# Semantics Of Programming Languages

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#### The While Language

- Simple language for studying analyses
- A While program is a statement (or a sequence of statements)
- ullet Elementary blocks (assignments, tests and skip statements) are labelled

# Syntactic Categories

```
a \in AExp – arithmetic expressions
b \in BExp – boolean expressions
S \in Stmt - statements
x, y \in Var – variables
n \in Num – numerals
l \in Lab – labels
op_a \in Op_a – arithmetic operators
op_h \in Op_h – boolean operators
op_r \in Op_r – relational operators
```

#### Syntax

$$a ::= x \mid n \mid a_1 \circ p_a a_2$$

$$b ::= true \mid false \mid not b \mid b_1 op_b b_2 \mid a_1 op_r a_2$$

 $S ::= [x := a]^{l} | [skip]^{l} | S_1; S_2$  $| if [b]^{l} then S_1 else S_2 | while [b]^{l} do S$ 

a = Arithmetic expression

b = Boolean expression

S = Statement (program)

 $[\dots]^l o \text{elementary block}$ 

 $^{l}$  ightarrow label allows to identify the primitive constructs of a program

# Example Program (Factorial)

```
[y \coloneqq x]^{1};
[z \coloneqq 1]^{2};
while [y > 1]^{3} do
([z \coloneqq z * y]^{4};
[y \coloneqq y - 1]^{5});
[y \coloneqq 0]^{6}
```

#### Formal Semantics

Program state is a mapping from variables to values (numbers):

$$\sigma \in State = Var \rightarrow Z$$

Configuration of the semantics is either a pair statement and state or it is just a state:

$$\langle S, \sigma \rangle$$
 or  $\sigma$ 

*Transitions* of the semantics are of the form:

$$\langle S, \sigma \rangle \rightarrow \sigma' \quad and \quad \langle S, \sigma \rangle \rightarrow \langle S', \sigma' \rangle$$

#### Formal Semantics

#### Semantics is:

- defined as a sequence of transitions between these configurations
- a description of how a piece of program syntax behaves when it is executed
- a description of how the syntax updates the state of the machine

Semantic brackets is semantic function that explain the meaning of the syntax

$$[six] = 6$$

#### Semantic Functions

 $N: Num \rightarrow Z$ 

Semantic function for Numerals

State:  $Var \rightarrow Z$ 

 $A: Aexp \rightarrow (State \rightarrow Z)$  Semantic function for Arithmetic expressions

 $B: Bexp \rightarrow (State \rightarrow T)$  Semantic function for Boolean expressions

#### Semantics of Expressions

$$N: Num \rightarrow Z$$

$$N[6] = 6$$

$$A: Aexp \rightarrow (State \rightarrow Z)$$
 $\downarrow$ 
 $State: Var \rightarrow Z$ 

$$B: Bexp \rightarrow (State \rightarrow T)$$

$$B[not \ b]\sigma = \neg B[b]\sigma$$

$$B:Bexp \to (State \to T)$$

$$B[b_1 \ opb \ b_2]\sigma = B[b_1]\sigma \ opb \ B[b_2]\sigma$$

$$B[a_1 \ opr \ a_2]\sigma = A[a_1]\sigma \ opr \ A[a_2]\sigma$$

#### Semantics of Expressions

Semantics of Numeral = number represented by the Numeral

$$\sim N[6] = 6$$

$$A[\![x]\!]\sigma = \sigma(x)$$
 
$$A[\![a_1 \ opa \ a_2]\!]\sigma = A[\![a_1]\!]\sigma \ opa \ A[\![a_2]\!]\sigma$$

$$B[\![not\ b]\!]\sigma = \neg B[\![b]\!]\sigma$$
 
$$B[\![b_1\ opb\ b_2]\!]\sigma = B[\![b_1]\!]\sigma\ opb\ B[\![b_2]\!]\sigma$$
 
$$B[\![a_1\ opr\ a_2]\!]\sigma = A[\![a_1]\!]\sigma\ opr\ A[\![a_2]\!]\sigma$$

#### Semantics of Expressions

$$N[6] = 6$$

Semantics of variable x in state  $\sigma$ = value currently stored in the region of memory named by x(state  $\sigma$ )

$$A[x]\sigma = \sigma(x)$$

$$A[a_1 \ opa \ a_2]\sigma = A[a_1]\sigma \ opa \ A[a_2]\sigma$$

$$B[[not \ b]]\sigma = \neg B[[b]]\sigma$$

$$B[[b_1 \ opb \ b_2]]\sigma = B[[b_1]]\sigma \ opb \ B[[b_2]]\sigma$$

$$B[[a_1 \ opr \ a_2]]\sigma = A[[a_1]]\sigma \ opr \ A[[a_2]]\sigma$$

#### Semantics of Expressions: Example

$$N[6] = 6$$

$$A[x + 1]\sigma = A[x]\sigma + A[1]\sigma$$
  
=  $\sigma(x) + N[1]$   
=  $3 + 1$   
=  $4$ 

$$A[x]\sigma = \sigma(x)$$

$$A[a_1 \text{ opa } a_2]\sigma = A[a_1]\sigma \text{ opa } A[a_2]\sigma$$

$$B[[not \ b]]\sigma = \neg B[[b]]\sigma$$

$$B[[b_1 \ opb \ b_2]]\sigma = B[[b_1]]\sigma \ opb \ B[[b_2]]\sigma$$

$$B[[a_1 \ opr \ a_2]]\sigma = A[[a_1]]\sigma \ opr \ A[[a_2]]\sigma$$

- Small-step semantics that specifies the behaviour of a program one step at a time.
- Intermediate configurations (if the execution from the statement S in the initial state  $\sigma$  is not complete):

$$\langle S, \sigma \rangle \to \langle S', \sigma' \rangle \to \sigma''$$

• Describes the small progress from the initial configuration (initial state) to another intermediate configuration or, possibly, to the final state.

$$\langle S, \sigma \rangle \rightarrow \langle S', \sigma' \rangle$$
 or  $\langle S, \sigma \rangle \rightarrow \sigma'$ 

• Inference rules justify each step (transition) until reaching a final configuration.

$$[ass] \qquad \langle [x \coloneqq a]^l, \sigma \rangle \to \sigma [x \mapsto A[\![a]\!] \sigma]$$

$$[skip] \qquad \langle [skip]^l, \sigma \rangle \to \sigma$$

$$[seq_1] \qquad \frac{\langle S_1, \sigma \rangle \to \langle S'_1, \sigma' \rangle}{\langle S_1; S_2, \sigma \rangle \to \langle S'_1; S_2, \sigma' \rangle}$$

$$[seq_2] \qquad \frac{\langle S_1, \sigma \rangle \to \sigma'}{\langle S_1; S_2, \sigma \rangle \to \langle S_2, \sigma' \rangle}$$

$$[if_1] \qquad \langle \text{if } [b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \to \langle S_1, \sigma \rangle \qquad \text{if } B[\![b]\!] \sigma = \text{true}$$

$$[if_2] \qquad \langle \text{if } [b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \to \langle S_2, \sigma \rangle \qquad \text{if } B[\![b]\!] \sigma = \text{false}$$

$$[wh_1] \qquad \langle \text{while } [b]^l \text{ do } S, \sigma \rangle \to \langle (S; \text{while } [b]^l \text{ do } S), \sigma \rangle \qquad \text{if } B[\![b]\!] \sigma = \text{false}$$

$$[wh_2] \qquad \langle \text{while } [b]^l \text{ do } S, \sigma \rangle \to \sigma \qquad \text{if } B[\![b]\!] \sigma = \text{false}$$

[ass] 
$$\langle [x \coloneqq a]^l, \sigma \rangle \to \sigma[x \mapsto A[a]\sigma]$$

$$[skip] \qquad \langle [skip]^l, \sigma \rangle \to \sigma$$

$$[seq_1] \qquad \frac{\langle S_1, \sigma \rangle \to \langle S'_1, \sigma' \rangle}{\langle S_1; S_2, \sigma \rangle \to \langle S'_1; S_2, \sigma' \rangle}$$

[
$$seq_2$$
]  $\frac{\langle S_1, \sigma \rangle \to \sigma'}{\langle S_1; S_2, \sigma \rangle \to \langle S_2, \sigma' \rangle}$ 

$$[if_1]$$
  $\langle if[b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_1, \sigma \rangle$ 

$$[if_2]$$
  $\langle if[b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_2, \sigma \rangle$ 

[
$$wh_1$$
]  $\langle \text{while } [b]^l \text{ do } S, \sigma \rangle \rightarrow \langle (S; \text{ while } [b]^l \text{ do } S), \sigma \rangle$ 

[
$$wh_2$$
] \quad \text{while }  $[b]^l ext{ do } S, \sigma \rangle \to \sigma$ 

A variable identifier x is assigned an arithmetic expression a in the syntax  $[x \coloneqq a]^l$  in the current state  $\sigma$ .

It makes a single step (transition) to a final state with the value of x updated to be the semantics (value) of  $\alpha$  in the initial state  $\sigma$ .

if 
$$B[b] \sigma = true$$

if 
$$B[b] \sigma = false$$

if 
$$B[b] \sigma = true$$

if 
$$B[b] \sigma = false$$

[ass] 
$$\langle [x \coloneqq a]^l, \sigma \rangle \to \sigma[x \mapsto A[a]\sigma]$$

[
$$skip$$
]  $\langle [skip]^l, \sigma \rangle \rightarrow \sigma$ 

$$[seq_1] \qquad \frac{\langle S_1, \sigma \rangle \to \langle S'_1, \sigma' \rangle}{\langle S_1; S_2, \sigma \rangle \to \langle S'_1; S_2, \sigma' \rangle}$$

[
$$seq_2$$
]  $\frac{\langle S_1, \sigma \rangle \to \sigma'}{\langle S_1; S_2, \sigma \rangle \to \langle S_2, \sigma' \rangle}$ 

$$[if_1]$$
  $\langle if[b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_1, \sigma \rangle$ 

$$[if_2]$$
  $\langle if[b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_2, \sigma \rangle$ 

$$[wh_1]$$
  $\langle \text{while } [b]^l \text{ do } S, \sigma \rangle \rightarrow \langle (S; \text{while } [b]^l \text{ do } S), \sigma \rangle$ 

[
$$wh_2$$
] \quad \text{while }  $[b]^l ext{ do } S, \sigma \rangle \to \sigma$ 

The program skip is executed in the current state  $\sigma$ . It makes a single step to a final state  $\sigma$ .

if 
$$B[b] \sigma = true$$

if 
$$B[b] \sigma = false$$

if 
$$B[b] \sigma = true$$

if 
$$B[b] \sigma = false$$

[ass] 
$$\langle [x \coloneqq a]^l, \sigma \rangle \to \sigma[x \mapsto A[a]\sigma]$$

$$[skip] \qquad \langle [skip]^l, \sigma \rangle \to \sigma$$

$$[seq_1] \qquad \frac{\langle S_1, \sigma \rangle \to \langle S'_1, \sigma' \rangle}{\langle S_1; S_2, \sigma \rangle \to \langle S'_1; S_2, \sigma' \rangle}$$

$$[seq_2] \qquad \frac{\langle S_1, \sigma \rangle \to \sigma'}{\langle S_1; S_2, \sigma \rangle \to \langle S_2, \sigma' \rangle}$$

$$[if_1]$$
  $\langle if[b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_1, \sigma \rangle$ 

$$[if_2]$$
  $\langle if[b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_2, \sigma \rangle$ 

$$[wh_1]$$
  $\langle \text{while } [b]^l \text{ do } S, \sigma \rangle \rightarrow \langle (S; \text{while } [b]^l \text{ do } S), \sigma \rangle$ 

[
$$wh_2$$
]  $\langle while [b]^l do S, \sigma \rangle \rightarrow \sigma$ 

Two rules for "statements composition", one for each possible configuration we could get into (intermediate configuration or final state) after executing the statement  $S_1$  in the initial state  $\sigma$ .

if 
$$B[b] \sigma = true$$

if 
$$B[b] \sigma = false$$

if 
$$B[b] \sigma = true$$

if 
$$B[b] \sigma = false$$

The transition  $\bigcirc$  is true if, when  $S_1$  is executed in the initial state  $\sigma$ , without composing it with  $S_2$ , it does not take to a final state, but, in one

small step, it is updated to S'1 and gets to an intermediate state  $\sigma'$ .

[ass] 
$$\langle [x \coloneqq a]^l, \sigma \rangle \to \sigma[x \mapsto A[a]\sigma]$$

$$[skip] \qquad \langle [skip]^l, \sigma \rangle \to \sigma$$

$$[seq_1] \qquad \frac{\langle S_1, \sigma \rangle \to \langle S'_1, \sigma' \rangle}{\langle S_1; S_2, \sigma \rangle \bigoplus \langle S'_1; S_2, \sigma' \rangle}$$

$$[seq_2] \qquad \frac{\langle S_1, \sigma \rangle \to \sigma'}{\langle S_1; S_2, \sigma \rangle \to \langle S_2, \sigma' \rangle}$$

$$[if_1]$$
  $\langle if[b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_1, \sigma \rangle$   $if B[b] \sigma = true$ 

$$[if_2]$$
  $\langle if[b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_2, \sigma \rangle$   $if B[b] \sigma = false$ 

$$[wh_1]$$
  $\langle \text{while } [b]^l \text{ do } S, \sigma \rangle \rightarrow \langle (S; \text{while } [b]^l \text{ do } S), \sigma \rangle$   $if B[b] \sigma = true$ 

$$[wh_2]$$
  $\langle \text{while } [b]^l \text{ do } S, \sigma \rangle \to \sigma$  if  $B[b] \sigma = \text{false}$ 

[ass] 
$$\langle [x \coloneqq a]^l, \sigma \rangle \to \sigma[x \mapsto A[a]\sigma]$$

[
$$skip$$
]  $\langle [skip]^l, \sigma \rangle \rightarrow \sigma$ 

$$[seq_1] \qquad \frac{\langle S_1, \sigma \rangle \to \langle S'_1, \sigma' \rangle}{\langle S_1; S_2, \sigma \rangle \to \langle S'_1; S_2, \sigma' \rangle}$$

$$[seq_2] \qquad \frac{\langle S_1, \sigma \rangle \to \sigma'}{\langle S_1; S_2, \sigma \rangle \bigoplus \langle S_2, \sigma' \rangle}$$

The transition  $\bigcirc$  is true if, when  $S_1$  is executed in state  $\sigma$ , it gets to a final state  $\sigma'$  in one small step.

Then when the composition  $S_1$ ;  $S_2$  is executed in  $\sigma$ , there is nothing of  $S_1$  left to execute. Only  $S_2$  needs to be executed in state  $\sigma'$ .

$$[if_1]$$
  $\langle if[b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_1, \sigma \rangle$   $if B[b] \sigma = true$ 

$$[if_2]$$
  $\langle if[b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_2, \sigma \rangle$   $if B[b] \sigma = false$ 

$$[wh_1]$$
  $\langle \text{while } [b]^l \text{ do } S, \sigma \rangle \rightarrow \langle (S; \text{while } [b]^l \text{ do } S), \sigma \rangle$   $if B[b] \sigma = true$ 

$$[wh_2]$$
  $\langle \text{while } [b]^l \text{ do } S, \sigma \rangle \to \sigma$  if  $B[b] \sigma = \text{false}$ 

[ass] 
$$\langle [x \coloneqq a]^l, \sigma \rangle \to \sigma[x \mapsto A[a]\sigma]$$

[skip]  $\langle [skip]^l, \sigma \rangle \rightarrow \sigma$ 

$$[seq_1] \qquad \frac{\langle S_1, \sigma \rangle \to \langle S'_1, \sigma' \rangle}{\langle S_1; S_2, \sigma \rangle \to \langle S'_1; S_2, \sigma' \rangle}$$

[
$$seq_2$$
]  $\frac{\langle S_1, \sigma \rangle \to \sigma'}{\langle S_1; S_2, \sigma \rangle \to \langle S_2, \sigma' \rangle}$ 

$$[if_1]$$
  $\langle if[b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_1, \sigma \rangle$ 

[
$$if_2$$
]  $\langle if[b]^l then S_1 else S_2, \sigma \rangle \rightarrow \langle S_2, \sigma \rangle$ 

[
$$wh_1$$
]  $\langle \text{while } [b]^l \text{ do } S, \sigma \rangle \rightarrow \langle (S; \text{ while } [b]^l \text{ do } S), \sigma \rangle$ 

[
$$wh_2$$
] \quad \text{while }  $[b]^l ext{ do } S, \sigma \rangle \to \sigma$ 

Two rules for "conditionals", one for each possible configuration we could get into depending on which of the two branches is executed.

if 
$$B[b] \sigma = true$$

if 
$$B[b] \sigma = false$$

if 
$$B[b] \sigma = true$$

if 
$$B[b] \sigma = false$$

[ass] 
$$\langle [x \coloneqq a]^l, \sigma \rangle \to \sigma[x \mapsto A[a]\sigma]$$

[skip]  $\langle [skip]^l, \sigma \rangle \rightarrow \sigma$ 

$$[seq_1] \qquad \frac{\langle S_1, \sigma \rangle \to \langle S'_1, \sigma' \rangle}{\langle S_1; S_2, \sigma \rangle \to \langle S'_1; S_2, \sigma' \rangle}$$

[
$$seq_2$$
]  $\frac{\langle S_1, \sigma \rangle \to \sigma'}{\langle S_1; S_2, \sigma \rangle \to \langle S_2, \sigma' \rangle}$ 

$$[if_1]$$
  $\langle if[b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_1, \sigma \rangle$ 

[
$$if_2$$
]  $\langle if[b]^l then S_1 else S_2, \sigma \rangle \rightarrow \langle S_2, \sigma \rangle$ 

$$[wh_1]$$
  $\langle \text{while } [b]^l \text{ do } S, \sigma \rangle \rightarrow \langle (S; \text{while } [b]^l \text{ do } S), \sigma \rangle$ 

[
$$wh_2$$
] \quad \text{while }  $[b]^l ext{ do } S, \sigma \rangle \to \sigma$ 

The condition b is evaluated. If true (false), the next configuration equals to execute the true (false) branch, i.e. statement  $S_1$  ( $S_2$ ) in initial state  $\sigma$ .

if 
$$B[b] \sigma = true$$

if 
$$B[b] \sigma = false$$

if 
$$B[b] \sigma = true$$

if 
$$B[b] \sigma = false$$

[ass] 
$$\langle [x \coloneqq a]^l, \sigma \rangle \to \sigma[x \mapsto A[a]\sigma]$$

$$[skip] \qquad \langle [skip]^l, \sigma \rangle \to \sigma$$

[
$$seq_1$$
] 
$$\frac{\langle S_1, \sigma \rangle \to \langle S'_1, \sigma' \rangle}{\langle S_1; S_2, \sigma \rangle \to \langle S'_1; S_2, \sigma' \rangle}$$

$$[seq_2] \qquad \frac{\langle S_1, \sigma \rangle \to \sigma'}{\langle S_1; S_2, \sigma \rangle \to \langle S_2, \sigma' \rangle}$$

$$[if_1]$$
  $\langle if[b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_1, \sigma \rangle$ 

$$[if_2]$$
  $\langle if[b]^l \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_2, \sigma \rangle$ 

[
$$\mathbf{w}\mathbf{h}_1$$
]  $\langle \text{while } [b]^l \text{ do } S, \sigma \rangle \rightarrow \langle (S; \text{ while } [b]^l \text{ do } S), \sigma \rangle$ 

[
$$\mathbf{w}\mathbf{h}_2$$
] \quad \text{while }  $[b]^l ext{ do } S, \sigma \rangle \to \sigma$ 

The body of the loop b is evaluated and two choices follows: executing the statement S at least once (and then try next iteration in the sequence) or not executing loop body at all and getting to a final state  $\sigma$ .

if 
$$B \llbracket b \rrbracket \sigma = true$$

if 
$$B[b] \sigma = false$$

if 
$$B[b] \sigma = true$$

if 
$$B[b] \sigma = false$$

#### Derivation

#### A derivation sequence of configurations is:

- either a *finite* sequence of configurations  $\langle S_1, \sigma_1 \rangle, ..., \langle S_n, \sigma_n \rangle$  satisfying  $\langle S_i, \sigma_i \rangle \rightarrow \langle S_{i+1}, \sigma_{i+1} \rangle$  for all  $i \in [1, n)$ , and a  $\langle S_n, \sigma_n \rangle \rightarrow \sigma_{i+1}$  corresponding to a terminating computation (Terminating program)
- or an *infinite* sequence of configurations  $\langle S_1, \sigma_1 \rangle, ..., \langle S_n, \sigma_n \rangle, ...$  satisfying  $\langle S_i, \sigma_i \rangle \rightarrow \langle S_{i+1}, \sigma_{i+1} \rangle$  for all i > 0 (Non-Terminating program)

formally defining the semantics (meaning) of a program.

#### Derivation: Example

$$S \triangleq (z \coloneqq x; x \coloneqq y); y \coloneqq z$$

$$\sigma(x) = x_0$$

$$\sigma(y) = y_0$$

$$\sigma(z) = 0$$

The program S (sequence of composed statements in state  $\sigma$ ) swaps the initial states of variables x and y.

Derivation sequence (to demonstrate the correctness of S in a finite no of steps):

$$\langle (z \coloneqq x; x \coloneqq y); y \coloneqq z, \sigma \rangle$$

$$\rightarrow \langle x \coloneqq y; y \coloneqq z, \sigma[z \mapsto x_0] \rangle$$

$$\rightarrow \langle y \coloneqq z; \sigma[z \mapsto x_0, x \mapsto y_0] \rangle$$

$$\rightarrow \sigma[z \mapsto x_0, x \mapsto y_0, y \mapsto x_0]$$

Transition justified by the following inference rules: