the same 1 to be given a subject of , or an object fg, equipped, - - e given to descents.
                                                     Note that if a morphisms of : f..descent
                                                  sSS' (ie a morphism s such that
         me to u-down strict: if • £ _{5} , is tively has \ _{o} (,"hecomes" in effect from
o_{\,\{:\,S\,'\,S\,\,\text{adme}\,\,\,\text{t}\,\,\,\text{un}\,\,\,\text{e}\,\,\,\underline{\text{11secti}\,\,\,\,\,\,}\underline{\text{1M}}}
: (s = ids) then this is a morphis- having a given of descent re-
          d. It can present to Fagon more intuitive the notions preceding, in intro- duisant, for a parameter object T
         to C above of S, all
                                                           Homs (T, S') = S'(T)
         including the Elements will be designated by t , t ' , etc. An object t:.\bullet 6! being
         gives , has tou t t '=s'(T) corred alor s u n objet t ( ') de , that i will
         also i not e \mathbf{ft} . A e provides e d e recollemen t su r ' (relativemen t \mathsf{has}\,\mathsf{p}_1,\mathsf{p}_2)
         is then defined by the data , for all T on S, and any pair of points t , t ' ES'(T) , of an isomorphisms
                                               lft •, t: -+.
         (satisfying the requirements obvious to fonctoralite, for T variable). The conditions (i) and (ii) of 1.) are
         then written
         (ibis) for all T and all t f S ^{\prime} (T)
                                                                                                                                    A. GROTHENDIECK
                      190-06
```

https://translate.googleusercontent.com/translate\_f

```
Descent technique and existence theorems in algebraic geometry. I. General. Descent by faithfully flat morphisms
             (Iibis ) \ ft, t " = '-ft, t ' 'it • , t n, louse r tou t T e t t , t ' , t "E S '(T )
                        We see moreover that (iibis), by making t = t ' = t ".implies \mathbf{Lf}^2-I.P
                  or to , SINCE e {f L} i est aisomorphism e par hypothesis , the has relation (ibis) , which t t
         is therefore in fact a consequence of (ibis) (done (i) is a consequence of (ii)).
         But if we do assume more a priori the lft,t\,' of isomorphisms (i, e. That
         Lf: p(S')-)p; (S') are tun isomorphisms), alors (i i bis ) n'Involved e more
         necessarily (ibis); the combination of (ii bis) and (ia) involves however
         that the {}^{{}^{\prime}}\!f t, t'are the isomorphisms (because we will lft t ''-ft {}^{\cdot} t = {}^{{}^{\prime}}\!ft = id ,) {}^{{}^{\prime}}
         2. Exact diagrams and strict epimorphisms , morphisms of descents. Examples. a.DE FINISHING 2.1. - Let C be a
         category. A diagram.me
                                                          TT^{1}!!.1..+Tm
         of morphisms in Cis said - ' if for any Z \mathrm{E.}\,\pounds , the chart correspond ing applications ensemblist
                                               Hom (Z , T)-tHom (Z , T') Hom (Z , T'')
         is correct (definition 1.2.). We then say that T) is a kernel of the pair of morphisms
(T, \mathbf{o} <) (or by abuse of language,
(fi1'; 32).
          This nucleus is obviously determined up to single isomorphisms . If C is the cate- gory of sets, the definition
         preceding is consistent with the definition 1.2.
         It defines of way is dual accuracy of a chart
                                                        S S't ===, S 11
        of morphisms in _{\pm}; we then say that (S , of.) is a cokernel of the pair of morphisms (fl _{1} , p_{2})
          DEFINITION 2.2. - A morphisms o(:S '-> S ) is called a <u>strict epimorphism</u>, if it is an epimorphism, and if for all
         morphisms u: S • Z, the following necessary condition is also sufficient for u to factor in S • S -7Z for all S
         "E2_ and any pair of morphisms J_1, /J_2S "->S ' such that
         0 < .p_1 = "jJ_2, where n has auss i u /J_1 = u fis_2,
          If the fiber product S'x S'
exists, it is the same to say that the diagram
                                                          TECHNIQUE OF DESCENT I
       is exact, ie that S is a cokernel of the pair (p _{1} , p _{2} ) \cdot In any case, a cokernel morphism is a strict
       epimorphism . Note also that an epimorphism
       strict which is a monomorphism is an isomorphism. We leave to the reader to develop the dual notion of strict
         To clarify the relations between the notion of morphism of
. '. f-descent, and the
       notion of strict epimorphism , we again introduce the following definitions
         DEFINITION 2.3.-A morphism d...S '-is is called an epimorphism universal (resp one. Epi Jlorphisme! Strict
       universal) if for every T in S, the pro- Duit fiber T' = S ' XST exists, and the projection T ' T is an
       epimorphism (resp. A strict epimorphism ).
        In the very good categories (such <u>as</u> the category of sets, the category of beam sets on a space topological, the
       categories abelian, etc.) the four concepts of epijectivite thus introduced coincide; they are contra.ire all
       distinct in · category such as the category of pre-
       diagrams, OR the category of pre- diagrams above 1011D d^1 tm pN = SOh \&! n hOfi vlge d rttl
      S mftine if it is terminal 1 of S-schemas finished on S.
       DEFINITION 2.4. - A morphism and ..: S '-> S is called morphism of descent (resp. A morphism of down strict) if c 1
      is a morphism of .1: aescente
      (resp. a morphism of .1 "-ctescente strict) (cf. definition 1.7.), where :f' denotes the
      ca.t gory fibered of base C of the objects of C on the objects of C (No. 1, example 1).
        PROPOSAL 2.1. - If in C the product finished and the product fibers (finished) exist, then there is identity in C
      between morphisms of desceute, and epimor- phismes strict universal.
```

b. EXAMPLES. - Let C be the category of pre-diagrams. Let  $\mathbf{Sc.C}$ , be S ' and  $\mathbf{S}^{\,\,\text{II}}$  deu x pre-schema s finish s su r  $\mathbf{S}_{\,\,\text{II}}$ .e. corresponding s  $\mathbf{a}$  of s sheaf x d Halge-

```
bra s A ^{\prime} , A ^{\prime\prime} su r S that i e n tan t that e beam x d e modulus s his t quasi-coherent
            and of such finished (i.e. Coherent .if S is locally Noetherian). Is
            c(:S'-\frac{1}{2}S the structural morphism of S', and let \frac{1}{3}, \frac{1}{3}, \frac{1}{2}
           S-morphisms of S ^{\rm II} to S ^{\rm I} , defined by the homomorphisms of algebras A^{\rm I}\,A\,{\rm II}
           designes again by \mathsf{fii}, \mathsf{j3}_2 . Using the fact that a morphism finish is Fenne (first theorem of Cohen-Seidenberg) one
           proves easily that the diagram
                                                                                                   .(/ J]
           (+) S S' t :: :: = S''
                                                                                                           'fi2
           in C is true if and only if the diagram of beams
                                                            A. GROTHENDJECK
su r S es t true . I n particular , s i d..:S ^{phantom{	iny S}} es t u n morphism e end i corresponding sponding a bundle ^{phantom{	iny S}}..d
                • algebras on S, then of is an epimorphism strict if and only if the diagram of beams
                                                                                                                                                                                                       0 = A.
 P2
g A'
                is exact (it is an epimorphism if and only if ! 'is injective). If S is refined to 'ring A, therefore S ' refines
                ring A' ended on A, then
                S '-> S is a epimorphism strict if and only if 4A' is a isomorphis- me of A on the subring of A' forrne of x' A'!
                Such that
                ( it is an epimorphism if and only if {\bf A}\_ ,. A ' is injective). Like us
                1 avonsdeja signals, even if S is the diagram of a ring local artinian, a mor- finished morphism S '- : S is an
                epimorphism is not necessarily an epimorphism strict. However, we can prove that & S \underline{is} a noethe \underline{pre-schema}
                                      finite morphism S '- + S which is an epimorphism,
                                                                                                                              is the
                               any
                epimorphisms (also finite). This shows , moreover, that the compound of two strict epimorphisms is not
                necessarily a strict epimorphism .
                  vs. If (+) is a diagram right of morphisms finite, then for any morphis- me flat T S of pre-patterns, the diagram
                transforme of ( +) by the Change- ment of basic T -) - S is still accurate. There in CLEAR that if X, Y are two
                 \hbox{S-pre-schemas, X being $\underline{flat}$ on S, then the chart application ensem-blistes following (or X ', Y' are the images ) } \\
                reciprocal of X, Y on S ^{\prime} , and X ^{\prime\prime} , Y" their pictures reciprocal on S ^{11})
                is correct. In pa.rticulier, if ::. Denotes the category fibered of base the category
                      C de s pré-schémas , tell e que pour r X ^{\prime}i , v^{t}k so i't a c a t'egor i'e de s X-pre'-s c h'emas
                dishes, then the diagram (+) is : F'-exact. (This result becomes false if we do not
                is not the assumption of flatness, in pa.rticulier an epimorphism tight finish is
                not necessarily a descent morphism ). One of spots same as (+) is
  ѷ; -_
                exact if :: designate the fibered category for which quasi-coherent and flat sheaves on the pre-diagram X
  \ddot{v}\ddot{X} is the category of
 (here again the hypothesis
                                                    TECHNIQUE OF DESCENT, I
              of flatness is essential). In one and the other cases, the issue of effectiveness of a given of reattachment (and
             more particularly, a given of descent, when S ^{11} = S ^{11} x _{8} S' ) on an object flat in -Dessus of S ^{11} , is
             delicate, (and its response in various cases individuals is a of objects main
             the present exposed). The lecturer ignore if for any epimorphism strict finite S '- \%S any given raid on a flat
              {\tt quasi-coherent\ beam\ S'\ is\ effective\ (even\ by\ assuming\ that\ S\ is\ the\ spectrum\ of\ a\ ring\ local\ ar-\ Justinian,\ and\ by\ ar-\ spectrum\ of\ ar-\ 
              limiting oneself to the locally free bundles of rank 1). Generis more rattle, are A a ring, A ^{\prime} a A-algebra
              (everything is commutative) such that the diagram of applications
spectra of A , A ^{\prime} is a morphism of ^{-}descent, where :J^{-} is the
              fibered category of flat quasi-coherent sheaves . Let {f M} ' a -module flat provided with a given of descent {f has} A, ie
              an isomorphism
```

A 'A'-QA module, satisfying conditions (i) and (ii) 1. (c) {we let the reader the care to explain in terms of

### 'f: miaa'.a.'iam'

```
modules). This given is
         it effective (relative to the fibered category of quasi-coherent sheaves
        dishes) ? Let M the subset of M ' form of x' \in M' such that
        it is a sub-module of M \cdot Injection canonical H \cdot M to end a homo-morphism of A'-modules M A A', M \cdot The
        effectiveness of means so that: M is a A-modulus flat, and the preceding homomorphism is an isomorphism.
          REMA EU. - In the considerations preceding, we had done no hypo-thesis flatness on 1ES morphisms diagram (+),
        which we obliged, for a technical of descent, to make the assumptions of flatness on the objects above of S, S ' we considered. In the paragraph 2, -we will
        a hypothesis of flatness on \mathbf{o} \mathrel{<\!\!\cdot\!\!\cdot} : \mathsf{S} \mathrel{'} \mathrel{-\!\!\!>} \mathsf{S} , that which will allow us to have a
        Technical of descent to the items above of S, S ^{\prime} which will be pius Sou set has no requirement of flatness. In
        all cases, there is a hypothesis of
        platitude that intervenes. This is a major reason for the importance of the concept of flatness in geometry
        algebraic (including the role only could appear
        as we are confined to the body of basis on which anyone what, in fact,
        is flat!).
                                        A. GROTHENDIECK
          J. Application to etalements. - Let A a ring local, B an algebra loca- the on A whose the ideal maximum armature
          that of A. We say that B is etale su r A (a u binds u d e "no n ra m ifi e^{i} used e pa r elsewhere ) s'i the
          satisfai t the s con- ditions following:
           (i) E is flat over A
           (ii) B / l \ B is a separable finite extension of A/"Cfl.=k (or m desi-
          gne the maximal ideal of A \cdot )
          When A and B are noetherian and k algebraically closed, it means that the homomorphisms A -41ii on the completes
          which prolongs A - + B is an isomor
          phism. A morphism of such finished f : T -4S is said \underline{\text{etale in }} \mathbf{xE} \, \mathbf{T} , or even
          T is said to be \underline{\text{spread over}} S at x, if
 is spread over
Of (), etfest say
          spread oragain f is called a spread, orT is said spread over S'if f is spread in all x f.T • Note also that if S
          is locally noethe- nothing, all the issues of t or f is etale is open, and ! Use the "main theorem" of Zariski
          allows to specify the structure of T / S at voisina- ge of such a point (by an equation of a well- known type ).
           When S is a scheme of the type done on the body of the complex, there it corresponds
          sponds analytical espace {\it S} in the sense of Serre [5J, Was it close as S may have Elements nilpotent in the beam
          structural, that which changes nothing essential in [5]. One sees so easily that f is a Spreading whether and on
          also if f: T \rightarrow S 1 is, ie if any point of T admits a neighborhood on
          which f\:\text{induces} an isomorphism on an open set of S. In particular, has\:\text{all}
          \underline{\text{coating etale}} T of S (ie a morphism finished etale f: T S) corre-pondun revetement etale T de S , that i
          es t connex e s i e t seulemen t s i T 1 e s t
          [5]. We also see easily that if T, T ' are two schemes spread on S, then applying natural
          is bijective, i.e. the functor T--, T in the category of schemes spread on
          O dan s l a class to e of s espa.ce s analytical s etale s su r S are t "plei mem e n t faithful ", thus defines an
          equivalence of the first category -with a sub-category of
          the second. A GRAUERT-REMMERT theorem [2] implies that if S is nennial, we thus obtain an equivalence of the
          category of \underline{\text{etal-}}\ \text{coatings}\ \text{of}\ \mathbb{S} and of
          l has Categorí e of s coating s etale s (finished ) d e S , l.th. that e ten t in t etale of etale is S-isomorphic has a
          \mathsf{T}' oil st a coating etale of \mathsf{S} \bullet \mathsf{We} show
          that the theorem of Grauert-Remmert remains valid without assumption of normality on
          S. Either in effect first S '- + S an epimorphism strict \cdot finished, let the
                                                               TECHNIQUE OF DESCENT, I
        theorem.a demonstrated for S ^{\prime} , show that it will be true for S. In effect, either u n revetemen t and has the
            e d e S consideron s n n imag e reciproqu e " ' su r S_{\text{I}},
        which corresponds to a coherent analytical sheaf cl of algebras on S ', reciprocal image of the sheaf of <code>ialgebras</code>
        CI on S defining By hypothesis,
        sur S', C^{\bullet} provien t un revetemen t etal e T' d e S ^{\bullet} i, e. (2.'comes un faiscea u coheren t of algebra s A' sur S' ^{\bullet} money Austria e by t d..'es t provided
```

```
a given of descent canonical relatively as \bullet s \bullet , <u>ie</u> a isomor- morphism between its deu.x pictures reciprocal of S 'xs \bullet s \bullet (satisfai
```

sant of terms (i), (ii)) and this isomorphism comes, to after that which has been

words, an isomorphism on the faisceau.x algebraic corresponding, ie a given descent on  $\bf A$  ' relative  ${\bf to}$  S' --JS. Is easily verified that cett e last these teffective (car cell e surf!  $\bf L$ 'is, et the effectivite a (e data of descent, such that it has—been EXPLAINED the number precedent, sere-known locally on the comprehensive of modules that used in the game). Hence a

faiscea u coheren t algebra s  $\mbox{A}$  su r  $\mbox{S}$  , definissnn t u n revetemen t  $\mbox{T}$  d e  $\mbox{S}$ ,

which is the coating looking for. The previous result then remains obviously valid if S '- + S is only a compound of a finite number of finite strict epimorphisms , i. e. is a epimorphism finished one (of after the result of factorization indicates in paragraph 2). It follows that the theorem of Grauert-

Remmert remains valid if S is a scheme  $\underline{\text{reduced}}$ , ie such as 2...S did not of elements nilpotent, as we saw in introducing his normalizes S ' • We pa.sse

easily from the the case generally.

A demonstration all nalogue, using even the result of factorization for epimorphisms finished strict and nature "formal "of the effectiveness of data are downhill, the result can prove this: either S a pre- scheme is locally Noetherian and S ' -) S a morphism finished surjective diciel (or, equivalently, a finite morphism such that for all T su r S , the e morphism e T ' = S' $^{x}$ 5 T T se t u n homeomorphism , c e that o n ex prime again by saying that S ' ,,. S is a <u>universal homeomorphism ).</u> For everything T spread over s, let us consider its inverse image T ' = T XS S' , which is spread over S '• Al to the functor T T • is an equivalence of categories of the category of pre- schemes T etal over S with the category of pre-schemas T ' etales on S' • (We use the bijectivity of

for deu.x pre-patterns T  $_1$  , T  $_2$  spread on S, fact of which the verification direct is easy, and the fact that the theorem utterance is true if S ' = (S, 2. sf:/)

### A. GROTHENDIECK

OR;/is a coherent beam of nilpotent ideals of 2s ([ 4 J'18J11111e 6)). Note d'also qua we will assume not here las t envisaged finished on S , Lthis re-

Result involved in pa.rticulier, qua the morphism  $S \ensuremath{\,^{\bullet}\,^{---}}, S$  induces an isomorphism

the group fundamental { ] of S ' on one of S  $\underline{\text{("invariance topological the group e fondamental u n preschem <math>\underline{\text{sul}}$ .

# 4. Relations with the 1-cohomology .

a. Either  $.\, {\bf \hat{t}}$  a category or the product of two objects still exists , or

TE £. • For all together finished I #, sb can consider T  $^1$  , for I variable is obtained as a functor covariate in the category of finite sets not empty s dan s £. , i.e. c e q u o n bit t called r u n object Simplicia. 1 d e . £ , not e K r • This last depends of fa it covariant of T; moreover if u, v aont two

 $\underline{morphisms}\ T\ \neg tT\ ^{t}\underline{,then}\ the\ corresponding\ morphisms}\ K.r\ K.r\ _{t}\underline{are\ homo-}$ 

12-Let us say that T <u>dominant T</u>' if Hom (T, T') # , it is a relationship filter preorder growing in \_ £. It follows from what precedes almost T dominated e T' , i s exist e a e class e (aun e homotopi e pres ) canoniqu e d  $^{\text{l}}$  h omom o rph  $^{\text{l}}$ zat

of simplicial objects  $\mathsf{K.r}$  KT ' , in particular if  $\mathsf{K.r}$  and  $\mathsf{K.r}$  • are such that

each dominates the other, then  $\mathsf{K.r}$  and  $\mathsf{KT}$  ' are homotopically equivalent. Let F now be a functor (contravariant to fix the ideas) of C in an <u>abelian</u> category C ', then

$$c \cdot (T, F) = F (KT)$$

is a cosimplicial object of C  $^{\prime}$ , therefore defines in a well-known way a complex (of cochaines) in C $^{\prime}$ , of which we can take the cohomology

$$H \cdot (T, F) = H \cdot (C \cdot (T, F)) = H \cdot (F (Kr))$$

(o n Pourr has mettr e u n C e n indic e d u H  $^{\star}$  s'i the there is possibility e d e confusion) .

This is a cohomology functor in F, whose variance for T variable re- Sulte of that which has been said about las  $l \setminus r$ ; of Fagon precise, for fixed F and T va- riable in C (preordered by the domination relationship) las H \* (T, F) forming a u n system e inducti f d ob j and s graduated e s d e C.  $^{l}_{+}$ · E n pa.rticulier , s i t e t t ' are such qua each other · dominates, then H \* (T , F) and H • (T, F) are canonically ment isomorphic

Suppose that in C the fiber products exist, then we can, for S (.C  $\,$ 

fixed, applying it which pricede has the category Cc of objects of C above for S , we will write c \* (T / S , F) and H \* (T / S ,  $\emph{Ft}$  ..., in place of c "( T : F) and

H \* (T, F) if we want to specify that we place ourselves in the category ; so,

# TECHNIQUE OF DESCENT, I

c • (T / S F) is a complex of cochaines in .  $\pounds$  which, in dimension n is equal to F (T XS T XS ••• XS T) (where the parenthesis has n +1 factors)

Note that as usual, we can define H  $^0$  (T / S, F) without assuming the category . £ 'abelian: it is the nucleus (definition 2.1), if it exists, of the neck ple of morphisms F (pi) (i = 1, 2)

 $F \in \{T\}$  F (T XS T)

```
corresponding to the two projections p _1 , p _2 : T x _8T :::; T • In particular, we will have a natural morphism (called <u>augmentation)</u>
```

$$F(S) H^0(T/S, F)$$

which is an isomorphism in the case favorable (in particular if T S is an epimorphism strict and if F is "right  $has\ left"$ ). Likewise , when F takes

its values in the category of groups in a category  $\ensuremath{\mathsf{C}}$  ", we can also

define  $H^1$  (T / S, F); in the case where  $\mathfrak{L}'$  is the category of'; 'sets (ie F takes its values from the category of ordinary groups , not necessarily

commutative), H  $^1$  (T , F) is the quotient of the subgroup  $\mathbf{Z}^1$  (T / S , F) of C (T / S, F) = F (T xS T) form of  $\mathbf{g}$  such that

$$F (pJ1) (g) = F (pJ2) (g) F (p21) (g)$$

by the group of operators F (T) , operating on c  $^1$  (T / S , F) and in particular, on the subset  ${f z}^1$  (T / S , F) by

 $p(g').g = F(p2) \{g'\} gF(p1) \{g'\} - 1$ 

a fibered category of bi: i, se C. Let



and for all S ' on S, let

$$F(S') = Ho m (xS', -, xS')$$
  
,  $y$  '>  $S$ 



So,  $\mathbf{Ff}$  ,? is a contravariant functor of 2s in the category of sets bles. This poses, say that the increase monnhism

 $\mathbf{F_f}$ ,? (S) -)  $\mathbf{H}^{o}$  (S '/ S ,  $\mathbf{Ff}$ ,'?)

is an isomorphism for any pair of elements S ,  $\mathit{lf's}$  , means that

IQ...:S ' --tS is a morphism of J-descent (definition 1.7).

c. Poson s d e m eme , for

# f,I"' L " s

and any object S ' of

C above of

we have thus defined

 $G\setminus (S')= J \text{tut (xS S')}$  u n foncteu r control has varian t G Y-of )

C in the category of

A. GROIHENDIECK

groups. Caci poses, we see that  $z^1$  (S  $^{\prime}/$  S , G) is canonically identified with

 $\underline{!}$  all the data of descent on  $\underline{\cdot}$  =  $\underline{S}$   $x_3$  S ' relative  $\underline{to}$  S' --- t S

(Definition 1.6) and H  $^1$  (S '/ S, G) is identified in the set of classes (has an iso-morphism pres) of bjets of  $J_i$ , provided with a given lowering relatively to e:(:S • s , which, in both objects of  $J_i$ , are isomorphic a '=xs's'

If therefore o(:S'-+S is a morphism of t-descent (see (b)), then H (S'/, G) contains comma subset all of the classes (in a isomor

morphism near) objects ") : F; such as '7 xs s' is isomorphic in f's, a  $t_{x_5}$  s'; and this inclusion is an identity if and only if all given of descent on S' = t xs s' with respect to o {: s · s is effective.

(This will be the case in particular if  $0/.:S \cdot S$  is a morphism of S-down strict).

REMA EU. - complexes cochains type C \* (T / S, F) contain such cases pa.rticuliers most complex standard known (cohomology Cech cohomology of groups, etc.), and play a role significant in geometry alge- brick , (especially in the "cohomology of e Weil " of the preschemas).

d. Example 1.-Let S ' item above S, C, and let I' a group of automorphisms dP. S ' such that S' is "fonnellement main on S, of group i. e. such as the natural morphism  $L_{x} \, S' + S'_{x} \, S'$ 

OR  $\Gamma$  xS ' denotes the direct sum\_of  $\Gamma$  copies of S'', ie an isomorphism.

(We suppose that in C the direct somrnes which intervene here exist). Let F be a contravariant functor of C in the category of abelian groups . So

```
C * {S' / S, F) is canonically isomorphic to grol.U) e aimElicial of cochaines stan
          dard homogenees C * { \Gamma, F (S ^1), one H (S ^1/ S, F) is canonically isomorphic to
                   < S, >>.
            e. EXAMPLE 2 - Either \_f the category of pre-schemas. Is designated by Ga { "grou- p e addit i f the e foncteu r
          contravarian t d e G dan s l has Categorí e of s group s abe links, \mathbf{defined} by
                                                           G(X) = H^0 (X , OX >
          We define in the same way the functor G
                                                                                                                                            Gm (X)
( "group e m ult plica ti f ")by
= H^{0}(x, 2x)^{*}
           \{=\text{group of invertible elements}_{\mid}\text{of}_{\mid}\text{ the ring H}^{0}\{X, .QX\}\}, and more generally the functor Gl (n) (" linear group of invertible elements)
          of order n 11)by
                                                              TECHNIQUE OF DESCENT, I
                                                     Gl (n) (X) = Gl (n , H^{0}(X , 2x))
       which is a functor \hat{\mathbf{t}}, in the category of groups (not necessarily com- mutative if n > 1; for n = 1 include G _{m}).
       One may also interpreted ter G1 (n) as a functor-automorphism (see (c)) by considering the category
       fibered .!f'' of base .\,\mathfrak{L} such that for XE. \mathfrak{L} , f\!X is the category of fais-
       ceaux locally free on X: it is in effect Gl (n) (X) = Aut.1-i ) • On after
       (b) il follows that if of∴S • -, S is a morphism of fJescent (cf. para.
       graph E 2 (c) ) H ^{1} ( S ^{\bullet} /S , Gl (n) ) CONTAIN t ! 'ensembl e of s class s ( a u n isomorphis-
       I pres) of beams locally free on S whose image contrast on S ' is isomorphic to_9, and this inclusion is an
       equality if and only if any given to descent on 2 , (relative to \bullet<: S \bullet - , S) is effective. When
       that S is the spectrum of a local ring , this therefore means H ^1 (S ^{\prime}/ S, Gl (n)) = (e) , since any locally free beam
         Note the equivalence of the following conditions on a morphism 41<:S '-. \boldsymbol{S}
         (i) . The homomorphism e of augmentatio n H^0 ( S , O ) = G (S) -> M^0 (S '/S, G) is an isomorphism - S a
         (Ii) · S · ---, S is a morphism of V-descent
       of base . £ envisaged above).
 v being the fibered category
       If s \cdot s is finished, these terms equivalent also has
         (iii). S '-> S is a strict epimorphism (cf. paragraph 2. (c)).
         Now suppose that S = Spec(A) , S' = Spec(A') ; so we have
                                                                                  n + 1
                                                                                                                                     Cn (S '/ S
G ) = Cn (.A ^{1} / A G) = @ ^{1}A: A \bullet
       1 'operator coboundary Cn (A '/ A , GJ-t cn + 1 (A' / A , G) being sum Alternating d.es Op- tors faces
                                                                                                                                           c \setminus (x_a)
9 \times_{1} i... ixn ) = x_{0} 9 \cdot \cdot \cdot ix_{1-1} 9 1A , ix i 9 \cdot \cdot \cdot 9 xn
       Of same, Cn (S ^{\prime}/ S, Gm) = Cn (A^{\prime} / \overline{\mathbb{A}_{r}} | Gm) identifies a (nit A ^{\prime}) •, the operations simpliciale s dan s c • (A^{\prime} / A
       , Gm ) etan t induced s pa r that s d e C ullet ( S ^{_{1}}/ S , Ga ) ullet
       Is explicit from even the operations simplicial in c \cdot 1/A, G1 (n)) \cdot tousles case has the knowledge of the
       speaker, it is Hi (A '/ A, G) = O : RQUr
       i > 0, and if A is local, we have H <sup>1</sup> (A '/ A , G) = 0 and more generally
      H (A'/, Gl(n)) = (e) (when S'-, S is a morphism of . f-descent, ie the diagram AA' == tA' is exact,
       compare with the paragraph 2 (c)),
      We note that the "theorem 90 "of Hilbert is no other that the relationship
                                         A GROTHEND / ECK
               (S '/ S, Gm) = 0 when A is a body and A' extension Galois fi denies of this latter (see example 1), and can
                     expressed by saying that in the cases contemplated, S '--4S e t a morphism of descent strictly to the
           category fibered of beams locally free of rank 1 • it is under this last for- me he agrees to generalize the
```

https://translate.googleusercontent.com/translate\_f

theorem of Hilbert, by varying the assumptions on both the morphism S '- tS on the beams almost Coherent environwise.

Note finally the equivalence of properties following, when A is a ring local  $\underbrace{artinian}$  of ideal maximum m, an A-algebra (by **designating**, for a integer k > 0, by Ak (resp. Ak) the rings A / -cn .k + 1 (resp.  $A' / '1'1 \setminus .k + 1 A'$ ))

(i). H  $^{1}$  (A / Ak , G) ::: O pourtout k.

(.ii).  $H^{1}$  (A / Ak , Gm) = 0 for all k .

(iii). H  $^{1}$  (Ak "'Ak , Gl (n)) = (e) for all k and all n  $\bullet$ 

If S ' S is an epimorphism strict, while in previous terms IMPLIED Quent even that is a morphism of descent strictly for the modules free (of such finished or not) on A'.

NOTE. - The definition of the groups Hi (S  $^{\prime}/$  S, G) , in the case where S, S'  $^{\prime}$ 

are the patterns of body A , A  $^{\prime}$  , is due  $\stackrel{\Pi \Pi'}{AMITSUR}.$  The group H- (s' / s , G )  $_{m}$ 

es t particula t attraction and t comm e variant e "gl ob a se "d u group e d e Brauer, variant to which it may be refer to [1], chapter VII.

## B. Descent by faithfully flat morphisms .

## 1. Sets out the theorems of descent.

DEFINITION 1.1. - A morphism o'S '-'>S of pre-schemas is said <u>flat</u> if for all x 'ES', 2x' is a modulus flat on the ring  $\underline{Eo} < (x')$  (i.E.

0 , @M is an exact functor in the Oc <(x  $^{\prime}$  ) -module M ) • A morphism -x o((x  $^{\prime}$ )

is said to be  $\underline{\text{faithfully flat}}$  if it is flat and surjective.

For example, if S = Spec (A), S '= Spec (A'), then S ' is flat over S if and only if A' is a flat A-modulus, and S ' is faithfully flat over, S if and only if A ' is a faithfully flat A-module (ie the functor  $\cdot$  A' AM in the A-module M is exact and A-module A-mod

of SERRE [5], that the couple (A, A ') is flat. If S ' is faithfully flat over S, then the functor picture inverse of beams almost Coherent on S is true and faithful, as of  $^1$  autrestermes, for a result homomorphisms of beams

### TECHNIQUE OF DESCENT, I

Coherent almost on S is correct, it must and it is sufficient that the picture reciprocity on S ' on either n particular, to a homomorphism beams almost Coherent on S is a monomorphism, resp. an epimorphism, resp. isomor- a morphism, it is necessary and it is enough that its picture contrast on S ' on either). This pro- priete remains true if it is restraint over d |a| open any of S, and in this form characterizes the morphisms faithfully dishes.

DEFINITION 1.2.-A morphism o(:S'--JS) is said to be <u>quasi-compact</u> if **the** inverse **image** of any open quasi-compact part U of S is quasi-compact (ie finite union of affine openings).

Obviously, it suffices to verify this property for open <u>affines</u> of S. For example, an affine morphism (i, e. Such that the inverse image of an affine open is affine) is quasi-compact.

The class of flat morphisms , resp. faithfully flat, resp. Almost compact is stable, by composition and by "extension of the base," and contains well enten- of the isomorphisms.

T Om: ME 1.-Let  $cl... \cdot S'Sbc$  a pre-schema morphism , <u>faithfully flat</u> and <u>quasi-compact.</u> Then  $o(is a morphism of descent strict \cdot (A, definition 1.7)$ 

for the category fibered :J' of beams quasi-coherent (A, paragraph 1, exem ple 2).

This utterance means two things :

(i) If F and G are two quasi-coherent sheaves on c' F , their inverse images on S • then the natural homomorphism

and G '

is a bijection of the first member of the subgroup of the second form of homo- morphisms F'-+G' which are compatible with the canonical descent data on these beams, i. e. which the image reverse by the two projections of S''=S' XSS' on S' give an even homomorphism F''-4in.

(ii) All quasi-coherent beam F ' on S', provided with a given lowering relativement the morphism  $\bullet$  (S'-4 S (A, definition 1.6) is isomorphic (provided for this given) has the inverse image of a quasi-coherent beam F on S.

Pheasant t F = 0

in (i), we find

COROLIAIRE 1.,-Let G a beam quasi-coherent on S , are G ' and G " its image inverted on S' and on S "= S '  $x_8$  S' , are p , p , the two pro-jections of S "on S ' , then the following diagram of applications of sets

## A. GROTHENDIECK



is  $\underline{\text{exact}}$  (A, definition 1. (a)).

Furthermore, the combination of (i), (ii) of the definition  $1.1\,\mathrm{gives}$  COROLIAIRE 2. - Either G as in the corollary 1. While it is correspondan-

this biunique between the sub-beams quasi-coherent of G, and the sub-beams quasi-coherent of G' which the image inverse of S ".by the two projections p , p , give the same beam-sous of G".

Of course, it has an utterance equivalent in terms of bundles quotients. As we have seen (A, paragraph 4 (e)), the theorem 1 is to be regarded as a ge- neralisation du "theorem e 9 0"d e Hilbert, e t Involved e comm e cas particulars di

verse formulations in terms of 1-cohomology. For the demonstration, it is brought back easily to the case or  $S = \operatorname{Spec}(A) S' = \operatorname{Spec}(A')$ , and (i) is brought back facilernent to prove the corollary 1, ie the accuracy of the chart.

### M = A AM ---> A ' @AM A' tii! AA ' AM

for any A-module M, that which APPEARS of Lemma more general

LEMMA 1.1. - Let A 'be a faithfully flat A-algebra . Then for any A-modulus M, the M-augment complex C (A '/ A, Ga) AM (cf. A, paragraph 4, (e)) is a resolution of M.

It suffices to prove that the complex increases deduced from the preceding by extension

from the basis of A to A 'satisfies the same conclusions. This leads to verify the statement when we replace A by A 'and A' by A', A', therefore brings us back

a case where there exists a homomorphism of A-algebras A '-> A (or, in geometric terms, in the case where S' on Shas a section). In this case, it follows from the general points of A, paragraphs 4, (a). Note in passing the corollary sui- efore, which generalizes anutterance well known cohornologie Galois (compare A, paragraph 4(e))

COROLIARY. - If A 'Hi (A '/ A , G ) = 0 for

is faithfully flat on A, we have H  $^{0}$  (A  $^{\prime}/$  A , G  $_{a}$  ) = A and  $_{a}$ 

To prove part (ii) of Theorem 1, it cornme METHOD for (i) by rame- ing the case or S ' on S admits a section, or it FOLLOWS of (i) (cf. A, pa- ragraphs  $\mathbf{1}$  ( vs)).

Can obviously vary ad libitum Theorem 1 and its corollaries intro- in duisant additional various structures on the beams (or systems of beams)  $quasi_{\top}$  coherent envisaged. For example, the given on S of a beam

## TECHNIQUE OF DESCENT, I

Almost coherent of algebra s commutative s ''equivau  $t^i i^i l$ .1 was given e su r S ' u n such beam, fitted with a given of descent relative to < ":S' --- t S. In light of the correspondence functorial between of such beams almost Coherent

on S, and the pre-schemas affine above of S, is obtained the second as- serting the theorem following

Theorem 2.-Let o(:S-) O as in Theorem 1. Then d. is a morphism of descent (not strict in general) (A definition 2.4), and is a morphism descent strict for the category fibered diagrams: affine on top of pre-schemas, (A definition 1.2).

the first assertion of the theorem means this : let X, Y be two pre-schemes

above of S,

X', Y'

their inverse images on S '

and  $X^{\,\mbox{\scriptsize 11}},Y$  "their ima-

inverse ges on

S"=S' $x_8$ S', then the following diagram of natural applications

Ho 
$$m_5$$
 (  $\times$  ,  $\times$  ) Ho  $m_8$  , (  $\times$  '

is , ie

04 · is a bijection from Hom 5 (X , Y) on the part of

 $\text{Hom }_{\Re}, (X^{\,\prime}, Y^{\prime})$ 

crazy about homomorphisms which are compatible with the data of

canonical descent on X ', Y' (ie whose inverse images by the two projections of 5 non S are equal). This follows easily from Theorem 1,

Corollary 1 when if terminal  $has \mbox{\sc Y}$  affine on S; in the general case , it

must combine the theorem 1 with the results as follows:

LEMMA 1.2.-Let  $c_{\underline{C}}$ : S'-+ S be a faithfully flat and quasi-compact morphism .

Abrs S

identifies With a quotient topological space of

S'.ie . all e part

Uof

S such that  $\circ$  (-  $^1$  (u) is open, is open.

To complete the theorem 2, it must give the criteria of effectiveness for a given for descent on a S'-pre-schema X ' (in the case or X' does not SUP- poses refines on S '). Note first that such a given descent is ws necessarily effectiv, even if S is the spectrum of a body k, S ' the

spectrum of an extension quadratic k ' of this latter, and S ' a diagram Ugebrique own of dimension 2 on S ' (as we can see, from after GREENHOUSE, by using the surface of non- projective of NA.GATA). To that 'a given of descent

X ' /S'relatively to •(:S • S (faithfully , Elat and quasi-compact )

actually , i the fau t e t i s enough t qu e X '  $\underline{se}$  t  $\underline{reunio}$  n  $\underline{open}$  s X ! ,  $\underline{affi}$  n e s of S ' ,  $\underline{that}$  i  $\underline{Be}$   $\underline{Free}$  t  $\underline{U}$ Stable 41by · s has given e d e descent e su r X ' • I l e n es t certainly

ment as well (what that is X' / S' and the given of descent on X' ) if the morphis- me  $\mathbf{ac}: S'$  --- + S is  $\underline{p}$ -radical (ie injective, and has extensions residual that

### A. GROTHENDIECK

are radial). On This printer can show as it in is still well if

ot.S '+ --- S is finished, and all finite subset of X', contained in a fiber of X' on S, is contained in an open of X' affine on S (that is, the  $\underline{\text{criterion of Weil}}$ ). It in is in particular and, if X '/ S' is almost projective

e t dan s c e case , o n bit t shows r that e l e pre-schem has "descen du "x / S es t auss i quasi- projective (and projective if X '/ S' east) . In summary

THEOREM 3.-Let c,t:S 'S a pre-schema morphism, faithfully flat and quasi-compact. If c is c-radical, that is a morphism of descent strict. Yes

d. is finished, it is a morphism of descent strict relativem.ent has the category fibered of pre-schemas almost projective (or projective) on the pre-schemas.

REMAIQUES. - I do not know if in the second statement above, the assumption that o { is a morphism  $\underline{finish}$  is very necessary; we checked in all cases of

Fagon "fonnelle" we can to replace with the hypothesis next, more weak in appearance : for all points of S is aneighborhood open U, a U finished

and faithfully flat over U, and an S-morphism from U ' to S' • A typical case which does not fit into the previous one is that where S = Spec (A) S ' = Spec (A)

where A is a local Noetherian ring and A is its complete; or even one or S ' is almost finished on S (ie locally isomorphic iS an open one S-schema finished) and not finished. In these two cases, the lecturer ignores succeeded the response has

the following question: let X be  $\overline{\text{an } S}$ -diagram such that X ' = X S ' is projective on S ', is it true that X is projective on S?

2. 4J> plication is the descent of certain properties of morphisms. - Either P a class of morphisms of pre-schemas. Or Ct (: S '----, S a morphism of pre-patterns, and either <math>f : X - + Y a morphism of S-pre-schemas, f' : X '--- tY'1 'Image reciprocal of f by  $o(\cdot)$  You may be asking then if the relationship '' f ' p minvolves "f EP  $\cdots$  II appears that the answer is ves in

many important cases when we assume that of is faithfully flat and quasi-compact (the latter assumption being sometimes superabundant). This can be seen directly without difficulty if P is the class of surjective morphisms , resp. radials (these cases resulting from the surjectivity of  $\mathbf{0C.}$ ), resp. dishes, resp. fide- LEMENT dishes, resp. simple (these cases resulting from the faithful flatness of- o () . resp of kind . finished Using the theorems 1, 2 and the lemma 1.2, we see also that in is of even if P is one of the classes following: isomorphism, Dumping open, Dumping closed immersions (if f is of the type finish and Y lo- cally noetherian), morphisms affine morphisms finished, morphisms almost finished, morphisms open morphisms fames, homeomorphisms, morphisms separated,

# TECHNIQUE OF DESCENT, I

proper morphisms . The only important unclear case is that of projective and quasi-projective morphisms , already pointed out in the remark of paragraph 1.

J. Descent i: nr finite morphisms faithfully flat. - Let  $\circ$  (S'-Sun mor-

morphism  $\underline{\text{finished}}$ , corresponding to algebras beam • on S which is  $\underline{\text{locally free}}$  of such finished in so that beam of modules, and all 0; then  $\bullet$  ( is a faithfully flat and quasi-compact morphism , to which we can therefore apply

the results precedents. The given a beam quasi-coherent F ' on S lequi- is has the given the beam quasi-coherent ow, (F ') on S , provided of its struc-

ture of A'-module (noting that A' = o((0, )). To simplify, this sheaf on

S will also be denoted by F  $^{\prime}$   $^{\bullet}$  The two inverse images p (F $^{\prime}$ ) of F  $^{\prime}$  on

F ' Q A ' e t A ' i F ' . Lisquen e u n (S ' X S S ') -hom on o r pHISM e d u first

2s-2sthe second is equivalent to the given of a homomorphism of  $(A \setminus A A)$  -module, and considering that  $\bullet$  is locally free, this is equivalent to the given a homomorphism of 'i!')-modules:

$$U = Hom (A', A') = A' 0 A' Hom - 2 S - - - E$$

(F', F')

S

i.e. is the given, to any section t of JI.... on an open V, a homomorphism morphism of .Es-modules T: F'IV + F ---'IV, satisfying the requirements

(J.1)

J.1)

or f, x are sections respectively of  $\cdot$ , F ' on an open S contained naked in V. The conditions (i) and (ii) of a given descent ( $\mathbf{A}$ ,  $\mu$ : i.ragraphe 1 (c )) can be written then respectively

(J.2) ie

(3.3)

In other tenns, a given for descent on F ' is equivalent to a representation

of, beam of

O S-algebra s U

= H o m $_{\odot}$  ( A  $^{\prime}$  , A  $^{\prime}$  ) dan s l e faiscea u d e O -al g e b ras

Hom (F', F'), satisfactor t the s deu x proviso s d e linearity  $\_(3.1)$ . Sion has a coupling of quasi- -2 S

coherent sheaves on S '

F1 x F2 --- + F3

(which can be interpreted as a coupling of bundles of A  $\cdot$  -modules on s ),

A. GROTHENDIECK

e t of s given s d e recollemen t su r a s F ! , Defined s  $\bf pa\ r$  of s homomorphism s T . (i=1 , 2 , .3) U-, Hom 0 (F! , F!) , then these data are comp.i.tibles with

coupling gives the obvious sense of the term, if and only if the condition following is verified:

Pour all e section f de. $\mathfrak{t}_{\_}$ sur un open, designant par f = E tii...,1 the

section of . !! QA'1L ( £. Being considered examined as A:-module for its structure has

left) defined by the formula

(or f and g are two sections of  $\mathbf{A}^{\, \prime}$  on an open smaller) was the f9r- mule

(.3.4)



for every pair of sections x,  $y \circ f$  A ' on a open more small. (We can express this property by saying that the "; homomorphisms T (i) are compatible

with the diagonal application of .£., relative to the given coupling). In par- ticular, the formulas (3.1)to (.3.4) allow us to interpret in terms of representations of algebras has applications diagonals, the data of descent on a quasi-coherent beam of algebras on S ', therefore also (if restricting the algebras commutative) · the data of descent on a S'-schema refined.

Of the, one pass has an interpretation analogous to data of descent on a S'-pre-schema X ' one: the given one such X' is equivalent to the given a pre-schema X ' on S, provided with 'a homomorphism of 2 8 -algebras

and a given of descent on X 'ceaux (compatible with the morphism

is equivalent to the given a homomorphism of faish: X'-ts'):

satisfying the conditions analogous to conditions (3.1) a (J.4) above.

EXAMPLE 1 ( '' Weil "). - Let  $s_i/o$  a coating etale Galois of group Galois f (see a, paragraph 3, ot 4 (d)). Then a given for descent on

a beam almost coherent F ' on S' (resp. on a  $\$  '- pre-schema X' ) equi- worth has the given a representation of  $\Gamma$  by automorphisms (S',F') (resp. to  $\{S',X'\}$ ) compatible with the operations of  $\Gamma$  on S'. This result

TECHNIQUE OF DESCENT, I

```
es t ^{11}f o: n n e l ^{11}ie . s e demontr e e n term s of th categories , May s d u poin t d e seen th of this nu mere
                                  CLEAR also of the structure explicit in .!!_, (fitted to its structure
          ring , the homomorphism e ring x {\tt A} ' {\tt U} e t the applicatio n diagonal) , com-
          fully known thanks to the following result :
          on the left, a base formed by sections of U
           EXEMPLE 2 ( ^{11}C A RI ^{1} IE R^{11}). Se t p u n number Os is of <u>characteristic</u> p ), A _{1}Pc. Bone = A
!!, admits, as A'-module which correspond to the elements of
first , suppose s p O = 0 (ie (ie S '/ S is <u>p-radical of heights</u>
               1) and that the sheaf of algebras \cdot on A <u>locally admits a p-</u> ,
          ie a family (x, x) of sections such as \mathbf{A} ' or generates comma algebra by the \mathbf{x}, subject to ux only conditions \mathbf{x} = \mathbf{x}
          -0. We assume the set of i finite, with cardinal n. Let be the sheaf of A-derivations of {f A} ' ,
          it is a bundle of A'-modules locally free of rank n, in addition it is a beam of p-algebras Lie on A (but not A
          ullet) satisfying the condition
          (3.5) [X, fY]=X (f) Y + f [X, YJ
                       LEMMA . - U = Hom ( A' , A') are t generates , e n tan t that e \_ § -alge b r e mun i a
         - -2s - homomorphism of algebras i '...!!, , by the sub--module has left , with the relationship additional:
                                                                                                                                                  (.3.6)
                                                 Xr - fX = X (f)
XY - YX = [X, Y]
                 xP = x(p)
           It follows the precedent lemma given a descent on the quasi beam coherent F 'S' is equivalent to the given, for
         any X \operatorname{Ed} ,, a \operatorname{2}_{\operatorname{3}} endo morphism \operatorname{X} of F ^{\mathsf{r}} , satisfying the requirements
         (3.7)
         (3.8)
         (3.9)
         (3.10)
                                                          a = rx
                                                X (fx) = X (f) x + fX (x)
                    =xP
           (This is what we could call a \underline{linear\ connection\ on\ }F ' , \underline{without\ curvature}
         and compatible with the p-th power ). It explicitly to even the notion of given for descent on a S'-pre-schema X '
         ; the relation (J.4) is replaced here by
         the requirement that the X are the <u>derivations</u> of .2x \cdot \cdot Comma the morphiSine s \cdot s
         is p-radical, the theorem 3\,\mathrm{ensures} that any such given of descent is
                                          A. GROTHENDIECK
          effective, therefore defines a S-pre-schema {\tt X.}
            We note that we have not had to make a hypothesis of flatness, of non- singularity or of finitude any on F \,^{"}
          respectively. X ' •
          4 <u>Application to the criteria of rationality.</u> - Let X be an S-pre-schema such that the direct image of Ex on S
          is 2s; this property will remain true then
          by any flat extension S'--4-S of the base S {\mbox{ • }} If Fis an \underline{\mbox{inverted beam}}
          sible (i.e. locally free of rank 1 ) on X, the automorphisms of F identifying with the invertible sections of 2x,
          correspond one- to- one to the
          sections reversal of 2s. Is then s a section of X above of s; we call for Fagon imaged, section of F over to s,
          a section of beam reversal s "" (F) on S. CLEAR of this that preceded that if F
          (i = 1, 2) are two beams invertible on X, provided each with a section above of s, and if F_1 and F_2 are
          isomorphic, it is an isomorphism and a single of F <sub>1</sub> F <sub>2</sub> consistent with the sections in issue (i. e, trans
          forming the first into the second). Moreover, and independent of the section
          s, agree to regard as \frac{\text{equivalent}}{\text{two}} beams invertible F _1 and F _2 on X such that every point of S has an open
          neighborhood U as the restriction tions of F _1 and F _2 is X \ U are isomorphic. So \underline{any\ invertible\ beam}
```

```
F on X <u>is equivalent</u> to <u>an invertible beam</u> F _1 <u>muhi of a section mar</u>
           quee above of s (it takes F 1 = Fs "" (F) -1 ), and F 1 is determined in an iso
           morphism pres. In other words, the classification of the beams invertible on X up to an equivalence is the same
           as the classification \operatorname{\operatorname{\textbf{at}}} one isomorphism near the invertible beams provided with a marked section .
             Examined as these properties remain true by extension platform 0f...S \cdot S of the base (by substituting the section
           s by its image reversed s' by {\it cl}-), we concluded account terlU of theoreme 1
             The ; ere-schema \boldsymbol{x} \, / \, \boldsymbol{s} being as above and admitting a section s , that \underline{\text{is}}
            0(:S '-,) S a faithfully flat morphism and 9.uasi-comE!: Ct :is
 a do-
           CWater invertible on X ' = X ^{\times} c... S '. So that F ' is equivalent to the inverse image
           on X ' \underline{\text{of a beam reversal}}F on X \underline{\text{it is necessary and it is sufficient that its }\underline{\text{ima es}}
           inverse s p (F ' ) e t p ; (F ' ) su r X ' x X ' =
 XX s (S ' "S S') are equivalent.
           If so, F is determined at an equivalence : eres. (We will then say that F ' is rational over S ).
             Inspired by this principle in the case or \circ ( : S '--- JS is examined as in Example 1 om Example 2 of the number
           preceding, we find the <u>criteria of rationality</u>
           de Weil or de Cartier. (We note that its author is confined to cases where S and S '
                                                                  TECHNIQUE OF DESCENT, I
         are the spectra of the body; a fortiori, S is then the spectrum of a ring lo
         cal, and the equivalence relation introduced above is none other than the isomorphic relation ). In the first case,
         {\tt F} ' is rational over S if and only if
         its transfonne s by \Gamma are equivalent to\;\mathsf{FI}\cdot\mathsf{To} express the criterion of
          rationality in the second case, we consider, in general, the diagonal morphism x \cdot - X = X \cdot x \times Y \cdot f(X), the
         corresponding bundle of ideals \boldsymbol{I}
         su r X ' x X ' e t l e faiscea u l (! \_ 2, that i is identified e a n n imag e reciprocal
            {f 1} ) X (faiscea u from s ledfærtåls{f K} ' by ratio {f X} ). As
         the restrictions of the F!=p. (F')(i=1, 2) at the diagonal are isomorphic (because isomorphic at F'),i.e. F 1 F 2 = F
         "has a restriction in the diagonal that
         is trivial, it follows that the restriction of F^{\,\,{\mbox{\scriptsize II}}}
         has an isomorphism fathers, by thelement well determined
a (X 11, xf:.
 S_{\text{of}}
 ./\mathbf{f}_{)} are t given,
                                                       H^{1}(X^{11}J/I^{2})-H^{1}(X^{1}II^{1})
                                                                                                                  Moreover, in this case, was {) i • / x. = N_{i,j}, S i S2x, and consequently, if n_{i,j}, s is locally liber of S (in
         the case of cpmme. Cartier), S_{\underline{\text{defines a section}}} of e R_f '(EX') i'/ S_i '(called e <u>class e</u> d e Atiyah-Cartie r <u>d u</u>
         inversibl e F'su r X'/ S) den t 1 annulation est necessair e e t sufficient e louse r that the pictures
         reciprocal of F ' by the two projections of
on X ' are equivalent (or defined by the diagonal morphism
 J is the sheaf of ideaux on S ^{11} = S ^{11} x _{8} S ^{11} S ^{11} x S ^{11} Cett e annulation est don e tri-
         vialement necessary for the inverse images of F ^{\prime} on X ^{\prime\prime} = X ^{\prime\prime} S ^{\prime\prime} itself are equivalent, thus also for that F is
         equivalent to 1 picture
         Conversely a beam reversal F on X. Moreover, the class of Atiyah Cartier can also be interpreted as the obstruction
         has existence, locally over
        of S ^{\prime} , a \underline{\text{connection}} of F' relatively to derivations of X ^{\prime}/ X, one such
        connection being of more detenninee when we know the derivations of F ^{\prime} corresponding to extensions natural has \, {\tt X'}
        of derivations of S ^{\prime}/ S. From this, and
        of developments of the preceding issue, it is easily concluded that in the case of Example 2 of said, and when X
        ^{\prime} S admits a section, the cancellation of the class of Atiyah Cartier is also sufficient for that F ^{\prime} is rational
```

on S .

5. Application to the restriction of the scheme based on an abelian scheme. - Let S be a pre-schema. We call an abelian schema on S a simple and clean schema X

#### A GROTHENDIECK

on S whose fibers in the point  $x \to \infty$  are the patterns of variates abelian- nes on the x)•Suppose S noetherian and regular (ie its local rings regular), then one can show in using the theorem of connection of Murre

[4] (d u moin s dan s 1 e ca s "equal to s Specifica e's, OF the e theorem e cit e es t ac- tuellement demonstrated) that any section rational of X wire !!: S is pa.rtout of finished (ie is a section) (that which generalizes a theorem vector of WEIL).

It follows, more generally than if any rational s-application of X '

X ' is a single scheme on S, then in X is pa.rtout defined, it in CLEAR

this, which generalizes are ult of ! GUSA-I.ANG: S being noetherian and regular

K<u>designating its ring functions sound</u> (composed live body), either X<u>an abelian scheme above of K.; if Xis</u> isomorphic <u>has</u> a K-schema\_of the Fonne XXS Spec (K)'OR X is a scheme abelian on **S**,\_alors XO

is determined to a near single isomorphism .

Using the result of uniqueness precedent, we see that the issue of restrictions

of the base in X is local on S (and by result, it suffices to know to make the restriction to Spec (0), with  $x \in S$ ) • We see in the same way is that if

S '--- i S is a morphism <u>easy</u> to kind over, if K' is the ring of functions

sound of S ', and if  $X \in K$  ' is of the form X x g , Spec (K ') , then X is provided with a given of descent canonical relatively  $a_{0}$  (In view

from Theorem 3, we conclude

PROPOSAL 5.1. - Let S a pre-noetherian scheme and regular, irreducible, body of functions rational K, or K ' an extension finite of K, <u>BRANCHED on S</u>, S' the normalizes of S in K' (which is therefore acoating etale of S), Xa scheme abelian over K such that X&KK'is of the fome X xS' Spec (K), or X is a scheme abelian projective over S' • So X is

of the form  $X \times S$  Spec (K), or  $X \times S$  scheme abelian projective on S.

REMA UES. - The lecturer is not known whether it can replace hyrothese that S • S is a surjective etale coating (for using the theoreme 3) by hypo- thesis that it is a morphism type of finish <u>single</u> and <u>surjective</u> (even if it Assumed

that it is a spreading), or if the proposal remains valid without assuming X pro-jective over- S ' (if that is perhaps filled automatically).

6. <u>Application to the criteria of local triviality and isotrivialite.</u> - Let S be apre-schema, Ga "pre-schema in groups L'above S, Papre-schema on S on which "G operates " (right). We say that P is <u>fomellement princi- pal homogeneous</u> under G if the morphism well known

GXSP -.- + PXSp

# TECHNIQUE OF DESCENT, I

deduced from the operations of G on P, is an  $\underline{isomor: phism.}$  We assume dore- nbefore G  $\underline{flat}$  on S (done faithfully flat over S), and we reserved for you the name

from  $\underline{\text{homogeneous principal fiber}}$  under G to a formally homogeneous principal fiber P

which is  $\underline{faithfully\ flat}$  and  $\underline{guasi-comul.ct}$  on S. It is im. immediately that it is the same to say that we can find an  $\underline{extension\ faithfully\ flat}$  and  $\underline{almost\ compact}$ 

 $s \cdot S$  of the base S, such that the formally homogeneous principal fiber

 $p \cdot = p \times S'$  under  $G' = G \times S'$  is trivial, ie isomorphic to G' (ie admits a section); we can take in particular S

S ' = P. Note also that

if Sis locally noetherian, then the assumption of faithful platitude on P

is equivalent to the hypothe.se that  $\ensuremath{\mathsf{P}}$ 

```
= PXSSpe c (6 ) es t fidelemen t pla t on

5s for
...

any s S(or

6 - s

designates the comolete of the ring local O ), as it FOLLOWS

the fact that
```

is faithfully flat on 0-•Moreover, if  $P\:\text{is}$  of finite type\_o

on S locally Noetherian all points s satisfying a condition above is buildable., therefore, if s is a "pre-schema of Jacobson" (for exam- ple a diagram of such finished on a body, or a ring of Jacobson more generally), it suffices to verify the condition in question for the <u>firm</u> points of s. This brings us back to the case where the basis is the spectrum of a complete local ring s. When

 ${\bf S}$  = Spec (A)(A complete noetherian local ring ) and that P is of finite type on

S, the faithful flatness of P / S is equivalent also has the existence of an S  $^{\prime}$  finished and

flat over S such that P ' is trivial, and side plus G is <u>simple</u> over S, we can assume S' spreads over S. Consequently, side plus the residual field of A is algebraically closed ( <u>ugeometric case uppersonants</u>. P is faithfully flat over A if and on ONLY LEMENT if it is trivial. Done, if S is an algebraic pre-schema on a field algebraically closed, and G simple of finite type on S, we see that the condition of faithful flatness over S is equivalent 10 the condition of analytical triviality (SLF)

de SERRE {(6] p. 1-12).

We can introduce other types of more strong in terms of P, with the na- ture of a "triviality local". We will say in particular, that P is  $\underline{isotrivial}$  (resp.  $\underline{Strictly\ isotrivial}$ ) if for all  $\mathbf{Sf}$  S, there exists an open neighborhood

U of s, and a morphism finished and faithfully flat (resp. A <u>étale sur-jective</u>)  $\mathbf{U} \cdot \mathbf{U}$  as P' = p x S' is trivial. (We are deviating from the terms of Serre [1J, which calls locally isotrivial this that we call strictly isotrivial). The strict isotrivialite is especially useful if G is sim-ple on S, but is a concept inadequate by the center in the other cases.

If G is  $\underline{affine}$  on S, any fiber main homogeneous P in G is affine of Apre s l e paragraph e 2 , o u l a possibility , grac e a u theorem  $\underline{e^2}$ , d e  $\underline{l}$ 'd e CSNDT r $\underline{l}$ e

### A. GROIHENDIECK

of such fibers by the morphisms faithfully flat and quasi-compact. Consider, in particular, G = GI (n)  $_5$ , defined by the condition that the functor of S-pre-schemas S ' Homs (S', G) (a value in the category of groups) is identified with the func- teur G (S = GI (n, H (S', Z, Q, N)) du A, paragraph e 4, (e). Utilisant s e

(i) that any homogeneous main fiber under G (resp. any locally free sheaf of rank n on S ) becomes isomorphic to the "trivial" object G (resp.

by extension faithfully flat and quasi. compact suitable for S, (ii) that can be down objects of the type contemplated (fibers main homogeneous under G, resp. bundles locally free of rank n) f, lr of such morphisms , and en- end (iii) that the group of automorphisms of fiber trivial on a S '/ S is func- toriellement isomorphic to the group of automorphisms of the beam locally free of rank n trivial on S', we concluded "formally "that "even returns "from

s e gives r su r S (o u su r u n 8  $^{\prime}$ / S ) u n fibr e Principa the homogen e d e group e G , or of it give a beam locally free of rank n. (From Fagon more precise,

o n a a e <u>equivalenc e d e category s f i . O n e n concluded t e n particular</u>

PROPOSAL 6.1. - All fiber main homogeneous group Gl (n) 5 is locally ment trivial.

By the arguments known, is in concludes the same results for the groups struc- Turaux such that S1 (n) S, Sp (n) and the products of such groups. We in conclusion

also that, if F is a closed subgroup of G =  $\operatorname{Gl}$  (n)

, flat on S, such that

the quotient G / F exists, and that G is a homogeneous main fiber isotrivial (resp. strictly isotrivial) on G / F , of group F  $x_8$  (G / F), then fiber

main homogeneous of group F is isotrivial (resp. strictly isotrivial).

This applies a tousles "groups linear "on s that have been used up to present ' and in particular, u case  $ORG = S \times k$  r' s being a pre-schema on the body k , and r a group linear in the classical sense , and in particular sim

ple over k This solves therefore, for of such groups, an issue of Serre (loc. cit.).

Note also that, for the majority of groups (linear or not) single on S known, and in any case those of the form S x kas above, we can MON trate that all fiber main homogeneous isotrivial is strictly isotrivial, this that i resou den particular, an e AUTR e question de SERR E (loc. cit. 1-14), has held a fiber main homogeneous obtained by a descent has the CARTIER (cf. pa paragraph 3, example 2) is clearly isotrivial.

REMAIQUE. - One of the difficulties essential in these matters (misea hand the question of the existence of schemes quotients G / F) is the lack of criteria ct'effectivite for a given of descent by a morphism faithfully flat <u>not</u> finished.



TECHNIQUE OF DESCENT, I

BIBLIOGRAPHY.

[lJ

[2J

[3J

[4J [5] [6]

DIEUDONNE (J.) and GROTHENDIECK (Alexander). - Elements of geometry algebri- that has pa.raitre in he Publications Mathematics of the Institute of High Studies Scientists.

GRAUERT (H.) und HEMMERT (R.). - Komplexe Raume, Math. Annalen, t. 1.36, 1958, p.245-318.

GROTHENDIECK (Alexander). - Formal geometry and algebraic geometry. Semi nary Bourbaki t. 11, 1958/59, n ° 182, MURRE (JP). - We have connectedness theorem for a birational transformation at a simple point, Amer. J. Math., T. BO, 1958, p. 3-15.

SERRE (Jean-Pierre). - Algebraic geometry and analytical geometry , Ann. Inst. Fourier Grenoble, t. 6, 1955-56, p. 1-42.

SERRE (Jean-Pierre). - Espa.ces fibers algebriques, Seminaire Chevalley, t. 2, 1958: Rings of Chow and applications, No. 1.











