Generalized Alternation in Shared-Memory Systems

Michael E. Goldsby *
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Abstract

This paper presents a new algorithm for generalized alternation in shared-memory systems. The algorithm is fully decentralized and contains no timed waits. Included are a proof sketch and a CSPM (machine-readable CSP) model of the algorithm suitable for use with the FDR refinement checker.

1 Introduction

1.1 Alternation

Hoare introduced the alternation construct in his first paper on communicating sequential processes (CSP) [Hoa78]. The alternation is a generalization of the if..then..else statement that allows the synchronization of processes and communication among them. It is based on Dijkstra's guarded commands [Dij75] but differs by allowing synchronization and communication as part of the guard.

Under development by Hoare and others, CSP went on to become a full-fledged process algebra [BHR84]. Here we follow the CSP scheme of representing a concurrent computation as a collection of processes that communicate by means of message-passing over synchronous channels. Each process

^{*}michaelegoldsby@gmail.com

contains local variables that it alone may alter, and any global data must be invariant.

An alternation (or alt for short), like an if..then..else statement, consists of one or more branches. Each branch contains a guard and a body. The guard contains an optional boolean expression (the condition) and a synchronization. The condition may be absent, equivalent to its being true. The synchronization may be an *input*, an *output* or a skip (no synchronization).

The CSP algebra allows multi-way events and allows two-way data passage over the channels, but languages inspired by it (occam, go) typically allow only one-way communication between a sender and a receiver over a one-way synchronous channel. ¹ Here also we follow the convention that a channel is a one-way point-to-point connection between a pair of processes and synchronization must involve one input (represented by ?) and one output (represented by !). The communication of data may be absent, making the interaction a pure synchronization.

The body contains executable statements and is the only part of the branch that may change the local variables of the process. It consists of assignment statements and procedure calls, and we may also refer to it as "the assignments". Note that the body is the only part of an alt that may change the values of the process's local variables.

An alternation completes when exactly one of its branches completes (and transfers the data if any). If more than one branch is capable of completion, the choice is nondeterministic.

Implementation of alternation is simpler if the guards may contain only inputs (or only outputs), that is, if one side of the synchronization/communication is committed. An alternation construct that allows either input or output in its guards is said to be generalized. Generalized alternation removes the non-essential asymmetry of input-only alternation and allows a simpler expression of certain algorithms.

In addition to channel guards and skip guards, a branch may have a timeout guard. For the sake of simplicity, we restrict ourselves to channel guards in this paper. Also for simplicity's sake, we assume that processes are nonterminating. For similar reasons we omit the details of data communication, as explained in section 2 below.

Figure 1 shows a symbolic representation of an alternation with two branches.

¹An exception is occam-pi, with its barrier synchronization [BWS05].

```
condition1 ; c1?var1 -> ..body1..
condition2 ; c2!expr2 -> ..body2..
)
```

Figure 1: An alternation with two branches.

1.2 Background

Alternation is a useful construct even outside the context of CSP. The current work grew out of projects that required writing software for embedded microcontrollers. The initial work used a single processor, serving processes in round-robin fashion and running their branches to completion without interruption, which imposed some delay on interrupt handling. In that setting, it was simple to implement generalized alternation.

When extending the work to multicore systems (and systems with more immediate interrupt handling), the author did not wish to give up the symmetry and convenience provided by generalized alternation, which led to the work presented here.

Section 2 describes the algorithm and section 3 presents a proof sketch for it. Section 4 comments briefly on performance, section 5 lists related work, and section 6 describes possible future work. The appendices contain a CSPM model that the author used with the FDR4 [Gib+14; Gib21; Gib+13] refinement checker to guide development of the algorithm.

2 Description

Figure 2 shows pseudo-code for the algorithm.

```
1
2
3     ProcState = { Alting, Completing, Quiescent, Clearing }
4
5     Process = {
6         state: ProcState = Alting
7         alt: Alternation
```

```
mutex: Mutex
8
9
10
11
       Channel = \{
12
                     mutex: Mutex
13
                     first, second: Process
14
15
16
       Alternation = \{
17
                         nb: int
18
                         [nb] branch: Branch
19
20
21
       Branch = \{
22
                     c: Channel
23
                     partner: Process
                  }
24
25
26
27
    run_branch(self: Process, partner: Process, b; int):
28
                                               (fired: bool) =
29
       IF self.state = Alting THEN
30
           IF c.first = nil and c.second = nil THEN
31
32
                .. first
33
               c.first, fired := self, false
34
           ELSE IF c.first \Leftrightarrow nil and c.first \Leftrightarrow self THEN
35
                     IF partner.state = Alting THEN
36
37
                          .. become second and complete
38
                         c.second, self.state, partner.state :=
                              self Completing, Quiescent
39
40
                          ..do xfr if any
41
                          fired := true
42
                          ..run assignments
43
                     ELSE
44
                          .. this alt completed elsewhere
45
                          self.state, fired := Clearing, false
```

```
46
                    END
                ELSE
47
48
                  ... ignore anything else
                  fired := false
49
50
                END
          END
51
52
53
       ELSE IF state = Quiescent THEN
                .. ignore everything
54
                fired := false
55
            END
56
57
58
       ELSE IF state = Completing THEN
                IF c.first = self and second \Leftrightarrow nil THEN
59
60
                     .. first completes
61
                    c.first, c.secod := nil, nil
62
                     self.state, partner, fired :=
                        Clearing, Clearing, true
63
64
                     ..run assignments
65
                END
66
            END
67
          END
68
69
       ELSE .. state = Clearing
70
              IF c.first = self and c.second = nil THEN
                  c.first, fired := nil, false
71
72
              ELSE ...ignore anything else
73
                  fired := false
74
75
              END
76
        END
77
78
    run_proc( self: Process ) =
79
         c := self.alt.c[b]
80
          partner := self.alt[b].partner
81
         proc1, proc2 := IF self < partner
82
83
                           THEN self, partner
```

```
84
                            ELSE partner, self END
 85
 86
          nb := self.alt.nb
 87
           count: int = 0
 88
          WHILE true
 89
               b: int = 0
 90
               WHILE b < nb
 91
                   c.mutex.lock
 92
                   proc1.mutex.lock
 93
                   proc2.mutex.lock
 94
                   fired := run_branch(self, partner, b)
 95
                   proc2.mutex.unlock
 96
                   proc1.mutex.unlock
 97
                   c.mutex.unlock
 98
                   b, count := b+1,
                      IF not fired THEN count+1 ELSE 0 END
 99
               END
100
101
               ..b = nb
102
               IF self.state = Clearing THEN
                   self.state := Alting END
103
104
          END
```

Figure 2. Pseudo-code for the generalized alternation algorithm.

We regard a stand-alone input or output to be a degenerate case of alternation, so all interprocess communication and synchronization is performed by alternation. ² Thus the execution of a process involves the successive execution of a series of alts, possibly interspersed by non-alt, non-communication/non-synchronizaton code..

Also assume for clarity that each process runs on its own processor, whose scheduler calls the run_proc method, although nothing in the algoritm need change if several processes were (fairly [Fra86]) scheduled on the same processor.

We can remove the boolean conditions from consideration. The body of a branch can alter the values of the variables on which a guard depends,

²Interestingly, any CSP process has an implementation as a single iterated alt [ABC87]. We make no use of that fact in this paper.

changing its truth value. However, since by our assumption a process can install a different alt when it finishes its previous one, changing the values of the guards is equivalent to installing an alt lacking the branches with falsified conditions.

Synchronzation over a channel must involve exactly two processes, a sending process and a receiving process. We term the two processes *complementary* and say that one of the process is the *complementary process* or the *complement* of the other with respect to the channel. We may also simply refer to the complementary process when the channel is understood. We refer to the two complementary processes as *partners* wrt to the channel between them. If the alts of two processes share a channel, we call them *related* processes.

We say a process *selects* a channel if it returns **true** from the **run_branch** procedure when the process calls it for a branch containing the channel. A **true** return from **run_branch** means the branch will execute its body, possibly changing the values of the process's local variables.

The first and second fields of all channels are initially nil, and initially the state of all processes is Alting.

Suppose processes P and Q synchronize on a channel c. The sequence of events for such a synchronization is as follows:

- One of the processes, say P, finds c.first = nil. We say that P is first to the channel. P sets c.first = P and carries on executing its branches (see lines 30-33 of Fig. 2).
- P's partner Q discovers that c.first is not nil and is not itself (so it must be Q's partner wrt c) and also that c.second is nil. Provided P's state is Alting, it then stores itself in c.second and returns true, selecting c. We say that Q is second to the channel and has made an unmatched selection of c. (See lines 35-42 of Fig. 2.) If P's state is not Alting, the alt must have completed in another branch, and P sets its state to Clearing (see lines 43-45).

If the branch includes data transfer as well as synchronization, Q carries it out before returning true. Both sender and receiver have at this point visited c and can have left sufficient information in c to allow the transfer to occur (source address, destination address and data length.) For simplicity's sake we do not show these details here.

Note that it does not matter which of P and Q is the sender and which is the receiver; except for the actual transfer, the algorithm is symmetric wrt to sender and receiver.

When it returns **true**, we say that Q has completed as second to the channel. Note that the second process to the channel completes first.

Before returning, Q sets its own state to Quiescent and P's state to Completing.

• Next, P discovers that c.first = itself and c.second is non-nil. In response, it will set both fields to nil and return true, selecting c. We say now that P completes as first, and that the selection matches the earlier (unmatched) selection of its partner. ³

Before returning, P sets both its own and its partner Q's state to Clearing. (See lines 58-66 of Fig. 2)

• In the Clearing state, a process visits each branch of its alt (by calling run_branch) and if it finds that it is first in the branch's channel, it resets the first field to nil (lines 69-71 of Fig. 2).

3 Proof sketch

The correctness criteria consist of a liveness property and a safety property.

Note that during the execution of a branch, the executing process has exclusive access to itself, its partner and the channel the partners share (lines 91-97 of Fig. 2). Thus it is assured that the process's partner is not executing its run_branch procedure. Also note that we always lock the partners' mutexes in the same order to avoid an AB-BA deadlock [Han73] (lines 82-84 of Fig. 2).

³Note that an arbitrary interval of time may separate the second process's and the first process's completions, even though these two events together constitute the synchronization of the two processes. In practive, this interval would tend to be short.

⁴Any correctness condition is expressable as the conjunction of a liveness condition and a safety condition [Sch97].

3.1 Liveness

L1. If the system contains any complementary pair both of whose partners are in an alt, some alt will eventually complete; that is, for some complementary pair R, S, R will complete as second and S will complete as first.

Let P and Q be partners wrt channel c, and let c appear in both of their alts.

- **L0.1** If c.first = nil, then one of P, Q will become first to c unless P, Q or one of their partners selects some other channel.
- **L0.2** If c.first is neither P nor nil and c.second = nil, then P will complete as second to c unless P or Q completes on some other channel.
- **L0.3** If c.first = P and c.second is not nil, then P will eventually select c and complete as first to c. When it does, it resets c.first and c.second to nil values.

Since each process continually polls its branches (lines 88-100 of Figure 2), L0.1-L0.3 follow directly from the code, and L1 follows from L0.1-L0.3.

3.2 Safety

- **S1.** If a process P completes as second wrt c, the next selection, if any, produced by its complementary process Q wrt c will be of c.
- **S2.** Until Q performs that selection, P will perform no other selection.

That is, selections occur in matched pairs. 5

3.3 Proof sketch for safety conditions

S1: When P completes as second, it puts its complementary process Q wrt c into the Completing state; it leaves that state only when it completes as first to c.

⁵But note that completions of unrelated processes may be interspersed with each other.

S2: When P completes as second, it enters the Quiescent state, which it leaves only when Q puts it into the Clearing state, which it does only when Q completes as first.

The above considerations, coupled with the observation that a process can only complete (as first or second) when in the Alting state, imply S1 and S2.

4 Performance

Assume that processes P and Q complete their alts for channel c, that P's alt has m branches and Q's has n, and that P is first to the channel. P must execute at most m branches to become first, after which Q must execute at most n branches to become second and complete, then again P must execute at most m branches to complete as first. Then process P must execute m branches and Q must execute n branches in order to clear their channels. Thus the algorithm executes at most 3m + 2n branches to complete the alt. Note, however, that many of the branch executions of P and Q may occur concurrently. It is only when a branch contains a channel c' such that P and Q are partners wrt c' that P and Q cannot proceed concurrently.

The execution of the body of a branch may take any amount of time, but it takes place outside any locks and can therefore proceed concurrently with its partner's execution (and that of of every other process).

The biggest performance concern is the three locks, for c, P, and Q, that the program must acquire in order to run a branch. The author looks forward to making performance studies with an actual implementation (see Section 6.)

5 Related work

Most generalized alternation algorithms in the literature are for systems in which the processes communicate via message passing [BK84; Bag86; Ber80; BHR84; BS83; Dem98; BK92; Sch82; Sil79]. Fujimoto and Feng [FF87] present an algorithm for shared-memory systems with a proof of its correctness. It contains a timed delay and uses interprocessor signals in addition to locks.

It might be possible to use a message-passing algorithm as a model for a shared-memory algorithm by substituting procedure calls for messages. However, the patterns of mutual exclusion required to make the procedure calls atomic would tend to be costly, and most of the cited algorithms have other drawbacks, such as high message or time complexity, or a tendency to deadlock under certain messages patterns. The best candidate for such a conversion appears to be an efficient algorithm in [Dem98].

6 Future work

Presently, the partner processes synchronize at branch resolution. We might try to make the span of the synchronization smaller, possibly by use of the compare-and-swap instruction.

We could perhaps improve the algorithm's power usage by requiring it, after it has visited every branch of its alt, to wait for a signal from a partner before executing its branches again.

We might also try to lessen the number of branches that the first process to the channel must execute in order to complete by letting the second process specify the branch that the first is to execute, in effect "forcing" a branch on it.

We may undertake a formal proof of the algorithm.

We have the intention to make a C or C++ implementation of the algorithm and study its performance.

We might investigate the possibility of using this shared-memory algorithm as a pattern for the development of a message-passing algorithm, although at a message per procedure call, the message complexity does not appear favorable.

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Appendix A

The FDR4 refinement checker allowed the author to find errors when developing the algorithm. In the author's judgement, it saved time and effort, though it is difficult to characterize how much, especially since it was necessary to climb a substantial learning curve in order to use the checker.

This appendix contains a CSPM model of the algorithm for use with FDR4. An included file contains functions that define the process network. The author exploits this separation in a somewhat crude but relatively effective way: the inclusion of a new network file in general causes a rash of trivial compile-time errors, which the author then corrects by hand. The specifications have to be rewritten with some care, however, for each new network configuration.

Since CSP has no notion of fairness, it is necessary in this model to include a scheduler (the SCHED process) to make sure that each CSP process gets a chance to execute.

```
1
2
    —CHAN and PROC ids
3
   NONE = 0
4
5
    CHAN_{-}C = 1
6
    CHAN_D = 2
7
    CHAN_E = 3
8
9
    PROCP = 1
10
    PROC_Q = 2
11
12
    --state
13
    ALTING = 1
    COMPLETING = 2
14
15
    QUIESCENT = 3
    CLEARING = 4
16
17
18
19
    —CHAN and PROC ids
20
    ---channels bet. RUN_BRANCH and CHAN
21
    channel pc, qc, pd, qd, pe, qe, chanerr: ChanOp
```

```
22
23
   --channels bet. RUNPROC or RUNBRANCH and own PROC
    channel p_p, q_q, procerr: ProcOp
24
25
26
   —channels bet. RUN_BRANCH and partner's PROC
27
    channel p_q, q_p: PartnerOp
28
   -- channels bet. RUN_PROC and RUN_BRANCH:
29
30
    channel p_run, q_run, runerr: RunOp
31
   —channel between SCHED and RUN_PROCs
32
33
    channel schp, schq, scherr: SchedOp
34
35
    nametype ProcId = \{0...5\}
    nametype ChanId = \{0..4\}
36
37
    nametype BranchNo = \{0...3\}
38
    nametype ErrNo = \{0...7\}
39
    nametype ProcState =
40
              { ALTING, COMPLETING, QUIESCENT, CLEARING }
    nametype Position = \{2..36\}
41
42
43
    datatype ChanOp = RD_1ST. ProcId | WR_1ST. ProcId |
                         RD_2ND. ProcId | WR_2ND. ProcId |
44
45
                           RD_BR. BranchNo | WR_BR. BranchNo |
                             LOCK_CHAN | UNLOCK_CHAN |
46
47
                               C_ERR. ErrNo
    datatype ProcOp = WR_CURR_BR. BranchNo | RD_CURR_BR. BranchNo |
48
                         RDNB. BranchNo | RDSTATE. ProcState |
49
50
                           WR_STATE. ProcState | WR_FIRED. Bool |
51
                             RD_FIRED. Bool | LOCK_PROC. ProcId
52
                              | UNLOCK_PROC | P_ERR. ErrNo
    subtype PartnerOp = WR_CURR_BR. BranchNo | WR_STATE. ProcState |
53
54
                           RD.STATE. ProcState | LOCK.PROC. ProcId |
                             UNLOCK_PROC
55
    datatype RunOp = CALL. BranchNo | RET. Bool. ChanId
56
    datatype SchedOp = GO | DONE
57
58
59
   —topology-dependent functions
```

```
60
             include "2p3c.csp"
61
62
   -- runs a designated branch of the alternation
    RUN\_BRANCH(pid) =
63
64
    (
65
          local variables
66
             pid proc id of self
67
68
      let
69
          self = pid2proc(pid)
70
         run = pid2run(pid)
71
      within
72
       run.CALL?b
73
74
        -> let
75
              cid = br2cid(pid, b)
76
              c = ids2chan(pid, cid)
77
              partner = ids2partner(pid, cid)
78
            within
79
80
              self.RD_STATE? state
81
              \rightarrow c.RD_1ST? first
               -> c.RD_2ND?second
82
83
84
               -> if (state=ALTING) then
85
86
                  if ((first=NONE) and (second=NONE)) then
                    — first
87
88
                    c.WR.BR!b
89
                     -> c.WR_1ST! pid
                      -> run.RET! false!cid
90
                       -> RUN_BRANCH(pid)
91
92
93
                  else if ((first!=NONE) and (first!=pid)
94
                             and (second=NONE)) then
95
                    partner.RD_STATE?pstate
96
                     -> if (pstate=ALTING) then
97
                           —second completes
```

00	a WD 2ND Inid
98 99	c .WR.2ND! pid -> c .RD.BR?b1
100	
	-> partner.WR.STATE!COMPLETING
101	(do data transfer if any)
102	-> self.WRSTATE!QUIESCENT
103	-> run .RET! true! cid
104	-> RUN_BRANCH(pid)
105	else
106	—alt completed elsewhere
107	self.WR.STATE!CLEARING
108	-> run .RET! false! cid
109	-> RUN_BRANCH(pid)
110	
111	else — ignore anything else
112	—procerr.P_ERR!1
113	run .RET! false! cid
114	-> RUN_BRANCH(pid)
115	
116	else if (state=QUIESCENT) then
117	run .RET! false! cid
118	-> RUN_BRANCH(pid)
119	
120	else if (state=COMPLETING) then
121	
122	if ((first=pid) and (second!=NONE)) then
123	first completes
124	c.WR_1ST!NONE
125	-> c.WR_2ND!NONE
126	-> self.WR.STATE!CLEARING
127	-> run.RET! true! cid
128	-> partner.WR_STATE!CLEARING
129	(run assignments)
130	-> RUN_BRANCH(pid)
131	
132	else — ignore everytning else
133	run.RET! false!cid
134	-> RUN.BRANCH(pid)
135	

```
136
                   else — state = Clearing
137
                     if ((first=pid) and (second=NONE)) then
                       c.WR_1ST!NONE
138
                        -> run.RET! false!cid
139
140
                         -> RUN_BRANCH(pid)
141
142
                     -- ignore any other combination
                     else
143
144
                       run.RET! false! cid
145
                        -> RUN_BRANCH(pid)
146
147
     )
148
149
150
     RUN_PROC( pid, b, count, first, state ) =
151
152
     (
153
         -- parameters:
154
                pid
                             proc id
         -- local variables:
155
                           branch number in 0..nb-1
156
                           progress counter for branch processing
157
                count
158
                first
                           start of execution for a process
159
                state
                           state (ALTING, COMPLETING or CLEARING)
         if (first) then
160
161
           let
162
              sch = pid2sch(pid)
163
            within
              sch.GO
164
               -> RUN_PROC( pid, b, count, false, state )
165
166
         else
167
            let
168
              self = pid2proc(pid)
              cid = br2cid(pid,b)
169
              c = ids2chan(pid, cid)
170
              partid = ids2partid(pid, cid)
171
172
              partner = ids2partner(pid, cid)
173
              (proc1, proc2) = if pid < partid
```

```
then (self, partner)
174
175
                                else (partner, self)
176
              nb = pid2nb(pid)
177
              run = pid2run(pid)
178
            within
179
              c .LOCK_CHAN
               -> proc1.LOCK_PROC.pid
180
                -> proc2.LOCK_PROC.pid
181
182
                  -> if count < nb then
                    run.CALL!b
183
184
                     —between CALL and RET, RUN_BRANCH
185
                     —has the use of x_y, x = pid,
186
                     —y in \{y \mid y \text{ a partner of } x \} \cup \{x\}
                     -> run.RET? fired?cid
187
188
                        -> proc2.UNLOCK_PROC
                         -> proc1.UNLOCK_PROC
189
                           -> c .UNLOCK_CHAN
190
191
                             -> if (fired) then
                                  --clear all branches
192
193
                                  RUN_PROC( pid,
194
                                    ((b+1)\%nb), 0, first, state)
195
                               else
196
                                 RUN_PROC( pid,
197
                                    ((b+1)\%nb), count+1, first, state
                      else — count = nb
198
                       -- branches exhausted
199
200
                        self.RD_STATE? state
201
                        -> if state=CLEARING then
202
                              self.WR_STATE!ALTING
                               -> proc2.UNLOCK\_PROC
203
204
                                -> proc1.UNLOCK_PROC
                                 -> c .UNLOCK_CHAN
205
206
                                  -> RUN_PROC( pid,
                                        b, 0, true, ALTING)
207
208
                            else —keep Alting
209
                              proc2.UNLOCK_PROC
210
                               -> proc1.UNLOCK_PROC
                                -> c .UNLOCK_CHAN
211
```

```
212
                                 -> RUN_PROC( pid,
213
                                       b, 0, true, state)
214
215
     )
216
217
     PROC(pid, nb, b, fired, state, locked) =
218
219
         ---parameters:
220
                        id of this process
               pid
221
              nb
                        # of branches in process's alt
         --local variables:
222
223
         -- b
                      branch number for completion
224
                        Alting or Clearing
               state
225
               fired
                        true if most recently-run branch fired
226
              locked
                        true if locked
227
       let
228
229
         p = pid2proc(pid)
230
         pp = pid2partners(pid)
231
       within
232
         (not locked) & p.LOCK_PROC?id
233
234
            -> PROC( pid, nb, b, fired, state, true )
235
       236
         (locked) & p.UNLOCK_PROC
237
           -> PROC( pid, nb, b, fired, state, false )
238
       239
         p.WR_CURR_BR?newb
240
           -> PROC( pid, nb, newb, fired, state, locked)
241
242
         p.RD_CURR_BR!b
243
           -> PROC( pid, nb, b, fired, state, locked )
244
245
         p.RD_NB!nb
246
           -> PROC( pid, nb, b, fired, state, locked)
247
         p.WR_STATE? newstate
248
           -> PROC( pid, nb, b, fired, newstate, locked )
249
```

```
250
       251
         p.RD_STATE! state
           -> PROC( pid, nb, b, fired, state, locked )
252
253
254
         p.WR_FIRED? newfired
255
           -> PROC( pid, nb, b, newfired, state, locked )
256
257
         p.RD_FIRED! fired
           -> PROC( pid, nb, b, fired, state, locked)
258
259
          [] c: pp @ c.WR_CURR_BR?newb
260
              -> PROC( pid, nb, newb, fired, state, locked )
261
262
       263
          [] c: pp @ (not locked) & c.LOCK_PROC?id
264
              -> PROC( pid, nb, b, fired, state, true )
265
       [] c: pp @ (locked) & c.UNLOCK_PROC
266
              -> PROC( pid, nb, b, fired, state, false )
267
268
       269
          [] c: pp @ c.RD_STATE! state
270
              -> PROC( pid, nb, b, fired, state, locked)
271
       272
          [] c: pp @ c.WR_STATE? newstate
273
              -> PROC( pid, nb, b, fired, newstate, locked )
274
275
276
     )
277
278
     CHAN(cid, first, second, b, locked) =
279
     (
280
         --parameters:
281
              cid:
                            id of this channel
282
                            (CHAN_C or CHAN_D, eg)
283
         --local variables:
284
               first
                            first process to the channel
                            second ...
285
              second
                            branch number for first
286
              b
              locked
                            chan locked when true
287
```

```
288
       let
289
         (c1, c2) = cid2procs(cid)
290
       within
291
292
         (not locked) & c1.LOCK_CHAN
293
           -> CHAN( cid, first, second, b, true )
294
         (locked) & c1.UNLOCK_CHAN
295
296
           -> CHAN( cid, first, second, b, false )
297
         c1.WR_1ST?newfirst
298
           -> CHAN( cid, newfirst, second, b, locked)
299
300
301
         c1.RD_1ST! first
           -> CHAN( cid, first, second, b, locked)
302
303
         c1.WR_2ND?newsecond
304
           -> CHAN( cid, first, newsecond, b, locked)
305
306
         c1.RD_2ND! second
307
308
           -> CHAN( cid, first, second, b, locked)
309
         c1.WR.BR?newb
310
           -> CHAN( cid, first, second, newb, locked)
311
312
313
         c1.RD_BR!b
314
           -> CHAN( cid, first, second, b, locked)
315
       316
317
         (not locked) & c2.LOCK_CHAN
318
319
           -> CHAN( cid, first, second, b, true )
320
         (locked) & c2.UNLOCK_CHAN
321
           -> CHAN( cid, first, second, b, false )
322
323
         c2.WR_1ST?newfirst
324
           -> CHAN( cid, newfirst, second, b, locked )
325
```

```
326
327
         c2.RD_1ST! first
           -> CHAN( cid, first, second, b, locked)
328
329
330
         c2.WR_2ND?newsecond
331
           -> CHAN( cid, first, newsecond, b, locked)
332
         c2.RD_2ND! second
333
           -> CHAN( cid, first, second, b, locked)
334
335
336
         c2.WR_BR?newb
337
           -> CHAN( cid, first, second, newb, locked)
338
         c2.RD_BR!b
339
           -> CHAN( cid, first, second, b, locked)
340
341
342
     )
343
344
             ---sequential scheduler:
345
             —let each process execute in turn until
346
             --it relinquishes
             SCHED(sch) =
347
348
349
                ---parameters:
                               list of channels to RUN_PROC processes
350
                    sch
351
352
                 let
353
                   ch = head(sch)
                 within
354
                   ch.GO
355
                    \rightarrow ch.DONE
356
                     \rightarrow SCHED( tail(sch)^<ch>)
357
358
              -
359
360
     --let all process execute together
361
362
     SCHED(schp, schq, pgo, qgo) =
363
     (
```

```
pgo & schp.GO -> SCHED( schp, schq, false, true )
364
365
            qgo & schq.GO -> SCHED( schp, schq, true, false )
366
367
368
369
     --start all RUN-PROC processes and let each one execute
     -according to the signals sent by the scheduler process
370
371
372
     RUN() = ( | | | pid: all_pids() @
                ( RUN_PROC( pid, 0, 0, true, ALTING )
373
374
                   [ | run_events() | ]
375
               RUN_BRANCH( pid ) )
376
377
     PROCS() = ( | | | pid: all_pids() @
378
                 PROC( pid,
                   pid2nb(pid), 0, false, ALTING, false))
379
380
381
     CHANS() = ( | | | cid: all_cids() @
382
                     CHAN(cid, NONE, NONE, 0, false))
383
384
     RUN\_ALL() = ( (RUN() [| proc_events() |] PROCS() )
                     [| chan_events() |] CHANS()
385
386
                     [| sched_events() |]
387
                   SCHED( schp, schq, true, false )
388
389
390
391
     SYSTEM = RUN\_ALL() \setminus Hidden
392
393
394
395
     SPEC =
396
397
               (p_run.RET.True.CHAN_C -> q_run.RET.True.CHAN_C
398
                   -> SPEC )
399
                                      ---CHAN_C (in either order)
400
               ( q_run .RET. True .CHAN_C -> p_run .RET. True .CHAN_C
401
```

```
402
                    \rightarrow SPEC )
403
404
405
406
                (p_run.RET.True.CHAN_D -> q_run.RET.True.CHAN_D
407
                    -> SPEC )
                   |~|
408
                                         ——CHAN_D (in either order)
                ( q_run .RET. True .CHAN_D -> p_run .RET. True .CHAN_D
409
                    -> SPEC )
410
411
412
413
414
                ( p_run .RET . True . CHAN_E -> q_run .RET . True . CHAN_E
                   \rightarrow SPEC )
415
                                         —CHANE (in either order)
416
                ( q_run.RET.True.CHAN_E -> p_run.RET.True.CHAN_E
417
418
                   \rightarrow SPEC )
419
            )
420
         )
421
422
      assert SYSTEM : [divergence-free]
        :[partial order reduce]
423
424
      assert RUN_ALL() : [deadlock free [F]]
425
        : [partial order reduce]
426
      assert SPEC [T= SYSTEM
427
                 :[partial order reduce]
```

Appendix B

Below are the topology-defining functions for a simple network with two processes that share three channels.

```
File 2p3c.csp
```

```
1
2
7
9
10
11
12 - 
13
14
15 — topology-dependent functions
16 ---
17 - pid, cid -> channel to CHAN
18 ids2chan(pid, cid) =
19
        if ((pid=PROCP) and (cid=CHAN_C)) then pc else
20
        if ((pid=PROCP) and (cid=CHAND)) then pd else
21
        if ((pid=PROCP) and (cid=CHANE)) then pe else
22
        if ((pid=PROC_Q) and (cid=CHAN_C)) then qc else
        if ((pid=PROC_Q) and (cid=CHAN_D)) then qd else
23
24
        if ((pid=PROC_Q) and (cid=CHAN_E)) then ge else
25
        chanerr
26
27 — pid -> channel between PROC and RUN_BRANCH
28 pid2proc(pid) = if (pid \longrightarrow PROCP) then p_p else
29
                   if (pid=PROC_Q) then q_q else
30
                   procerr
31
32 — pid, br -> cid (id of channel
```

```
33 ---
                        associated with a process's branch)
34 \text{ br2cid}(\text{pid}, \text{b}) =
          if ((pid \longrightarrow PROCP) \text{ and } (b==0)) then CHAN_C else
35
36
         if ((pid \longrightarrow PROCP) \text{ and } (b==1)) then CHAND else
37
         if ((pid \longrightarrow PROCP) \text{ and } (b==2)) then CHANE else
38
         if ((pid \longrightarrow PROC_Q) \text{ and } (b==0)) then CHAN_C else
39
         if ((pid \longrightarrow PROC_Q) \text{ and } (b==1)) then CHAN_D else
         if ((pid \longrightarrow PROC_Q) \text{ and } (b==2)) then CHANE else
40
41
         NONE
42
43 —cid to (channel, channel) = channels bet. CHAN and its PROCs
44 \operatorname{cid} 2\operatorname{procs}(\operatorname{cid}) = \operatorname{if}(\operatorname{cid} = \operatorname{CHAN\_C}) \operatorname{then}(\operatorname{pc}, \operatorname{qc}) \operatorname{else}
45
                        if (cid=CHAN_D) then (pd,qd) else
                        if (cid=CHANE) then (pe, qe) else
46
47
                        (chanerr, chanerr)
48
49 — pid -> set of channels to partners' PROCs
50 pid2partners(pid) = if (pid=PROCP) then { q_p } else
                            if (pid = PROC_Q) then \{p_q\} else
51
52
                            { }
53
54 — pid, cid -> channel between RUNBRANCH and partner's PROC
55 \text{ ids2partner(pid, cid)} =
         if ((pid=PROC_P) and (cid=CHAN_C)) then p_q else
56
         if ((pid=PROCP) and (cid=CHAND)) then p_q else
57
58
         if ((pid=PROCP) and (cid=CHANE)) then p_q else
59
         if ((pid=PROC_Q) and (cid=CHAN_C)) then q_p else
60
         if ((pid=PROC_Q) and (cid=CHAN_D)) then q_p else
61
         if ((pid=PROC_Q) and (cid=CHAN_E)) then q_p else
62
         procerr
63
64 — pid, cid -> id of partner
65 ids2partid(pid, cid) =
         if ((pid=PROC_P) and (cid=CHAN_C)) then PROC_Q else
66
67
             ((pid=PROCP) and (cid=CHAND)) then PROCQ else
         if ((pid=PROC.P) and (cid=CHAN.E)) then PROC.Q else
68
            ((pid=PROC_Q) and (cid=CHAN_C)) then PROC_P else
69
70
         if ((pid=PROC_Q) and (cid=CHAN_D)) then PROC_P else
```

```
if ((pid=PROC_Q) and (cid=CHAN_E)) then PROC_P else
 71
 72
            NONE
 73
 74 — pid -> nr of branches
 75 \text{ pid2nb(pid)} =
            if (pid=PROCP) then 3 else
 76
 77
            if (pid=PROC_Q) then 3 else
 78
            0
 79
 80 pid2run(pid) = if (pid \longrightarrow PROCP) then p_run else
 81
                          if (pid=PROC_Q) then q_run else
 82
                          runerr
 83
 84
 85 pid2sch(pid) = if (pid \longrightarrow PROCP) then schp else
                           if (pid=PROC_Q) then schq else
 86
 87
                           scherr
 88
 89 all_pids() = { PROC_P, PROC_Q }
 90
 91 all_cids() = { CHAN_C, CHAN_D, CHAN_E }
 92
 93 \operatorname{chan\_events}() = \{ | \operatorname{pc}, \operatorname{qc}, \operatorname{pd}, \operatorname{qd}, \operatorname{pe}, \operatorname{qe} | \}
 94
 95 \text{ proc_events}() = \{ | p_p, q_q, p_q, q_p | \}
 96
 97 \text{ run\_events}() = \{ | p_run, q_run | \}
 98
 99 \operatorname{sched_list}() = < \operatorname{schp}, \operatorname{schq} >
100
101 \text{ sched\_events}() = \{ | \text{ schp}, \text{ schq} | \}
102
103 -----
104
105 \text{ Events} = \{ \mid
106
                      pc, qc, pd, qd, pe, qe,
107
                        p_p, q_q, p_q, q_p, p_run, q_run,
108
                           schp, schq
```