



# Introduction to feedback linearization under digital control

Mohamed Elobaid

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#### What this talk is

- Basic, introductory and somewhat informal
- Feedback linearization in continuous time
- Why (Emulation) holding the control constant during the sampling period may not be enough
- Feedback linearization redesign in the digital domain
- Examples (motivations and applications)

#### What this talk is not

- Comprehensive and exhaustive
- Proofs focused (although some formal statements will be recalled)
- $1^{st}$ , higher order and generalized holding schemes
- Rigorous

# **Prelude**

For the system;

$$\dot{x}_1 = \frac{-(x_2 - \sin x_1)}{\sin x_1 - 2}$$

$$\dot{x}_2 = -2x_1 - x_2 - \frac{\cos x_1(x_2 - \sin x_1)}{\sin x_1 - 2} - \cos x_1 + \sin x_1$$

$$+ (2x_1 + \cos x_1)(x_2 - \sin x_1) + u$$

$$y = 2x_1 + \cos x_1$$

Find a control u to make the output follow a given reference  $y_d$ 

Looking at the output

$$\dot{x}_1 = \frac{-(x_2 - \sin x_1)}{\sin x_1 - 2}$$

$$\dot{x}_2 = -2x_1 - x_2 - \frac{\cos x_1(x_2 - \sin x_1)}{\sin x_1 - 2} - \cos x_1 + \sin x_1$$

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Looking at the output

$$\begin{split} \dot{x}_1 &= \frac{-(x_2 - \sin x_1)}{\sin x_1 - 2} \\ \dot{x}_2 &= -2x_1 - x_2 - \frac{\cos x_1(x_2 - \sin x_1)}{\sin x_1 - 2} - \cos x_1 + \sin x_1 \\ &\quad + (2x_1 + \cos x_1)(x_2 - \sin x_1) + u \\ \hline y &= 2x_1 + \cos x_1 \\ \dot{y} &= 2\dot{x}_1 - \sin x_1\dot{x}_1 \\ &= \frac{-2(x_2 - \sin x_1)}{\sin x_1 - 2} + \frac{\sin x_1(x_2 - \sin x_1)}{\sin x_1 - 2} \quad \text{not a function of } u \end{split}$$

Looking at the output

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Coordinates change  $z=\phi(x)$  is a Homeomorphism, preferably a Diffeomorphism

$$\dot{x}_1 = \frac{-(x_2 - \sin x_1)}{\sin x_1 - 2} 
\dot{x}_2 = -2x_1 - x_2 - \frac{\cos x_1(x_2 - \sin x_1)}{\sin x_1 - 2} - \cos x_1 + \sin x_1 
+ (2x_1 + \cos x_1)(x_2 - \sin x_1) + u 
\boxed{y = 2x_1 + \cos x_1} 
\dot{y} = x_2 - \sin x_1} 
z_1 = y = 2x_1 + \cos x_1 
z_2 = \dot{y} = x_2 - \sin x_1$$

Coordinates change  $z = \phi(x)$  is a Homeomorphism, preferably a Diffeomorphism

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+ (2x_1 + \cos x_1)(x_2 - \sin x_1) + u 
\boxed{y = 2x_1 + \cos x_1} 
z = \phi(x) = \begin{pmatrix} 2x_1 + \cos x_1 \\ x_2 - \sin x_1 \end{pmatrix}, \qquad D_{\phi(x)} = \begin{pmatrix} 2 - \sin x_1 & 0 \\ -\cos x_1 & 1 \end{pmatrix}$$

Defined almost everywhere!

Writing the system in the new coordinates z

$$\dot{z}_1 = z_2 
\dot{z}_2 = -z_1 - z_2 + z_1 z_2 + u 
y = z_1$$

Now we can apply input-output feedback linearization

$$u = z_1 + z_2 - z_1 z_2 + v$$

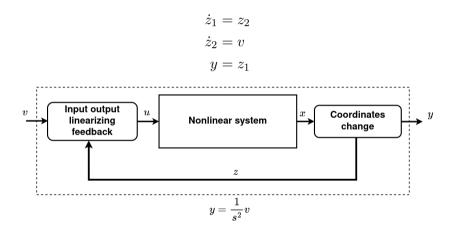


Figure: Input output link is rendered linear (a double integrator)

Matlab break

## Interlude

Looking at the system after the coordinates change;

$$\dot{z}_1 = z_2$$
 $\dot{z}_2 = -z_1 - z_2 + z_1 z_2 + u$ 
 $y = z_1$ 

It admits the following Taylor expansion at the sampling instants (sampling rate  $\delta$ )

$$z_{1}(k+1) = z_{1}(k) + \delta \dot{z}_{1}(k) + \frac{\delta^{2}}{2!} \ddot{z}_{1}(k) + \dots$$

$$= z_{1}(k) + \delta z_{2}(k) + \frac{\delta^{2}}{2!} (-z_{1}(k) - z_{2}(k) + z_{1}(k)z_{2}(k) + \boldsymbol{u}(\boldsymbol{k})) + O(\delta^{3})$$

$$z_{2}(k+1) = z_{2}(k) + \delta (-z_{1}(k) - z_{2}(k) + z_{1}(k)z_{2}(k) + \boldsymbol{u}(\boldsymbol{k})) + O(\delta^{2})$$

$$y(k) = z_{1}(k)$$

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$$z_{2}(k+1) = z_{2}(k) + \delta (-z_{1}(k) - z_{2}(k) + z_{1}(k)z_{2}(k) + \boldsymbol{u}(\boldsymbol{k})) + O(\delta^{2})$$

$$y(k) = z_{1}(k)$$

But the continuous-time linearizing feedback, held constant at the sampling instants, is

$$u(k) = z_1(k) + z_2(k) - z_1(k)z_2(k) + v(k)$$

Substituting that feedback in our series expansion we have

$$z_1(k+1) = z_1(k) + \delta z_2(k) + \frac{\delta^2}{2!}v(k) + O(\delta^3)$$
  

$$z_2(k+1) = z_2(k) + \delta v(k) + O(\delta^2)$$
  

$$y(k) = z_1(k)$$

Even though still linear, the system matrices changed!

$$z(k+1) = A_d z(k) + b_d v(k) + \frac{O(\Delta^2)}{2}$$
$$y(k) = cz(k)$$
$$A_d = \begin{pmatrix} 1 & \delta \\ 0 & 1 \end{pmatrix}, \quad b_d = \begin{pmatrix} \frac{\delta^2}{2} \\ \delta \end{pmatrix}$$
$$c = \begin{pmatrix} 1 & 0 \end{pmatrix}$$

$$z(k+1) = A_d z(k) + b_d v(k) + \frac{O(\Delta^2)}{2}$$
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Even though still linear, the system matrices changed!

The same v held constant is no longer guaranteed to get the job done, specially if  $\delta$  increases/not-constant

Is it enough just to redesign v given the new discrete time representation?

Matlab break

As we have seen, it is **not** enough to simply redesign the linear control v based on the discrete time representation.

$$z_{1}(k+1) = z_{1}(k) + \delta z_{2}(k) + \frac{\delta^{2}}{2!} \left( -z_{1}(k) - z_{2}(k) + z_{1}(k)z_{2}(k) + \frac{u(k)}{2!} \right) + O(\delta^{3})$$

$$z_{2}(k+1) = z_{2}(k) + \delta \left( -z_{1}(k) - z_{2}(k) + z_{1}(k)z_{2}(k) + \frac{u(k)}{2!} \right) + O(\delta^{2})$$

$$y(k) = z_{1}(k)$$

The problem is also emphasized by the fact that the output at t=k+1 is directly influenced by the input (compared to its second derivative in ct)

$$y(k+1) = z_1(k+1) = z_1(k) + \delta z_2(k) + \frac{\delta^2}{2!} \left( -z_1(k) - z_2(k) + z_1(k)z_2(k) + \frac{u(k)}{2!} \right) + O(\delta^3)$$

Can we move the influence of the control to y(k+2) to mimic the continuous time second derivative

Having a problem with complexity, always think about coordinates changes! Lets look at an output for which our requirement hold;

$$\begin{split} y^{\delta}(k) &= z_1(k) - \frac{\delta}{2}z_2(k) \\ y^{\delta}(k+1) &= z_1(k+1) - \frac{\delta}{2}z_2(k+1) \\ &= z_1(k+1) - \frac{\delta}{2}z_2(k+1) \\ &= z_1(k) + \delta z_2(k) + \frac{\delta^2}{2!} \frac{\delta^2}{(-z_1(k) - z_2(k) + z_1(k)z_2(k) + u(k))}{\delta^2(k) + \delta^2(k)} + O(\delta^3) \\ &- \frac{\delta}{2} \left( z_2(k) + \frac{\delta}{2}z_2(k) + O(\delta^3) \right) \\ &= z_1(k) + \frac{\delta}{2}z_2(k) + O(\delta^3) \end{split}$$

Having a problem with complexity, always think about coordinates changes! Using this output to define the following linear coordinates change:

$$z_1^\delta=z_1-rac{\delta}{2}z_2 \ z_2^\delta=z_2$$

In the new coordinates, the dynamics looks like

$$\begin{split} z_1^\delta(k+1) &= z_1^\delta(k) + \delta z_2^\delta(k) + O(\delta^3) \\ z_2^\delta(k+1) &= z_2^\delta(k) + \delta\left(v(k)\right) + O(\delta^2) \end{split}$$

Leaving the input-output linearizing feedback unchanged and only adding a coordinates change and redesigning v based on  $y^{\delta}$ .

Our feedback linearized system now is characterized by the model

$$z^{\delta}(k+1) = A_s z^{\delta} + b_s v + O(\Delta^2)$$
$$y^{\delta}(k) = c_s z^{\delta}$$
$$A_s = \begin{pmatrix} 1 & \delta \\ 0 & 1 \end{pmatrix}, \quad b_s = \begin{pmatrix} 0 \\ \delta \end{pmatrix}$$
$$c_s = \begin{pmatrix} 1 & 0 \end{pmatrix}$$

Also, the orginal output in these new coordinates is characterized by the matrix

$$c_d = \begin{pmatrix} 1 & \frac{\delta}{2} \end{pmatrix}$$

Matlab break

$$z^{\delta}(k+1) = A_s z^{\delta} + b_s v + O(\Delta^2)$$
$$y^{\delta}(k) = c_s z^{\delta}$$
$$A_s = \begin{pmatrix} 1 & \delta \\ 0 & 1 \end{pmatrix}, \quad b_s = \begin{pmatrix} 0 \\ \delta \end{pmatrix}$$
$$c_s = \begin{pmatrix} 1 & 0 \end{pmatrix}$$

Notice that

$$O(\Delta^2) = \begin{pmatrix} O(\delta^3) \\ O(\delta^2) \end{pmatrix}$$

Can we do even better, i.e.  $O(\Delta^3)$ ?

$$z_1^{\delta}(k+1) = z_1(k+1) - \frac{\delta}{2}z_2(k+1)$$

$$= z_1 + \delta z_2 - \frac{\delta^3}{12} ((z_1 - 1)(-z_1 - z_2 + z_1 z_2 + \mathbf{u}) + z_2(z_2 - 1)) + O(\delta^4)$$

$$z_2^{\delta}(k+1) = z_2 + \delta (-z_1 - z_2 + z_1 z_2 + \mathbf{u})$$

$$+ \frac{\delta^2}{2!} ((z_1 - 1)(-z_1 - z_2 + z_1 z_2 + \mathbf{u}) + z_2(z_2 - 1))) + O(\delta^3)$$

Can we move the influence of the control on the dynamics to higher orders of  $\delta$  even more?

Since typically  $\delta << 1$ , the further we remove it, the better ! (But how?)

Going one term more in the power series expansion (dropping the (k));

$$z_1^{\delta}(k+1) = z_1 + \delta z_2 - \frac{\delta^3}{12} ((z_1 - 1)(-z_1 - z_2 + z_1 z_2 + \mathbf{u}) + z_2(z_2 - 1)) + O(\delta^4)$$

$$z_2^{\delta}(k+1) = z_2 + \delta (-z_1 - z_2 + z_1 z_2 + \mathbf{u})$$

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$$+ \frac{\delta^2}{2!} ((z_1 - 1)(-z_1 - z_2 + z_1 z_2 + \mathbf{u}) + z_2 (z_2 - 1))) + O(\delta^3)$$

Now write the control as

$$u = u_0 + \delta u_1$$

We have:

$$z_1^{\delta}(k+1) = z_1 + \delta z_2 - \frac{\delta^3}{12} ((z_1 - 1)(-z_1 - z_2 + z_1 z_2 + u_0 + \delta u_1) + z_2(z_2 - 1)) + O(\delta^4)$$

$$z_2^{\delta}(k+1) = z_2 + \delta (-z_1 - z_2 + z_1 z_2 + u_0 + \delta u_1)$$

$$+ \frac{\delta^2}{2!} ((z_1 - 1)(-z_1 - z_2 + z_1 z_2 + u_0 + \delta u_1) + z_2(z_2 - 1))) + O(\delta^3)$$

Focusing only in terms in  $O(\Delta^3)$ ;

$$z_1^{\delta}(k+1) = z_1 + \delta z_2 - \frac{\delta^3}{12} ((z_1 - 1)(-z_1 - z_2 + z_1 z_2 + u_0 + \delta u_1) + z_2(z_2 - 1)) + O(\delta^4)$$

$$z_2^{\delta}(k+1) = z_2 + \delta (-z_1 - z_2 + z_1 z_2 + u_0 + \delta u_1)$$

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$$+ \frac{\delta^2}{2!} ((z_1 - 1)(-z_1 - z_2 + z_1 z_2 + u_0) + z_2(z_2 - 1))) + O(\delta^3)$$

Now let us set  $u_0$  to be the continuous time linearizing feedback, i.e.

$$u_0 = z_1 + z_2 - z_1 z_2 + v$$

we are left with

$$z_1^{\delta}(k+1) = z_1 + \delta z_2 - \frac{\delta^3}{12} ((z_1 - 1)v + z_2(z_2 - 1)) + O(\delta^4)$$
  
$$z_2^{\delta}(k+1) = z_2 + \delta (v + \frac{\delta u_1}{2!}) + \frac{\delta^2}{2!} ((z_1 - 1)v + z_2(z_2 - 1))) + O(\delta^3)$$

When in doubt, look for a coordinates change!

$$\eta = \Psi(z^{\delta}) = \begin{pmatrix} z_1^{\delta} \\ z_2^{\delta} - rac{\delta^2}{12} ((z_1 - 1)v + z_2(z_2 - 1)) \end{pmatrix}$$

In the new coordinates (we mix coordinates for readability), we are left with

$$\eta_1(k+1) = \eta_1(k) + \delta \eta_2(k) + O(\delta^4) 
\eta_2(k+1) = z_2 + \delta (v + \delta u_1) + \frac{\delta^2}{2!} ((z_1 - 1)v + z_2(z_2 - 1))) 
- \frac{\delta^2}{2} ((z_1(k+1) - 1)v(k+1) + z_2^{\delta}(k+1)(z_2^{\delta}(k+1) - 1)) + O(\delta^3)$$

Then we can use  $u_1$  to cancel the terms in the underbraces!

$$\eta_1(k+1) = \eta_1(k) + \delta \eta_2(k) + O(\delta^4)$$

$$\eta_2(k+1) = z_2 + \delta v + \delta^2 u_1 + \frac{\delta^2}{2!} ((z_1 - 1)v + z_2(z_2 - 1)))$$

$$-\frac{\delta^2}{2} ((z_1(k+1) - 1)v(k+1) + z_2^{\delta}(k+1)(z_2^{\delta}(k+1) - 1)) + O(\delta^3)$$

And with that we have

$$\eta_1(k+1) = \eta_1(k) + \delta \eta_2(k) + O(\delta^4)$$

$$\eta_2(k+1) = z_2 + \delta v + O(\delta^3)$$

Equivalently;

$$\eta(k+1) = A_s \eta(k) + b_s v(k) + O(\Delta^3)$$
$$y^{\delta}(k) = c\eta(k)$$

What is the catch?

### Higher orders of approximate feedback linearization

#### Summarizing what we did:

- define a coordinates change  $\phi(\cdot): z \mapsto z^{\delta}$
- ullet redesign the external linear control v based on the new discrete time system matrices
- to get higher orders approximation, an additional coordinates change was defined  $\Psi:z^\delta\mapsto \eta$ , and the control was split into linearizing part  $u_0$  and corrective term  $u_1$

### Higher orders of approximate feedback linearization

Can this process of defining successive coordinates changes and correcting feedback terms be made more systematic and general?

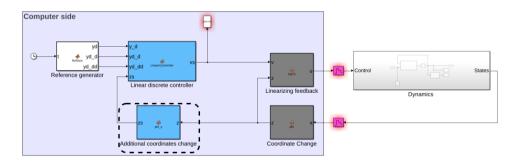
Let us see some robotics motion control application

Can we do even better? (PART 2)!

### Let's get more systematic

we know how to compute the continuous-time linearizing coordinates change and feedback (explicit formula) for generic nonlinear systems with well defined relative degree.

Can we say the same about the digital additional coordinates change?



Fortunately, YES, and its a linear transformation as a bonus!

Without going into derivation (check Ch.7, and IEEE Letters)

$$z^{\delta} = T_r(\delta)z$$

where r is the relative degree, and;

$$T_r(\delta) = \begin{pmatrix} c_r^{\sigma} \\ \frac{1}{\delta} c_r^{\delta} (A_r^{\delta} - I_r) \\ \vdots \\ \frac{1}{\delta^{r-1}} c_r^{\delta} (A_r^{\delta} - I_r)^{r-1} \end{pmatrix}$$

$$A_r^{\delta} = \begin{pmatrix} 1 & \delta & \dots & \frac{\delta^{r-1}}{(r-1)!} \\ 0 & 1 & \dots & \frac{\delta^{r-2}}{(r-2)!} \\ & & \ddots & \\ 0 & 0 & \dots & 1 \end{pmatrix}, \quad b_r^{\delta} = \begin{pmatrix} \frac{\delta^r}{r!} \\ \frac{\delta^{r-1}}{(r-1)!} \\ \vdots \\ \delta \end{pmatrix}$$
$$c_r^{\delta} = \delta^r \begin{pmatrix} 0 & 0 & \dots & 1 \end{pmatrix} \begin{pmatrix} b_r^{\delta} & A_r^{\delta} b_r^{\delta} & \dots & (A_r^{\delta})^{r-1} b_r^{\delta} \end{pmatrix}$$

Fortunately, the coordinate change only depends on the relative degree of the continuous time system and  $\delta$ . Can be precomputed offline!

**Example** In our example r=2

$$A_2^\delta = \begin{pmatrix} 1 & \delta \\ 0 & 1 \end{pmatrix}, \qquad b_2^\delta = \begin{pmatrix} rac{\delta^2}{2} \\ \delta \end{pmatrix}$$

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$$c_2^{\delta} = \delta^2 \begin{pmatrix} 0 & 1 \end{pmatrix} \begin{pmatrix} b_2^{\delta} & A_2^{\delta} b_2^{\delta} \end{pmatrix}$$

$$= \delta^2 \begin{pmatrix} 0 & 1 \end{pmatrix} \begin{pmatrix} \frac{\delta^2}{2!} & \frac{3\delta^2}{2!} \\ \delta & \delta \end{pmatrix}^{-1}$$

$$= \begin{pmatrix} 1 & -\frac{\delta}{2} \end{pmatrix}$$

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$$= \delta^2 \begin{pmatrix} 0 & 1 \end{pmatrix} \begin{pmatrix} \frac{\delta^2}{2!} & \frac{3\delta^2}{2!} \\ \delta & \delta \end{pmatrix}^{-1}$$

$$= \begin{pmatrix} 1 & -\frac{\delta}{2} \end{pmatrix}$$

$$T_2(\delta) = \begin{pmatrix} c_r^{\delta} \\ \frac{1}{\delta} c_r^{\delta} (A_r^{\delta} - I_r) \end{pmatrix} = \begin{pmatrix} 1 & -\frac{\delta}{2} \\ 0 & 1 \end{pmatrix}$$

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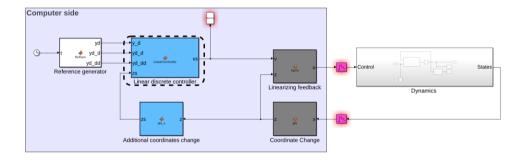
So, we have for r = 2, 3, ...

$$T_2(\delta) = \begin{pmatrix} 1 & -\frac{\delta}{2} \\ 0 & 1 \end{pmatrix}$$

$$T_3(\delta) = \begin{pmatrix} 1 & -\delta & \frac{\delta^2}{3} \\ 0 & 1 & -\frac{\delta}{2} \\ 0 & 0 & 1 \end{pmatrix}$$
.

### Computing the linear digital feedback

What about the external linear digital control block?



### Computing the linear digital feedback

Obviously, also the external linear digital control v(k) redesign depends only on r.

So, the new discrete time system matrices

$$A_r = \begin{pmatrix} 1 & \delta & 0 & \dots & 0 \\ 0 & 1 & \delta & \dots & 0 \\ & & \ddots & & \\ 0 & 0 & \dots & 0 & 1 \end{pmatrix}, \qquad b_r = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ \delta \end{pmatrix}$$

$$c_r = \begin{pmatrix} 1 & 0 & \dots & 0 \end{pmatrix}$$

and so we can always find a v(k) to control a system described by these matrices  $\forall r \geq 1$ 

To go from  $O(\Delta^2)$  to  $O(\Delta^3)$ , we needed an additional coordinates change and feedback correction. Can we also make thier computations systematic?

Fortunately yes, algorithmically;

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- Apply the  $z^{\delta}=T_r(\delta)z$  coordinates transformation.
- Compute the discrete time equivalent model up to  $O(\Delta^3)$

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- Apply the  $z^{\delta} = T_r(\delta)z$  coordinates transformation.
- Compute the discrete time equivalent model up to  $O(\Delta^3)$
- Set  $u = u_0 + \delta u_1$
- Set the coordinates change

$$\eta = \Psi(z^{\delta}, u_0) = \begin{pmatrix} z_1^{\delta} \\ z_2^{\delta} + \gamma_1(\delta) \\ z_2^{\delta} + \gamma_2(\delta) + \frac{1}{\delta}(\eta_2(k+1) - z_2^{\delta}(k+1)) \\ \vdots \\ z_r^{\delta} + \gamma_{r-1}(\delta) + \frac{1}{\delta}(\eta_{r-1}(k+1) - z_{r-1}^{\delta}(k+1)) \end{pmatrix}$$

The terms  $\gamma_i(\delta)$  actually have an explicit form as well, taking the ith element of the expression;

$$\gamma(\delta) = [T_r(\delta)b_{r,2}^{\delta}]_i L_{f+gu_0}(\alpha + \beta u_0)|_{z^{\delta}}$$
$$b_{r,i}^{\delta} = \begin{pmatrix} \frac{\delta^{r+i-1}}{(r+i-1)!} & \frac{\delta^{r+i-2}}{(r+i-2)!} & \dots & \frac{\delta^i}{i!} \end{pmatrix}^{\top}$$

Fortunately yes, algorithmic-ally;

- Apply the  $z^{\delta} = T_r(\delta)z$  coordinates transformation.
- Compute the discrete time equivalent model up to  $O(\Delta^3)$
- Set  $u = u_0 + \delta u_1$
- Set the coordinates change  $\eta = \Psi(z^{\delta}, u_0)$
- ullet In the end we will apply a control corrective term, in the  $\eta$  coordinates, of the form

$$u_1(k) = -\beta(\cdot)^{-1} \left[ \gamma_r(\delta) + \frac{1}{\delta} (\eta_r(k+1) - z_r^{\delta}(k+1)) \right]$$

### **Postlude**

### Concluding remarks to PART 1

#### What we saw:

- the idea of (partial) feedback linearization in continuous time
- holding the designed linearizing feedback constant over the sampling period may fail.
- it may be enough to redesign the external linear feedback, but not always the case
- an additional coordinates change and redesign of the feedback gives much better results!
- a systematic way for designing the additional coordinates change and feedback(s) up to any desired order of approximation

### Concluding remarks to PART 1

#### What we are going to do next;

- everything is still approximate!
- can we get exact solutions?
- side-benefits to exact solutions (sometimes no need for digital extension, even if it is necessary in continuous time !!)
- motion planning under digital control via matching equalities

## Thanks, discussion time!