

Posttraumatic Stress Disorder, Traumatic Brain Injury, Sleep, and Performance in Military Personnel



Brian A. Moore, PhD^{a,b,*}, Matthew S. Brock, MD^c, Allison Brager, PhD^d, Jacob Collen, MD^e, Matthew LoPresti, PhD^f, Vincent Mysliwiec, MD^a

KEYWORDS

- Posttraumatic stress disorder (PTSD) • Traumatic brain injury (TBI) • Sleep disorders • Performance
- Military personnel • Veterans

KEY POINTS

- Unequivocally posttraumatic stress disorder, traumatic brain injury, and disturbed sleep negatively impact the performance of military personnel; however, the magnitude of the associated performance degradation is not fully known.
- For military leaders it is paramount to address sleep disturbances because many are amenable to therapy and improvement of sleep is critical in maintaining performance.
- The inciting trauma, temporal proximity to the trauma, and comorbid disorders impact the performance in patients with posttraumatic stress disorder.
- Performance decrements associated with traumatic brain injury are typically commensurate with the severity of the injury.
- Because posttraumatic stress disorder, traumatic brain injury, and sleep disturbances are frequently comorbid, studies must evaluate the presence or absence of these disorders to determine how each disorder individually and in combination affects performance.

INTRODUCTION

Long-accepted research has shown that no act of will or ethical passion, no degree of training will preserve the ability to discriminate friend from foe, armed enemy from noncombatant, or a militarily useful target from a

distraction after 96 hours of sleep deprivation.¹

Although the need for sleep as self-care is recognized by the military, it remains at the extremes of sleep loss, as this quote highlights, whereby military leaders acknowledge performance impairments. In fact, obtaining fewer than

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^a The University of Texas Health Science Center at San Antonio, 7550 IH 10 West, Suite 1300, San Antonio, TX 78229, USA; ^b The University of Texas at San Antonio, One UTSA Circle, San Antonio, TX 78229, USA;

^c Department of Sleep Medicine, Wilford Hall Ambulatory Surgical Center, 1100 Wilford Hall Loop Building 4554, JBSA-Lackland AFB, San Antonio, TX 78236, USA; ^d Human Performance Operations and Education Recruiting Outreach Company, United States Army Recruiting Command, Fort Knox, KY, 40121, USA;

^e Uniformed Services University of the Health Sciences, 4301 Jones Bridge Road, Bethesda, MD 20814, USA;

^f US Army Medical Research Directorate-West, Walter Reed Army Institute of Research, 9933 W. Johnson St., Joint Base Lewis-McChord, WA 98433, USA

* Corresponding author. The University of Texas at San Antonio, One UTSA Circle, San Antonio, TX 78229.

E-mail address: Mooreb6@uthscsa.edu

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6 hours of sleep on a regular nightly basis can negatively impact performance.² There is growing body of literature indicating that posttraumatic stress disorder (PTSD) and traumatic brain injury (TBI), both of which are relatively common in the active military population, can similarly impact performance. In this article, we review the literature regarding these disorders and the performance of military personnel. The concept of performance is primarily cognitive in nature, but also includes social and physical domains. Whereas the performance of military duties is impaired when these disorders are present, we describe that there is a current lack of understanding of the magnitude of the associated performance decrements. This gap is present because most performance assessments, especially in the setting of PTSD and TBI, are standardized neuropsychological tests, as opposed to the actual performance of military duties or skill relevant tasks.

POSTTRAUMATIC STRESS DISORDER

The roots of pathologic stress response syndromes can be readily identified in writings dating back thousands of years. The Assyrians, Romans, and Greeks all wrote of a disorder with no corporeal cause that would afflict their most stalwart warriors, causing them to break down into hysteria and lose their sight and the ability to speak.³ These early descriptions provide insight into the acute complete incapacitation of a traumatized individual, whereby they had severe decrements, if not the total inability to perform their duties. In the last century, a disorder like that described in ancient cultures has been called by many names (eg, shell shock, gross stress reaction, battle stress, combat fatigue, and traumatic war neuroses). Most recently, the term posttraumatic stress disorder, or more commonly PTSD, has been used to encompass this constellation of symptoms.⁴

Although combat-related trauma and its effects on individuals have been written about for millennia, it was not until World War I that the effects of trauma exposure (psychological and physical) were systematically characterized. During the Great War, large numbers of soldiers who could no longer perform their military duties presented with symptoms of amnesia, poor concentration, headache, tinnitus, dizziness, and difficulty sleeping. Physicians noted that the symptoms manifested in a similar manner to soldiers presenting with cerebral injury and dubbed this “shell shock.”⁵ This disorder was poorly understood because exposure to traumatic events, lack of sleep, and physical injury were all potential reasons for a soldier’s incapacitation. Shell shock was a contentious diagnosis,

especially in the absence of physical injury, and eventually became stigmatized.^{6,7} Currently, it is recognized that mental disorders, to include PTSD, “are usually associated with significant distress or disability in social, occupational, or other important activities.”⁴ Thus, the stigma associated with what is now known as PTSD may have developed in part because afflicted soldiers were viewed as incapable of performing their duties.⁸ Although our current understanding of disorders akin to shell shock (eg, PTSD and/or TBI) has substantially advanced since the initial characterizations, there is a limited understanding of the acute and chronic effects of PTSD and TBI on a soldier’s ability to perform their military duties.

MILITARY TRAINING, STRESS, AND PERFORMANCE

Combat operations expose military personnel to many stressors that result in combat stress. Some military personnel respond to combat stress with an unprecedented ability for heroism and duty performance⁹; conversely, others become incapacitated and unfit for combat.¹⁰ Although combat stress is not a diagnosis of PTSD, it is for many the precursor to this disorder with 10% to 20% of soldiers developing PTSD.^{11,12} Although objective assessments of performance in actual combat operations are not available, there are studies that evaluated active duty military personnel in a number of stressful situations, including simulated prisoner of war camps,¹³ survival school,¹⁴ combat diving school, Army Rangers, and Navy Seals.¹⁵ The findings of these studies reported that the stressful training environment, as well as symptoms of dissociation, were negatively associated with the performance of military relevant skills. The authors reported that military personnel received “little sleep,”¹³ and in 1 study that the Rangers obtained 3 hours of sleep in 72 hours and 1 hour of sleep in 73 hours for the Navy Seals.¹⁵ Near-complete lack of sleep is frequently present in combat operations. How this major factor, sleep deprivation before military or combat operations, degrades performance and/or contributes in and of itself to combat stress and increased risk of accidents and PTSD/TBI, is truly unknown but of primary importance, specifically because obtaining sleep is a defined target that can be obtained as opposed to avoiding trauma or casualties.

POSTTRAUMATIC STRESS DISORDER AND PERFORMANCE

In general, patients with PTSD report cognitive difficulties, especially attending to and recalling

information.^{16,17} Some memory impairments are postulated to be PTSD specific,¹⁸ with 36% of patients with PTSD reporting some level of impaired explicit recall.¹⁷ Conversely, these same patients often report vivid recall of prior traumatic experiences as well as trauma-related nightmares.¹⁹ In assessing the literature regarding PTSD and performance, which includes civilian as well as veteran populations, there are variations in terms of the timing of the assessments, which has ranged from a real-time performance assessments after a stressful training exercise to formal neuropsychological testing conducted days to decades after the inciting traumatic experience; the specific tests used to evaluate performance^{20,21}; and the diagnostic criteria for PTSD, which has changed since its initial presentation in the third edition of the *Diagnostic and Statistical Manual of Mental Disorders*,²² to the current criteria in the fifth edition of the *Diagnostic and Statistical Manual of Mental Disorders*.⁴ Despite the noted variances, the evidence supports that individuals with PTSD have neurocognitive deficits in overall executive functioning and verbal memory,²³ although the deficits may be subtle and vary among the population studied.²⁴

In an early study assessing the cognitive performance of Vietnam Veterans with chronic PTSD, compared with those with anxiety alone and a control group with no underlying behavioral health disorder, comprehensive neuropsychological testing including the Wechsler Adult Intelligence Scale-Revised Block Design subtest, the California Verbal Learning Test, the Rey-Osterrieth Complex Figure Drawing Test, and the Paced Auditory Serial Addition Test found no significant differences in performance.²¹ Similarly, LeBlanc and colleagues²⁵ examined the impact(s) of prior traumatic experiences and ongoing stress-related symptoms on performance in an adaptive simulated stressful training exercise in a cohort of police recruits. They found police recruits with high levels of trauma symptoms did not exhibit impairments in judgment, communication, or situational control compared with those with little to no trauma symptoms.²⁵

Conversely, Esterman and colleagues²⁶ examined 123 veterans and found those with PTSD (most of whom had comorbid sleep disturbances) demonstrated reductions in sustained attention. Similarly, Brandes and colleagues²⁰ evaluated the acute effects on performance in trauma survivors ($n = 48$) and found that relative to patients with low levels of PTSD symptoms, those with higher levels had impaired attention and immediate recall for figural information as well as lower IQ scores. When the high PTSD symptom group

was compared with the low PTSD symptom group, controlling for depressive symptoms, no significant differences were present. The authors of this study concluded that a lower IQ and impaired attention were associated with both PTSD and depressive symptoms.²⁰ This assertion is not unique; other investigators have reported PTSD to be correlated with lower intelligence and neuroanatomic changes to include most notably smaller hippocampal volume.²⁷ Interestingly, in a study that evaluated women who suffered abuse as children, there were no differences in hippocampal volume or memory.²⁸ These contrasting findings in terms of both neuroanatomic changes and cognitive performance may emanate from the timing of the trauma to the subsequent assessment and/or to the nature of the trauma itself (ie, combat, motor vehicle accident, sexual assault) as well as if there were other factors such as sleep deprivation present preceding the traumatic event that precipitated an individual's PTSD. Another potential reason for decreased cognitive performance in PTSD is locus coeruleus dysfunction.²⁹ The locus coeruleus is important in maintaining focus and dysregulation of the locus coeruleus could explain some of the cognitive deficits in PTSD.³⁰

A meta-analysis evaluated 60 studies that assessed neurocognitive function in PTSD and included 1779 individuals with PTSD, 1446 trauma-exposed controls, and 895 true controls.²³ The findings of this study supported significant neurocognitive deficits in PTSD with the most pronounced in verbal learning, speed of information processing, attention/working memory, and verbal memory.²³ In this article, individuals with PTSD who were treatment seeking had more pronounced deficits. More recently, a rigorous study that controlled for comorbid TBI, depression, and substance abuse evaluated neuropsychological function in veterans with PTSD compared with individuals with combat exposure without PTSD.³¹ This study found that veterans with PTSD had significantly worse speed of information processing and executive functioning; however, they had no decrements in attention or working memory, verbal/language functioning, visuoconstruction, or episodic memory. Notably, the decrease in executive functioning in this study correlated with self-reported decreased occupational performance.

Other factors that may contribute to decreased performance is that military personnel and veterans with PTSD are typically male, have had combat-related trauma, and higher levels of comorbid behavioral health issues such as depression.³² In a relatively large study of 5353 Korean War Veterans, the comorbidity of PTSD and

depression was the most frequent diagnosis, followed by PTSD, and then depression alone.³³ Although this study did not directly evaluate performance, veterans with PTSD and depression had the lowest self-reported quality of life and life satisfaction. Additionally, patients with PTSD are at greater risk for maladaptive social functioning.³⁴ Maladaptive behaviors include an increased risk of substance abuse, suicide attempts, domestic violence, divorce, and homelessness.³⁵ These symptoms may in part emanate from the symptoms of PTSD as well as the fact that individuals with PTSD tend to have less social support and decreased family cohesion and life satisfaction.³⁶ Although the maladaptive behaviors, especially substance abuse, can negatively impact performance, our understanding of the impact of these behaviors on performance in PTSD is limited by a lack of standardized assessments used to assess social functioning and the subsequent impact on an individual's performance.³⁴

Regarding work performance, there are some studies that have reported that individuals who suffer from PTSD are less productive, work fewer hours, and use more sick days compared with individuals without PTSD. One study assessed 325 Vietnam Veterans who received treatment for PTSD; in this study, individuals with more severe PTSD symptoms were more likely to work part time or not at all.^{37,38} Thus, it would be reasonable to equate this decreased ability to work full time associated with PTSD and PTSD symptoms as a decrease in the overall performance of an individual. To date, the quantifiable impacts of PTSD (eg, cognitive, physical, socioeconomic, or task specific) on an individual's actual duty performance are insufficiently evaluated. The decrement in performance seems to vary in the acute versus chronic state of PTSD. Further, the actual performance impairment is further complicated by the frequent presence of comorbid disorders, which can further negatively impact performance.

TRAUMATIC BRAIN INJURY AND PERFORMANCE

TBIs occur after trauma that induces structural injury or physiologic disruption to normal brain functioning.³⁹ The standard practice and diagnostic guidelines for the management of TBI classify each by severity, namely, as mild, moderate, or severe.⁴⁰ It is worth clarifying that mild TBI, concussion, mild head trauma, and mild head injury are frequently used interchangeably.⁴¹ For this article, we use mild TBI to address these differences in terminology. The most frequently diagnosed severity of TBI is mild TBI, followed by

moderate and severe TBIs (82.3%, 9.7%, and 1%, respectively).⁴² Individuals who experience a mild TBI frequently report acute symptoms such as dizziness, nausea, difficulties sleeping, reduced attention, amnesia, or headache,^{42,43} as well as other cognitive deficits such as memory acquisition, slowed processing speed, issues multitasking, losing train of one's thoughts, and global cognitive functioning.⁴¹ In mild TBI, symptoms typically manifest within 24 hours after the injury⁴¹ and resolve without medical attention in approximately 7 days.^{44–51}

Recent studies report TBI to be a persistent concern for active duty military personnel.^{42,52,53} This is largely due to the nature of the contemporary operating environment. Specifically, military personnel are at increased risk of exposure to a number of circumstances that may be related to TBI, including rocket-propelled grenades, artillery, and blast exposure from improvised explosive devices. A RAND survey completed in 2008 reported data from initial waves of military personnel involved in the troop surge to Iraq in 2006 and found that nearly 20% experienced a mild TBI during deployment.³⁵ A separate study by Swanson and colleagues³⁹ reported similar rates nearly 10 years later. A more recent report by the Defense and Veterans Brain Injury Center identified 383,947 cases of TBI diagnosed in the Department of Defense since 2000.⁴² Although TBI is prevalent among military personnel, approximately 10 million cases are recorded annually among the global populace, primarily owing to car accidents or sporting events.⁵⁴ As such, a large portion of the extant literature on TBI and performance is derived from sports medicine⁴³ with civilian patients. For this reason, the present section addresses the acute and long-term performance decrements in both civilians and military personnel.

TRAUMATIC BRAIN INJURY IMPACTS ON PERFORMANCE IN ATHLETES AND OTHER CIVILIANS

A seminal study of athletes with a history of TBI followed 79 college football players over the course of 90 days.⁴⁸ This study found that, relative to non-TBI controls, those with a mild TBI displayed higher levels of cognitive impairment and balance problems immediately after experiencing the mild TBI, but most symptoms resolved within 7 days. Specifically, mild cognitive impairments improved to baseline levels within 5 to 7 days and balance impairments resolved within 3 to 5 days.⁴⁸ In their sample, McCrea and colleagues⁴⁸ found no significant differences in functional impairments between the controls and participants with a mild

TBI at 90 days. Other studies that assessed concussed athletes at 1, 3, and 5 days after injury have shown similar findings with mild deficits in balance, concentration, working memory, immediate memory recall, and rapid visual processing after injury.⁵⁵ A meta-analysis of 21 studies evaluated the neuropsychological impact of sports-related mild TBI on athletes and found within the first 24 hours to 7 days after injury, there were decrements in delayed memory, memory acquisition, and global cognitive functioning.⁴⁵ When considering the results of 21 studies, however, Belanger and Vanderploeg⁴⁵ determined that within 7 to 10 days after the injury the overall cognitive performance of participants with a TBI did not differ from noninjured control subjects. It is important to note there is no established acute period of symptoms related to a TBI; the literature broadly discusses acute impacts of TBI as occurring within 7 days after the injury.

Chronic cognitive deficits associated with TBI are known to interfere in nearly every aspect of daily living, including work, relationships, and leisure activities.⁵⁶ These cognitive deficits contribute to impaired performance, primarily in areas such as disorganized memory encoding^{57,58} and deficits in executive functioning,⁵⁷ attentional focus,^{59,60} planning, and decision making.^{61,62} Numerous studies have reported when post-TBI symptoms persist beyond 3 to 6 months, individuals are also more likely to develop vocational disabilities. For instance, Sinopoli and colleagues⁶³ recently examined a cohort of 13 individuals with a history of mild TBI at 3 and 6 months after injury. Compared with a noninjured control group, the participants with a history of mild TBI performed slower on tasks that required working memory and dual tasking. In line with this, a sample of 111 individuals with TBI showed significant deficits 1-year after injury related to executive functioning, rather than speed of processing or memory.⁶⁴ Recently, Nelson and colleagues⁶⁵ examined 1154 individuals who sustained a mild TBI and were treated at 11 separate US level I trauma centers. In all, they found that functional impairments were most severe at 2 weeks after injury, but that 53% of the study participants reported persistent functional impairments at 12 months after injury with 17% reporting work-related and social functioning difficulties.

In regard to the dynamic relationship between TBI and performance, specific considerations must be given to the severity and manifest symptoms, the environment,⁶⁶ or mode⁶⁷ by which a TBI is sustained (eg, blast related vs blunt force), and the effected neuroanatomic regions. The exact physiologic mechanisms by which TBI

impacts performance are not fully elucidated; yet, it is known that TBIs frequently impact the frontal lobes and circuitry⁶⁸ and a major factor influencing the impact of TBI on performance is the severity of the injury. Moderate and severe TBIs frequently result in more severe and chronic cognitive deficits (eg, decrements in awareness, reasoning, language, visuospatial processing, and general intelligence) than mild TBI.⁴⁵

There are a number of factors related to a mild TBI that can determine if an individual develops long-term performance decrements to include single versus multiple mild TBI, age, and acute symptomatology. In the majority of individuals, an isolated mild TBI is unlikely to cause persistent cognitive deficits.^{69,70} Repeated injuries, however, pose an increased risk of long-term cognitive deficits.^{71–73} In some of these studies, repeated injuries were found to have a dose–response relationship whereby more injuries resulted in greater cognitive performance deficits. Further supporting the long-term consequences of multiple mild TBIs is a meta-analysis of 21 studies that found athletes with multiple mild TBIs were nearly 8 times more likely to exhibit decreased performance in memory tasks relative to athletes who had never sustained a mild TBI.⁴⁵

Age at time of injury has also been shown to influence the chronicity of TBI symptoms, whereby younger individuals seem to be more susceptible to long-term cognitive deficits. Lah and colleagues⁷⁴ examined 14 children who had sustained a severe TBI during childhood and found that severe TBI impacts both explicit and implicit memory, specifically in children who sustained a TBI early in life (<6 years of age). A meta-analysis of 6 studies examining time to recovery between high school and collegiate athletes with mild TBI found recovery times for cognitive impairments were roughly equal between the 2 groups (7 days vs 5 days, respectively), but that high school athletes took 2.5 times longer (15 days vs 6 days) to report feeling asymptomatic.⁷⁵ Numerous other studies have examined the impacts of mild TBI symptoms on recovery. One study of 107 high school football players with a history of mild TBI found that individuals who reported immediate feelings of dizziness were 6.3 times more likely to have a prolonged period of recovery (eg, ≥ 3 weeks).⁷⁶ In another study, McCrea and colleagues⁴⁷ examined 570 athletes with mild TBI, reporting that acute onset symptoms to include loss of consciousness and amnesia were related to a prolonged mild TBI symptomatology. Similarly, a study of 139 prisoners with a history of TBI found the severity of a sustained TBI negatively correlated with intellectual performance

such that intellectual functioning was poorer among the sample with a TBI and observed performance was commensurate with the severity of the injury.⁷⁷ In all, the preponderance of evidence in athletes and civilians shows mild TBI results in acute impaired cognitive performance⁴⁵ as well as neurologic symptoms, which could impair performance in the majority of individuals.

TRAUMATIC BRAIN INJURY IMPACTS ON PERFORMANCE IN THE MILITARY

The impact of TBI on the performance of military duties is not as well understood as the acute neurocognitive deficits in civilians. A recently completed systematic review of 31 military-related TBI studies reported inconsistent findings surrounding long-term impacts of TBI, frequent methodologic shortcomings, and substantial variation in measurement approaches among the reviewed studies.⁷⁸ Numerous studies have indicated a key limitation to interpreting the impacts of TBI in military personnel is the confounding factor of behavioral health concerns.^{78–83} For instance, between 33% and 65% of veterans with TBI also report PTSD symptoms.^{84–87} A separate systematic review of 13 articles identified only 3 that were deemed to be at low risk of bias⁸⁸ and acceptable for analysis. This study found that military personnel with mild TBI frequently have PTSD symptoms. It also concluded that although there was a slight decrease in neurocognitive performance in patients with mild TBI, it was within the realm of normal cognition. Conversely, Boyle and colleagues⁸⁸ identified only limited evidence supporting the supposition that behavioral health issues impact recovery and prognosis after mild TBI.

A seminal study conducted by Luethcke and colleagues⁸⁹ examined 82 forward-deployed military personnel who met criteria for a mild TBI ($n = 42$ non-blast-related injury and $n = 40$ blast-related injury) within 72 hours. Using computerized neurocognitive testing, clinical interviews, and a battery of subjective measures, they found the groups did not significantly differ in terms of alterations in consciousness, somatic symptoms, concentration impairment, or sleep disturbances.⁸⁹ However, immediately after the injury, postural stability was impacted more in the nonblast cohort, whereas hearing deficiencies were more frequent in the blast group.⁸⁹ Although they did not find significant differences between the groups in cognitive performance on the Automated Neuropsychological Assessment Metrics subtests, test performance inversely correlated with duration of loss of consciousness.

Interestingly, Luethcke and colleagues⁸⁹ also did not note any differences in PTSD or insomnia symptoms, or psychological symptoms between the 2 groups. This finding may have been related to the temporal proximity of the comprehensive evaluation as opposed to nearly all other studies, which performed the evaluation months to years after the initial injury.

The literature has shown that, regardless of etiology, acute symptoms of psychological distress related to combat may cause higher rates of perceived cognitive dysfunction than is solely due to a mild TBI.^{78,90} Specifically, Brickell and colleagues⁹⁰ examined 101 military personnel with a history of TBI and found long-term neurocognitive performance to be unrelated to postinjury levels of executive function. Rather, they asserted that subjective symptoms of executive dysfunction were more strongly related to psychological distress than objective observations.⁹⁰ A larger study by Mortera and colleagues⁹¹ found 236 veterans with a history of TBI had high pain levels, irritability, sleep disturbances, forgetfulness, and headaches. Additionally, nearly 40% of this sample reported poor performance in the domain of productivity. The authors attributed this finding to high rates of comorbid depression.⁹¹ Another study compared groups of military personnel who were within 6 months of having a TBI (mild TBI, $n = 41$; moderate TBI, $n = 42$) and found no significant differences between groups on measures of neurocognitive functioning.⁹² Lange and colleagues⁹² reported that military personnel with an uncomplicated mild TBI had significantly higher levels of anxiety and aggression than military personnel who experienced a complicated mild TBI. Similarly, a series of studies examined 249 veterans with a history of TBI. In this study the veterans who rated their TBI sequelae as very severe were more likely to put forth suboptimal efforts on objective testing.⁹³ In total, these findings further substantiate the impact of both diagnosed and undiagnosed behavioral health disorders on the combined performance decrements in military personnel and veterans.

Studies of military personnel have also reported findings similar to those found in the civilian literature. For instance, numerous cases of military personnel with repeated head injuries have shown advanced instances of chronic traumatic encephalopathy.^{94–96} Clinically, chronic traumatic encephalopathy is recognized as a progressive neurodegenerative disease⁹⁷ that presents with behavioral changes, executive dysfunction, memory loss, and cognitive impairments.⁹⁸ There is a series of case studies involving 5 military personnel who experienced multiple concussions.

This study found evidence of early-onset chronic traumatic encephalopathy as well as PTSD in the service members.⁹⁸ Because some TBIs can result in long-term neurologic concerns, it is unsurprising that the systematic review by O'Neil and colleagues⁷⁸ found approximately 20% of veterans with a history of TBI reported issues integrating into the civilian workforce. Other studies have reported long-term impairments in the social domain to include developing friendships as well as decreased satisfaction with and quality of life.^{99,100} However, not all research supports long-term sequelae related to TBI. A study of 907 soldiers with a TBI by Terrio and colleagues¹⁰¹ found that symptoms related to TBI, primarily headaches and dizziness, subsided over time with no long-term decrements in performance.

One of the challenges in assessing the impact of TBI on performance is a lack of validity in standardized approaches¹⁰² or consensus on how the assessment of TBI should be performed. A common method is focusing on symptom-based outcomes such as the resolution of postconcussion syndrome.¹⁰³ This approach is limited because TBI symptoms are primarily subjective and many are nonspecific and can occur with medical and behavioral medicine disorders.¹⁰⁴ Other TBI research has looked at the structural impact of acute and chronic TBI using sophisticated neuroimaging techniques.¹⁰⁵ Although useful, functional neuroimaging gives little information about performance, remains largely exploratory, and therefore is not practical for all patients with TBI. Questionnaires and neuropsychological testing are commonly used to assess individuals after TBI, but even these do not necessarily translate into a performance assessment that can determine the patient's ability to perform at work, on the field, or on the battlefield. A standardized assessment of performance after injury at set time points is needed to aid in prognostication after TBI as well as the development of outcomes-based prevention and treatment strategies.

SLEEP AND PERFORMANCE

It is widely accepted that initial military training, even at elite military academies,^{106,107} is characterized by highly demanding physical and academic training loads in the setting of insufficient sleep. Beyond initial entry training, 2 large-scale studies found that 72% and 69% of military personnel report sleeping fewer than 6 hours per night,^{108,109} with the number of combat exposures and combat-related injuries being strong predictors of even shorter sleep duration, that is, less

than 5 hours per night. In the most recent population-based survey of sleep collected during Operation Enduring Freedom, 57% of 6118 military personnel reported highly disrupted sleep with the severity dependent on mission type (eg, day vs night operations) and number of deployments.¹¹⁰ Thus, insufficient and disrupted sleep is normative and pervasive across a service member's career.

Military personnel have nonstandard shift work schedules, routinely participate in sustained operations that are more than 24 hours in duration, distributed operations greater than 12 hours in duration across several days or weeks, and work in austere environments. These occupational requirements manifest in total sleep deprivation (TSD), chronic sleep restriction (CSR), misaligned internal sleep/wake rhythms with environmental rhythms (ie, circadian misalignment), and sleep fragmentation.¹¹¹ Further, the consolidated and restorative sleep that is required to perform optimally in physically and mentally demanding military operations is rarely achieved. Thus, despite austere environmental conditions and suboptimal sleeping conditions, the high physiologic drive to sleep owing to acute and chronic insufficient sleep is one of many reasons military personnel are stereotyped for being able to sleep at any given opportunity.

There is a rich body of evidence showing direct change, largely decline, in psychophysiologic performance with sleep loss. The rate of decline in performance with sleep loss and return of performance to baseline levels varies with TSD (sustained operations) and CSR (distributed operations) for both simple (ie, reaction time) and complex (ie, creativity) cognitive domains.¹¹² For example, although tasks requiring vigilance and mathematical addition and subtraction show a dose-response curve in decline with consecutive hours of sleep lost under TSD, performance can recover to baseline levels within a single night of adequate recovery sleep.^{113,114} Regarding cognitive performance under CSR, although there are dose-response deficits in performance for every night of insufficient sleep (<5 hours sleep per night), it is rare that performance recovers to baseline levels within a single night of adequate recovery sleep. In some cases, and depending on the nature of sleep loss, recovery in performance can extend for more than 7 days.^{113,115} Further, recent brain imaging studies have shown via beta-amyloid deposition in the brain that neurophysiologic health minimally recovers after sleep loss, both for TSD and CSR.¹¹⁶ The studies of TSD and CSR conducted in military science laboratories have additionally discovered that the rate

of cognitive decline under TSD and CSR is trait dependent, with select individuals showing more stable performance (relative to baseline levels) under sleep loss (ie, termed resilient) compared with select individuals showing immediate and continual decline under sleep loss (ie, termed sensitive).^{117,118}

Sleep fragmentation and subsequent sleep inertia (delayed transition from a state of sleep to high levels of alertness owing to environmental disruption) are also cause for concern for military performance. A recent study determined that higher-order performance decreases quickly and recovers slowly during episodically brief interruptions of deep (restorative) sleep, similar to episodically short total sleep durations.¹¹⁹ Further, sleep inertia experienced in the middle of a nighttime sleep episode results in cognitive decline comparable to 24-hour TSD, reaching a zenith when the biological drive to sleep in the early morning hours is greatest.^{120,121} Because sleep inertia is an operationally significant issue, military researchers evaluated the impact of caffeine gum to ameliorate this condition.¹²² Interestingly, 100 mg of caffeine gum was found to decrease sleep inertia's effects at both 0100 and 0600 in otherwise healthy individuals.

The extrapolation of testing and evaluating psychophysiologic domains of military performance in military sciences laboratories to actual, operational performance is complex. First, psychophysiologic decline during combat operations is largely confounded by environmental stressors of heat, dehydration, and malnourishment.¹²³ However, not even a high-energy diet can protect against psychophysiologic decline after more than 3 days of CSR,¹²⁴ indicating that a loss of combat effectiveness is inevitable with sleep loss. In fact, 2 separate studies of combat effectiveness measured against objectively reported sleep time in a previous (Gulf War) and current (Afghanistan) military conflict found that performance degraded by 15% to 25% for every nighttime sleep duration of less than 7 hours per night. Nighttime sleep durations of less than 4 hours resulted in total combat effectiveness of 15%.^{125,126} Increases in mistakes and decreased mental acuity in high-risk military environments (eg, crewmen in a nuclear reactor department) have also been reported.¹²⁷ Another important aspect of military operations is moral reasoning. In a simulated training environment where officer candidates obtained 2.5 hours of sleep per 24 hours over days, they had substantially impaired ability to conduct mature and principally oriented moral reasoning.¹²⁸ Thus, the ramifications of sleep loss on the performance of military personnel are

substantial and inversely correlated with total sleep time.

To dissect how psychophysiologic attributes at the individual level contribute to a loss of combat effectiveness at the group level under sleep loss (both TSD and CSR), most of the data, particularly for CSR studies, derive from military-relevant rather than military populations: athletes, health-care professionals, and law enforcement. However, several parallels can be drawn from the tactical responsibilities of military personnel and sport-specific and occupational-specific schedules and psychophysiologic demands of these military-relevant populations. Each profession requires high degrees of vigilance, decision making, and emotional valence for cognitive domains and endurance, power, hand-eye coordination, and agility for physical domains. Similar to military personnel, the number of self-reported sleep complaints reaching a clinical threshold and requiring follow-up sleep consultation is indeed pervasive in collegiate athletes; reaching as high as 66% (Burke TM, Maguire K, Skeiky L, et al. Characterizing Sleep and Chronobiology in College Football Athletes. *J Strength Cond Res*. [Under review]).¹²⁹ Although TSD is less common for athletes, CSR in athletes is associated with more than sports-related injuries,¹³⁰ leading to reductions in cardiorespiratory capacities,¹³¹ anaerobic power,¹³² poor choice reaction time,¹³³ and increased confusion.¹³⁴

There are a few studies of TSD in military populations that show the salient impact on broad domains of psychophysiologic performance. In general, the impact of TSD on the cognitive versus physical performance attributes of sustained operations, such as cardiovascular strain and tasks of divided attention, are not mutually exclusive.¹³⁵ The impact of sleep loss can be lessened to an extent through pre-exercise napping¹³⁶ of varying durations.¹³⁷ Further, the amount of cardiovascular strain during sustained endurance operations (ie, >96-hour ultra-endurance race) can modulate the degree of cognitive processing.¹³⁸ Even if cognitive performance is decreased under sleep loss, cognitive performance can still be optimized in these extreme environments by means of maintaining less than 50% maximal cardiovascular output. In a study evaluating marksmanship (a core military skill) increasing cognitive load while having restricted sleep periods further worsened performance.¹³⁹ From these studies, it is apparent that not only decreased sleep periods but also the physical and cognitive loads can synergistically decrease performance.

When delineating the impact of TSD on physical performance attributes of sustained operations,

the impact is readily apparent. Studies in military personnel have reported significant declines in submaximal performance,¹⁴⁰ increased latency to neuromuscular fatigue, and loss of power as well as anthropometric changes with greater than 24 hours of continuous sleep loss.¹⁴¹ Interestingly, the rate of decline in the speed of individual cognitive processing during sustained military operations has been reported to be more robust, showing minimal to modest declines with 36 to 72 hours of (survival) training, respectively.^{142,143} However, one remarkable observation is that in both of these studies, the modest (rather than rapid decline) in cognitive processing did not return to optimal/baseline levels after military training,^{142,143} pointing once again to the operational significance of adequate sleep.

SUMMARY

Sleep disturbances, PTSD, and TBI are all highly prevalent in military personnel and veterans. These conditions often overlap, are interrelated, and result in impaired performance. Insufficient sleep leads to nearly immediate and pervasive increases in physiologic and psychological stress, brain inflammation, and cortisol over-reactivity. Collectively, these physiologic and psychological states can contribute to comorbidities with PTSD and TBI owing to decreased resiliency and neuroprotection. From a performance standpoint, appropriate levels of sleep may serve to mitigate risk and maintain performance in military personnel. For years, the prevailing culture in the military favored sleep deprivation as a means of demonstrating mental and physical toughness.¹⁰⁷ Currently, the military is in a position to change cultural attitudes about sleep. As with their civilian counterparts, military leaders are becoming increasingly aware of the impact of sleep loss and sleep disorders on the health, safety, and performance of service members. In recent years, military branches have published doctrine on sleep and well-being, such as *The Leader's Guide to Soldier Health and Fitness* developed by the Office of the Army Surgeon General (ATP 6–22.5) that must be adopted by senior leadership while engaging in training and mission planning. Additional measures facilitating this culture shift include the development of a comprehensive, individualized sleep and alertness management system to optimize performance after sleep loss as well as the inclusion of sleep with exercise and nutrition in the US Army's Performance Triad public health campaign.^{111,144}

Ensuring adequate, quality sleep in military personnel is paramount for the health and safety of

service members and the success of routine and sustained operations both stateside and abroad. An understanding of how military-specific stressors contribute to the development of disturbed sleep and sleep disorders is required to develop novel individualized, effective strategies to combat poor sleep in this critical population. Further research on the relationship between disturbed sleep, PTSD, and TBI and their impact on the performance of military personnel, especially their ability to adequately perform their duties, is required.

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