



# *Modeling Transformations*

---

***CSE 409***

Md. Tanvir Al Amin\*  
Lecturer, Dept. of CSE, BUET  
tanviralamin@gmail.com

\*Special Thanks to Tanvir Parvez, Fredo Durand, James O'Brien, Tomas Lozano-Perez, Jovan Popovic



# *Transformation Background*

---

What is Transformation ?



# *What is a Transformation*

---

## ★ Transformation:

- An operation that changes one configuration into another

## ★ For images, shapes, *etc.*

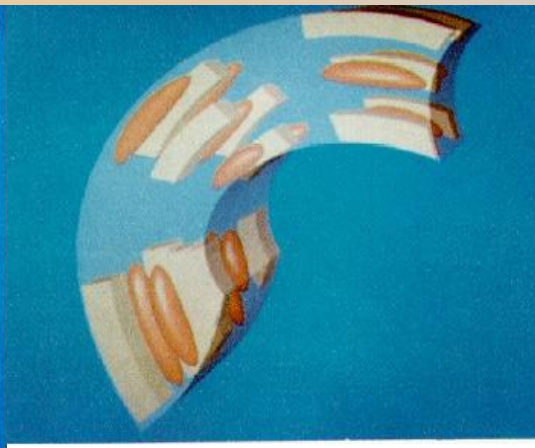
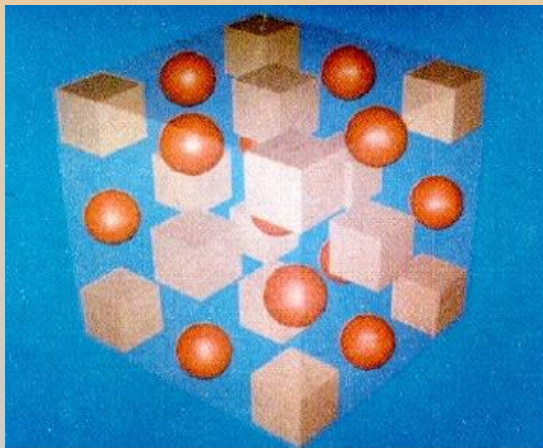
- A geometric transformation maps positions that define the object to other positions
- Linear transformation means the transformation is defined by a linear function... which is what matrices are good for.





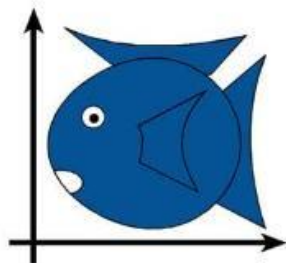
# *What is a Transformation?*

- ★ A function that maps points  $x$  to points  $x'$ :  
Applications: animation, deformation, viewing, projection, real-time shadows, ...

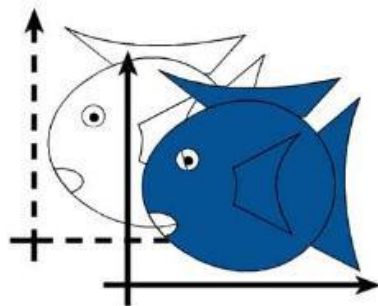




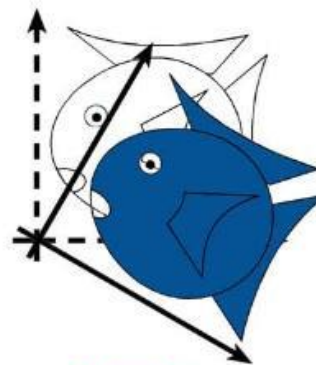
# *Examples of Transformation*



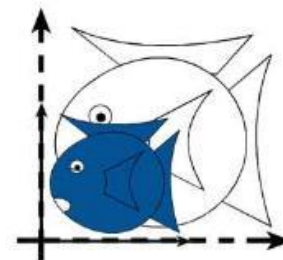
Identity



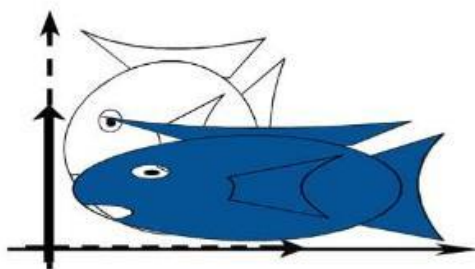
Translation



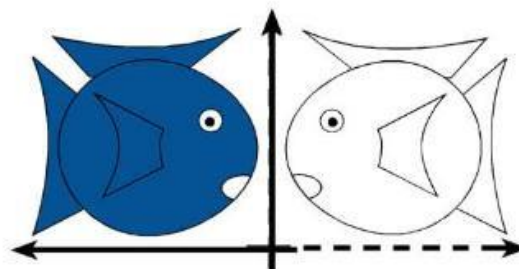
Rotation



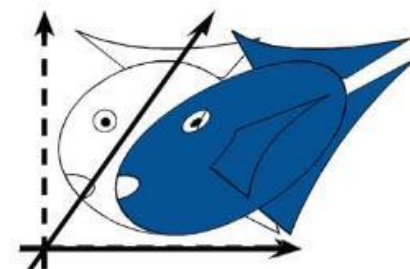
Isotropic  
(Uniform)  
Scaling



Scaling



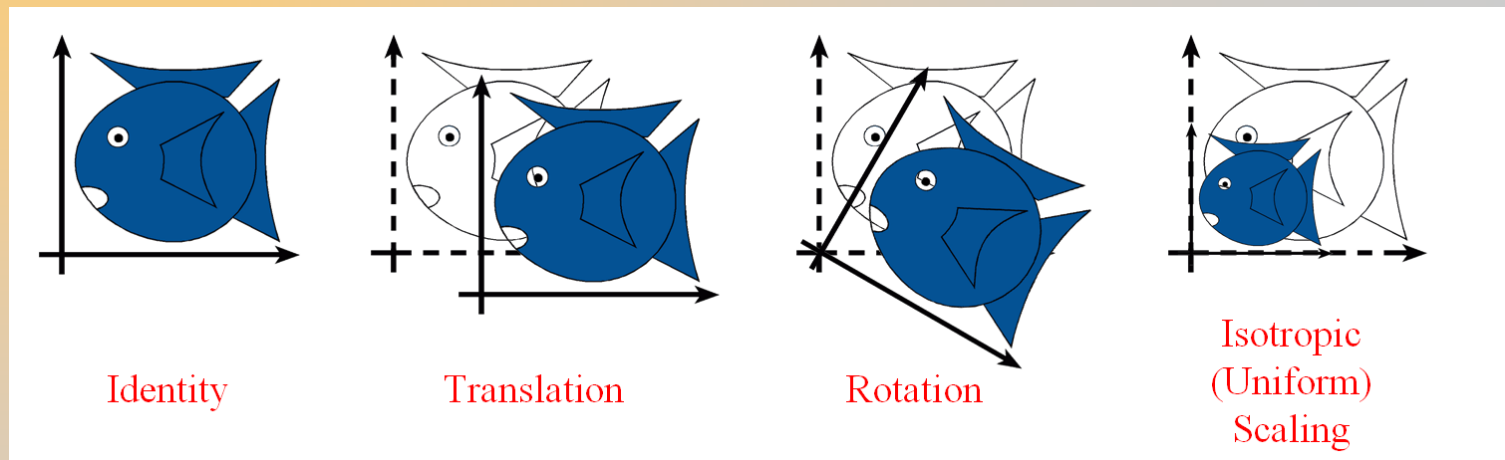
Reflection



Shear



# Simple Transformations



★ Can be combined

★ Are these operations invertible?

*Yes, except scale = 0*



# *Rigid-Body / Euclidean Transforms*

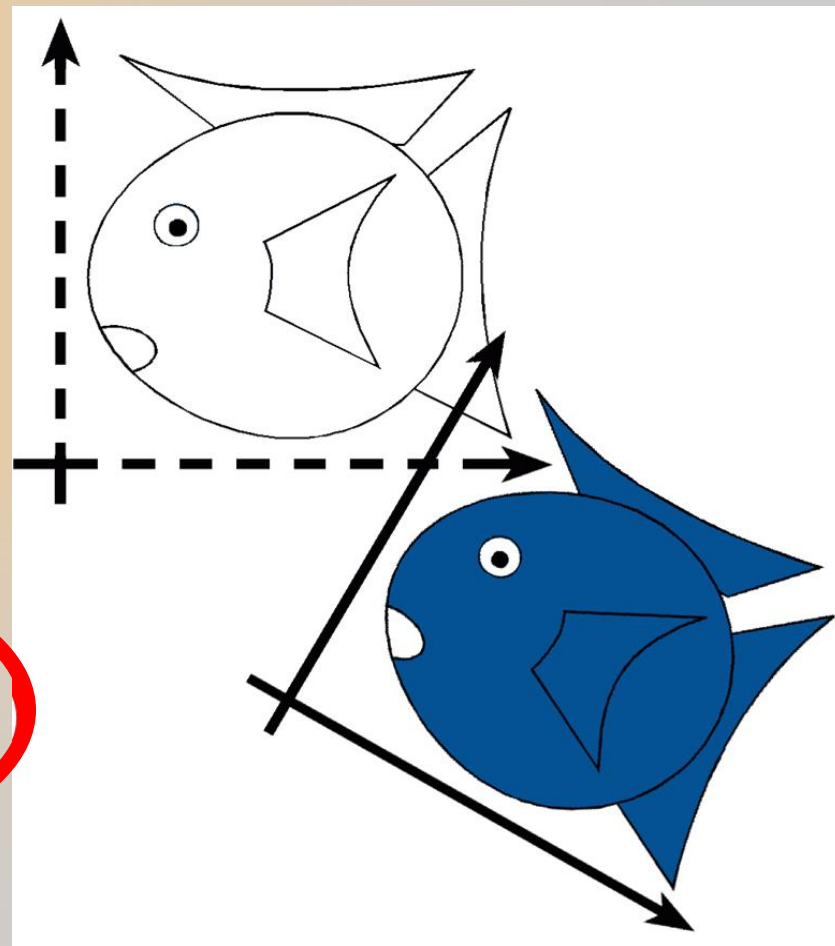
- ★ Preserves distances
- ★ Preserves angles

*Rigid / Euclidean*

Translation

Identity

Rotation







# *Similitudes / Similarity Transforms*

★ Preserves angles

*Similitudes*

*Rigid / Euclidean*

Translation

Identity  
Rotation

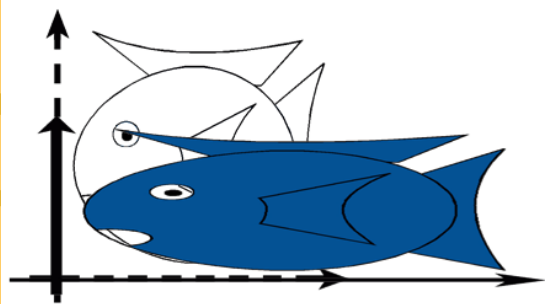
Isotropic Scaling



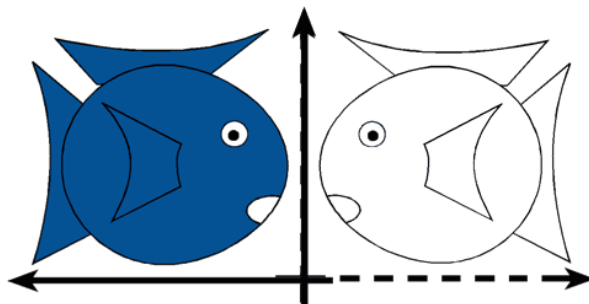




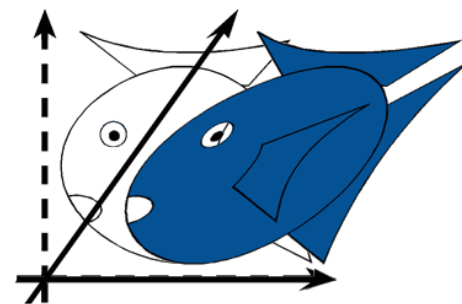
# Linear Transformations



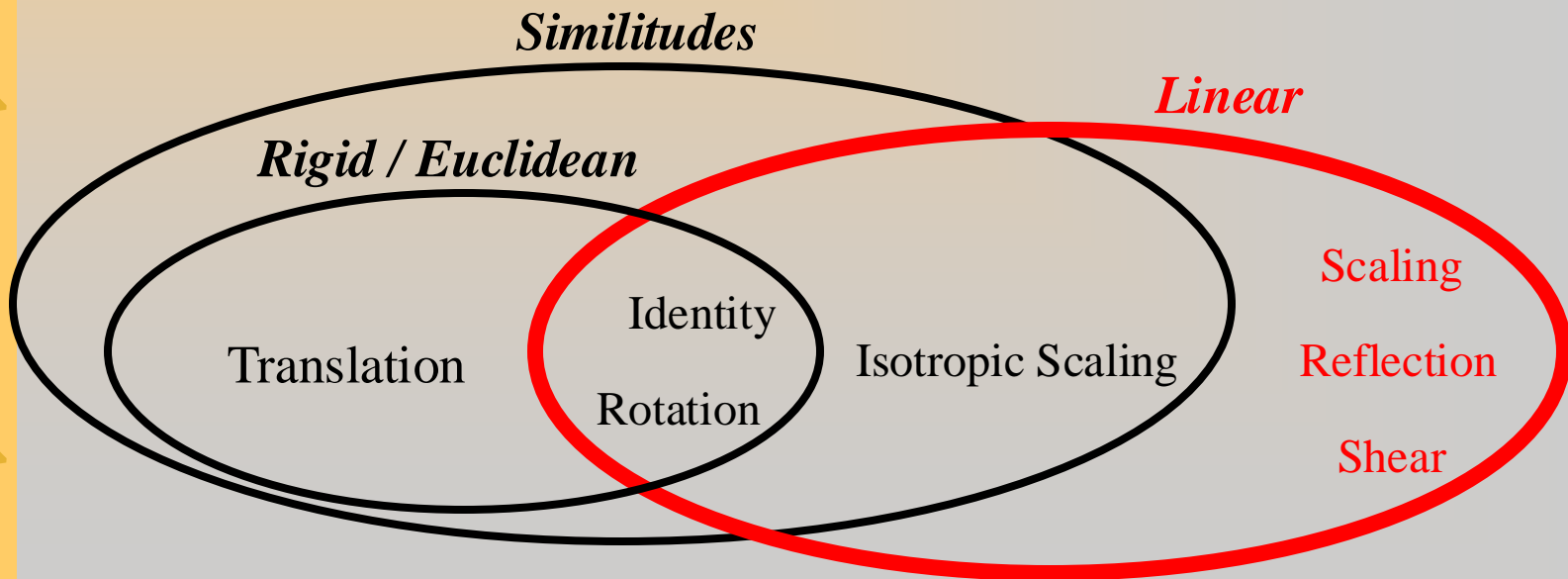
Scaling



Reflection



Shear

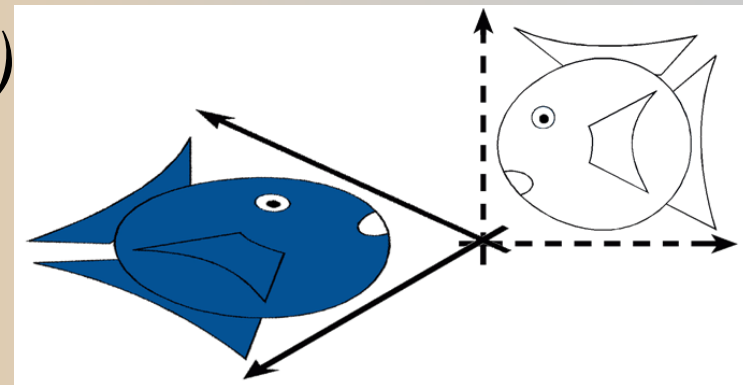




# Linear Transformations

$$\star L(p + q) = L(p) + L(q)$$

$$\star L(ap) = a L(p)$$



*Similitudes*

*Rigid / Euclidean*

Translation

Identity  
Rotation

Isotropic Scaling

*Linear*

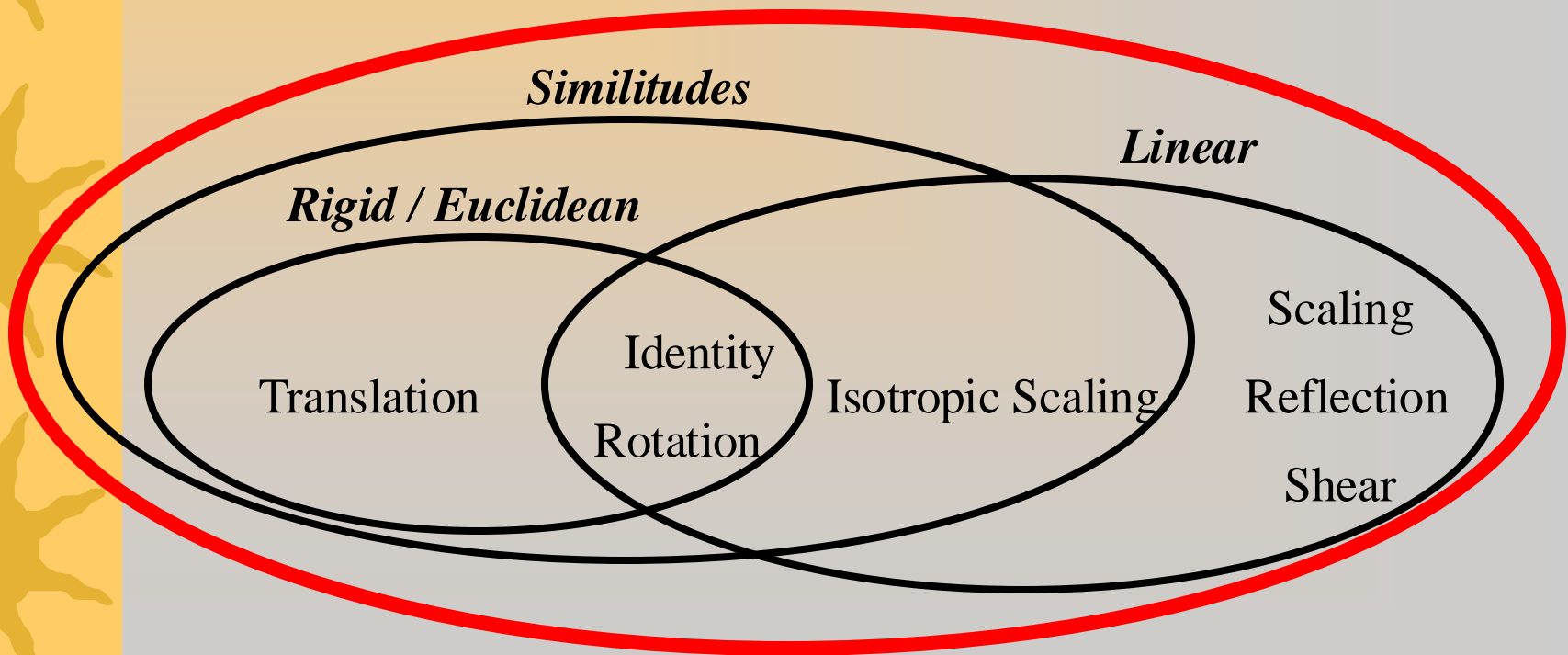
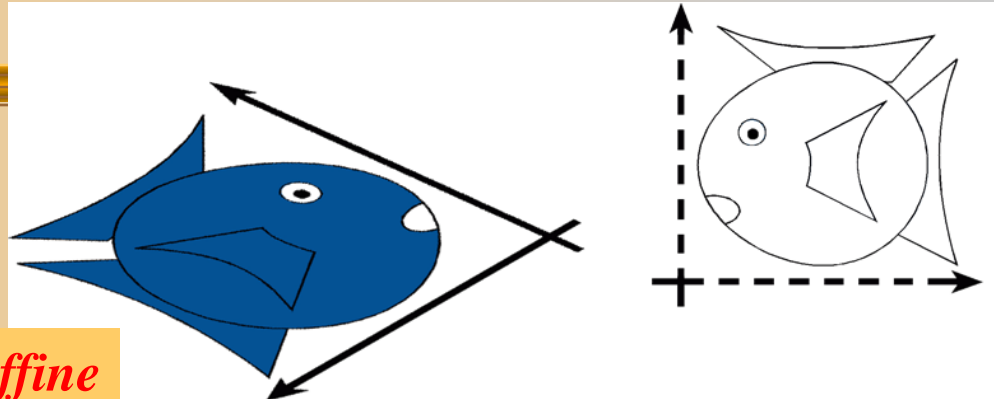
Scaling

Reflection

Shear

# Affine Transformations

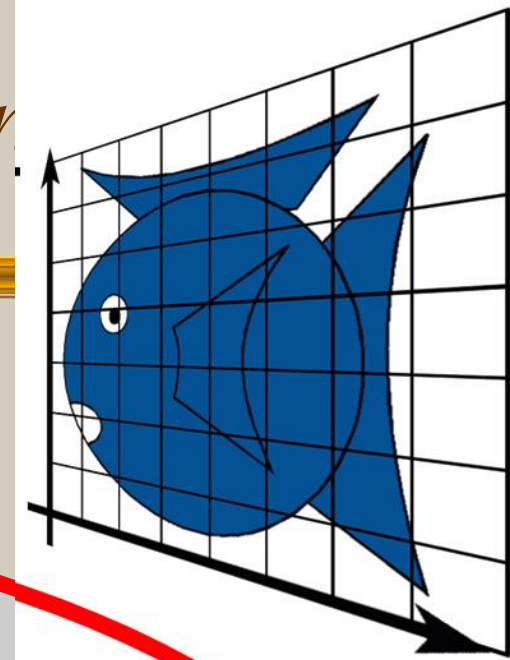
★ preserves  
parallel lines





# *Projective Transformation*

★ preserves lines



*Projective*

*Affine*

*Similitudes*

*Linear*

*Rigid / Euclidean*

Translation

Identity  
Rotation

Isotropic Scaling

Scaling  
Reflection  
Shear

*Perspective*



# *How are Transforms Represented?*

---



$$x' = ax + by + c$$

$$y' = dx + ey + f$$



$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} a & b \\ d & e \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} c \\ f \end{bmatrix}$$



$$p' = M p + t$$



# Translation in homogenous coordinates

$$x' = ax + by + c$$

$$y' = dx + ey + f$$

Cartesian formulation

Homogeneous formulation

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} a & b \\ d & e \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} c \\ f \end{bmatrix}$$

$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} a & b & c \\ d & e & f \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$p' = M p + t$$

$$p' = M p$$



# *Homogeneous Co-ordinates*

---

- ★ Translation, scaling and rotation are expressed (non-homogeneously) as:
  - translation:  $P' = P + T$
  - Scale:  $P' = S \cdot P$
  - Rotate:  $P' = R \cdot P$
- ★ Composition is difficult to express, since translation not expressed as a matrix multiplication
- ★ Homogeneous coordinates allow all three to be expressed homogeneously, using multiplication by  $3 \times 3$  matrices
- ★ W is 1 for affine transformations in graphics





# Homogeneous Coordinates

## ★ Add an extra dimension

- in 2D, we use 3 x 3 matrices
- In 3D, we use 4 x 4 matrices

## ★ Each point has an extra value, $w$

$$\begin{bmatrix} x' \\ y' \\ z' \\ w' \end{bmatrix} = \begin{bmatrix} a & b & c & d \\ e & f & g & h \\ i & j & k & l \\ m & n & o & p \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ w \end{bmatrix}$$

$$p' = \textcolor{red}{M} p$$





# Homogeneous Coordinates

- ★ Most of the time  $w = 1$ , and we can ignore it

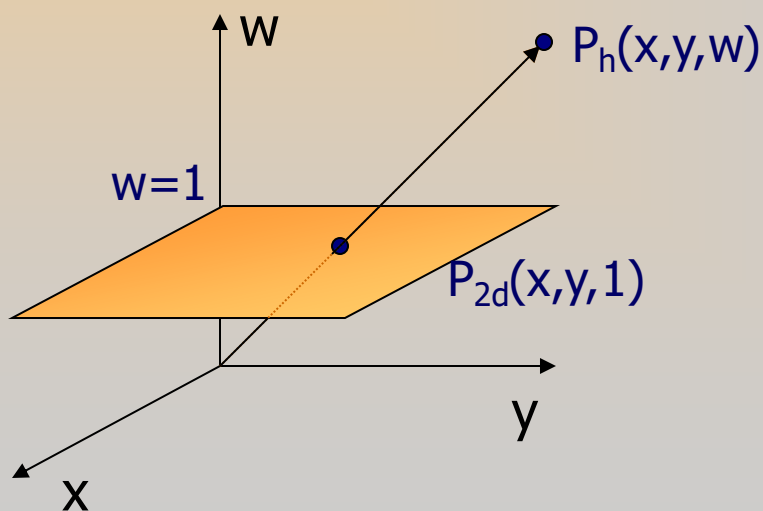
$$\begin{bmatrix} x' \\ y' \\ z' \\ \color{red}{1} \end{bmatrix} = \begin{bmatrix} a & b & c & d \\ e & f & g & h \\ i & j & k & l \\ \color{red}{0} & \color{red}{0} & \color{red}{0} & \color{red}{1} \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ \color{red}{1} \end{bmatrix}$$

- ★ If we multiply a homogeneous coordinate by an *affine matrix*,  $w$  is unchanged



# *Homogeneous Co-ordinates*

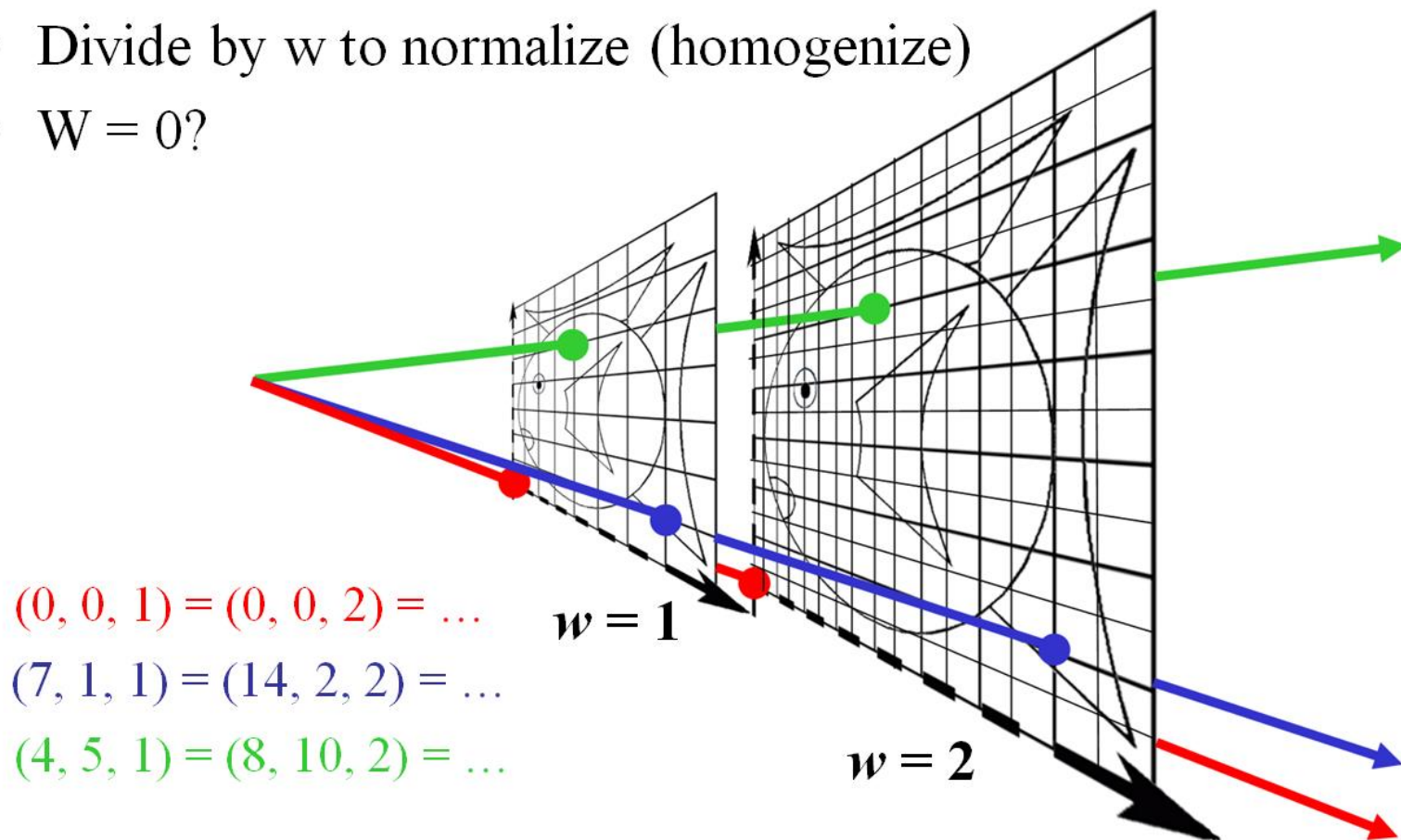
- ★  $P_{2d}$  is a projection of  $P_h$  onto the  $w = 1$  plane
- ★ So an infinite number of points correspond to : they constitute the whole line  $(tx, ty, tw)$





# Homogeneous Visualization

- Divide by  $w$  to normalize (homogenize)
- $W = 0$ ?





# *Mechanics of Rigid Transformations*

---

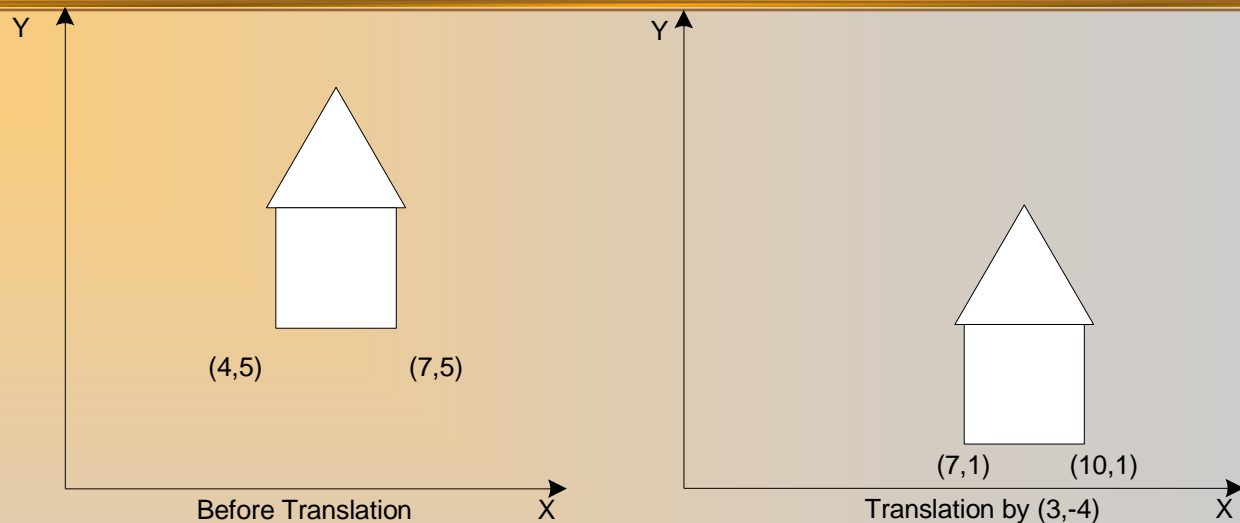
Translate

Rotate

Scale



# Translation – 2D



$$\begin{aligned}x' &= x + d_x \\ y' &= y + d_y\end{aligned}$$

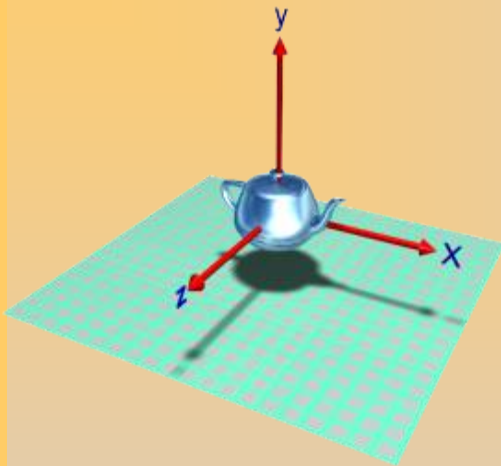
$$P = \begin{bmatrix} x \\ y \end{bmatrix} \quad P' = \begin{bmatrix} x' \\ y' \end{bmatrix} \quad T = \begin{bmatrix} d_x \\ d_y \end{bmatrix} \quad P' = P + T$$

*Homogenous Form*

$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & d_x \\ 0 & 1 & d_y \\ 0 & 0 & 1 \end{bmatrix} * \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$



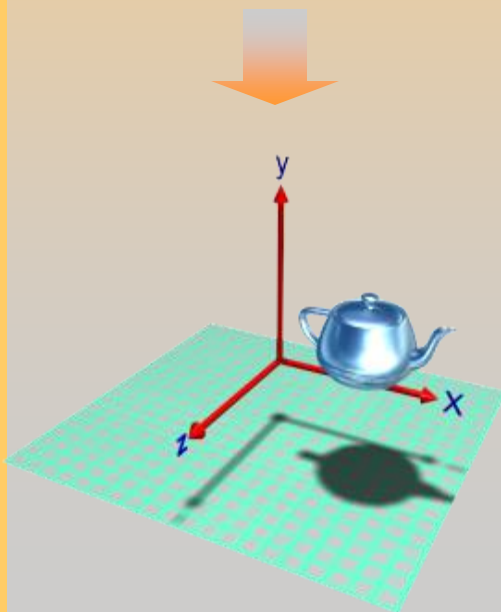
# Translation – 3D



$$x' = x + d_x$$

$$y' = y + d_y$$

$$z' = z + d_z$$



$$\begin{bmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{bmatrix} * \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} x + d_x \\ y + d_y \\ z + d_z \\ 1 \end{bmatrix}$$

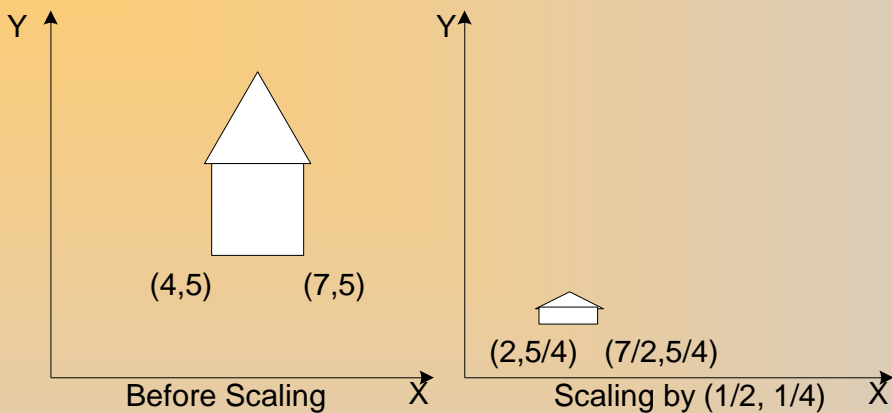
$\Downarrow \qquad \qquad \Downarrow \qquad \qquad \Downarrow$

$$T(d_x, d_y, d_z) * P = P'$$





# Scaling – 2D



Types of Scaling:

- Differential ( $s_x \neq s_y$ )
- Uniform ( $s_x = s_y$ )

$$x' = s_x * x$$

$$y' = s_y * y$$

$$S * P = P'$$

$$\Downarrow \quad \Downarrow \quad \Downarrow$$

$$\begin{bmatrix} s_x & 0 \\ 0 & s_y \end{bmatrix} * \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x * s_x \\ y * s_y \end{bmatrix}$$

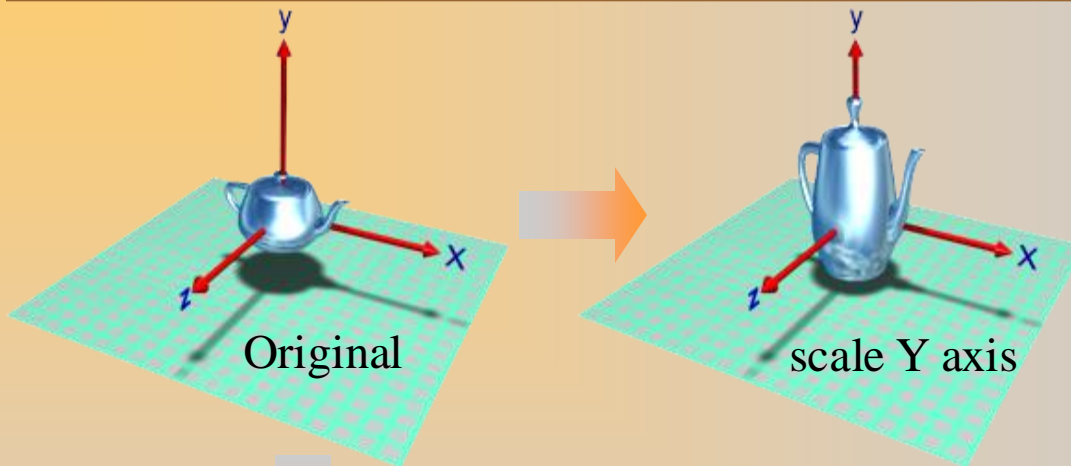


Homogenous Form

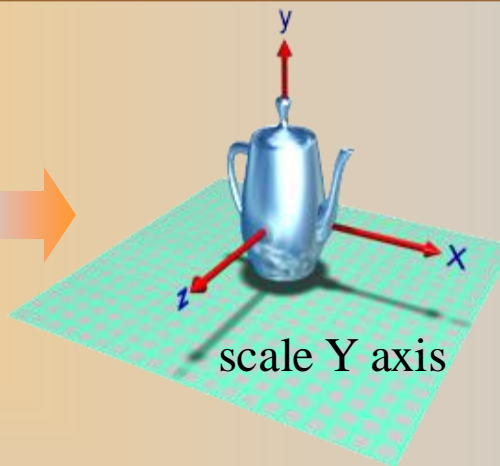
$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix} * \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$



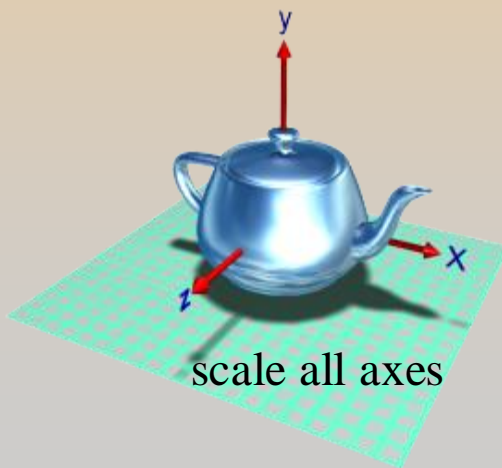
# Scaling – 3D



Original



scale Y axis



scale all axes

$$x' = s_x * x$$

$$y' = s_y * y$$

$$z' = s_z * z$$



$$S(s_x, s_y, s_z) * P = P'$$



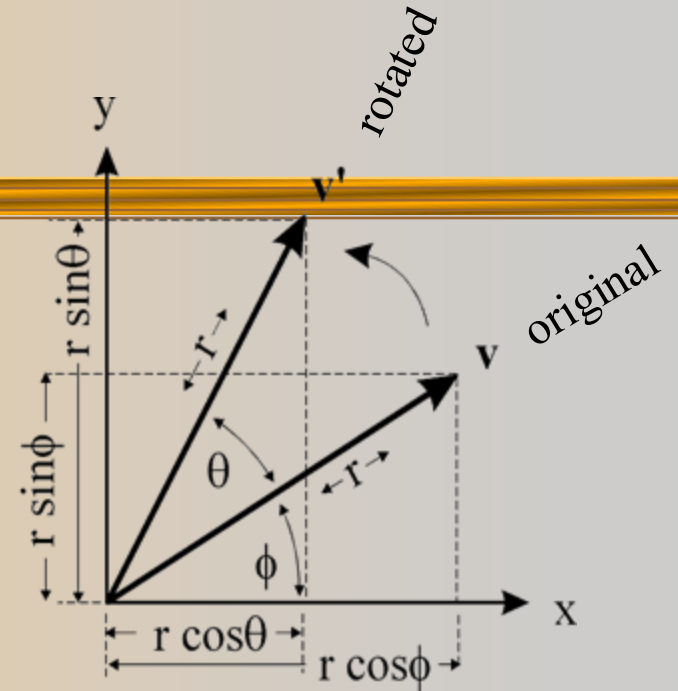
$$\begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} * \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} x * s_x \\ y * s_y \\ z * s_z \\ 1 \end{bmatrix}$$



# Rotation – 2D

$$\mathbf{v} = \begin{bmatrix} r \cos \phi \\ r \sin \phi \end{bmatrix}$$

$$\mathbf{v}' = \begin{bmatrix} r \cos(\phi + \theta) \\ r \sin(\phi + \theta) \end{bmatrix}$$



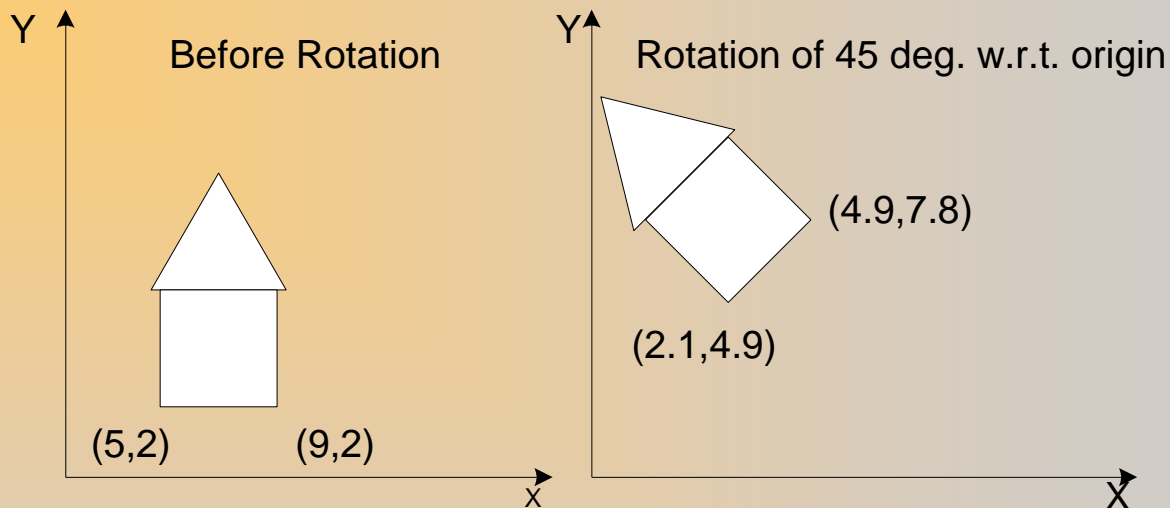
$$\text{expand } (\phi + \theta) \Rightarrow \begin{cases} x' = r \cos \phi \cos \theta - r \sin \phi \sin \theta \\ y' = r \cos \phi \sin \theta + r \sin \phi \cos \theta \end{cases}$$

$$\text{but } \begin{aligned} x &= r \cos \phi \\ y &= r \sin \phi \end{aligned} \Rightarrow \begin{aligned} x' &= x \cos \theta - y \sin \theta \\ y' &= x \sin \theta + y \cos \theta \end{aligned}$$





# Rotation – 2D



$$x * \cos \theta - y * \sin \theta = x'$$

$$x * \sin \theta + y * \cos \theta = y'$$

$$R * P = P'$$

$$\begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} * \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x * \cos \theta - y * \sin \theta \\ x * \sin \theta + y * \cos \theta \end{bmatrix}$$

Homogenous Form

$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix} * \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

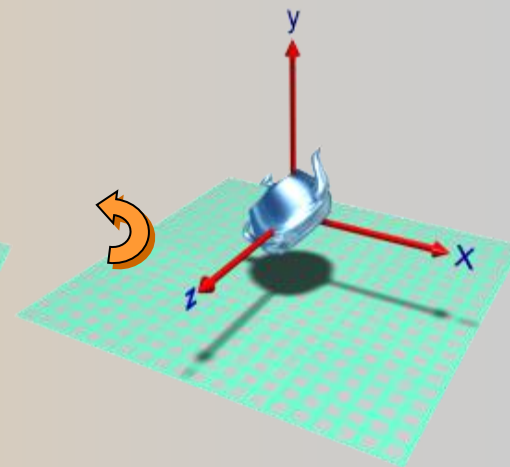
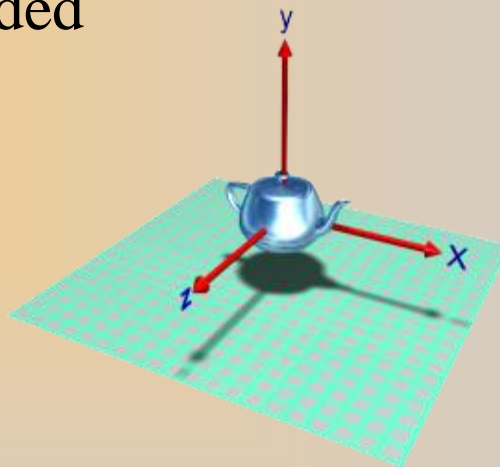


# Rotation – 3D

For 3D-Rotation 2  
parameters are needed

- ★ Angle of rotation
- ★ Axis of rotation

Rotation about z-axis:



$$R_{\theta,k}$$



$$* P =$$



$$P'$$



$$\begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} * \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} x * \cos \theta - y * \sin \theta \\ x * \sin \theta + y * \cos \theta \\ z \\ 1 \end{bmatrix}$$



# Rotation about Y-axis & X-axis

About y-axis

 $R_{\theta,j}$  $* P =$  $P'$  $\Downarrow$  $\Downarrow$  $\Downarrow$ 

$$\begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} * \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} x * \cos \theta + z * \sin \theta \\ y \\ -x * \sin \theta + z * \cos \theta \\ 1 \end{bmatrix}$$

About x-axis

 $R_{\theta,i}$  $* P =$  $P'$  $\Downarrow$  $\Downarrow$  $\Downarrow$ 

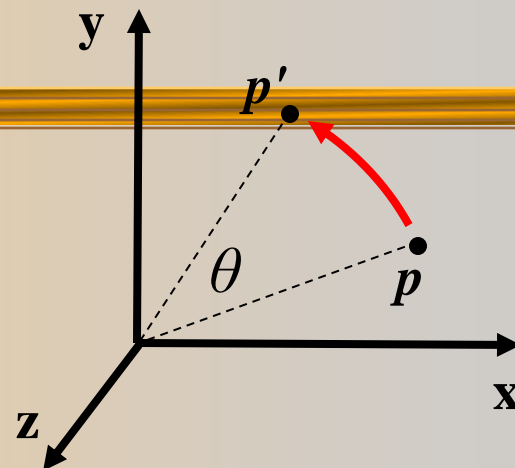
$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} * \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} x \\ y * \cos \theta - z * \sin \theta \\ y * \sin \theta + z * \cos \theta \\ 1 \end{bmatrix}$$



# Rotation about Z axis

ZRotate( $\theta$ )

★ About z axis

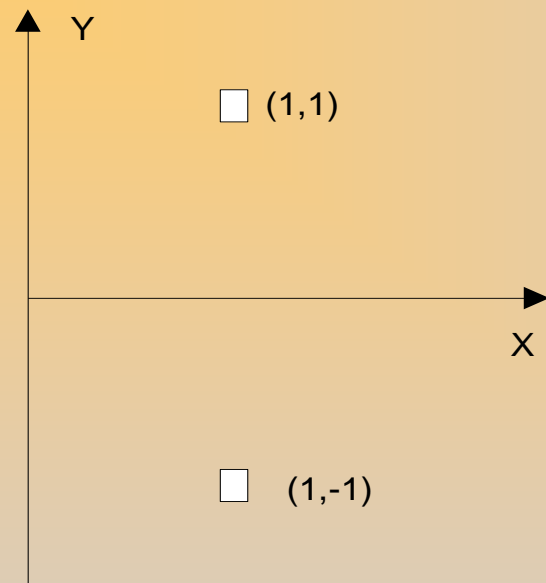


$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$





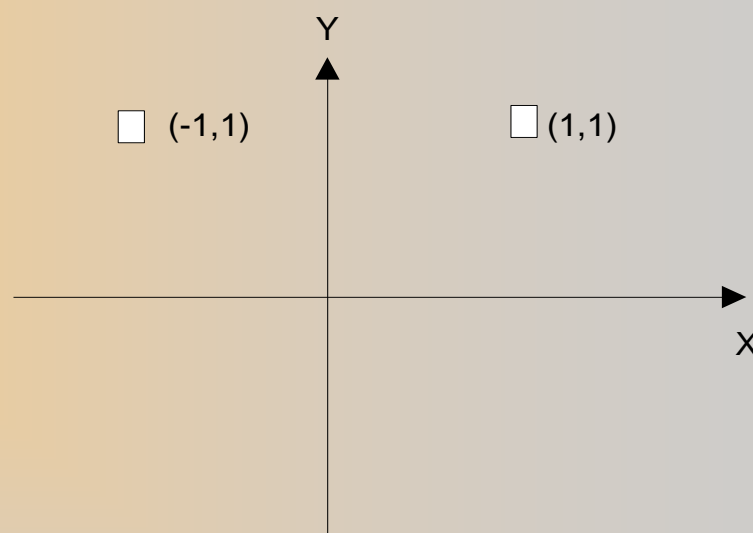
# Mirror Reflection



Reflection about X - axis

$$x' = x \quad y' = -y$$

$$M_x = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



Reflection about Y - axis

$$x' = -x \quad y' = y$$

$$M_y = \begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



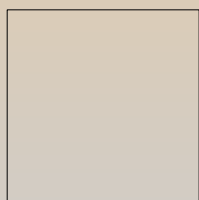
# Shearing Transformation



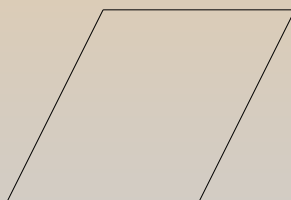
$$SH_x = \begin{bmatrix} 1 & a & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$SH_y = \begin{bmatrix} 1 & 0 & 0 \\ b & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

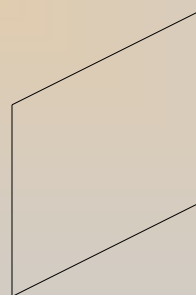
$$SH_{xy} = \begin{bmatrix} 1 & a & 0 \\ b & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



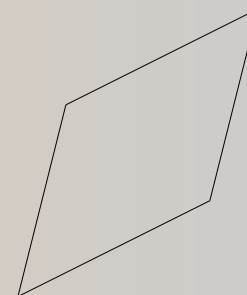
unit cube



Sheared in X  
direction



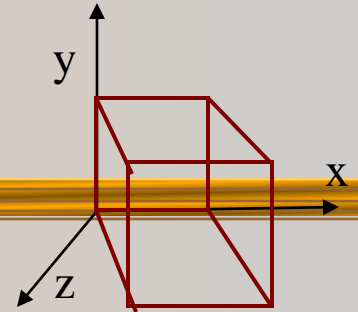
Sheared in Y  
direction



Sheared in both X  
and Y direction



# *Shear along Z-axis*



$$SH_{xy}(sh_x, sh_y) * P = P'$$



$$\begin{bmatrix} 1 & 0 & sh_x & 0 \\ 0 & 1 & sh_y & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} * \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} x + z * sh_x \\ y + z * sh_y \\ z \\ 1 \end{bmatrix}$$



# *Inverse Transforms*

- In general:  $\mathbf{A}^{-1}$  undoes effect of  $\mathbf{A}$
- Special cases:
  - Translation: negate  $t_x$  and  $t_y$
  - Rotation: transpose
  - Scale: invert diagonal (axis-aligned scales)
- Others:
  - Invert matrix
  - Invert SVD matrices





# *Inverse Transformations*

Translation :  $T_{(dx,dy)}^{-1} = T_{(-dx,-dy)}$

Rotation :  $R_{(\theta)}^{-1} = R_{(-\theta)} = R_{(\theta)}^T$

Scaling :  $S_{(sx,sy)}^{-1} = S_{(1/sx, 1/sy)}$

Mirror Ref :  $M_x^{-1} = M_x$

$$M_y^{-1} = M_y$$





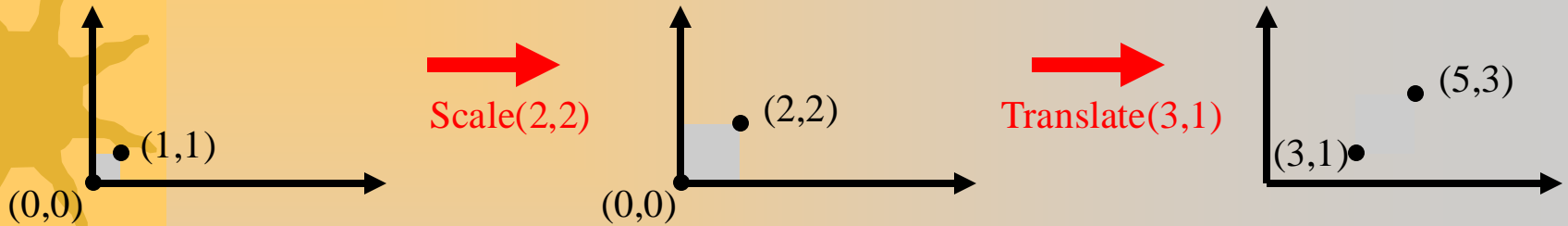
# *Composing Transformations*

---



# *How are transforms combined?*

## Scale then Translate



Use matrix multiplication:  $p' = T ( S p ) = TS p$

$$TS = \begin{bmatrix} 1 & 0 & 3 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 2 & 0 & 3 \\ 0 & 2 & 1 \\ 0 & 0 & 1 \end{bmatrix}$$

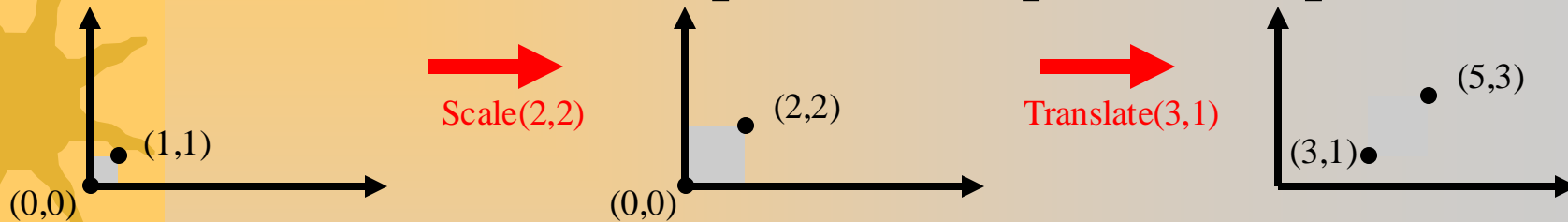
Caution: matrix multiplication is NOT commutative!



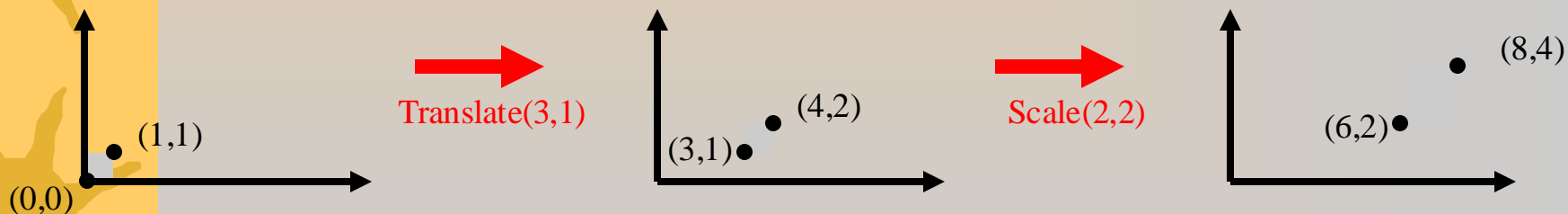


# *Non-commutative Composition*

Scale then Translate:  $p' = T ( S p ) = TS p$



Translate then Scale:  $p' = S ( T p ) = ST p$





# *Non-commutative Composition*

Scale then Translate:  $p' = T ( S p ) = TS p$

$$TS = \begin{bmatrix} 1 & 0 & 3 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 2 & 0 & 3 \\ 0 & 2 & 1 \\ 0 & 0 & 1 \end{bmatrix}$$

Translate then Scale:  $p' = S ( T p ) = ST p$

$$ST = \begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 3 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 2 & 0 & 6 \\ 0 & 2 & 2 \\ 0 & 0 & 1 \end{bmatrix}$$



## *Combining Translations, Rotations*

---

- ★ Order matters!! TR is not the same as RT (demo)
- ★ General form for rigid body transforms
- ★ We show rotation first, then translation (commonly used to position objects) on next slide. Slide after that works it out the other way





## *Rotate then Translate*

$$P' = (TR)P = MP = RP + T$$

$$M = \begin{pmatrix} 1 & 0 & 0 & T_x \\ 0 & 1 & 0 & T_y \\ 0 & 0 & 1 & T_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} R_{11} & R_{12} & R_{13} & 0 \\ R_{21} & R_{22} & R_{23} & 0 \\ R_{31} & R_{32} & R_{33} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \left( \begin{array}{ccc|c} R_{11} & R_{12} & R_{13} & T_x \\ R_{21} & R_{22} & R_{23} & T_y \\ R_{31} & R_{32} & R_{33} & T_z \\ \hline 0 & 0 & 0 & 1 \end{array} \right) = \begin{pmatrix} R & T \\ 0 & 1 \end{pmatrix}$$



## *Translate then Rotate*

---

$$P' = (RT)P = MP = R(P + T) = RP + RT$$

$$M = \begin{pmatrix} R_{11} & R_{12} & R_{13} & 0 \\ R_{21} & R_{22} & R_{23} & 0 \\ R_{31} & R_{32} & R_{33} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & T_x \\ 0 & 1 & 0 & T_y \\ 0 & 0 & 1 & T_z \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} R_{3 \times 3} & R_{3 \times 3} T_{3 \times 1} \\ 0_{1 \times 3} & 1 \end{pmatrix}$$



# *Associativity of Matrix Multiplication*

Create new affine transformations by multiplying sequences of the above basic transformations.

$$\mathbf{q} = \mathbf{CBAp}$$

$$\mathbf{q} = ((\mathbf{CB}) \mathbf{A}) \mathbf{p} = (\mathbf{C} (\mathbf{B} \mathbf{A})) \mathbf{p} = \mathbf{C} (\mathbf{B} (\mathbf{A} \mathbf{p})) \text{ etc.}$$

matrix multiplication is associative.

To transform just a point, better to do  $\mathbf{q} = \mathbf{C}(\mathbf{B}(\mathbf{A}\mathbf{p}))$

But to transform many points, best to do

$$\mathbf{M} = \mathbf{CBA}$$

then do  $\mathbf{q} = \mathbf{Mp}$  for any point  $\mathbf{p}$  to be rendered.

For geometric pipeline transformation, define  $\mathbf{M}$  and set it up with the model-view matrix and apply it to any vertex subsequently defined to its setting.



# *Example Composite Transforms*

---

**2D Case**



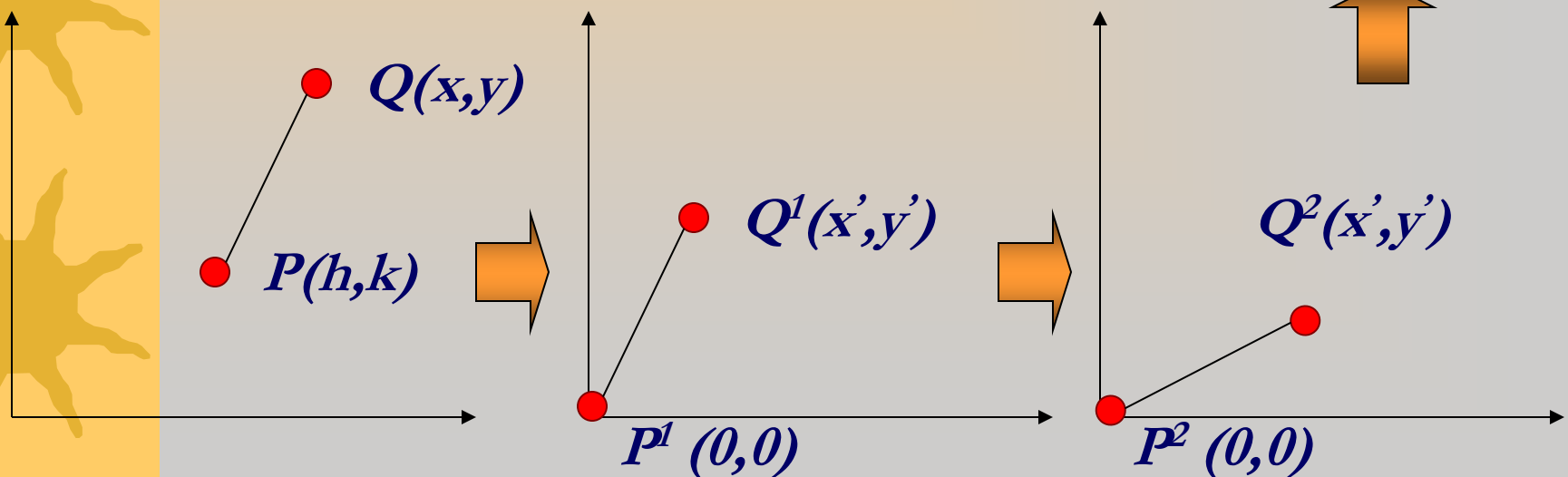
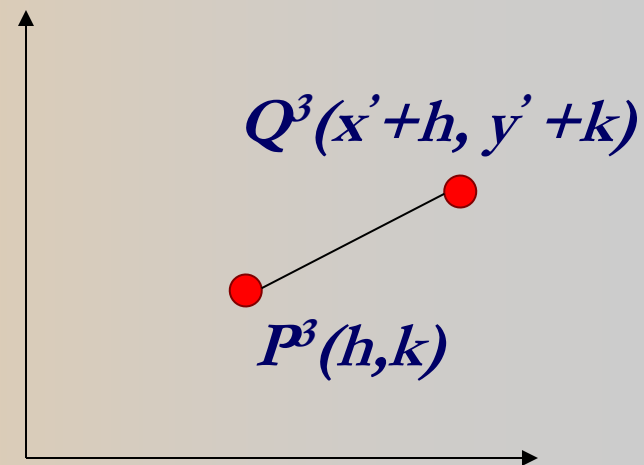
# Rotation of $\theta$ about $P(h,k)$ : $R_{\theta,P}$

**Step 1:** Translate  $P(h,k)$  to origin

**Step 2:** Rotate  $\theta$  w.r.t to origin

**Step 3:** Translate  $(0,0)$  to  $P(h,k)$

$$R_{\theta,P} = T(h,k) * R_{\theta} * T(-h,-k)$$







# *Reflection about line $L$ , $M_L$*

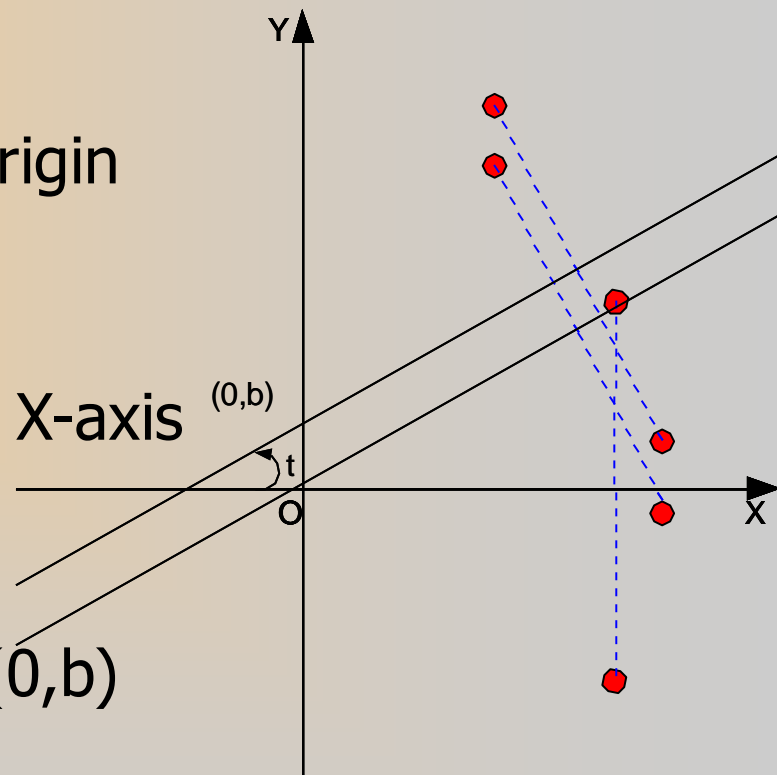
**Step 1:** Translate  $(0,b)$  to origin

**Step 2:** Rotate  $-\theta$  degrees

**Step 3:** Mirror reflect about X-axis

**Step 4:** Rotate  $\theta$  degrees

**Step 5:** Translate origin to  $(0,b)$



$$M_L = T(0, b) * R(\theta) * M_x * R(-\theta) * T(0, -b)$$



# *Rotation*

- ★ How to rotate around  $(k_x, k_y, k_z)$ , a unit vector on an arbitrary axis ...
- ★ Example : Rotate 30 degree around vector  $2\mathbf{i} + \mathbf{j} + 3\mathbf{k}$ 
  - Can it be found from some rotation around x axis, then some rotation around y axis, then z axis ?





# *Finding the Rotation Matrix*

Our previous method

- ★ **Step 1,2** Perform two rotations so that **a** becomes aligned with the z-axis (two rotations are necessary)
- ★ **Step 3** Do the required  $\theta$  rotation around z-axis
- ★ **Step 4,5** Undo the alignment rotations to restore **a** to its original direction

Trivial but you should be careful when doing in hands





## *Step 1,2*

---

- ★ We now study a composite transformation
- ★  $A_{\mathbf{V},\mathbf{N}}$  = aligning a vector  $\mathbf{V}$  with a vector  $\mathbf{N}$
- ★ To find the rotation matrix, we need to find  $A_{\mathbf{v},\mathbf{k}}$





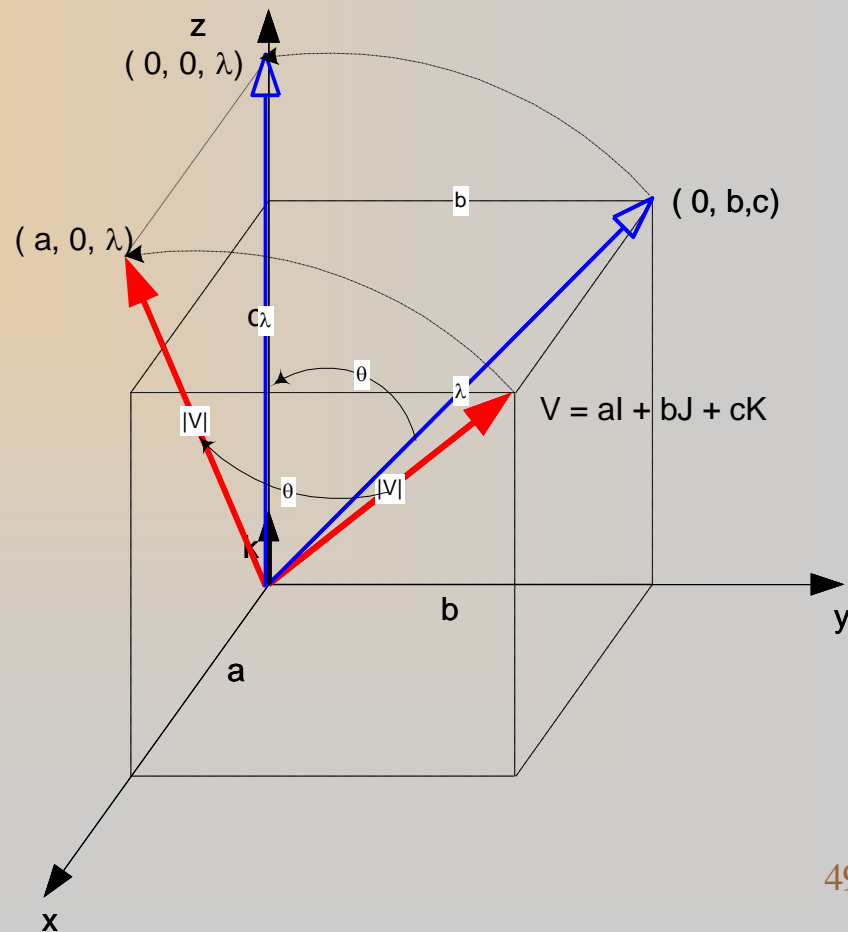
# $A_V$ : aligning vector $V$ with $k$

Step 1 : Rotate about x - axis by  $\theta$

$$\left. \begin{aligned} \sin \theta &= \frac{b}{\lambda} \\ \cos \theta &= \frac{c}{\lambda} \end{aligned} \right\} \lambda = \sqrt{b^2 + c^2}$$

$$A_V =$$

$$R_{\theta,i}$$





# $A_V$ : aligning vector $V$ with $k$

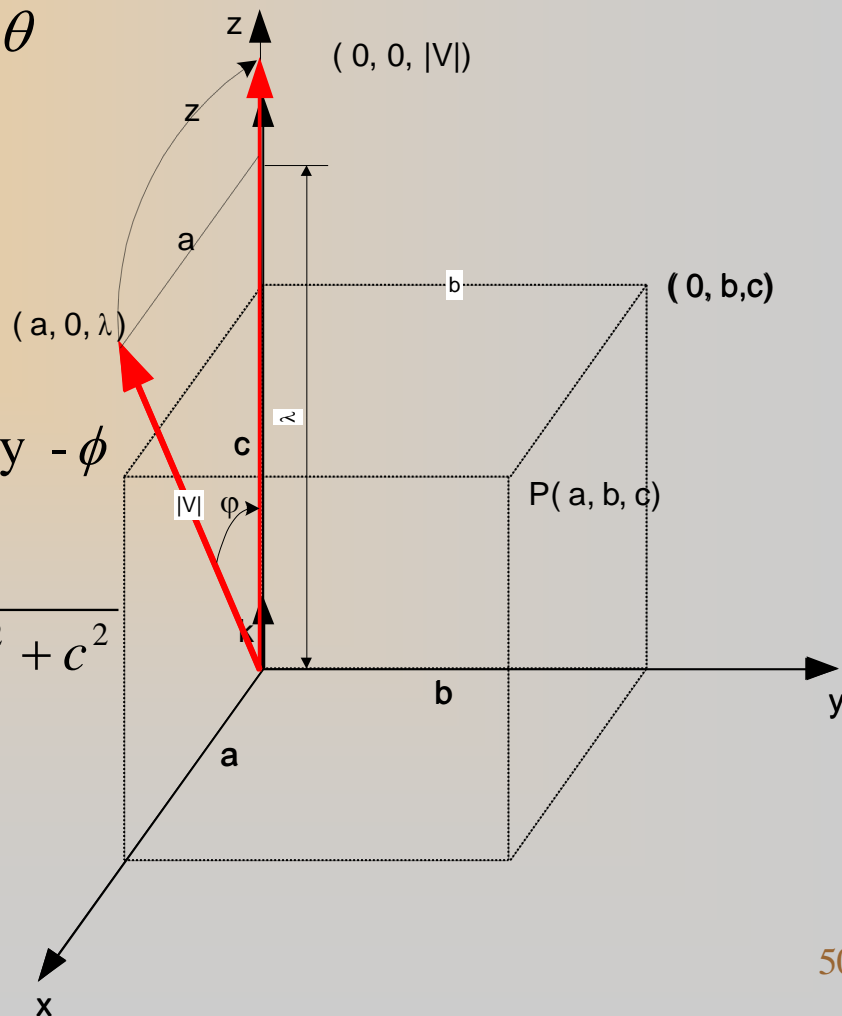
Step 1 : Rotate about x - axis by  $\theta$

$$\left. \begin{aligned} \sin \theta &= \frac{b}{\lambda} \\ \cos \theta &= \frac{c}{\lambda} \end{aligned} \right\} \lambda = \sqrt{b^2 + c^2}$$

Step 2 : Rotate  $V$  about y - axis by  $-\phi$

$$\left. \begin{aligned} \sin(-\phi) &= \frac{-a}{|V|} \\ \cos(-\phi) &= \frac{\lambda}{|V|} \end{aligned} \right\} |V| = \sqrt{a^2 + b^2 + c^2}$$

$$A_V = R_{-\phi, j} * R_{\theta, i}$$





$A_V$  : *aligning vector  $V$  with  $k$*

★  $A_V^{-1} = A_V^T$

★  $A_{V,N} = A_N^{-1} * A_V$

$$A_V = \begin{bmatrix} \frac{\lambda}{|V|} & \frac{-ab}{\lambda|V|} & \frac{-ac}{\lambda|V|} & 0 \\ 0 & \frac{c}{\lambda} & \frac{-b}{\lambda} & 0 \\ \frac{a}{|V|} & \frac{b}{|V|} & \frac{c}{|V|} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



## *Finding the rotation matrix*

---

- ★ Now we have done step 1,2 :  $A_v$
- ★ For step 3, we have to rotate theta angle around z axis

- ★ Then take the inverse of step 1,2,

$$A_v^{-1} = A_v^T$$

$$R = A_v^T R_z(\theta) A_v$$

- ★ There are actually 5 rotations here. None of which are Euler angles  $\alpha$  or  $\beta$  or  $\gamma$





# Axis Angle Notation

There is another way that is both easier to understand and provides you with more insights into what rotation is really about. Instead of specifying a rotation by a series of canonical angles, we will specify an arbitrary axis of rotation and an angle. We will also first consider rotating vectors, and come back to points shortly.

$$R(a, \theta) = \cos \theta \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + (1 - \cos \theta) \begin{pmatrix} a_x^2 & a_x a_y & a_x a_z \\ a_x a_y & a_y^2 & a_y a_z \\ a_x a_z & a_y a_z & a_z^2 \end{pmatrix} + \sin \theta \begin{pmatrix} 0 & -a_z & a_y \\ a_z & 0 & -a_x \\ -a_y & a_x & 0 \end{pmatrix}$$

The vector  $a$  specifies the axis of rotation. *This axis vector must be normalized.* The rotation angle is given by  $\theta$ .

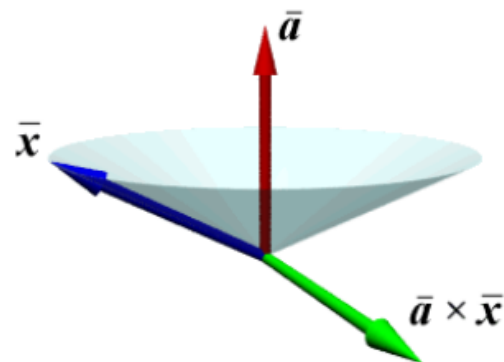
You might ask "How am I going to remember **this** equation?". However, once you understand the geometry of rotation, the equation will seem obvious.

The basic idea is that *any rotation can be decomposed into weighted contributions from three different vectors.*



# *The Geometry of a Rotation*

We can actually define a *natural* basis for rotation in terms of three defining vectors. These vectors are the rotation axis, a vector perpendicular to both the rotation axis and the vector being rotated, and the vector itself. These vectors correspond to the each respective term in the expression.

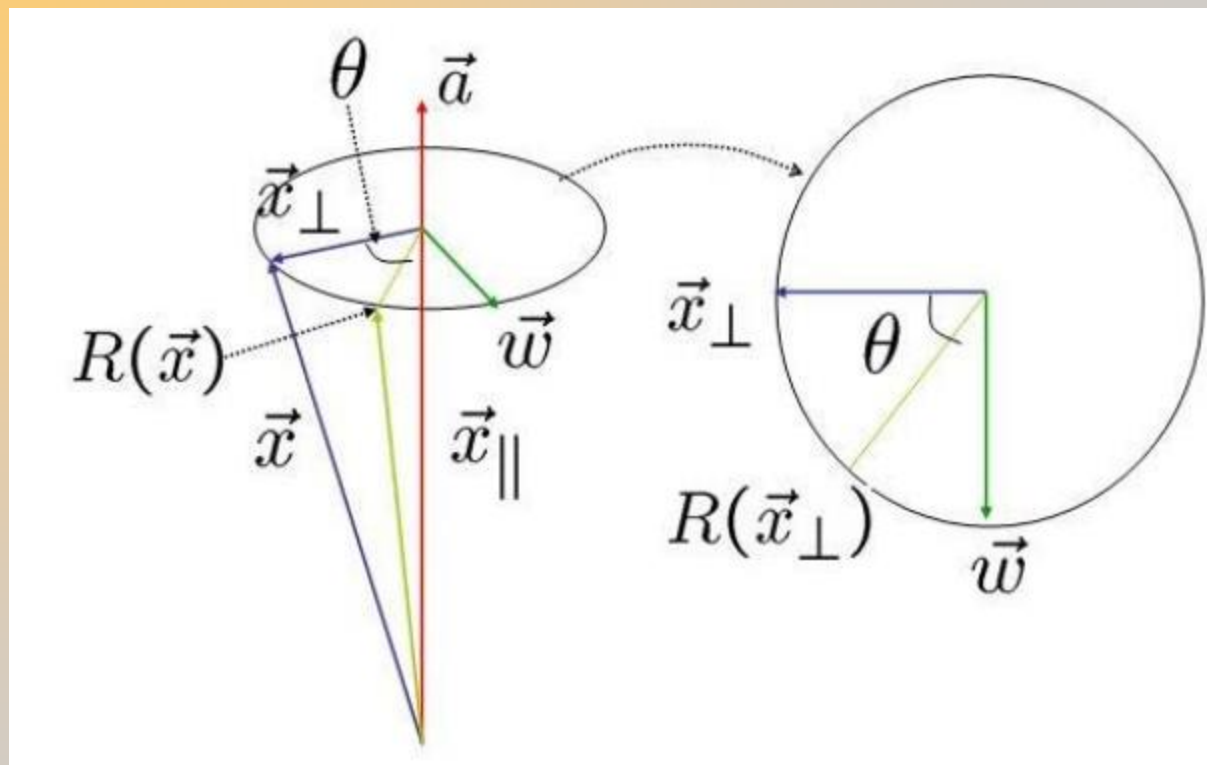


Let's look at this in greater detail





# *The Geometry of a Rotation*

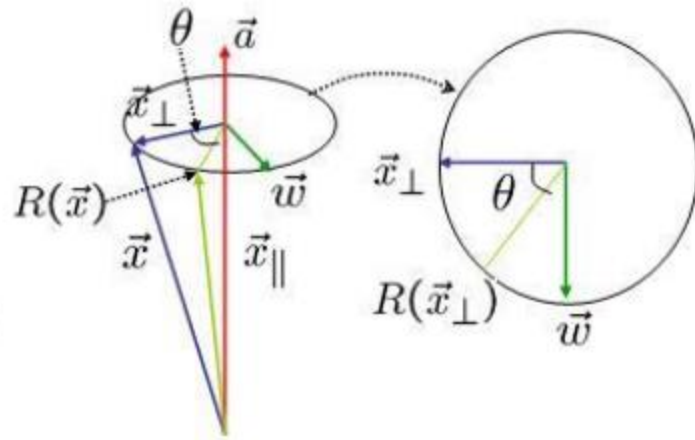




# *The Geometry of Rotation*



$$\begin{aligned}\vec{w} &= \vec{a} \times \vec{x}_{\perp} \\ &= \vec{a} \times (\vec{x} - \vec{x}_{\parallel}) \\ &= (\vec{a} \times \vec{x}) - (\vec{a} \times \vec{x}_{\parallel}) \\ &= \vec{a} \times \vec{x}\end{aligned}$$



$$R(\vec{x}_{\perp}) = \cos \theta \vec{x}_{\perp} + \sin \theta \vec{w}$$

$$\vec{x}_{\parallel} = (\vec{a} \cdot \vec{x}) \vec{a}$$

$$\vec{x}_{\perp} = \vec{x} - \vec{x}_{\parallel} = \vec{x} - (\vec{a} \cdot \vec{x}) \vec{a}$$

$$\begin{aligned}R(\vec{x}) &= R(\vec{x}_{\parallel}) + R(\vec{x}_{\perp}) \\ &= R(\vec{x}_{\parallel}) + \cos \theta \vec{x}_{\perp} + \sin \theta \vec{w} \\ &= (\vec{a} \cdot \vec{x}) \vec{a} + \cos \theta (\vec{x} - (\vec{a} \cdot \vec{x}) \vec{a}) + \sin \theta \vec{w} \\ &= \cos \theta \vec{x} + (1 - \cos \theta) (\vec{a} \cdot \vec{x}) \vec{a} + \sin \theta (\vec{a} \times \vec{x})\end{aligned}$$



# *The Geometry of Rotation*

★ So we find, the rotation vector is :

$$R(\vec{x}) = \cos \theta \vec{x} + (1 - \cos \theta)(\vec{a} \cdot \vec{x})\vec{a} + \sin \theta(\vec{a} \times \vec{x})$$

Vector Form

★ This is a vector equation, which is good

★ But, we need a matrix form also, to work easily.

$$R(k, \theta) = \cos \theta \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + (1 - \cos \theta) \begin{pmatrix} a_x^2 & a_x a_y & a_x a_z \\ a_x a_y & a_y^2 & a_y a_z \\ a_x a_z & a_y a_z & a_z^2 \end{pmatrix} + \sin \theta \begin{pmatrix} 0 & -a_z & a_y \\ a_z & 0 & -a_x \\ -a_y & a_x & 0 \end{pmatrix}$$

Rodrigues  
Formula

Matrix Form



# Rodrigues Formula

## ★ Vector and Matrix forms

$$R(\vec{x}) = \cos \theta \vec{x} + (1 - \cos \theta)(\vec{a} \cdot \vec{x})\vec{a} + \sin \theta (\vec{a} \times \vec{x})$$

$$X = \begin{bmatrix} x & y & z \end{bmatrix}^T$$

$$R(x) = R(k, \theta)X$$

$$R(k, \theta) = \cos \theta \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + (1 - \cos \theta) \begin{pmatrix} a_x^2 & a_x a_y & a_x a_z \\ a_x a_y & a_y^2 & a_y a_z \\ a_x a_z & a_y a_z & a_z^2 \end{pmatrix} + \sin \theta \begin{pmatrix} 0 & -a_z & a_y \\ a_z & 0 & -a_x \\ -a_y & a_x & 0 \end{pmatrix}$$

★ How we find these equivalent matrix and vector forms ?

★ Check next slide



# Vector and Matrix algebra

You've probably been exposed to vector algebra in previous courses. These include *vector addition*, the *vector dot product*, and the *vector cross product*. Let's take a minute to discuss an equivalent set of matrix operators.

We begin with the *dot product*. This operation acts on two vectors and returns a scalar. Geometrically, this operation can be described as a projection of one vector onto another. The dot product has the following matrix formulation.

$$\vec{a} \cdot \vec{b} = \begin{bmatrix} a_x & a_y & a_z \end{bmatrix} \begin{bmatrix} b_x \\ b_y \\ b_z \end{bmatrix} = \alpha$$





# Cross product in Matrix Form

The vector cross product also acts on two vectors and returns a third vector. Geometrically, this new vector is constructed such that its projection onto either of the two input vectors is zero.

$$\vec{a} \times \vec{b} = \begin{bmatrix} 0 & -a_z & a_y \\ a_z & 0 & -a_x \\ -a_y & a_x & 0 \end{bmatrix} \begin{bmatrix} b_x \\ b_y \\ b_z \end{bmatrix} = \vec{c} \quad \begin{aligned} \vec{a} \cdot \vec{c} &= 0 \\ \vec{b} \cdot \vec{c} &= 0 \end{aligned}$$

In order for one vector to project onto another with a length of zero, it must either have a length of zero, or be *perpendicular* to the second vector. Yet the vector generated by the cross-product operator is perpendicular to two vectors. Since the two input vectors define a plane in space, the vector that results from the cross product operation is perpendicular, or *normal* to this plane.

For any plane there are two possible choices of a normal vector, one on each side of a plane. The cross product is defined to be the one of these two vectors where the motion from the tip of the first input vector to the tip of the second input vector is in a counter-clockwise direction when observed from the side of the normal. This is just a restatement of the right-hand rule that you are familiar with.





# *Finding rotations from a rotation matrix*

- ★ Given,  $R$  is a pure rotation matrix
- ★ Find **axis of rotation**  $K(k_x, k_y, k_z)$   
**and angle theta from  $R$**
- ★ Recall Rodrigues formula, it gives  $R$
- ★ Check that :  $\cos \theta = \left( \frac{\text{Trace}(R) - 1}{2} \right)$
- ★  $\text{Trace}(R) =$  Sum of diagonal elements of the 3x3 rotation matrix

$$R = \begin{bmatrix} k_x k_x (1 - c) + c & k_y k_x (1 - c) - k_z s & k_x k_z (1 - c) + k_y s & 0 \\ k_y k_x (1 - c) + k_z s & k_y k_y (1 - c) + c & k_y k_z (1 - c) - k_x s & 0 \\ k_z k_x (1 - c) - k_y s & k_z k_y (1 - c) + k_x s & k_z k_z (1 - c) + c & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



# *Finding rotations from a rotation matrix*

★ Check that :

$$\begin{bmatrix} 0 & -k_z & k_y \\ k_z & 0 & -k_x \\ -k_y & k_x & 0 \end{bmatrix} = \frac{1}{2\sin(\theta)} (R - R^T)$$



$$k_x = \frac{1}{2\sin(\theta)} (R_{3,2} - R_{2,3})$$

$$k_y = \frac{1}{2\sin(\theta)} (R_{1,3} - R_{3,1})$$

$$k_z = \frac{1}{2\sin(\theta)} (R_{2,1} - R_{1,2})$$

$$R = \begin{bmatrix} k_x k_x (1-c) + c & k_y k_x (1-c) - k_z s & k_x k_z (1-c) + k_y s & 0 \\ k_y k_x (1-c) + k_z s & k_y k_y (1-c) + c & k_y k_z (1-c) - k_x s & 0 \\ k_z k_x (1-c) - k_y s & k_z k_y (1-c) + k_x s & k_z k_z (1-c) + c & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



# *Properties of rotation matrix*

---

- ★ The columns of rotation matrix are unit vectors perpendicular to each other
- ★ The column vectors indicate where the unit vectors along the principal axes are transformed
- ★ The rows of rotation matrix are unit vectors perpendicular to each other
- ★ The row vectors indicate the vectors that are transformed into the unit vectors along the principal axes
- ★ The inverse of rotation matrix is its transpose





# *References*

---

- ★ Chapter 4 and Chapter 6, Schaum's Outline of Computer Graphics (2nd Edition) by Zhigang Xiang, Roy A. Plastock
- ★ Chapter 5, Computer Graphics: Principles and Practice in C (2nd Edition) by James D. Foley, Andries van Dam, Steven K. Feiner, John F. Hughes
- ★ Chapter 6, Fundamentals of Computer Graphics, by Peter Shirley

