



The complexity of improvisation:
brain, sound, movement and experience



Acknowledgements



Prof Henrik J Jensen
Dr Takayuki Nozawa
Portorius Quartet
Prof David Dolan
Dr Hardik Rajpal
Dr Pedro AM Mediano
Dr Fernando E Rosas

I. EMERGENCE, COMPLEXITY, ENTROPY



Everywhere in nature, we see
complex collective behaviour of the **group** arising
from the **simple interactions** between **individuals**.

This behaviour is **emergent**.

"The whole is more than the sum of its parts."

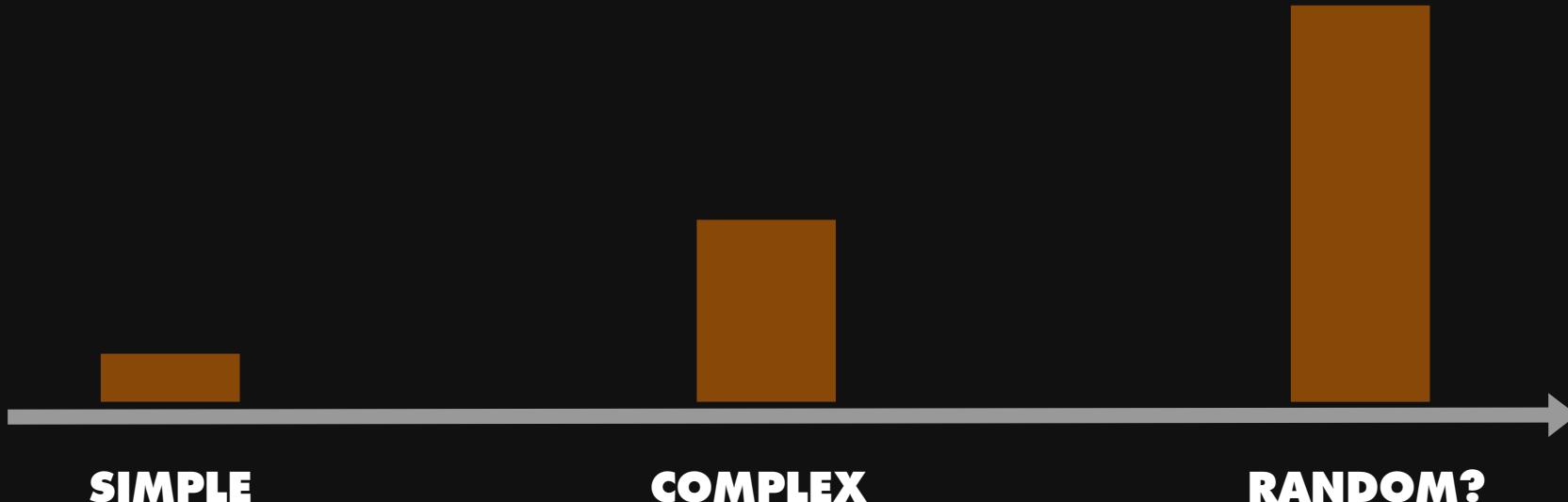


QUANTIFYING COMPLEXITY

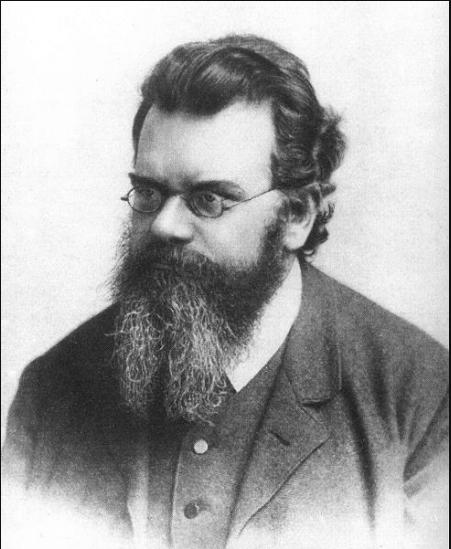
abababababa
bababaababa

To be or not to be?
this is the question...

mEYug&34tr973tr8i43t*XJu
wetv3YWw76fle@



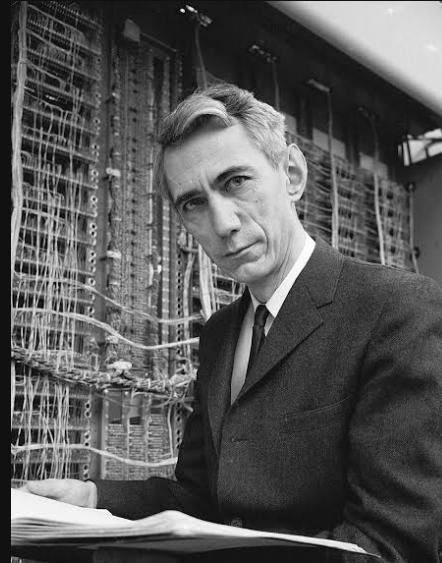
ENTROPY



Ludwig Boltzmann (1875)

$$S = k_B \log \Omega$$

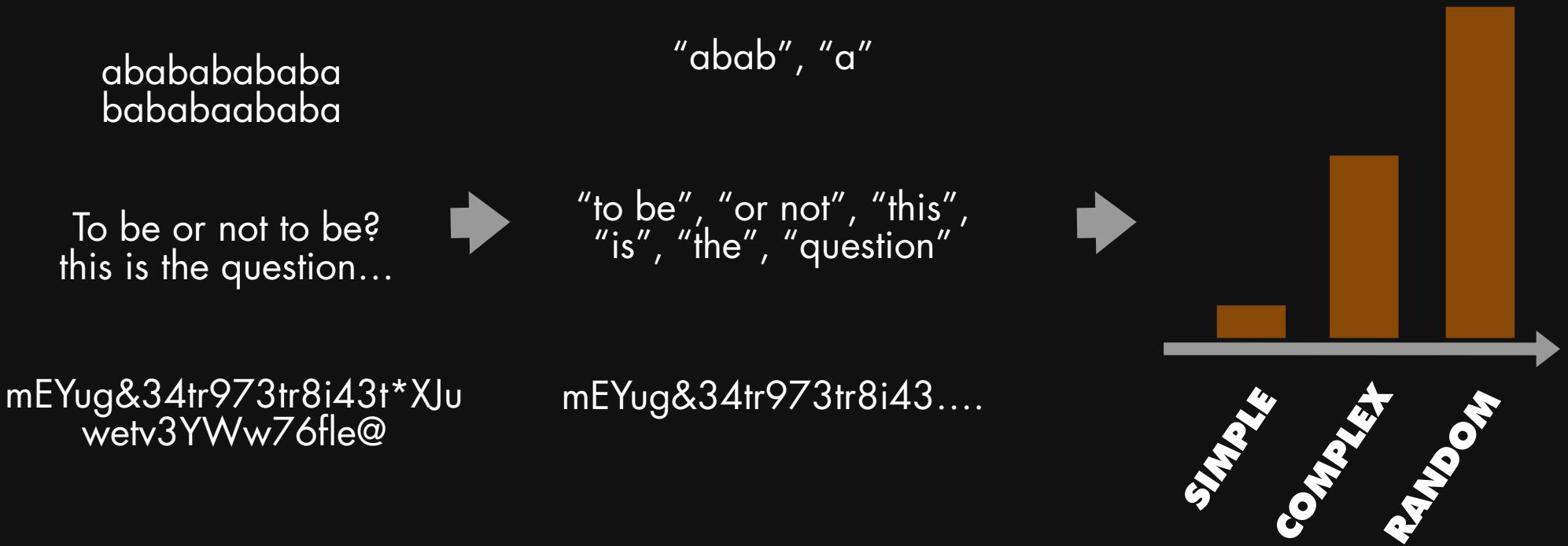
'statistical disorder'
'average surprise'



Claude Shannon (1949)

$$H(X) = \sum p_x \log p_x$$

ENTROPY & COMPRESSION

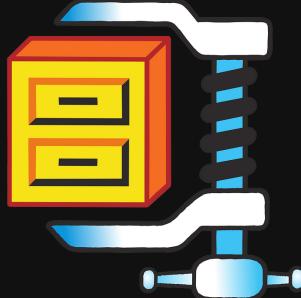


ENTROPY & COMPRESSION

abababababa
bababaababa

To be or not to be?
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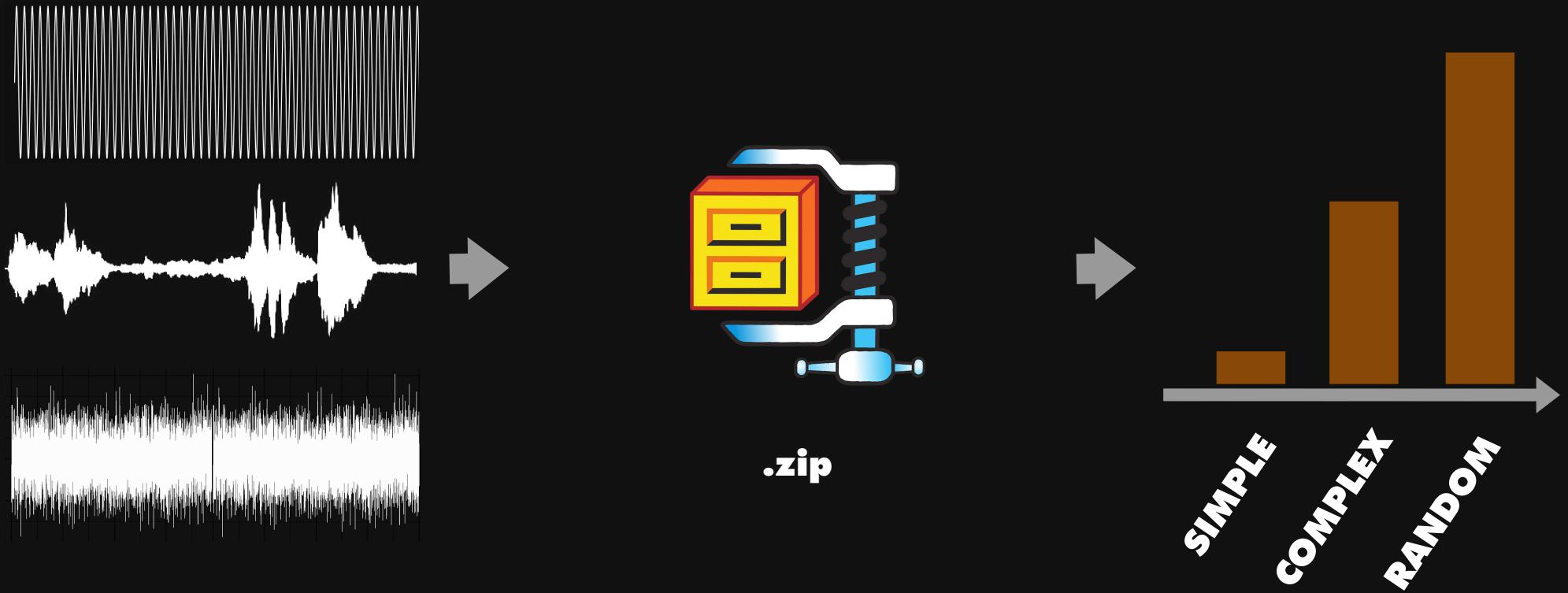
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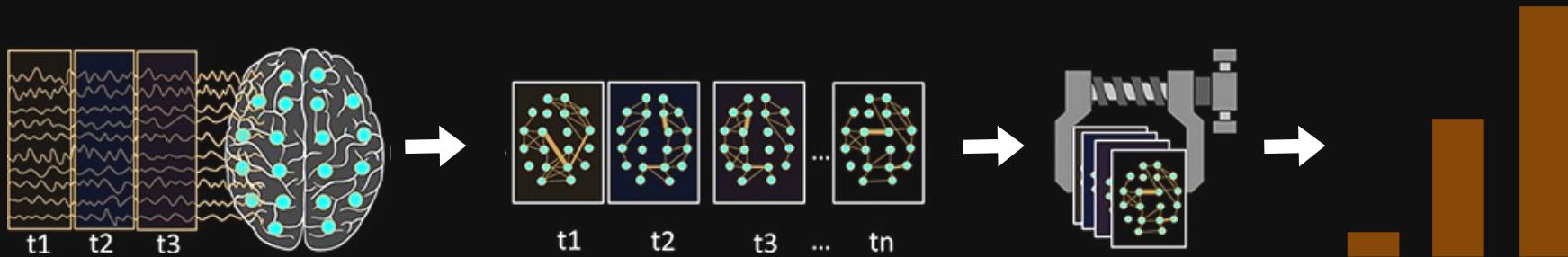


ENTROPY & COMPRESSION



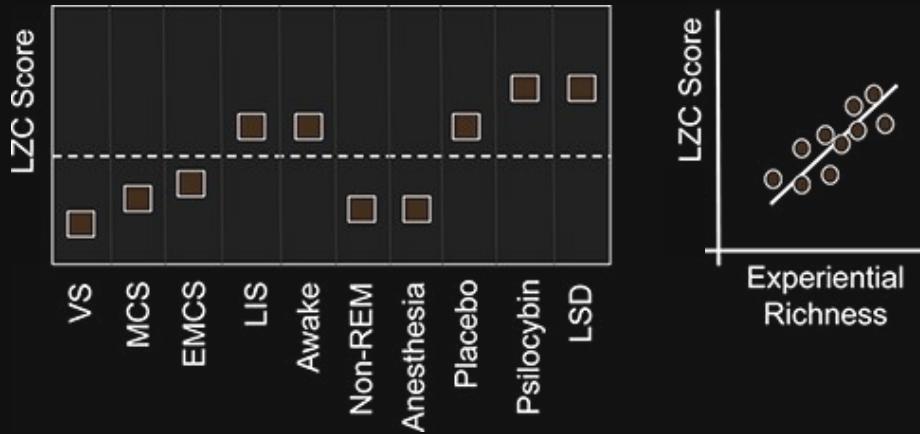
ENTROPIC BRAIN HYPOTHESIS

The **entropy** of spontaneous brain activity indexes
the **richness** of **states of mind**.



ENTROPIC BRAIN HYPOTHESIS

The **entropy** of spontaneous brain activity indexes
the **richness** of **states of mind**.



II. MUSICAL IMPROVISATION

IMPROVISATION



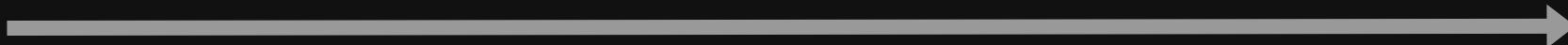
1800s



1900s



2000s



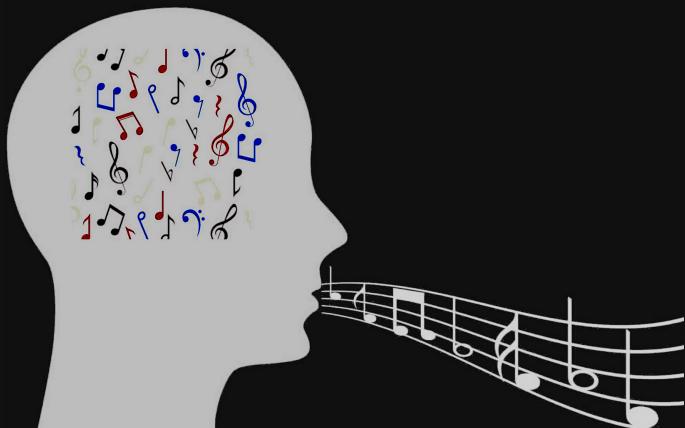
MODES OF PERFORMANCE



Two musical score snippets, labeled A and B, are shown side-by-side. Both snippets are in 4/4 time and feature a treble clef. Snippet A is marked with dynamics *f* (fortissimo) and *ff* (fortississimo). Snippet B is marked with *f*. The music consists of eighth-note patterns and rests.

Strict

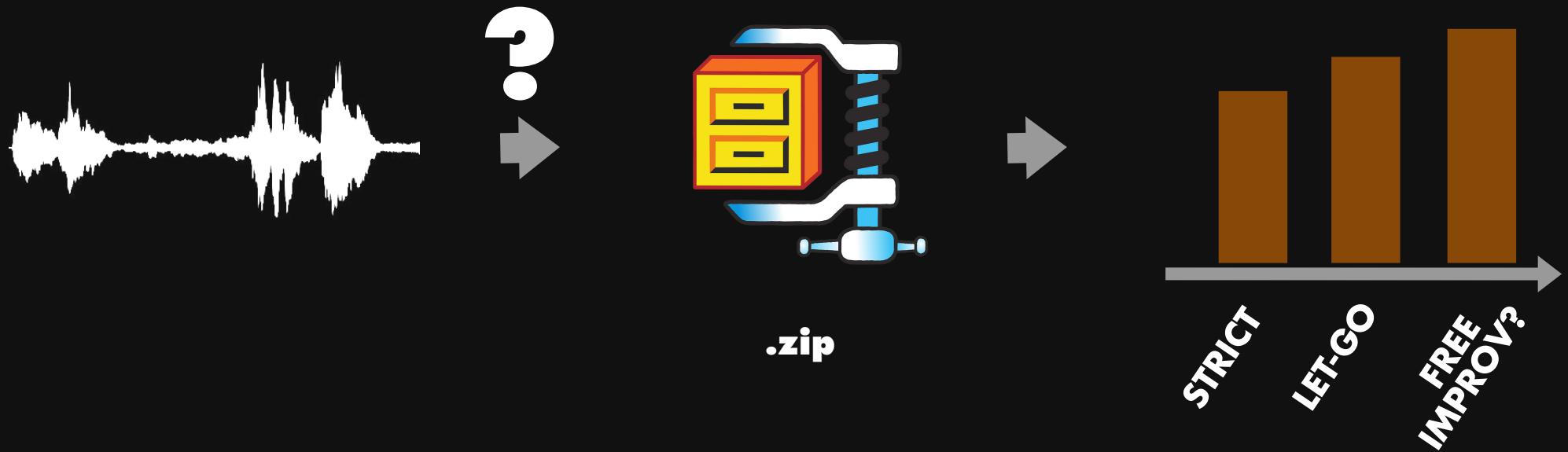
control technical precision, timing and accuracy of the score's details and avoiding risks, while at the same time creating the most convincing and expressive performance possible



Let-go

play freely, spontaneously, not putting an imperative focus on "no wrong notes", take risks, may deviate from the written text in an unplanned coordination with the other ensemble partners

MUSIC COMPLEXITY & CREATIVITY



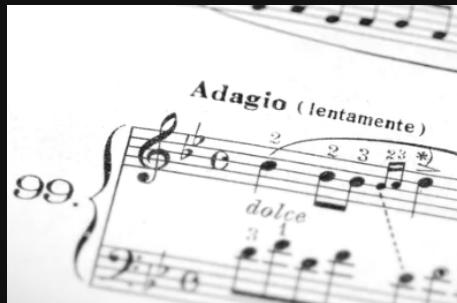
MUSIC ANALYSIS



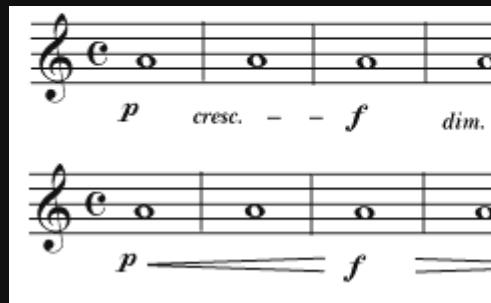
- Musicology: focus on symbolic music (sheet music or MIDI)
- Music information retrieval: focus on a single piece, using only one recording
- Music performance analysis: performance-centric comparisons of the same piece

PERFORMANCE PARAMETERS

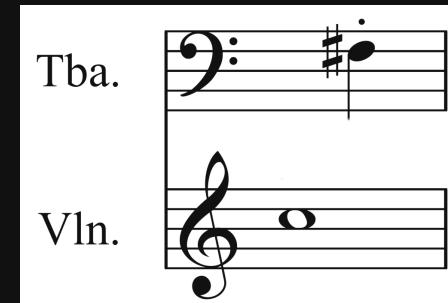
tempo



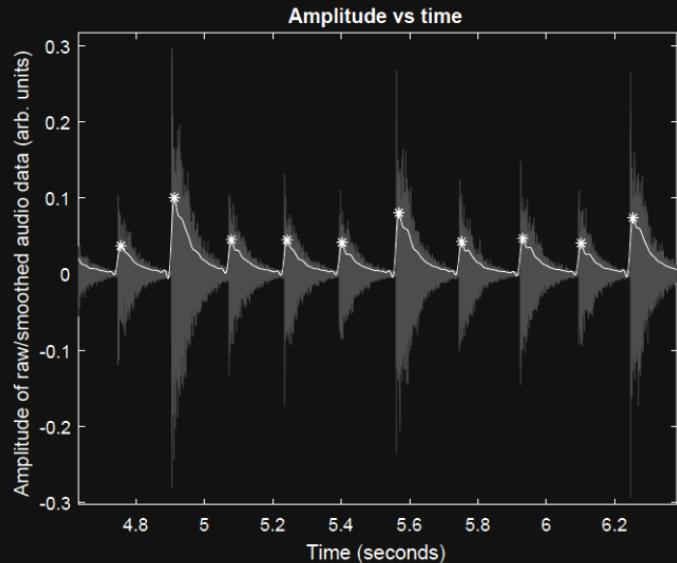
dynamics



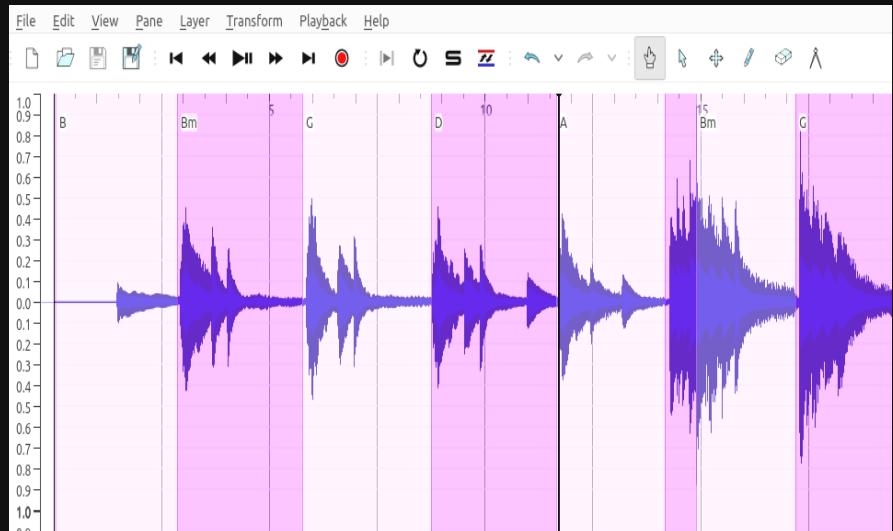
timbre



TEMPO MAP



Automated peak detection
(best for drums)



Manual tempo annotations in
Sonic Visualiser

DURATION & TEMPO

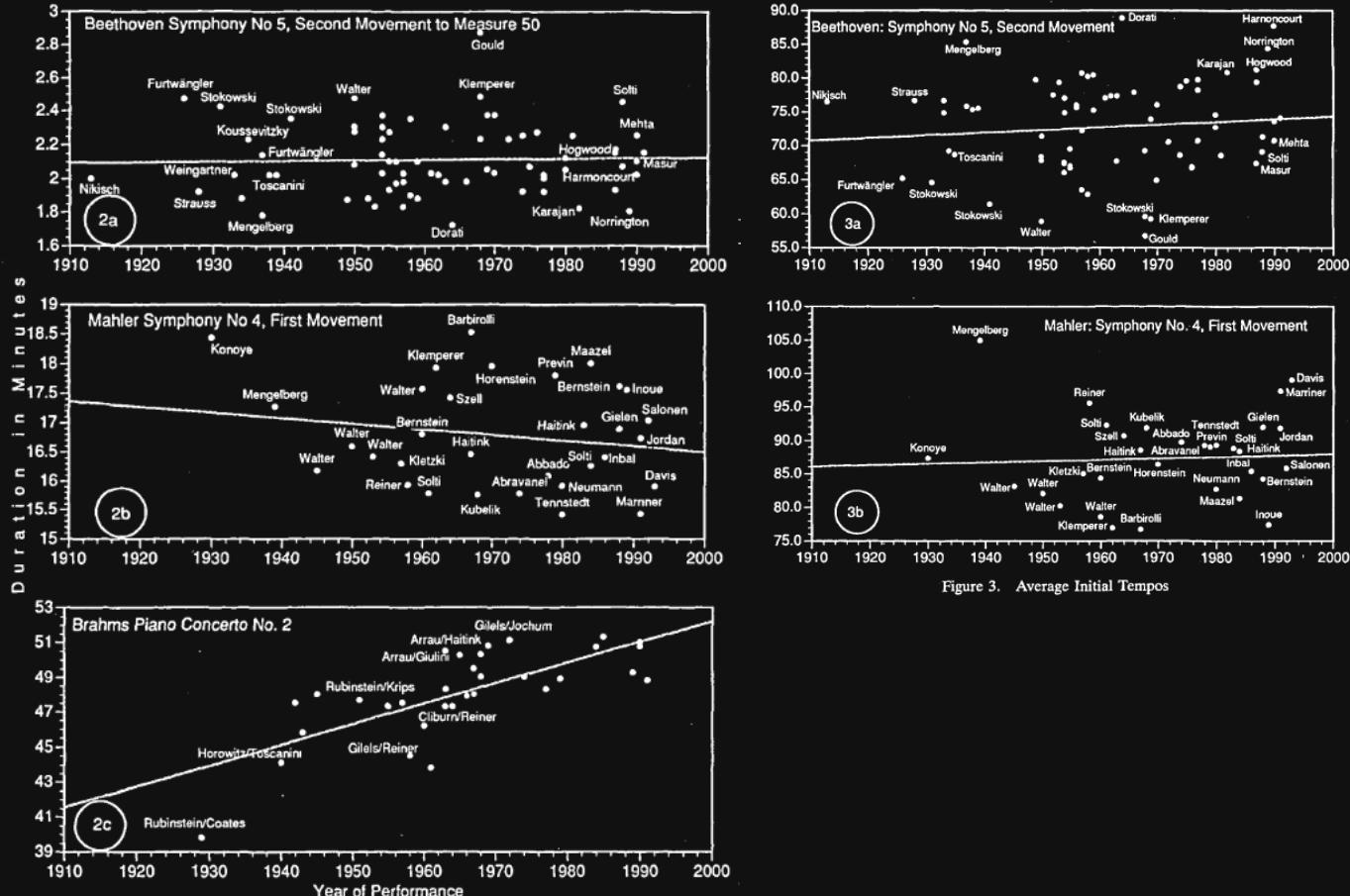
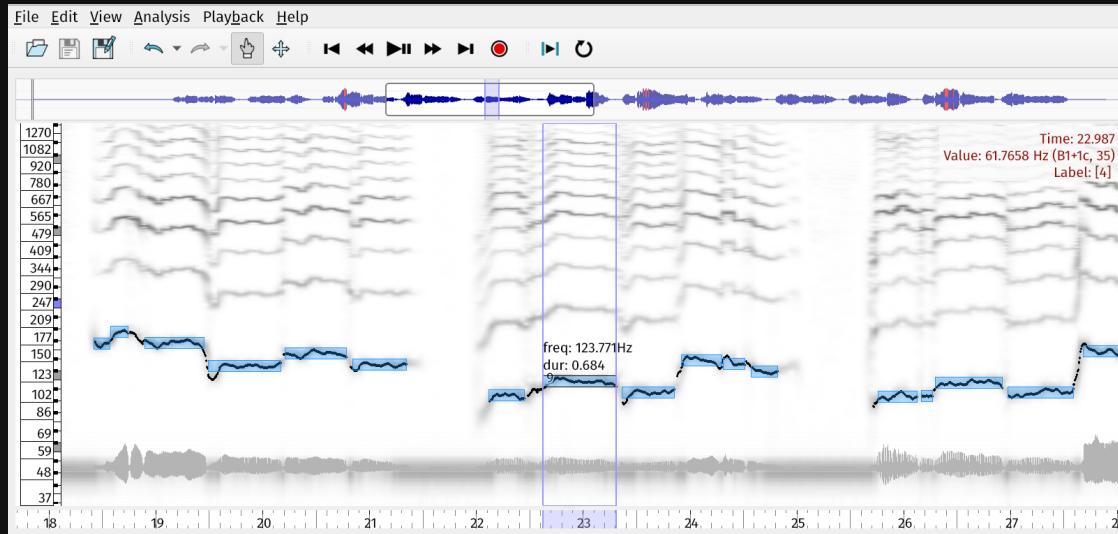


Figure 3. Average Initial Tempos

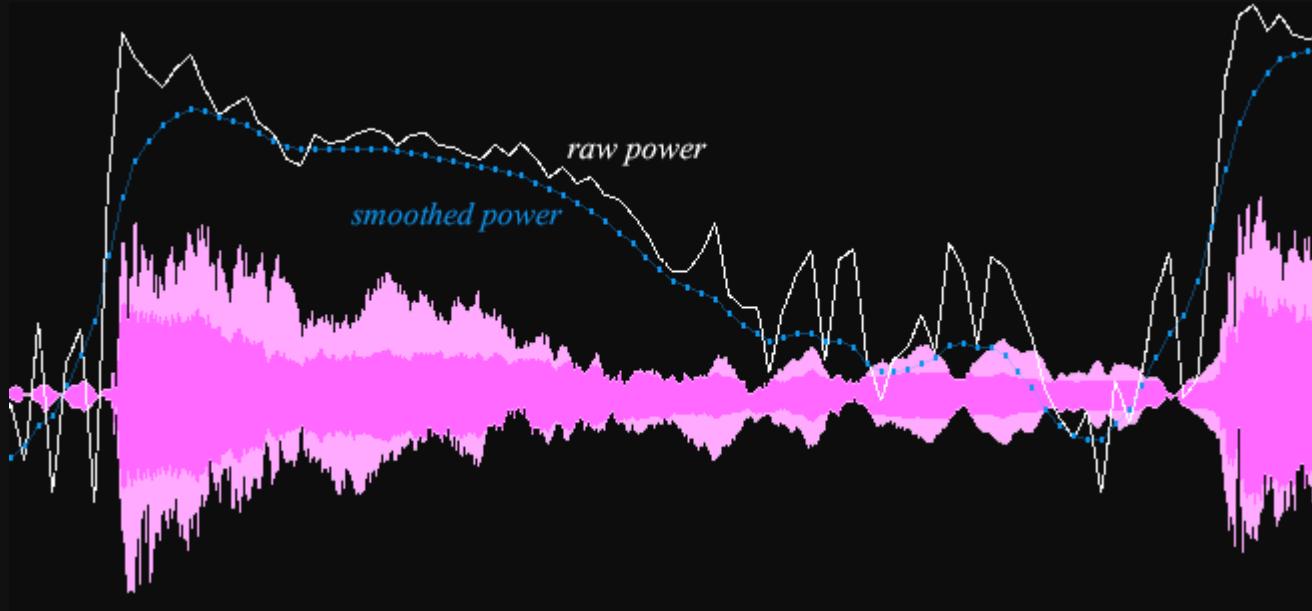
TIMBRE

Spectral analysis using wavelet transform (spectrogram).
Spectral entropy can reveal timbral complexity.



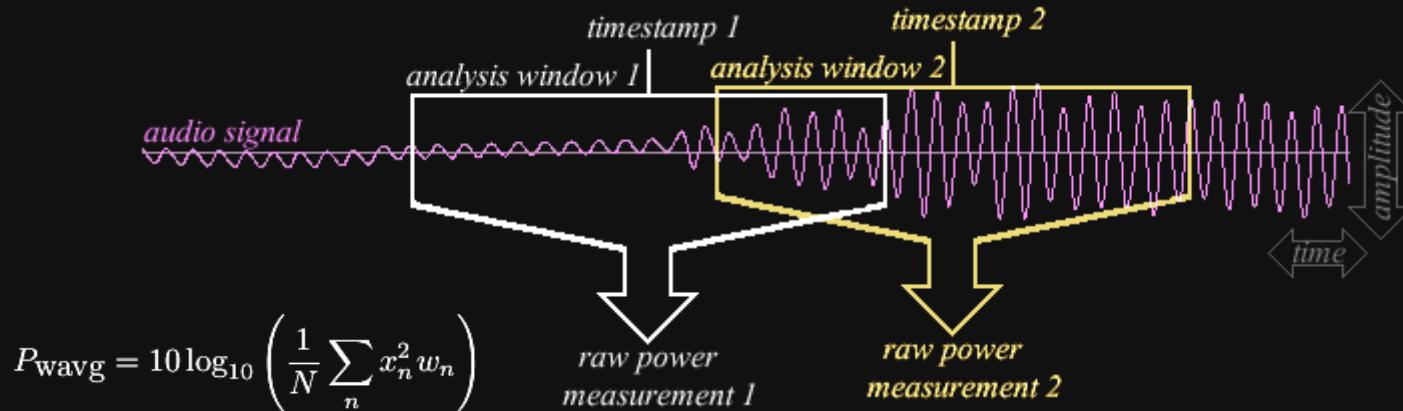
DYNAMICS

Loudness Units relative to Full Scale (LUFS) is a standardised unit for perceptual loudness used for digital audio normalisation.



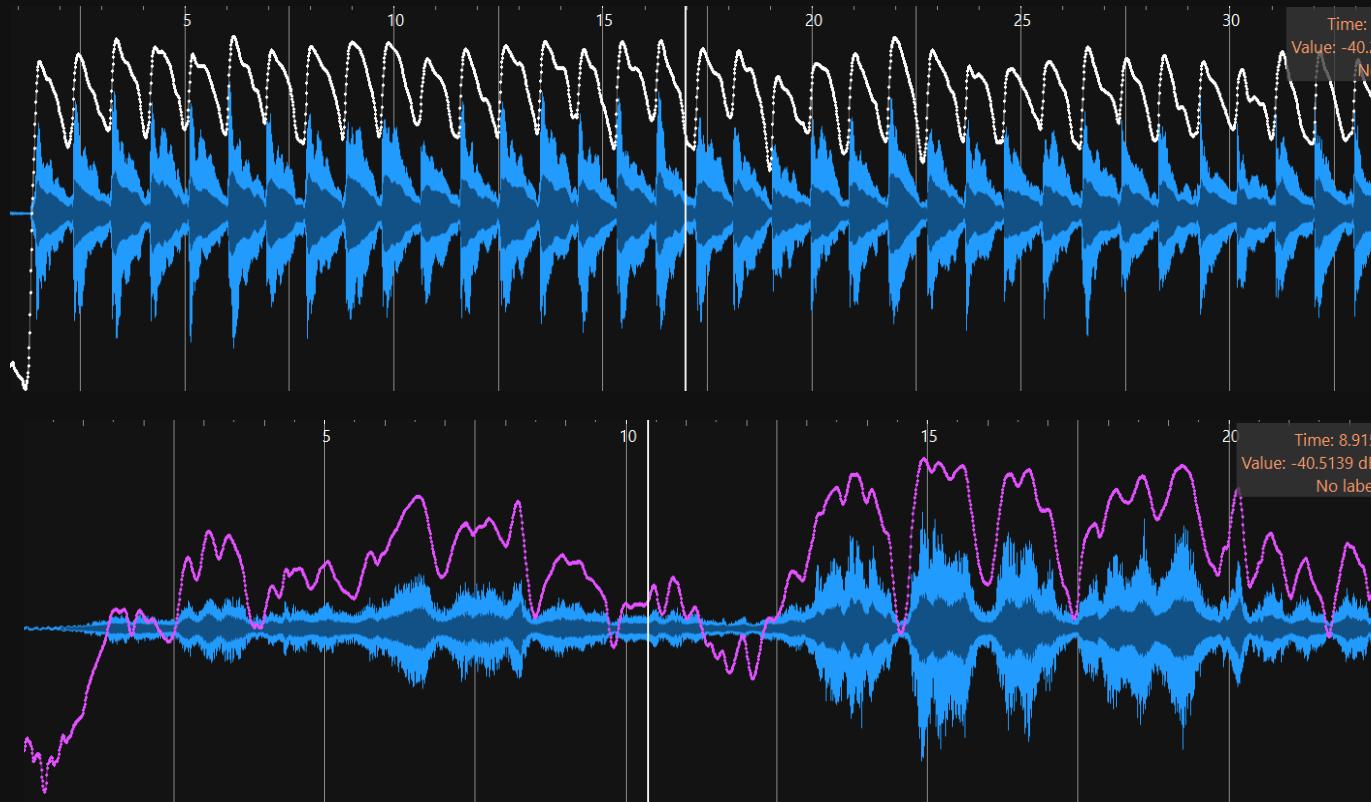
DYNAMICS

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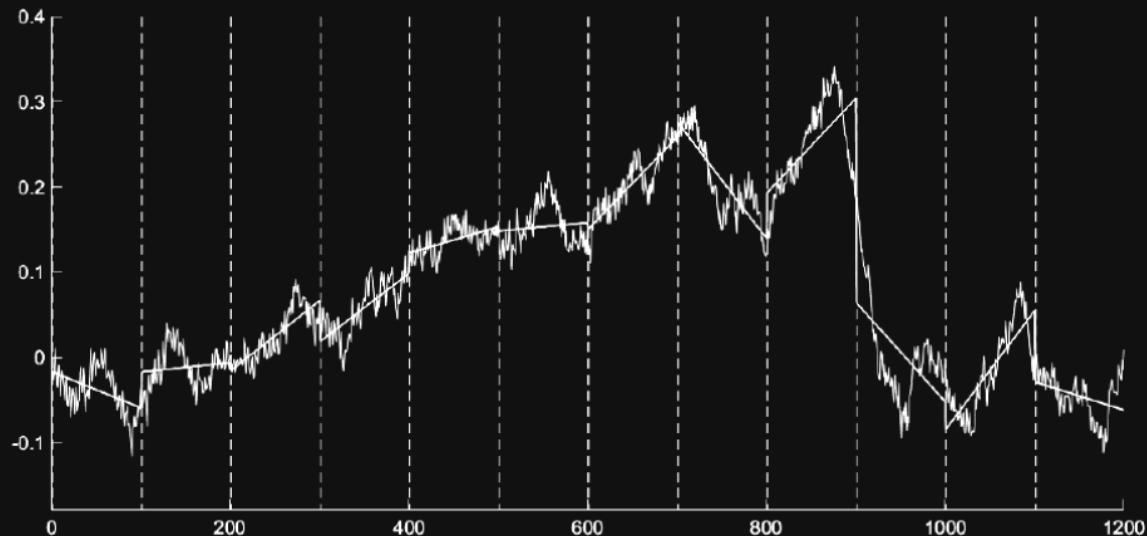
computed as a weighted RMS over time windows

DYNAMICS & COMPLEXITY



DETRENDDED FLUCTUATION ANALYSIS

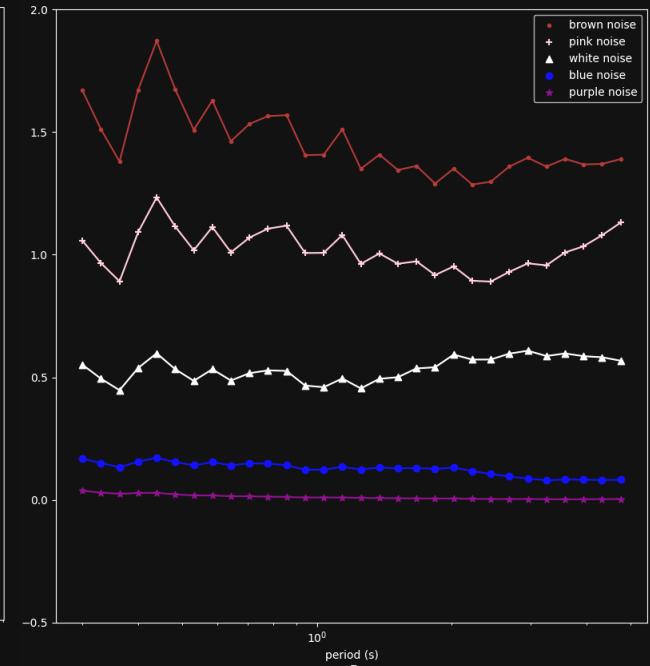
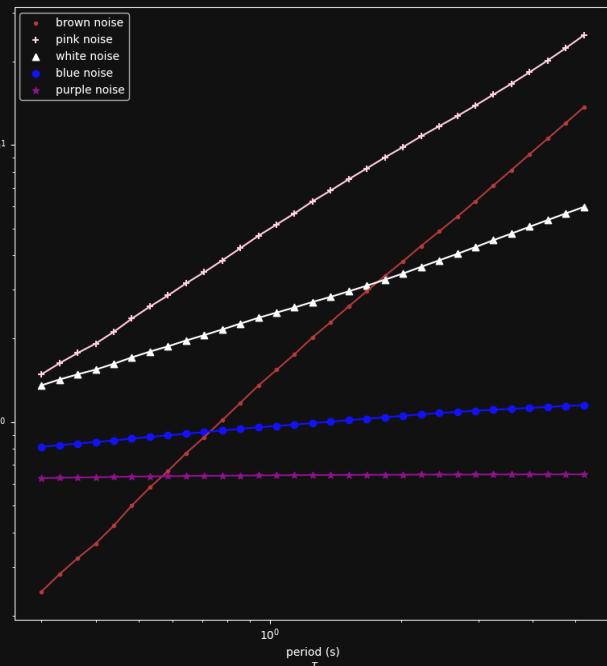
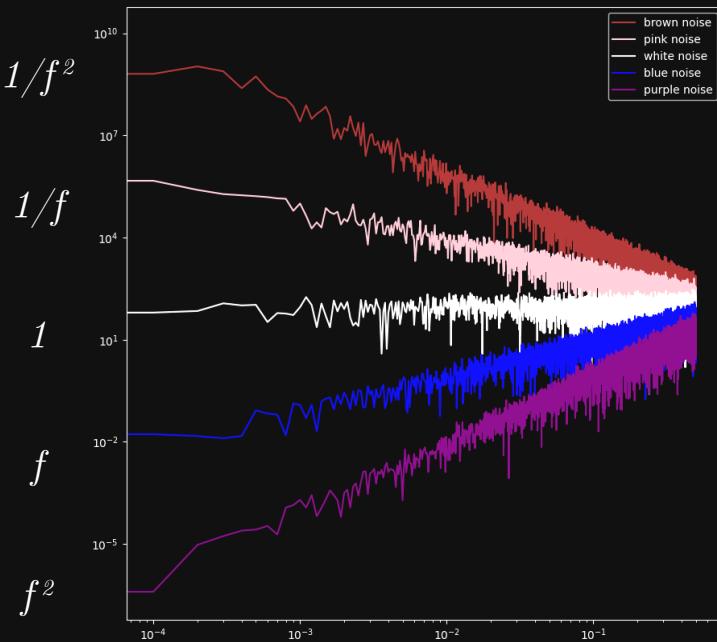
For each window size, fit a line over the power curve within each window, compute linear regression residuals and average to get fluctuations $F(w)$



Fit a power law
 $F(w) \propto w^\alpha$
to analyse fluctuations

DETRENDED FLUCTUATION ANALYSIS

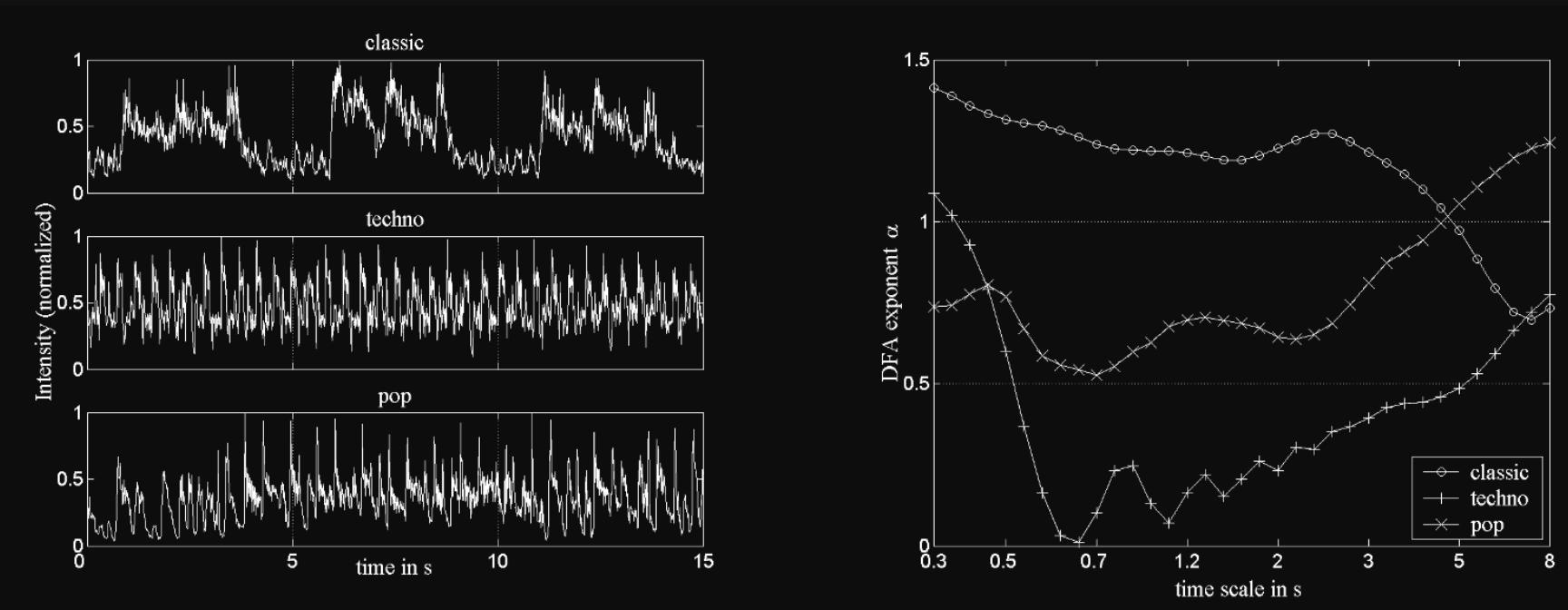
Estimates a 'fractal dimension' of the signal



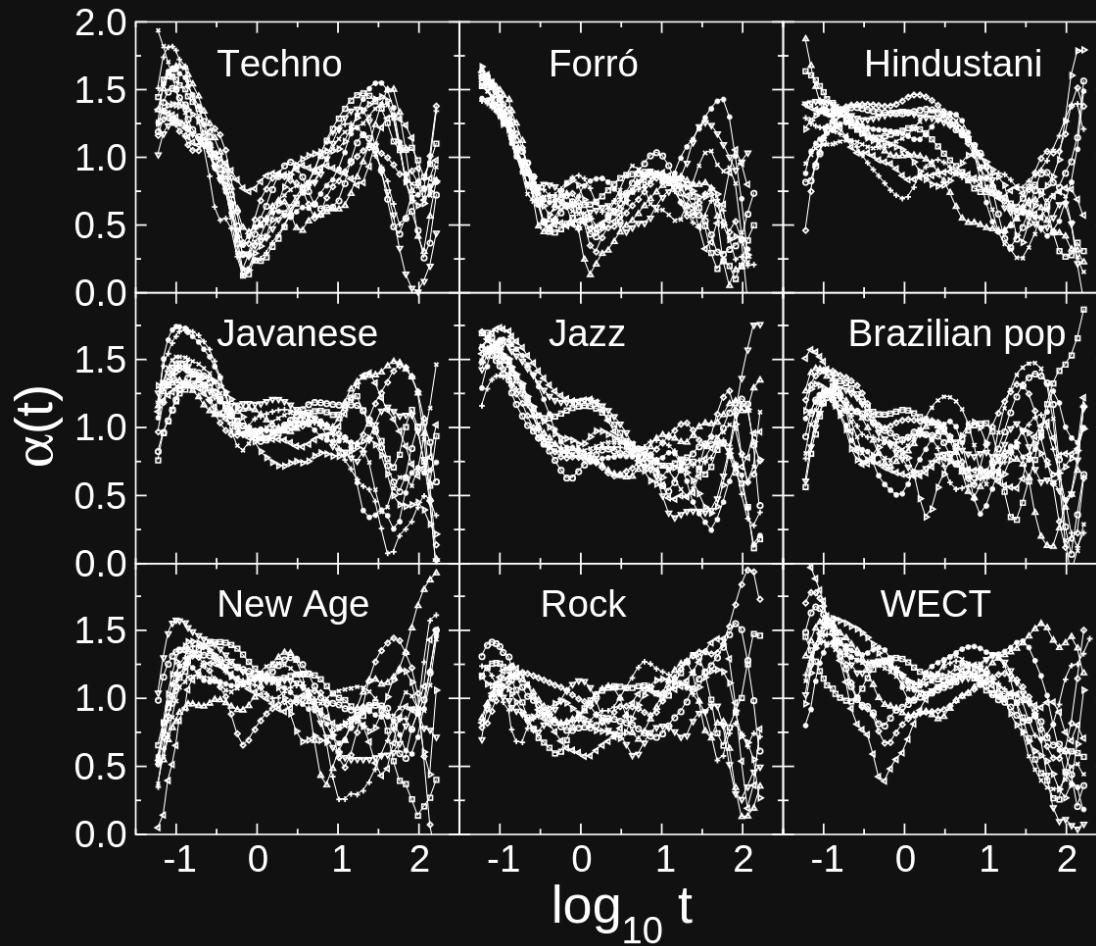
$$y = ax + b$$

$$F(\tau) \propto \tau^\alpha$$

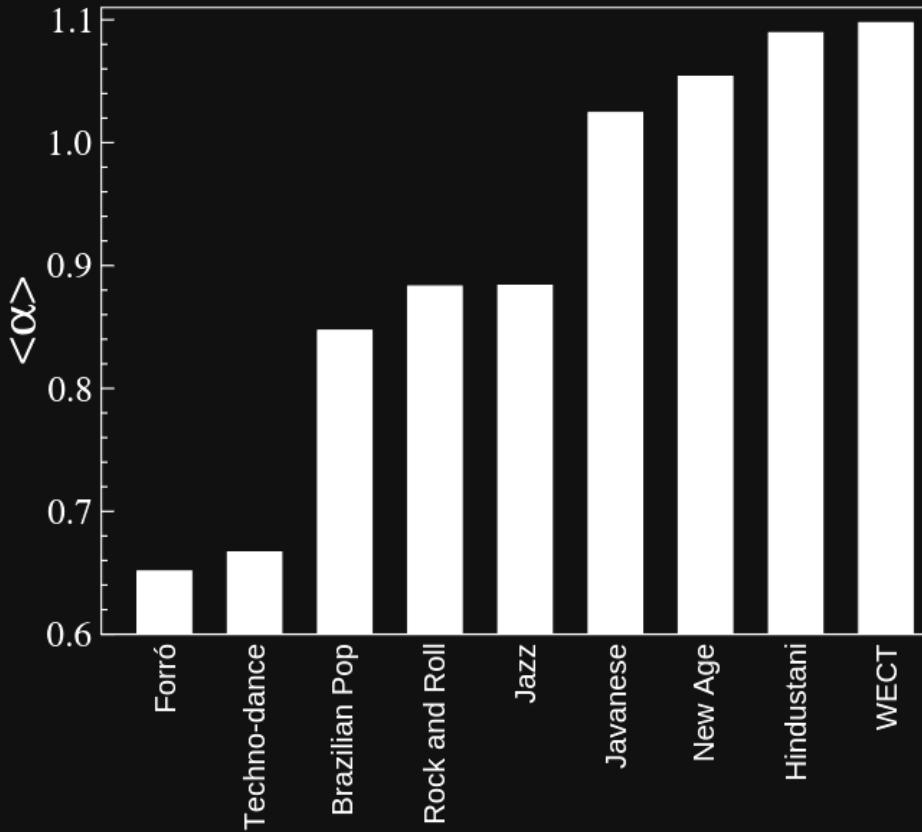
DETRENDED FLUCTUATION ANALYSIS



GENRE DISCRIMINATION



GENRE DISCRIMINATION



EXPERIMENTAL RESULTS

THE CONCERT EXPERIMENT



Playing a repertoire piece **strict** or **let-go** allows direct comparisons of performance parameters, audience behaviour and the performers' and audience's brain signals.

REPERTOIRE PERFORMANCES

Mozart String Quartet KV. 421 no. 15

- Let-go first (piece 1)
- Strict second (piece 2)

W. A. MOZART (1756-1791)
KV. 421

Allegro.

The musical score consists of four staves, each representing a different instrument: Violino I, Violino II, Viola, and Violoncello. The key signature is B-flat major (two flats). The tempo is Allegro. The score begins with Violino I playing a melodic line with grace notes and slurs. Violino II provides harmonic support with eighth-note chords. The Viola and Violoncello enter later, also with eighth-note patterns. Dynamics include *sotto voce* (softly) and *ff* (fortissimo).

Haydn String Quartet Op. 76 no. 1, III

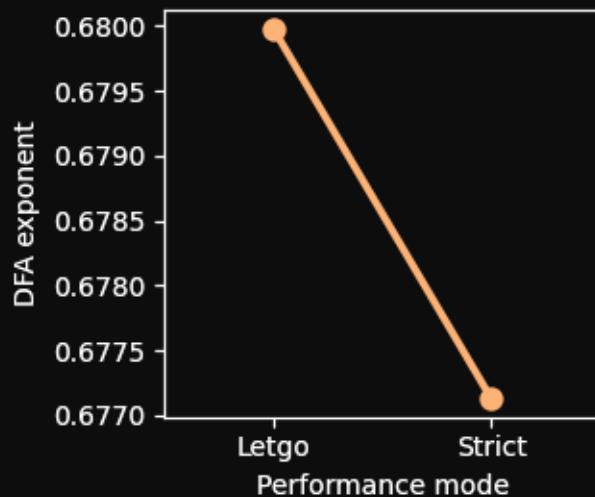
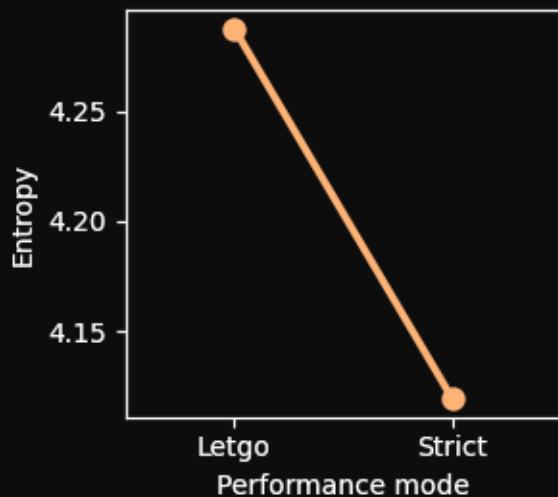
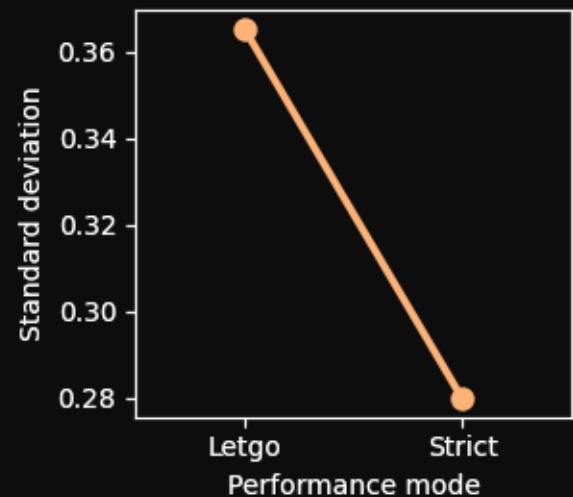
- Strict first (piece 7)
- Let-go second (piece 8)

MENUET. PRESTO.

The musical score consists of four staves for Violino I, Violino II, Viola, and Violoncello. The key signature is G major (no sharps or flats). The tempo is Presto. The score features a repeating pattern of eighth-note chords. Dynamic markings include *p* (pianissimo), *ff* (fortissimo), and *ff* (double fortissimo).

TEMPO COMPLEXITY

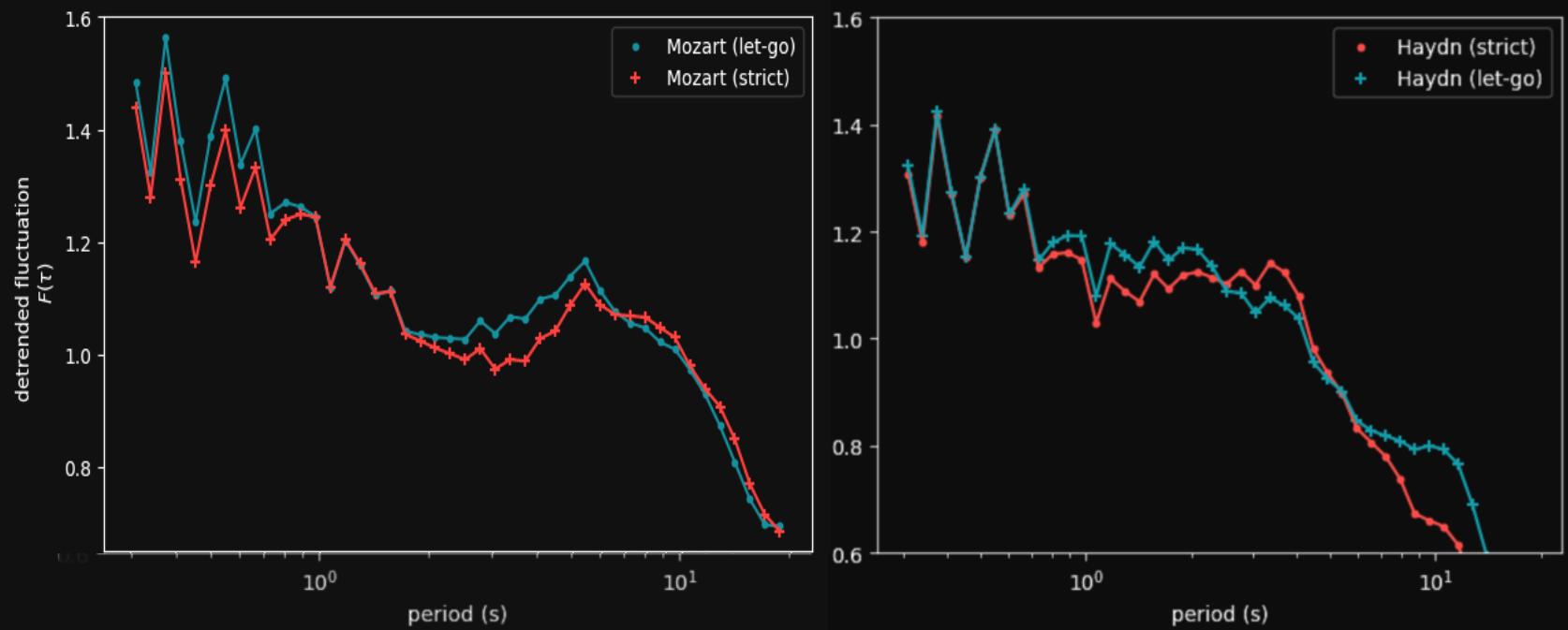
Compute inter-beat intervals from tempo map then calculate metrics on the resulting signal.



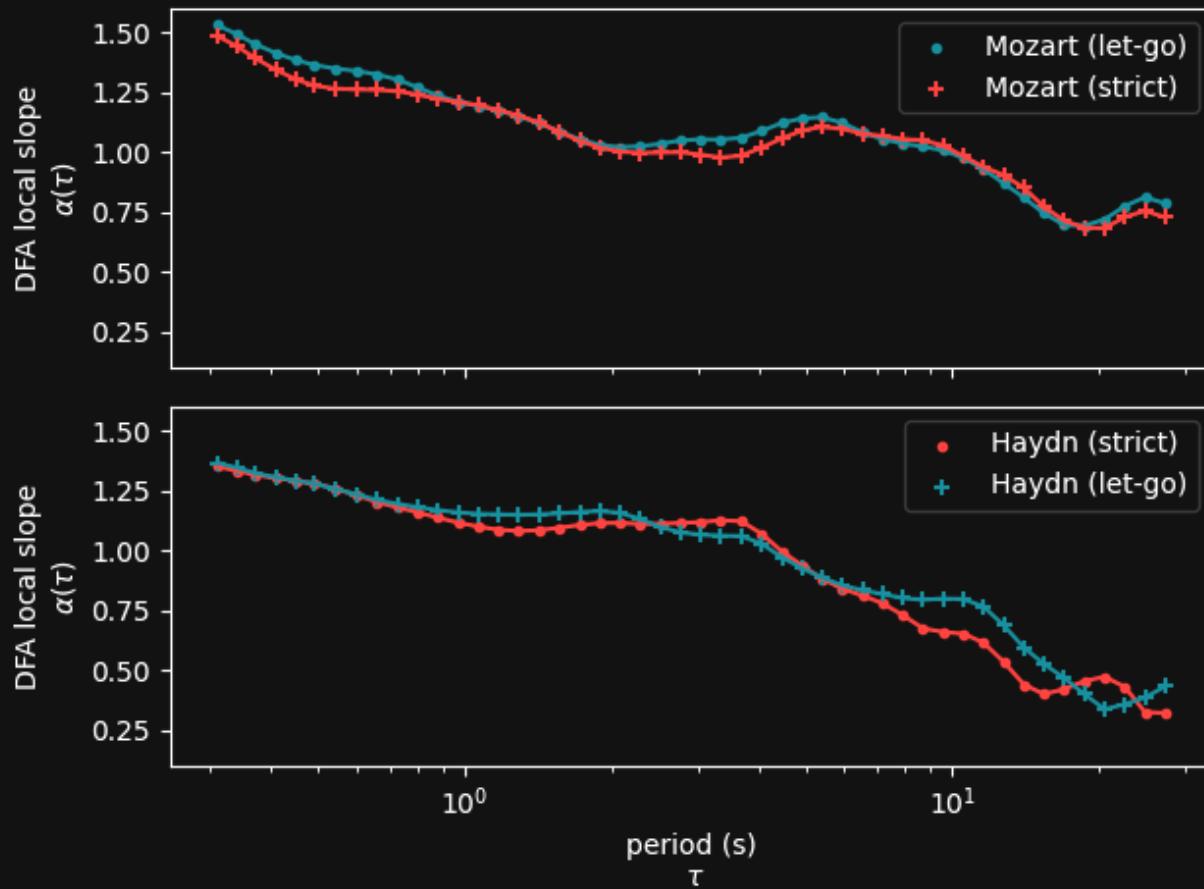
DYNAMIC & SPECTRAL COMPLEXITY



DETRENDDED FLUCTUATION ANALYSIS



DETRENDDED FLUCTUATION ANALYSIS



III. COLLECTIVE EXPERIENCE

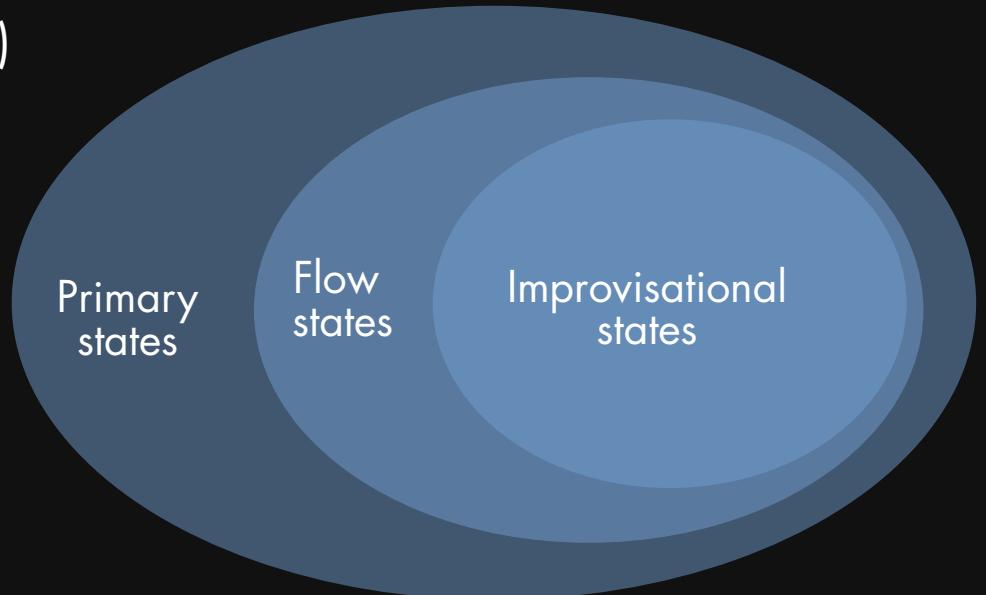
“

In all societies, a primary function of music is collective and communal, to bring and bind people together. People sing together and dance together in every culture [...] in such a situation, there seems to be a binding of nervous systems accomplished by rhythm.

— Oliver Sacks

IMPROVISATIONAL STATE OF MIND

- a **primary state** (*Carhart-Harris et al, 2014*)
- a type of **flow state** (*Csikszentmihalyi, 1990*)
- a type of **team flow?** (*Shehata et al, 2020*)



THE CONCERT EXPERIMENT



Liardi*, Sas* et al (*in prep.*)

IMPROVISATIONAL STATE OF MIND

Performers and **audience** show increased Lempel-Ziv **complexity** during **let-go** and **improvisation**.



THE MUSICIANS' PERSPECTIVE

... the let-go version puts us on an awareness edge, and suddenly we are able to see past the music and look out for these spontaneous cues for the changes in both the music itself and the way we perform it...

... a very high level of mind-reading and synchronicity ...

THE AUDIENCE'S PERSPECTIVE



Classical music audiences show higher synchrony of movements, heart rate and arousal when listening.

Synchrony facilitates many prosocial behaviours.

But synchrony decreases as enjoyment of the piece increases?



Cognitive processing of a common stimulus **synchronizes** brains, hearts, and eyes.

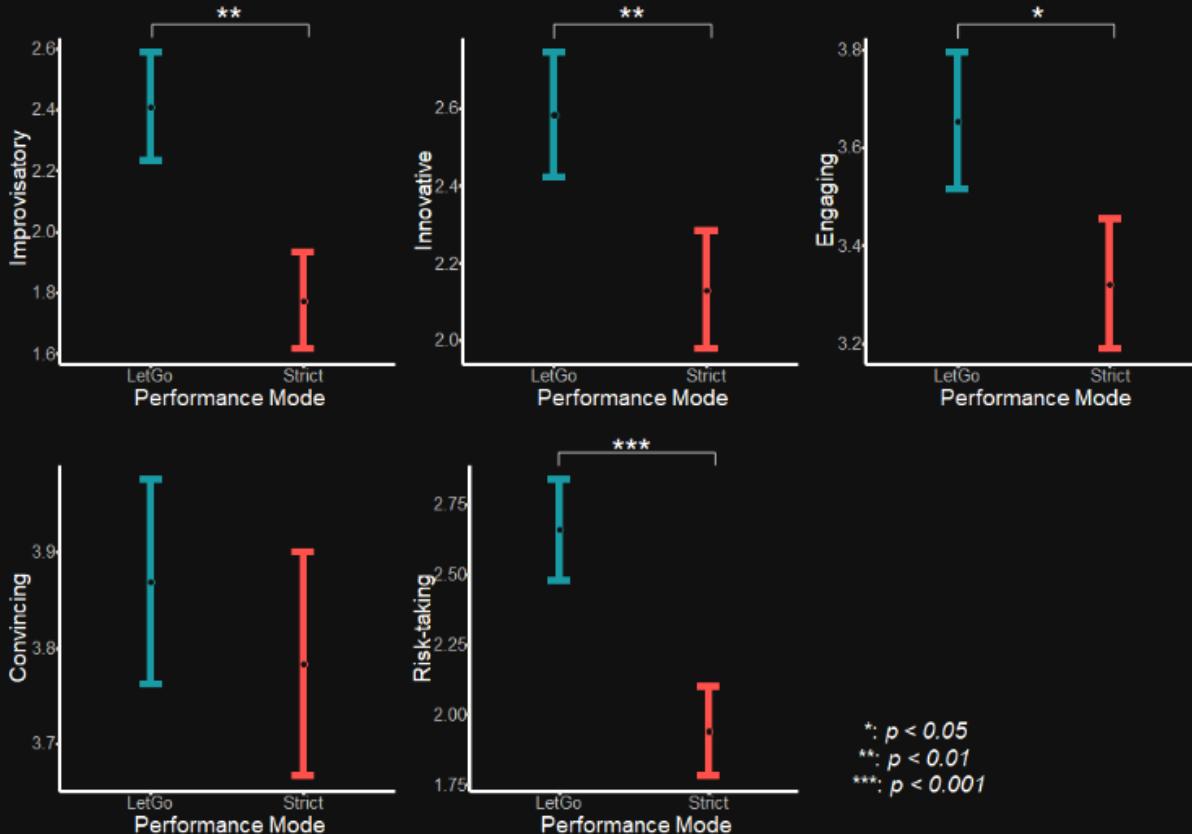
Physical synchrony between humans facilitates rapport and learning.

Group flow states encourage teamwork and cooperation.

THE CONCERT EXPERIMENT

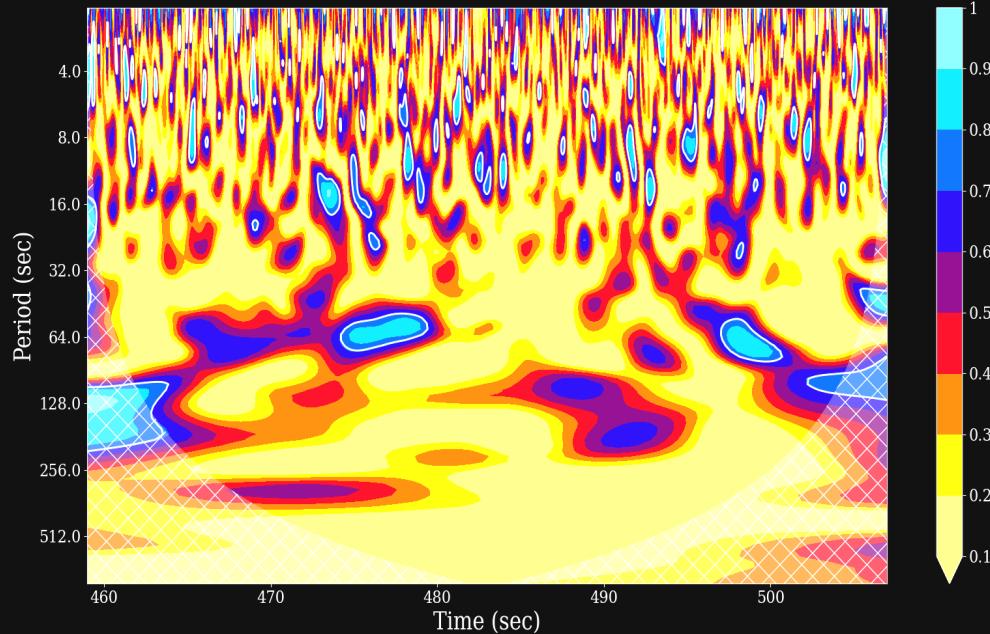


THE AUDIENCE'S PERSPECTIVE



*: $p < 0.05$
**: $p < 0.01$
***: $p < 0.001$

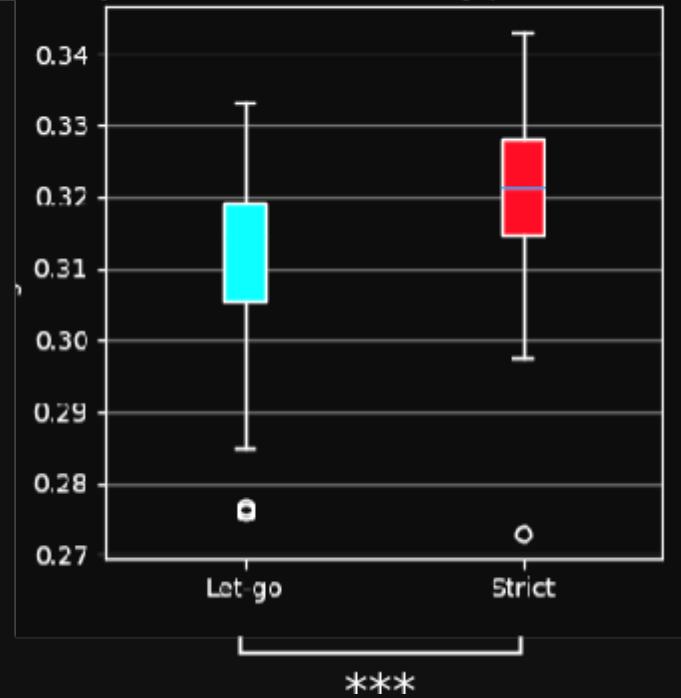
COLLECTIVE MOVEMENT

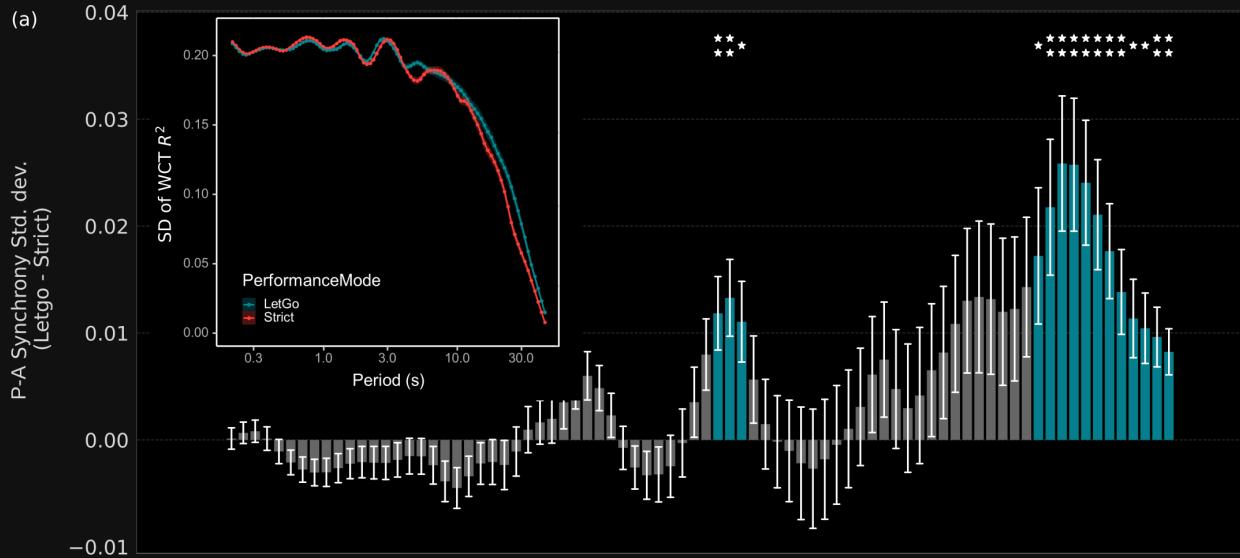
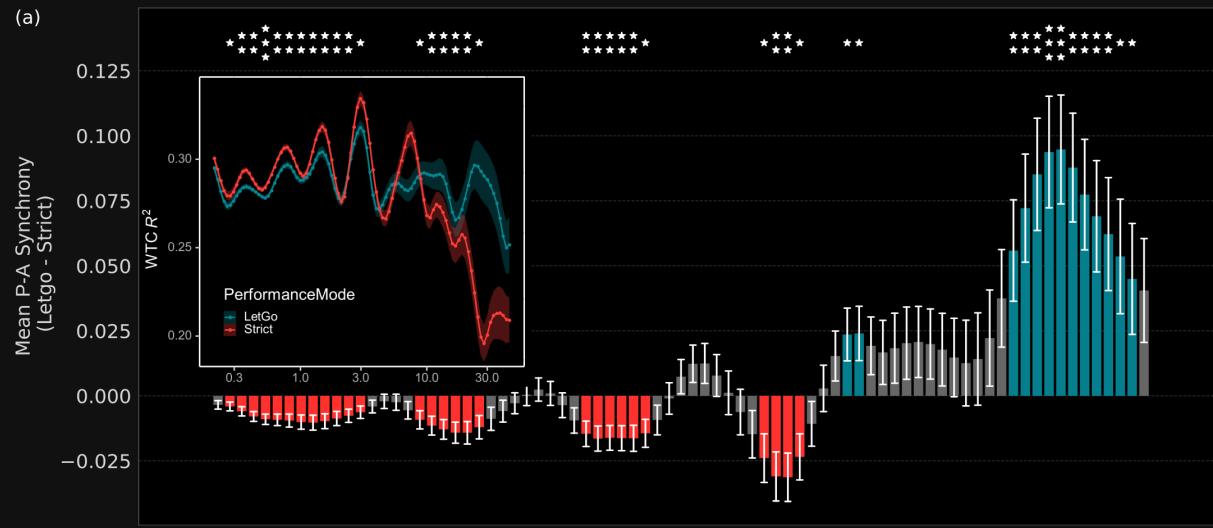


Grinsted et al (2006), Nozawa et al (2019)

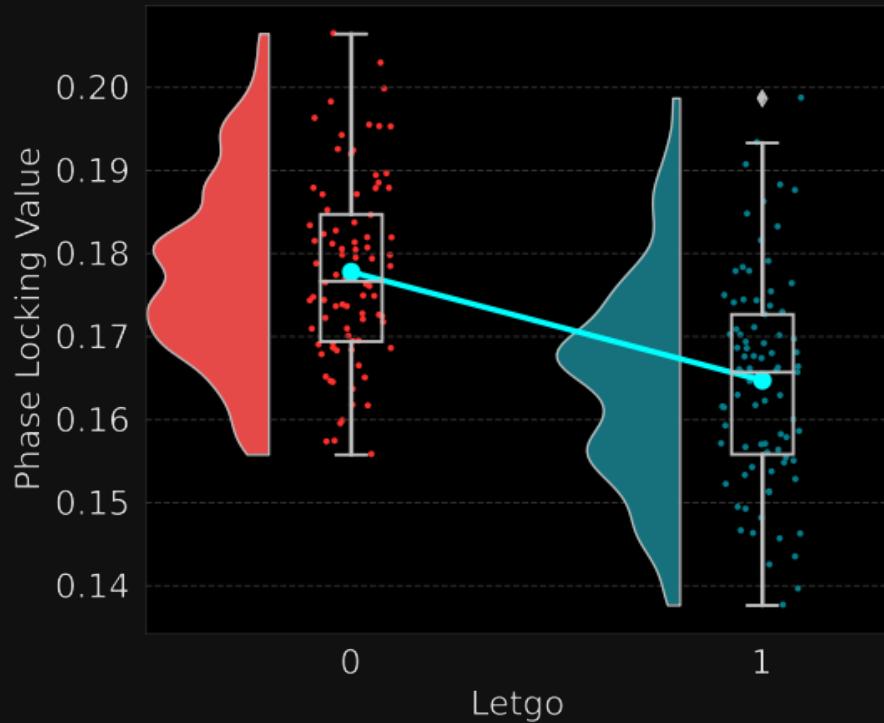
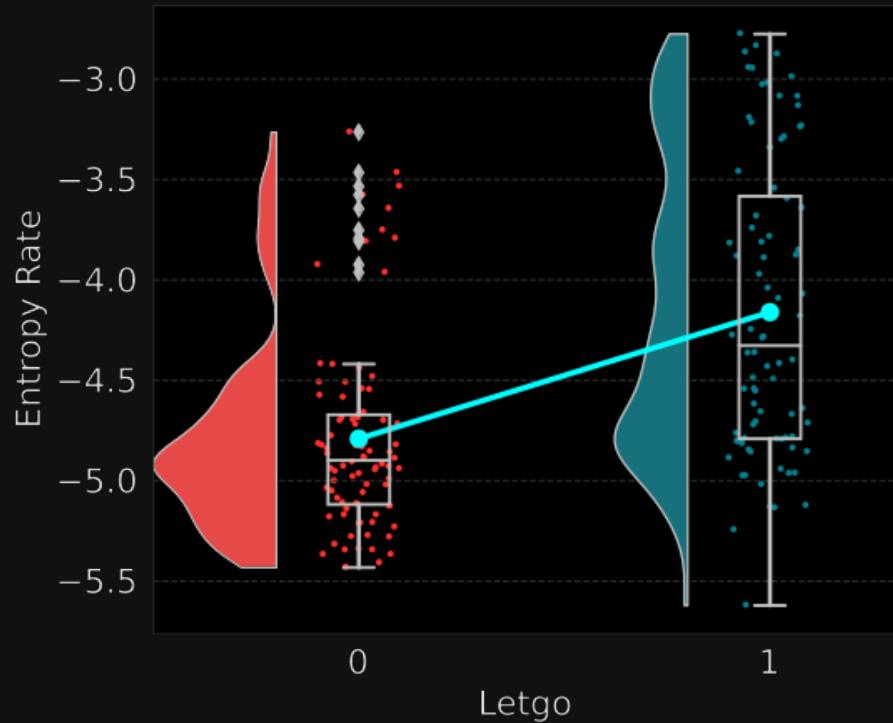
COLLECTIVE MOVEMENT

Per subject overall coherence by performance mode

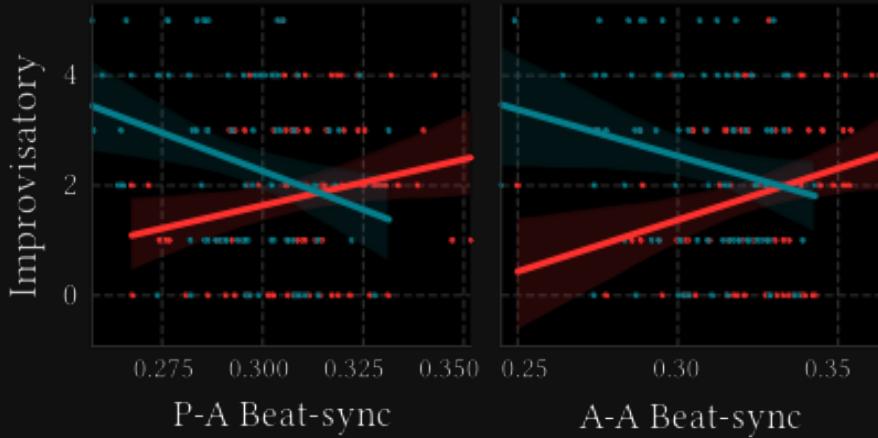




COLLECTIVE BREATHING



COLLECTIVE EXPERIENCE



Higher sync in breathing and short-term movements (**beat-sync**).

Enjoyment is associated with the long-term (**music-sync**) high synchrony.

The perception of risk-taking is related to higher temporal variability in long-term synchrony.

Multi-scale sync seen in other performer-audience collective motion.

CLOSING THOUGHTS

Complexity can help us study phenomena while avoiding reductionism.

The creative improvisatory state of mind is an altered high-entropy state.

High entropy (but not too high!) pervades creative acts, in the music, the movement, and the brains of performers and audiences.

Self-similarity ubiquitous in beauty.



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<https://mis.pm>