COMPUTING PRACTICAL I

Part I: Functional Programming in Haskell

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Course Outline

- 1. Expressions and basic types
- 2. Functions
- 3. List processing
- 4. Higher-order functions
- 5. User-defined types
- 6. Type classes
- 7. I/O and Monads

Suggested Books:

- Haskell: The Craft of Functional Programming
 Simon Thompson (3rd ed.)
 Addison Wesley 2011
- Thinking Functionally with Haskell
 Richard Bird
 Cambridge University Press 2014
- Real World Haskell
 Bryan O'Sullivan, John Goerzen and Donald Bruce Stewart
 O'Reilly Media 2008

- The Haskell School of Expression
 Paul Hudak, 2000
 Cambridge University Press
- Functional Programming
 Tony Field and Peter Harrison
 Addison Wesley 1988
- Also, take a look at the Haskell web site: http://haskell.org/

1. Expressions and basic types

• Mathematical expressions are already familiar to you. GHCi will evaluate an expression if you enter it after the prompt, e.g.:

```
Prelude> 2501
2501
Prelude> 2 - 3 * 4
-10
Prelude> sin (24.9) + sin 24.9
-0.46129141185479133
```

- +, -, *, / etc. are called operators or infix functions
- sin, cos, etc. are *prefix* functions (we usually drop the word "prefix")
- x means the same as (x) and juxtaposition means function application, e.g. sin (24.9) or sin 24.9 both apply sin to 24.9

- A Haskell *type* represents a set of "like" values; every value (hence every expression) "has a type"
- The type Int is the set of supported integers ("whole" numbers), like 3, 4, -10 etc.; for now, think "4 has type Int", but see later
- Ints occupy a fixed amount of space, typically 32 or 64 bits; the smallest 64-bit integer is -9223372036854775808 and the largest is +9223372036854775807
- Type Integer is the set of arbitrary-precision integers (uses as many digits as necessary, but it's SLOW)
- Float and Double are the types of *single* and *double-precision* "floating-point" numbers a finite subset of the reals, e.g. 2.75, -123.64 etc.; the largest Double is $1.7976931348623157 \times 10^{308}$
- Only a subset of the real numbers can be represented so floating-point arithmetic is not always accurate

Bracketing

• If necessary, brackets (parentheses) can be used to get the right meaning. For example

```
2 - 3 * 4 / sin 24.9 * pi
```

is bracketed implicitly by Haskell as

```
(2 - (((3 * 4) / (sin 24.9)) * pi))
```

because:

- '*' and '/' have higher precedence than '-'
- '*' and '/' are left-associative
- Prefix function application has higher precedence than infix function application
- We can put brackets where we want to make the meaning clear

Qualified Expressions

- We can also name values and use the name instead of the value
- This can be done in Haskell using a let expression, e.g. instead of 24.9 * cos 1.175 we could equally write

```
let f = 24.9 in f * cos 1.175
let f = 24.9 ; theta = 1.175 in f * cos theta
let g = cos in 24.9 * g 1.175
```

All three produce the same $value\ 9.6000\overline{022533036805}$

- f, g and theta are called 'variables' or 'identifiers'
- let expressions are sometimes called *qualified* expressions; the bits before the 'in' are the *qualifiers* and the final expression is called the *resultant*

Characters and Truth Values

- Two more predefined base types:
 - Characters (Char), e.g. 'a', 'b', 'A', '3', '!' etc.
 - Truth values (Bool), which are either True or False
- Some Haskell operators work on Bools, including and (&&), or (||) and not (not); for example

```
Prelude> not False
True
Prelude> False && (not True)
False
Prelude> not (True && (False || not True))
True
```

• Values of type **Bool** are produced by *comparison* operators:

```
== Equal, as in 5 == (4 + 1)
/= Not equal, as in 'a' /= 'p'
> Greater than, as in 12 > 9
< Less than, as in (12.8 * 9) < 2</pre>
```

- <= Less than or equal, as in 5 <= 6</pre>
- \geq Greater than or equal, as in 44 \geq 45
- So, we can put things together, e.g.

```
Prelude> (1 < 9) || ((4 == 7) && ('a' > 'm'))
True
Prelude> 3 > (9 - 2) || 4 / 5 <= 0.7
False
```

• Some predefined prefix functions also generate Bools, e.g.:

```
even - Returns True iff a given number is even
odd - Returns True iff a given number is odd
isDigit - Returns True iff a given character is one of
'0'...'9'
isUpper - Returns True iff a given character is upper case
('A'...'Z')
```

• For example (note that isUpper and isDigit are defined in module Char):

```
Prelude> :m +Data.Char
Prelude Data.Char> isDigit '*' || isUpper 'n'
False
Prelude Data.Char> not (odd 7 && even 11)
True
```

Conditionals

• Conditional expressions are of the form

```
where P, Q and R are expressions
```

- P is a *predicate*, i.e. an expression of type Bool; the types of Q and R must be the *same*
- if P evaluates to True then the overall result is Q; if it evaluates to False then the result is R, e.g.

```
Prelude> if False then 5 - 3 * 4 else 2
2
Prelude> let p = 'a' > 'z' in if p then True else False
False
```

? Can you simplify if p then True else False?

Aside: type classes and contexts

• What does GHC think the type of 3 is? We can ask it:

```
Prelude> :type 3    (or Prelude> :t 3)
3 :: Num t => t
Prelude> :t (3, 3)
(3,3) :: (Num t, Num t1) => (t1, t)
```

- Num is a type class think of Num as the set of types (of things) that can be added, multiplied, negated etc.
- The *literal* 3 can thus be interpreted as having any numeric type that supports basic arithmetic on numbers, e.g. Int, Integer, Float, Double etc.
- Num t => .. is called a *context* and Num t => t means "any type t that's a *member* type of *class* Num" much more later...

Tuples

- Sometimes it is convenient to be able to group a fixed number of values together, possibly of different types, e.g.
 - Triples of **Double** for representing vectors in three dimensions
 - Triples of Int for representing birth dates (day, month and year)
 - Pairs comprising a Char and an Int for representing chess board positions
- We can build such *tuples* by enclosing the required components in parentheses, e.g.
 - (1.0, 0.0, 0.0) might represent the unit vector i (in three dimensions)
 - (3, 4, 1999) might represent 3^{rd} March 1999
 - ('d', 5) might represent position d5 on a chess board

• Tuple *types* are written using the same bracketing syntax as the tuple values

```
Prelude> (1, 5, 7)
  (1, 5, 7)
Prelude> :t ('c', (True, False))
  ('c', (True, False)) :: (Char, (Bool, Bool))
Prelude> :t (6, 'a', True)
  (6, 'a', True) :: Num t => (t, Char, Bool)
```

- Remark: as we've seen, a *one-tuple* isn't really a tuple at all, so (5) is the same as 5 and Int is the same as (Int)
- There is, however a zero-tuple, (), which is equivalent to void in some other languages; the type of () is () the unit type

Lists

- Lists are sequences of objects; list *constants* are written using square brackets, with [] being the empty list
- The same square bracket notation is used for types, e.g.

```
Prelude> []
[]
Prelude> [False,True,False]
[False,True,False]
Prelude> :t [False,True,False]
[False,True,False] :: [Bool]
Prelude> :t [(80, True)]
[(80, True)] :: Num t => [(t, Bool)]
```

? What is the type of ??

- Lists should not be confused with sets in mathematics, e.g.
 - The order in which the elements appear is important (lists can be *indexed* in a meaningful way)
 - Values may occur more than once
- Lists can be arbitrarily long (even infinite!) but the elements must have the *same* type, so [True, 2, 'u'] is invalid ("type error")
- Compare this with tuples where the elements can be of mixed type
- ? How come tuple elements can be of mixed type, whereas list elements must all have the same type?

Strings

• Lists of characters (i.e. [Char]) are called *strings* (type String) and can be written by enclosing the characters in double quotation marks, e.g.

```
Prelude> ['h', 'a', 'n', 'd', 'b', 'a', 'g']
   "handbag"
Prelude> "handbag"
   "handbag"
Prelude> :t "handbag"
   "handbag" :: String
```

? How is 'k' different from "k"?

Arithmetic Sequences

• The special form [a,b..c] builds the list of numbers [a, a+(b-a), a+2(b-a), ...] and so on until the value c is exceeded, e.g.

```
Prelude> [1..5]
[1,2,3,4,5]
Prelude> [2,4..11]
[2,4,6,8,10]
Prelude> [10,9..0]
[10,9,8,7,6,5,4,3,2,1,0]
Prelude> [0,0.5..4]
[0.0,0.5,1.0,1.5,2.0,2.5,3.0,3.5,4.0]
```

Note: sequences work with Ints, Floats etc., and also Chars,
 Bools etc. – try them out

List Comprehensions

• A list comprehension takes the form

```
[e | x1 <- g1, ..., xm <- gm, P1, ..., Pn]
```

- which is read "the list of all e (which may refer to any or all of the xi) where x1 comes from list g1, ..., xm comes from list gm, and where P1, ..., Pn are all True"
 - xi is a variable $(1 \le i \le m)$
 - gi is a generator list $(1 \le i \text{the xi} \text{ are bound from left to right,}$ one element at a time $\le m$)
 - Pj is a predicate $(1 \le j \le n)$
 - e is an expression, possibly involving the xi

$$\mathbf{m} + \mathbf{n} > 0$$

- The target variable of a generator can only be used to the *right* of the generator and may optionally appear to the *left* of the '|'
- The terms after the '|' can appear in any order, subject to the above

```
Prelude> [x^2 \mid x \leftarrow [1..10], \text{ even } x]
[4,16,36,64,100]
Prelude> [x \mid even x, x \leftarrow [1..10]]
ERROR: Undefined variable "x"
Prelude> ['a' | True]
"a"
Prelude> [x+y \mid x \leftarrow [1..3], y \leftarrow [1..3]]
[2,3,4,3,4,5,4,5,6]
Prelude> [(x, y) | x <- [1..3], y <- [1..x]]
[(1,1),(2,1),(2,2),(3,1),(3,2),(3,3)]
```

• Note the *left to right* order in which the variables are bound

• The operators ==, /=, >, <,>=, <= are defined on lists in an obvious way (we'll see exactly how later), e.g.

```
Prelude> [1, 1] == [1]
False
Prelude> [True, False] == [True, False]
True
Prelude> "False" /= "False"
Prelude> [1, 7, 9] < [2, 5, 8]
True
Prelude> "big" < "bigger"</pre>
True
Prelude> "big" < "big"</pre>
False
```

2. Functions

- Programming is all about the packaging and subsequent use of computational "building blocks" of varying size and complexity
- In Haskell, the building blocks are *functions*; you have already seen some *built-in* functions like +, *, div, sqrt, cos etc. but we can define our own
- A function **f** is a rule for associating each element of a source type **A** with a unique member of a target type **B** (cf domain and range in mathematics); we express this thus: **f** :: **A** -> **B**
- f is said to "take an argument" (or "have a parameter") of type

 A and "return a result" of type B
- If the function takes several arguments their types are listed in sequence, e.g. g :: A -> B -> C -> D

- We say what the function does, using one or more *rules* (sometimes called *equations*)
- A rule has a *left-hand side* which lists the argument(s) and a *right-hand side* which is an expression
- The rule looks like a conventional mathematical function definition except that we omit brackets around the argument(s), e.g.

```
successor :: Int -> Int
successor x
= x + 1
```

(Note: this is similar to the built-in function **succ** which has a more general type)

• Observe that function names must begin with a lower-case letter

• Some more examples...

```
magnitude :: (Float, Float) -> Float
magnitude (x, y)
  = sqrt (x^2 + y^2)
isUpper :: Char -> Bool
isUpper ch
  = ch >= 'A' && ch <= 'Z'
even :: Int -> Bool
even x
  = x 'mod' 2 == 0
```

• Note: we DON'T write if x 'mod' 2 == 0 then True else False

- Note: Sometimes there are constraints on the values a function can take as arguments, e.g. the function to compute $\log x$ requires that x > 0
- Ideally, we should prevent function calls with invalid arguments (see later)
- If we don't do that we should at least state the *precondition* (a predicate) which must be true for the function to work as defined
- We'll use Haskell *comments* to specify preconditions; comments are simply annotations designed to help the reader they are *not* executed
- A Haskell comment is prefixed with --, e.g.:

```
log :: Float -> Float
-- Pre: x > 0
log x = ...
```

- Once we have defined a function, we can *apply* it to given argument(s) provided the argument(s) have the right type
- The application of function **f** to an argument **a** is done by the *juxtaposition* **f a**, e.g.

```
*Main> successor 569
570
*Main> even 15
False
*Main> even (successor 19)
True
```

• However, successor 'b' is a type error (note that badly typed programs cannot be executed)

Guarded Rules

Rules can contain one or more guards
 of the form guard = expression - a generalisation of conditionals

(alternatively difference x y = abs (x - y))

- Note the layout: e.g. for **signum** we can lay out the clauses any way we want so long as they *all* lie textually to the right of the 's'
- But line them up anyway!
- Guards are tested in sequence from top to bottom:
 - If the guard condition is True the expression on the right of the = is evaluated
 - If it is False we proceed to the next guard
 - If we run out of guards we proceed to the next rule for the same function, if there is one
 - If we run out of rules we get an error (the function must be partial in that case)
- ? What is the definition of otherwise?!

Local Definitions

- In the same way that let expressions introduce definitions local to an expression, where *clauses* introduce definitions local to a rule
- This is useful for breaking a function down into simpler named components, e.g.

```
turns :: Float -> Float -> Float
turns start end r
= totalDistance / distancePerTurn
where
    totalDistance = kmToMetres * (end - start)
    distancePerTurn = 2 * pi * r
    kmToMetres = 1000
```

• Note that the where must be to the right of the left-hand side

• A where clause can also avoid replication and redundant computation, e.g.

```
normalise :: (Float, Float) \rightarrow (Float, Float)
normalise (x, y)
= (x / sqrt (x^2 + y^2), y / sqrt (x^2 + y^2))
```

The common subexpression can be factored out thus:

```
normalise :: (Float, Float) -> (Float, Float)
normalise (x, y)
= (x / m, y / m)
where
m = sqrt (x^2 + y^2)
```

sqrt (x^2 + y^2) will now be evaluated once

• They are also useful for naming the components of a tuple using pattern matching, e.g.

```
quotrem :: Int -> Int -> (Int, Int)
quotrem x y = (x 'div' y, x 'mod' y)
-- Converts distance in yards to a triple
-- (miles, furlongs, yards)
raceLength :: Int -> (Int, Int, Int)
raceLength y
  = (m, f, y'')
    where
      (m, y') = quotrem y 1760
      (f, y'') = quotrem y' 220
```

E.g. raceLength 2640 = (1, 4, 0), i.e. 1.5 miles exactly

• Note that you can define local functions too, e.g.

- Here, quotrem cannot be used outside the definition of raceLength
- Remark: I'll often omit the type signature on local function definitions

• Remark: To aid readability we can name types using a *type* synonym, e.g. (assuming g is already defined),

```
type Mass = Float
type Position = (Float, Float)
type Force = (Float, Float)
type Object = (Mass, Position)
force :: Object -> Object -> Force
force (m1, (x1, y1)) (m2, (x2, y2))
  = (f * dx / r, f * dy / r)
  where dx = abs (x1 - x2)
        dy = abs (y1 - y2)
         r = sqrt (dx^2 + dy^2)
         f = g * m1 * m2 / r^2
```

• Rule: Type synonyms must begin with a capital letter

Scope

- The *scope* of an identifier is that part of the program in which the identifier has a meaning
- All identifiers defined at the "top level" (i.e. non-local) are in scope over the entire program (they are global)
- Within each rule, each argument identifier and each local identifier is in scope everywhere throughout the rule
- Identifiers introduced in (nested) where clauses attached to local rules are in scope only in that local rule i.e. *not* in the outer rule as well

- Identifiers in where clauses supersede argument identifiers with the same name
- Similarly, identifiers in a nested where clause supersede those with the same name in an outer where clause, and so on, e.g.

• Here, the function has the same meaning as f x y = x + 9; the y argument identifier is in scope nowhere

Evaluation

- Haskell evaluates an expression by reducing it to its simplest equivalent form (called its *normal form*) and printing the result
- Evaluation can be thought of as rewriting or *reduction* (meaning simplification); a reducible expression is called a *redex*
- Reduction works by repeatedly reducing redexes until no more redexes exist; the expression is then in normal form
- E.g. consider double (3 + 4), where

• One possible reduction sequence is *call-by-value*:

- 14 cannot be further reduced (it is in normal form) and will be printed by the evaluator
- Another possible reduction sequence is *call-by-name*:

- Thus evaluation is a simple process of *substitution and* simplification, using primitive rules for the built-in functions and additional function rules supplied by the programmer
- If an expression has a well-defined value, then the order in which the evaluation is carried out does not affect the result (the Church-Rosser property)
- But, the evaluator selects a redex (from the set of possible redexes) in a consistent way. This is called its evaluation/reduction strategy
- Haskell's reduction strategy is called *lazy evaluation*, or $call-by-need \equiv call-by-name$ without redundant repeated computation; it is equivalent to choosing the *leftmost-outermost* redex each time

• Lazy evaluation reduces a redex *only* if the value of the redex is required to produce the normal form, e.g.

• If x is negative, the second argument (y) is not required, hence

```
*Main> f 3 5
5.0

*Main> f 3 (6 / 0)

Infinity

*Main> f (-5) (6 / 0)

0.0
```

• More of this later...

Recursive Functions

• Let us consider functions for taking the second, third and fourth powers of a given Float:

```
square :: Float -> Float
square x = x * x

cube :: Float -> Float
cube x = x * x * x

fourthpower :: Float -> Float
fourthpower x = x * x * x * x
```

- What about computing x^n for an arbitrary value of $n \ge 0$?
- Problem: Written out explicitly, the number of terms in right-hand side expression would depend on the value of n

• The solution is to use a recurrence relationship—in this case one that defines x^n in terms of x^{n-1} :

$$x^{n} = \underbrace{x \times x \times x \times \dots \times x}_{n \text{ times}}$$
$$= x \times x^{n-1}$$

• This suggests the recursive Haskell function:

• However, this is not quite right, e.g.

```
power 2 3
-> 2 * power 2 (3 - 1) = 2 * power 2 2
-> 2 * 2 * power 2 1
-> 2 * 2 * 2 * power 2 0
-> 2 * 2 * 2 * 2 * power 2 -1
-> ...
```

- Oops! We want things to stop at power 2 0, since this should give 1
- The case power 2 0 is called a base case
- Note: the function is not designed to work for n<0, although we can easily fix that

• Hence:

- This function/definition is said to be recursive, since it calls itself
- Note that a measure of the *cost* of the function **power** is the number of multiplications required to compute **power** n for an arbitrary n
- Here the "cost" is n and we say that the function's complexity is "order n", written O(n)
- ? How would you make **power** work for all integers **n**?

Recursive structure

- This is how we build *all* recursive functions
- 1. Define the base case(s)
- 2. Define the recursive case(s)
 - (a) Split the problem into one or more subproblems
 - (b) Solve the subproblems
 - (c) Combine results from (b) to get the answer
- The subproblems are solved by a *recursive* call to the (same) function

• Important: the subproblems *must* be "smaller" than the original problem otherwise the recursion never stops, e.g.

• For example...

```
loop 4
-> 1 + loop 4
-> 1 + 1 + loop 4
-> ...
```

• This is called an *infinite loop* or a *black hole*; the program runs forever, or until it runs out of memory

A better version of power

- Idea: use the fact that x^n can be written $x^{n/2} \times x^{n/2} = (x^{n/2})^2$ if n is even and $x \times (x^{\lfloor n/2 \rfloor})^2$ if n is odd
- Graphically, for even n:

$$x^{n} = \underbrace{x \times x \times \dots \times x}_{n/2 \text{ times}}$$

$$= \underbrace{x \times \dots \times x}_{x^{n/2}} \times \underbrace{x \times \dots \times x}_{x^{n/2}}$$

• Similarly for odd n

• The term $x^{\lfloor n/2 \rfloor}$ is referred to several times, so we'll define it using a where; also we'll arbitrarily use guards instead of conditionals:

? What is the cost now, in terms of the number of multiplications, for a given n?

- Another example: Newton's method for finding the square roots of numbers. This repeatedly improves approximations to the answer until the required degree of accuracy is achieved.
- Given x, if a_n is the n^{th} approximation to \sqrt{x} then

$$a_{n+1} = \frac{a_n + x/a_n}{2}$$

gives the next approximation

- Let's define a function squareRoot which given a number x returns a "good" approximation to \sqrt{x}
- Here we will use x/2 as the first approximation of \sqrt{x} , i.e. $a_0 = x/2$

- We want to stop when the approximation is "close" to \sqrt{x}
- We thus check the *relative error* between x and a_n^2 . If $|x a_n^2|/x < \epsilon$ for some small value of ϵ (here 0.0001), we'll terminate the recursion:

• For example:

```
squareRoot 12
-> squareRoot' 6.0
-> squareRoot' 4.0
-> squareRoot' 3.5
-> squareRoot' 3.464286
-> squareRoot' 3.464102
-> 3.464102
```

since |12-3.464102*3.464102|/12 < 0.0001

3. List Processing

- The list square bracket notation ([, , ,]) is actually a shorthand
- At the simplest level lists are put together using two types of building block:
 - [] (pronounced "nil" or "empty-list") is used to build an empty list
 - : (pronounced "cons") is an infix operator which adds a new element to the front of a list
- These work like any other function, but are called *constructors* for reasons which will become apparent

• New lists can be built by repeated use of ':', starting with [], e.g.

```
Prelude> []
[]
Prelude> True : []
[True]
Prelude> 1 : 2 : []
[1, 2]
```

- Thus, the expression [x1, ..., xn] is just a convenient shorthand for x1 : ... : xn : [] (we can use either)
- Note also that: associates to the right so that

```
x : x' : xs is interpreted as
x : (x' : xs)
```

Polymorphism

- Importantly, the constructors [] and : can be used to build lists of arbitrary type. They are therefore said to be polymorphic
- To express this in type definitions, we use a *type variable*, which is an identifier beginning with a lower-case letter
- For example, the types of the two list constructors are:

```
[] :: [a]
(:) :: a -> [a] -> [a]
```

• Here a is a type variable; the second line reads: "(:) is a function which takes an object of any type a and a list of objects of (the same) type a and delivers a list of objects of (the same) type a"

- A variable in a type (e.g. **a** above) stands for any type (for all a, or $\forall a$), but once determined each **a** in the type must be the same
- For example, Int -> [Int] -> [Int] is a valid *instance* of type a -> [a] -> [a], but Char -> [Int] -> [Int] is not
- Many other Haskell prelude functions are polymorphic, e.g.

snd :: (a, b) -> b
Pair index

• Note that the type of fst and snd involve two type variables since pair elements can be of any type

- [] and : can be used in function definitions to build lists
- As an exercise, let's write a recursive function that computes the sequence [n, n-1 ... 1] for a given n

- What about generating the list in increasing order, [1 .. n]?
 - We could use Haskell's "append" function (++) and replace
 the RHS n : ints (n 1) with ints (n 1) ++ [n]
 - We could use a helper function that counts up from 1 to n
 - We could use a helper function that carries an accumulating parameter...

• Here are the second and third versions; in the second, ks is the accumulating parameter, initially []...

```
ints n
 = ints' 1
 where
   ints' k
     | k > n = []
     | otherwise = k : ints' (k + 1)
ints n
 = ints' n []
 where
   ints' k ks
      | k == 0 = ks
      | otherwise = ints' (k - 1) (k : ks)
```

• What about functions which *consume* lists?

Method 1: null, head and tail

• Example: a variant of Haskell's built-in sum function: this version sums the elements of a list of Ints using the built-in functions:

```
null :: [a] -> Bool asks whether a list is empty;
head :: [a] -> a returns the head of a given list
tail :: [a] -> [a] returns the tail of a given list
```

• This works fine, but DO NOT DO IT THIS WAY!:

```
sum :: [Int] -> Int
sum xs
= if null xs
then 0
else head xs + sum (tail xs)
```

• For example:

```
sum [10, 20, 30]
-> if null [10, 20, 30]
   then 0
   else head [10, 20, 30] + sum (tail [10, 20, 30])
-> head [10, 20, 30] + sum (tail [10, 20, 30])
-> 10 + sum [20, 30]
-> 10 + if null [20, 30] then ... else ...
-> 10 + head [20, 30] + sum (tail [20, 30])
-> 10 + 20 + sum [30]
-> 10 + 20 + if null [30] then ... else ...
-> 10 + 20 + head [30] + sum (tail [30])
-> 10 + 20 + 30 + if null [] then 0 else ...
-> 10 + 20 + 30 + 0
```

Method 2 (much better): Pattern Matching

- Note that there are *exactly* two ways to build a list (and :) and hence *exactly* two ways to take them apart
- If we need to take a list apart then, when we look at the list, either:
 - 1. The list is empty, i.e. "of the form"
 - 2. The list is non-empty, i.e. "of the form" (x : xs) for some x and xs
- There are *no* other possibilities
- Here, "of the form" means "matches the pattern"
- Another way to define sum is by pattern matching...

• There are two possible patterns, so we have two rules:

```
sum :: [Int] -> Int
sum [] = 0
sum (x : xs) = x + sum xs
```

- Think of the whole of each left-hand side as a *pattern*; patterns are tested from left to right and from top to bottom
- If the pattern matches the expression we are trying to evaluate, we return the result of evaluating the right-hand side
- Note: the pattern (x : xs) also serves to name the two 'things' attached to the first :, namely the head and tail of the given list
- You should use pattern matching in preference to the use of null, head and tail

• Pattern matching simplifies how we think about reduction (although it's actually implemented similarly to the previous version above), e.g.

```
sum [10, 20, 30]
-> 10 + sum [20, 30]
-> 10 + (20 + sum [30])
-> 10 + (20 + (30 + sum []))
-> 10 + (20 + (30 + 0))
-> 60
```

Aside: Case expressions

• It's possible to do pattern matching in expressions using case syntax, e.g.

- Note that this has a single rule with a single right hand side (RHS), cf. two rules each with patterns on the left hand side (LHS); they both compile to the same code, however
- There is no "right" way, but pattern matching rules are arguably more idiomatic, so we'll (mostly) stick with them

More on the Haskell Prelude

• As an exercise, we'll build our own versions of some of Haskell's predefined functions (we've simplified the types in some cases):

```
null :: [a] -> Bool
head :: [a] -> a
tail :: [a] -> [a]
length :: [a] -> Int
  elem :: Eq a => a -> [a] -> Bool

      (!!)
      :: [a] -> Int -> a

      (++)
      :: [a] -> [a] -> [a]

      take
      :: Int -> [a] -> [a]

      drop
      :: Int -> [a] -> [a]

      zip
      :: [a] -> [b] -> [(a, b)]

  unzip :: [(a, b)] -> ([a], [b])
```

Aside: back to type classes

• Recall the Num class:

```
Prelude> :type 3
3 :: Num t => t
```

• Another type class is Eq – the set of types for which there is a notion of (in)equality:

```
Prelude> :type (==)
(==) :: Eq a => a -> a -> Bool
Prelude> :type (/=)
(/=) :: Eq a => a -> a -> Bool
```

E.g. our version of elem (list membership) will only work with types a for which == (and /=) are defined, hence: elem :: Eq a
 => a -> [a] -> Bool - we'll see why later

- Recall: The function **null** delivers **True** if a given list is empty ([]); **False** otherwise
- The function **null** is defined using pattern matching...

```
null :: [a] -> Bool
null [] = True
null (x : xs) = False
```

• Alternatively, as there is no need to name the head and tail,

```
null :: [a] -> Bool
null [] = True
null any = False
```

• In fact, we don't even need to name the list in the second rule; the special *wildcard* pattern _ can be used to save inventing a name, viz. null _ = False

- Recall: The function head selects the first element of a list, and tail selects the remaining portion
- The prelude versions report an *error* if you try to take the head or tail of an empty list; let's do something similar...

- Note that error :: String -> a it can return an object of arbitrary type
- ? What is tail [1]

• The function length returns the length of a list (i.e. the number of elements it contains):

```
Prelude> length "brontosaurus"

12
Prelude> length [(True, True, False)]

1
Prelude> length []
0
```

• A recursive definition using pattern matching...

```
length :: [a] -> Int
length [] = 0
length (x : xs) = 1 + length xs
```

• The function elem determines whether a given element is a member of a given list:

```
Prelude> elem 'c' "hatchet"

True

Prelude> elem (1,2) [(3,4), (5,6)]

False
```

• One of many possible definitions using pattern matching...

```
elem :: Eq a => a -> [a] -> Bool
elem x [] = False
elem x (y : ys) = x == y || elem x ys
```

Note: the RHS of the second rule could have been written if x
 == y then True else elem x ys, but the use of || is much neater

• The !! operator (sometimes pronounced "at") performs list indexing (the head is index 0):

```
Prelude> [11, 22, 33] !! 1
22
Prelude> "Tea" !! 0
'T'
Prelude> "Tea" !! 5

*** Exception: Prelude.(!!): index too large
Prelude> "Error" !! (-1)

*** Exception: Prelude.(!!): negative index
```

• Here is a recursive definition using pattern matching

- Note the syntax for introducing new left- (infix1) and right- (infixr) associative operators with a given precedence (here 9)
- Operator names must be unique and built from the symbols

```
! # $ \% . + * @ | > < ~ - : ^ \ = / ? &
```

• The binary operator ++ (pronounced "concatenate" or "append") joins two lists of the same type to form a new list e.g.

```
Prelude> [1, 2, 3] ++ [1, 5]
[1, 2, 3, 1, 5]
Prelude> "" ++ "Rest"
"Rest"
Prelude> [head [1, 2, 3]] ++ tail [2, 8]
[1, 8]
```

• The recursive definition...

```
infixr 5 ++
(++) :: [a] -> [a] -> [a]
[] ++ ys = ys
(x : xs) ++ ys = x : (xs ++ ys)
```

- take n xs returns the first n elements of xs
- drop n xs returns the remainder of the list after the first n elements have been removed

```
Prelude> take 4 "granted"

"gran"

Prelude> drop 2 [True, False, True]

[True]

Prelude> take 1 "away" ++ drop 1 "away"

"away"

Prelude> drop 8 "letters"

""
```

? How would you define take and drop?

- zip takes two lists and forms a single list of pairs by combining the elements pairwise
- unzip does the opposite
- Note: for zip if one list is longer than the other then the surplus elements are discarded

```
Prelude> zip [5, 3] [4, 9, 8]
  [(5,4),(3,9)]
  Prelude> zip "it" "up"
  [('i','u'),('t','p')]
  Prelude> unzip [("your", "jacket")]
  (["your"],["jacket"])
```

? How would you define zip and unzip?

A bigger example: insertion sort

• The following function takes an Int and an ordered list of Ints and returns a new ordered list with the Int in the right place

• For example: insert 3 [1, 4, 9] proceeds as follows:

```
-> 1 : (insert 3 [4, 9])
-> 1 : (3 : [4, 9])
-> [1, 3, 4, 9]
```

• If we repeatedly insert (unsorted) items into a sorted list, the final list will also be sorted, hence:

```
iSort :: [Int] -> [Int]
iSort [] = []
iSort (x : xs) = insert x (iSort xs)
```

• This 'algorithm' is called (linear) insertion sort

• An example:

```
iSort [4, 9, 1]
-> insert 4 (iSort [9, 1])
-> insert 4 (insert 9 (iSort [1]))
-> insert 4 (insert 9 (insert 1 (iSort [])))
-> insert 4 (insert 9 (insert 1 []))
-> insert 4 (insert 9 [1])
-> insert 4 [1, 9]
-> [1, 4, 9]
```

? How many calls to ':' are made on average to sort a list with n items?

Another sorting function - merge sort

- Haskell's **splitAt** function takes an **Int** index n and a list of type [a] and splits the list at position n
- How does Haskell implement it? A quick solution:

- However, this traverses the first n elements of xs twice
- We can avoid this but the resulting function is more complicated...

• We need one more ingredient: the function merge takes two ordered lists of Ints and merges them to produce a single ordered list

```
merge :: [Int] -> [Int] -> [Int]
-- Pre: both argument lists are ordered
merge [] []
merge [] (x : xs)
merge (x : xs) []
merge (x : xs) (y : ys)
  | x < y = x : merge xs (y : ys)
  | otherwise = y : merge (x : xs) ys
```

• Now, a beautiful rendition of a classic sorting algorithm:

```
mergeSort :: [Int] -> [Int]
mergeSort []
= []
mergeSort xs
= merge (mergeSort xs') (mergeSort xs'')
where
   (xs', xs'') = splitAt (length xs 'div' 2) xs
```

- ? This definition isn't quite right. What's wrong and how would you fix it?
- ? Explicitly calculating the length each time is madness: add a helper function (mergeSort'?) which carries round the list to be sorted and its length.
- ? Which is the best sorting function: iSort or mergeSort? Why?

Aside: Enumerated Types

- Enumerated types are special forms of user-defined data types—see later
- They introduce a new type and an associated set of elements, called *constructors*, of that type, e.g.

```
data Day = Mon | Tue | Wed | Thu | Fri | Sat | Sun
```

- This says that Day is a new type and that objects of type Day may either be Mon, Tue, ..., Sun
- Constructor names must be unique within a program
- When Haskell spots a constructor it knows immediately its type, e.g. Fri is immediately recognisable as an object of type Day

- Type and constructor names must begin with a capital letter but are otherwise completely arbitrary
- Some more examples:

• It's up to you to choose type and constructor names that make sense to you

• Functions can be defined on objects of type Day, Kerrrpowww, Switch etc. using pattern matching, e.g.

```
bothOn :: Switch -> Switch -> Bool

bothOn On On = True

bothOn On Off = False

bothOn Off On = False

bothOn Off Off = False
```

- ? Can we replace the final three rules by a single rule, e.g.: bothOn s s' = False?
- Note: Don't be tempted to use == instead of pattern matching,
 e.g. bothOn s s' = s == On && s' == On, or if xs == []
 then ... etc. these are HORRID!

- Secret: internally, constructors are encoded 0, 1, 2..., e.g. Off and On are encoded 0, and 1, but the type system ensures there is no confusion between 0 for Off, for example, and 0 for Plink
- (We can arrange for a function **fromEnum** to tell us the internal code of a constructor if you want to play with this check out the **Enum** type class)
- Note that the type **Bool** is just a data type with two constructors:

```
data Bool = False | True
```

• Haskell's boolean functions can be straightforwardly defined using pattern matching, e.g.

```
not :: Bool -> Bool
not False = True
not True = False

infixr 3 &&
True && True = True
b && b' = False

etc.
```

? What if we wrote four equations: True && True = True, True && False = False, False && True = False, False && False && False = False, False && False && False && False = False, False && Fal

4. Higher-order functions

• Higher-order functions take other functions as arguments, e.g.

```
:: (a -> b) -> [a] -> [b]
map
filter :: (a -> Bool) -> [a] -> [a]
zipWith :: (a \rightarrow b \rightarrow c) \rightarrow [a] \rightarrow [b] \rightarrow [c]
foldr :: (a -> b -> b) -> b -> [a] -> b
     :: (a -> b -> a) -> a -> [b] -> a
foldl
foldr1, foldl1 :: (a -> a -> a) -> [a] -> a
     :: (a -> b -> b) -> b -> [a] -> [b]
scanr
scanl :: (a -> b -> a) -> a -> [b] -> [a]
takeWhile, dropWhile
               :: (a -> Bool) -> [a] -> [a]
              :: (a -> a) -> a -> [a]
iterate
```

• (Again, we've simplified some of the types)

• The function map applies a given function (passed as a parameter) to every element of a given list, e.g.

```
Prelude> map succ [1, 2, 3, 4]
[2,3,4,5]
Prelude> map head ["Random", "Access", "Memory"]
"RAM"
```

• Note that the expression map f xs is equivalent to the list comprehension [f x | x <- xs] so one way to define map would be

```
map :: (a -> b) -> [a] -> [b]
map f xs
= [f x | x <- xs]
```

• Recall that juxtaposition (here of **f** and **x**) means function application in Haskell

• We can define map recursively using pattern matching as well...

• Let's see how it works with an example:

```
map not [True, False, False]
-> not True : map not [False, False]
-> not True : not False : map not [False]
-> not True : not False : not False : map not []
-> not True : not False : not False : []
-> [False, True, True]
```

- The function **filter** filters out elements of a list using a given *predicate* (a function that returns a Bool)
- The predicate is applied to each element of a given list; if the result is False the element is excluded from the result, e.g.

```
Prelude> filter even [1 .. 10]
[2,4,6,8,10]
Prelude> let f x = x > 6 in filter f [4,7,6,9,1]
[7,9]
Prelude> let f x = x /= 's' in filter f "scares"
"care"
```

- The expression filter f xs is equivalent to the list comprehension [x | x <- xs, f x]
- ? How would you define filter recursively?

• The function **zipWith** applies a given function pairwise to the elements of two given lists, e.g.

```
Prelude> zipWith (+) [1, 2, 3] [9, 5, 8]
[10,7,11]
Prelude> zipWith elem "abp" ["dog", "cat", "pig"]
[False,False,True]
Prelude> zipWith max [(2,1),(4,9)] [(1,1),(8,5)]
[(2,1),(8,5)]
```

- Recall: (op) is the prefix version of op; also, elem e xs is True iff e is an element of list xs
- The expression zipWith f xs ys is equivalent to the list comprehension [f x y | (x, y) <- zip xs ys]
- ? How would you define zipWith recursively?

• The functions foldr and foldl reduce a list to a value by 'inserting' a given function between each element:

foldr
$$f u [] \longrightarrow u$$

foldr $f u [x_1, x_2, ..., x_n] \longrightarrow f x_1 (f x_2 (...(f x_n u)...))$
foldl $f u [] \longrightarrow u$
foldl $f u [x_1, x_2, ..., x_n] \longrightarrow f (...(f (f u x_1) x_2)...) x_n$

- The additional parameter, u, is the unit of f computationally, it defines what to do when the given list is empty
- The types of foldr and foldl are:

```
foldr :: (a -> b -> b) -> b -> [a] -> b

foldl :: (b -> a -> b) -> b -> [a] -> b
```

• Some examples:

```
Prelude> foldr (+) 0 [3, 5, 7, -3, 9]
21
Prelude> fold1 (+) 0 [3, 5, 7, -3, 9]
21
Prelude> foldl (*) 1 [2, 4, 6, -1]
Prelude> foldr (++) [] ["a", "bb", "ccc"]
"abbccc"
Prelude> foldr (:) [] [3, 5, 7, -3, 9]
[3,5,7,-3,9]
```

? How would you define foldr? What about foldl?

• The functions foldr1 and foldl1 are versions of foldr and foldl without the unit:

$$foldr1\ f\ [x_1, x_2, ..., x_n] \longrightarrow f\ x_1\ (f\ x_2\ (...(f\ x_{n-1}\ x_n)...))$$

 $foldl1\ f\ [x_1, x_2, ..., x_n] \longrightarrow f\ (...(f\ (f\ x_1\ x_2)\ x_3)...)\ x_n$

- Crucially, foldr1 and foldl1 are undefined for empty lists
- The types are:

```
foldr1, foldl1 :: (a -> a -> a) -> [a] -> a
```

• Some examples:

```
Prelude> let f x y = y in foldr1 f [1, 2, 3, 4]
4
Prelude> foldr1 min [4,7,6,2,7,3]
2
Prelude> foldr1 min []
*** Exception: Prelude.foldr1: empty list
```

- ? Is there a sensible answer to foldr1 min []?
- ? Under what conditions is foldr1 op xs = foldl1 op xs?
- ? How would you define foldr1 and foldl1?

• Sometimes it's useful to know each intermediate result computed during a fold, e.g. when summing the elements of the list [3, 2, 9, 5] we may want each of:

```
0
0 + 3
0 + 3 + 2
0 + 3 + 2 + 9
0 + 3 + 2 + 9 + 5
```

as partial sums or prefix sums

• The functions scanr and scanl (and their '1 variants) do exactly this:

```
scanr :: (a -> b -> b) -> b -> [a] -> [b]
scanl :: (a -> b -> a) -> a -> [b] -> [a]
```

• Some examples:

```
Prelude> scanl (+) 0 [3,2,9,5]
[0,3,5,14,19]
Prelude> scanr (+) 0 [3,2,9,5]
[19,16,14,5,0]
Prelude> scanr (:) [] "gobi"
["gobi","obi","bi","i",""]
Prelude> scanl1 min [4, 7, 3, 2, 5, 1, 8, 7]
[4,4,3,2,2,1,1,1]
```

? How would you define scanr and scanl?

• Three more built-in higher-order functions...

```
takeWhile :: (a -> Bool) -> [a] -> [a]
takeWhile p []
takeWhile p (x : xs)
  | p x = x : takeWhile p xs
  | otherwise = []
dropWhile :: (a -> Bool) -> [a] -> [a]
-- Exercise: write it
iterate :: (a -> a) -> a -> [a]
iterate f x
  = x : iterate f (f x)
```

• For example,

```
Prelude> take 10 (iterate succ 0)
[0,1,2,3,4,5,6,7,8,9]
Prelude> take 6 (iterate tail "suffix")
["suffix","uffix","ffix","ix","x"]
Prelude> takeWhile even [2, 4, 7, 6]
[2,4]
Prelude> dropWhile isSpace " Begin"
"Begin"
```

• Note that lazy evaluation is essential for evaluating expressions involving iterate

Binary Operator Extensions

• Some binary operators have corresponding generalisations over lists, for example:

Operator	Generalisation
+	sum :: Num a => [a] -> a
*	product :: Num a => [a] -> a
&&	and :: [Bool] -> Bool
11	or :: [Bool] -> Bool
++	concat :: [[a]] -> [a]
max	maximum :: Ord a => [a] -> a
min	minimum :: Ord a => [a] -> a

• Note: see later for a proper explanation of the types

• Examples...

```
Prelude > sum [1..6]
21
Prelude> product [2, 4, 1, 6]
48
Prelude> and [True, False, True]
False
Prelude> or [x < 3 | x < - [5, 4, 8, 1, 9]]
True
Prelude> concat ["Three ", "small ", "lists"]
"Three small lists"
Prelude > maximum [1, 4, 3, 1, 9]
```

• Note: these operators can be defined recursively using pattern matching, e.g.

```
product :: [Int] -> Int
product [] = 1
product (x : xs) = x * product xs

and :: [Bool] -> Bool
and [] = True
and (b : bs) = b && and bs
```

- However, in each case all we're doing is 'inserting' a binary operator in between each list element and using an appropriate unit element
- But this is just what **fold** does

• So, alternatively:

```
product xs = foldr (*) 1 xs
and xs = foldr (&&) True xs
```

- Subtle point: It is easy to see that for all lists (of numbers) xs,
 foldr (*) 1 xs = foldl (*) 1 xs, so which is best?
 - foldl (*) 1 xs is tail recursive, which means that it's more efficient to implement
 - However, because && works "left to right" it's better to use
 foldr for and
- ? If we write fold1 (&&) True [False, b2, ..., bn] are b2, ..., bn evaluated?

• By picking the most efficient implementation in each case we have:

```
sum xs = foldl (+) 0 xs
product xs = foldl (*) 1 xs
and xs = foldr (&&) True xs
or xs = foldr (||) False xs
concat xs = foldr (++) [] xs
maximum xs = foldl1 max xs
minimum xs = foldl1 min xs
```

- Note: maximum [] and minimum [] are not defined hence the use of foldl1.
- ? Could we use **foldr1** instead?

Currying and Partial Application

- Functions can also return other functions as their result (another type of higher-order function)
- Q: How can we evaluate something and end up with a new function? A: Partial application...
- Consider the function

- Why do we write Int -> Int -> Int?
- The answer is that plus actually introduces two single-argument functions...

- 1. plus is really a single-argument function whose type signature is

 Int -> (Int -> Int)
- 2. If a :: Int then plus a is a function of type Int -> Int
- So, plus 4 is a perfectly meaningful expression—it is the function which adds 4 to things
- This suggests we can map partial applications over lists; let's try:

```
Prelude> map (plus 4) [1, 3, 8]
[5, 7, 12]
Prelude> map (elem 'e') ["No", "No again", "Yes"]
[False,False,True]
```

• An application which only partially completes the arguments of a function **f** is called a *partial application* of **f**

• The idea of treating all multi-argument functions "one argument at a time" is called *currying* after the mathematician **HASKELL B. Curry**!!

• Partial applications of operators are called *sections* and Haskell has some special notation to help. For example:

```
(1/) is the 'reciprocal' function
```

- (/2) is the 'halving' function
- (^3) is the 'cubing' function
- (+1) is the 'successor' function
- (!!0) is the 'head' function
- (==0) is the 'is-zero' function

```
Prelude > map (==0) [4, 0, 8, 0]
[False, True, False, True]
Prelude > map (^2) [1..4]
[1,4,9,16]
Prelude> map (!!2) ["one", "two", "three"]
"eor"
Prelude> takeWhile (<20) (iterate (+3) 1)</pre>
[1,4,7,10,13,16,19]
Prelude > filter (/=0) (map ('mod' 2) [1..10])
[1,1,1,1,1]
```

• So functions really are 'first-class' citizens in Haskell. Indeed, even function composition can be expressed as a higher-order function:

(.) ::
$$(b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow (a \rightarrow c)$$

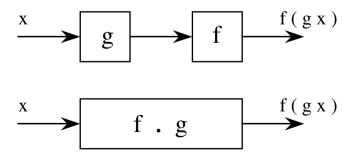
f . g = h where h x = f (g x)

• Note: there are several other ways we can write this, e.g.

(f . g)
$$x = f (g x)$$

f . $g = \x -> f (g x)$

Diagrammatically:



• Example: here are two equivalent definitions of functions notNull and allZero

```
notNull :: [a] -> Bool
notNull xs = not (null xs)
notNull xs = (not . null) xs
allZero :: [Int] -> Bool
allZero xs = and (map (==0) xs)
-- Or...
allZero xs = (and . map (==0)) xs
```

Extensionality

• A useful rule for simplifying some definitions is the *extensionality* rule from mathematics:

if
$$\forall x, f \ x = g \ x \text{ then } f = g$$

• This means, for example, that in our notNull and allZero functions we can instead *cancel* the xs and write

```
notNull = not . null
allZero = and . map (==0)
```

• Similarly for our earlier examples, e.g.

```
sum = foldl (+) 0
product = foldl (*) 1
and = foldr (&&) True
or = foldr (||) False
concat = foldr (++) []
maximum = foldl1 max
minimum = foldl1 min
```

• PING!

• These exploit the fact that function application associates to the left, i.e. $f \ x \ y \ z \equiv (\ (\ f \ x\) \ y\) \ z$

squareRoot as a function "pipeline"

• Here is an alternative definition of squareRoot:

```
squareRoot :: Float -> Float
-- Pre: x >= 0
squareRoot x
= (head . dropWhile isBad . iterate next . (/2)) x
where
next a = (a + x / a) / 2
isBad a = abs (x - a^2) / x > 0.0001
```

- The x is passed from one function to the next, from right to left, cf. a pipeline
- ? Can you cancel the x in the LHS and RHS of squareRoot?

6. User-defined data types

• We have already seen *enumerated* types, for example:

- In general, constructors can also take arguments and both they (and the associated type) can be polymorphic
- These data types are sometimes called *user-defined types* or *algebraic data types*, or quite often just "data types"

Example...

• Haskell's built-in Maybe type:

```
data Maybe a = Nothing | Just a
```

- This is very handy for computations that might fail in some recoverable way (Nothing ≡ "fail", Just x ≡ "success with answer x")

 - Just is a constructor of type a -> Maybe a (i.e. Just :: a
 -> Maybe a)
- Constructors are thus functions with no rules
- They are defined implicitly when they appear in a data definition

• Haskell's lookup function returns a Maybe – it's a *really* useful function...

(We'll work out its exact type later.)

• For example:

```
Prelude> lookup 'x' [('a', 9), ('c', 2)]
Nothing
Prelude> lookup 4 [(9, "nine"), (4, "four"), (1, "one")]
Just "four"
```

• Uses of lookup are ubiquitous – we might use them like this:

- Notice how we have used the constructors on the *left* of the = to form patterns, c.f. enumerated types
- However, we can make this type of code *much* shorter by using the functions in Data.Maybe...

```
import Data.Maybe
...
```

• Two commonly-used functions in Data.Maybe:

```
maybe :: b -> (a -> b) -> Maybe a -> b
maybe x f Nothing
maybe x f (Just y)
  = f y
fromJust :: Maybe a -> a
fromJust Nothing
  = error ...
fromJust (Just x)
  = x
```

• For example, **f** above can be written:

```
import Data.Maybe

f x table
= ... maybe b g (lookup x table) ...
```

• If we happen to know that there will always be a binding for **x** in the **table** then:

```
f x table
= ... g (fromJust (lookup x table)) ...
```

• For a bit of homework, check out the type **Either** which implements a *union* of two types:

```
data Either a b = Left a | Right b
```

Note that it is parameterised by *two* type variables, as you'd expect

Recursive data types

• User-defined types can also be recursive, e.g. a "hand-made" list type:

```
data List a = Nil | Cons a (List a)
```

- The type List a is isomorphic to Haskell's list type [a]
- Indeed, Haskell's prelude essentially has this:

```
data [a] = [] | a : [a]
```

although this is *not* legal syntax

• If we stick with our own definition of lists (List a) we'll need to use Nil and Cons instead of [] and ':' e.g.

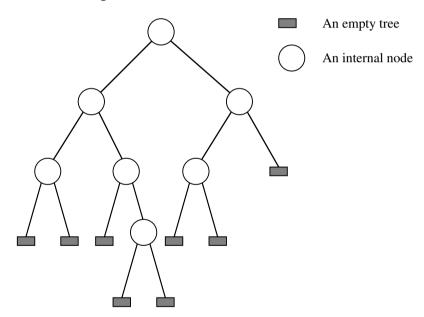
```
Nil
Cons 6 Nil
Cons "this" (Cons "that" Nil)
generate objects of type List Int and List String respectively
```

• We can also pattern match on terms involving Nil and Cons in the obvious way, e.g.

```
length :: List a -> Int
length Nil
    = 0
length (Cons x xs)
    = 1 + length xs
```

Trees

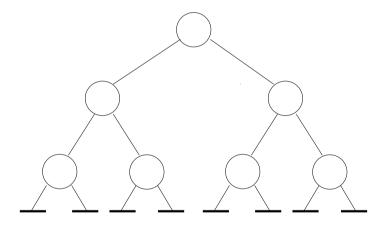
- Trees are powerful generalisations of lists and have a two-dimensional branching structure
- An example: a binary tree where each node has two subtrees



• Usually (but not always) elements are stored at the nodes, as assumed here

Why trees are important

• If a tree is *perfectly balanced*, i.e. every node has identical-sized subtrees then a tree with n items has $log_2(n+1)$ depth, e.g.



Balanced tree, n = 7, depth = 3

- It is thus possible to implement common operations on trees in logarithmic time, cf. linear time, as for a list
- Even if a tree is unbalanced it is often more efficient than using a list (it depends...)

- We can describe the structure of trees using a data type
- E.g. for tress like the above we'll call the constructor for an empty tree Empty and that for an internal node Node
- We'll allow any type of object to sit in the nodes, so we'll make the trees polymorphic:

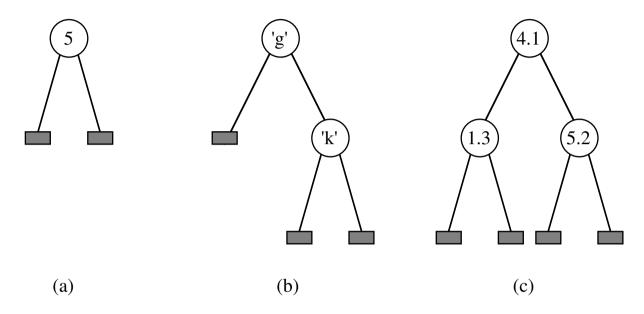
```
data Tree a = Empty | Node (Tree a) a (Tree a)
```

• Note we could rearrange the arguments of Node, e.g.

```
data Tree a = Empty | Node a (Tree a) (Tree a)
```

• It doesn't matter so long as we are consistent; we'll use the former

• Some example trees



- (a) is a Tree Int, (b) is a Tree Char and (c) is a Tree Float element is smaller than every right subtree element
- We can write Haskell expressions that represent these, e.g. (b) corresponds to Node Empty 'g' (Node Empty 'k' Empty) ? What about (a) and (c)?

- As with lists we can write functions on Trees using pattern matching
- There are two types of tree, hence two types of pattern to consider
- Example: size for computing the number of nodes in a tree

```
size :: Tree a -> Int
size Empty
    = 0
size (Node l x r)
    = 1 + size l + size r
```

• Compare this with length for lists; here size has two "sub-trees" to explore beneath each Node hence two recursive calls to size

- Another example: flatten which will reduce a Tree a to a list of type [a] by performing an *in-order* traversal of the tree
- In-order traversal visits the nodes from left to right

```
flatten :: Tree a -> [a]
flatten Empty
    = []
flatten (Node t1 x t2)
    = flatten t1 ++ (x : flatten t2)
```

- Note that the flattened version of t1 is the leftmost argument of ++ and therefore will appear *first* in the resulting list; hence the left-to-right order
- ? How many calls to ':' are required to flatten a prefectly balanced tree containing $n = 2^k 1$ elements? How would you redefine flatten so that exactly one ':' is required for each element?

- Example: a function to insert a number into an ordered tree
- An ordered tree satisfies the property that for every node:
 - Every element of the left subtree is smaller than (or equal to) the element at the node
 - The node element is smaller than every element in the right subtree

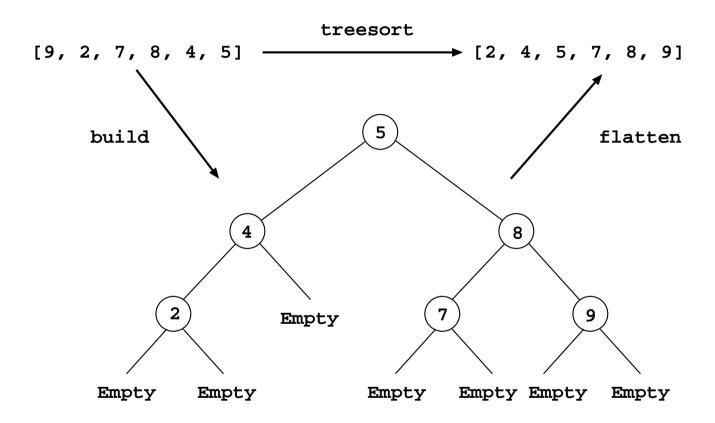
? Note that **insert** as defined is *not* polymorphic. Why?

• Example: a function to construct an ordered tree from an unordered list of numbers:

• Hence, yet another program for sorting a list of numbers:

- ? On average, how many nodes have to be visited for each insertion?
- ? If you use the optimised version of **flatten** (see above) how many constructor calls (list and tree constructors) are required on average to sort a list of n elements?

• The composition of build and flatten can be seen clearly with a pretty picture:



6. Type classes

- In contrast to polymorphic functions such as length, some functions, e.g. ==, are overloaded:
 - they can be used at more than one type
 - their definitions are different at different types
- ullet The collection of types over which a function is defined is called a class
- The set of types over which == is defined is called the *equality* class, Eq
- We say that == is a member function of Eq
- Note that /= is also a member of Eq
- The Haskell equality class is defined by:

```
class Eq t where
  (==), (/=) :: t -> t -> Bool
  x /= y = not (x == y)
  x == y = not (x /= y)
```

- Note the *default* definition of == and /=; as we add new types to the equality class, /= will de defined automatically (in terms of ==)
- The member *types* of a class (the types that t can be above) are called *instances* of that class; for Eq the instances include Int, Float, Bool, Char
- It is this which enables use to write things like

 True == True | | 'a' == 'b' && 13 /= 7

Extending a Class

• Recall from earlier:

```
data Switch = Off | On
```

• Suppose we wish to check whether two Switch values are equal. We could define a new function, e.g.

```
eqSwitch :: Switch -> Switch -> Bool
eqSwitch On On = True
eqSwitch Off Off = True
eqSwitch s1 s2 = False
```

- This is fine, but it would be much more convenient if we could use == instead, as in Off == On, for example
- Problem: Switch is *not* a member type of Eq, but we can add it in one of two ways:

1 Explicitly by adding a definition of '==' on values of type Switch:

```
instance Eq Switch where
On == On = True
Off == Off = True
s1 == s2 = False
```

(Note that /= is defined in terms of == by default in the class definition but we could *override* it here if we wanted)

2 Implicitly using the keyword deriving in the data definition:

```
data Switch = On | Off
     deriving (Eq)
```

- The use of deriving saves us a lot of work—the system builds the definition of == over Switch values automatically
- To appreciate the benefits, try writing == for type Day, defined earlier

Puzzle: Given the Eq class definition:

```
class Eq t where
  (==), (/=) :: t -> t -> Bool
  x /= y = not (x == y)
  x == y = not (x /= y)
```

and the following data type and instance declaration:

```
data D = C Int
instance Eq D
```

(i.e. D uses Eq's default definitions for == and /=), what happens if we try to compute C 1 == C 2?

Contexts

- Some function types need to be *restricted* to reflect the operations that they perform on their arguments
- Here is a valid definition

```
equals :: Int -> Int -> Bool
equals x y
= x == y
```

• However, if we try to make this polymorphic, as in

we get an error

• A type variable t in a type means (literally) "for all t", but equals will only work if values of type t are comparable

• To make the type of equals as general as possible, we need to give t a context thus

```
equals :: Eq t => t -> t -> Bool
equals x y = x == y
```

- Eq t => ... now means "any t that is a member of Eq" rather than "for all t"
- Example: Haskell provides a built-in function elem for testing membership of a list, e.g.

```
*Main> elem 1 [2, 4, 9]
False
*Main> elem 'a' "Harry"
True
*Main> elem True []
False
```

- So, what is the type of elem?
- The basic type structure is clearly of the form
 a -> [a] -> Bool

```
• However, the list elements (the things of type a) must be comparable, i.e. a must be an instance of Eq
```

• In the Haskell standard prelude, we find:

```
elem :: Eq a => a -> [a] -> Bool
```

• Q: What is the most general type of Haskell's lookup function?

```
lookup key []
    = Nothing
lookup key ((k, v) : table)
    | key == k = Just v
    | otherwise = lookup key table
```

Derived Classes

- Some classes may restrict their instance types to belong to certain other classes
- The simplest example is another built-in class called Ord representing the ordered types
- For a type to be a member of Ord it must also be a member of the superclass Eq
- Given the data type:

```
data Ordering = LT | EQ | GT
```

Ord can be defined using a context thus (see over):

```
class (Eq a) => Ord a where
 compare :: a -> a -> Ordering
 (<), (<=), (>=), (>) :: a -> a -> Bool
         :: a -> a -> a
 max, min
 compare x y
   | x == y = EQ
   | x \le y = LT
   | otherwise = GT
 x \le y = compare x y /= GT
 x < y = compare x y == LT
 x >= y = compare x y /= LT
 x > y = compare x y == GT
```

• We say that Ord inherits the operations of Eq.

- Note that we can only compute x <= y if we can also compute x
 == y
- The basic types Int, Float, Bool, Char are all instances of Ord
- This enables us to write, e.g. 4 <= 9, 'd' > 't', max True False
- If necessary, we can add new types to Ord in the same way that we added new types to Eq. for example

Place ord inherits from Eq do we always have to derive both Eq and Ord?

• The automatically-generated definitions of <, <= , >, ... assume the constructors to be ordered as they are written

• Thus

```
More> Tue < Mon
False
More> Thu >= Mon
True
More> Sun <= Sun
True
More> Fri == Sat
False
```

• Recursive data types can also be added to classes Eq and Ord, e.g. for our List type above:

• We can compare two List a values *provided* that a is also an instance of Ord, e.g.

```
More> Cons 8 Nil > Cons 7 Nil
True
More> Cons Mon Nil >= Nil
True
More> Cons False (Cons True Nil) < Nil
False
```

• Note, however, that Cons Off Nil > Nil is an error because the type Switch is not an instance of Ord

- Another important class is called **Show** which has a member function **show** :: a -> **String** for converting an object of instance type a into a string
- Haskell uses this to print the value of an expression at the terminal, e.g.

```
Prelude> 74.6
74.6
Prelude> show 74.6
"74.6"
Prelude> show "74.6"
"\"74.6\""
```

- If a type t is not a member of Show then we can't display objects of type t
- For example, at the moment there is no instance of **Show** for the **Day** data type so:

```
*Main> Mon

ERROR: Cannot find "show" function for:

*** expression : Mon

*** of type : Day
```

• We can fix it by deriving Show in the definition of Day...

• This will define Show's member function show automatically; the constructor name will then be used as its representation:

```
data Day = Mon | Tue | Wed | Thu | Fri | Sat | Sun deriving (Eq, Ord, Show)
```

• Whereupon:

```
*Main> Mon
Mon

*Main> (Mon, Fri)
(Mon,Fri)
```

• Alternatively, we might want to display values of type Day differently:

```
instance Show Day where
  show Mon = "Monday"
  show Tue = "Tuesday"
  show Wed = "Wednesday"
  show Thu = "Thursday"
  show Fri = "Friday"
  show Sat = "Saturday"
  show Sun = "Sunday"
```

• For example,

```
*Main> (Mon, Fri)
(Monday, Friday)
```

Multiple Constraints

• Contexts can include an arbitrary number of constraints, for example

```
showSmaller x y = if x < y then show x else show y
```

• Both x and y must be comparable by < and valid arguments to show, i.e. instances of both Ord and Show

```
Prelude> :t showSmaller
showSmaller :: (Ord a, Show a) => a -> a -> String
```

- Multiple constraints can occur in instance declarations
- For example, the pair type (t, u) is already defined to be an instance of Eq
- For two pairs to be comparable using == their components must also be comparable
- Hence, Haskell implements something like this:

```
instance (Eq t, Eq u) \Rightarrow Eq (t, u) where

(a, b) \Rightarrow (c, d) \Rightarrow if a \Rightarrow c

then b \Rightarrow d

else False
```

• Finally... suppose we have a data type and evaluator for expressions:

```
data Exp = Const Int | Add Exp Exp | Sub Exp Exp |
           Mul Exp Exp
         deriving (Show, Eq)
eval :: Exp -> Int
eval (Const x)
eval (Add e e')
  = eval e + eval e'
eval (Sub e e')
  = eval e - eval e,
eval (Mul e e')
  = eval e * eval e'
```

• For example, 3 * 6 + 7 would be represented by:

```
Add (Mul (Const 3) (Const 6)) (Const 7)
```

• We can evaluate expressions like this using eval, e.g.:

```
*Main> eval (Add (Mul (Const 3) (Const 6)) (Const 7))
25
```

- This is all a bit of a mouthful. It would be much better if we could just type 3 * 6 + 7 and have it interpreted as Add (Mul (Const 3) (Const 6)) (Const 7) automatically
- We can do this by making Exp an instance of the Num class and defining +, * etc. accordingly
- This essentially defines a new "language" for expressions we are embedding one language within another

• Here are the member functions of Num...

```
class Num a where
  (+) :: a -> a -> a
  (*) :: a -> a -> a
  (-) :: a -> a -> a
  negate :: a -> a
  abs :: a -> a
  signum :: a -> a
  fromInteger :: Integer -> a
```

Predefined member types: Integer, Int, Float, Double

• Note that we must define at least one of – and negate as they are mutually defined by default

• To make the instance, note that e + e' needs to be interpreted as Add e e', i.e. (+) = Add; similarly the other functions:

```
instance Num Exp where
  (+) = Add
  (-) = Sub
  (*) = Mul
  abs x = error "abs not implemented"
  signum x = error "signum not implemented"
  fromInteger = Const . fromInteger
```

- Note that for fromInteger a definition like fromInteger n =
 Const n, for example, would attempt to apply Const to an
 Integer rather than an Int
- Amazingly, we can now use the type system to build the representation of an expression, rather than evaluate it...

• For example:

```
*Main> 3*6+7
25

*Main> 3*6+7 :: Exp

Add (Mul (Const 3) (Const 6)) (Const 7)

*Main> sum [8*9, 4]

76

*Main> sum [8*9, 4] :: Exp

Add (Add (Const 0) (Mul (Const 8) (Const 9))) (Const 4)

*Main> eval (sum [8*9, 4])

76
```

- Note that integer constants on the command line are automatically translated to calls to fromInteger
- Note also that the *default* instance for the Num class is Integer, e.g. 7::Exp essentially overrides the default 7::Integer

7. I/O and monads

Q: How can we implement I/O in a pure functional language, which has no side effects?

- To understand why Haskell's I/O is the way it is, it's useful to try to construct the problem 'bottom up'
- Doing I/O necessarily changes the state of the 'world', e.g. by reading characters from a keyboard or writing data to a file
- To do this purely functionally means that we somehow have to carry round the state of the world
- To understand the issues, we'll begin by thinking of the world state as being something *explicit*, i.e. a data structure, that is passed to and from functions explicitly

- Let's focus on input from and output to a user terminal when we get this right it's easy to see how it can be generalised
- Assume that we magically know all the user's keyboard input in advance (a String) and keep track of the state of the screen output (another String), e.g.

```
type World = (String, String)

-- Some magical initial world...
w0 :: World
w0 = ("abcdefgh", "")
```

• In practice, the World will be much more complicated and will need to encode the current status of all I/O devices maintained by the operating system

• Using our very simple 'terminal' model of I/O, we can now write a function to read a character from the keyboard:

• What about printing a character to the screen? We'll see in a moment that it's convenient to think of this returning a void result, (), in addition to the modified world:

• For example, assuming our initial world, wo

```
*Main> getChar w0 -- Read 'a' from the keyboard
('a',("bcdefgh",""))

*Main> putChar 'X' w0 -- Write 'X' to the screen
((), ("abcdefgh","X"))
```

• We see the effect of the I/O in the form of a pair, but this is only a model: in practice, the I/O functions will invoke the operating system to *side effect* physical devices, e.g. the keyboard reader and screen in this case

• Notice that **getChar** and **putChar** have similar type signatures, so we can tidy things up by using a type synonym:

```
type IO a = World -> (a, World)
```

• Whereupon...

Note, for example, that in the case of putChar, Char -> World
 -> ((), World) is the same as Char -> (World -> ((), World)), which is the same as Char -> IO ()

- Q: How can we perform two or more I/O actions in sequence?
- A: Take the (possibly modified) world generated by the first and then execute the second with respect to it
- However, all we have at the moment are just I/O actions (IO a, for some a) so the only way we can do this is to provide some additional 'sequencing' functions to help:
- We'll "invent" two useful generic functions actually, we'll make them infix operators:
 - >> Perform two independent actions, one after the other
- >>= Perform an action that produces some result, **r** say, and then pass **r** to a second action
- Here are their definitions...

Alternatively (and we could, of course, use where):

```
(a1 >> a2) w
= let (_, w1) = a1 w
in a2 w1
```

```
(>>=) :: IO a -> (a -> IO b) -> IO b

(a1 >>= a2) w

= let (r1, w1) = a1 w

(r2, w2) = a2 r1 w1

in (r2, w2)
```

Alternatively:

```
(a1 >>= a2) w
= let (r1, w1) = a1 w
in a2 r1 w1
```

• For example:

- Short aside: In order to run a program with type IO, we have to give it the hidden (initial) world, wo say
- In practice, this will be done by the run-time system at the topmost level, but we can mock this up:

```
runI0 :: I0 a -> (a, World)
runI0 p
= p w0
```

• So, run10 printHI returns ((), ("abcdefgh", "HI")), which, using our simple I/O model, corresponds to printing "HI" on the screen

• Another example – read a character and then print it (c is the character read by getChar):

Alternatively:

• E.g., runIO readAndPrint returns ((),("bcdefgh","a"))

• So far so good, but we have a problem: if we expose the representation of the world then we can sneakily read input as a side effect of pattern matching, e.g. for our simple world model:

• Much more serious, any function that does I/O can 'corrupt' the world:

```
hack :: IO ()
hack (in, out)
    = (in, "Nasty message: I hate you!")
```

• The solution is essentially to *hide* the representation of the world from the user and just publish the types of the I/O primitives as an "interface" to the hidden world:

```
getChar :: IO Char
putChar :: IO ()
```

- Important: there is only one world
 - Each interface function only ever interacts with the single,
 hidden world
 - Users cannot see the world and so cannot duplicate it
 - There is no 'duplicate world' function in the interface

- In Haskell, IO is a built-in type and getChar and putChar are defined in a low-level I/O module (System.IO); interaction with the "real" world is via low-level I/O operations
- The functions >> and >>= are member functions of a class called Monad describing "sequencable" types:

```
class Applicative m => Monad (m :: * -> *) where
  (>>=) :: m a -> (a -> m b) -> m b
  (>>) :: m a -> m b -> m b
  return :: a -> m a
  fail :: String -> m a
```

- The 'm' here represents a *type constructors* (cf. a type), e.g. [], Maybe...
- More details will be provided in the in Advanced Programming, including an explanation of Applicative, * -> * etc.

• return allows a sequence, e.g. of I/O actions, to deliver a result which is something other than the result of the final action, e.g.

• Note that we can't just "return" a result in the traditional sense, because we'd get a type error, e.g.

```
getChar >>= \c -> (c == '\n') -- TYPE ERROR
```

In this case, Bool does not match IO Bool

• fail describes what to do in the event of failure when implementing the 'do' syntax – more of that later

Important notes

- All I/O has to happen at the topmost level, because the only way to propagate the world is via >> and >>=
- For an I/O program to type check, we must *always* use functions like >> and >>= for the result to be an IO a, for some a
- Turning it around, if you try to do anything other than I/O at the topmost level, or try to use I/O anywhere other than the topmost level, you'll get a type error, e.g.

```
*Main> getChar >>= \c -> (c == '\n') -- TYPE ERROR

*Main> getChar : "hello" -- TYPE ERROR
```

• Thus, amazingly, the the type checker prevents you from violating referential transparency!

'do' notation

- Haskell has a 'do' notation to make it easier to write 'monadic' code
- The following are equivalent indeed, the 'do' syntax on the left is just sugar for the expression on the right:

• The semicolons (;) can also be replaced with new lines, provided we indent appropriately

• An example (putStrLn and putStr print strings with and without new lines):

• This looks very much like I/O code in a conventional programming language; however, it's shorthand for

```
putStr "Please enter a character: " >> getChar >>=
  \c -> putStrLn ("\nYou entered '" ++ [c] ++ "'")
```

• Haskell has many other I/O primitives, e.g. getLine, readFile etc. Explore...!

Lots of things are monads

• Maybes are monads, e.g.

```
lookupTwice x y table1 table2
  = do
    v <- lookup x table1
    v' <- lookup y table2
    return (v, v')</pre>
```

• A Nothing in either lookup gets propagated, e.g.

```
*Main> lookupTwice 1 2 [] []
Nothing
*Main> lookupTwice 1 2 [] [(2,'a')]
Nothing
*Main> lookupTwice 1 2 [(1,5)] []
Nothing
*Main> lookupTwice 1 2 [(1,5)] [(2,'a')]
Just (5,'a')
```

? What does the Monad instance of Maybe look like?

• Lists are monads too, e.g.

```
*Main> do x <- "abc"; return x

"abc"

*Main> [x | x <- "abc"]

"abc"

*Main> do x <- [1,2,3]; y <- [4,5]; return (x, y)

[(1,4),(1,5),(2,4),(2,5),(3,4),(3,5)]

*Main> [(x, y) | x <- [1,2,3], y <- [4,5]]

[(1,4),(1,5),(2,4),(2,5),(3,4),(3,5)]
```

- Yes list comprehensions are just shorthands for monadic do expressions!
- ? What does the Monad instance of [] look like?

• Remark: the fail function in the Monad class is used to handle pattern matching failure on the left of a <-, e.g.

```
*Main> fail "Oops" :: IO ()

*** Exception: user error (Oops)

*Main> fail "Oops" :: Maybe Int

Nothing

*Main> fail "Oops" :: [Int]

[]

*Main> do 'a' <- Just 'z'; return 'a'

Nothing</pre>
```

• Thus, when translating do blocks, the expression do pat <- a1; a2 must be mapped to something like:

```
a1 >>= \arg -> case arg of

pat = a2

= fail "Pattern match fail..."
```

• See GHC.Base for the details