Lecture 1 Time & space complexity, and big O notation

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High-level course introduction

Welcome to this algorithms and data structure course! The primary goal is to help you develop the mindset and skills of a computer scientist, specifically with a focus on algorithmic thinking. Throughout this course, you'll learn how to approach problems in a structured way, breaking them down into steps that a computer can execute. This will involve thinking critically about how algorithms work, how efficient they are, and how they can be optimized.

In addition, you'll gain practical insights into what to do when your code runs too slowly. Understanding the performance of algorithms and knowing how to identify inefficiency in code will also be a key part of the course.

It's important to note that this course is highly compressed. While it touches on many of the fundamental topics found in traditional undergraduate algorithms and data structures courses, we won't have time to delve deeply into every subject. Instead, we'll focus on key ideas that will equip you with the essentials for algorithmic problem-solving.

Learning objectives

By the end of this lecture, students will be able to:

- Given code, determine the time and space complexity using Big-O notation.
- Classify an algorithm into one of the common complexity classes (e.g. constant, logarithmic, linear, quadratic, etc.).
- Describe the linear and binary search algorithm.
- Explain why searching in a sorted list is faster than in an unsorted list.
- Contrast time and space complexity.
- Measure the amount of time code in Python takes using [timeit] or the [time] library.
- Maintain an awareness that choosing a good algorithm matters, sometimes a lot!

```
# import packages needed in this lecture
import numpy as np
import pandas as pd
from collections import defaultdict
import altair as alt
import matplotlib.pyplot as plt
%config InlineBackend.figure_formats = ['svg']
```

Note: this lecture is meant to be run in a Jupyter notebook. Part of the experience is that some cells take longer to run than others. Simply reading the notebook will not make sense.

1. Code demos: searching and sorting

Demo 1: searching (list vs. set)

Common problem: is a certain value present in a collection of items?

```
n = 10_000_000
x = list(range(n))
x[:20] # first 20 items
```

```
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19]
```

```
-1 in x
```

False

```
%timeit (-1 in x)
```

55.5 ms \pm 1.02 ms per loop (mean \pm std. dev. of 7 runs, 10 loops each)

```
x = set(range(n))
```

```
-1 in x
```

False

```
%timeit (-1 in x)
```

```
9.4 ns \pm 0.0203 ns per loop (mean \pm std. dev. of 7 runs, 100,000,000 loops each
```

Note that we were cheating a little bit, because *creating* the set takes longer than creating the list.

Note

We use the timeit function to measure the runtime of a single statement. For more details, please refer to this note.

Demo 2: sorting (selection vs. quick)

Aside: min vs. argmin

```
x = [4.2, 98.2, 9.0, 0.1, 30, 2.5, 2.6]
min(x) # Python min
0.1
np.min(x) # Numpy min: generally better if working with Numpy arays
0.1
np.argmin(x)
3
x[3]
0.1
```

Above: np.argmin gives us the index of the smallest value.

Now, back to the demo.

```
n = 20_000
x = list(range(n))
```

```
np.random.shuffle(x)
```

```
x[:10]
```

```
[16251, 3108, 11528, 7677, 17753, 18100, 4390, 11556, 14094, 19437]
```

```
print('sorting x...')
for i in range(n):
    min_ind = np.argmin(x[i:]) + i
    x[i], x[min_ind] = x[min_ind], x[i] # swap
print('done')
```

```
sorting x...
done
```

```
x[:10]
```

```
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
```

```
np.random.shuffle(x)
```

```
x[:10]
```

```
[13577, 2629, 6606, 12522, 898, 18853, 18433, 2538, 4435, 11524]
```

```
x.sort()
```

```
x[:10]
```

```
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
```

Demo 3: batch operations (loop vs. vectorized)

```
n = 50_{000_{00}
x = np.random.rand(n)
y = np.zeros(n)
x.shape
(50000000,)
y.shape
(50000000,)
x[:10]
array([0.3395551 , 0.67566854, 0.47210526, 0.2550656 , 0.1592793 ,
       0.54044955, 0.44218232, 0.86662073, 0.84761432, 0.66299453])
y[:10]
array([0., 0., 0., 0., 0., 0., 0., 0., 0., 0.])
print("running y=x*2")
for i in range(n):
    y[i] = x[i] * 2
print("done")
running y=x*2
done
x = np.random.rand(n)
y = np.zeros(n)
```

$$y = x * 2$$

Questions we'll answer in this course:

- How could I have predicted these outcomes?
- What if I double the size of n, how much slower will the code be in each case?
 (Quantitative analysis)
- Why did this happen? (not in detail)

2. Time complexity

- We'll focus on the 2nd question about: how does the runtime change as a function of n?
- For now, let's ask ourselves: "if we double n, what happens to the number of steps?"

Examples from the above demos

For each of the following, what happens to the runtime if we double n?

Operation: Finding whether a number is in a list.

Answer: it doubles.

Operation: Finding whether a number is in a set.

Answer: it stays roughly the same.

Operation: Sorting with my code.

Answer: it quadruples (4x)

Operation: Sorting with sort.

Answer: it slightly more than doubles

Operation: Doubling an array with a loop.

Answer: it doubles

Operation: Doubling an array with numpy.

Answer: it doubles (but is much faster than with a loop!)

These scenarios form part of the roadmap of the course - by the end we'll hopefully have explored most of them.

3. Big O Notation

3.1. Definition

- We will formalize time complexity using *Big O notation*.
 - \circ In addition to O, there is also o, ω , Ω , θ , Θ , and more. But Big O is the most common and we'll only discuss Big O.
 - We will not go into the mathematical details but if you're interested, you can read about it online (e.g. the Wikipedia article).
- The Big O tells us the approximate number of steps an algorithm performs as a function of the input size (i.e. n above)

Common runtimes

Big O	name	change in runtime if I double n ?	
O(1)	constant	same	
$O(\log n)$	logarithmic	increased by a constant	
O(n)	linear	2x	
$O(n \log n)$	linearithmic	roughly 2x	
$O(n^2)$	quadratic	4x	
$O(n^3)$	cubic	8x	
$O(n^k)$	polynomial	increase by a factor of 2^k	
$O(2^n)$	exponential	squared	

- We write O(f(n)) for some function f(n).
- You get the doubling time by taking f(2n)/f(n).
- E.g. if $f(n) = n^3$, then $f(2n)/f(n) = (2n)^3/n^3 = 8$.
 - \circ So if you double n, the running time goes up 8x.
- For $O(2^n)$, increasing n by 1 causes the runtime to double!

Note: these are **common** cases of big O, but this list is not exhaustive.

Back to the examples from earlier

For each of the following, what is the time complexity in Big O notation?

• Finding whether a number is in a list.

Answer: O(n)

• Finding whether a number is in a set.

Answer: O(1) (more on this in Lecture 2)

• Sorting with my code.

Answer: $O(n^2)$

• Sorting with sort.

Answer: $O(n\log n)$ (more on this in Lecture 5)

• Doubling with a loop.

Answer: O(n)

• Doubling with numpy.

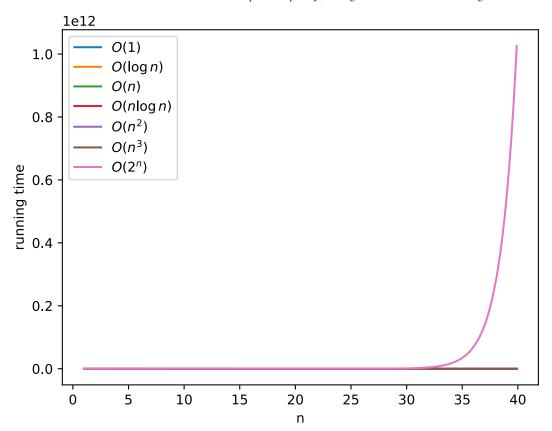
Answer: O(n)

Constant Factors & Lower-Order Terms

• In Big O notation, we ignore multiplicative constants.

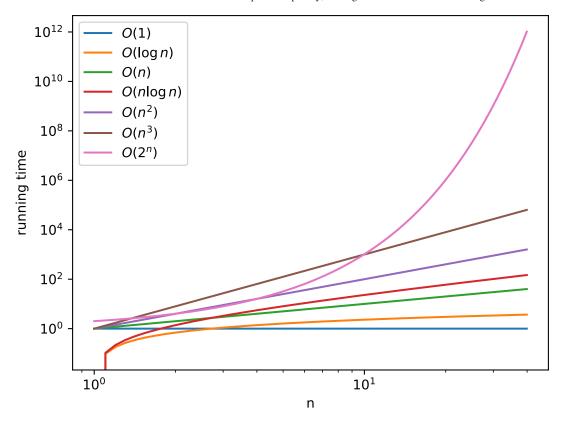
- \circ If an algorithm takes 2n steps, we write O(n), not O(2n).
- We're interested in how the runtime grows, not the exact runtime.
- In Big O notation, we ignore "lower order" terms, including additive constants.
 - \circ If the number of steps is n+5, we write O(n) not O(n+5)
 - \circ If the number of steps is $n + \log n$, we write O(n) not $O(n + \log n)$
 - \circ We're interested in the growth when n is large.
 - \circ The lower order terms stop being important when n is large.
 - But they might be important when n is small!
- As such, Big O complexities can be misleading at times.
 - \circ Is code that runs in $O(\log n)$ time faster than code that runs in O(n) time?
 - Not always! It depends on the details.
 - $10000 \log n$ is more than 0.001n for small values of n.

3.2. Visualizing Time Complexities



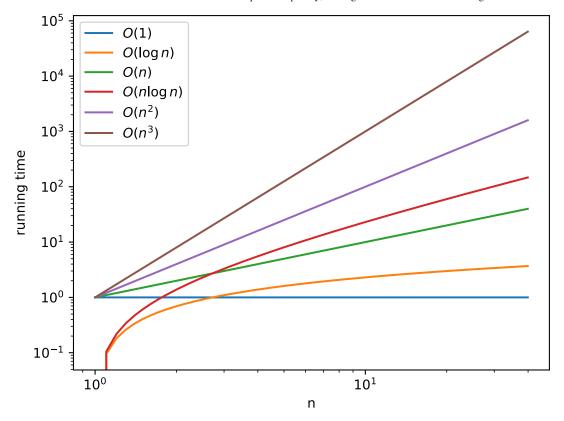
It is also common to look at log-log plots:

```
for name, t in times.items():
    plt.loglog(n, t, label=name)
plt.legend();
plt.xlabel('n');
plt.ylabel('running time');
```



- Above: we see that 2^n (exponential) is by far the biggest!
- Let's remove 2^n so we can see the rest of the log-log plot more clearly:

```
for name, t in times.items():
    if name != '$0(2^n)$':
        plt.loglog(n, t, label=name)
plt.legend();
plt.xlabel('n');
plt.ylabel('running time');
```



In log-log plots, polynomials turn into straight lines, with slope equal to the exponent.

3.3. Example Big O Analysis

Find the time complexities of f and g below.

```
def f(n):
    for i in range(n):
        for j in range(n):
            print(i, j)
```

 $O(n^2)$

```
def g(n):
    for i in range(n):
        print(i)
    for j in range(n):
        print(j)
```

O(n)

How to determine the complexity?

- With raw Python code, we can often "count the number of loops"
 - \circ A loop n times gives O(n)
 - A nested loop gives $O(n^2)$
 - etc.
- However, we have to think about the functions we're using.

Step 1: Determine the number of steps

```
def g(n):
    for i in range(n):  # n iterations
        for i in range(n):  # n iterations
            print(j)  # constant-time (K)
    for j in range(n):  # n iterations
        print(j)  # constant-time (K)
```

So we have $f(n) = Kn^2 + Kn$

Step 2: Remove constant factors and lower-order terms

K is a constant factor, so we can remove it: $n^2 + n$.

n is lower order than n^2 , so we can remove it: n^2 .

Leaving us with: $O(f(n)) = n^2$

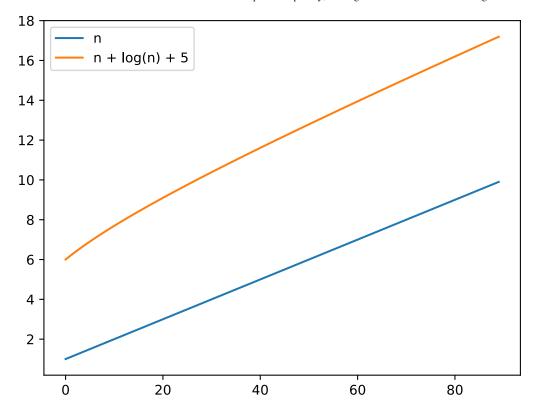
What factors affect the runtime?

- What affects the Big O?
 - Typically, just the algorithm.
- What affects the constant?
 - The algorithm.
 - Does it take n steps or 2n steps or 100000n steps?
 - How complicated is each step?
 - The implementation.
 - How fast is your programming language?
 - How fast are your libraries (e.g. Numpy)?
 - How fast is your laptop?
 - Is there an opportunity for parallel computation?
- The implementation issues are quite complicated.
 - More on this later.

3.4. Lower Order Terms Revisited

Why is $O(n + \log(n) + 5)$ considered the same as O(n)?

```
n = np.arange(1,10, 0.1)
plt.plot(n, label="n")
plt.plot(n+np.log(n)+5, label="n + log(n) + 5")
plt.legend();
```

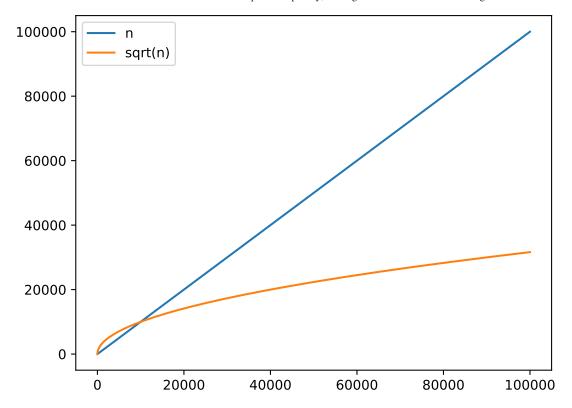


- What you can see here is that $n + \log(n) + 5$ starts curved but soon becomes linear-looking.
- We call the $\log(n)$ and the 5 "lower order terms" because the grow more slowly than the dominant term (n).

For small n, the lower-order terms matter

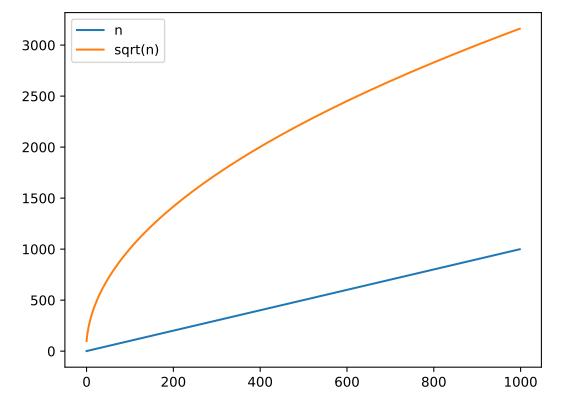
- Consider an algorithm that takes $100\sqrt{n}$ steps vs. an algorithm that takes n steps.
- According to big O, the second one is a faster-growing function, meaning the code will be slower.
- Let's plot it:

```
n = np.arange(1,100000)
plt.plot(n, label="n")
plt.plot(100*np.sqrt(n), label="sqrt(n)")
plt.legend();
```



- That seems to be true, n grows faster than \sqrt{n} .
- But what if we zoom in:

```
n = np.arange(1,1000)
plt.plot(n, label="n")
plt.plot(100*np.sqrt(n), label="sqrt(n)")
plt.legend();
```



- Actually, at first, the $100\sqrt{n}$ algorithm is slower!
- $\bullet\,$ So, we can't ignore the lower order terms all the time, we can just ignore them for large n
- Big O analysis isn't the be-all and end-all. It's just often useful.

Addition vs. multiplication

- How is $n + \log(n)$ different from $n \log(n)$
- The first is O(n), what about the second?

- The second is $O(n \log(n))$.
- In Big O analysis, we keep the biggest **term**.
 - Where "terms" are things being added together (not multiplied!)
- In the first case, the biggest term is n.
- In the second case, the biggest (and only) term is $n \log(n)$.

3.5. Big O with two variables

Consider this code:

```
def fun(n,m):
    for i in range(n):
        for j in range(m):
            print("Hello")

    for j in range(m):
        print("Hello")
```

What is the time complexity?

nm + m

- The time complexity here is O(nm).
- If m=n then it would be $O(n^2)$.
- Note that everything should be combined in one big O
 - \circ it should be O(nm) and not O(n)O(m).
- Likewise with addition, one would write O(n+m) and not O(n)+O(m).
- O(nm+m) would be just O(nm)
 - $\circ \hspace{0.2cm} nm+m=(n+1)m$ and we don't make a distinction between n and n+1
- $O(n^2 + n + m)$ would be just $O(n^2 + m)$
- $O(n^2 + nm + m)$ would be $O(n^2 + nm)$. We can't throw away either term because we don't know which will end up being the "dominant" factor.

3.6. Worst/best/average cases

Consider this code:

```
def find_0(x):
    for x_i in x:
        if x_i == 0:
            return True
    return False
```

- If n is the length of x, the runtime here is O(n).
- But is it always? What if we know $\times [0]$ is always 0, for any n? Then it's O(1).
- What if the 0 could be anywhere? Then it's n/2 on average which is O(n).
- Sometimes algorithms have different best case, worst case and average case runtimes.
- In this course we won't go into this distinction. We're generally referring to the worst case, which often is the same complexity as the average cases anyway when you're concerned with the asymptotic behaviour.

3.7. More examples: linear search and binary search

We return to the problem of checking whether an element is present in a collection.

Linear search

```
def search_unsorted(data, key):
    Searches the key in data using linear search
    and returns True if found and False otherwise.
    Parameters
    data : list
           the elements to search within
    key : int
           the key to search for
    Returns
    bool
        boolean if key is contained in the data
    Examples
    >>> search_unsorted([1, 7, 67, 35, 45], 3)
    >>> search_unsorted([1, 7, 67, 35, 45], 7)
    True
    .....
    for element in data:
        if element == key:
            return True
    return False
```

Question: What is the time complexity of the $[search_unsorted]$, as a function of the length of the list, n?

Answer: The time complexity of the search_unsorted function is O(n) because in the worst case the function loops over n elements.

Binary search

- If the list is already sorted, we can search much faster with *binary search*.
- See the "binary search video" that was assigned as pre-class viewing.
- We start in the middle and can just restrict ourselves to searching half the list after a comparison.
- Note: the input list must be sorted for the code to work.
- For more information, you can check out https://www.youtube.com/watch?
 v=y62zj9ozPOM&t=1314s (watch until 31:00.)

```
def search_sorted(data, key):
    Searches the key in data using binary search
    and returns True if found and False otherwise.
    Parameters
    data : list
           a list of sorted elements to search within
    key : int
           the key to search for
    Returns
    bool:
        boolean if key is contained in the data
    Examples
    >>> search_sorted([1, 7, 35, 45, 67], 3)
    >>> search sorted([1, 7, 35, 45, 67], 7)
    True
    low = 0
    high = len(data) - 1
    while (low <= high):</pre>
        mid = (high + low)//2
        if data[mid] == key:
            return True
        if key < data[mid]:</pre>
            high = mid - 1
        else:
            low = mid + 1
    return False
```

Question: What is the time complexity of the $[search_sorted]$, as a function of the length of the list, n?

Answer: The time complexity of the search_sorted function is $O(\log n)$ because in the worst case, the function loops over $\log n$ elements, as the search space reduces by half in each iteration of the loop.

Question: What happens if you call search_unsorted on sorted data? What happens if you call search sorted on unsorted data?

Answer: The search_unsorted function does not care about whether the data is sorted or not. In both cases, it sequentially searches for the key and returns True when it is found. The search_sorted function, on the other hand, is based on the assumption that the data is sorted in ascending order and you might miss the element you are looking for if called on unsorted data. In binary search, whenever we are in a position, all elements on the left are less than (or equal to in cases where values occur multiple times in the data) the element at the position and all elements on the right are greater than the element at the position, which is helpful in deciding which part of the list the next search should happen.

For example:

```
search_sorted([3, 2, 1], 1)
```

False					
Question: Why doesn't the search_sorted function start by verifying that the list is indeed sorted?					
Answer: because this would take $O(n)$ time, defeating the purpose of the $O(\logn)$ lookup.					
Code timing (pre-lab)					
Below we empirically measure the running times of search_unsorted and search_sorted.					
Question: Why do I search for -1 in the code below? Why not 1 ?					

```
results = defaultdict(list)
results["size"] = list_sizes
key = -1
for list size in list sizes:
   print('List size: ', list_size)
   x = np.random.randint(1e8, size=list_size)
   time = %timeit -q -o -r 1 search_unsorted(x, key)
    results["Unsorted list linear"].append(time.average)
   # Note: -q prevents it from printing to the terminal
           -o sends the result to a variable (average time in seconds)
           -r 3 makes it average only 3 trials instead of the default of 7, w
   #
   time = %timeit -q -o -r 1 (key in x)
    results["Unsorted list in"].append(time.average)
   x.sort()
   time = %timeit -q -o -r 1 search sorted(x, key)
    results["Sorted list binary"].append(time.average)
   x set = set(x)
   time = %timeit -q -o -r 1 (key in x set)
    results["Python set in"].append(time.average)
```

```
List size: 100
List size: 1000
List size: 10000
List size: 1000000
List size: 10000000
List size: 10000000
```

Answer: we search for -1 because we know it will not be in the array. This gives us a worst case timing, because searching is the slowest if it has to keep looking; it can be faster if it finds something right away. For example, if it's the 1st element, then linear search would seem extremely fast.

```
df = pd.DataFrame(results, columns=list(results.keys()))
df
```

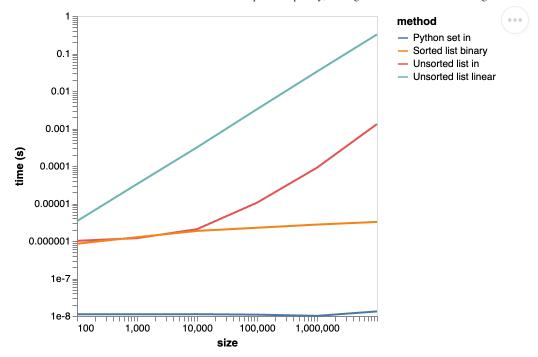
	size	Unsorted list linear	Unsorted list in	Sorted list binary	Python set in
0	100	0.000003	0.000001	8.477828e-07	1.112635e-08
1	1000	0.000033	0.000001	1.263219e-06	1.115412e-08
2	10000	0.000314	0.000002	1.857211e-06	1.117821e-08
3	100000	0.003271	0.000011	2.255507e-06	1.086280e- 08
4	1000000	0.033048	0.000090	2.742764e-06	1.008301e-08
5	10000000	0.323278	0.001316	3.225544e-06	1.326924e- 08

Are these consistent with the time complexities we expected?

Reading runtimes from a table: what happens of N becomes 10N?

- ullet Linear: time T goes up to 10T
- Logarithmic: time T goes up to $T+\Delta T$
- ullet Constant: time T stays about the same

```
df_long = pd.melt(df, id_vars="size", var_name="method", value_name="time (s)"
alt.Chart(df_long).mark_line().encode(
    alt.X('size', scale=alt.Scale(type='log')),
    alt.Y('time (s)', scale=alt.Scale(type='log')),
    color='method'
).configure_axis(grid=False)
```



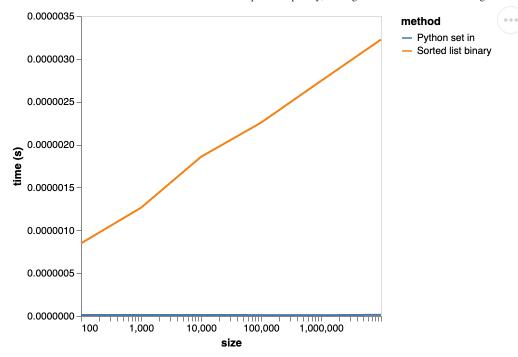
Note that the $[binary_search]$ we wrote is actually slower than the linear search using [in] when the list is smaller than 10,000 elements. Remember, big-O is just an "asymptotic" trend. There could be:

- Large/small constants, like $1000 \log(n)$ vs. n.
- "Lower order terms", like $\log(n) + 100 \log \log(n) + 100$ vs. n.

We are probably seeing the former; my code performs fewer steps (better complexity), but each step is much slower because the implementation is not optimized. (Often, though, we don't care too much about how code performs for very small inputs.)

(**Note:** the last ~10 minutes of material are not easy and very important. If you didn't completely follow, please review them later and ask questions as needed!)

The two fastest methods (the orange and blue curves) look quite similar. We can compare them on a log plot (time vs. $\log n$) to try and tell the difference.



We can see that the set really is constant, but the binary search is logarithmic - in the plot, time is linear in log(n).

4. Space Complexity

- If code takes too long to run, that might be a problem.
- Another possible problem is running out of memory.
 - Note: this is NOT the same as "disk space".

```
import psutil
psutil.virtual_memory()
```

svmem(total=19327352832, available=7067254784, percent=63.4, used=9412755456,

- Apparently I have about 16 GB of RAM.
- A number typically takes up 8 bytes, so I can store around 2 billion numbers.
 - Actually less, because I have other stuff going on, not just Python.
 - Plus, there's overhead from within Python.
- If my code needs to store 2 billion numbers at the same time, I can't run it on my laptop.
- We also analyze space complexity using Big O notation.

- With time complexity, we tend to think more about the algorithms.
- With space complexity, we tend to think more about the data structures.

Example 1:

x = np.zeros(n)

Space complexity: O(n)

Example 2:

x = np.zeros((n,n))

Space complexity: $O(n^2)$

Example 3:

x = np.zeros((n,n,5))

Space complexity: $O(n^2)$

Example 4:

```
x = np.zeros((n,n,n))
```

Space complexity: $O(n^3)$

Some tools for evaluating np array size:

```
x = np.zeros((3,3,3))
```

x.shape

(3, 3, 3)

x.size

27

np.prod(x.shape)

27

Example 5:

x = np.zeros(5)

Space complexity: O(1)

Example 6 (time permitting):

You have n users on your social network site, and you want to store a "level of friendship" between every pair of users.

Space complexity: $O(n^2)$

Example 7 (time permitting):

You have n users on your social network site, and you want to store who is friends with who.

Space complexity: it depends! If each user only has a constant (independent of n) number of friends, then O(n). But in the worst case it could be $O(n^2)$.

More on this in week 3!

Subtlety about space complexity:

```
range(5)

range(0, 5)

list(range(5))

[0, 1, 2, 3, 4]

np.arange(5)

array([0, 1, 2, 3, 4])
```

5. (Optional) Memory Swapping

```
import psutil
psutil.virtual_memory()
```

svmem(total=19327352832, available=7033159680, percent=63.6, used=9440935936,

```
x = np.random.rand(1_000_000_000)
```

```
x.shape
```

```
(1000000000),)
```

```
psutil.virtual_memory()
```

svmem(total=19327352832, available=3236691968, percent=83.3, used=5726339072,

x.nbytes

8000000000

from sys import getsizeof

getsizeof(x)

8000000112

 $x = np.random.rand(3_000_000_000)$

x.nbytes

24000000000

psutil.virtual_memory()

svmem(total=19327352832, available=2860318720, percent=85.2, used=6300483584,

x.shape

(3000000000,)

It seems that I'm able to create a 24 GB numpy array with only 16 GB of RAM on my machine. This is most likely due to the availability of <u>swap memory</u>, where data in the RAM are written to the hard drive to make room for extra data when the RAM is nearly full.