

# Chapter 1

## Continuity

The central concept in topology is continuity, defined for functions between sets equipped with a notion of nearness (topological spaces) which is preserved by a continuous function. Topology is one kind of geometry in which the important properties of a figure are those are preserved under continuous motions.

**Definition 1.1.** Let  $X$  and  $Y$  be two topological spaces and  $f : X \rightarrow Y$  be a mapping. Then  $f$  is said to be continuous at  $p$  in  $X$  if given any open set  $V$  containing  $f(p)$  there exist an open set  $U$  containing  $p$  such that  $f(U) \subseteq V$ .

If  $f$  is continuous for each  $p \in X$ , then  $f$  is said to be continuous on  $X$ .

**Example 1.1.** Let  $X = \{a, b, c, d\}$  and  $\tau = \{X, \emptyset, \{a\}, \{b\}, \{a, b\}, \{b, c, d\}\}$  be a topology on  $X$ . Define  $f : X \rightarrow Y$  by  $f(a) = b, f(b) = d, f(c) = b, f(d) = c$ . Discuss/examine/check the continuity at  $c$  and  $d$ .

**Solution. Continuity of  $f$  at  $c$ :**

We see that at  $c, f(c) = b$  and the open sets containing  $f(c)$  are  $X, \{b\}, \{a, b\}, \{b, c, d\}$ . If we take  $V = \{a, b\}$ , then

$$f^{-1}(V) = f^{-1}(\{a, b\}) = \{a, c\}$$

The open sets containing  $c$  are  $X$  and  $\{b, c, d\}$ . Now,  $f(X) = \{b, c, d\}$ , and  $f(\{b, c, d\}) = \{b, c, d\}$ . But none of them contained in  $V = \{a, b\}$ . Hence,  $f$  is not continuous at  $c$ . **Continuity of  $f$  at  $d$ :** Here  $f(d) = c$  and the open sets containing  $f(d)$  are  $X$  and  $\{b, c, d\}$ . Also, the open sets containing  $d$  are  $X$  and  $\{b, c, d\}$ .

If we take  $V = X$ , then we get an open set  $\{b, c, d\}$  containing  $d$  with  $f(\{b, c, d\}) = \{b, c, d\} \subseteq V$ . And if we take  $V = \{b, c, d\}$ , we get the open set  $\{b, c, d\}$  containing  $d$  with  $f(\{b, c, d\}) = \{b, c, d\} \subseteq V$ . Therefore,  $f$  is continuous at  $d$ .

**Example 1.2.** If a singleton set  $\{p\}$  is an open in a topological space  $(X, \tau)$  then any function  $f : X \rightarrow Y$ , is continuous at  $p \in X$ .

*Proof.* Suppose  $H$  be a open set containing  $f(p)$ . But

$$f(p) \in H \quad \text{implies} \quad p \in f^{-1}(H) \quad \text{implies} \quad \{p\} \subseteq f^{-1}(H)$$

This implies  $f(\{P\}) \subseteq H$ . Hence,  $f$  is continuous at  $p$ . □

From this example we can say that any function defined on a discrete space is continuous.

**Theorem 1.1.** A function  $f : X \rightarrow Y$  is continuous iff for each open subset  $V$  in  $Y$ ,  $f^{-1}(V)$  is open in  $X$ .

*Proof.* First suppose  $f$  is continuous on  $X$  and let  $V$  be any open subset of  $Y$ . Let  $U = f^{-1}(V)$ . Choose any point  $p \in U$ . Then  $f(p) \in V$ . Since  $f$  is continuous at  $p$ , there exist an open set  $W_p$  containing  $p$  such that  $f(W_p) \subseteq V$ . Then  $p \in W_p \subseteq f^{-1}(V) = U$ . Hence,  $U$  is a neighborhood of  $p$ . Since  $p$  is arbitrary, so  $U$  is a neighborhood of each point of  $U$ . Therefore,  $U = f^{-1}(V)$  is open.

Conversely, let for each open subset  $V$  of  $Y$ ,  $f^{-1}(V)$  is open in  $X$ . Let  $U = f^{-1}(V)$ . Then  $f(U) = f(f^{-1}(V)) \subseteq V$ .

Hence, by definition,  $f$  is continuous. □

**Example 1.3.** Let  $f : (\mathbb{R}, \mathcal{U}) \rightarrow (\mathbb{R}, \mathcal{U})$  be given by  $f(x) = x$  for all  $x \in \mathbb{R}$ ; that is,  $f$  is an identity function. Then for any open set  $V$  in  $\mathbb{R}$ ,  $f^{-1}(V) = V$  and so  $f^{-1}(V)$  is open. Hence,  $f$  is continuous.

**Example 1.4.** Let  $f : (\mathbb{R}, \mathcal{U}) \rightarrow (\mathbb{R}, \mathcal{U})$  be given by  $f(x) = c$  for all  $x \in \mathbb{R}$ ; that is,  $f$  is a constant function. Then for any open set  $V$  in  $\mathbb{R}$ , clearly  $f^{-1}(V) = \mathbb{R}$  if  $c \in V$  and  $f^{-1}(V) = \emptyset$  if  $c \notin V$ . In both cases  $f^{-1}(V)$  is open. Hence,  $f$  is continuous.

**Example 1.5.** Let  $(X, \tau)$  and  $(Y, \tau^*)$  be two topologies defined by  $X = \{a, b, c\}$ ,  $\tau = \{X, \emptyset, \{a\}, \{b, c\}\}$  and  $Y = \{p, q, r\}$ ,  $\tau^* = \{Y, \emptyset, \{r\}, \{p, q\}\}$ . Define  $f : X \rightarrow Y$  by  $f(a) = p$ ,  $f(b) = q$ ,  $f(c) = r$ . The  $f$  is not continuous, because if we take the open set  $V = \{r\}$  in  $Y$ , the  $f^{-1}(V) = \{c\}$  which is not open in  $X$ .

**Theorem 1.2.** A function  $f : ((X, \tau)) \rightarrow (Y, \tau^*)$  is continuous iff for each member of a base  $\mathcal{B}$  for  $Y$ ,  $f^{-1}(B)$  is open in  $X$ .

*Proof.* Let  $f$  be continuous and  $B \in \mathcal{B}$ . Then  $B$  is open in  $Y$  since it is a member of base  $\mathcal{B}$ , and hence  $f^{-1}(B)$  is open in  $X$ .

Conversely, let  $V$  be any open set in  $Y$ . We show that  $f^{-1}(V)$  is open in  $X$ . Since  $\mathcal{B}$  is a base for  $Y$ , every open set in  $Y$  is the union of members of  $\mathcal{B}$  and so  $V = \cup \{B : B \in \mathcal{B}\}$ . Then

$$f^{-1}(V) = f^{-1}(\cup \{B : B \in \mathcal{B}\}) = \cup \{f^{-1}(B) : B \in \mathcal{B}\}$$

But, by the hypothesis  $f^{-1}(B)$  is open in  $X$  and their union is also open in  $X$ . Hence,  $f^{-1}(V)$  is open. Thus,  $f$  is continuous.  $\square$

**Theorem 1.3.** Let  $f : ((X, \tau)) \rightarrow (Y, \tau^*)$  and  $\mathcal{A}$  be a subbase for the topology  $\tau^*$  on  $Y$ . Then  $f$  is continuous iff the inverse of each member of the subbase  $\mathcal{A}$  is an open subset of  $X$ .

*Proof.* Let  $f : ((X, \tau)) \rightarrow (Y, \tau^*)$  be continuous and  $\mathcal{A}$  be a subbase to  $\tau^*$ . Then each element  $\mathcal{S}$  of  $\mathcal{A}$  is open in  $Y$  and so  $f^{-1}(\mathcal{S})$  is open in  $X$ ,  $f$  being continuous.

Conversely, suppose for any  $\mathcal{S} \in \mathcal{A}$ ,  $f^{-1}(\mathcal{S})$  is open in  $X$ . We show that  $f$  is continuous; i.e.,  $G \in \tau^*$  implies  $f^{-1}(G) \in \tau$ . Let  $G \in \tau^*$ . Then by definition of subbase,

$$G = \cup (\mathcal{S}_1 \cap \mathcal{S}_2 \cap \cdots \cap \mathcal{S}_n), \quad \text{where } \mathcal{S}_i \in \mathcal{A}$$

Hence

$$\begin{aligned} f^{-1}(G) &= f^{-1}(\cup (\mathcal{S}_1 \cap \mathcal{S}_2 \cap \cdots \cap \mathcal{S}_n)) \\ &= \cup f^{-1}(\mathcal{S}_1 \cap \mathcal{S}_2 \cap \cdots \cap \mathcal{S}_n) \\ &= \cup [f^{-1}(\mathcal{S}_1) \cap f^{-1}(\mathcal{S}_2) \cap \cdots \cap f^{-1}(\mathcal{S}_n)] \end{aligned}$$

But, by hypothesis,  $\mathcal{S}_i \in \mathcal{A}$  implies  $f^{-1}(\mathcal{S}_i)$  is open in  $X$  and hence  $f^{-1}(G)$  is open in  $X$  since the union of finite intersection of open sets is open. Therefore,  $f$  is continuous.  $\square$

**Theorem 1.4.** A function  $f : X \rightarrow Y$  is continuous iff for any subset of  $Y$ ,  $f^{-1}(B^\circ) \subseteq f^{-1}(B)^\circ$ .

*Proof.* Suppose  $f$  is continuous on  $X$  and let  $B$  be any subset of  $Y$ . Then  $B^\circ$  is open in  $Y$  and so  $f^{-1}(B^\circ)$  is open in  $X$ . Now, we have,  $B^\circ \subseteq B$  and so  $f^{-1}(B^\circ) \subseteq f^{-1}(B)$ , and then  $[f^{-1}(B^\circ)]^\circ \subseteq [f^{-1}(B)]^\circ = f^{-1}(B)^\circ$ . But  $f^{-1}(B^\circ)$  is open, so  $[f^{-1}(B^\circ)]^\circ = f^{-1}(B^\circ)$  and hence  $f^{-1}(B^\circ) \subseteq f^{-1}(B)^\circ$ .

Conversely, let for any subset  $B$  of  $Y$ ,  $f^{-1}(B^\circ) \subseteq [f^{-1}(B)]^\circ$ . Let  $V$  be any open set in  $Y$ . Then  $f^{-1}(V^\circ) \subseteq [f^{-1}(V)]^\circ$ . But  $V$  is open, so  $V^\circ = V$ . Hence  $f^{-1}(V^\circ) = f^{-1}(V) \subseteq [f^{-1}(V)]^\circ$ . But it is always the case that  $[f^{-1}(V)]^\circ \subseteq f^{-1}(V)$ . Hence  $f^{-1}(V) = [f^{-1}(V)]^\circ$  which is open in  $X$ . Therefore,  $f$  is continuous on  $X$ .  $\square$

**Theorem 1.5.** A function  $f : X \rightarrow Y$  is continuous iff for each closed subset  $F$  of  $Y$ ,  $f^{-1}(F)$  is a closed subset in  $X$ .

*Proof.* Suppose  $f$  is continuous on  $X$  and let  $F$  be any closed subset of  $Y$ . Let  $V = Y - F$ . Then  $V$  is open in  $Y$  and hence  $f^{-1}(V)$  is open in  $X$ . Now,

$$X - f^{-1}(F) = f^{-1}(Y - F) = f^{-1}(V)$$

which is open in  $X$ . Hence,  $f^{-1}(F)$  is closed in  $X$ .

Conversely, let  $V$  be any open set in  $Y$ . Then  $Y - V$  is closed and hence  $f^{-1}(Y - V)$  is closed in  $X$ . But,

$$f^{-1}(Y - V) = X - f^{-1}(V)$$

which is closed in  $X$ . Hence,  $f^{-1}(V)$  is open in  $X$ . Thus,  $f$  is continuous on  $X$ .  $\square$

**Theorem 1.6.** A function  $f : X \rightarrow Y$  is continuous iff for any subset  $A$  of  $X$ ,  $f(\bar{A}) \subseteq \bar{f(A)}$ .

*Proof.* Suppose  $f$  is continuous on  $X$  and let  $A$  be any subset of  $X$ . Then  $f(A)$  is a subset of  $Y$  and  $\overline{f(A)}$  is closed in  $Y$ ; hence  $f^{-1}(\overline{f(A)})$  is closed in  $X$ . Now, we have

$$f(A) \subseteq \overline{f(A)}$$

and so

$$f^{-1}(f(A)) \subseteq f^{-1}(\overline{f(A)})$$

But  $A \subseteq f^{-1}(f(A))$ ; hence  $A \subseteq f^{-1}(\overline{f(A)})$ . Since  $f^{-1}(\overline{f(A)})$  is closed and  $\overline{A}$  is the smallest closed set containing  $A$ , it follows that

$$\overline{A} \subseteq f^{-1}(\overline{f(A)})$$

and so

$$f(\overline{A}) \subseteq \overline{f(A)}$$

Conversely, let for any subset  $A$  of  $X$ ,  $f(\overline{A}) \subseteq \overline{f(A)}$ . Let  $F$  be any closed set in  $Y$ . Then  $f^{-1}(F)$  is subset of  $X$ . We claim that  $f^{-1}(F)$  is closed in  $X$ . Since  $f(f^{-1}(F))$  is subset of  $F$ , so

$$f(\overline{f^{-1}(F)}) \subseteq \overline{f(f^{-1}(F))} = \overline{F} = F$$

$$\therefore \overline{f^{-1}(F)} \subseteq f^{-1}(F).$$

But it is always the case that  $f^{-1}(F) \subseteq \overline{f^{-1}(F)}$ . Hence  $f^{-1}(F) = \overline{f^{-1}(F)}$  i.e.,  $f^{-1}(F)$  is closed and therefore  $f$  is continuous on  $X$ .  $\square$

## 1.1 Sequential Continuity

**Definition 1.2.** A function  $f : X \rightarrow Y$  is said to be sequentially continuous at a point  $p \in X$  iff for every sequence  $\langle a_n \rangle$  converging to  $p$ , the sequence  $f(a_n)$  converges to  $f(p)$ ; i.e., iff  $a_n \rightarrow p$  implies  $f(a_n) \rightarrow f(p)$ .

Continuity and sequential continuity at a point are related as follows:

*Theorem 1.7.* If a function  $f : X \rightarrow Y$  is continuous at  $p \in X$ , then it is sequentially continuous at  $p$ .

*Proof.* Let the sequence  $\langle a_n \rangle$  in  $X$  converges to  $p$ . Let  $M$  be the neighborhood of  $f(p)$ . Then  $f$  being continuous at  $p$  implies  $f^{-1}(M)$  is open in  $X$  containing  $p$ . Let  $N = f^{-1}(M)$ . Then, since  $\langle a_n \rangle$  converges to  $p$ , so  $a_n \in N$  for almost all  $n \in \mathbb{N}$ . This implies  $f(a_n) \in f(N) = f(f^{-1}(M)) = M$  for almost all  $n \in \mathbb{N}$ . So, the sequence  $\langle f(a_n) \rangle$  converges to  $f(p)$ . Hence,  $f$  is sequentially continuous at  $p$ .  $\square$

## 1.2 Open and Closed functions

A function  $f : X \rightarrow Y$  is called an **open function** if the image of every open set is open.

Similarly, a function  $f : X \rightarrow Y$  is called a **closed function** if the image of every closed set is closed.

In general, functions which are not open need not be closed and vice versa.

**Example 1.6.** Let  $f : (\mathbb{R}, \mathcal{U}) \rightarrow (\mathbb{R}, \mathcal{U})$  be given by  $f(x) = c$  for all  $x \in \mathbb{R}$ . Then  $f$  is continuous (see ex 1.3). Let  $V$  be a open set and  $H$  be a closed set in  $\mathbb{R}$ . Then,

$$f(V) = \{c\} \text{ and } f(H) = \{c\} \text{ for all } x \in V \text{ and for all } x \in H$$

Since  $\{c\}$  is finite, it is closed but not open. Therefore  $f$  is a closed map and continuous but it is not open.

**Example 1.7.** Let  $X = \{a, b, c\}$ ,  $\tau = \{\emptyset, \{a\}, X\}$ ,  $Y = \{p, q, r\}$  and  $\tau^* = \{\emptyset, \{p\}, \{p, r\}, Y\}$ .

1. Define  $f : X \rightarrow Y$  by  $f(a) = p$ ,  $f(b) = q$ ,  $f(c) = r$ . Then  $f$  is an open map but it is not continuous.
2. Define  $g : X \rightarrow Y$  by  $g(x) = q$  for all  $x \in X$ . Then  $g$  is a closed map and it is continuous but not open.
3. Define  $h : X \rightarrow Y$  by  $h(x) = p$  for all  $x \in X$ . Then  $h$  is an open map and it is not continuous and not open.

### 1.3 Homeomorphism

Between any two topological spaces  $(X, \tau)$  and  $(Y, \tau^*)$ , there are many functions  $f : X \rightarrow Y$ . We choose to discuss continuous, or open or closed functions rather than arbitrary functions since these functions preserve some aspects of the structure of the spaces  $(X, \tau)$  and  $(Y, \tau^*)$ .

If the function  $f : X \rightarrow Y$  defines a one to one correspondence between the open sets in  $X$  and the open sets in  $Y$ , then the spaces  $(X, \tau)$  and  $(Y, \tau^*)$  are identical from the topological point of view.

**Definition 1.3.** Let  $X$  and  $Y$  be topological spaces. A bijective function  $f : X \rightarrow Y$  is said to be a homeomorphism if  $f$  is open and continuous, or equivalently, both  $f$  and  $f^{-1}$  are continuous.

If there exists a homeomorphism between  $X$  and  $Y$ , we say that  $X$  and  $Y$  are **homeomorphic** spaces, or that they are topologically equivalent, and write  $X \cong Y$ .

*Lemma 1.1.* If  $f : X \rightarrow Y$  is a homeomorphism, then so is the inverse map  $f^{-1} : Y \rightarrow X$ .

*Lemma 1.2.* If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are homeomorphisms, then so is the composite map  $gf : X \rightarrow Z$ .

**Example 1.8.** For each space  $X$  the identity function  $i_d : X \rightarrow X$ , with  $i_d(x) = x$  for all  $x \in X$ , is a homeomorphism.

**Example 1.9.** Any two open intervals of the real line are homeomorphic. For example, if  $S = (-1, 1)$  and  $T = (0, 5)$ , then define  $f : S \rightarrow T$  and  $g : T \rightarrow S$  by  $f(x) = \frac{5}{2}(x + 1)$ ,  $g(x) = \frac{2}{5}(x - 1)$ . These maps are continuous, being composites of addition and multiplication, and it is easy to verify that they are inverse to each other. So  $f$  and  $g$  are homeomorphisms, and  $(-1, 1)$  and  $(0, 5)$  are homeomorphic.

**Example 1.10.** The function  $f : (-1, 1) \rightarrow \mathbb{R}$  given by  $f(x) = \frac{x}{1 - x^2}$  is a homeomorphism. To find the inverse of  $f$ , we rewrite the equation  $\frac{x}{1 - x^2} = y$  as  $yx^2 + x - y = 0$  and solve for  $x$  as a function of  $y \in \mathbb{R}$ , namely

$$f^{-1}(y) = \frac{-1 + \sqrt{1 + 4y^2}}{2y} = \frac{2y}{1 + \sqrt{1 + 4y^2}}$$

It is well known that both  $f$  and  $f^{-1}$  are continuous, hence  $\mathbb{R}$  is homeomorphic to any open interval  $(a, b)$ .

If we define a continuous map  $f : (-1, 1) \rightarrow \mathbb{R}$  by

$$f(x) = \tan\left(\frac{\pi}{2}x\right)$$

This is a bijection and has a continuous inverse  $g : \mathbb{R} \rightarrow (-1, 1)$  given by

$$g(x) = \frac{2}{\pi} \tan^{-1}(x)$$

**Example 1.11.** A solid square is homeomorphic to a solid disc.

We will illustrate this with the square  $Q = \{(x, y) \in \mathbb{R}^2 : -1 \leq x \leq 1, -1 \leq y \leq 1\}$  and disc  $D = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 \leq 1\}$ . Define  $f : D \rightarrow Q$  by

$$f(x, y) = \frac{\sqrt{x^2 + y^2}}{\max(|x|, |y|)}(x, y)$$

if  $(x, y) \neq (0, 0)$  and  $f(0, 0) = (0, 0)$ . Its inverse  $g : Q \rightarrow D$  is given by

$$g(x, y) = \frac{\max(|x|, |y|)}{\sqrt{x^2 + y^2}}(x, y)$$

if  $(x, y) \neq (0, 0)$  and  $g(0, 0) = (0, 0)$ .

The idea of these maps is that  $f$  pushes the disc out radially to form a square, and  $g$  contracts the square radially to form a disc. Using this idea, you can see that the preimage of an open subset of  $Q$  under  $f$  will be

Insert fig

open in  $D$  and similarly for  $g$ . So, they are continuous maps.

## 1.4 Topological Properties

A property  $P$  is said to be a topological property or a topological invariant if, whenever a topological space  $(X, \tau)$  has the property  $P$ , then every space homeomorphic to  $(X, \tau)$  also has the property  $P$ .

Briefly, a property, that is preserved under a homeomorphism, is called a topological property or topological invariant.

**Example 1.12.** Let  $X = (0, \infty)$ . Define a function  $f : X \rightarrow X$  by  $f(x) = \frac{1}{x}$ . Then  $f$  is a homeomorphism. Observe that the sequence

$$\langle a_n \rangle = 1, \frac{1}{2}, \frac{1}{3}, \dots$$

correspond, under homeomorphism, to the sequence

$$\langle f(a_n) \rangle = 1, 2, 3, \dots$$

We see that the sequence  $\langle a_n \rangle$  is a Cauchy sequence but the sequence  $\langle f(a_n) \rangle$  is not. Hence the property of being a Cauchy sequence is not topological.

**Example 1.13.** Being a finite topological space, having the discrete, trivial or cofinite topology, or being a Hausdorff space, are all examples of topological properties. So, if  $X$  is a Hausdorff space and  $X \cong Y$  then  $Y$  is a Hausdorff space. Compactness and connectedness are also topological properties.

**Problem 1.1.** Show that an identity map on a topological space is continuous but the identity map in different topological spaces may not be continuous.

**Solution.** Let  $f : (X, \tau) \rightarrow (X, \tau)$  defined by  $f(x) = x$  for all  $x \in X$ ; that is,  $f$  is an identity map. Then for any open set  $V$  in  $X$ ,  $f(V) = V$  and so  $f^{-1}(V)$  is open. Hence  $f$  is continuous.

To prove the 2nd part, let  $\tau = \text{co-finite topology}$  on  $\mathbb{R}$  and  $\tau_u = \text{usual topology}$  on  $\mathbb{R}$ .

Let  $i : (\mathbb{R}, \tau) \rightarrow (\mathbb{R}, \tau_u)$  be an identity map. Let  $V = (0, 1) \in \tau_u$ . Then  $i^{-1}(0, 1) = (0, 1) \notin \tau$  because  $\mathbb{R} - (0, 1)$  is not finite. Thus we can see that, though  $V = (0, 1)$  is open in  $(\mathbb{R}, \tau_u)$ ,  $i^{-1}(0, 1)$  is not open in  $(\mathbb{R}, \tau)$ . Hence the identity map  $i : (\mathbb{R}, \tau) \rightarrow (\mathbb{R}, \tau_u)$  is not continuous.

Again, let  $i : (\mathbb{R}, \tau_u) \rightarrow (\mathbb{R}, \tau)$  be an identity map. Let  $G \in \tau$ . Then  $\mathbb{R} - G$  is finite. Hence  $i^{-1}(\mathbb{R} - G) = \mathbb{R} - G$  is closed in  $\mathbb{R}, \tau_u$ . Hence  $G$  is open in  $\mathbb{R}$ . Thus  $i$  is continuous.

Therefore, the identity map on different topological spaces may not be continuous.