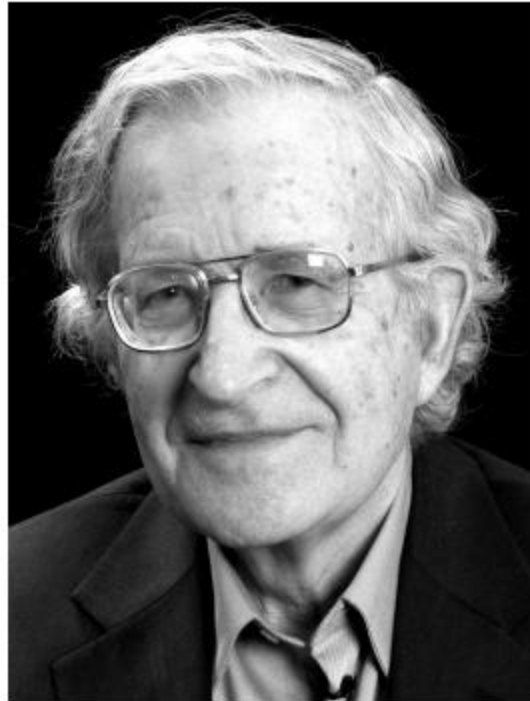


# Context Free Languages

Context Free Grammars

# Context-Free Grammars

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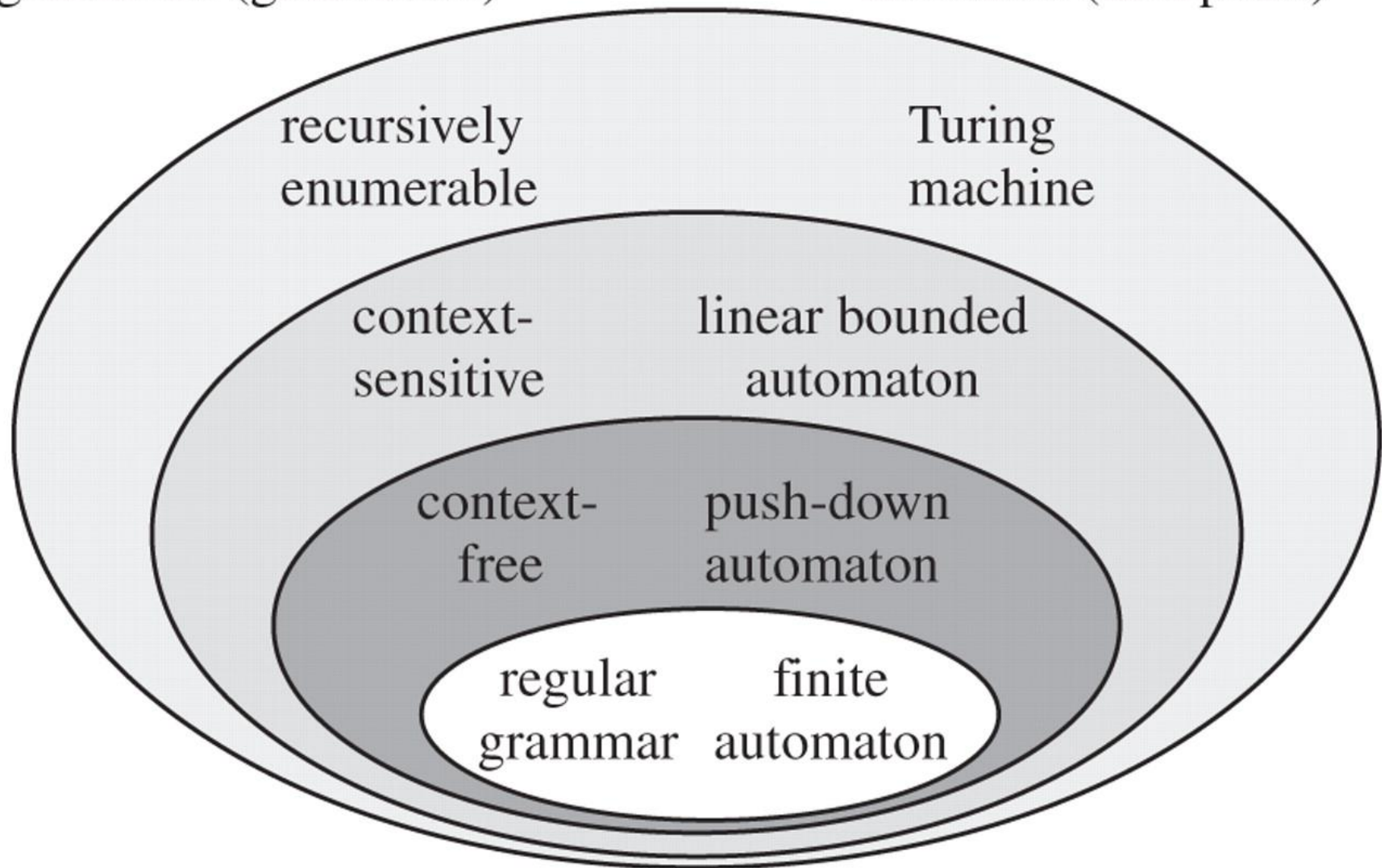
Noam Chomsky  
(linguist, philosopher, logician, and activist)

In the formal languages of computer science and linguistics, the **Chomsky hierarchy** is a **hierarchy** of classes of formal grammars. This **hierarchy** of grammars was described by Noam **Chomsky** in 1956.

# Chomsky Hierarchy

grammars (generators)

automata (acceptors)



# The Hierarchy

Class	Grammars	Languages	Automaton
Type-0	Unrestricted	Recursive Enumerable	Turing Machine
Type-1	Context Sensitive	Context Sensitive	Linear-Bound
Type-2	Context Free	Context Free	Pushdown
Type-3	Regular	Regular	Finite

# How production rules look like

Type	Grammar	Production rules
Type 0	unrestricted	$\alpha \rightarrow \beta$
Type 1	context-sensitive	$\alpha A \beta \rightarrow \alpha \gamma \beta$
Type 2	<b>context-free</b>	$A \rightarrow \gamma$
Type 3	regular	$A \rightarrow aB$ or $A \rightarrow Ba$

# A grammar generates sentences (strings) in a language

## Examples

---

Consider the grammar

$$S \rightarrow AB \quad (1)$$

$$A \rightarrow C \quad (2)$$

$$CB \rightarrow Cb \quad (3)$$

$$C \rightarrow a \quad (4)$$

where  $\{a, b\}$  are terminals, and  $\{S, A, B, C\}$  are non-terminals.

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$$CB \rightarrow Cb \quad (3)$$

$$C \rightarrow a \quad (4)$$

where  $\{a, b\}$  are terminals, and  $\{S, A, B, C\}$  are non-terminals.

We can derive the phrase “ab” from this grammar in the following way:

$$S \rightarrow AB, \text{ from (1)}$$

$$\rightarrow CB, \text{ from (2)}$$

$$\rightarrow Cb, \text{ from (3)}$$

$$\rightarrow ab, \text{ from (4)}$$

## Examples

---

Consider the grammar

$$S \rightarrow \text{NounPhrase VerbPhrase} \quad (5)$$

$$\text{NounPhrase} \rightarrow \text{SingularNoun} \quad (6)$$

$$\text{SingularNoun VerbPhrase} \rightarrow \text{SingularNoun comes} \quad (7)$$

$$\text{SingularNoun} \rightarrow \text{John} \quad (8)$$

We can derive the phrase “John comes” from this grammar in the following way:

$$S \rightarrow \text{NounPhrase VerbPhrase, from (1)}$$

$$\rightarrow \text{SingularNoun VerbPhrase, from (2)}$$

$$\rightarrow \text{SingularNoun comes, from (3)}$$

$$\rightarrow \text{John comes, from (4)}$$



Type	Grammar	Production rules
Type 0	unrestricted	$\alpha \rightarrow \beta$
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## Definition (Context-Free Grammar)

A **context-free grammar** is a tuple  $G = (V, T, P, S)$  where

- $V$  is a finite set of **variables** (nonterminals, nonterminals vocabulary);
- $T$  is a finite set of **terminals** (letters);
- $P \subseteq V \times (V \cup T)^*$  is a finite set of **rewriting rules** called **productions**,
  - We write  $A \rightarrow \beta$  if  $(A, \beta) \in P$ ;
- $S \in V$  is a distinguished **start** or “sentence” symbol.

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Example:  $G_{0^n 1^n} = (V, T, P, S)$  where

- $V = \{S\}$ ;
- $T = \{0, 1\}$ ;
- $P$  is defined as

$$S \rightarrow \varepsilon$$

$$S \rightarrow 0S1$$

- $S = S$ .

# Palindromes

$$G_{pal} = (\{P\}, \{0, 1\}, A, P)$$

1.  $P \rightarrow \epsilon$
2.  $P \rightarrow 0$
3.  $P \rightarrow 1$
4.  $P \rightarrow 0P0$
5.  $P \rightarrow 1P1$

A context-free grammar for palindromes

## Derivation:

- Let  $G = (V, T, P, S)$  be a context-free grammar.
- Let  $\alpha A \beta$  be a string in  $(V \cup T)^* V (V \cup T)^*$
- We say that  $\alpha A \beta$  **yields** the string  $\alpha \gamma \beta$ , and we write  $\alpha A \beta \Rightarrow \alpha \gamma \beta$  if

$A \rightarrow \gamma$  is a production rule in  $G$ .

- For strings  $\alpha, \beta \in (V \cup T)^*$ , we say that  $\alpha$  **derives**  $\beta$  and we write  $\alpha \Rightarrow^* \beta$  if there is a sequence  $\alpha_1, \alpha_2, \dots, \alpha_n \in (V \cup T)^*$  s.t.

$$\alpha \Rightarrow \alpha_1 \Rightarrow \alpha_2 \cdots \alpha_n \Rightarrow \beta.$$

$\Rightarrow$  is also called direct derivation.

$\xRightarrow{i}$  is to mean that the  $i$  th production is used in the direct derivation.

$\Rightarrow^*$  is reflexive and transitive closure of  $\Rightarrow$

1.  $E \rightarrow I$
2.  $E \rightarrow E + E$
3.  $E \rightarrow E * E$
4.  $E \rightarrow (E)$
  
5.  $I \rightarrow a$
6.  $I \rightarrow b$
7.  $I \rightarrow Ia$
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A context-free grammar for simple expressions

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A context-free grammar for simple expressions

$T$  is the set of symbols  $\{+, *, (, ), a, b, 0, 1\}$  and  $P$  is the set of productions

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A context-free grammar for simple expressions

$T$  is the set of symbols  $\{+, *, (, ), a, b, 0, 1\}$  and  $P$  is the set of productions

- Can you find how the following is true.

$$E \xRightarrow{*} (a1 + b0 * a1)$$



## Compact Notation for Productions

It is convenient to think of a production as “belonging” to the variable of its head. We shall often use remarks like “the productions for  $A$ ” or “ $A$ -productions” to refer to the productions whose head is variable  $A$ . We may write the productions for a grammar by listing each variable once, and then listing all the bodies of the productions for that variable, separated by vertical bars. That is, the productions  $A \rightarrow \alpha_1, A \rightarrow \alpha_2, \dots, A \rightarrow \alpha_n$  can be replaced by the notation  $A \rightarrow \alpha_1 | \alpha_2 | \dots | \alpha_n$ . For instance, the grammar for palindromes from Fig. 5.1 can be written as  $P \rightarrow \epsilon | 0 | 1 | 0P0 | 1P1$ .

# CFL Definition

The **language**  $L(G)$  accepted by a context-free grammar  $G = (V, T, P, S)$  is the set

$$L(G) = \{w \in T^* : S \xRightarrow{*} w\}.$$

## Leftmost and Rightmost Derivations

- Derivations are not unique.

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A context-free grammar for simple expressions

$$E \Rightarrow_{lm} E * E \Rightarrow_{lm} I * E \Rightarrow_{lm} a * E \Rightarrow_{lm}$$

$$a * (E) \Rightarrow_{lm} a * (E + E) \Rightarrow_{lm} a * (I + E) \Rightarrow_{lm} a * (a + E) \Rightarrow_{lm}$$

$$a * (a + I) \Rightarrow_{lm} a * (a + I0) \Rightarrow_{lm} a * (a + I00) \Rightarrow_{lm} a * (a + b00)$$

We can also summarize the leftmost derivation by saying  $E \xRightarrow{*}_{lm} a * (a + b00)$ , or express several steps of the derivation by expressions such as  $E * E \xRightarrow{*}_{lm} a * (E)$ .

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A context-free grammar for simple expressions

$$E \Rightarrow_{rm} E * E \Rightarrow_{rm} E * (E) \Rightarrow_{rm} E * (E + E) \Rightarrow_{rm}$$

$$E * (E + I) \Rightarrow_{rm} E * (E + I0) \Rightarrow_{rm} E * (E + I00) \Rightarrow_{rm} E * (E + b00) \Rightarrow_{rm}$$

$$E * (I + b00) \Rightarrow_{rm} E * (a + b00) \Rightarrow_{rm} I * (a + b00) \Rightarrow_{rm} a * (a + b00)$$

This derivation allows us to conclude  $E \xRightarrow[rm]{*} a * (a + b00)$ .  $\square$

## Exercise

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Consider the following grammar:

$$S \rightarrow AS \mid \varepsilon.$$

$$S \rightarrow aa \mid ab \mid ba \mid bb$$

Give leftmost and rightmost derivations of the string *aabbba*.

$$G_{pal} = (\{P\}, \{0, 1\}, A, P)$$

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A context-free grammar for palindromes

Prove that  $L(G_{pal})$  is the set of palindromes over the given alphabet.

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A context-free grammar for palindromes

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- This proof has two parts ( $\Rightarrow$  and  $\Leftarrow$ )



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A context-free grammar for palindromes

Prove that  $L(G_{pal})$  is the set of palindromes over the given alphabet.

- This proof has two parts ( $\Rightarrow$  and  $\Leftarrow$ )
  - 1)  $(w = w^R) \Rightarrow w \in L(G_{pal})$
  - 2)  $w \in L(G_{pal}) \Rightarrow (w = w^R)$

$$(w = w^R) \Rightarrow w \in L(G_{pal})$$

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4.  $P \rightarrow 0P0$
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A context-free grammar for palindromes

- Proof [by induction on  $|w|$ ]:

**BASIS:** We use lengths 0 and 1 as the basis.

If  $|w| = 0$  or  $|w| = 1$ , then  $w$  is  $\epsilon$ , 0, or 1.

Since there are productions  $P \rightarrow \epsilon$ ,  $P \rightarrow 0$ , and  $P \rightarrow 1$ , we conclude that  $P \xRightarrow{*} w$  in any of these basis cases.

**INDUCTION:** Suppose  $|w| \geq 2$ . Since  $w = w^R$ ,  $w$  must begin and end with the same symbol

- Note,  $w \in L(G_{pal})$  is same  $P \xRightarrow{*} w$

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**INDUCTION:** Suppose  $|w| \geq 2$ . Since  $w = w^R$ ,  $w$  must begin and end with the same symbol

**Inductive Hypothesis:** Let for  $|w| \leq k$  where  $(w = w^R)$ ,  $P \xRightarrow{*} w$  is true.

**Inductive Step:** We need to show for  $|w| = k + 1$ ,  $P \xRightarrow{*} w$  is true.

Note,  $w = 0x0$  or  $w = 1x1$ , where  $|x| = k - 1$ .

Then,  $P \Rightarrow 0P0 \xRightarrow{*} 0x0$  (Since  $|x| \leq k$ , so  $P \xRightarrow{*} x$  is true).

So,  $P \xRightarrow{*} w$  is true. With a similar argument,  $P \Rightarrow 1P1 \xRightarrow{*} 1x1$

$$w \in L(G_{pal}) \Rightarrow (w = w^R)$$

- Proof [by induction on number of steps in the derivation]:

**BASIS:** If the derivation is one step, then it must use one of the three productions that do not have  $P$  in the body. That is, the derivation is  $P \Rightarrow \epsilon$ ,  $P \Rightarrow 0$ , or  $P \Rightarrow 1$ . Since  $\epsilon$ ,  $0$ , and  $1$  are all palindromes, the basis is proven.

**INDUCTION:**

- Assume for  $n$  steps it is true.
- Then, show for  $(n+1)$  steps it must be true.

**Left as an exercise.**

## Sentential Forms

$G = (\bar{V}, T, P, S)$  is a CFG, then any string  $\alpha$  in  $(V \cup T)^*$  such that  $S \xRightarrow{*} \alpha$  is a *sentential form*.

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A context-free grammar for simple expressions

$$E \Rightarrow E * E \Rightarrow E * (E) \Rightarrow E * (E + E) \Rightarrow E * (I + E)$$

## Sentential Forms

$G = (\bar{V}, T, P, S)$  is a CFG, then any string  $\alpha$  in  $(V \cup T)^*$  such that  $S \xRightarrow{*} \alpha$  is a *sentential form*.

If  $S \xRightarrow[lm]{*} \alpha$ , then  $\alpha$  is a *left-sentential form*,

and if  $S \xRightarrow[rm]{*} \alpha$ , then  $\alpha$  is a *right-sentential form*.

Note that the language  $L(G)$  is those sentential forms that are in  $T^*$ ; i.e., they consist solely of terminals.



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A context-free grammar for simple expressions

$$E \Rightarrow E * E \Rightarrow E * (E) \Rightarrow E * (E + E) \Rightarrow E * (I + E)$$

- Is this sentential form left-sentential? Or right-sentential?

**Exercise 5.1.2:** The following grammar generates the language of regular expression  $0^*1(0+1)^*$ :

$$\begin{aligned} S &\rightarrow A1B \\ A &\rightarrow 0A \mid \epsilon \\ B &\rightarrow 0B \mid 1B \mid \epsilon \end{aligned}$$

Give leftmost and rightmost derivations of the following strings:

\* a) 00101.

b) 1001.

c) 00011.

Note, the given grammar is not a regular grammar (even-though it generates a regular language).

Can you find  $L(G)$ ?

- $S \rightarrow aS|bS|a|b|\epsilon$

# Can you find $L(G)$ ?

- $S \rightarrow aS|bS|a|b|\epsilon$
- Answer: All strings.  $\Sigma^*$

Can you find  $L(G)$ ?

1.  $S \rightarrow S_1 S | \epsilon,$

2.  $S_1 \rightarrow a S_1 b | ab$

# Can you find $L(G)$ ?

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Recall that the  $S \rightarrow a S b | \epsilon$  generates  $\{a^n b^n | n \geq 0\}$ .

# Can you find $L(G)$ ?

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Starting from  $S_1$  we get  $\{a^n b^n | n \geq 1\}$

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Recall that the  $S \rightarrow a S b | \epsilon$  generates  $\{a^n b^n | n \geq 0\}$ .

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The answer:

$$a^{n_1} b^{n_1} a^{n_2} b^{n_2} \dots a^{n_k} b^{n_k} \in L(G)$$

$$L(G) = (\{a^n b^n | n \geq 1\})^*$$



Can you find  $L(G)$ ?

$$S \rightarrow SS \mid S \mid [S] \mid () \mid []$$

# Can you find $L(G)$ ?

$$S \rightarrow SS \mid S \mid [S] \mid () \mid []$$

Set of all balanced parentheses with alphabet  
 $\{ (, ), [, ] \}$

Can you find  $L(G)$ ?

1.  $S \rightarrow aB|bA$

2.  $B \rightarrow b|bS|aBB$

3.  $A \rightarrow a|aS|bAA$

# Can you find $L(G)$ ?

1.  $S \rightarrow aB|bA$
2.  $B \rightarrow b|bS|aBB$
3.  $A \rightarrow a|aS|bAA$

Produces strings with equal number of a's and b's.

Can you find  $L(G)$ ?

1.  $S \rightarrow SaSbS | SbSaS | \epsilon$

# Can you find $L(G)$ ?

$$1. S \rightarrow SaSbS | SbSaS | \epsilon$$

Produces strings with equal number of a's and b's.

With one difference than the previous CFG. **What is it?**