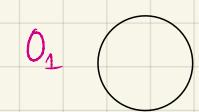


## \* A Quick Intro to Knot Concordance

~ Start at the start ~

A knot  $K$  is an embedding  $S^1 \hookrightarrow S^3$ .

~ Examples of knots ~

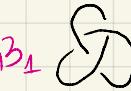


the unknot  
(trivial knot)



right-handed  
trefoil

$m = \text{mirror}$   
 $m_{3_1}$



left-handed  
trefoil

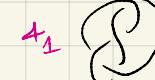
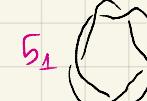


figure-8



cinquefoil



stevedore

We will often refer to knots that are not named by their name in the Rolfsen Knot Table, which is (crossing number) - index. For example, the  $5_2$  knot is drawn below.

$5_2$  knot:



note the difference from the  $6_1$  (stevedore) knot.

\* Determining when 2 knots are "the same" is quite a challenge, but we can use surfaces to build a type of equivalence between knots, known as **concordance**.

\* All knots bound surfaces, but such surfaces come in different flavors:

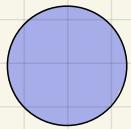
- ① orientable surfaces, Seifert surfaces, live in 3-dimensional space.
- ② surfaces that live in the 4-ball,  $B^4$ .
- ③ Two knots can co-bound a surface (knot concordance/cobordism).

① Seifert surfaces (always orientable!)

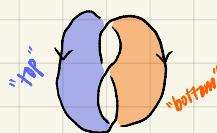
~ there is an algorithm (Seifert's Algorithm) that can be used to construct such surfaces.

We won't go over the algorithm in these notes.

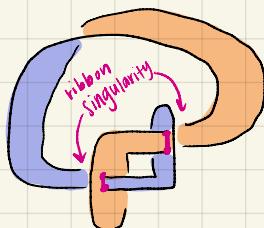
~ examples:



The unknot  
bounds a disk



the trefoil bounding  
a genus 1  
orientable surface

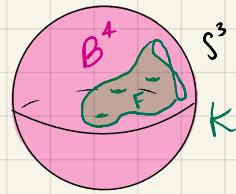


This is an example of a knot bounding a disk with ribbon singularities. Knots that bound disks with ribbon singularities are called ribbon knots.

(2) knots bounding surfaces in  $B^4$

~the set up:

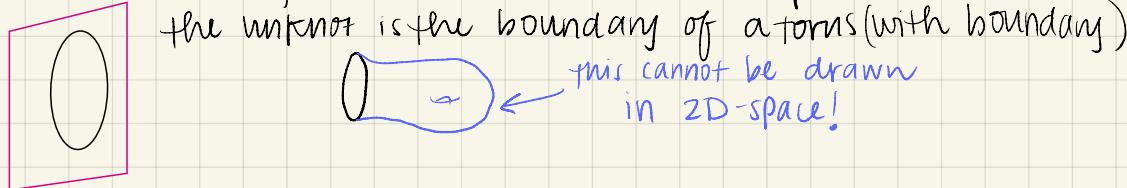
We know  $S^3$  bounds  $B^4$ , so  $K$  in  $S^3$  bounds a surface  $F$  in  $B^4$ .



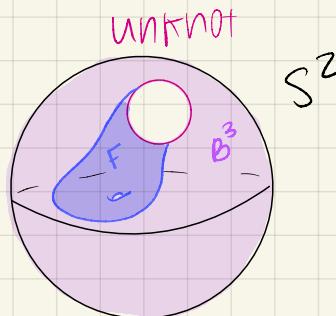
This is hard to imagine!

~A 3D Analog~

\* consider the unknot, drawn in 2-dimensional space.

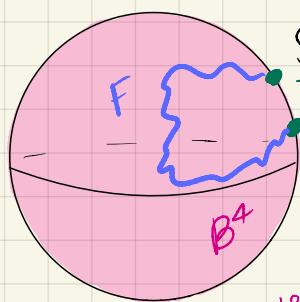


so we have the unknot in  $S^2$  bounding a torus (with bdry) in  $B^3$ :



call this  $F$ , the surface bounded by the knot.

~ We try to draw a similar picture for knots in  $S^3$  and surfaces in  $B^4$ , but as we cannot draw 4D pictures, we lower everything by 1 dimension.



$S^3$  the 3-sphere drawn as a 2D sphere

$\supset K$  the knot is  $S^1 \hookrightarrow S^3$  drawn as  $S^0 \hookrightarrow S^2$ , 0-dimensional boundary of a 1-dimensional object.

$F$  a 2D disk drawn as a line (1D) with endpoints the knot.

the 4-ball drawn as a 3D ball

~ these schematics are to help us visualize and not to be 100% accurate.

~ Notes on  $F$ :

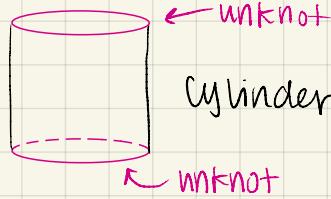
all of our embeddings (for these notes) are smooth. Thus, we do not have disks like:



\* A knot is called slice if it bounds a disk  $D^2$  in  $B^4$ . e.g., the unknot is slice. the trefoil ( $k_1$ ) knot is also slice.

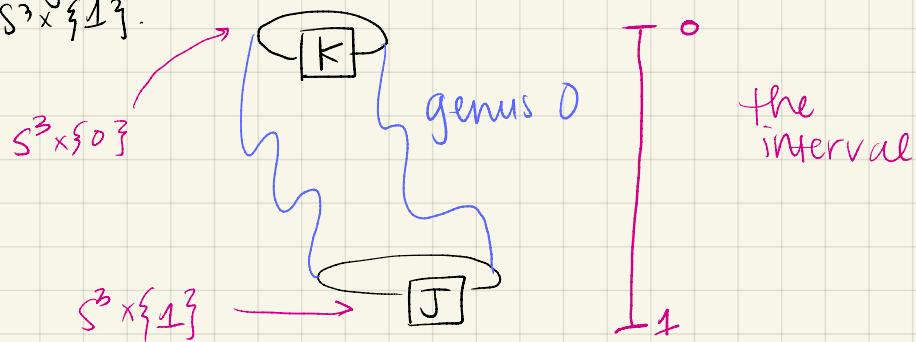
③ Two knots co-bounding a surface.

~ simple example:



Two unknots co-bound a genus zero surface

\* Two knots  $K$  and  $J$  are **concordant** if there is a smooth embedding of a genus zero surface into  $S^3 \times [0,1]$  where  $K$  is in  $S^3 \times \{0\}$  and  $J$  is in  $S^3 \times \{1\}$ .



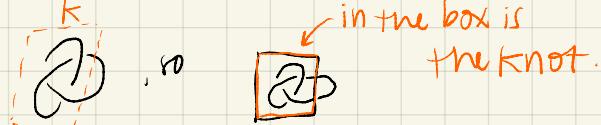
\* the surface can have saddles (and likely will)

\* the genus 0 surface is often called "an embedded annulus"

~ a note on the drawing:

When we draw arbitrary knots, we do with an arc. Why?

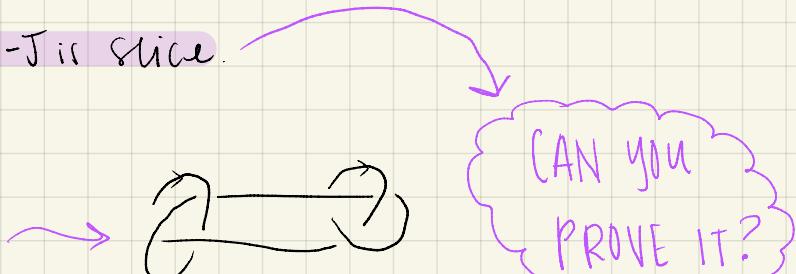
take a simple example:



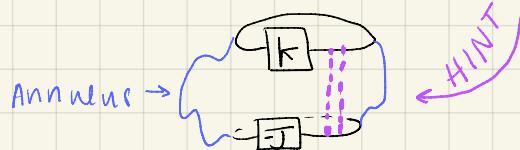
\* The knots  $K$  and  $J$  are concordant if  $K \# -J$  is slice.

~ connect sum of knots.

trefoil # trefoil



~ drawn abstractly:  $K = J$



~ what is  $-J$ ? The mirror reverse



trefoil =  $K$



reverse =  $K^r$



mirror =  $mK$



mirror reverse =  $-K$  ( $mK^r$ )

\* Knot concordance is an equivalence relation. Try to prove it!

① Show reflexive:  $K \sim K$  Hint:  $K \# -K$

② Show symmetric:  $K \sim J \Rightarrow J \sim K$ . Hint: draw a picture

③ Show transitive:  $K \sim J, J \sim M \Rightarrow K \sim M$ .

\* We are following "A survey of classical knot concordance" - Chuck Livingston.

\* Thm 2.2: The set of concordance classes of knots forms a countable abelian group, denoted  $\mathcal{C}$ , with operation connect sum and the unknot representing the identity.

~ Can you show  $\mathcal{C}$  is a group? Inverse?

RECALL: Slice knots bound a smoothly embedded disk in  $B^4$ .

~ Slice knots are concordant to the unknot ~

\* Defn 2.3: A knot  $K$  is called ribbon if it bounds an embedded disk  $D$  in  $B^4$  for which the radial function on the ball restricts to a smooth Morse function with no local maxima in the interior of  $D$ .

eg, no index 2 critical points.

Slice ribbon conjecture: A knot is slice  $\Leftrightarrow$  A knot is ribbon. → Still open!

## § 2.2 - Algebraic Concordance

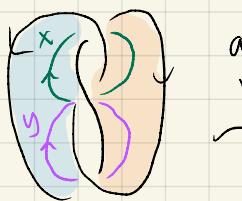
\* Defn 2.4:  $K$  is a knot with Seifert surface  $F$ . A Seifert pairing is a bilinear map

$$V : H_1(F) \times H_1(F) \longrightarrow \mathbb{Z}$$

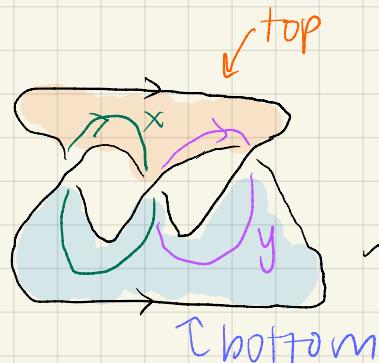
where  $V(x, y) = lk(x, i_*y)$  sometimes written  $lk(x, y^+)$

$i_*y$  or  $y^+$  denotes the positive push off.  $i_*$  is the map induced by  $i : F \rightarrow S^3 - F$ .

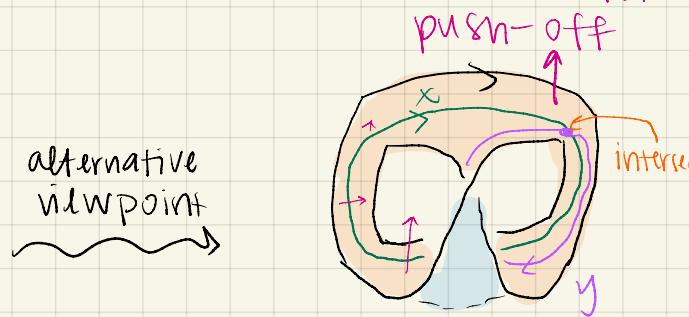
example: trefoil



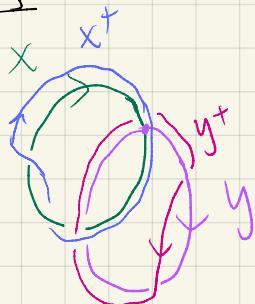
alternative viewpoint



alternative viewpoint



loops:



$$lk(x, x^+) = -1$$

$$lk(x, y^+) = 1$$

$$lk(y, y^+) = -1$$

$$lk(y, x^+) = 0$$

Seifert matrix:

$$\begin{bmatrix} -1 & 1 \\ 0 & -1 \end{bmatrix}$$

note:

negative crossing

positive crossing