Maintenance and evolution of the ESPD EDM

Publications Office - C.3

ESPD Meeting OP-GROW with Open User Community (OUC)

27th January 2022





Table of contents

- 1. Summary of last Seminar on 2nd December 2021
- 2. Next steps Evolution of the ESPD-EDM in 2022
- 3. ESPD v3.0.1
- 4. UUID New proposal (INTERPROC)
- 5. ESPD-EDM & eCertis
- 6. Next meetings
- 7. AOB

1. Summary of last Seminar on 2nd December 2021

- Seminar Report: https://github.com/OP-TED/ESPD-EDM/blob/wgm-reports/ESPD%20Annual%20Seminar/ESPD Seminar Report 20211202.pdf
- Seminar video: https://www.youtube.com/watch?v=42wnvaMihvI
- Main topics:
 - ESPD-EDM v3.0.0 summary
 - GitHub: Online workspace reorganisation and documentation revision
 - ESPD-EDM v3.0.0 data model
 - eCertis
 - ESPD-EDM & eCertis
 - Update of UUIDs for repeatable subgroups
 - Next steps

The Governance foresees 3 version types:

- **1. Patch**: editorial changes, additional clarifications or small bug fixes.
- **2. Minor release**: modifications or additions of elements that do not affect deeply the ESPD-EDM.
- **3. Major release**: fundamental aspects of the ESPD-EDM are affected.

V3.0.1 February 2022 (patch release):

- 1. Reorganisation of the GitHub.
- 2. Update cardinalities in the documentation.
- 3. Update of cardinalities in the example files.

V3.1.0 June 2022 (minor release):

- 1. Reorganisation of the documentation.
- 2. Enforce validation of mandatory fields currently only fields that are present.
- 3. Validate all code lists.
- 4. Provide the codes in EU Vocabularies to ensure alignment between eCertis and ESPD.
- 5. Removal of CEN references.
- **6. Implement** the ESCO occupation code list as genericode.

Possible future work to be discussed:

- 1. Changes in the UUID.
- 2. Guidelines for the retrieval of criterion from eCertis.
- 3. Any other ideas?

3. ESPD v3.0.1 (February 2022)

Reorganisation of the GitHub repository:

- Reorganise the distribution package folders
- Editorial change

Update cardinalities in the online documentation:

- Update cardinalities according to the online documentation review feedback
- Must be coordinated with the data model specification
- Small bug fix

3. ESPD v3.0.1 (February 2022)

Update of cardinalities in the xml example files:

- Update the xml examples according to the online documentation update
- Small bug fix

4. UUID – New proposal

❖ Identification of a criterion and its elements – UUID vs Hash value







INTERPROC: Alternative Approach to Super Hashing

WE ARE
GETTING
CONNECTED.

January 27, 2022







- 1. Overview
- 2. Issues detected in the proposed solution by OP
- 3. Goals
- 4. Prerequisites and assumptions
- 5. Proposed solution
- 6. ESPD Response re-use process
- 7. Pros & Cons
- 8. Questions



1. Overview



Preserve the benefits of fixed question IDs



Provide an alternative solution, which deals with the problem from a different angle



2. Issues detected in the proposed solution by OP

- 1. Deals with the problem at question level
- 2. Complex code will be needed to implement it
- 3. The validation of those complex hashed question identifiers



2.1 Deals with the problem at question level - Visualization

{QUESTION_GROUP

{QUESTION}		Your answer
{QUESTION_SUBG	GROUP	
1 {Q∪	ESTION}	Date of conviction
2 {QU	ESTION}	Reason
3 {QU	ESTION}	Who has been convicted
4 {QU	ESTION}	Length of the period of exclusion
{QU	ESTION SUBGROUP	



3. Goals

- To have mappable pre-defined structures of Criteria (Question & Question Groups)
- 2. To re-use elements from an old ESPD Response to the ESPD Response of a new ESPD Request



4. Prerequisites and assumptions

- 1. Question Group UUIDs map to a specific Question Group with a specific structure
- 2. Questions and Question Groups order matters



4.1 Prerequisites and assumptions – visualization

EG- Convictions							
{QUESTION_GROUP			1		7c637c0c-7703-4389-ba52-02997a055bd7		
{QUESTION}		Your answer	1	INDICATOR			
{QUESTION_SUBGROUP			0n		f5276600-a2b6-4ff6-a90e-b31fe19dae41		
{QUESTION}		Date of conviction	1	DATE			
{QUESTION}		Reason	1	DESCRIPTION			
{QUESTION}		Who has been convicte	1	DESCRIPTION			
{QUESTION}		Length of the period of	1	PERIOD			
{QUESTION_S	SUBGROUP		1		5f9f09f7-f701-432c-9fdc-c22c124a74c9		
	{QUESTION}	Have you taken measur	1	INDICATOR			
	{QUESTION_SUBGROUP		01		74e6c7b4-757b-4b40-ada6-fad6a997c310		
	{QUESTION}	Please describe them	1	DESCRIPTION			
	QUESTION_SUBGROUP}						
QUESTION_SU	JBGROUP}						
QUESTION_SUBGROUP}							
QUESTION_GROUP}							

- 1. Q.G. UUID ⇔ Q.G. Structure
- 2. Q.G. and Q. order matters

Same UUIDs with the same structure



```
{CRITERION
          {SUBCRITERION
                     {QUESTION_GROUP
                                {CAPTION}
                                {QUESTION}
                     QUESTION_GROUP}
          SUBCRITERION}
          {LEGISLATION}
   QG1::1 {QUESTION_GROUP
                     {QUESTION} Q1
              QG1::1 {QUESTION_SUBGROUP
                                {QUESTION}
                                           Q1
                                {QUESTION}
                                           Q2
                                {QUESTION} Q3
                                {QUESTION} Q4
                         QG1::1 {QUESTION_SUBGROUP
                                           {QUESTION} Q1
                                   QG1::1 {QUESTION_SUBGROUP
                                                     {QUESTION}
                                                                 Q1
                                          QUESTION_SUBGROUP}
                                QUESTION SUBGROUP}
                     QUESTION SUBGROUP}
          QUESTION_GROUP}
   QG2::1 {QUESTION_GROUP
                     {QUESTION} Q1
              QG1::1 {QUESTION_SUBGROUP
                                {QUESTION}
                     QUESTION_SUBGROUP}
          QUESTION_GROUP}
```

5. Proposed solution

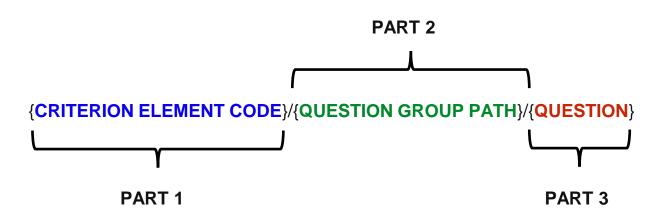
SIMPLE HIERARCHICAL INDEXING

- PART 1 → The Criterion Element Code
- PART 2 → The Question Group path separated by slashes. The cardinality will be provided by two indexes.
- PART 3 → The Question original position number, according to the Criteria Taxonomy excel sheet.



5.1 Proposed solutionvisualization

ESPD REQUEST & ESPD RESPONSE



The slash symbol will be used for delimiter





5.2 Proposed solution – visualization / ESPD Request

JESTION_GROUP {QUESTION} {QUESTION_SUBGROUP {QUESTION} {QUESTION}	Request / EG Cor Example Answer (Not part of Request) Your answer Date of conviction Reason	Cardinality 1 1 0n	Property Data Type INDICATOR	
{QUESTION} {QUESTION_SUBGROUP {QUESTION} {QUESTION}	Date of conviction	1 0n	INDICATOR	
{QUESTION_SUBGROUP {QUESTION} {QUESTION}	Date of conviction	0n	INDICATOR	crime-org/QG1::1/Q1
{QUESTION} {QUESTION}				
{QUESTION}				f5276600-a2b6-4ff6-a90e-b31fe19dae41
· · · · · · · · · · · · · · · · · · ·	D	1	DATE	crime-org/QG1::1/QG1::1/Q1
	Reason	1	DESCRIPTION	crime-org/QG1::1/QG1::1/Q2
{QUESTION}	Who has been convicted	1	DESCRIPTION	crime-org/QG1::1/QG1::1/Q3
{QUESTION}	Length of the period of e	1	PERIOD	crime-org/QG1::1/QG1::1/Q4
{QUESTION_SUBGROUP		1		5f9f09f7-f701-432c-9fdc-c22c124a74c9
{QUESTION}	Have you taken measure	1	INDICATOR	crime-org/QG1::1/QG1::1/QG1
{QUESTION_SUBGROUP		01		74e6c7b4-757b-4b40-ada6-fad6a997c310
{QUESTION} QUESTION SUBGROUP}	Please describe them	1	DESCRIPTION	crime-org/QG1::1/QG1::1/QG1::1/Q1
QUESTION SUBGROUP}				
QUESTION_SUBGROUP}				
QUESTION SUBGROUP		0n		f5276600-a2b6-4ff6-a90e-b31fe19dae41
{QUESTION}	Date of conviction	1	DATE	crime-org/QG1::1/QG1::2/Q1
{QUESTION}	Reason	1	DESCRIPTION	crime-org/QG1::1/QG1::2/Q2
{QUESTION}	Who has been convicted	1	DESCRIPTION	
{QUESTION}	Length of the period of e	1	PERIOD	crime-org/QG1::1/QG1::2/Q4
{QUESTION SUBGROUP		1		5f9f09f7-f701-432c-9fdc-c22c124a74c9
{QUESTION}	Have you taken measure	1	INDICATOR	crime-org/QG1::1/QG1::2/QG1::1/Q1
{QUESTION SUBGROUP	,	01		74e6c7b4-757b-4b40-ada6-fad6a997c310
{QUESTION} QUESTION SUBGROUP}	Please describe them	1	DESCRIPTION	crime-org/QG1::1/QG1::2/QG1::1/QG1::1/Q1
QUESTION SUBGROUP}				
QUESTION SUBGROUP}				
ESTION GROUP}				
JESTION GROUP		1		7458d42a-e581-4640-9283-34ceb3ad4345
{QUESTION}	Is this information availa	1	INDICATOR	crime-org/QG2::1/Q1
{QUESTION SUBGROUP		01		41dd2e9b-1bfd-44c7-93ee-56bd74a4334b
{QUESTION}	Evidence Supplied	1n	EVIDENCE IDE	crime-org/QG2::1/QG1::1/Q1
QUESTION SUBGROUP}				
ESTION GROUP}				



5.3 Proposed solution – visualization / ESPD Response

	ESPD Response / EG Convictions / crime-org					
	Answer Value	Cardinality in Request	Property Data Type	ID		
{QUESTION}	TRUE	1	INDICATOR	crime-org/QG1::1/Q1		
{QUESTION}	9/12/15	1	DATE	crime-org/QG1::1/QG1::1/Q1		
{QUESTION}	[Reason provided by the EO]	1	DESCRIPTION	crime-org/QG1::1/QG1::1/Q2		
{QUESTION}	[Convicted person or legal entity]	1	DESCRIPTION	crime-org/QG1::1/QG1::1/Q3		
{QUESTION}	1/1/16	1	PERIOD	crime-org/QG1::1/QG1::1/Q4		
{QUESTION}	TRUE	1	INDICATOR	crime-org/QG1::1/QG1::1/QG1		
{QUESTION}	[Measures taken by the EO]	1	DESCRIPTION	crime-org/QG1::1/QG1::1/QG1::1/QG1		
{QUESTION}	3/10/12	1	DATE	crime-org/QG1::1/QG1::2/Q1		
{QUESTION}	[Reason provided by the EO]	1	DESCRIPTION	crime-org/QG1::1/QG1::2/Q2		
{QUESTION}	[Convicted person or legal entity]	1	DESCRIPTION	crime-org/QG1::1/QG1::2/Q3		
{QUESTION}	2/2/18	1	PERIOD	crime-org/QG1::1/QG1::2/Q4		
{QUESTION}	TRUE	1	INDICATOR	crime-org/QG1::1/QG1::2/QG1::1/Q1		
{QUESTION}	[Measures taken by the EO]	1	DESCRIPTION	crime-org/QG1::1/QG1::2/QG1::1/QG1::1/Q1		
{QUESTION}	TRUE	1	INDICATOR	crime-org/QG2::1/Q1		
{QUESTION}	EVIDENCE-ID	1n	EVIDENCE_IDENTIFIER	crime-org/QG2::1/QG1::1/Q1		
{QUESTION}	TRUE			crime-org/QG2::1/Q1		

Answers

Part



6. ESPD Response re-use process

4 STEPS

Map the Question Group structures, by using the Question Group UUID

Select the elements (answers) to re-use

2 Search for common Questions

Apply selected elements to a new ESPD Response





Map the Question Group structures, by using the Question Group UUID

6.1 Re-use process visualization – A





2 Search for common Questions

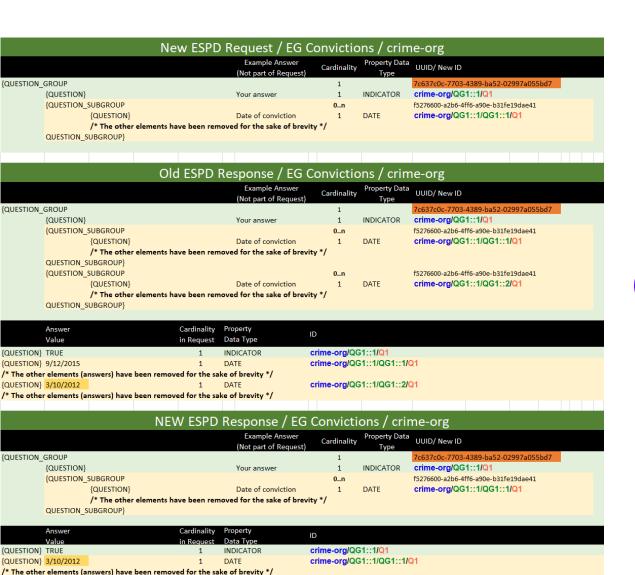
6.1 Re-use process visualization – B





Select the elements (answers) to re-use

6.1 Re-use process visualization – C





Apply selected elements to a new ESPD Response

6.1 Re-use process visualization – D



7. Pros & Cons

PROS

- + Re-use of an old ESPD Response
- A basic evaluation of the
 Question IDs is achievable
 without software
- ⊕ Because of the ID ⇔ QG structure prerequisite, it is guaranteed that the copied answers are semantically equivalent
- + No complex hashing steps

CONS

- Because of the
 ID ⇔ QG structure prerequisite,
 the creation of a new Question
 Group UUID is mandatory every
 time the internal Question Group
 structure changes
- The re-use of Questions of a Question Group, which has an updated structure is not possible



8. Questions

Thank you!!!

5. ESPD-EDM & eCertis

Proposed solution Information gathered Criteria Mandatory/not mandatory Criteria applicable/not applicable UUID for the scenario (identifies the scenario and the country) Name of the criteria Query **ESPD Services** Code of the criteria (from criterion code list on EU Vocabularies) **eCertis** Legislation related to criteria Get info from **eCertis ESPD** Request **ESPD Services** Generate document

5. ESPD-EDM & eCertis

❖ Goal

To retrieve information in an ESPD service from eCertis to facilitate information reuse. This will be important, as eCertis will contain not only the classical Directive (2014/24/EU) but also all other Directives, so the information in ESPD will differ if it is not retrieved from eCertis.

Proposed approach

- ESPD Services would retrieve information from eCertis;
- The ESPD-EDM provides the data structures to place the data and it will be necessary to enable interoperability with eCertis to retrieve the necessary information;
- Criteria will be defined and maintained in eCertis. By reusing the data, much fewer elements need to be hardcoded in the ESPD;
- Communication with eCertis will be essential to ensure that the latest criteria are used.

6. Next meetings

Next OUC meetings:

- February 24th, 10.00 11.30
- March 31st, 10.00 11.30

7. AOB



Thank you!