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Impacts of transported background ozone on California air quality during the ARCTAS-CARB period – a multi-scale modeling study

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Abstract

Multi-scale tracer and full-chemistry simulations with the STEM atmospheric chemistry model are used to analyze the effects of transported background ozone (O_3) from the eastern Pacific on California air quality during the ARCTAS-CARB experiment conducted in June 2008. Previous work has focused on the importance of long-range transport of O_3 to North America air quality in springtime. However during this summer experiment the long-range transport of O_3 is also shown to be important. Simulated and observed O_3 transport patterns from the coast to inland northern California are shown to vary based on meteorological conditions and the oceanic O_3 profiles, which are strongly episodically affected by Asian inflows. Analysis of the correlations of O_3 at various altitudes above the coastal site at Trinidad Head and at a downwind surface site in northern California, show that under long-range transport events, high O_3 air-masses ($O_3 > 60$ ppb) at altitudes between about 2 and 4 km can be transported inland and can significantly influence surface O_3 20–30 h later. These results show the importance of characterizing the vertical structure of the lateral boundary conditions (LBC) needed in air quality simulations. The importance of the LBC on O_3 prediction during this period is further studied through a series of sensitivity studies using different forms of LBC. It is shown that the use of the LBC downscaled from RAQMS global model that assimilated MLS and OMI data improves the model performance. We also show that the predictions can be further improved through the use of LBC based on NASA DC-8 airborne observations during the ARCTAS-CARB experiment. These results indicate the need to develop observational strategies to improve the representation of the vertical and temporal variations in the air over the eastern Pacific.

1 Introduction

Tropospheric ozone (O_3) is an atmospheric pollutant harmful to human health and agriculture, and is also one of the most important green house gases. The US National

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Ambient Air Quality Standard (NAAQS) for daily maximum 8-h average O₃ has recently been lowered to 75 ppb, and is likely to be lowered further to between 60 ppb and 70 ppb in future regulatory reviews of its direct impacts on human health. The California Air Resource Board (CARB) currently sets more stringent state 1-h and 8-h O₃ standards at 90 ppb and 70 ppb to better address longstanding urban and regional O₃ problems.

The US Environmental Protection Agency (EPA) has defined Policy-Relevant Background O₃ (PRB) as those concentrations that would occur in the United States in the absence of anthropogenic emissions in continental North America (EPA, 2006). The PRB concentration defines the level below which O₃ regulatory standards cannot be set. This includes O₃ formed through photochemical reactions involving precursors originating exclusively from continental biogenic sources, wildfires, and lightning, as well as O₃ transported from outside of North America and from the stratosphere. Current literature indicates an increasing trend of background O₃ due to rising global emission trends, and projects that PRB will continue to rise until the end of the 21st century in North America (Jaffe et al., 2003; Lin et al., 2000; Vingarzan et al., 2004). Previous work has reported a wide range of background O₃ in the Northern Hemisphere based on modeling studies and observational analysis, with estimates varying from 15 ppb to 60 ppb (Fiore et al., 2003; Lefohn et al., 2001; Jaffe et al., 2003). Many of these studies have analyzed observations at remote sites to determine the contributions of long-range O₃ transport. However, remote sites of interest are not completely devoid of local anthropogenic impacts (Nolle et al., 2001), and thus these analyses must include techniques to exclude the contributions from regionally polluted air-masses. Modeling studies are also often used, but they contain uncertainties due to coarse spatial resolution and quality of inputs (Fiore et al., 2003). While estimates vary due to differences in season, location, elevation, experimental and modeling methods, there is considerable agreement that less than 40 ppb of PRB is due to natural sources in North America, peaking in spring, and 5–15 ppb is due to trans-continental transport of O₃ (Fiore et al., 2002; Vingarzan et al., 2004; McKendry et al., 2006).

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Transported O₃ from outside the continental US, together with locally-formed O₃, contributes to the variability in O₃ observed at inland sites in California. A recent study has reported an increasing trend in background O₃ over the eastern Pacific since the 1980s during springtime, when Asian emissions have their greatest impacts on the western North America (Cooper et al., 2010). Fewer studies have focused on the role of background O₃ in the summertime (Fiore et al., 2003; Parrish et al., 2009), a period with the most frequent and intense episodes of O₃ pollution, in which the impact of long-range transport is believed to reach its annual minimum.

Based on multi-year observations, Parrish et al. (2009) contend that the observed summertime O₃ over northern California is directly proportional to transported background from the eastern Pacific, and estimate a transport time of 20–30 h for coastal O₃ at 1–2.5 km altitude over Trinidad Head (THD) to impact surface concentrations over inland O₃ non-attainment areas. Background O₃ in the transported air averaged 58 ppb on the days that O₃ exceeded the current 75 ppb NAAQS at one example site, and was occasionally above 75 ppb. This summertime study associated transported background concentrations with higher local concentrations in several locations heavily influenced by O₃ formed locally from nearby anthropogenic emissions. Oltmans et al. (2008) has found that summertime background O₃ levels over the eastern Pacific in southern California are similar to those in northern California. In addition, Parrish et al. (2009) pointed out the potential similarity in transport patterns from coastal to inland areas over northern and southern California. However, the impact of transported background O₃ over southern California is more difficult to discern because of the weaker vertical mixing and the higher local production levels.

Assessing the contributions of distant sources on local pollution levels remains a challenging problem and reducing the uncertainty in estimates requires a better understanding of transport patterns that bring together long-range transported air masses and local pollutants (NAS, 2010). Three-dimensional chemical transport modeling is an important complement to observation-based approaches and is critical to fully understand the factors responsible for reported long-time trends (Law, 2010), as well as

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short-term variations in the properties of transported air masses.

This paper investigates the impacts of O₃ transported from the eastern Pacific on California air quality using observational data collected during the California portion of the Arctic Research of the Composition of the Troposphere from Aircraft and Satellites (ARCTAS-CARB) experiment. These data are analyzed using results from the STEM regional-scale modeling system, including a tracer model and full-chemistry simulations at two horizontal resolutions. Results from the tracer model and back trajectories are employed to determine days during the experiment when California was strongly influenced by Asian inflows. We show the direct and indirect effects of foreign inflows on measurement sites located at THD, in the northern Central Valley, and in southern California. Based on trajectories from high resolution meteorology fields, and O₃ concentration correlation analysis, transport times from the coast to the valley and their altitudinal relationships are estimated for the specific time period influenced by Asian inflows and over the entire ARCTAS-CARB period. The amount of O₃ transported into northern California from the free troposphere is estimated according to observed O₃ vertical profiles and the inferred transported altitudes. Results are extended to look at the impact of model lateral boundary conditions (LBC) over the eastern Pacific on predicted surface O₃ concentrations over California. Model sensitivities to western LBC are evaluated. The implication of these results for observation and model approaches to improve O₃ prediction are also discussed.

2 Methods

2.1 Mission introduction and observational data

ARCTAS-CARB was conducted in June 2008 by the National Aeronautics and Space Administration (NASA). The NASA DC-8 aircraft platform sampled trace gas and aerosol concentrations through four scientific flights over California on 18 June, 20 June, 22 June, and 24 June 2008. One of the mission's scientific objectives was to

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characterize upwind boundary conditions necessary to model inland O₃ (Jacob et al., 2009) and the 22 June flight was designed specifically for this purpose. On this day, the DC-8 took off from Palmdale, CA, flew over the Pacific Ocean to THD, and then circled back to Palmdale along the coast (Fig. 1c). In addition to airborne measurements, continuous hourly O₃ measurements were made at CARB and Clean Air Status and Trends Network (CASTNET) surface sites (<http://www.arb.ca.gov/qaweb/siteinfo.php>; <http://www.epa.gov/castnet/>). Ozone soundings were also launched on 20 June, 22 June, 24 June, and 26 June 2008 at the remote coastal THD site (<ftp://ftp.cmdl.noaa.gov/ozwv/ozone/ARCIONS/CARB/>).

Within the CARB and CASTNET surface O₃ monitoring network, five California sites were selected for the present analysis (Fig. 1c). Three of them are located in California's Central Valley: an isolated rural site, Tuscan Butte (TB), which has the highest O₃ design value in the Northern Sacramento Valley (Parrish et al., 2009); a suburban site, Walnut Grove Tower (WGT), 20 miles south of Sacramento; and a remote CASTNET site, Lassen Volcanic National Park (LAV), located to the east of valley. The other two are the CASTNET site at Joshua Tree National Monument (JOT) in southern California, chosen to compare with the northern sites, and a background coastal site at THD, selected for identifying the transport patterns from coast to inland. Elevations of these sites are 572 m, 0 m, 1756 m, 1244 m and 20 m, respectively.

2.2 Model and data

2.2.1 Model

We simulated the period from 18 June–28 June 2008 using the Sulfur Transport and dEposition Model (STEM) – Version 2K3, one of the regional models that assisted in flight planning during the ARCTAS-CARB campaign. The tracer and full-chemistry versions of STEM have been used during a number of field experiments in the past decade. Their performance has been evaluated and relies largely on domain design, model resolutions and the quality of input data. The modeling system applied here

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- (Adhikary et al., 2010) includes three components, illustrated in Fig. 1:
1. A hemisphere tracer model to study long range transport of pollutants and dust with 60 km horizontal resolution and 30 vertical layers to the stratosphere;
 2. A continental scale gas-phase and aerosol chemical transport simulation on a subset of the hemispheric grid with a 60 km horizontal resolution and 18 vertical layers with the same intervals as the tracer model (i.e., the 18 lowest layers);
 3. A regional-scale gas-phase and aerosol chemical transport domain centered over California with a 12 km horizontal resolution and 32 vertical layers at smaller intervals than in 1 and 2.
- The Northern Hemisphere calculation utilized a tagged tracer version of STEM. This model calculates a variety of aerosols, as well as several air mass markers. Because of its long atmospheric life-time of 1–2 months, carbon monoxide (CO) is one of the primary tracers used to estimate the contributions of geographic areas to hemispheric-scale transport. For this study, we included CO tracers for eight regions in the hemispheric domain (Fig. 1a): the US mainland, Alaska, Canada, Greenland, Europe, Russia, China and other Asia nations. The tracer calculations also include estimates of air mass age, which represents a combination of transport time, source intensities and diffusion, using ethane emission and decay rates as a proxy (Tang et al., 2007).
- The STEM full-chemistry model calculates chemistry reactions based on SAPRC 99 gaseous chemical mechanism (Carter, 2000), with photolysis rates coupled with the online Tropospheric Ultraviolet-Visible radiation model (TUV) (Madronich, 2002). It also includes a four-bin aerosol module with thermodynamics calculated using the Simulating Composition of Atmospheric Particles at Equilibrium (SCAPE II) model (Kim and Seinfeld, 1995). A detailed description of the current STEM-2K3 model can be found in a recent paper by Adhikary et al. (2010). The STEM full-chemistry calculations were performed using 60 km and 12 km resolutions in this study and the model setups are summarized in Table 1. The 60 km cases represent the general picture of pollutant

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distributions over the eastern Pacific and the continental US, while the 12 km cases concentrate on pollutant transport over California.

2.2.2 Meteorology

STEM does not require a uniform horizontal grid and can be mapped to any map projection and resolution based on the meteorological model. In this study, meteorology fields for all three grids were generated by the Advanced Research Weather Research & Forecasting Model (WRF-ARW) Version 2.2.1 (Skamarock et al., 2007) with forecast and reanalyzed meteorological inputs (Mesinger et al., 2006) for the 60 km and 12 km simulations, respectively. The 60 km WRF grid included 30 layers and the 12 km grid included 40 layers. The tracer model used the simulated meteorological fields from all 30 layers; while the 60 km and 12 km full chemistry simulations only used the lowest 18 and 32 layers, respectively. The WRF-ARW simulations at both resolutions utilized the same physics and dynamics schemes. The primary physics options include Goddard shortwave (Chou and Suarez, 1994) and Rapid Radiative Transfer Model (RRTM) long wave scheme (Mlawer et al., 1997), the NOAH land and surface model (Chen and Dudhia, 2001), Monin-Obukhov similarity theory (Monin and Obukhov, 1954) with the Mellor-Yamada-Janjic planetary boundary layer closure scheme (Janjic, 2002), WSM 5-class microphysics (Hong et al., 2004) and the Betts-Miller-Janjic scheme (Janjic, 2000). The STEM preprocessor was used to extract topography and other land use variables along with the meteorological parameters. Other model inputs, such as emissions, initial and boundary conditions were gridded for the same map projection and grid resolution, which will be discussed in detail in the following sections.

2.2.3 Emissions

Emissions inputs for each of the three modeling systems differed slightly, based on respective demands for resolution and completeness. In the hemispheric tracer model, we used a bottom-up global gridded inventory (Streets et al., 2008, [http:](http://)

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//mic.greenresource.cn/arctas_premission), which is driven by regional-specific information on fuels and activity from various economic sectors. In the 60 km continental simulation, anthropogenic emissions for North America were taken from the 2001 National Emissions Estimate Version 3, an update of the 1999 US National Emissions Inventory with growth factors applied by Source Classification Code, and augmented with national inventories for Canada (2000) and Mexico (1999). Daily biomass burning emissions from the Real-time Air Quality Modeling System (RAQMS) (Pierce et al., 2007) were provided by the Cooperative Institute for Meteorological Satellite Studies (CIMSS). Biogenic emissions of terpene and isoprene were taken from twelve-year-averaged data from the Orchidee model (Lathiere et al., 2006). For the 12 km simulations the anthropogenic and biogenic emissions were re-gridded from a contemporary CARB 4 km emission inventory. Biomass burning emissions were generated by the prep-chem-source model (WRF/Chem Version 3.1 users' guide, 2009), which used the MODIS – detected point fire information at 1 km ground resolution (Giglio et al., 2003) and was adjusted at each time step to match total emissions rates from RAQMS.

2.2.4 Lateral boundary conditions

Temporal and spatial variations in top and lateral boundary conditions downscaled from global models enhance model performance, especially for long-live species and over areas less impacted by local pollution (Tang et al., 2007). From sensitivity studies using the Community Multi-scale Air Quality (CMAQ) model, Tang et al. (2009) concluded that the use of global model predictions for LBC improved the correlation coefficients of surface O₃ prediction over the US west coast, but may also increase the O₃ mean bias.

To study the impacts of LBCs on model performance, and to better understand the role of distant sources, a variety of western LBCs were tested, as summarized in Table 2. In the 60 km base case, LBC for thirty gaseous species and top boundary conditions for ten gaseous species (not listed) were taken from the archived RAQMS real-time chemical analyses data. RAQMS real-time chemical analyses included

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assimilation of stratospheric (above 250 mb) O₃ profiles from the Microwave Limb Sounder (MLS) and cloud cleared total O₃ columns from the O₃ Monitoring Instrument (OMI) onboard the NASA Aura satellite. The RAQMS O₃ profiles were compared with measurements by Tropospheric Emission Spectrometer (TES) onboard the NASA 5 Aura satellite for Step and Stare observations over the Eastern Pacific (150 E–120 E, 30 N–60 N) during 15 June–15 July. RAQMS mean biases relative to TES were generally less than 10%, except near 100 mb where the bias was up to 20% lower than TES. The RMS errors ranged from 20 to 40% in the troposphere with peaks near 200 mb and below 800 mb. RAQMS underestimated tropospheric O₃ variability by about 20% 10 relative to TES. Comparison with ten Trinidad Head O₃ sondes launched between 27 June and 6 July showed that the RAQMS real-time O₃ analysis had a mean high bias in the troposphere (below 200 mb) which ranged from 10% below 500 mb to 30% at 300 mb. For the 12 km base case simulations the STEM 60 km base case results were extracted and used as boundary conditions. Several additional western LBCs were 15 used to further study the sensitivity of modeled O₃ to the western LBC in the 60 km model grid. In the Clean WBC case, O₃ and CO concentrations in the western LBC were set to constants (vertically, horizontally and temporally) at 40 ppb and 90 ppb, respectively. This constant 40 ppb background O₃ level is often used as a baseline for O₃ risk assessments by the US EPA. In the Fixed BC case, we temporally fixed the top and 20 all LBCs with the 20-day averaged (10 June–30 June 2008) RAQMS boundary conditions. Then in the Reduced WBC case, we reduced the Fixed BC values by 10 ppb of O₃ in every grid cell along the western boundary. Finally, observations from the 22 June DC-8 flight were interpolated to the 60 km and 12 km model grid and used as the western LBC, to explore the impact of using the observations on model performance. 25 Details of the various LBCs used in these studies are summarized in Table 2.

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3 Results and discussions

3.1 O₃ concentrations and air-mass movement during ARCTAS-CARB

The 24-h average surface O₃ concentrations during 18 June–28 June 2008 from the 60 km and 12 km base case simulations are shown in Fig. 1b, c, respectively. The entire 12 km domain is shown but only the US continental portion of the 60 km domain is included. The 12 km case shows the advantages of higher resolutions. Horizontally, the increased resolution captured local features around urban emissions sources and indicated more complicated wind fields over California. Vertically, the 12 km simulation produced mixing layer heights closer to those reported in previous studies than the 60 km results, especially at valley sites (Dillon et al., 2002), ranging from several hundred meters to ~2000 m above ground. Temporally, the 12 km simulations were able to better capture the strong diurnal variations in O₃ seen at some of the surface sites (which will be discussed in more details later).

Meteorological factors play a major role in pollutant production and transport. The weather over California during the mission week was dominated by high pressure centered over the Pacific, with weak mid-latitude cyclonic disturbance (Fuelberg et al., 2010). Asian inflows entered the west coast of the US during the 21 June–24 June 2008 period (Fig. 1a), and the 22 June DC-8 flight encountered these long-range transport inflows (Fig. 2). High VOC ages (100–400 h) along most of the oceanic part of the DC-8 22 June flight path and over northern California during 17:00–23:00 UTC indicate inflows with long histories of active chemistry from outside of the US continent. Five-day back trajectories along this day's flight path show that these air masses travelled in the free troposphere from the western Pacific off the coast of Asia before arriving in California, with the largest Asian influence occurring along the northernmost outbound part of the flight. Over the southern California near shore portions of the inbound flight path, the flow was at lower altitudes, with air-masses coming from the northern California coast (in purple). The regions with the largest influence from Asian sources during this flight are shown in Fig. 2c and d, together with the VOC age and

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back trajectory calculations. To further identify the influence of emissions from specific geographical regions we tagged primary CO emissions in the hemispheric tracer model, which treats primary CO as an inert tracer. Shown in Fig. 2c, d are plots of the % contribution to anthropogenic primary CO from China sources. The CO contributions from Chinese emissions at the surface at 18:00 UTC and 24:00 UTC (00:00 UTC, 23 June) were the greatest over northern California and offshore areas of southern California, ranging from 20–80%, but areas south of San Francisco had a smaller fractional contribution from Asian sources at these times.

Further insights into flow conditions during and after the flight period from 12 km simulations are gained from maps of wind vectors at roughly 1500 m and 3000 m a.g.l. at 18:00 UTC on 22 June, 23 June, and 24 June 2008 (Fig. 3). At 3000 m, onshore westerly winds blew at almost constant speed penetrating inland to California and then extending to Nevada on 22 June and 23 June. The wind speed over California decreased on 24 June. The offshore winds at 32–33 N were from the southwest on 22 June, and shifted to northwesterly flows when approaching the shore of southern California. These flows continued for the following two days. Coastal winds at 1500 m were northwesterly, with the Central Valley displaying greater complexity and daily variability due to local orographic features. They became more organized on 23 June and 24 June, with northwesterly winds throughout the valley. The southern portions of California were under a low pressure system with southwesterly winds.

Corresponding surface CO and O₃ concentrations from the 12 km model simulations are shown in Fig. 4. Extensive areas in southern California and the Central Valley were subject to O₃ concentrations exceeding 70 ppb, especially on 23 June and 24 June, as a result of hot and dry weather conditions. On these days air-masses enhanced in O₃ and precursors were transported over the ocean and into the northern portion of the valley. They were mixed together with CO and other precursors emitted during the northern California fire events, and then transported southward where they were mixed with local emissions leading to the high O₃ and CO concentrations.

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3.2 Coastal-inland O₃ transport

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Unlike the TB and LAV sites where the China inflows mixed down from several thousand meters above ground to the surface, this China-impacted air-mass passed over the WGT site above 2 km, and only a small fraction mixed down to the surface. In contrast, the impacts of Chinese sources were very small at the southern California JOT site (not shown).

The influence of transported background O₃ from Asian inflows at four inland surface sites was analyzed, in connection to the tracer results. The time-height O₃ distributions from 12 km simulations (Fig. 7) clearly show that O₃ transported above the boundary layer can impact the surface as air-masses descend and entrain into the boundary layer. The O₃ vertical structure was complicated, showing the combined impacts of local production in the boundary layer as well as long-range transport at higher altitudes.

To further understand the flow characteristics associated with periods with observed high O₃ values, we estimated O₃ distributions backward in time by combining the corresponding observed values with air-mass back trajectories. In this methodology, we take the observed concentration and propagate its value backwards in time along a wind trajectory. By running multiple trajectories, and assuming that the value does not change along that trajectory we can identify the general flow conditions and upwind regions associate with high O₃ values. In this method the geographic region covered by the trajectories was divided into an array of 1° × 1° grid cells, and the location of an air parcel at a particular time was represented by the trajectory segment latitude and longitude endpoints. The average concentration colored on each grid cell was calculated by the mean observed O₃ concentrations associated with each trajectory endpoint that landed in the surface grid at the discrete time intervals specified as shown in Eq. (1) (Kurata et al., 2004).

$$C = \frac{1}{N} \sum_{i=1}^N C_i \quad (1)$$

Where N is the number of the trajectories that passed over that grid cell and C_i denotes the observed concentration at the originating point associated with the trajectory. In this way, we colored three-day back trajectories originating from four surface sites on 23

June, based on 12 km WRF meteorology fields (Fig. 8). The starting times used were from 00:00 UTC to 23:00 UTC with one-hour interval. Results at LAV and TB sites show that the high observed surface O₃ levels periods were associated with inflows from the eastern Pacific and the coastal area. In contrast, high O₃ periods at the WGT site were associated with flows passing over near-coastal areas of northern California as well as flows directly from the San Francisco Bay area. The high O₃ levels at JOT were associated with flows through the Central Valley, with some flows extending out into the eastern Pacific.

To further characterize the influence of O₃ over the eastern Pacific on surface O₃ over California we examined space-time correlations. Specifically, we calculated correlations between THD O₃ at multiple elevations and surface O₃ at inland sites for different hourly time offsets. We calculated R-square values using both observational and modeled data and the results for the TB site are shown in Fig. 9. Observed O₃ time series at THD were constructed using O₃ sonde data at THD on 20 June, 22 June, 24 June and 26 June. Soundings for each day were averaged every 500 m up to 5000 m a.g.l. Averages for each altitude bin were interpolated to hourly values using a univariate interpolation approach (Akima, 1970), and the resulting altitude-specific time series were then correlated with the time series built from hourly surface O₃ observations at the TB site. Resultant correlations between O₃ at three altitude bins (1000–1500 m, 2500–3000 m, and 3500–4000 m) above THD and surface O₃ at TB are shown in Fig. 9c. High R-square values ranged from 0.67 to 0.75 at these altitudes, indicating strong correlations between surface O₃ at the TB site and O₃ at all three altitudes above THD during 21 June–24 June. Surface O₃ at the TB site was most highly correlated with O₃ at 2500–3000 m above THD with a time lag of around 30 h.

This analysis was repeated using 12 km modeled data, correlating hourly concentrations at THD at the same altitudes with predicted hourly surface O₃ at TB. Predicted O₃ vertical structures on 22 June at THD and the time series at TB are compared with observations in Fig. 9a and b. Model-based correlations in Fig. 9d and 9e show

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correlations across several time offsets, due to the higher fidelity of the time series used in analysis. The highest R-square value was 0.68, found at 2500 m with a time offset of 22 h, shorter than the 30 h offset found in the observational data.

To better understand the causes of these O₃ transport correlation relationships, we plot the forward trajectories in Fig. 10a, b from the 12 km meteorology fields originating on 22 June, from 1500 m and 2500 m above THD, respectively. The starting times were from 00:00 UTC to 23:00 UTC with a one-hour interval. On this day, the winds blew from ~2500 m above THD directly to northern California (Fig. 10b). The forward trajectory starting at 2500 m above THD at 01:00 UTC on 22 June (6 p.m. LT, 21 June) is shown in Fig. 10d. The air-mass descended as it traveled inland into the valley, reaching the east side of the valley after about 17–18 h, where it affected the TB surface concentrations at the end of 22 June. Upslope mountain-valley flows in the afternoon lifted the air-masses, and they continued moving east. At 1500 m, the wind directions were more varied leading to the impact being more dispersed, affecting TB, Nevada as well as large areas south of THD. This analysis shows clearly the inland transport and entrainment of the eastern Pacific O₃ into the boundary layer.

The origin of the air masses reaching TB are shown to be a mixture of air from Oregon transported at low altitudes (<~1500 m), as well as air transported from the eastern Pacific at altitudes between 1.5 to 3 km as shown in Fig. 10c. These back-trajectories of air masses at about 400–500 m above TB area were calculated from 00:00 UTC to 23:00 UTC with one-hour intervals on 22 June and 23 June. We plot the back trajectory of an air mass at about 400–500 m above TB area at 00:00 UTC on 23 June (5 p.m. LT, 22 June) in Fig. 10e. This air-mass was at 3500–4000 m above the eastern Pacific 40 h earlier. It then passed THD at ~3000 m a.s.l. and descended east into the valley, taking ~22 h to travel from the coast to the valley, where the pollutants were finally mixed down to the surface.

The correlation between THD O₃ at multiple elevations and surface O₃ at inland sites varied throughout the ARCTAS-CARB period. Figure 9d and e shows the model correlations between THD at 1500 m and 2500 m and the TB site at different time offsets

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for the 18 June–28 June period. Over this longer analysis time period, no significant correlations were observed at 2500 m. But at 1500 m, a lower maximum R-square of 0.3 was obtained for a 30-h transport time. These results are similar to those from the correlation study using 8-h average O₃ based on multi-year observations (Parrish et al., 2009), and can be also further explained with air-mass trajectories (Fig. 11).

Similar as Fig. 10a–c, Fig. 11 shows the forward trajectories from the 12 km meteorology fields originating from 1500 m and 2500 m above THD, together with the back-trajectories of air masses at about 400–500 m above TB area, for every hour on 20 June (before the long-range transport event). The air-masses on this day at 2500 m above THD blew directly to Oregon and had no direct impact over the TB area. The air-masses at 1500 m above THD blew directly to the northern California including the TB area, but stayed at ~1200–1500 m above the valley surface. The pollutants they carried were mixed down to the surface during day time as the boundary layer grew. The back-trajectories in Fig. 11c also indicate that the air-masses over the TB area were mainly from below 1500 m above the Eastern Pacific on this day.

These results show that surface O₃ in northern California can be directly influenced by O₃ transported over the eastern Pacific in summer. Near the coast the influence is limited to the MBL O₃, where concentrations are typically below 40 ppb. However, inland, the influence of O₃, transported at higher altitudes is strengthened; there coastal O₃ levels can reach 60–80 ppb. The enhancement of O₃ over the ocean at higher altitudes significantly affects downwind surface O₃ concentrations.

The situation in southern California is different. As discussed in the introduction, the O₃ entering southern California directly from the eastern Pacific in the summer is very similar in magnitude and vertical structure to that measured at THD. However, the southern California coastal ranges are not as high and continuous as in northern California. Thus southern California is often under the influence of on-shore marine boundary layer flows, which bring lower levels of background O₃ that then mix with the high emissions regions. Therefore, it is more difficult to detect the influence of this eastern Pacific O₃ on inland surface observations in southern California. During this

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analysis period, we did not have a situation where air over the southern portion of the eastern Pacific at altitudes from 1.5 to 4 km had a significant direct impact on O₃ at the JOT site in southern California.

3.3 Model LBC sensitivity studies

- 5 Considering the significance of O₃ transported from the eastern Pacific on California surface O₃, the uncertainties in the model western LBC along with the meteorological conditions can represent a significant source of error in the prediction of surface O₃ over California. To demonstrate the impact of the western LBC on surface O₃ predictions over California, a series of LBC sensitivity simulations were performed in the
10 60 km model grid.

There are several methods to create LBCs including using constant values, default profile gradients, and real-time profiles downscaled from global model results. Here we show results for simulations using various approaches. The change in surface O₃ for the Clean WBC case (Fig. 12b) shows that the use of the Clean WBC resulted in a
15 decrease in California surface O₃, with large changes (exceeding 5 ppb) extending over two thirds of the study domain. The averaged O₃ concentration decreases during 21 June–24 June over northern California are more than twice those over the south. The time series of surface O₃ values and their change due to the use of the Clean WBC at TB are shown in Fig. 13a and b. The largest changes in O₃ (>20 ppb) due to the Clean
20 WBC occurred after 22 June, and corresponds to the arrival time of Asia-influenced air as discussed earlier (Fig. 6). These results demonstrate the importance of a better representation of the western LBC in order to improve model predictions.

A better representation of the LBC can be obtained by downscaling results from global chemical transport models. Tang et al. (2007) demonstrated that LBCs derived
25 from global models can capture much of the temporal and spatial variability along the boundary and can enhance air quality predictions. Recall that for the base simulation we took this approach and used results from the RAQMS global model as the LBC in our 60 km base simulations. The RAQMS-predicted O₃ values were directly

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compared with the O₃ sondes at THD on 22 June (Fig. 9a) and the observations for the 22 June DC-8 flight are shown in Fig. 14. The comparison of the THD O₃ shows that RAQMS captured vertical gradients but generally over predicted O₃ by 10–20 ppb from surface to 5000 m. O₃ values along the flight path are shown in terms of a vertical distribution and as a function of flight altitude and time (Fig. 14a, b). For this flight the RAQMS model over-predicted O₃ between 1.5–4 km. RAQMS also showed less variability above 1 km (Table 1). The Base case simulations are also presented in Fig. 14. The 60 km O₃ values are slightly higher than RAQMS and the observations below 1 km, lower between 1.5–4 km (and closer to observations), closer to the RAQM results at 5–8 km, and higher above 8 km. These results show that while the use of global model LBCs can improve model performance, that biases can be introduced. In this case the high bias in the RAQMS LBC at THD and along at 1.5–4 km along the 22 June flight path contributed to the over prediction of O₃ at the TB site that is shown in Fig. 13a.

To further explore the sensitivity of the predictions to the LBC we performed additional simulations. To explore the importance of temporal variability we used 20-day temporal averaged RAQMS boundary conditions in the Fixed BC case. The predicted surface O₃ during 21 June–24 June dropped 3–7 ppb over two-thirds of California as shown in Fig. 12c. As shown in Fig. 13c, the use of temporally averaged boundary conditions resulted in a decrease in predicted O₃ relative to the base case by ~10 ppb, during the periods with large Asia influence. This is due to the fact that the episodic enhancements were largely removed by the longer time period used to prepare this LBC. The results for the sensitivity simulations where the western LBC was reduced by 10 ppb of O₃ from the Fixed BC case are also shown in Fig. 13c and d. Results from this constant perturbation case helps illustrate how the boundary values are modified within the STEM model. Average changes of surface O₃ over California during 21 June–24 June vary from 1–6 ppb (Fig. 12d), relative to the fixed BC case. Time variations of changes in O₃ at the TB site are shown in Fig. 13d and 1–8 ppb of O₃ decrease can be seen by reducing 10 ppb of O₃ in the fixed western LBC. The extent to which the O₃ signal was damped (i.e., lower than the 10 ppb perturbation) reflects the degree

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to which O₃ levels were influenced by local meteorological and chemical processes. The smaller the change is, the larger the influence of the local contributions is.

3.4 Model performance improvements

3.4.1 Methodology

- 5 Further improvements in model prediction will require better constraining of the LBCs. The ARCTAS-CARB experiment devoted one flight to characterizing the LBC under Asian inflow conditions. We performed an additional simulation where we used the observations over the eastern Pacific as the western LBC in the 60 km model domain. Sampled concentrations for eight gaseous pollutants (NO, NO₂, CO, O₃, H₂O₂, PAN,
10 HNO₃ and SO₂) were averaged every 1000 m. The mean O₃ profile used for this case is also shown in blue in Fig. 14a. We vertically interpolated these values to STEM grids, and replaced the RAQMS western LBC with these observation-based vertical gradients, in both 60 km and 12 km grids for the flight week. To identify the regions where we would expect to see the biggest changes in model predictions using the
15 observational-based (Obs case) western LBC, we calculated forward trajectories along the flight path using the 12 km WRF meteorology fields. The 12 km WRF meteorology is compared with the aircraft observations along the flight path in Fig. 15a. In general the 12 km simulation is able to capture the main features of the flow fields. The trajectories for flight altitudes at 2–4 km above the eastern Pacific are shown in Fig. 15b. Air-
20 masses sampled by the aircraft are shown to travel forward in time at multiple vertical layers and then descend into the Sacramento Valley, the west ridge of the Central Valley, and along coastal southern California.

3.4.2 Model performance improvement at the surface

- The impact of the observational-based LBC on the 60 km predictions is shown in
25 Fig. 16, where the surface O₃ difference (Base case – Obs case) over California

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at 18:00 UTC (11 a.m. local time) on 22 June, 23 June and 24 June is plotted. At 18:00 UTC on 22 June, O_3 dropped 8–10 ppb over northern California in the 60 km Obs case. The effects of the western LBC were first felt over northern California and then were transported to the south and the east in the next 24–48 h.

The impact of the observational-based western LBC on the 12 km predictions is shown in Fig. 17. In contrast to the 60 km results, the 12 km impacts on 22 June are below 5 ppb all over California, except the border of California and Nevada, and the spatial patterns are somewhat different. The impacts in the 12 km case travel from north to south and moved out of the study domain after 24 June. These differences reflect the fact that the base 12 km simulation did not show as high a bias in northern California as did the 60 km simulation, and also indicate the difference in meteorological fields, which can be implied along with the similar trend of changes in CO at corresponded times (Figs. 16 and 17, d–f). While the 60 km wind fields reflected major aspects of the on-shore flows, the 12 km wind fields better captured the detailed inland air movements and in-valley circulations. This is best seen in the fact that the changes in the 60 km Obs case mostly happened in northern California and in limited areas of central and southern California. In contrast, significant changes in O_3 for the 12 km Obs case are found over both northern and southern California.

To quantify the improvement in model simulations when using the observational-based western LBC, modeled and observed O_3 time series plots for four surface sites are shown in Fig. 18. For the three sites located in northern California (Fig. 18a–c), the 60 km base case generally over-predicted O_3 on 22 June and 23 June. The Obs case reduced the over-prediction. A detailed comparison of maximum, minimum and average O_3 concentrations between model simulations and observations is summarized in Table 3a on 22 June and 23 June. The O_3 concentrations from 60 km Obs case were closer to observations except that it missed the peak value at WGT on 23 June. In contrast, at the JOT site in southern California, there was no change of O_3 after replacing western LBC with observations in 60 km during the same period (not shown). No significant differences were observed between 12 km base and Obs cases

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at three northern California sites (not shown), but at the JOT site some small changes were seen (Fig. 18d). As Table 3b shows, maximum, average and minimum values of simulated O₃ in 12 km Obs case decreased and its average and minimum O₃ better captured the observations. The O₃ decrease was larger after 23 June, due to the transport within the Central Valley as discussed previously. Tables 3a and 3b show that R-squares between observed and modeled surface O₃ during 22 June–24 June were also improved at the surface sites in Obs cases, except for a slight decrease at TB site.

3.4.3 Model performance improvement along flight path

The improvement of O₃ along the 22 June and 24 June DC-8 flights paths was also evaluated. To quantify the reduction of model biases, at all locations below 1000 m above ground, we define the Sensitivity Index (SI) in Eq. (2)

$$\text{Sensitivity Index\%} = \frac{|O_3 \text{ in Obs case} - \text{Observed } O_3|}{|O_3 \text{ in Obs case} - O_3 \text{ in base case}|} \times 100\% \quad (2)$$

The ratio of model bias can quantify the extent of model improvement by using observational-based western LBC. O₃ predictions were improved in Obs cases at locations with SI below 100%. We also define cumulative sensitive data points, as well as the cumulative ratio in Eqs. (3) and (4).

$$\text{Cumulative sensitive data points (SI)} = \text{number of data points} < \text{SI} \quad (3)$$

$$\text{Cumulative ratio (SI)} = \frac{\text{number of data points} < \text{SI}}{\text{all data points}} \quad (4)$$

Figure 19a shows that 60% and 70% of data points were improved in the 60 km and 12 km Obs cases. Furthermore, 26% and 17% of all data points in 60 km and 12 km cases, respectively, had SI values below 80%. The model biases for these points were reduced by more than 20% when the observational-based LBC was used. These data

points are colored by SI in Fig. 19b and c. They are mostly located in northern California, near shore of southern California and downwind areas of the Central Valley. The highest improvements (with $SI < 20\%$) for the 60 km case were over northern California, and over southern California downwind of the Central Valley in the 12 km case. These 5 improvements in 60 km and 12 km model performance along two flight paths on June 22 and June 24 show some similarities to the improvement seen at the surface sites in response to the observational-based western LBC.

These results suggest that the added observations contributed appreciably to improving model predictions. Chemical data assimilation in global models holds the possibility of reducing uncertainties in LBC used in limited-area air quality models. However, the RAQMS first guess boundary conditions used are based on MLS and OMI 10 data that contain low information content in the mid to lower troposphere. Additional information is available from current satellite instruments such as TES, however TES lacks the temporal and spatial coverage needed for LBCs. The measurements from aircraft contain high information content and the observational-based LBC can highly 15 improve regional model performance over downwind areas at lower troposphere. The challenge that remains is to improve our observing system in ways that it can provide the spatial and temporal information needed to improve model predictions of air quality. This should be a priority of our future observing systems.

20 4 Summary and conclusions

Tracer and full-chemistry versions of STEM model at three spatial scales were used to analyze the effects of transported background O_3 from the eastern Pacific on California air quality during the ARCTAS-CARB experiment conducted in June 2008. Two different spatial resolutions and a variety of lateral boundary conditions (LBC) were applied 25 in the full-chemistry model simulations.

Tracer model and back trajectories indicated that during 21 June–24 June strong Asian inflows affected northern California. Based on the 12 km meteorological fields,

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we analyzed reconstructed O₃ distributions along air-mass back trajectories originating at five California sites and correlated observed and modeled O₃ between coastal THD and inland TB sites to study O₃ transport patterns. During the entire ARCTAS-CARB study period O₃ levels in the inflow air were less than 40 ppb O₃ below 1.5 km. The

time-lag correlations of O₃ at this altitude with a surface site at TB showed maximum correlations of 0.3 and a transport time of less than 30 h. Under Asia inflow conditions during 21 June–24 June the peak R-square value increased to approximate 0.7, and the transport altitude was extended to around 3 km where 60–80 ppb of coastal O₃ was observed.

We evaluated the sensitivity of modeled O₃ to various treatments of the boundary conditions and showed that modeled O₃ over downwind areas were highly sensitive to the western LBC during 21 June–24 June. The use of constant values failed to capture the varied O₃ vertical structures over the eastern Pacific during this time period. We showed the results of using top and lateral boundary conditions downscaled from the RAQMS global model, but also showed that they can contain biases. Temporal averaging of these boundary conditions is shown to be one way to reduce such biases.

In addition to model-based real-time LBC, the measurements on the 22 June DC-8 flight provided actual O₃ vertical gradients over the ocean, which were used as LBC. Use of these observation-based LBC was shown to improve O₃ predictions at four California inland surface sites and at altitudes below 1000 m along two DC-8 flight tracks. The extent of model improvement over different areas depended on base case performance, meteorology fields and the discrepancies of model-based western LBC from the flight observations.

We conclude from this study that:

1. The pollutant transport patterns from oceanic background and their effects on California air quality (or other similar regions) depend on the vertical structures over the eastern Pacific and meteorological conditions. Long-range transport of Asian inflows can change the pollutant profiles over the eastern Pacific during summertime significantly. Capturing this variability in the observations is important and

challenging as information is needed above the surface.

- 5 2. Accurate real-time LBC for long-live species together with high quality meteorology fields improve model predictions at areas where the background pollutants are transported aloft as well as their downwind regions. Improvements in our observing systems are needed that provide information on the three-dimensional nature of pollutant distributions are needed to improve our capability to predict pollution levels and to better quantify the influence of these Asian inflows on the US west coast air quality.

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Table 1. Summary of STEM inputs for base cases in two resolutions.

Model inputs		Raw data sources 60 km/18 layers/6 h base case	Raw data sources 12 km/32 layers/1 h base case	Raw data resolutions 60 km	Raw data resolutions 12 km
Meteorology, WRF 2.2.1	GFS + one time step SST	NARR + daily SST		6 h, $1^\circ \times 1^\circ$	3 h, 36 km
Anthropogenic emissions (point and mo- bile)	NEI 2001, weekday varied from weekends	CARB 2005, projected from 2002, daily varied. Out of CARB domain filled with NEI 2001		$1^\circ \times 1^\circ$, 1 h	4 km×4 km, 1 h
Biogenic emis- sions	Orchidee	CARB 2005, projected from 2002, daily varied		$1^\circ \times 1^\circ$, monthly averaged	4 km×4 km, 1 h
Biomass burn- ing emissions	RAQMS real time	MODIS-detected hot spots being processed by the prep-chem-source model, mass-conserved normal- ization		$1^\circ \times 1^\circ$, 12 h	1 km×1 km, 24 h
Top and lateral boundary con- ditions	RAQMS real time (gases) + STEM tracer (several aerosols)	STEM 60 km base case		$2^\circ \times 2^\circ$, 6 h & 60 km×60 km, 6 h	60 km×60 km, 18 layers, 6 h
Ozone column, required by the TUV model	Measured by Ozone Mapping Spectrometer (OMI) in- strument on board the NASA Aura spacecraft, daily			$1^\circ \times 1^\circ$, 1 day	

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Table 2. Summary of STEM lateral boundary condition sensitivity studies.

Name	Descriptions	Resolution(s) applied 60 km	12 km
Clean Western Boundary Conditions (Clean WBC)	Constant 40 ppb O ₃ , 90 ppb CO as western LBC	✓	
Fixed RAQMS Boundary Conditions (Fixed BC)	Temporally fix top BC and LBCs for all species using averaged 20-day BCs in the base case	✓	
Reduced Western Boundary Conditions (Reduced WBC)	Reduce 10 ppb of O ₃ from the western LBC in the Fixed BC case	✓	
Observational-based Western Boundary Conditions (Obs. cases)	Measurements on the 22 June DC-8 flight were interpolated to STEM grids as western LBC for the flight week, species included: NO _x , CO, O ₃ , H ₂ O ₂ , PAN, HNO ₃ , SO ₂	✓	✓

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Table 3a. Comparisons between observed and modeled O₃ at three northern California sites, better model simulations are in bold (OBS-observations).

		TB			LAV			WGT		
		OBS	60 km base	60 km Obs.	OBS	60 km base	60 km Obs.	OBS	60 km base	60 km Obs.
06/22	Max.	57.0	57.1	57.0	58.0	58.8	58.6	51.7	72.0	67.0
	Mean	42.8	48.7	48.2	39.9	51.1	50.5	34.6	51.2	49.4
	Min.	31.0	38.9	38.0	15.0	43.1	42.9	22.4	36.3	36.2
06/23	Max.	62.0	75.8	63.1	64.0	85.0	73.0	82.1	80.7	70.9
	Mean	53.9	64.7	54.7	53.3	75.3	64.6	44.0	70.4	59.5
	Min.	34.	52.2	49.3	40.0	62.0	54.6	21.8	62.4	50.6
06/22–06/24	RSQ (OBS:Model)	0.35	0.31		0.35	0.37		0.39	0.44	

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		OBS	JOT 12 km base	JOT 12 km Obs.
06/22	Max.	113.0	89.8	88.1
	Mean	64.3	68.3	67.8
	Min.	46.0	58.6	58.4
06/23	Max.	87.0	83.7	79.3
	Mean	59.1	67.8	63.3
	Min.	51.0	56.1	54.8
06/24	Max.	93.0	101.0	94.7
	Mean	63.7	81.6	74.4
	Min.	45.0	71.0	65.3
06/22–06-24	RSQ (OBS:Model)		0.40	0.44

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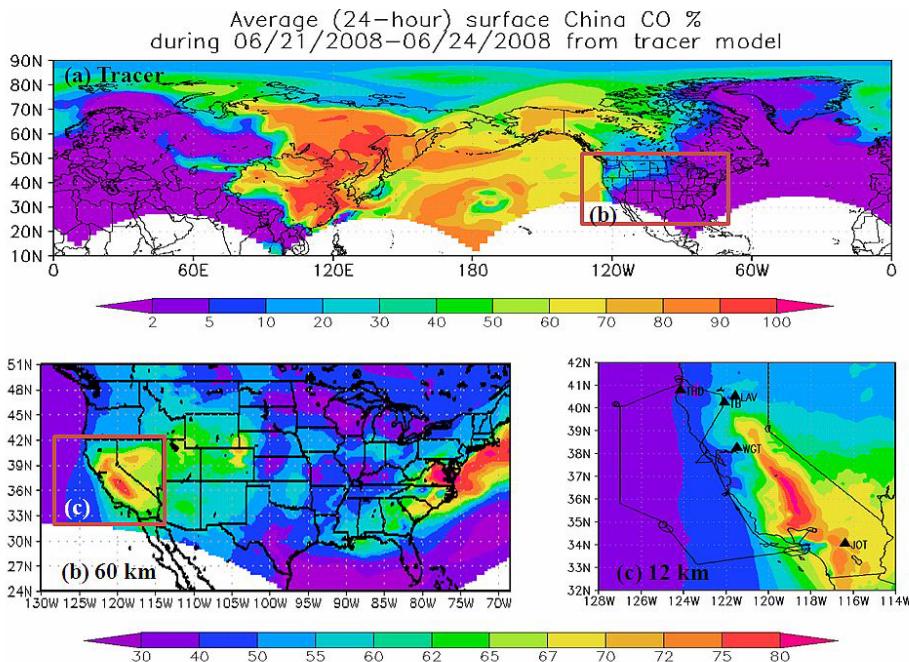


Fig. 1. (a) Averaged (24-h) surface contribution of China CO as % during 21 June–24 June in tracer domain; Averaged (24-h) O₃ concentrations at surface from the **(b)** 60 km and **(c)** 12 km (surface sites and 06/22 DC-8 flight path included) model simulations during 18 June–28 June 2008.

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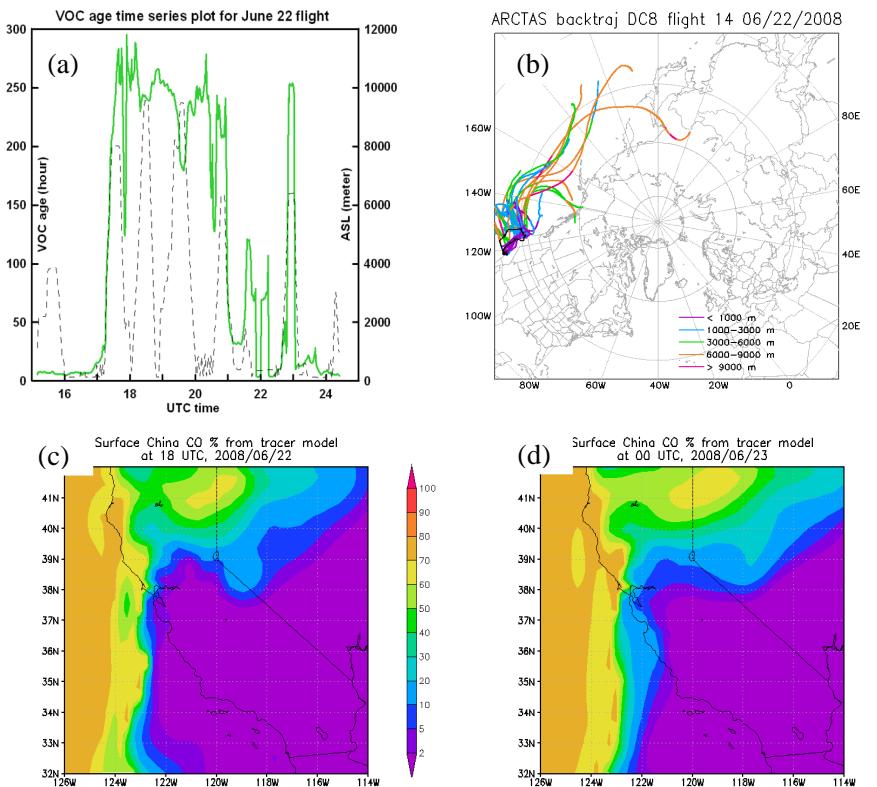


Fig. 2. **(a)** VOC ages and **(b)** Five-day back trajectories along 22 June DC-8 flight path; **(c), (d)** tracer surface contribution of China CO as % over California during flight time.

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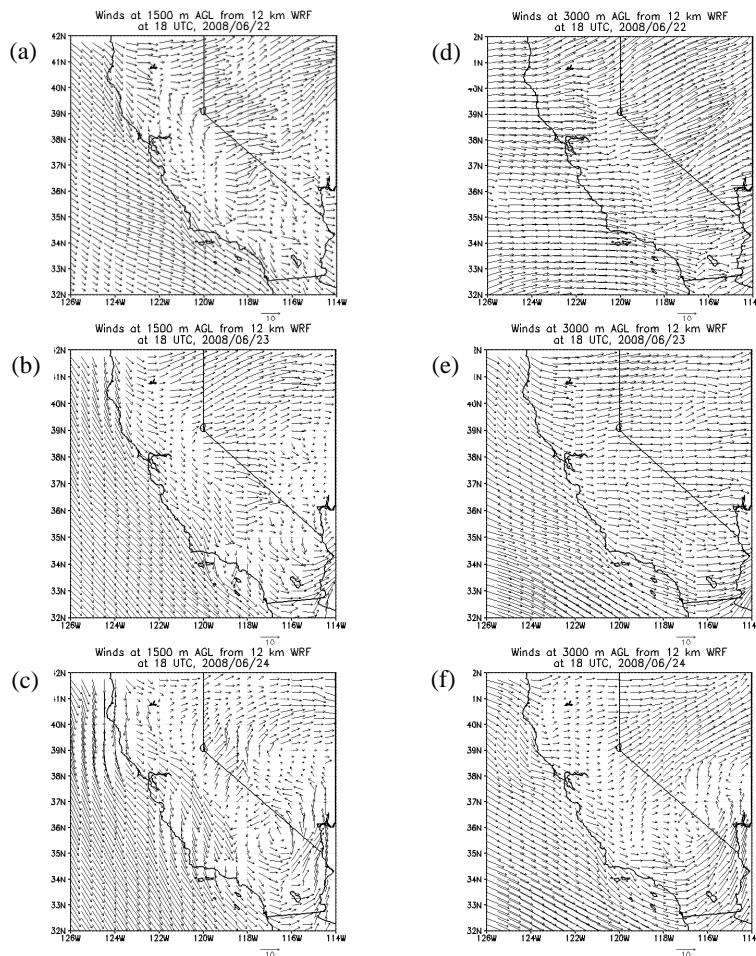


Fig. 3. 12 km wind fields at roughly 1500 m (a–c) and 3000 m (d–f) a.g.l. over California at 18:00 UTC on 22 (a, d), 23 (b, e) and 24 (c, f) June.

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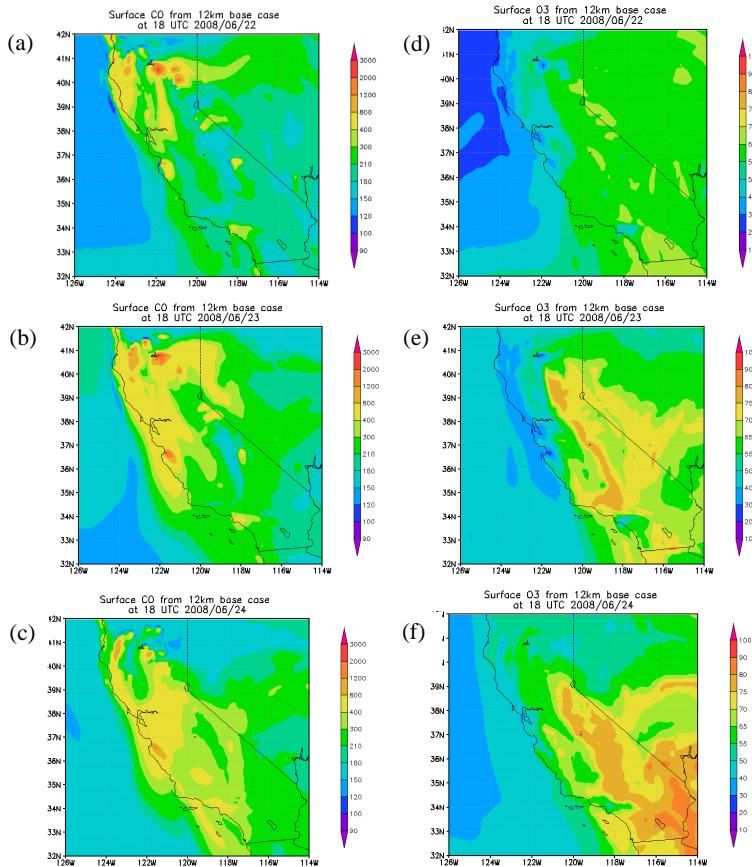


Fig. 4. 12 km modeled surface CO (a–c) and O₃ (d–f) over California at 18:00 UTC on 22 (a, d), 23 (b, e) and 24 (c, f) June.

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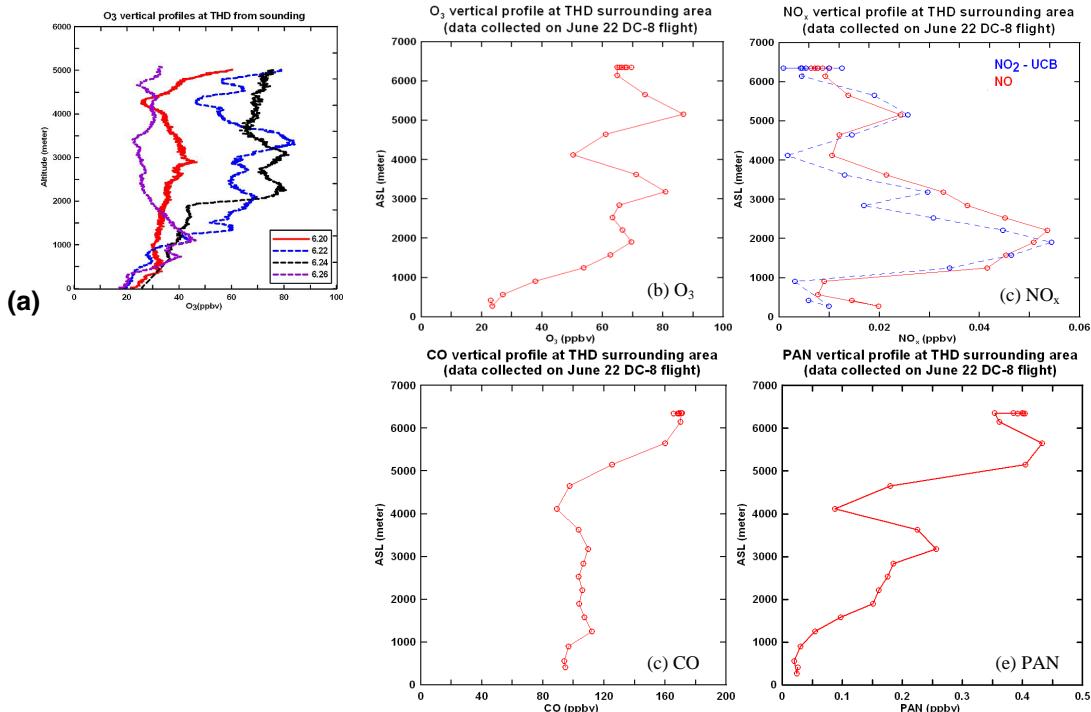


Fig. 5. **(a)** O₃ vertical profiles at THD on four sounding days; **(b)** O₃, **(c)** NO_x, **(d)** CO, **(e)** PAN vertical profiles on 22 June at THD surrounding areas, data collected on DC-8.

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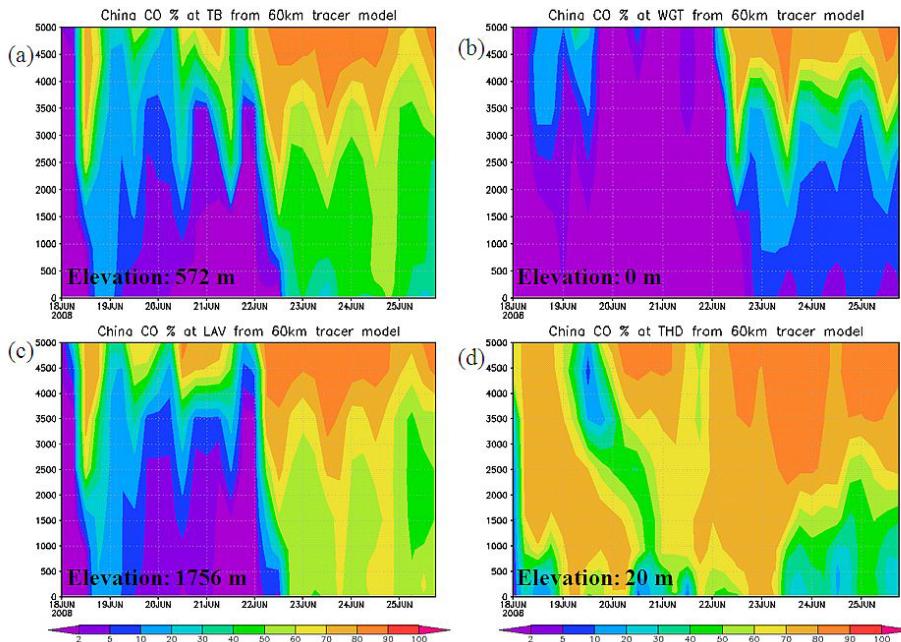


Fig. 6. Time-height (a.g.l., m) curtain plots of CO contributions from China across sites at (a) TB, (b) WGT, (c) LAV and (d) THD.

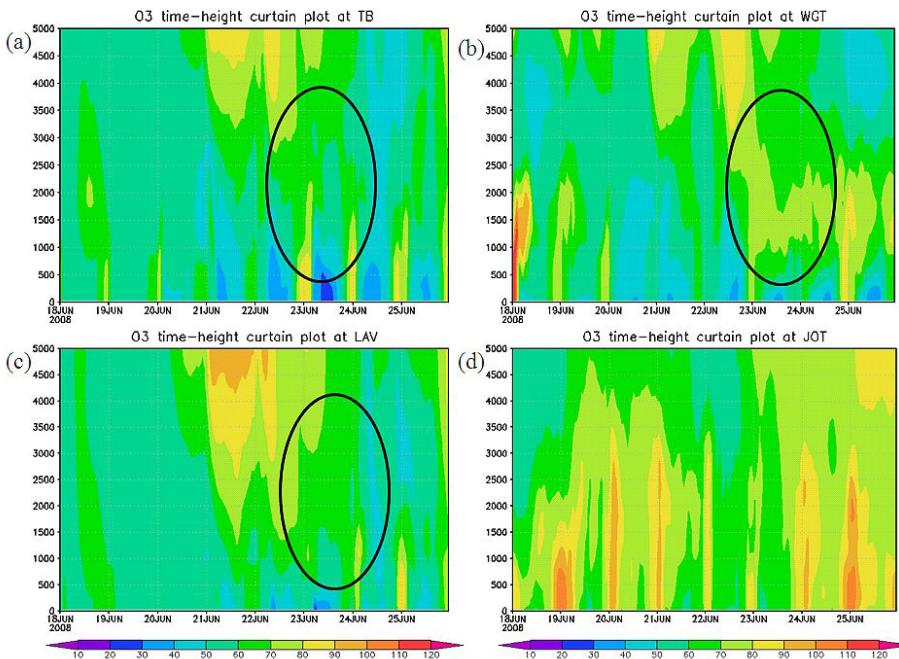


Fig. 7. O₃ time-height (a.g.l., m) curtain plots from the 12 km base case across sites at (a) TB, (b) WGT, (c) LAV and (d) JOT.

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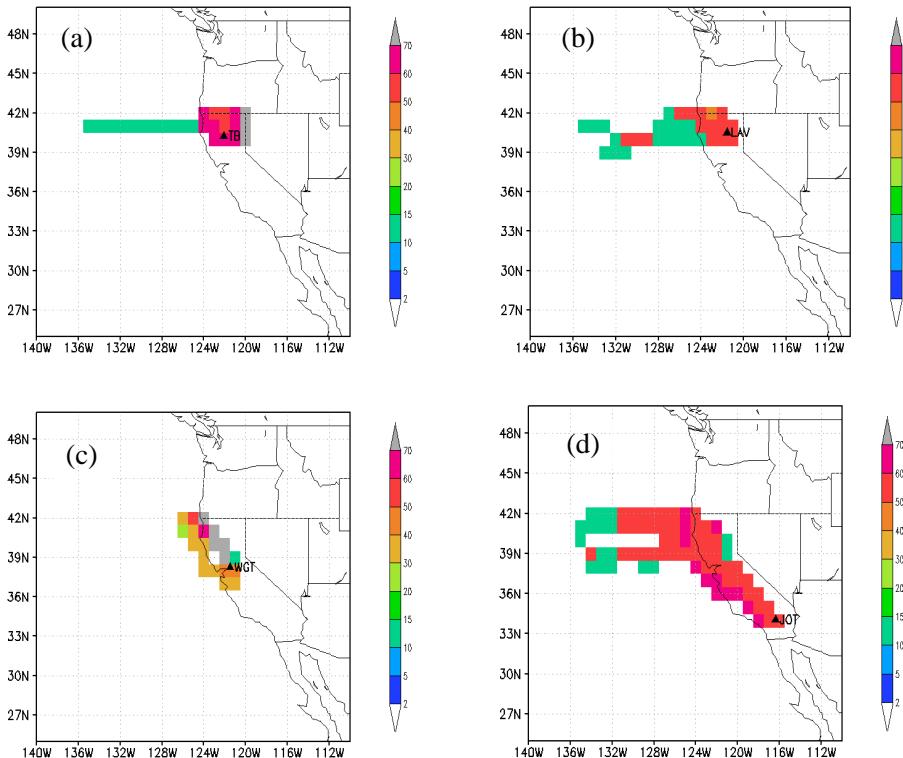


Fig. 8. Reconstructed O₃ concentrations along three-day back trajectories from 12 km meteorology fields originating at (a) TB, (b) LAV, (c) WGT and (d) JOT on 23 June.

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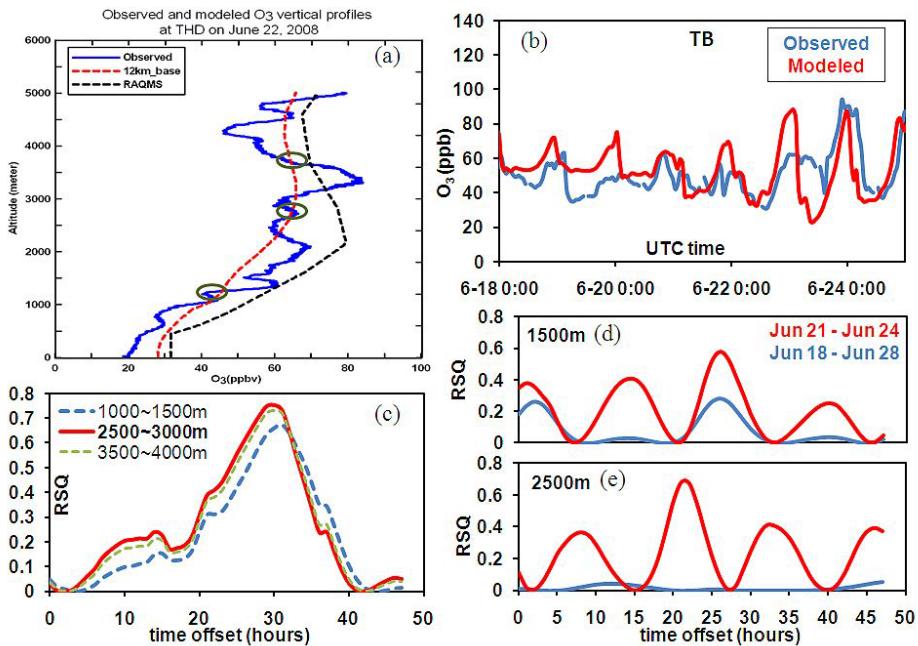


Fig. 9. Observed and 12 km-modeled O₃ profiles at (a) THD and (b) TB; The correlation analysis based on (c) observations and (d–e) model results.

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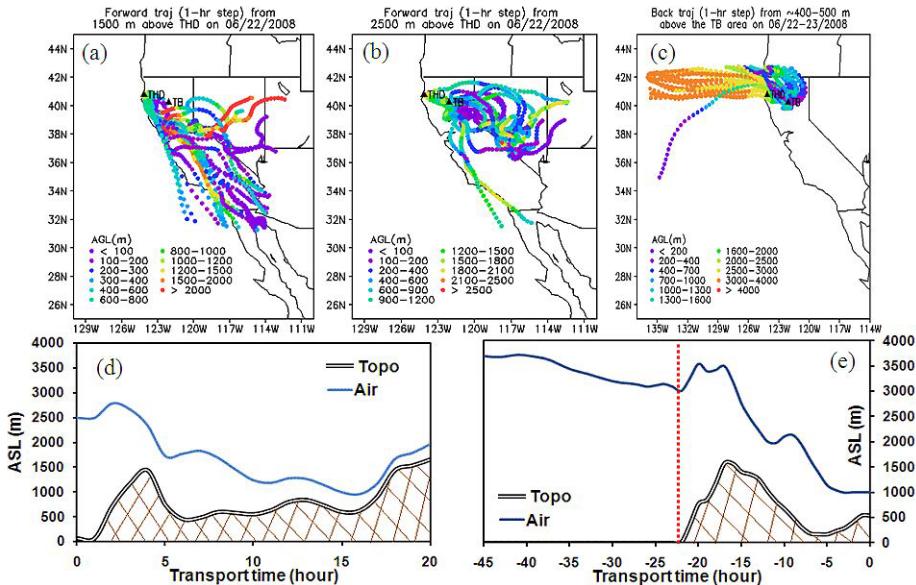


Fig. 10. Forward trajectories originating on 22 June from (a) 1500 m, (b) 2500 m above THD. (c) Back trajectories originating from 400–500 m above TB area; Transport height as a function of time along with topography along the (d) forward trajectory originating from 2500 m above THD, 22 June 01:00 UTC; (e) back trajectory originating at 400–500 m above TB area, 23 June 00:00 UTC.

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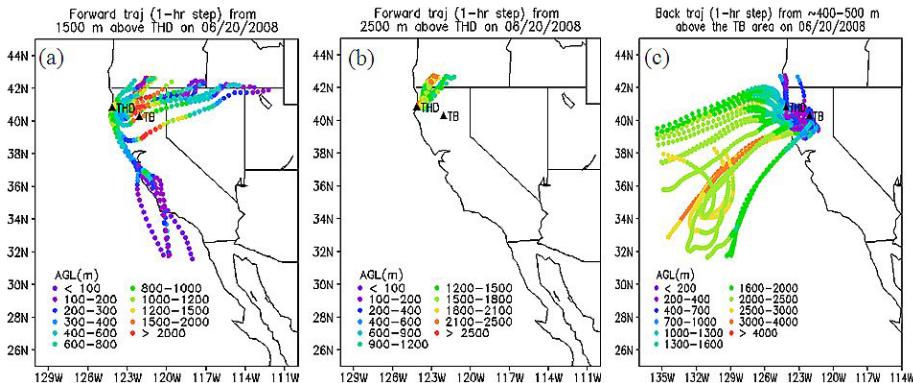


Fig. 11. Forward trajectories originating on 20 June from (a) 1500 m; (b) 2500 m above THD; (c) back trajectories originating from 400–500 m above TB area.

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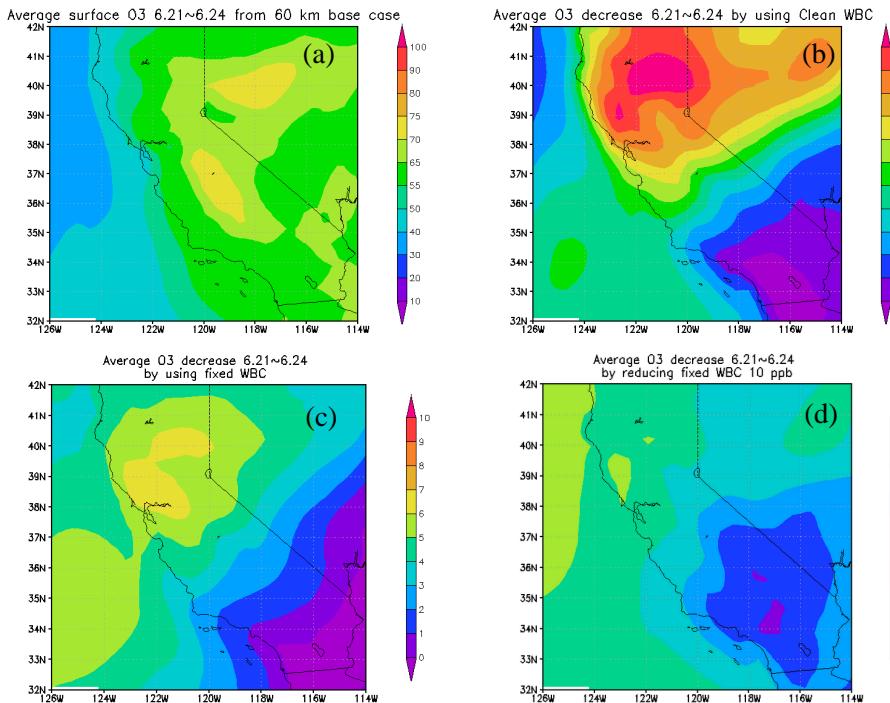


Fig. 12. (a) Average surface O₃ from 60 km base case; average surface O₃ decrease relative to the base case during 21 June–24 June by using (b) clean WBC, (c) fixed BC; (d) O₃ reduction relative to the Fixed BC case by using Reduced WBC.

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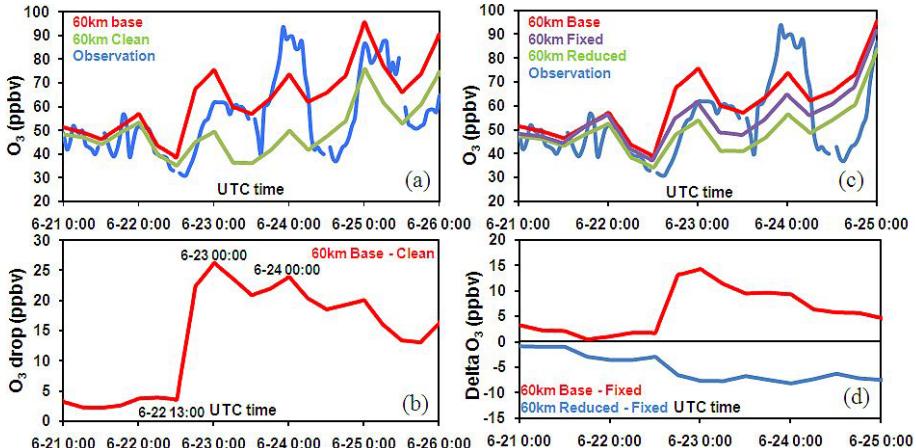


Fig. 13. (a) Time series plots of O₃ from STEM 60 km simulations and observations at TB. (b) The O₃ drop between the base case and the clean WBC case at TB. (c) Time series plots of O₃ from STEM 60 km simulations and observations at TB. (d) Delta O₃ between Base case and Fixed BC case, Reduced WBC case and Fixed BC case.

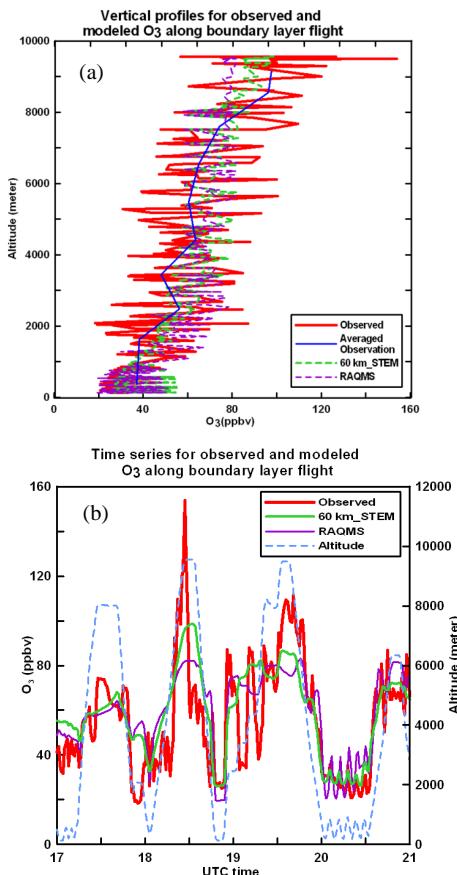


Fig. 14. (a) Observed and Modeled O₃ vertical profiles and (b) time series plots along oceanic flight path. RAQMS and 60 km STEM base results were used as boundary conditions for 60 km and 12 km STEM base cases, respectively.

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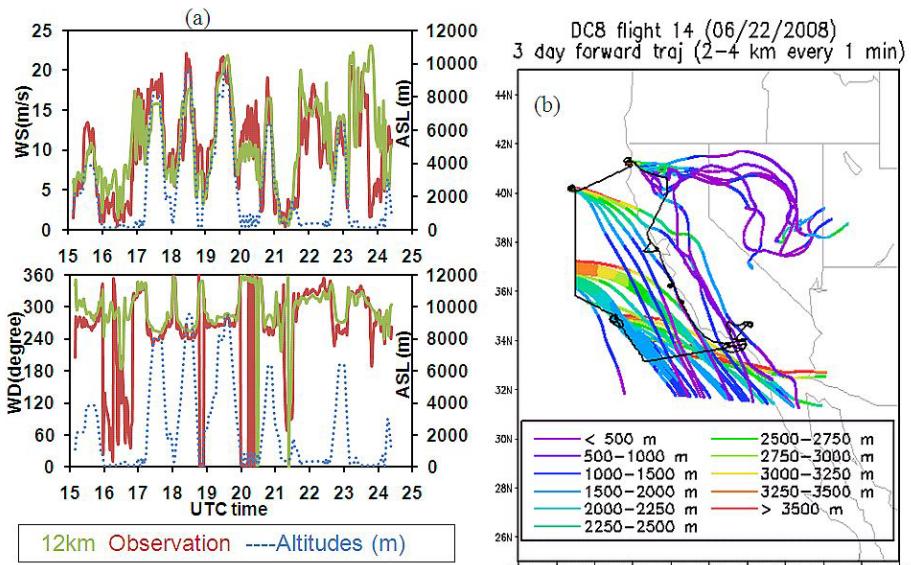


Fig. 15. (a) Observed and 12 km WRF modeled wind fields along 22 June DC-8 flight path; (b) forward trajectories originating at 2–4 km of oceanic part of the same flight.

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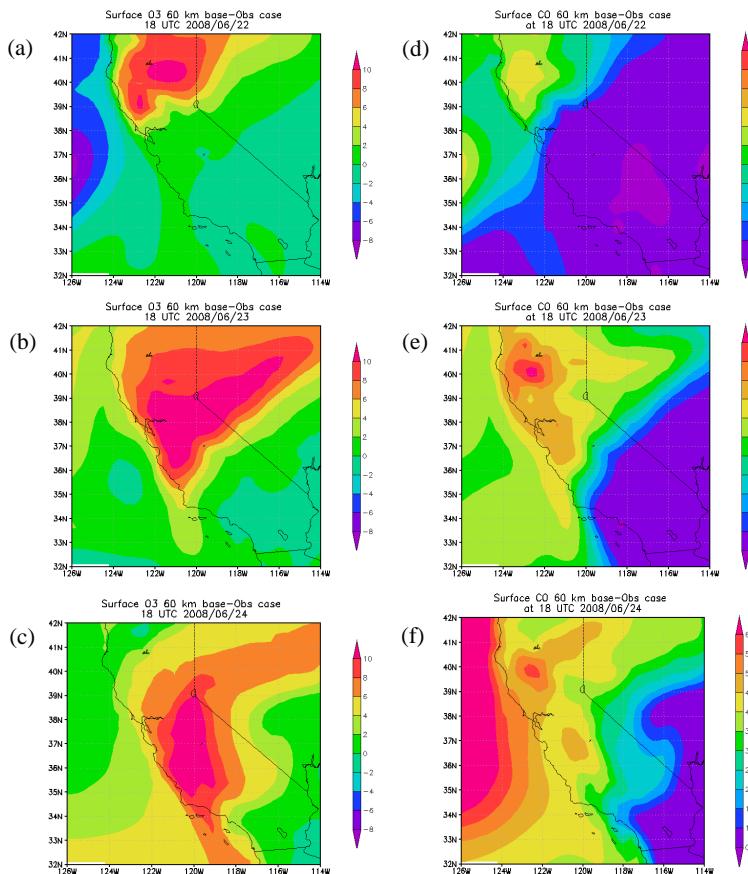


Fig. 16. Surface O₃ (a–c) and CO (d–f) difference (Base case – Obs case) over California from 60 km model simulations at 18:00 UTC on 22 (a, d), 23 (b, e) and 24 (c, f) June.

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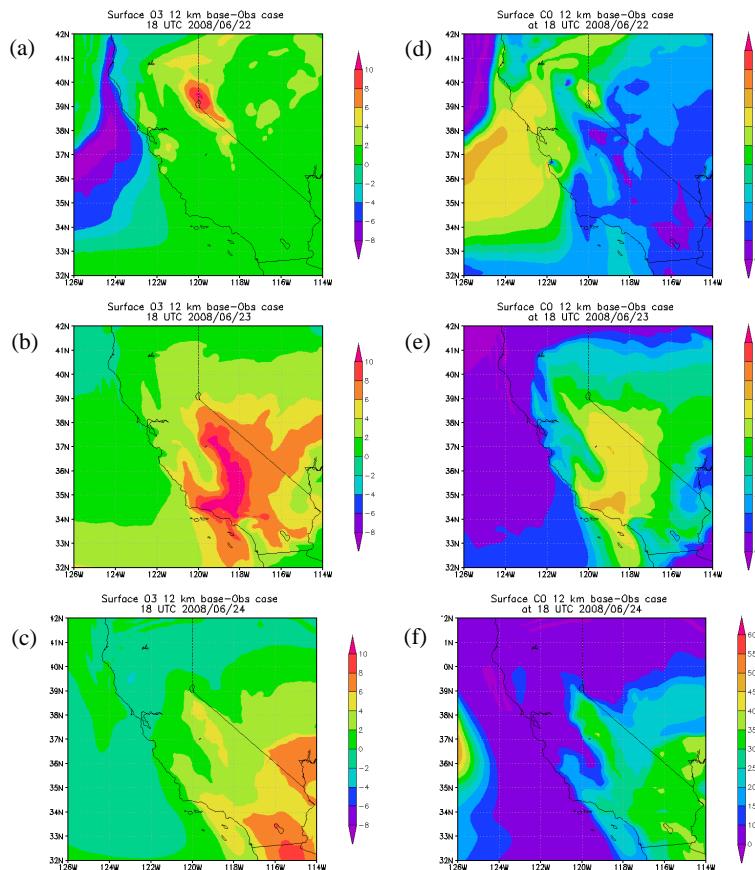


Fig. 17. Surface O₃ (**a–c**) and CO (**d–f**) difference (Base case – Obs case) over California from 12 km model simulations at 18:00 UTC on 22 (**a, d**), 23 (**b, e**) and 24 (**c, f**) June.

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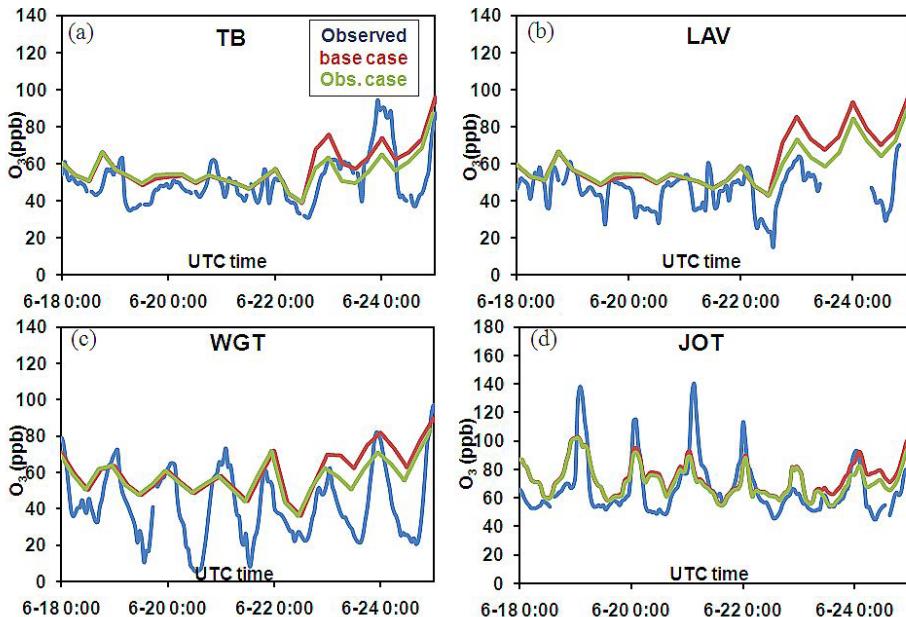


Fig. 18. Observed O_3 time series in comparison with 60 km simulations at (a) TB, (b) LAV, (c) WGT and (d) 12 km simulations at JOT during the flight week.

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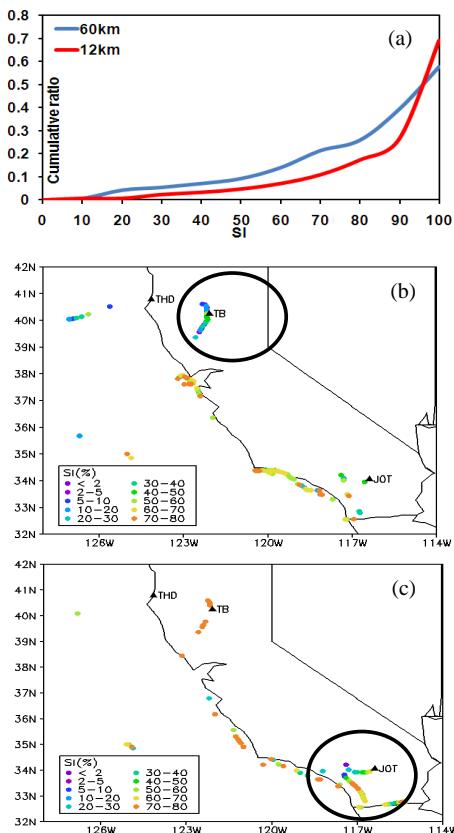


Fig. 19. (a) Cumulative ratios for 60 km and 12 km cases; Data points below 80% SI in **(b)** 60 km and **(c)** 12 km cases along the 22 June and 24 June flight tracks below 1000 m.

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