

## QB - I

~~(P)~~ Q) What is data abstraction and data independence?

Ans:

(1) Data abstraction: Data abstraction refers to the process of hiding the complex details of data storage and presenting only the essential features to the users.

It helps simplify the interaction b/w users and the database system by focusing on the high-level structure and functionalities rather than low-level implementation details.

- Data abstraction occurs in three levels.

(1) Physical or internal level: describes how the data is stored in the database.  
e.g.: File storage, indexing  
This level is hidden from the user

(2) Logical or conceptual level: defines what data is stored in the database, and relationship b/w those data.  
This level is typically used by DBMS developers and database administrators.

(3) View or external level: This level represents how users interact with data. It provides a specific view of data relevant to individual users or user groups (e.g.: a subset of the data).

## 2. Data Independence:

data independence refers to the ability to change the schema at one level of the database without affecting the schema at higher level.

In short, it ensures that changes in data representation or structure do not affect the overall database functionality and application that uses the data.

- There are two types of data independence:

(1) physical data independence: the capacity to change the physical storage structures or devices (e.g. disk format) without affecting the logical schema

this is considered more difficult to achieve.

(2) Logical data independence: the ability to change the logical schema without affecting the external schema or applications that access the data.

This is more difficult to achieve than physical data independence, but it offers better flexibility for the users and administrators.

~~(Q) Explain types of user in database management system?~~

Ans:

A database User is defined as a person who interact with data daily, updating, reading, and modifying the given data.

In DBMS, different types of users interact with the database based on their roles and responsibilities.

- These users can be categorized as follows:

~~(a) Database Administrator (DBA)~~

- The DBA is responsible for managing and maintaining the database.
- Task includes:
  - ✓ database design and creation
  - ✓ user account management
  - ✓ Backup and recovery
  - ✓ ensuring security and integrity of the DB

~~(b) Applications programmers:~~

- These users develop application programs that interact with DB
- They write SQL queries, procedures, and functions to retrieve, insert, update, or delete data.

~~(c) End users (Final users who interact with the DB)~~

- End users are those who directly use application or interfaces to retrieve or modify data.

### (1) Casual Users:

Occasionally access the database using query language.

eg: A manager who checks reports

### (2) Naïve Users:

Regular user who interact with application

eg: Bank customers using an ATM

### (3) Sophisticated Users:

Have advanced knowledge of DB queries

- use analytical tools, reporting tools, SQL queries to fetch specific data.

eg: Data scientist

### (4) Testers:

The database applications for bugs, performance issue and security vulnerabilities.

- validate data integrity and functionality

### (5) External Users:

- users who access the database remotely through API or web services

~~(3)~~ define DBA , discuss role and responsibilities  
**Ans:**

### ~~• Database Administrator (DBA):~~

- The database administrator is responsible for the overall planning of company's data resources, for the design of data, and for the day-to-day operational aspects of data management.
- A database administrator is a person responsible for the installation, configuration, up-gradation, maintenance and monitoring database in an organization.
- The DBA is crucial in handling the technical aspects of the database while ensuring that users can access and use it effectively.

### • Roles of database administrator:

- (1) The DBA needs to perform many roles to keep the dB up and running
- (2) System administrator / designer
- (3) DBA need to manage DBMS software and server
- (4) DBA also responsible for deciding on the storage and access methods
- (5) DBA performs all data fields update or adding new fields into dB
- (6) Database Developer / programmer
- (7) System Analyst
- (8) DBA needs to take care of system crashes by planning proper recovery procedures.

## • Responsibilities of DBA

(1) Designing overall db Schema

(2) selecting and installing db software and hardware

(3) Designing Recovery procedures

(4) The DBA select suitable DBMS software like oracle, SQL Server or MySQL

(5) Designing Authorization / Access control

(6) operation management

(7) investigation of errors found in data

(8) initiation and control of all periodic dump data

(9) in order to take care of crashes DBA needs to design the system recovery procedures and also specifying techniques for monitoring database performances.

~~Q1~~ explain the concept of data independence  
for this question  
follow (Q1)

and give diff b/w

logical and physical data independence

Ans:

(i) physical data independence

Logical data independence

(i) it mainly concern about how data is stored in the system

it mainly concerned about the structure or the changing data defines.

(ii) it is easy to retrieves

it is difficult to retrieves because the data is mainly dependent on the logical structure data.

(iii) it is concerned with the internal schema

it is concerned with the conceptual schema.

(iv) Any change at the physical level, doesn't require to change at the application level

the change in the logical level requires a change at the application level.

(v) Storage structure, indexing, compression, file organization

Table structure, relationships, attributes, constraints.

(vi) As compared to the logical independence it is easy to achieve physical data independence.

As compared to the physical independence it is not easy to achieve logical data independence.

example: change in compression techniques, Hashing algorithm and storage devices

example: Add / modify or delete a new attributes.

~~Ques:~~ define entity, types of entity with example.

Ans:

- entity :

- entity is anything in real world which may have physical or logical existence.
- ~~An entity is anything in real world with its physical existences.~~

example: student, Faculty, subject having independent physical existences

- entity set:

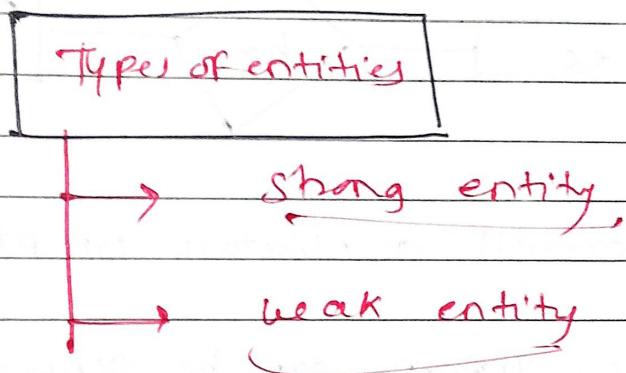
entity set is collection of entities of same types

example: Student entity set contains all students in college database.

## entity types:

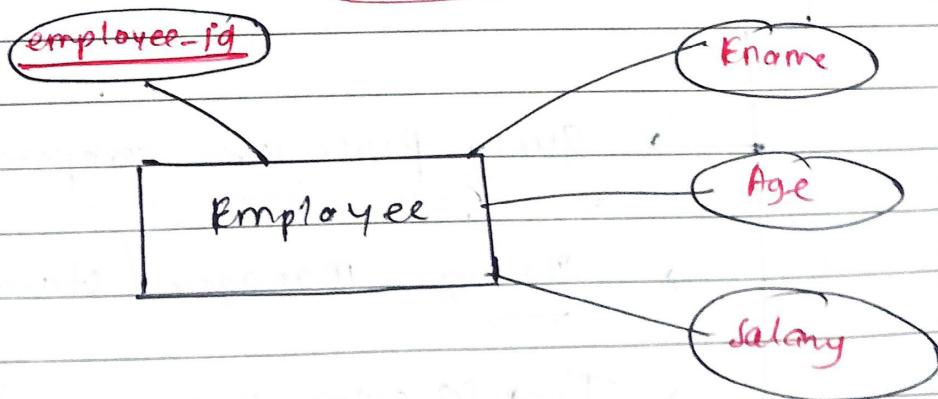
- entity set is collection of entities with same attributes
- As in student table, each row is an entity and have some attributes  
In other words we can say a student table is an entity type:

\* The types of entities are:



(a) Strong entity: entity type which has its own key attributes by which we can identify specific entity uniquely is called as strong entity type.

example:

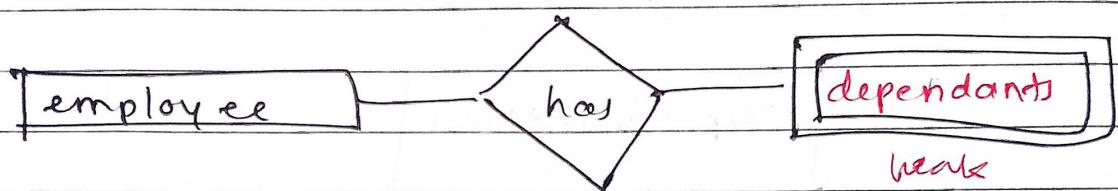


Strong entity type is represented by single rectangle.

(b) Weak entity type: entity type which cannot have distinct key from their attributes and takes help from corresponding strong entity. It is called weak entity type.

- Weak entity is represented by double rectangle.

example:



(g) describe overall architecture of DBMS

Ans:

A database system can be separated into two different modules that deal with all operations of the overall system.

Components of a database system

→ Query Processor component

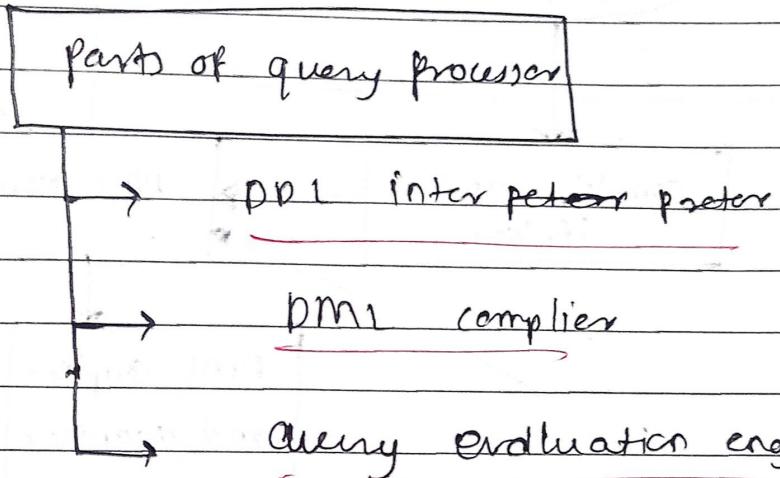
→ Storage Manager / storage Management

→ Transaction management

## (1) query processor components.

The query processor will accept query from user and solves it by accessing the database.

### • Parts of query processor

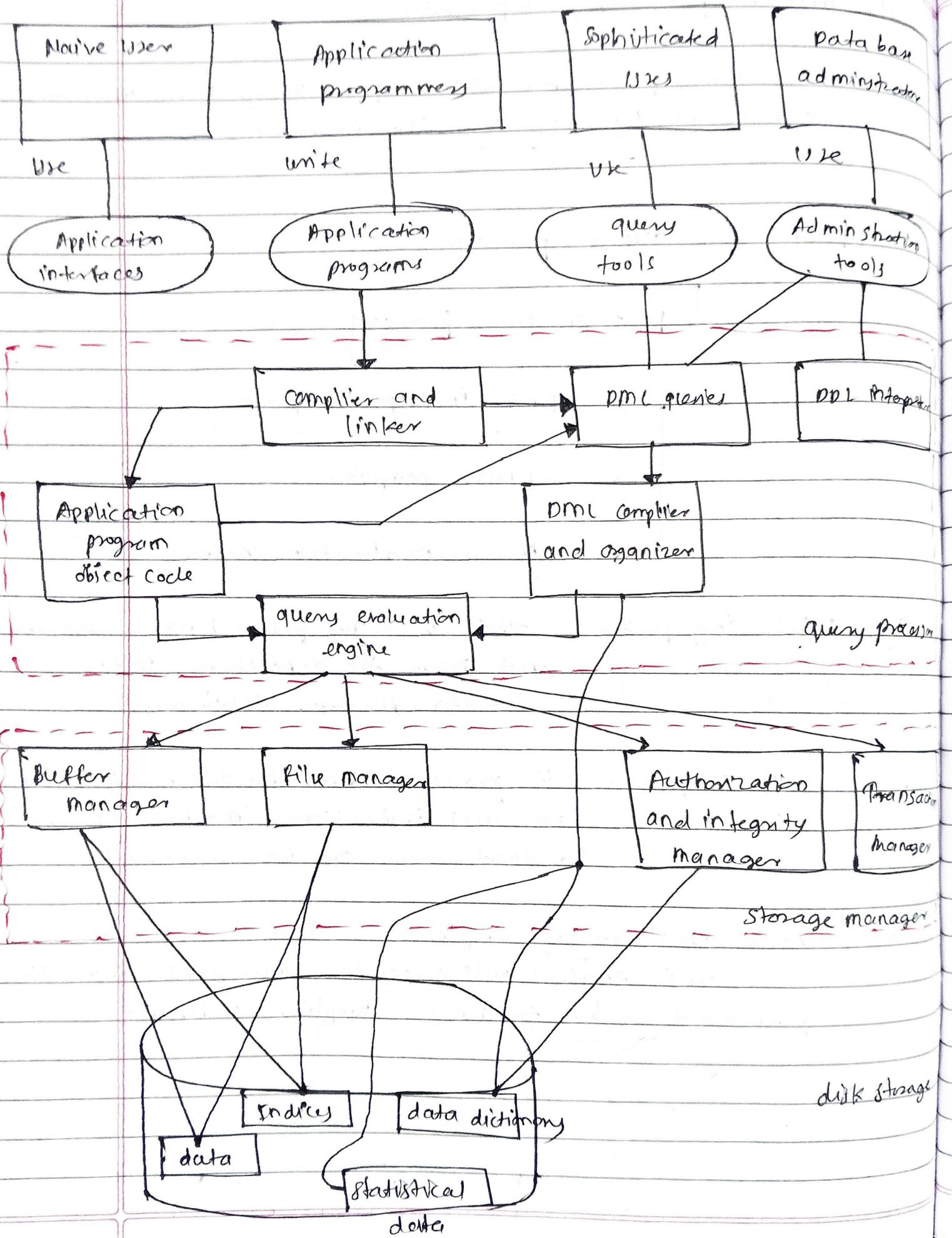


(1) DDL interpreter: This will interpret DDL statements and return the definitions in the <sup>from definition</sup> data director dictionary.

(2) DML compiler: It processes DML statements into low level instruction (machine language) so that they can be executed.

### (3) Query evaluation engine:

This engine will execute low-level instruction generated by the DML compiler or DBMS.



## (2) Storage Manager / Storage management

- A storage manager is program module which acts like interface b/w the data stored in the database and the application programs and queries submitted to the system.
- The data is stored on the disk using the file system.
- The storage manager is programme which is responsible for the interaction with the file manager.
- The storage manager translates the various database language statements into low level file system commands.
- Thus, the storage manager is responsible for storing, retrieving and updating data in the database.

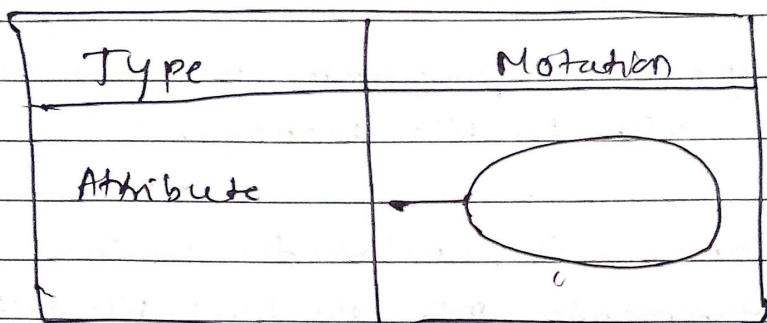
## (3) Transaction Management:

- A transaction is a series of small database operations that together form a single large operation.
- A transaction is started by issuing a Begin Transaction command. Once this command is executed the DBMS starts monitoring the transaction.
- Transaction management components will ensure the atomic, atomicity and durability properties.

~~(Q)~~ explain types of attributes.

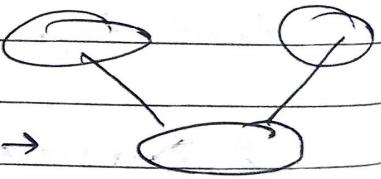
Ans:

Attributes are the property which define entity types.  
each entity has its own property which describe attributes.



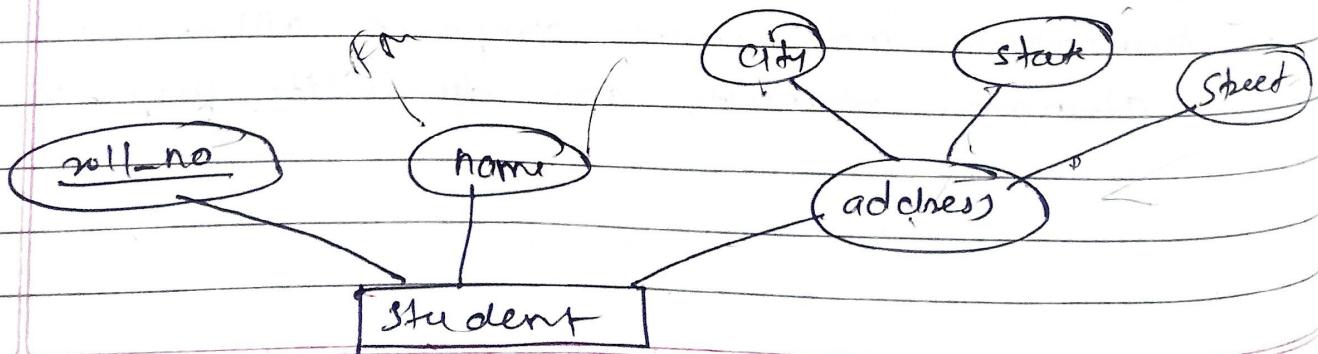
#### • Types of Attributes

- (1) composite Attribute
- (2) multivalue -|-
- (3) derived -|-
- (4) ~~foreign~~ key -|-

~~(1)~~ composite Attribute : Notation : → 

- it can be divided into multiple sub parts

e.g:



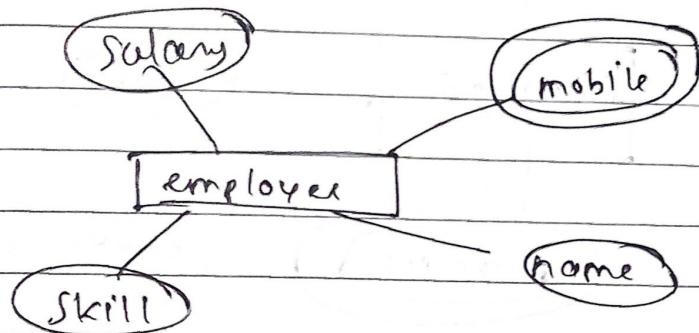
## (2) Multivalue Attributes:

- A Attribute which have multiple values.

- Notation:



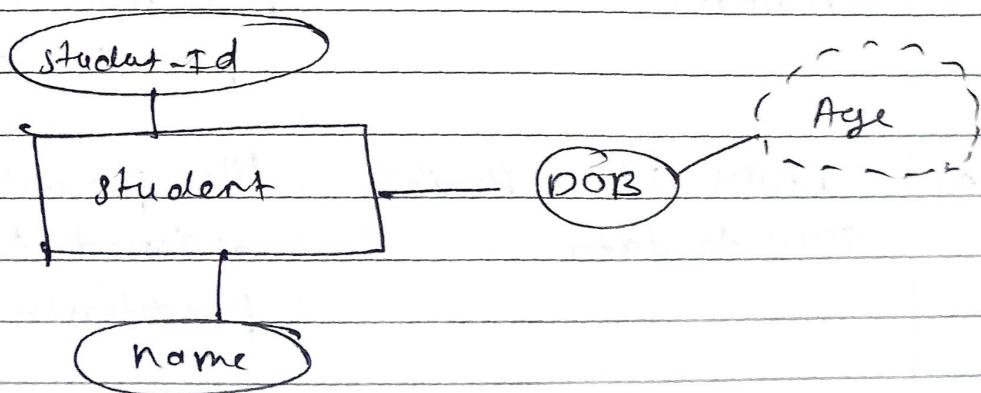
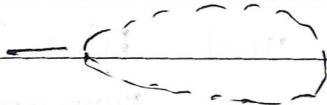
eg:



## (3) Derived Attributes:

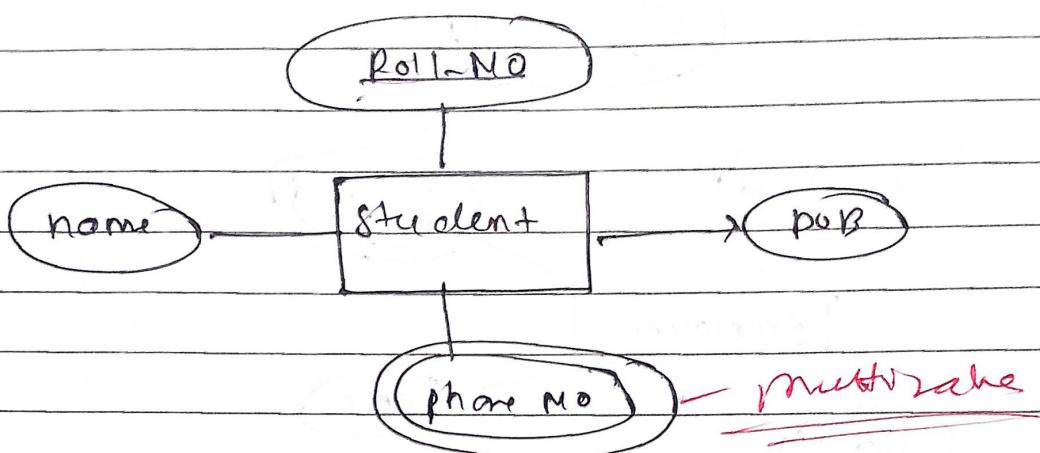
This are the types of attributes which are not exist physically in database but their values can be derived from other attributes present in database.

- Notation:



<sup>key</sup>  
A  
(4) Null Attributes:

To identify attributes uniquely we set key to attributes and it's can be denoted by underline (  )



~~(\*)~~ compare file processing system & DBMS

No	DBMS	file processing system
(1)	computerize of record - keeping system is used in DBMS	collection of individual files accessed by application programs is called file processing system
(2)	DBMS allows flexible access to data	file processing system is designed to allow predetermined access to data
(3)	It co-ordinates both physical and logical	it co-ordinate only the physical access to data

No.	DBMS	File processing system
(4)	DBMS provide multiple user interface	data is isolated in the file system
(5)	Unauthorized access is restricted in DBMS	Unauthorized access cannot be restricted
(6)	Redundancy can be controlled	Redundancy cannot be control.

~~(6) Explain the features of EER model with example~~

- The enhanced entity relationship (EER) model  
 It is an extension of the traditional entity relationship (ER) Model.

\* It includes all the modeling concept of ER model

~~(1) Specialization~~

~~(2) Generalization~~

~~(3) Aggregation~~

~~(4) attributes & instances~~

~~(5) cardinality~~

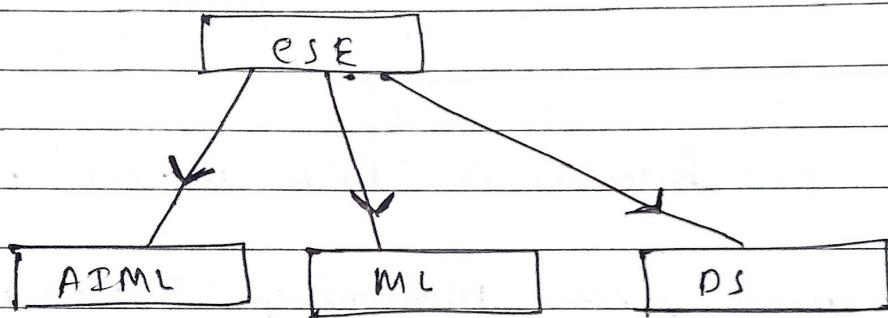
~~(6) partial participation~~

~~(7) Integrity constraints.~~

### ~~(1)~~ Specialization:

- it is a ~~top~~ down approach of super class / sub-class relationship
- It is process of defining a set of superclass and subclass entities of same entity type.

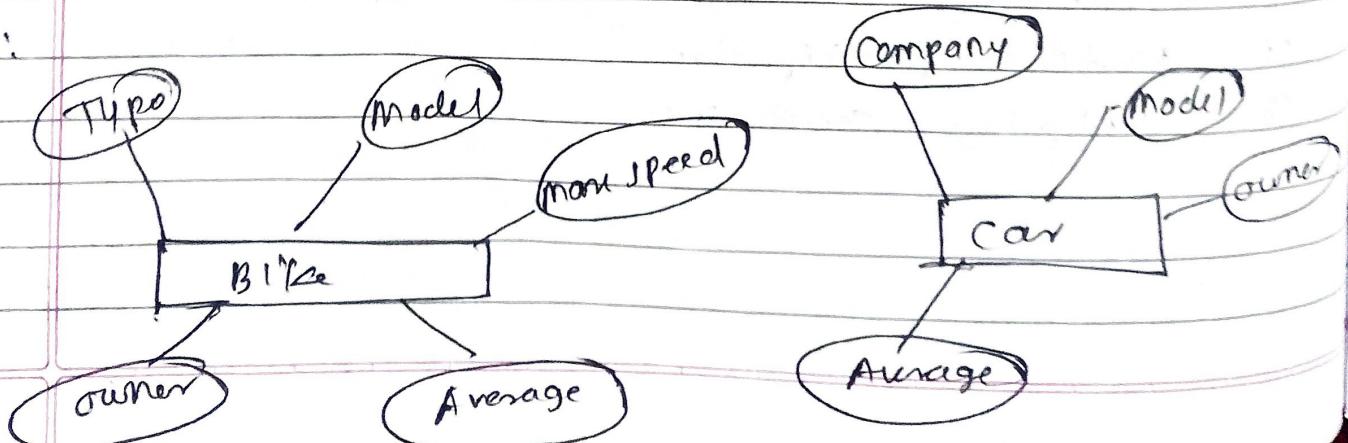
e.g.:



### ~~(2)~~ Generalization:

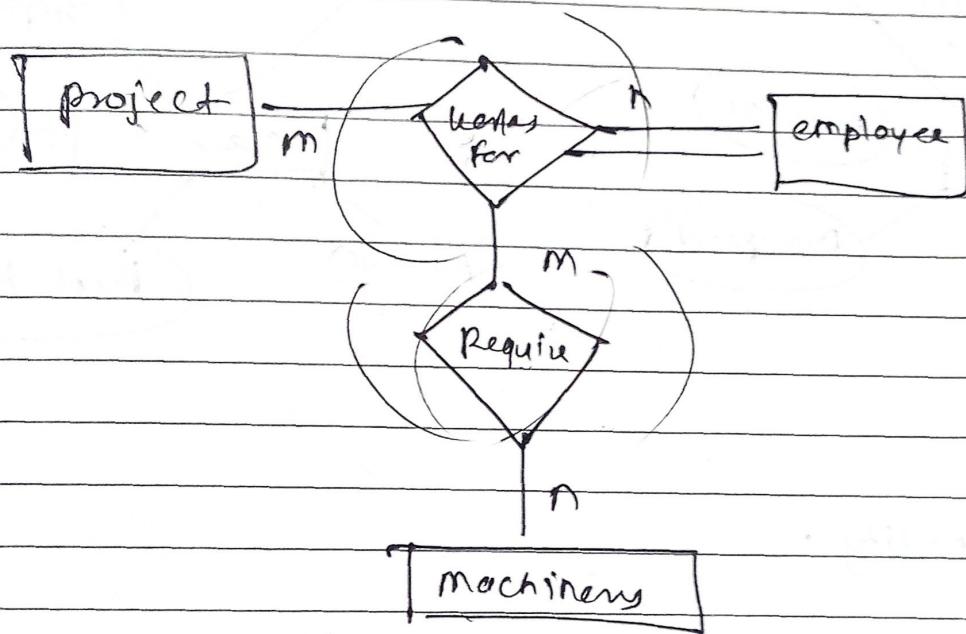
- it is a ~~bottom up~~ approach.
- Generalization is the reverse of specialization.  
it combines two or more lower level entities into a higher level entity based on shared attributes

e.g.:



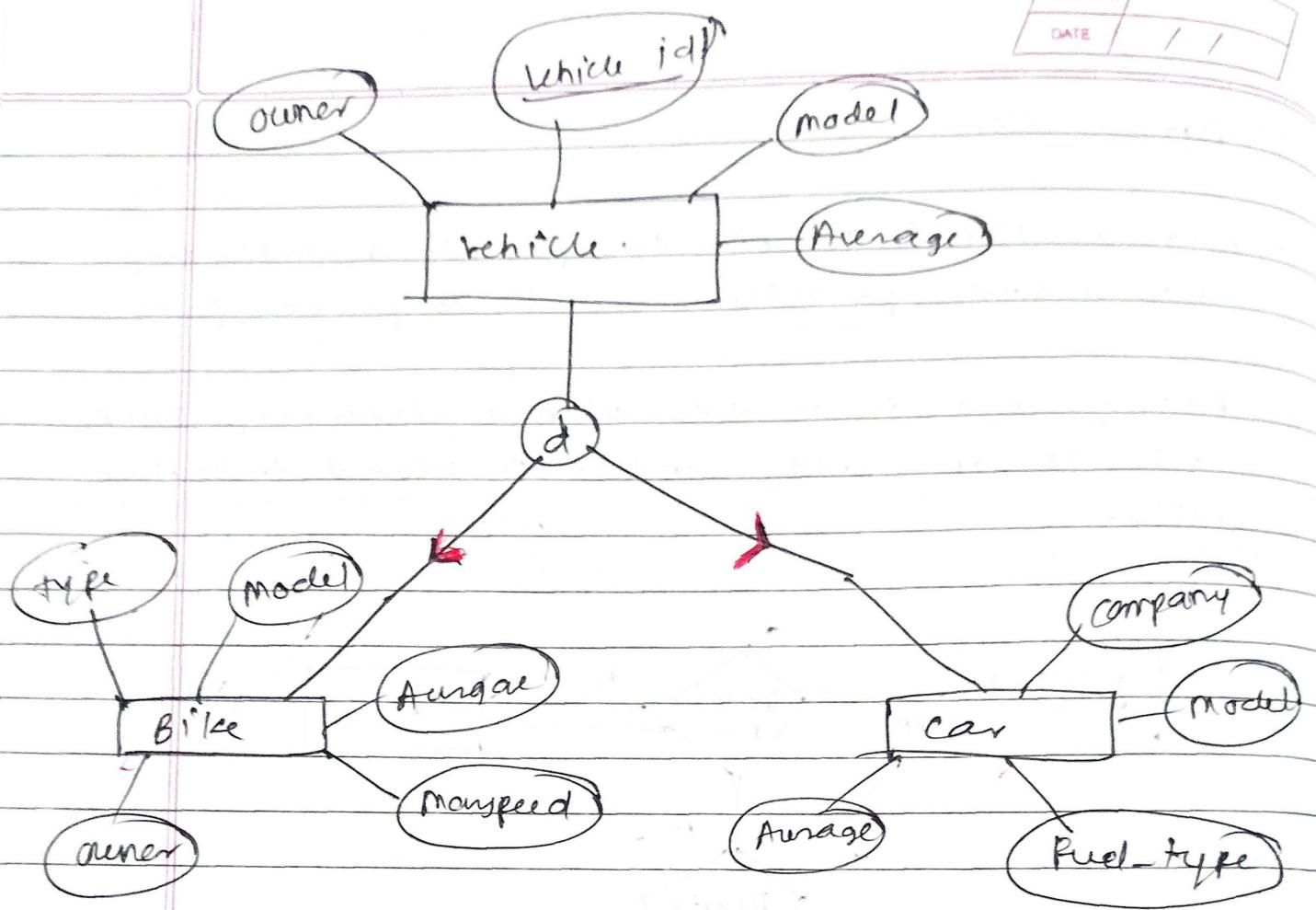
### (3) Aggregation

- Aggregation is meant to represent a relationship between a whole object and its component parts.
- Aggregation is used to when a relationship itself act as an entity and it is related to another entity.



### (4) Attribute inheritances:

- The attributes of higher and lower level entities created by specialization and generalization are attribute inheritances.
- Here subclass automatically inherits attributes from superclass.

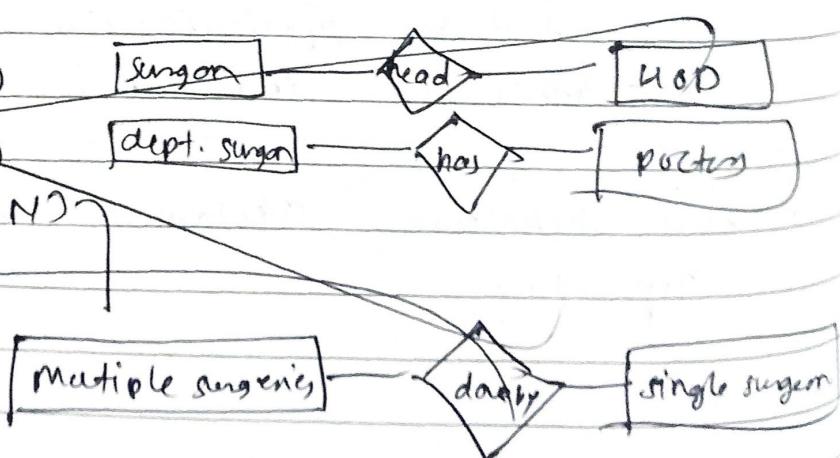


(5) Cardinality :

refers to no. of relationships b/w entities in a database relationship.

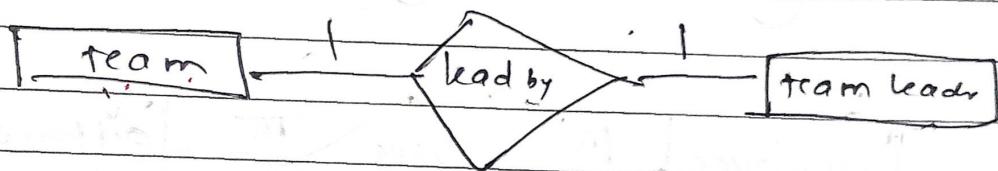
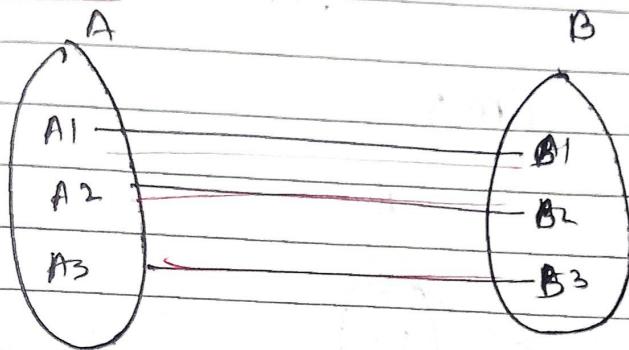
Types

- One to one (1 : 1)
- One to many (1 : M)
- Many to many (M : N)

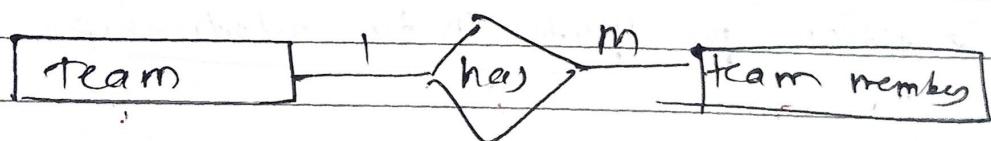
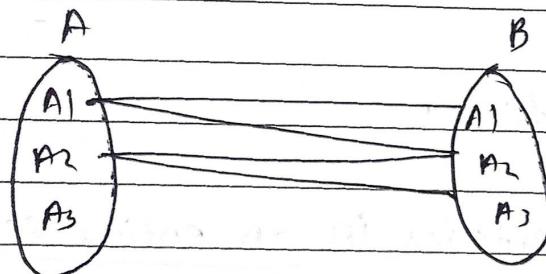


(E) Partial Participation:

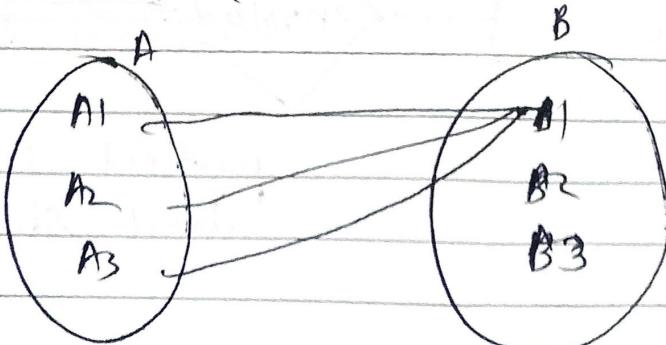
(D) one to one ( $1:1$ )

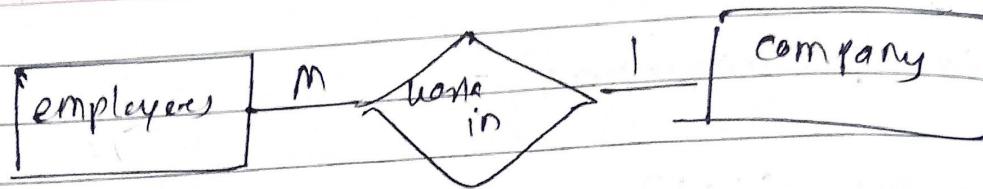


(C) one to many : ( $1:m$ )

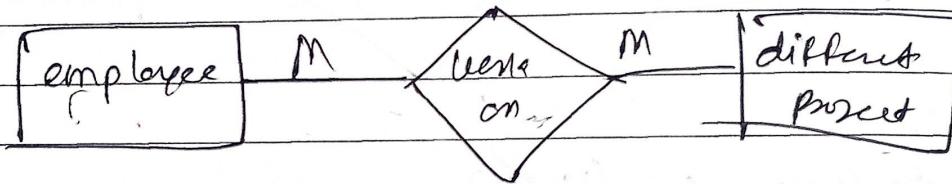
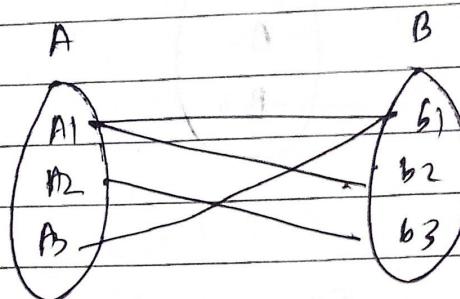


(B) Many to one ( $m:1$ )





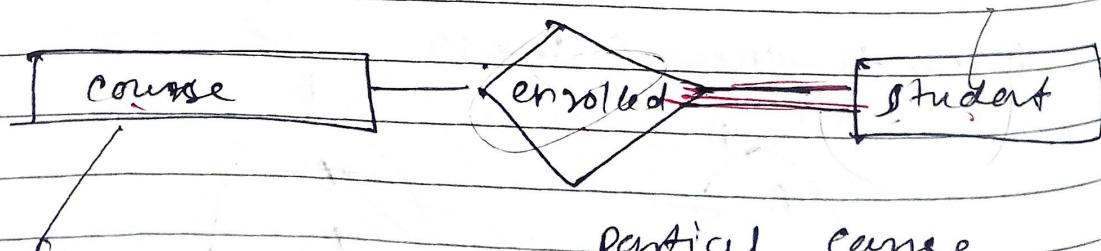
(4) Many to many (M)



~~(5)~~ Partial participation:

occurs when some entities in an entity set are related to another entity set, but not all entities participate in the relationship

eg:



partial course  
total student

## (2) Integrity constraints

Integrity constraint in a dbms are rules that ensure data is accurate, consistent, and secure. secu.

### ~~Types of integrity constraints~~

- Domain      - 1 - 1
- entity integrity      - 1 -
- Referential      1 - 1
- key

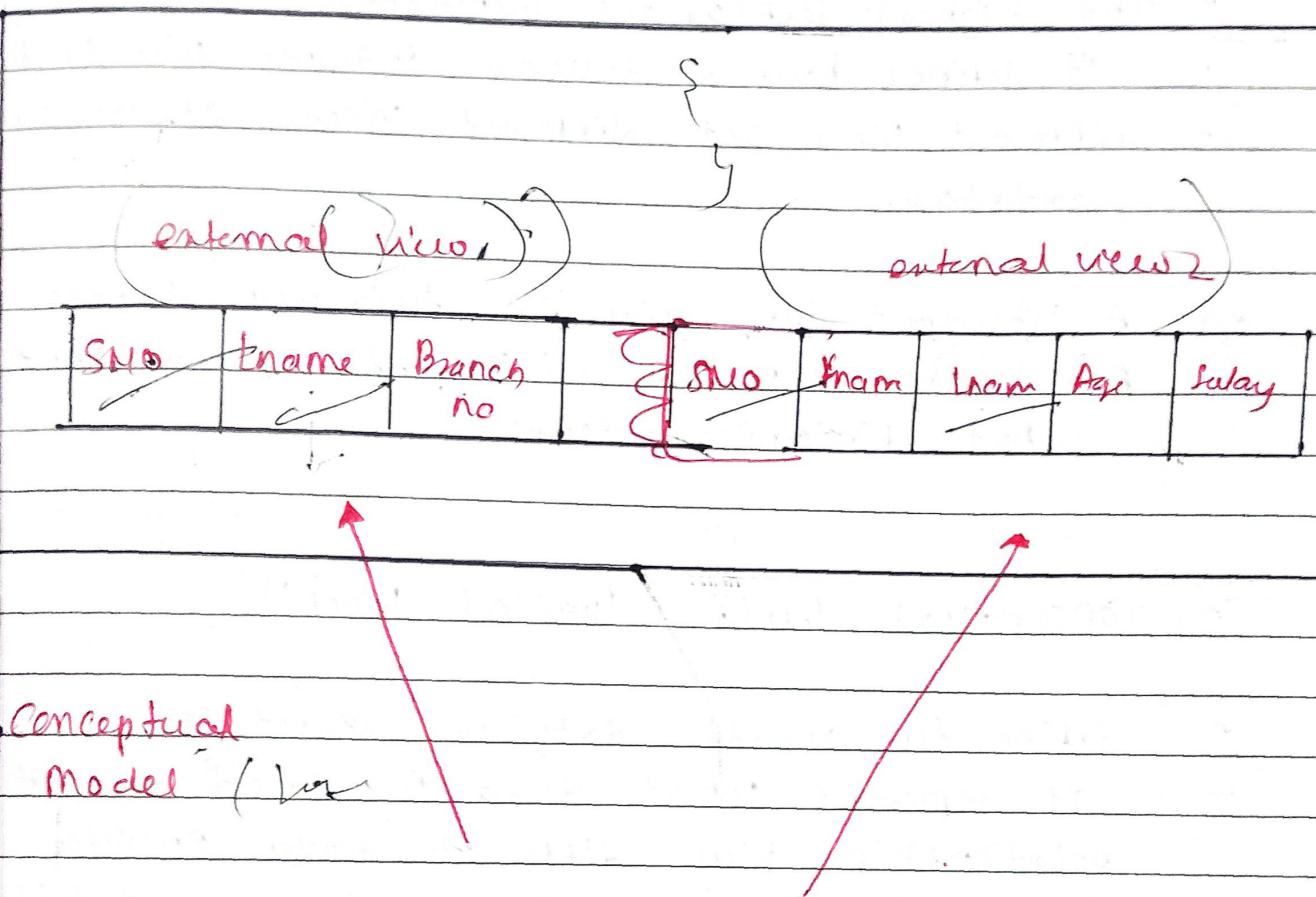
- Types**
- key
  - domain
  - Referential check

~~Q)~~ explain 3 layer architecture of DBMS

Ans:

- + The goal of three layer architecture to separate the front end and the back end
- The three schema architecture is a tool with which the user can visualize the schema levels in DBMS
- Many DBMS system do not separate the three level completely but support the three schema architecture to some extent.
- A description of data in terms of a data model is called schema.

The description of database is called database schema.



Conceptual  
Model (Box)

S.No	Fname	Lname	Age	Salary	Branch no

internal view

create table of employees  
(

Sno Number (30);

Fname Varchar(2) (50);

Lname Varchar(2) (50);

Age Number (~~50~~);

Branch no Number (10);

Salary Number (10,4);

);

## (1) External level (View level)

- the highest level of the architecture
- it defines how end-users interact with the DB
- different user get different views of the same database.

eg: A student can only see their own grades  
A teacher can see all student's grade but not financial details

## (2) Conceptual level (logical level)

- define the overall database structure
- It represent what data is stored and the relationship b/w different data entities.
- This level is independent of storage details and physical changes

eg: A University DB stores info about Student, Course, and Enrolments and their relationship.

## (3) Internal level (physical level)

- the lowest level of abstraction
- it defines how data is physically stored in memory (file, indexing and storage structures)

eg: Data is stored in B-Tree, hash index or hard disk or SSD

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## (1) external level (view level)

- defines how diff user see the database
  - each user may see a diff part of the database
- eg: A Student can see only his marks.  
An admin can see marks of all Students.

## (2) Conceptual Level (logical level)

- defines the entire database structure logically
- eg: A table students with fields Roll No, Name, Marks

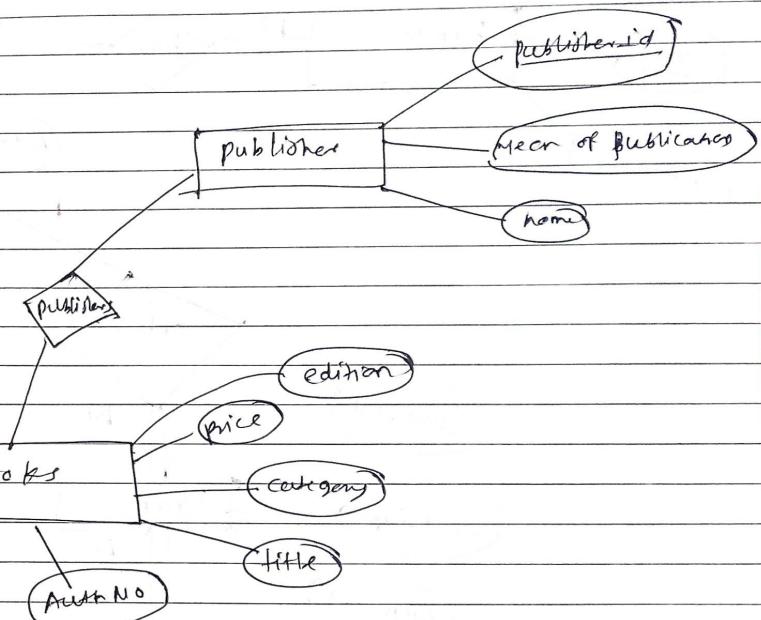
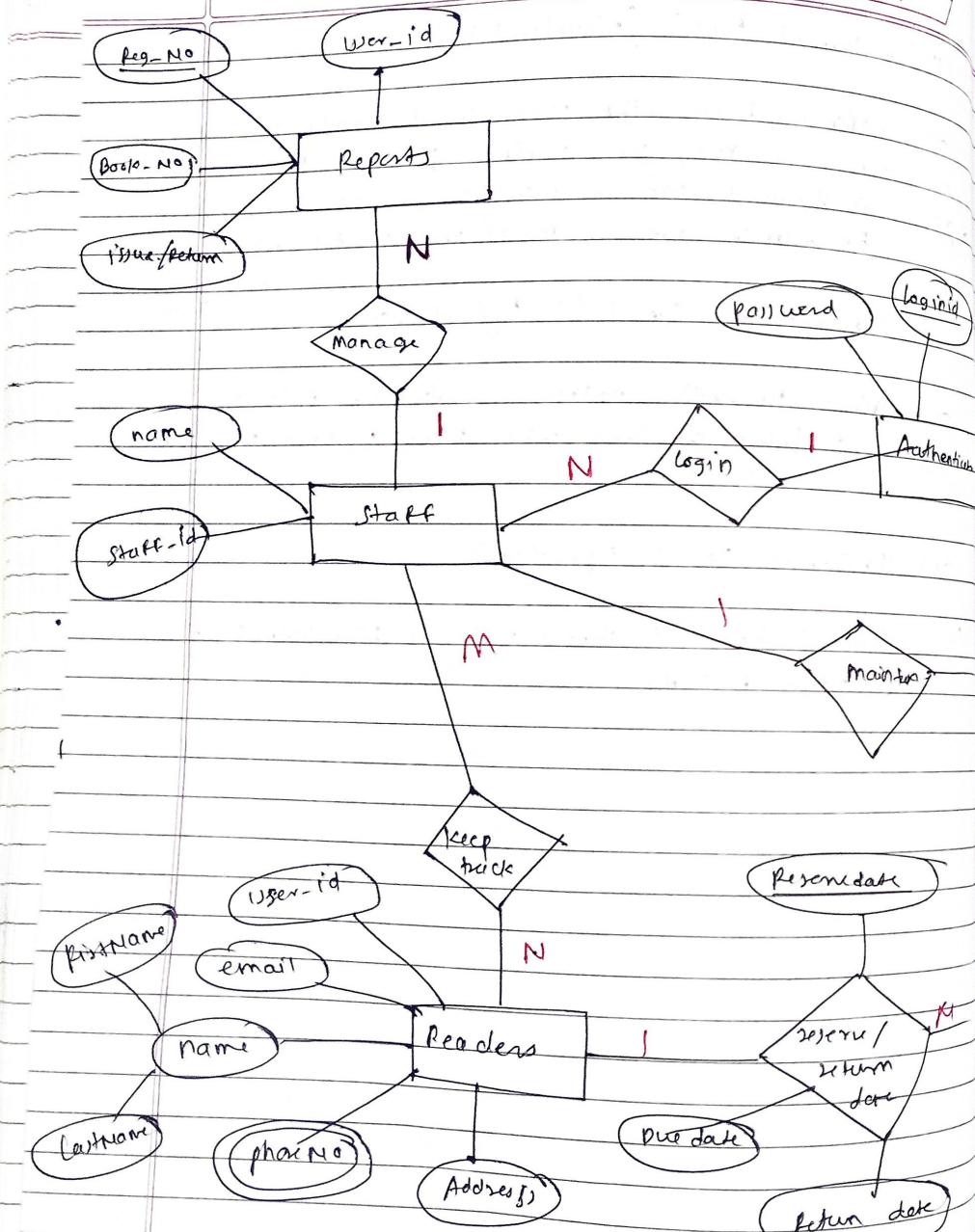
## (3) Internal Level (physical)

eg: Student table is stored as sorted files with B+tree indexing Roll No.

★ ER diagram

Library Management

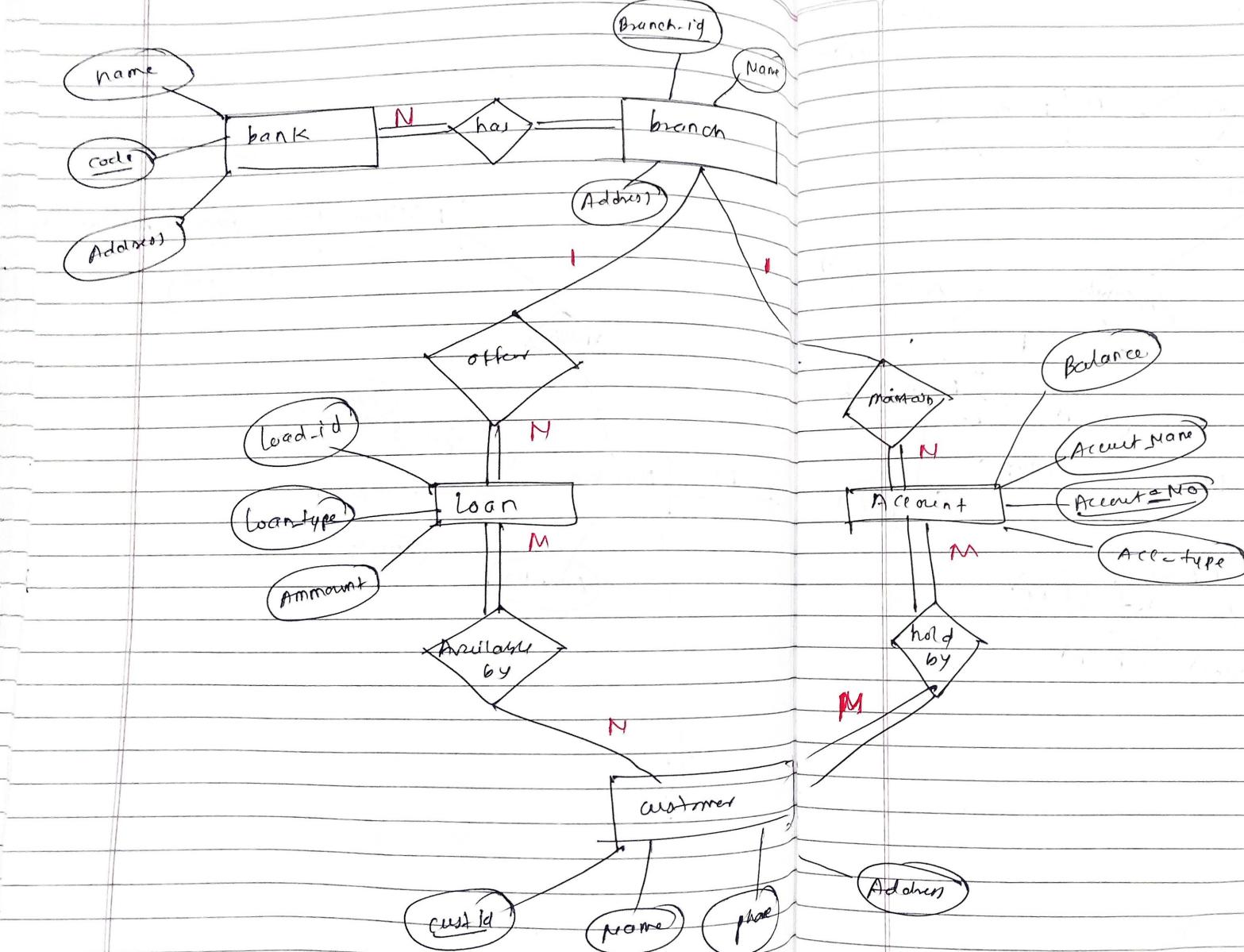
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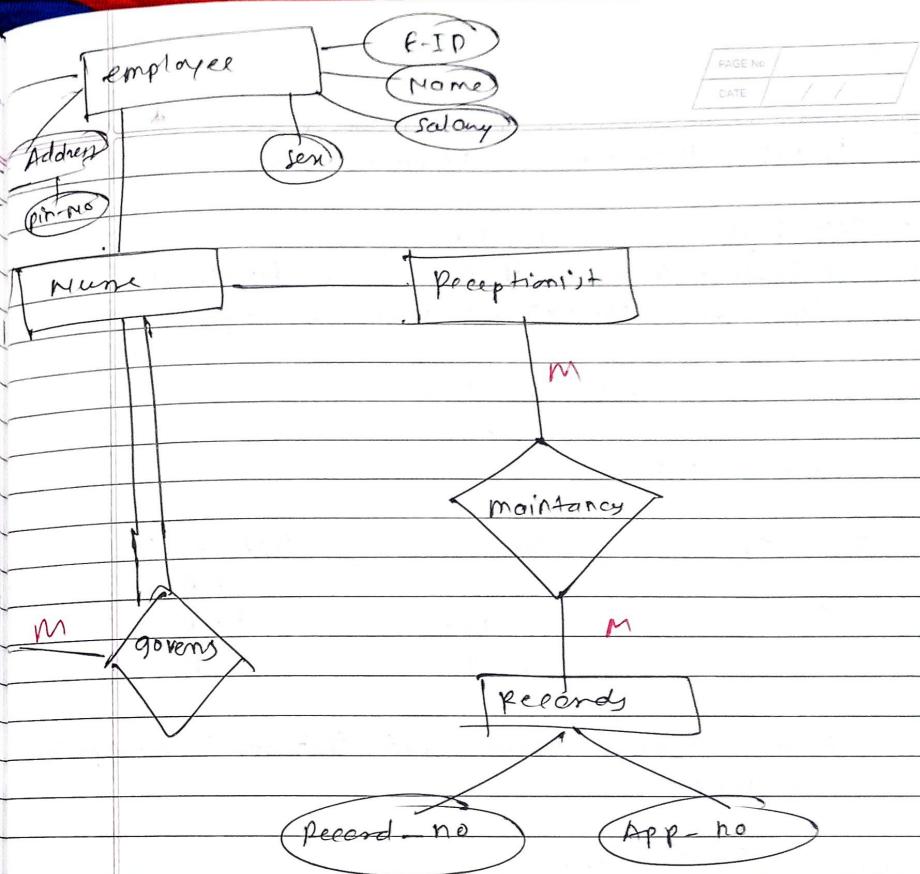
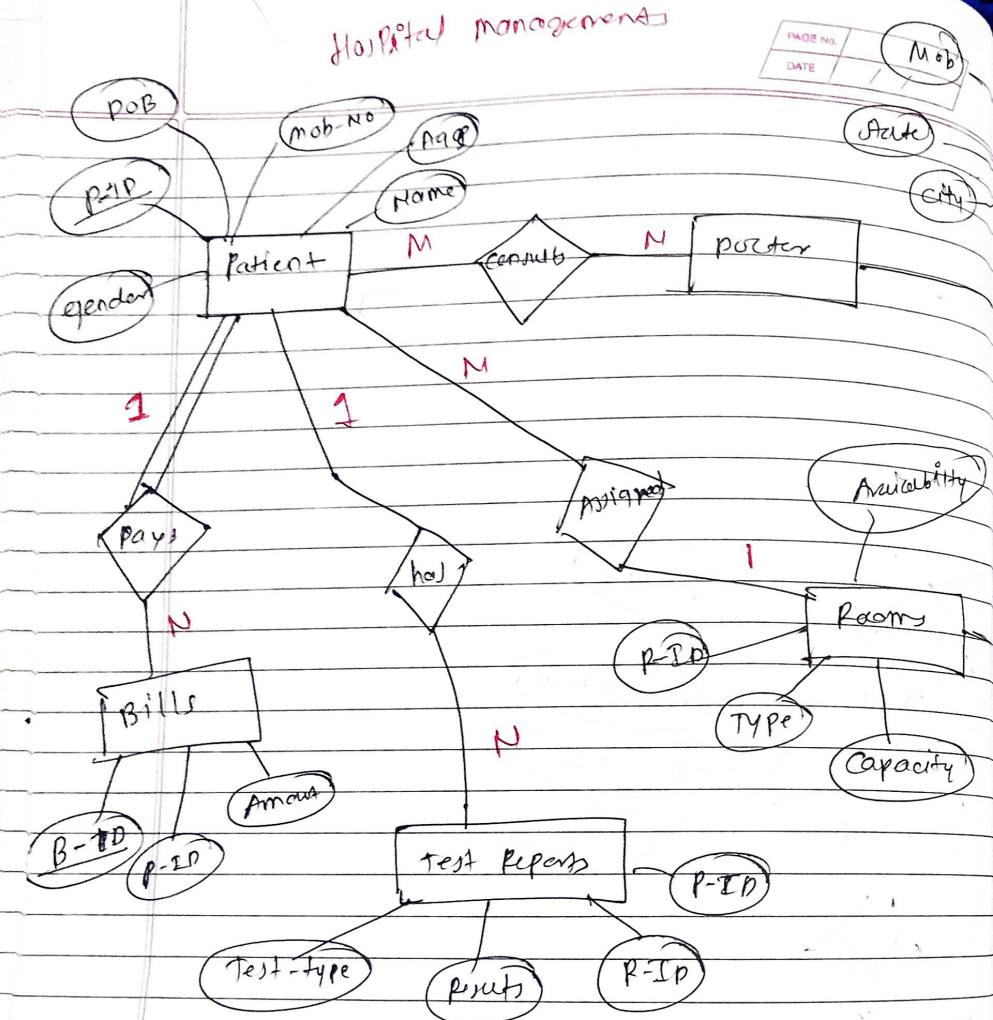
# Bank Management

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# Hospital management



## DBMS UT-2

~~Q1~~ Why there is need of normalization.  
explain 1NF, 2NF & 3NF

Ans:

Normalization is the process in DBMS to organizing data in database to reduce redundancy (Repetition) and avoid data anomalies.

① Why is there a need of Normalization?

(1) ~~eliminate data redundancy~~ — No unnecessary duplicate data.

(2) ~~Ensure data integrity~~: data stay accurate & consistent

(3) ~~Avoid anomalies~~ : prevent

- insertion anomaly (trouble inserting data)

- deletion — — (losing valuable data)

- update — — (inconsistent when updating)

(4) ~~Better database design~~ — clean and logical table structures

## (1) 1NF (First Normal Form)

A Relation is 1NF if:

- All attributes contain only atomic (indivisible) values
- each column contains values of a single type
- each record is unique.

e.g.: Not in 1NF: ↴

Roll.No	Name	Subject
1	Megh	Math, science
2	Ash	English

Here 'subject' has multiple values (not atomic)  
so not in 1NF.

After 1NF:

Roll.No	Name	Subject
1	Megh	Math
1	<del>Megh</del>	science
2	<del>Ash</del>	English

"if many introduce data redundancy"

No partial dependency : No non-prime attribute depends only on part of a composite key  
 Must depend on whole primary key, not just part of it

### Q2) 2NF (Second Normal Form):

A Relation in 2NF is:

- ✓ It is already in 1NF
- ✓ No partial dependency
- ✗ Partial dependency means: A non-prime attribute depends only on part of the primary key, not the whole

example: (1NF but not 2NF)

primary key : Roll.No , subject

Roll.No	Subject	Student Name
1	Math	Megh
1	Science	Megh

Here student name depends only on Roll No, not on full primary key → partial dependency → not in 2NF

After 2NF: Student table,

(split into two table)

Roll.No	Student Name
1	Megh

Subject table :

Roll. No	Subject
1	Math
1	Science

NO → Nonitive →  
 non-prime attrib depending on another  
 (3) 3NF (third normal form)  
 Non-prime attrib.

A Relation in 3NF IP:

- RI is in 2NF
- No transitive dependency exists.

example: (2NF but not 3NF)

emp ID	Emp Name	Dept ID	Dept Name
101	Ash	D1	IT
102	Megh	D2	CS

- Here emp ID is the primary key
- dept name depends on Dept ID, which turns depends on emp ID - transitive dependency.

After 3NF: employee table

emp ID	Emp Name	Dept ID
101	Ash	D1

Department table

Dept ID	Dept Name
D1	IT
D2	CS

## (4) BCNF (Boyce - Codd Normal Form)

- It's higher version of 3NF
- A table is in BCNF if for every functional dependency ( $X \rightarrow Y$ ),  $X$  must be super key
- in simple words determinants must be super key

e.g.: Before BCNF:

Student ID	Course	Instructor
1	Math	Dr. A
2	Physics	Dr. B
3	Math	Dr. A

Instructor determines Course, but instructor is not dependent  
- violate BCNF

After BCNF: Instructor-table

Instructor	Course
Dr. A	Math
Dr. B	Physics

- student-table

Student_id	Instructor
1	Dr. A
2	Dr. B
3	Dr. A

## Q) Explain Integrity constraint.

Ans:

- Integrity constraints are rules applied to database table to ensure that the data entered is valid, consistent and meaningful.
- These constraints help maintain the integrity (correctness) of the data.

\* There are 4 types :

- (1) Key constraints:
- These ensure that each record (row) in the table can be uniquely identify.
- Primary key is the most common key constraint.
- It must be unique and not null.

eg: In a student table, student id is a primary key.

### (2) Domain constraint:

- These define the valid set of values a column can hold.
- Every attribute (column) in a table must have a defined data type and format.

example:

- Age column should only accept integers
- gender column can only have 'male' or 'female' or 'others'.

### (3) Referential Integrity:

- maintains consistency b/w two related tables
- A foreign key in one table must match a primary key in another table.
- It prevent deleting or changing data that is used ~~everywhere~~ elsewhere.

example:

Department\_20 in the employee table must exist in the department table.

### (4) Check constraints:

- It ensures that all values in a column meet a specific condition
- It can be used to apply custom rules on data

example:

CHK\_Age (Age >= 18) ensures only adult users are entered.

~~Q~~ what is concurrency control & timestamp based protocol.

Ans:

- ~~Q~~ Concurrency control: Concurrency control is a technique used in DBMS to manage the execution of multiple transaction at the same time without interfering with each other.

Its main goal is to ensure data consistency and isolation when transactions run concurrently.

- ~~Q~~ Why is concurrency control needed?

- ~~✓~~ to prevent conflict b/w simultaneous transactions.
- ~~✓~~ to avoid problem like:
  - Lost update
  - temporary update (dirty read)
  - uncommitted data
  - inconsistent retrieval.

- ~~Q~~ Timestamp based concurrency control protocol.

The timestamp based protocol is a concurrency control method that uses timestamp to order transaction and ensure serializability. ~~serializability~~ (Serially)

- ~~Q~~ Concept of timestamp

- every transaction get unique timestamp (like a serial number)

When it's start

- older transaction (smaller timestamp) get priority over newer ones.
- each data item keep:
  - Read timestamp (RTS) - (latest time it was read)
  - Write timestamp (WTS) - — | -- written

**Q) Explain lossless decomposition.**

**Ans:**

Lossless decomposition is property of database normalization; where a relation (table) is split into two or more smaller relations, and when these are joined back, they reproduce the original relation without any loss of information.

- Why is it important?
  - even data is not lost while splitting tables during normalization!
  - Maintains data integrity
  - Helps to avoid inconsistent or incomplete data due to decomposition.

example: Student table.

Roll.NO	Name	Course
101	Amit	BCA
102	Ayush	BBA
103	Ash	MCA
104	Megh	BR

- decompose into two tables.

(R1) Roll.NO & Name

Roll.NO	Name
101	Amit
102	Ayush
103	Ash
104	Megh

(R2) Roll.NO, course

Roll.NO	course
101	BCA
102	BBA
103	MCA
104	BR

Natural join of R1 and R2 (R1  $\bowtie$  R2)

draw as it original one.

~~Q)~~ Define triggers and explain its syntax with example.

Ans:

definition: A trigger is stored program in the database that automatically executes (fires) in response to certain event like INSERT, UPDATE or DELETE on a table.

think of it's like "condition - based automatic action" in the database.

### \* Syntax of triggers

CREATE [OR Replace] Trigger triggerName

ATER | BEFORE | INSERT | UPDATE | DELETE

ON table-name

FOR EACH ROW

BEGIN

--- trigger logic here

END;

\* Explaining explanation of syntax:

~~(1) CREATE Trigger~~ → start trigger creation

~~(2) OR REPLACE~~ → if trigger is already created then drop and recreate the trigger

~~(3) triggername~~ → Name you give to trigger

~~(4) BEFORE OR AFTER~~ → when trigger should execute

~~(5) INSERT / UPDATE / DELETE~~ → events that activates triggers

~~(6) on table-name~~ → the table on which the trigger is defined

~~(7) FOR EACH ROW~~ → execute for every row affected

~~(8) BEGIN ... END~~ → A block where you write the trigger's logic

\* example: ~~trigger after inserting into a table.~~

- Suppose we have table students (id, name) and we want to log every new insert into a log table

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CREATE TRIGGER log-insert  
AFTER INSERT  
ON students

FOR EACH ROW

BEGIN

INSERT INTO log (action)

VALUES ("New student inserted")

END;

\* What it does?

Whenever a new record is inserted into the Student table, this trigger automatically insert message into the log table.

\* Q) Explain Acid properties  
Ans:

ACID Stands for:

- A → Atomicity
- C → consistency
- I → isolation
- D → durability

These are the 4 key properties of a transaction in DBMS to ensure data reliability and correctness, even in case of failure.

## ~~(1)~~ Atomicity - "All or Nothing"

- Atomicity refers to principle of a database transaction is a single unit of work.
- This means that either all operations in a transaction are completed successfully or if any part fails, then the entire transaction is rolled back to its original state.
- In simple term, the transaction is "all or nothing".

example: If transaction involves transferring a money from Account A to Account B, both debit and credit operation must complete successfully. if one's fails, then money is roll back to user.

## ~~(2)~~ Consistency : "~~Violate~~ <sup>to</sup> valid state" valid state

consistency ensure that a transaction brings the db from one valid state to another, preserving all the integrity constraints (Rules)

After the transaction, the db should still be in valid state, and all the predefined rules must be maintained.

example: if the system <sup>has</sup> rule that an account balance must always be positive, transaction that violates this rules would not be allowed to complete.

### ~~(3)~~ Isolation "No Interference"

Isolation ensures that the operation of one transaction are invisible to other transaction until the transaction is completed.

Means No interference from other transaction while it's executing.

example: two user booking for last movie ticket  
 At the same time, only one should succeed handling isolation.

### ~~(4)~~ Durability "Changes are Permanent"

Durability guarantees that once a transaction has been committed, its changes are permanently recorded in the db. even in the case of system failure or power failure.

- This ensures that no committed data is lost

example: If a transaction transferring money is successfully completed. even if the system fails, the transfer will be recorded and no data will be lost.

## \* Explain Aggregation Functions in SQL.

Ans:

Aggregation functions are used to perform calculation on group of values and return a single summarized results.

They are commonly used with Group by clause to group rows having similar values.

- List of Aggregation functions:

### \* Functions

### Meaning

- ① COUNT(\*) → Counts all rows, including duplicates and Nulls
- ② COUNT(DISTINCT col) → Counts only unique non-null values in the column
- ③ COUNT(ALL col) → Counts all non-null values in column (default behaviour)
- ④ SUM() → Returns the total sum of numeric values in column
- ⑤ AVG() → Returns Average (mean) value
- ⑥ MIN() → Returns smallest minimum value
- ⑦ MAX() → Returns largest maximum value

- ⑦ SELECT SUM (Amount) as total amount from Sales  $\rightarrow 140$
- ⑧ SELECT MIN (Amount) as min. amount from Sales  
= 10

example: Sales table.

Product	Amount
pen	10
Book	50
Bag	80

\* Sample questions:

① SELECT COUNT (\*) from Sales

$$\hookrightarrow 3$$

② SELECT SUM (Amount) from Sales

$$\hookrightarrow 140$$

③ SELECT MAX (Amount), MIN (Amount) from Sales

$$\hookrightarrow 80$$

$$\hookrightarrow 10$$

④ SELECT COUNT (Amount) from Sales table.

$$\rightarrow 3$$

⑤ SELECT COUNT (DISTINCT Product) from Sales table

$$\rightarrow 3$$

⑥ SELECT AVG (Amount) as Avg. Amount from Sales table

$$\hookrightarrow (10 + 50 + 80) / 3 = 46.67$$

~~★~~ explain 2PL (2phase Locking) concurrency control protocol.

Ans:

Two phase locking (2PL) is a concurrency control protocol that ensures serializability in db by managing the order of locking and unlocking of data items during a transaction.

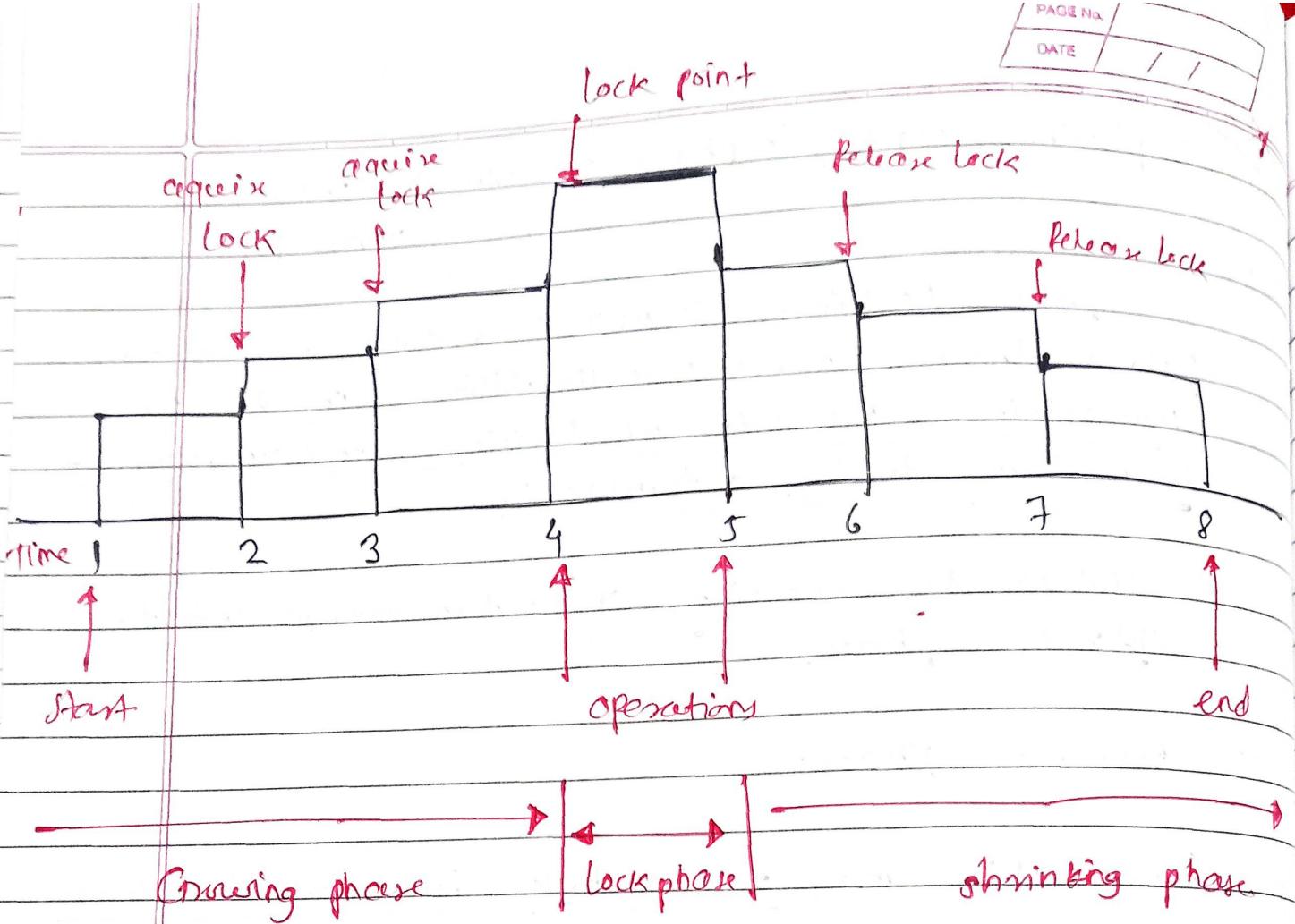
It divides the execution of a transaction into two distinct phases.

### (1) Growing phase:

- A transaction may acquire locks, but cannot release any.
- It keeps locking data items as it needs them.

### (2) Shrinking phase:

- A transaction may release locks but cannot acquire any new lock.
- Once it releases the first lock, it cannot request any more.



\* Advantage

① ensures serializability

② avoids consistency issues

\* disadvantage

① can cause Deadlock

② reduces concurrency

③ blocking of other banks

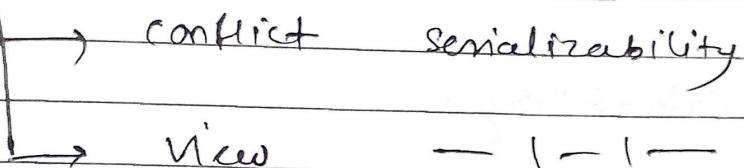
~~serializability~~ → even if transaction run together & the final result must be same as some one by one execution

FACT	DATE		

Q) explain View & conflict serializability. (optional)

First of all what is serializability?

Serializability scheduled form



conflict serializability

View — — —

### ① conflict serializability

A schedule is conflict serializable if it can be transformed into a serial schedule by recapping non-conflicting operations.

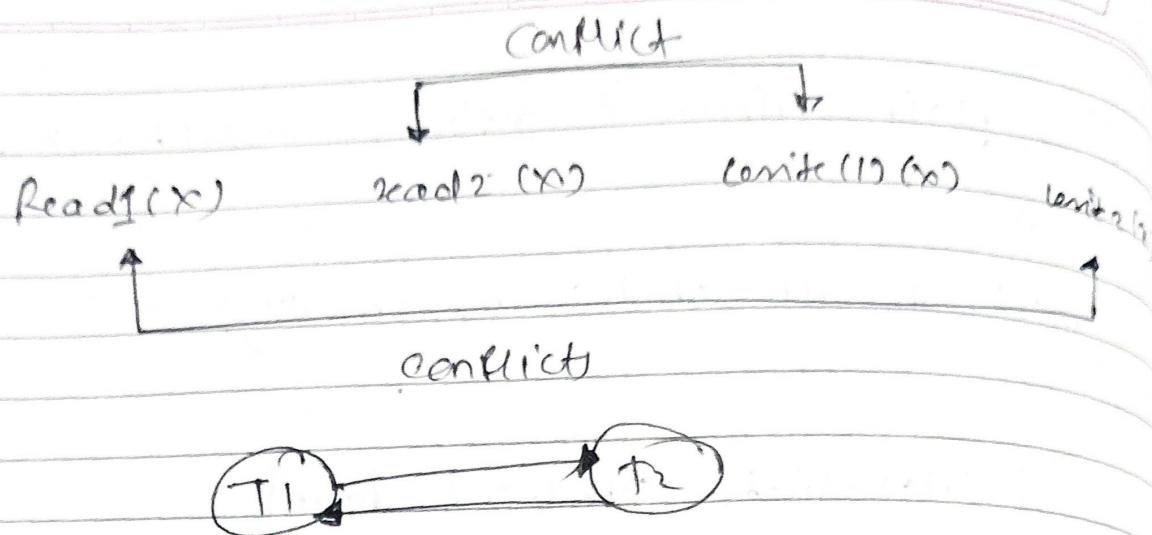
\* When occurs?

- two transactions access the same data item
- At least one of them perform a write
- And operation are in different order.

\* How to check?

- Using precedence (or conflict) graph  
if the graph has no cycle, the schedule is conflict serializable.

Example:



## (2) What serializability?

A schedule is called **Serializable** if transaction procedure produces same final output as a serial schedule even if execution order differs.

### ① three cond' for what Serializability

(1) **Initial Read** — first read of data item must be the same initial value as in the serial schedule.

(2) **Read from Comite** — if a transaction read a value from written by another it must read from the same transaction in both schedules.

(3) **Final writes** — the last write on each data item must be by the same transaction in both schedules.

\* Q) Explain Functional dependency (& it's types):

Ans:

A Functional dependency (FD) is a ~~conditional~~ relationship b/w two attributes in a relational database. such ~~as~~, that for a given value of one attribute (called determinant) , there is exactly one associated value of another attribute.

In short, A functional dependency occurs when one attribute uniquely determines another.

Symbolically written as:

$$A \rightarrow B$$

(Read as: A Functionally determines B)

Example: Student table

Roll. No	Name	Course
1	Ash	BCA
2	Steave	MCA
3	Megh	B.Tech

Roll. No  $\rightarrow$  Name.

it means

knowing a student's roll.no is enough to know their name,

## \* Types of functional dependency!

- (1) Full functional dependency
- (2) Partial
- (3) Transitive
- (4) Trivial
- (5) Multivalued

### (1) Full Functional dependency

: A full functional dependency  $X \rightarrow Y$  is fully functional dependency if  $Y$  depends completely on the whole of  $X$  / not just part of it.

Example:

Student-id	Courses ID	Marks
101	(U10)	90
102	ST101	85
103	(S10)	88

full FD  $\Rightarrow$  (Student-id, Courses ID)  $\rightarrow$  marks,

Marks depends on both Student-id and courses ID  
 Neither student or nor course alone can  
 uniquely determine marks

(2) Partial Dependency: A functional dependency  $X \rightarrow Y$   
 is partial if  $Y$  depends on  
 only part of composite key.

eg:	RollNo	Subject	Marks
	101	Maths	90
	102	Physics	85
	103	Physics	88

Partial FD:  $\text{RollNo} \rightarrow \text{Subject}$ .

RollNo can determine subject independently of the subject column. So  $\text{RollNo} \rightarrow \text{Subject}$  is partial bcoz subject doesn't on the entire composite key.

(3) Transitive Dependency: A dependency is transitive if  
 $X \rightarrow Y$  and  $Y \rightarrow Z$  and implying  
 $X \rightarrow Z$  through an indirect relationship via  $Y$ .

eg:	RollNo	StudentName	Department
	101	Megh	CSE
	102	Ash	IT
	103	Spidey	CS

$\text{RollNo} \rightarrow \text{StudentName}$

$\text{StudentName} \rightarrow \text{Department}$

$\text{RollNo} \rightarrow \text{Department}$ .

## (4) Trivial functional dependency:

A functional dependency  $x \rightarrow y$  is trivial if  $y$  is a subset of  $x$ . In this,  $y$  is already part of  $x$ , so no new information is being determined.

eg:

Student ID	Name	Address
101	Megh	N.Y
102	Aish	LA
103	Spidey	SF

Trivial FD: {Student ID, Name}  $\rightarrow$  Name

The attribute Name is already part of Student ID

~~Attribute Name~~

so it's trivial

## (5) Multivalued Dependency:

- A multivalued dependency exist when a single value  $x$  can determine multiple independent values of  $y$ .
- The values of  $y$  are not dependent on each other but determine independently by  $x$ .

eg:

Employee ID

101

Skills

CPP

102

C

103

JS

An employee can have multiple skills and these are independent of each other. One can have several skills like CPP, JS.

## \* What is serializability:

Ans:

Serializability is a concept in DBMS that ensures that the results of executing multiple transaction together is the same as if the transaction were executed one after another (serially, without overlapping).

Eg: Suppose two transactions?

$T_1 \rightarrow$  transfer 100 $\text{₹}$  from Account A to Account B  
 $T_2 \rightarrow$  deposit 200 $\text{₹}$  to Account A.

Initial values:

$$A = 1000\text{₹}$$

$$B = 500\text{₹}$$

If we run them serially

$$\text{After } T_1 \rightarrow A = 900 \quad B = 600$$

$$\text{After } T_2 \rightarrow A = 900 + 200 = 1100$$

Final values

$$A = 1100\text{₹}$$

$$B = 600\text{₹}$$

\* Two types

① Conflict

② View

## \* What is Log-Based Recovery System in DBMS

Ans:

Definition: Log-Based recovery is a technique in DBMS where all data base transaction and their changes are recorded in log file.

If a failure occurs, the system uses the log to recover the database by redoing committed transaction and undoing uncommitted transaction.

Purpose :-

- To maintain data consistency after system crash
- to recover the database to the last consistent state
- to ensure durability

How it works?

There are two important operation:

- ① UNDO : Rollback changes of incomplete transaction
- ② P redo : Reapply changes of completed transaction after crash.

example: consider database.

Account	Balance
A	500
B	300

two transactions:

- T1 → transfer 100 from A to B  
 T2 → deposit 200 to A

log entries

Time	Log entry
1	start T1
2	T1 → A old = 500 new = 400
3	T1 → B old = 300 new = 400
4	commit T1
5	start T2
6	T2 → A old = 400 new = 600
7	(system crash occurs)

Analysis: T1 was committed → Redo it  
 T2 was not committed → Undo it

\* After Recovery

Account	Balance
A	400
B	400

Q) What is deadlock? Explain wait-die and round-wait methods with suitable example.

Ans:

A deadlock happens when two or more transaction are wait for each other forever and none of them can continue.

As a result, the system get stuck and no transaction can move forward.

eg: T1 → locks Resource A and wants Resource B

T2 → locks Resource B and wants Resource A

Now

T1 is waiting for T2

T2 is waiting for T1

Neither can proceed - deadlock happens.

\* cond<sup>n</sup> for deadlock (when it occurs)

- (1) Mutual exclusion
- (2) Hold and wait
- (3) No preemption
- (4) Circuit wait

## A Methods to handle deadlock

- (1) wait-die = older transaction may wait, younger die (rolled back)
- (2) wound-wait = older transaction wounds younger

### (1) wait-die method:

In wait-die method -

- (i) If older transaction asks → let it wait
  - (ii) if younger transaction ask → kill it (die/rollback)
- older transaction is respected and allowed to wait
  - younger transaction is forced to rollback

### (2) wound-wait method:

In wound-wait method:

- (i) if older transaction ask → wound (kill) younger one
  - (ii) if younger transaction asks → let it wait.
- older transaction is powerful and can force rollback of younger
  - younger transaction must wait patiently if older is using the resources.

\* example of both

Suppose:  $T_1 \rightarrow$  older  
 $T_2 \rightarrow$  younger

Situations:  $T_1$  (older) wants lock held by  $T_2$

$T_2$  (younger) wants lock held by  $T_1$

Wait - die (Action)

$T_1$  waits &  $T_2$  die (roll back)

wound - wait (Action)

$T_2$  is wounded (rolled back) &  $T_2$  waits.

Q) Give example of serial schedule and equivalent to serial schedule with respect to conflict serializability. discuss conflict serializability with example.

Ans:

\* What is serial schedule:

A serial schedule is a schedule in which transaction are executed one after another, without overlapping.

Eg: T1 :

R(A), W(A)

T2 :

R(B), W(B)

Schedule S1 (serial) :

T1 : R(A), W(A)

T2 : R(B), W(B)

This is serial schedule because all operations of T1 are executed before T2 starts.

\* equivalent to serial schedule (Conflict serializable conflict)

Let's create a schedule S2 where operations are interleaved

T1 : R(A)

T2 : R(B)

T1 : W(A)

T2 : W(B)

- in this operations are interleaved.
- but operation of  $t_1$  and  $t_2$  act on different data items (A and B)
- There is no conflict between them

so,  $s_2$  is conflict equivalent to serial schedule  
 $s_1 (T_1 \rightarrow T_2)$

Hence  $s_2$  is conflict serializable.

\* what is conflict serializability:

A schedule (sequence of operations from multiple transaction) is conflict serializability if it can be transformed into a serial schedule by swapping non-conflict operations.

Q) Explain all relational algebra operation

Ans:

- Fundamental operations of Relational Algebra:

(1) Unary RO:

- project operation ( $\pi$ )
- select -  $\sqcap$  (σ)
- Rename -  $\sqcup$  (ρ)

(2) Set Theory Operations:

- Union operation ( $\cup$ )
- Difference -  $\sqcap$  (−)
- Intersection -  $\sqcap$  (n)

(3) Binary operations

- Join operation ( $\bowtie$ )
- cartesian product . (x)
- Division . (÷)

# Unary operators

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table: employee

empID	Name	Dept	salary
1	Alice	HR	80000
2	Bob	IT	60000
3	Carol	IT	70000
4	Davis	Sales	90000

table department

Dept	Manager
HR	John
IT	Smith
Sales	Rick

## (i) Selection (6)

- Retrieves rows that satisfy a certain cond'

- (i) Works like WHERE clause in SQL
- (ii) Does not affect column, only filters rows
- (iii) This is Unary relational operator

Notation: ~~RP~~  $\sigma \langle \text{cond} \rangle (\text{Relation})$

eg:  $\sigma_{Dept} = 'IT'$  (Employee)

EmpID	Name	Dept	Salary
2	Bob	PT	60000
3	Carol	IT	70000

### (2) Projection ( $\Pi$ ):

- retrieves specific column from a relation, remove duplicates
- This is unary Relational operator

Notation:  $\Pi_{\{col_1, col_2, \dots\}} . (\text{relation})$

eg:  $\Pi_{\{Name\}} (\text{Employee})$

Name

Alice

Bob

Carol

Dave

### (3) Rename ( $\rho$ ):

- we can give alternative name to any column or any table query expression using operator called Rename
- Rename operator denoted by the lowercase Greek letter rho ( $\rho$ )

Syntax:  $\rho \{ \text{New-name} \} (\text{Input-table-name})$

eg:  $p(\text{emp}, \text{Employee})$

Renames Employee table to Emp

## SET Operations

(1) Union operation ( $\cup$ ):

- This operation combines rows of a two relation and remove duplicate values
- both relation must have same number of column and same data type.
- denoted by  $(\text{Query exp 1}) \cup (\text{Query exp 2})$

eg: Student table

roll no	Name	Class
1	Alice	10
2	bob	10
3	Carmy	9

teacher table

TID

Name

subject

101

David

Rng

102

Bob

math

Eg:

$\Pi \text{Name} (\text{student}) \cup \Pi \text{Name} (\text{teacher})$

Result: Name

Alice

bob

(caro)

david

## (2) Intersect operator ( $\cap$ ):

Return the tuples (rows) are common to both relation

- both relation must be union-compatible

- denoted by (query exp1)  $\cap$  (query exp2)

Eg: draw both days student & teacher here

Result:  $\Pi \text{Name} (\text{student}) \cap \Pi \text{Name} (\text{teacher})$

Name

Bob

### (3) Difference (-):

- Returns tuples that are in one relation but not in the other.

denoted by  $(\text{query exp 1}) - (\text{query exp 2})$

eg:  $\text{TMname}(\text{Student}) - \text{TMname}(\text{Teacher})$

draw both tuple

Result: Name

Alice

Coral

## Binary operations

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### (1) Cartesian product ( $\times$ )

Combines each tuple of one relation with every tuple of another

- Results in large no. of tuples.
- denoted by  $R \times S$

Eg: Relation A (Student)

roll_no	Name
1	Alice
2	Bob

• Relation B (course)

Course ID	Course Name
C1	DBMS
C2	OS

Result  $A \times B$

~~Result~~

Result :  $A \times B$

Roll No	Name	Course ID	Course Name
1	Alice	C1	DB My
1	Alice	C2	OS
2	Bob	C1	DB My
2	Bob	C2	OS

## (2) Division ( $\div$ )

- it is mainly used in cell queries
- It must have common column.

denoted by  $A \div B$

Eg:

Student course table

Student	Course
Alice	DB My
Alice	OS
Bob	DB My
Caro	DB My
Caro	OS

course table

DBMS

63

Result: Student ( $A \div B$ )

Alice

Bob

### (3) JOIN operations ( $\bowtie$ )

- it is used to combine two tables based on common attribute
- It allows us to combine rows of two table that have matching value in one or more table.
- In result we will get new table which has combined data from both the relation.

### \* Types of Joins

- Natural join
- Inner join / Theta join
- Outer join

↳ left, Right, Full

## (1) Natural Join ( $\Delta$ )

- Natural join can join table based on the common column in the tables being joined.
- It join two relations by matching column with the same name and removing duplicate attributes.

eg: student table		course table	
id	Name	id	course
1	Alice	1	DBMS
2	Bob	2	OS

$\Delta \Delta B$	id	Name	course
	1	Alice	DBMS
	2	Bob	OS

## (2) Inner Join / Theta join ( $\cap \Theta$ )

- Theta join will combine tuples from multiple relations if they satisfy the specified join condition
- This join condition is also called as theta and denoted by the symbol  $\Theta$

eg:

A

ID

1

2

Name

Alice

Bob

B

ID

1

2

Course

DBMS

OS

$$A \bowtie A \cdot A = B \cdot B$$

ID

Name

Course

1

Alice

DBMS

(3) Outer Join: An outerjoin returns matching rows between two relation and also include non-matching rows, with NULL values filled for missing attributes.

(1) Left outer Join :

- Returns all rows from the table, and matched rows from the right table.
- if there is no match, fill 'NULL' in right-side column

eg: Student table,

Id

1

2

3

Name

Alice

Bob

Carol

Carese's table:

ST ID

1

2

Course

DBMS

OS

Result: ~~XX~~

ID

Name

(course)

1

Alice

DBMS

2

Bob

OS

3

(course)

NULL

(2) Right outer join (X)

- Returns all rows from the right table, and matched rows from the left
- If no match, fill NULL in left-side column

Eg.: Students X1 course

ID

Name

Course

1

Alice

DBMS

2

Bob

OS

NULL

NULL

Maths

### (3) Full outer join ( $\bowtie$ )

- Returns all rows from both tables, matching where possible.
- Fill Null if non-matching parts on both sides

Eg: If student had "Carol" (not in course) and  
course had "maths" (not in Student)

ID	Name	Course
1	Alice	DB M
2	Bob	OJ
3	Carol	Mult
Null	Null	Math