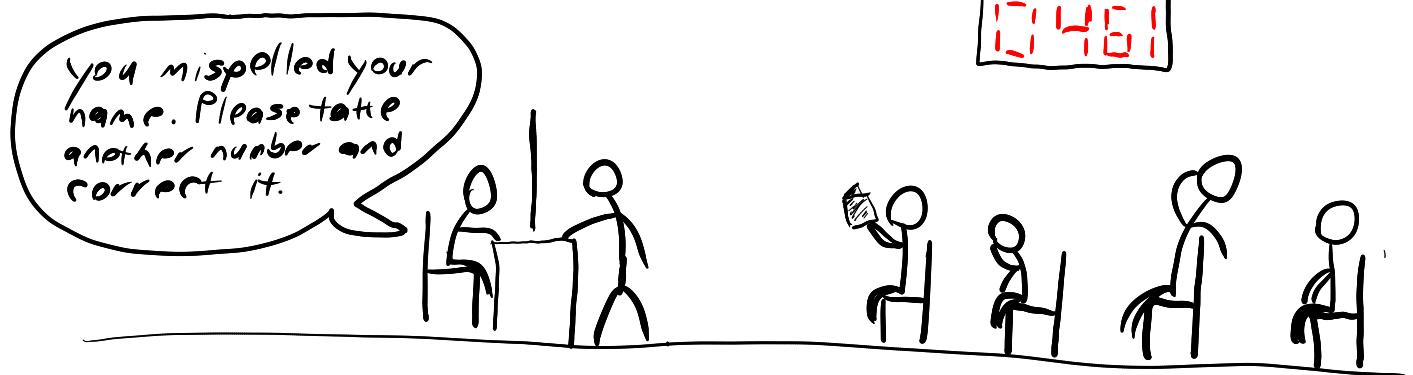


# CMPT 476 Lecture 27

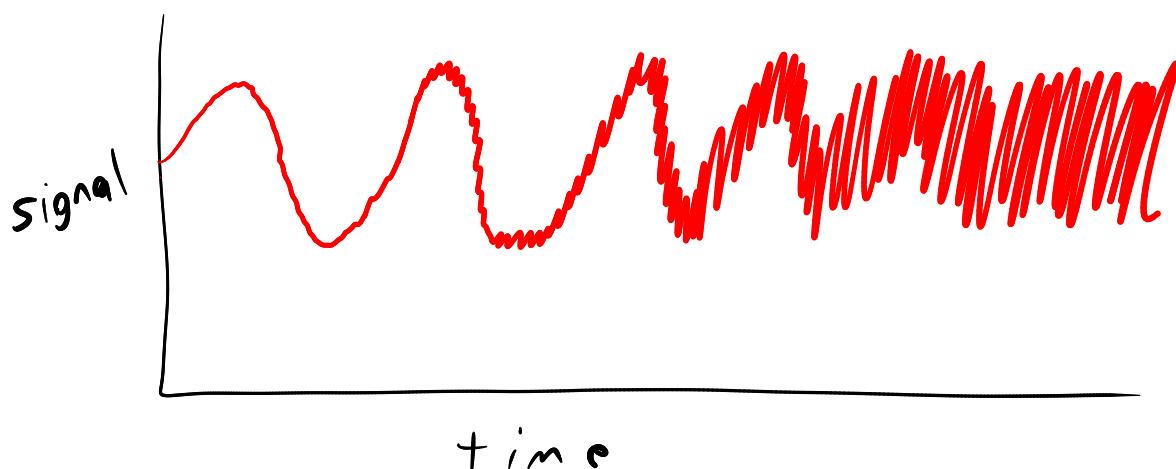
## Error correction

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We've spent a lot of time talking about quantum algorithms and the applications of quantum information processing, but there's a problem — a problem **so big** that it's led prominent researchers like **Gil Kalai** to believe quantum computing can never be realized in practice. This is the problem of **errors and noise**.



## (Noisy computation and errors)

Consider a computer (quantum or otherwise) where every operation (e.g. arithmetic in a CPU) has a non-zero probability of error  $p$ . An algorithm with  $t$  steps hence has probability  $(1-p)^t$  of succeeding without any errors. If  $p$  is very very small, maybe that's OK, but computation can quickly degrade with even small probabilities of error.

**Classical** computers are subject to errors (as is any necessarily imperfect physical process), but for most CPUs we can imagine it as around

$$10^{-17} \leftarrow \begin{array}{l} \text{probability a photon} \\ \text{randomly flips a bit} \end{array}$$

which amounts to  $\sim 10^{17}$  operations to degrade.

By contrast, **quantum** computers have error rates around  $\underline{10^{-2}}$  and can optimistically get down to  $10^{-3}$  in the coming years. At this rate, we get something like  $\sim 100$  gates before our state is completely useless.

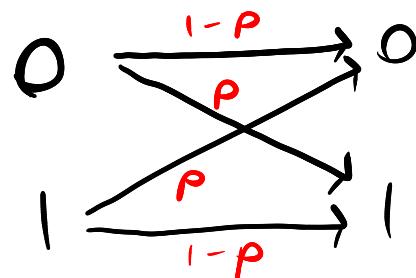


There are contexts in classical computing with **high error rates**, like data transmission through space, so to figure out how to deal with quantum noise, we can first look at how it's dealt with classically.

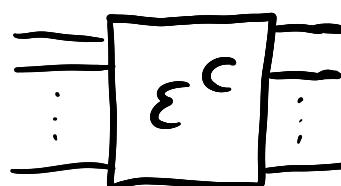


## (Error models)

Before we can **correct** errors, we first need to know (formally) what errors can occur and how. This is called an **error model**. One simple classical error model is to assume that when we transmit  $n$  bits of information, each bit is **flipped** with independent probability  $p$ .



This is called the **bit flip channel**, denoted  $\mathcal{E}^C$



Note that for small  $p$ , a single bit flip is much more likely than two — roughly  $O(p)$  vs  $O(p^2)$ .

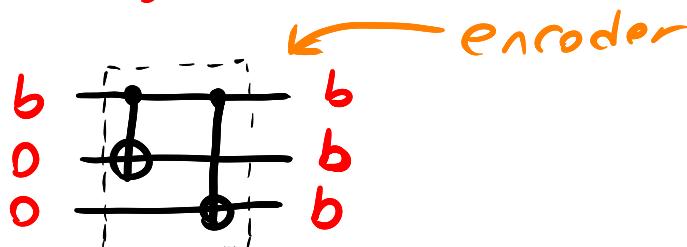
## (Error correction)

If we have a single bit  $b$  that we want to send over a noisy bit flip channel, the natural thing to do is make **copies** of  $b$  and send all of them. Intuitively we would expect that on average, assuming  $p \ll \frac{1}{2}$ , no bit flip occurs. If **Alice** is on the other end of the channel, she can then just take the **average** value, or the **majority** value of all received bits, which should be  $b$  with reasonably high probability.

## (The three-bit code)

Suppose in the previous scheme we use 3 bits to send Alice the single bit  $b$ .

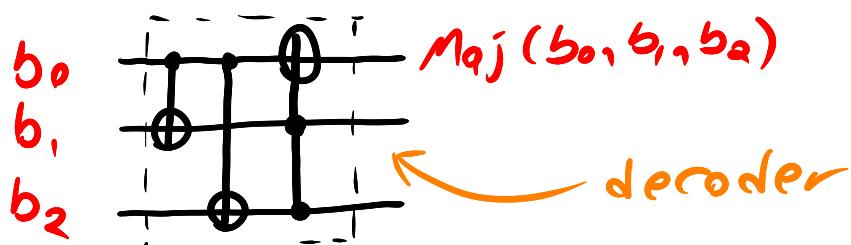
First we prepare the 3-bit state  $b_0 b_1 b_2$ , called the encoding of  $b$ .



Then we send this to Alice through the bit flip channel, which results probabilistically in a three-bit state  $b_0 b_1 b_2$ . To decode  $b_0 b_1 b_2$  (i.e. guess what  $b$  was), Alice takes the majority value of  $b_0 b_1 b_2$ . Noting that

$$\begin{aligned}\text{Maj}(b_0, b_1, b_2) &= b_0 b_1 \oplus b_0 b_2 \oplus b_1 b_2 \\ &= b_0 \oplus (b_0 \oplus b_1)(b_0 \oplus b_2)\end{aligned}$$

Alice can decode as follows



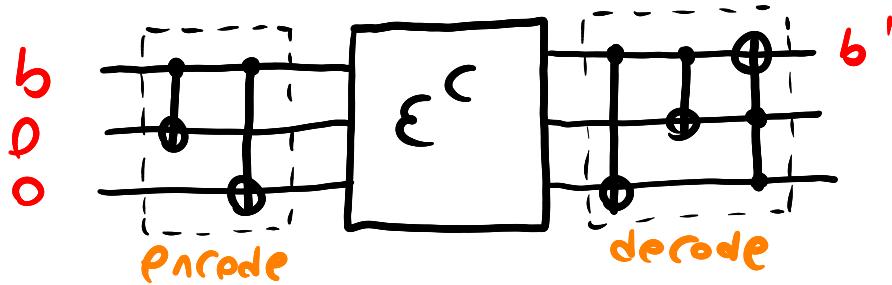
Suppose we sent the bit  $b = 0$ . What would the probability Alice decodes 0 be?

Bits flipped	probability	possible values
0	$(1-p)^3$	000
1	$p(1-p)^2$	100, 010, 001
2	$p^2(1-p)$	110, 101, 011
3	$p^3$	111

If the state  $b_0, b_1, b_2$  is one of  $000, 100, 010, 001$ , the majority is 0 so Alice's decoded bit is 0. However, the other 4 cases all have majority 1, in which case Alice's decoded bit has a bit flip. So the probability that the bit  $b$  has been flipped is

$$p' = 3p^2(1-p) + p^3$$

If  $p = \frac{1}{4}$ , then  $p' = \frac{10}{64} = \frac{5}{32} < \frac{1}{4}$ , so we have a lower probability of error than if we had just sent  $b$  alone. Here's the full protocol:



## (Error correcting codes)

In the above we encoded a bit  $b$  as  $bbb$  and decoded three bits  $b_0, b_1, b_2$  as  $\text{maj}(b_0, b_1, b_2)$ . This is an example of an **error correcting code** and an associated decoder. We refer to the encodings 000 and 111 as **codewords** and call 000 (resp. 111) **logical 0** (resp. 1).

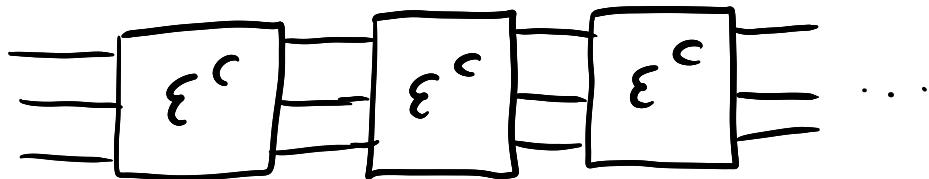
$$0_L = 000$$

$$1_L = 111$$

More generally, an <sup>binary</sup> error correcting <sup>block</sup> code associates to each string of  $k$  bits an  $n > k$  bit codeword. If after transmission through a noisy channel, the  $n$  bit string is not a codeword, some error must have occurred. Decoding amounts to determining the **most likely** codeword, given the received word and error model.

### (Syndrome decoding and linear codes)

What if the **memory** in our computer was noisy itself? This would be the equivalent of repeatedly applying the bit flip channel to our state



Once we **decode** our state, we lose protection from errors, but if we don't decode we won't correct any errors that previously occurred. This catch-22 can be solved by instead **detecting** which error occurred and then **correcting** it on the encoded state.

Suppose for instance we had the initial state **000** and the bit flip channel flipped the second bit giving **010**. We could take the majority  $\text{maj}(0,1,0) = 0$  and then flip each bit that differs from the majority. While fine for the three-bit code, this is generally inefficient, so most practical codes use **Syndrome decoding**, which efficiently detects which error occurred without explicitly finding the correct codeword. This is possible through the framework of **linear codes**.

## (Syndrome decoding for the three-bit code)

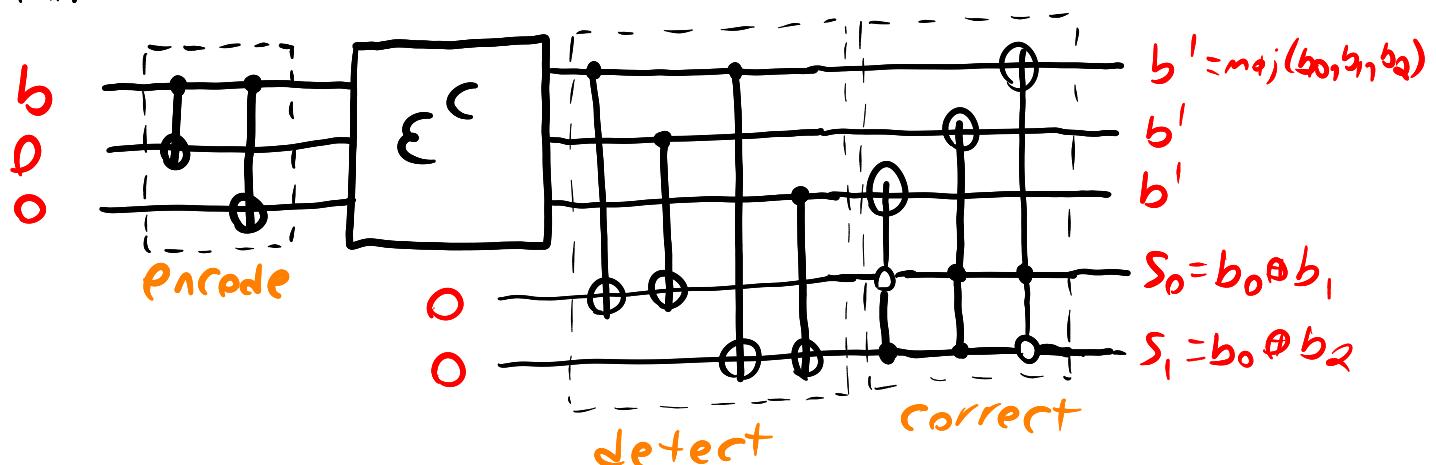
In the three-bit code, observe that if a single bit is flipped, e.g.  $000 \rightarrow 010$ , we can detect exactly where it occurred by taking the parity of  $b_0 \oplus b_1$  and the parity of  $b_0 \oplus b_2$ .

$b_0, b_1, b_2$	$b_0 \oplus b_1$	$b_0 \oplus b_2$	bit flipped
0 0 0	0	0	none
0 0 1	0	1	2
0 1 0	1	1	1
1 0 0	1	0	0
1 1 1	0	0	none
1 1 0	0	1	2
1 0 1	1	1	1
0 1 1	1	0	0

So whether we started with 000 or 111, if 0 bits were flipped the Syndrome string

$$S = (b_0 \oplus b_1, b_0 \oplus b_2)$$

is 0, and otherwise if 1 bit was flipped it tells us exactly which bit was flipped. If we wanted to implement this error detection and recovery as a circuit, we could do so by computing the syndrome and then applying bit flips conditional on the Syndrome:



## (Quantum error correction)

There are a number of obvious problems if we want to apply the ideas of **classical** error correction to **quantum** information.

1. We can't copy an arbitrary state  $|1\rangle$   
(no cloning theorem)

2. Errors form a **continuum** rather than discrete values. In particular, we may have

$$\mathcal{E}^Q: |1\rangle \rightarrow \{(p_1, U_1|1\rangle), (p_2, U_2|1\rangle), \dots\}$$

where we have **infinitely many** possible errors

3. In order to maintain superpositions, we have to detect errors **without** (completely) measuring the state.

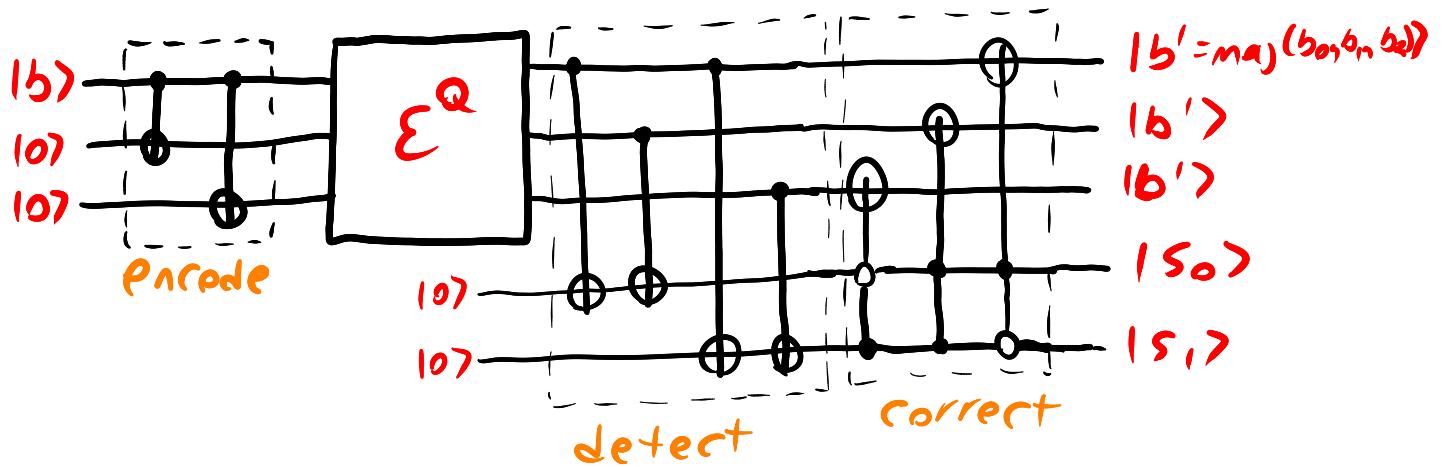
Let's ignore 2 for now and just think about how to correct bit flip errors on a quantum state: that is, correct errors of the form

$$\mathcal{E}^Q: |1\rangle \longrightarrow \{(1-p, |1\rangle), (p, X|1\rangle)\}$$

which is a probabilistic process - called a **quantum channel** - which sends a state  $|1\rangle$  potentially in a superposition of  $|0\rangle$  and  $|1\rangle$  to either  $|1\rangle$  or  $X|1\rangle$  with probability  $(1-p)$  or  $p$  resp.

## (A quantum bit flip code)

We can't protect a state  $|1\rangle$  from the bit flip channel by encoding it as  $|1\rangle|1\rangle|1\rangle$  due to no-cloning, but we know if  $|1\rangle = |0\rangle$  or  $|1\rangle = |1\rangle$  we could encode as  $|0\rangle|0\rangle|0\rangle$  or  $|1\rangle|1\rangle|1\rangle$  and correct as we did classically:



Notice that we conspicuously designed the encoder and correction without the use of measurements. So, what happens if we encode a state in a superposition of  $|0\rangle$  and  $|1\rangle$ ?

$$\alpha|0\rangle + \beta|1\rangle \quad \left. \begin{array}{c} |0\rangle \\ |0\rangle \end{array} \right\} \alpha|000\rangle + \beta|111\rangle$$

Now when we apply the bit flip channel to this state, we get the following possible outcomes:

error	probability	state	syndrome
I $\otimes$ I $\otimes$ I	$(1-p)^3$	$\alpha 000\rangle + \beta 111\rangle$	$ 0\rangle 0\rangle$
I $\otimes$ I $\otimes$ X	$p(1-p)^2$	$\alpha 001\rangle + \beta 110\rangle$	$ 0\rangle 1\rangle$
I $\otimes$ X $\otimes$ I	$p(1-p)^2$	$\alpha 010\rangle + \beta 101\rangle$	$ 1\rangle 1\rangle$
X $\otimes$ I $\otimes$ I	$p(1-p)^2$	$\alpha 100\rangle + \beta 011\rangle$	$ 1\rangle 0\rangle$
X $\otimes$ X $\otimes$ X	$p^3$	$\alpha 111\rangle + \beta 000\rangle$	$ 0\rangle 0\rangle$
X $\otimes$ X $\otimes$ I	$p^2(1-p)$	$\alpha 110\rangle + \beta 001\rangle$	$ 0\rangle 1\rangle$
X $\otimes$ I $\otimes$ X	$p^2(1-p)$	$\alpha 101\rangle + \beta 010\rangle$	$ 1\rangle 1\rangle$
I $\otimes$ X $\otimes$ X	$p^2(1-p)$	$\alpha 011\rangle + \beta 100\rangle$	$ 1\rangle 0\rangle$

Now we may note that if we apply the correction corresponding to the syndrome to the state after  $E^Q$ , in the first 4 cases (0 or 1 bit flip) we end up in the original encoded state  $\alpha|000\rangle + \beta|111\rangle$ , otherwise we end up with  $\alpha|111\rangle + \beta|000\rangle$ , or the encoding of  $|X14\rangle$ .

So what have we shown? That if rather than encode a quantum state  $|10\rangle$  as  $|10\rangle|10\rangle|10\rangle$ , we encode **computational basis vectors** with the classical 3-bit code, i.e.

$$|10\rangle_L = |10\rangle = |000\rangle$$

$$|11\rangle_L = |11\rangle = |111\rangle$$

then as in the classical case we can recover from a single bit flip error. The key lies in the **detection** of the error via the **Syndrome**, which circumvents the need to measure the state directly.

### (Syndrome measurement)

In practice, we wouldn't want to implement the correction circuit as above, since it's expensive. Instead, we can observe that **measuring** the Syndrome doesn't affect the superposition — it only reveals which error (**probably**) occurred. We can hence measure the Syndrome and then perform **classically controlled corrections**, rather than Toffoli gates:

