

# Diffusion Models

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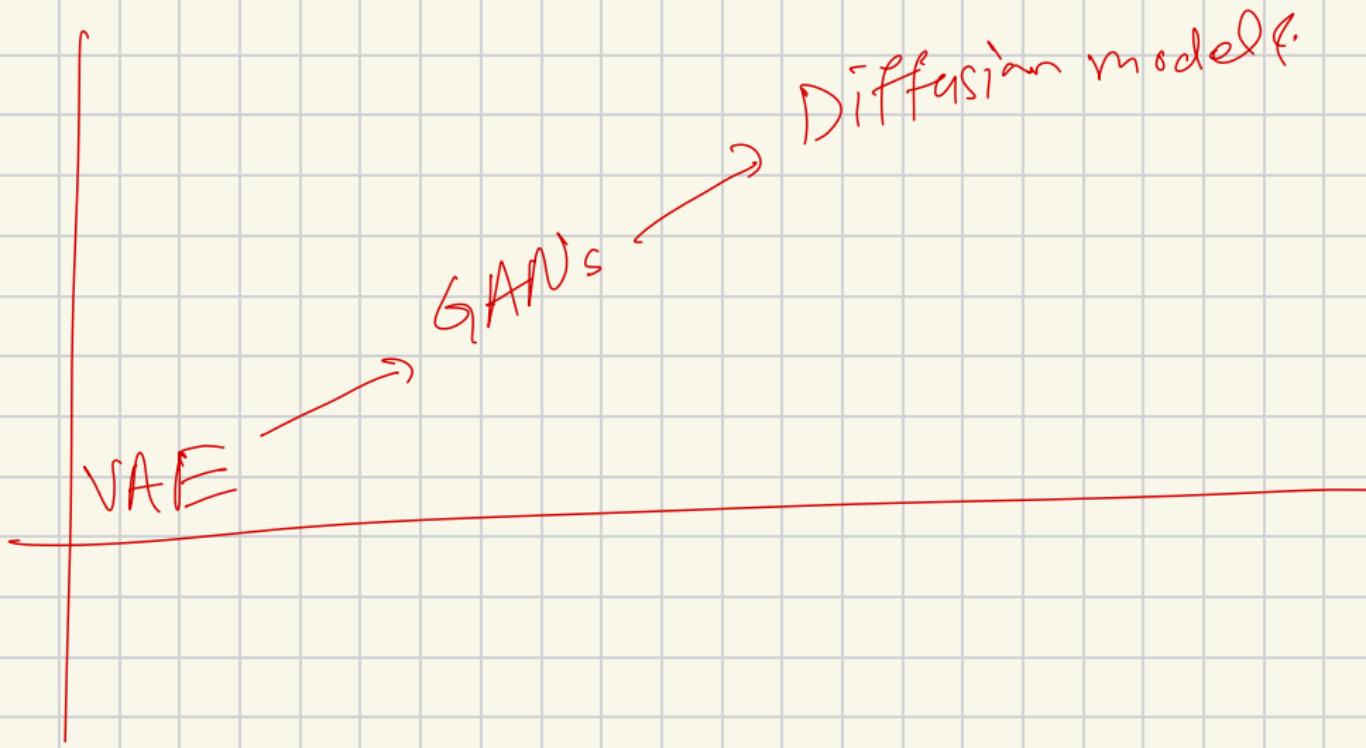
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## 14. Summary

We have previously discussed Generative Adversarial Networks (GANs), which are a powerful class of generative models. Here are some key points to remember:

- ▶ Instead of explicitly modeling the data probability distribution, GANs learn it implicitly.
- ▶ Two networks are trained jointly: the **generator** creates fake samples to fool the **discriminator**, while the discriminator tries to distinguish between real and fake samples.
- ▶ GANs have been state-of-the-art in image generation due to the high quality of their outputs.

## ► The Journey of Generative Modeling:

- Early successes: GANs and VAEs enabled impressive image synthesis and data generation.
- Drawbacks:
  - ▶ GANs: Training instability, mode collapse, and sensitivity to hyperparameters.
  - ▶ VAEs: Blurry outputs and limited expressiveness.
- Key Challenge: Achieving both high sample quality and stable, reliable training.

## ► Where do we go from here?

- Need for models that are robust, interpretable, and capable of generating diverse, high-fidelity samples.

## ► Enter Diffusion Models:

- Inspired by thermodynamics, diffusion models gradually transform noise into data.
- Highlights:
  - ▶ Simple training objective
  - ▶ Stable optimization
  - ▶ State-of-the-art sample quality
  - ▶ Probabilistic and interpretable framework

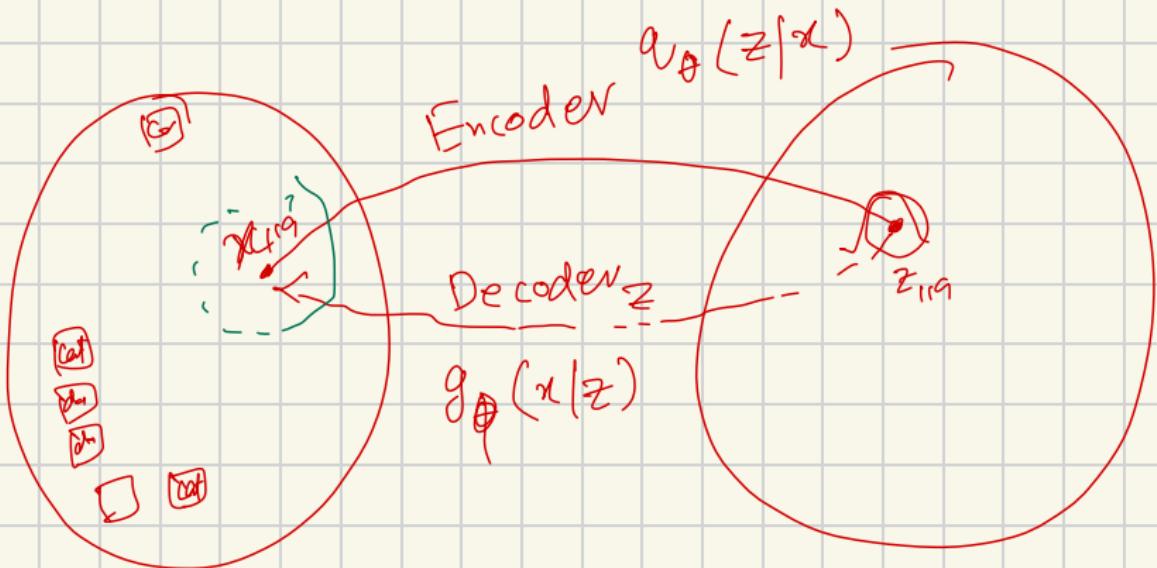
# Diffusion Models: Learning Outcomes

After this lecture, you will be able to:

- ▶ Explain the core principles behind diffusion-based generative models.
- ▶ Understand the forward and reverse processes in diffusion.
- ▶ Relate the training objective to variational inference and ELBO.
- ▶ Describe model architectures used in state-of-the-art diffusion models.
- ▶ Analyze and critique applications like GLIDE, DALL-E 2, Imagen, etc.

Diffusion models are a class of probabilistic generative models that learn to reverse a gradual noising process applied to data. They have gained popularity due to their ability to generate samples with high fidelity and diversity.

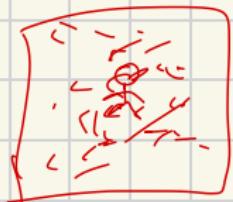
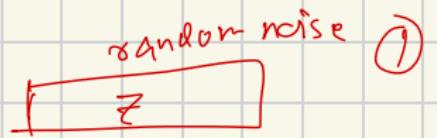
- ▶ **Inspiration:** Rooted in concepts from thermodynamics and stochastic processes.
- ▶ **Key Idea:**
  - *Forward process:* Progressively corrupt data by adding Gaussian noise.
  - *Reverse process:* Learn to recover the original data by reversing the noising process.
- ▶ **Popularity:** Known for producing high-quality and diverse generated samples.

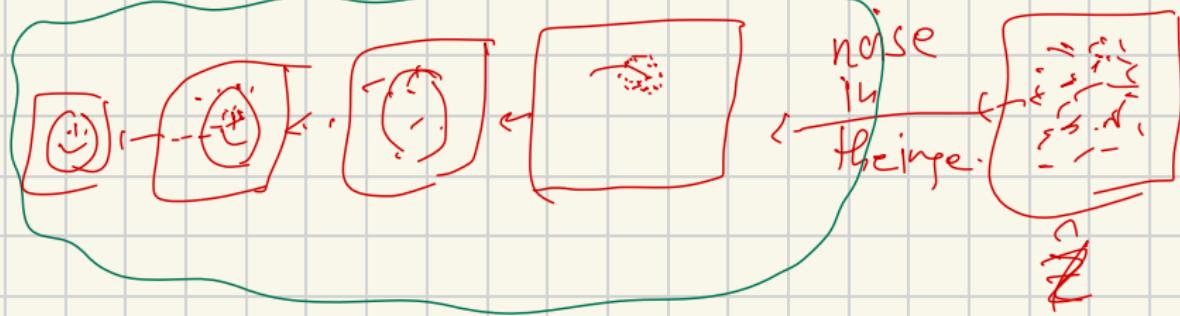
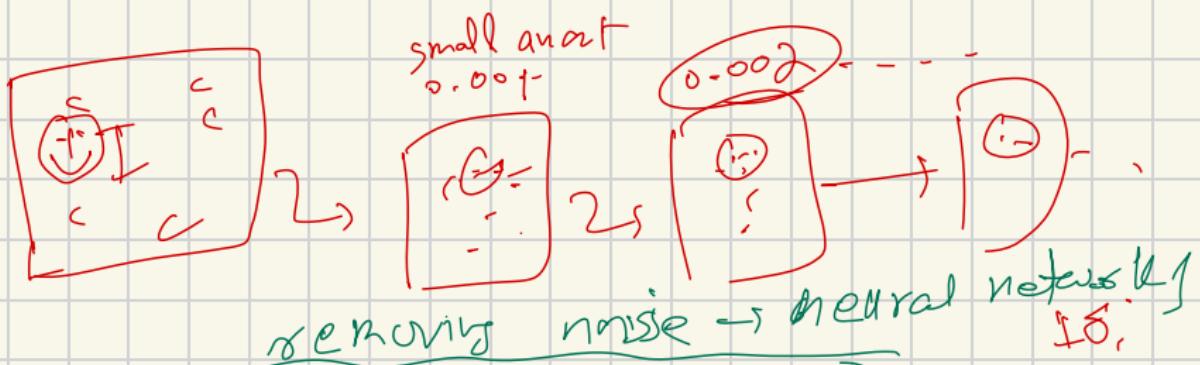


$X \rightarrow$  dataset

$z$

$$P(z) \sim N(\mu, I)$$





# Denoising Diffusion Probabilistic Models

Denoising diffusion models, also known as score-based generative models, have recently emerged as a powerful class of generative models. They achieve remarkable results in high-fidelity image generation, often outperforming GANs.

$\nabla \log P(x)$

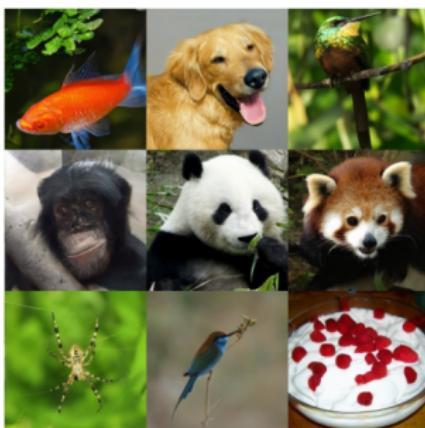
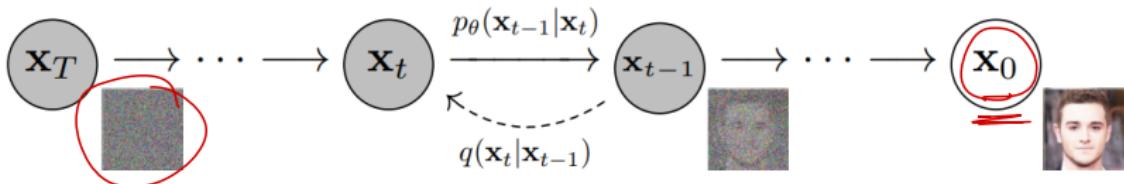


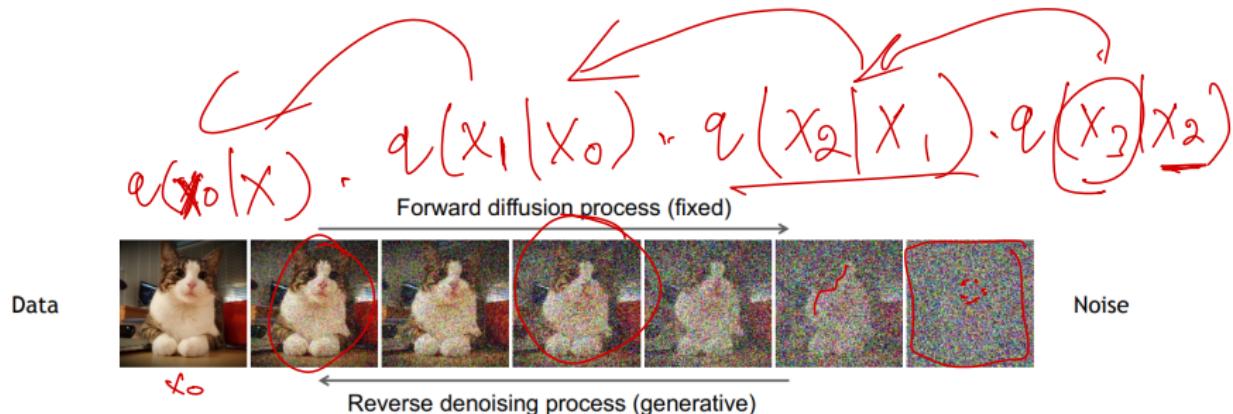
Figure 2: Diffusion Models Beat GANs on Image Synthesis **Dhariwal & Nichol, OpenAI, 2021**

Denoising diffusion models consist of two processes:

- ▶ A fixed (predefined) **forward diffusion process**  $q$ , which gradually adds Gaussian noise to an image until only pure noise remains.
- ▶ A learned **reverse denoising diffusion process**  $p_\theta$ , where a neural network is trained to gradually denoise an image starting from pure noise, eventually recovering the original image.



# Denoising Diffusion Probabilistic Models (cont.)



# Forward Diffusion Process



- ▶ The forward process is a Markov chain that iteratively adds Gaussian noise to the image at each timestep.
- ▶ Given clean data  $\mathbf{x}_0$ , we generate  $\mathbf{x}_t$  as:

$\alpha, \beta, \phi$

$$q(\mathbf{x}_t | \mathbf{x}_{t-1}) = N(\mathbf{x}_t; \sqrt{1 - \beta_t} \mathbf{x}_{t-1}, \beta_t \mathbf{I})$$

- ▶ Over many steps (e.g., 1000), the data becomes indistinguishable from pure noise.
- ▶ This process is predefined and not learned.
- ▶ The full forward process:

$$\begin{aligned} q(\mathbf{x}_{1:T} | \mathbf{x}_0) &= N(\mathbf{x}_{1:T}; \sqrt{1 - \beta_{1:T}} \mathbf{x}_0, \beta_{1:T} \mathbf{I}) \\ q(\mathbf{x}_{1:T} | \mathbf{x}_0) &= \prod_{t=1}^T q(\mathbf{x}_t | \mathbf{x}_{t-1}) \end{aligned}$$

Teacher take a cup of tea

P(Teacher | Sos7) -

vs P(Take | Sos5 Teacher)

# Forward Diffusion Process (cont.)

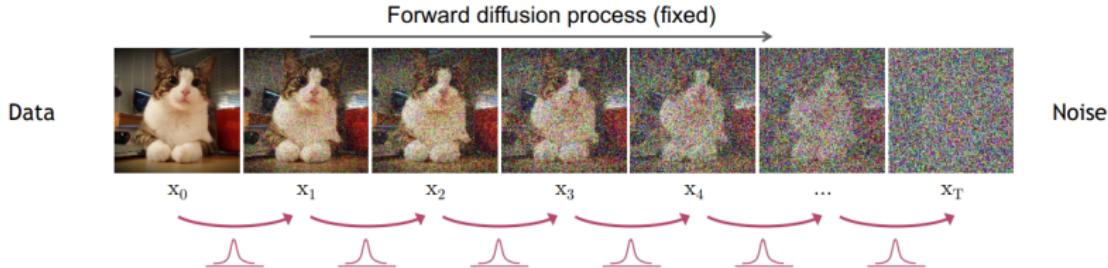
- ▶ Each new (slightly noisier) image at time step  $t$  is drawn from a conditional Gaussian distribution with  $\mu_t = \sqrt{1 - \beta_t} \mathbf{x}_{t-1}$  and  $\sigma_t^2 = \beta_t$ , which we can do by sampling  $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$  and then setting

$$\mathbf{x}_t = \sqrt{1 - \beta_t} \mathbf{x}_{t-1} + \sqrt{\beta_t} \epsilon.$$

previous  
image

adds more  
noise

# Forward Diffusion Process (cont.)



- ▶ What if we want to go directly from  $q_0$  to  $q_t$ ?
- ▶ Let  $\bar{\alpha}_t = \prod_{s=1}^t (1 - \beta_s)$ , then
$$q(\mathbf{x}_t | \mathbf{x}_0) = \mathcal{N}(\mathbf{x}_t; \sqrt{\bar{\alpha}_t} \mathbf{x}_0, (1 - \bar{\alpha}_t) \mathbf{I})$$
- ▶ This allows us, during training, to optimize random terms of the loss function  $L$  (i.e., randomly sample  $t$  and optimize  $L_t$ ).

# Noise Schedules

- ▶ The noise schedule  $\{\beta_t\}$  controls the amount of noise added at each timestep.
- ▶ Common schedules:
  - **Linear:** Simple and intuitive.
  - **Cosine:** Preserves perceptual signal longer; often yields better image generation results.
- ▶ The choice of schedule impacts training dynamics and the quality of generated samples.
- ▶ Visualizing different schedules helps understand how noise accumulates over time.

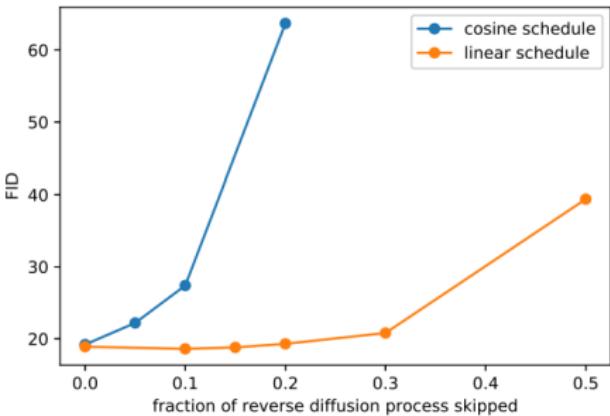


Figure 3: Comparison of linear and cosine noise schedules.

In the reverse denoising process, we denoise Gaussian noise to generate an image.

- ▶ We start with a sample from the noise distribution  $p(\mathbf{x}_T) = \mathcal{N}(\mathbf{x}_T; 0, 1)$ .
- ▶ The goal is to iteratively denoise this sample to recover the original image  $\mathbf{x}_0$ .
- ▶ At each time step  $t$ , we want to sample  $\mathbf{x}_{t-1}$  given  $\mathbf{x}_t$ .
- ▶ The reverse process is defined as  $p_\theta(\mathbf{x}_{t-1}|\mathbf{x}_t)$ , which we will learn using a neural network.

# Reverse Denoising Process (cont.)

- ▶ The reverse process is a Markov chain that iteratively denoises the image.
- ▶ We want to sample  $\mathbf{x}_{t-1}$  from  $p_\theta(\mathbf{x}_{t-1}|\mathbf{x}_t)$ .
- ▶ However,  $p_\theta(\mathbf{x}_{t-1}|\mathbf{x}_t)$  is unknown and needs to be learned.
- ▶ We approximate it with a neural network that predicts the mean and variance of the Gaussian distribution.
- ▶ If  $\beta_t$  is small enough at each time step, we can assume  $p_\theta(\mathbf{x}_{t-1}|\mathbf{x}_t)$  is a Gaussian distribution:

$$p_\theta(\mathbf{x}_{t-1}|\mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \mu_\theta(\mathbf{x}_t, t), \Sigma_\theta(\mathbf{x}_t, t))$$

where  $\mu_\theta$  and  $\Sigma_\theta$  are neural networks conditioned on  $\mathbf{x}_t$  and  $t$ .

# Reverse Denoising Process (cont.)

- For simplicity, we can fix the variance  $\Sigma_\theta$  to a constant value (e.g.,  $\beta_t \mathbf{I}$ ) and only learn the mean  $\mu_\theta$ .
- The reverse process can then be expressed as:

$$p_\theta(\mathbf{x}_{t-1} | \mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \mu_\theta(\mathbf{x}_t, t), \beta_t \mathbf{I})$$

$t = 100$

$$p_\theta(\mathbf{x}_{999} | \mathbf{x}_{100})$$

and the joint distribution over all time steps is:

$$p_\theta(\mathbf{x}_{0:T}) = p(\mathbf{x}_T) \prod_{t=1}^T p_\theta(\mathbf{x}_{t-1} | \mathbf{x}_t)$$

purchase

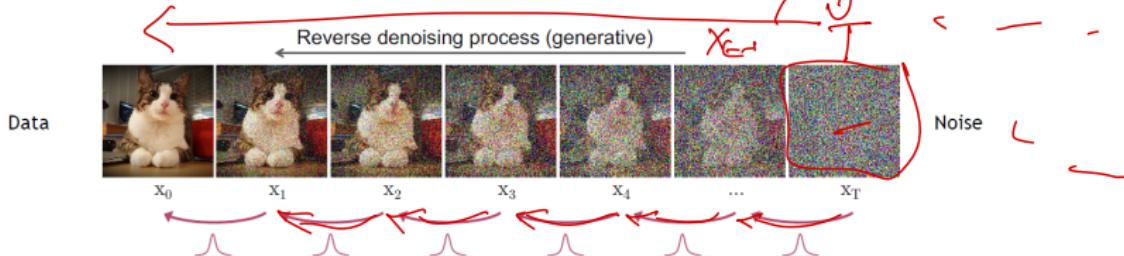


Figure 4: Reverse denoising process in diffusion models.

Direct maximization of the marginal likelihood  $p(x)$  is often intractable.

$$p(x|z) \rightarrow \int p(x|z) dz$$

**Solution:** Use the *Evidence Lower Bound* (ELBO) as a tractable proxy objective:

$$P(x_{t+1}|z_t) \log p(x) \geq \mathbb{E}_{q(z|x)} \left[ \log \frac{p(x, z)}{q(z|x)} \right] = \text{ELBO}$$

## ELBO Decomposition:

- ▶ **Reconstruction term:** Measures how well the model can reconstruct the data  $x$  from the latent variables  $z$ .
- ▶ **KL divergence:** Penalizes the difference between the approximate posterior  $q(z|x)$  and the true/model prior  $p(z)$ .

**Training:** Maximize the ELBO to train the model, which encourages both accurate reconstruction and regularization of the latent space.

# Learning Denoising Models

A handwritten diagram illustrates the denoising process. It shows a noisy input  $x_t$  entering a circle labeled  $NN$ , which represents a neural network. The output of the network is  $x_t - \beta x_t + \sigma_t \beta c$ . This predicted noise is then compared with the actual noise  $E_q$  (represented by a circle with a question mark) using a curved arrow.

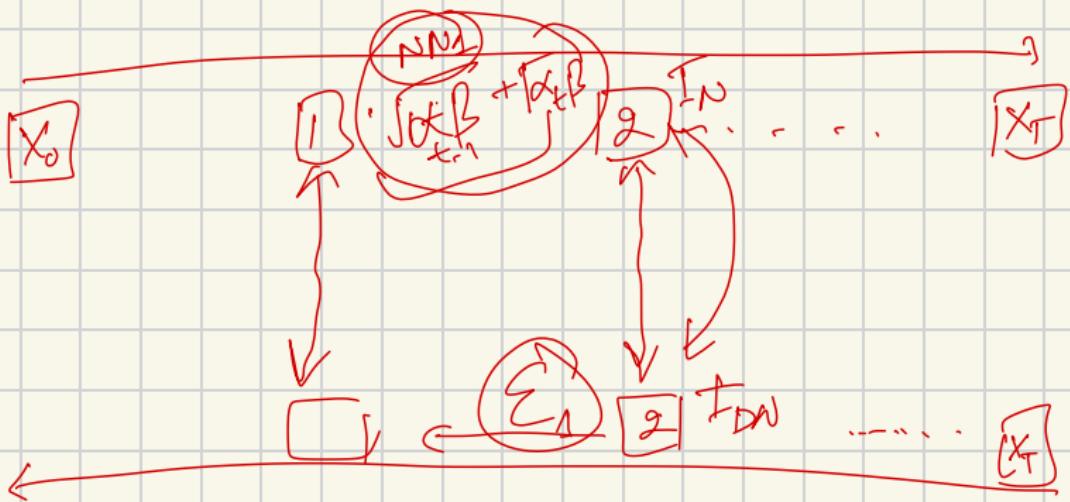
In diffusion models, we learn a denoising function that can reverse the noise addition process. The training objective is to minimize the difference between the predicted noise and the actual noise added at each step.

- ▶ For training, we can form a variational upper bound, commonly used for training variational autoencoders:

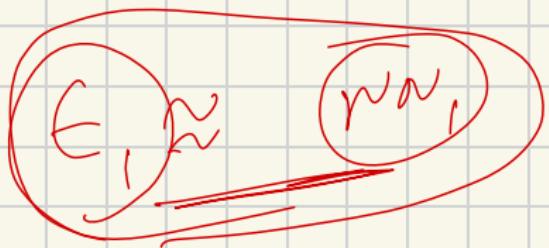
$$\mathbb{E}_{q(x_0)} [-\log p_\theta(x_0)] \leq \mathbb{E}_{q(x_0)q(x_{1:T}|x_0)} \left[ -\log \frac{p_\theta(x_{0:T})}{q(x_{1:T}|x_0)} \right]$$

- ▶ The ELBO for this process can be expressed as a sum of losses at each time step  $t$ :

$$L = L_0 + L_1 + \cdots + L_T$$



$$I_n \approx I_{Dn}$$



# Learning Denoising Models (cont.)

- We can reparametrize the mean so that the neural network learns to predict the added noise (using a network  $\epsilon_\theta(\mathbf{x}_t, t)$  for noise level  $t$  in the KL terms that constitute the losses). This means our neural network becomes a noise predictor, rather than a direct mean predictor. The mean can be computed as:

$$\mu_\theta(\mathbf{x}_t, t) = \frac{1}{\sqrt{\bar{\alpha}_t}} \left( \mathbf{x}_t - \frac{\beta_t}{\sqrt{1 - \bar{\alpha}_t}} \epsilon_\theta(\mathbf{x}_t, t) \right)$$

*ing → noise*

- The final objective function  $L_t$  (for a random time step  $t$  and  $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ ) is:

$$\| \epsilon - \epsilon_\theta(\mathbf{x}_t, t) \|^2 = \| \epsilon - \epsilon_\theta(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t) \|^2$$

*actual noise*      *Predicted*

- For a complete derivation, see [here](#).



---

## Algorithm 1 Training

---

```
1: repeat
2:    $\mathbf{x}_0 \sim q(\mathbf{x}_0)$ 
3:    $t \sim \text{Uniform}(\{1, \dots, T\})$ 
4:    $\boldsymbol{\epsilon} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
5:   Take gradient descent step on
     
$$\nabla_{\theta} \|\boldsymbol{\epsilon} - \boldsymbol{\epsilon}_{\theta}(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \boldsymbol{\epsilon}, t)\|^2$$

6: until converged
```

---

## Training Algorithm

1. Sample  $\mathbf{x}_0$  from the data distribution.
2. Randomly select a timestep  $t$ .
3. Corrupt  $\mathbf{x}_0$  with noise to obtain  $\mathbf{x}_t$ .
4. Model predicts noise  $\boldsymbol{\epsilon}_{\theta}(\mathbf{x}_t, t)$ .
5. Compute loss: mean squared error between predicted and true noise.
6. Optimize using SGD or Adam.

# Algorithm (cont.)

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## Algorithm 2 Sampling

---

```
1:  $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
2: for  $t = T, \dots, 1$  do
3:    $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
4:    $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t - \frac{1-\alpha_t}{\sqrt{1-\alpha_t}} \epsilon_\theta(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$ 
5: end for
6: return  $\mathbf{x}_0$ 
```

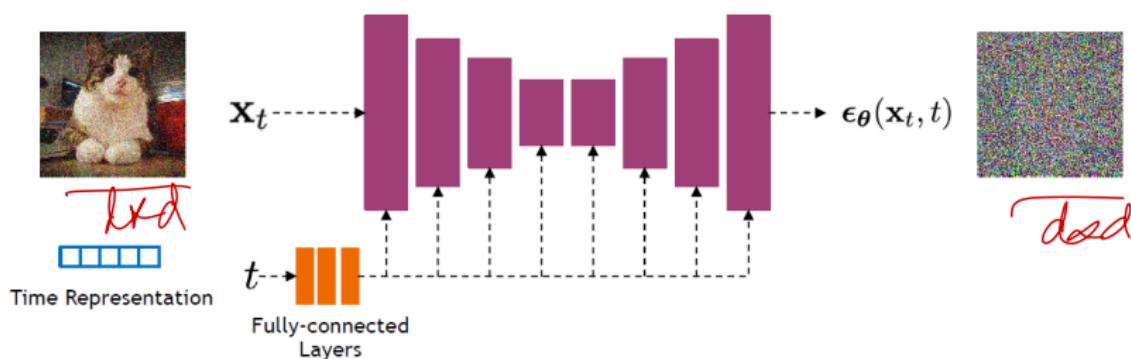
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## Sampling Algorithm

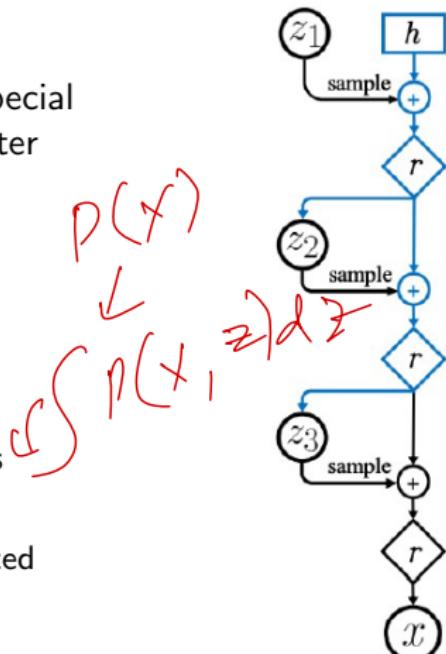
1. Start from Gaussian noise  $x_T$ .
2. For each timestep  $t = T, \dots, 1$ :
  - 2.1 Predict noise  $\epsilon_\theta(x_t, t)$  ✓
  - 2.2 Compute posterior mean  $\mu_\theta(x_t, t)$ .
  - 2.3 Sample  $x_{t-1}$  from the Gaussian posterior.
  - 2.4 (Optional) Apply guidance (e.g., classifier-free) for improved results.

- ▶ Diffusion models commonly use U-Net architectures with ResNet blocks and self-attention layers to represent  $\epsilon_\theta(x_t, t)$ .
- ▶ Time is encoded using sinusoidal positional embeddings or random Fourier features.



# Connection to VAEs

- ▶ Diffusion models can be viewed as a special form of hierarchical VAEs (one VAE after another).
- ▶ In diffusion models:
  - The encoder is fixed.
  - The latent variables have the same dimension as the data.
  - The denoising model is shared across different timesteps.
  - The model is trained with a reweighted variational bound.



# Problems with Diffusion Models



- ▶ **Slow Sampling:** Diffusion models require hundreds to thousands of iterative steps to generate a sample, making them computationally expensive and slow compared to other generative models.

# Trilemma of Generative Learning

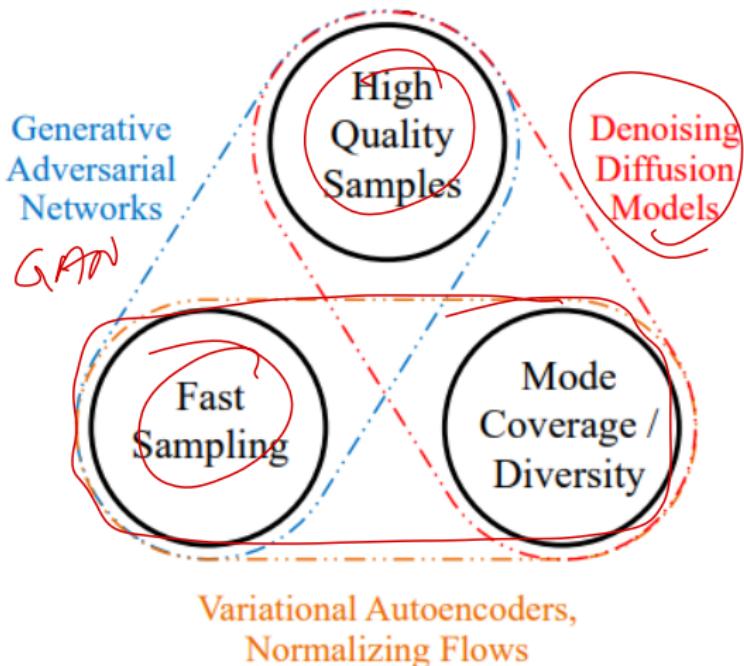


Figure 5: Generative Learning Trilemma: Balancing sample quality, diversity, and fast sampling.

<sup>0</sup>Tackling the Generative Learning Trilemma with Denoising Diffusion GANs ▶

## ► Faster Sampling:

- Denoising Diffusion Implicit Models (DDIM): Propose a non-Markovian process for faster and deterministic sampling by skipping steps and refining the sample.

## ► Improved Training:

- Improved Denoising Diffusion Probabilistic Models: Learn the noise variance  $\sigma^2$  during training, rather than fixing it, for better flexibility and performance.

## ► Score-Based Modeling:

- Score-Based Generative Modeling through SDEs: Model the gradient of the log-density (score function) using stochastic differential equations for improved sample quality.

## ► Combining GANs and Diffusion:

- Denoising Diffusion GANs: Use GANs to model each denoising step, addressing the trilemma by improving speed and sample quality.

## ► High-Fidelity Generation:

- Cascaded Diffusion Models: Employ a cascade of diffusion models at different resolutions to boost sample fidelity.

# Application: GLIDE - OpenAI



Figure 6: Image Inpainting with GLIDE

# Application: DALL.E 2 - OpenAI



a shiba inu wearing a beret and black turtleneck



a close up of a handpalm with leaves growing from it

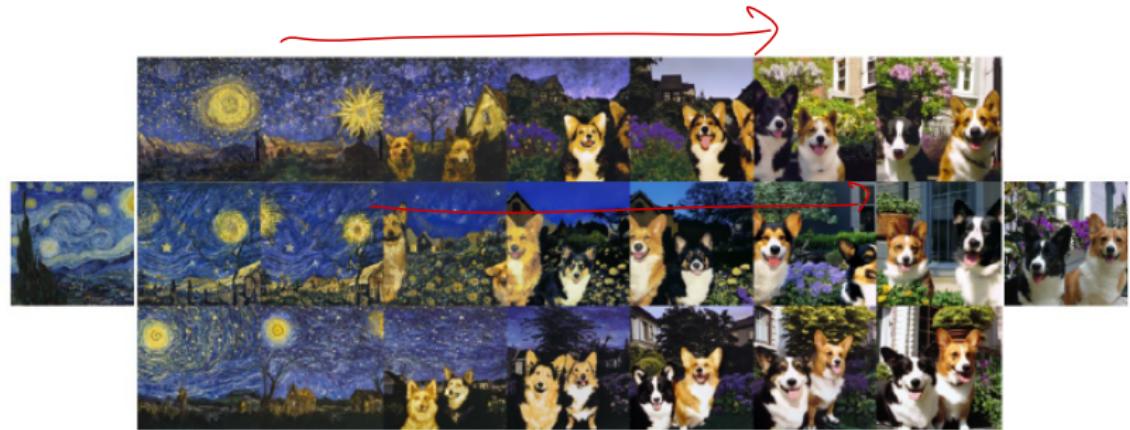
Figure 7: Text to image generation eith DAll.E 2



Fix the CLIP embedding  $z$ .

Decode using different decoder latents  $x_T$ .

Figure 8: Image Variations



Interpolate image CLIP embeddings  $z_i$

Use different  $x_T$  to get different interpolation trajectories.

Figure 9: Image interpolation



Change the image CLIP embedding towards the difference of the text CLIP embeddings of two prompts.

Decoder latent is kept as a constant.

Figure 10: Text Difference Image interpolation



Imagen

A brain riding a rocketship heading towards the moon.



Imagen

A brain riding a rocketship heading towards the moon.



Imagen

A dragon fruit wearing karate belt in the snow.



A relaxed garlic with a blindfold reading a newspaper while floating in a pool of tomato soup.

# Diffusion Autoencoders

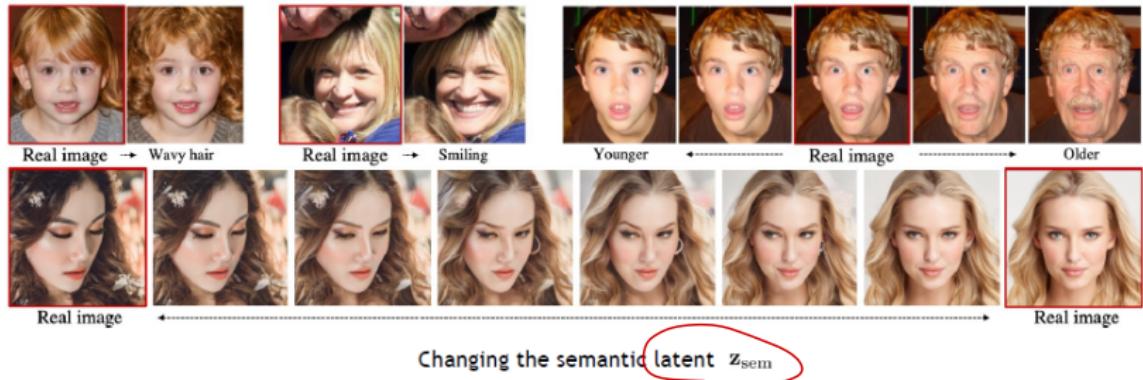


Figure 11: Learning semantic meaningful latent representations in diffusion models

# Super Resolution

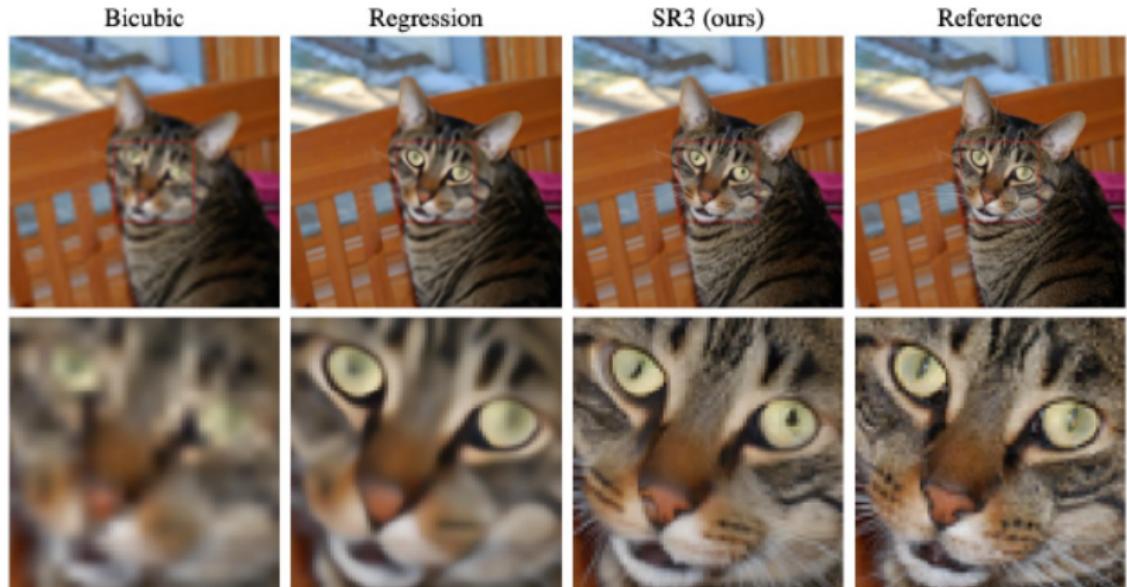
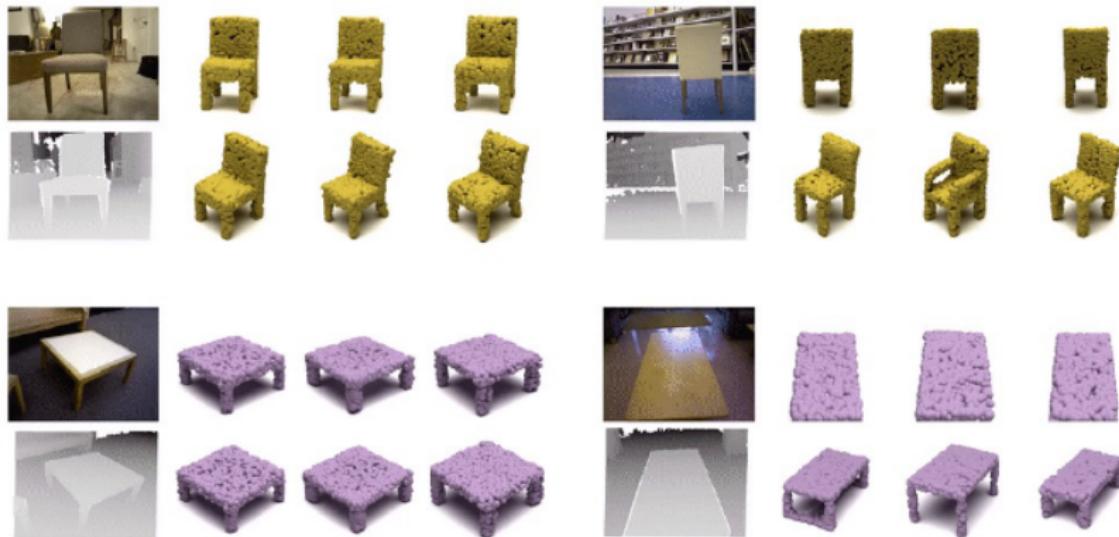


Figure 12: Natural Image Super Resolution  $64 \times 64 \rightarrow 256 \times 256$

# 3D Shape Generation

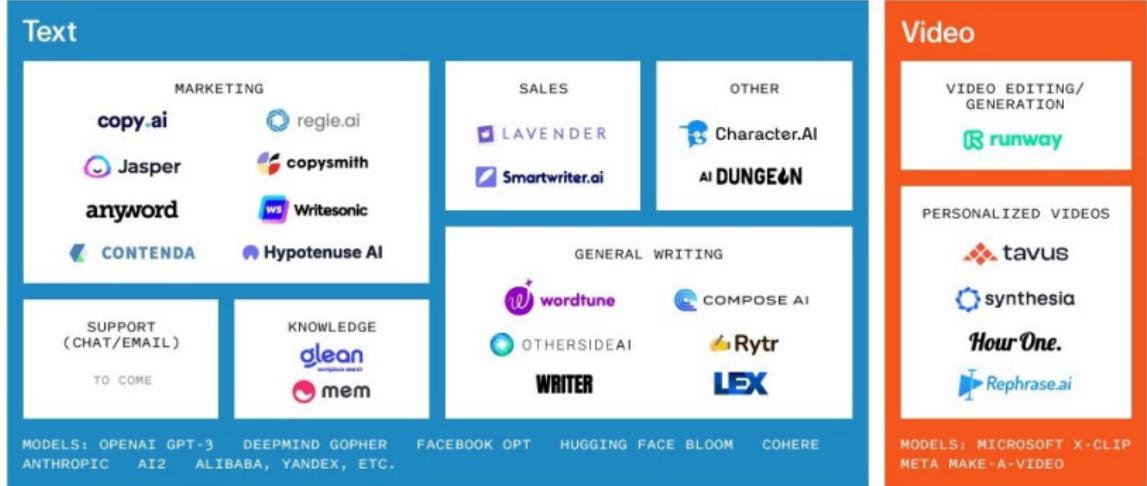


# Try It Yourself!

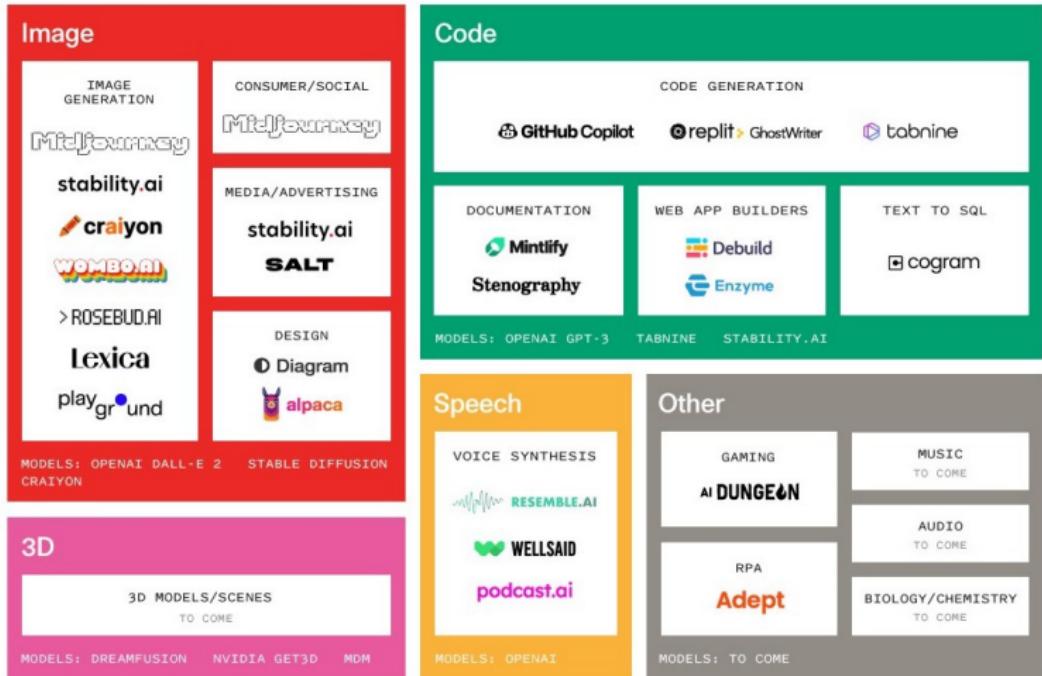
Text to Image generation with stable diffusion.

<https://huggingface.co/spaces/stabilityai/stable-diffusion>

# The Generative AI Landscape



# The Generative AI Landscape



## Tutorials and Surveys

- ▶ Kreis, K., Gao, R., & Vahdat, A.: [CVPR 2022 Tutorial: Diffusion Models](#)
- ▶ Rogge, L., & Rasul, K.: [The Annotated Diffusion Model](#)
- ▶ Yang Song: [Score-Based Generative Modeling: A Brief Overview](#)
- ▶ Lillian Weng: [What are Diffusion Models?](#)

## Foundational Papers

- ▶ Ho, J., Jain, A., & Abbeel, P. (2020). Denoising Diffusion Probabilistic Models
- ▶ Sohl-Dickstein, J., Weiss, E., Maheswaranathan, N., & Ganguli, S. (2015). Deep Unsupervised Learning using Nonequilibrium Thermodynamics
- ▶ Song, Y., & Ermon, S. (2019). Generative Modeling by Estimating Gradients of the Data Distribution
- ▶ Nichol, A., & Dhariwal, P. (2021). Improved Denoising Diffusion Probabilistic Models

## Applications and Notable Models

- ▶ Ramesh, A., et al. (2022). Hierarchical Text-Conditional Image Generation with CLIP Latents (DALL-E 2)
- ▶ Saharia, C., et al. (2022). Photorealistic Text-to-Image Diffusion Models with Deep Language Understanding (Imagen)
- ▶ Nichol, A., Dhariwal, P., et al. (2021). GLIDE: Towards Photorealistic Image Generation and Editing with Text-Guided Diffusion Models
- ▶ Preechakul, T., et al. (2022). Diffusion Autoencoders: Toward a Meaningful and Decodable Representation
- ▶ Saharia, C., et al. (2022). Image Super-Resolution via Iterative Refinement
- ▶ Poole, B., et al. (2022). DreamFusion: Text-to-3D using 2D Diffusion

## GANs and Related Reading

- ▶ Goodfellow, I., et al. (2014). [Generative Adversarial Nets](#)
- ▶ Creswell, A., et al. (2018). [Generative Adversarial Networks: An Overview](#)

## Credits

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