

Flagged Audit Report

Audit Summary

This report highlights flagged issues identified during the compliance audit.

Flagged Issues

1. Missing Signature: The required signature on the compliance document was not found.
2. High Transaction Amount: A transaction exceeding the risk threshold was detected.
3. Policy Breach: Customer data was shared without proper authorization.
4. Late Submission: The document was submitted 15 days past the deadline.

Recommendations

- Obtain the missing signature from the relevant party.
- Investigate and justify the flagged transaction.
- Review data sharing policies and obtain necessary approvals.
- Establish stricter deadlines to avoid late submissions.

Sign-Off

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