COM3064 Automata Theory

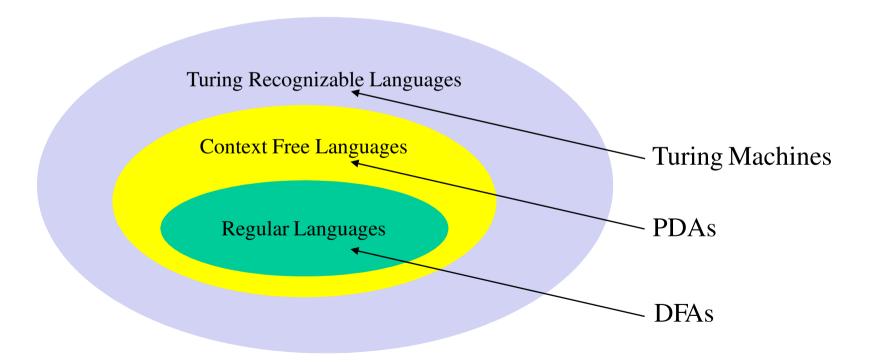
Week 10: Turing Machines

Lecturer: Dr. Sevgi YİĞİT SERT Spring 2023

Resources: Introduction to The Theory of Computation, M. Sipser,

Introduction to Automata Theory, Languages, and Computation, J.E. Hopcroft, R. Motwani, and J.D. Ullman BBM401 Automata Theory and Formal Languages, İlyas Çiçekli CENG280 Formal Languages and Abstract Machines, Halit Oğuztüzün

- DFAs recognize regular languages.
- PDAs recognize CFLs.
- There are languages that are NOT CFLs.



Regular Language, CFL, Non-CFL

- { $0^n 1^m \mid n \ge 0$ and $m \ge 0$ }
- $\{0^n1^n \mid n \ge 0\}$
- $\{ 0^n 1^m 0^n \mid n \ge 0 \text{ and } m \ge 0 \}$
- $\{ 0^n 1^n 0^n \mid n \ge 0 \}$
- $\{ 0^n 1^{2n} \mid n \ge 0 \}$
- $\{ 0^{n}1^{2m} \mid n \ge 0 \text{ and } m \ge 0 \}$
- $\{0^n 1^n 2^n \mid n \ge 0\}$
- $\{0^{i}1^{j}2^{k} \mid k \geq j \geq i \geq 0\}$
- { w#w | w is in $\{0,1\}^*$ }

Regular Language, CFL, Non-CFL

• $\{ 0^n 1^m \mid n \ge 0 \text{ and } m \ge 0 \}$

regular language

- $\{0^n1^n \mid n \geq 0\}$
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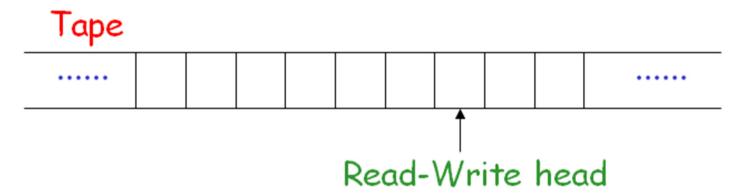
non CFL

- There is a much more powerful model of computation called **Turing Machines (TM).**
 - It is first proposed by Alan Turing in 1936

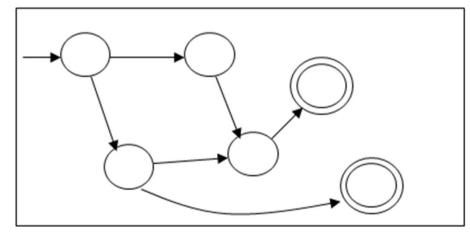


Alan Turing 1912-1954

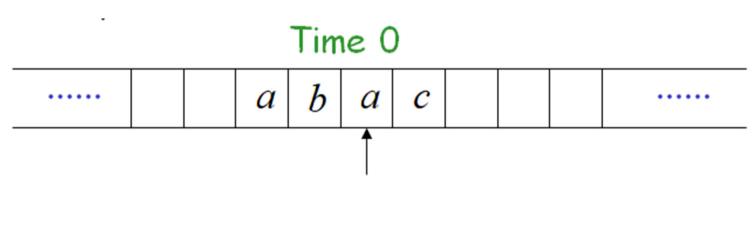
- TMs are similar to finite automata, but a TM has an unlimited memory.
- A Turing machine is a much more accurate model of a general purpose computer.
- A Turing machine can do everything that a real computer can do.
- Even a TM can not solve certain problems.
 - Such problems are beyond theoretical limits of computation.

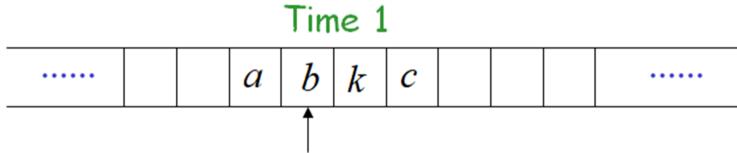


Control Unit

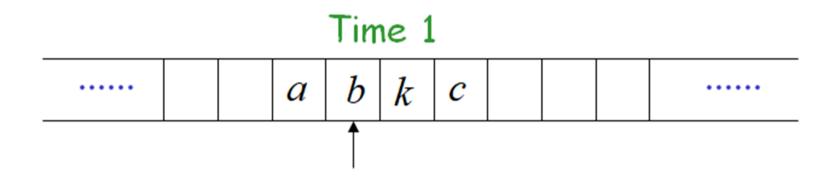


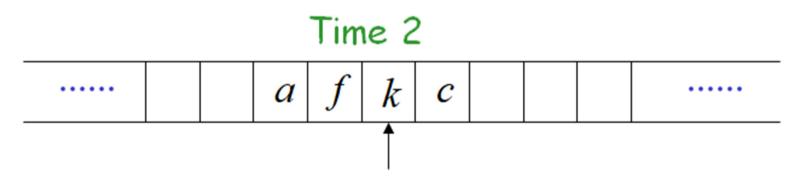
- The Turing machine model uses a tape with infinite length as its unlimited memory.
- It has a **tape head** that can read and write symbols on the tape.
- Tape head can move to Left or Right.
- The head at each time step:
 - Reads a symbol
 - Writes a symbol
 - Moves Left or Right





- Reads a
- Writes *k*
- Moves Left



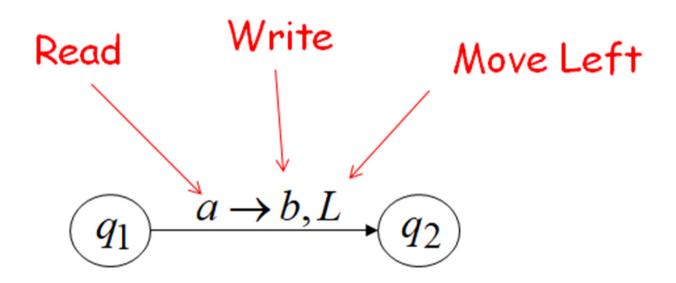


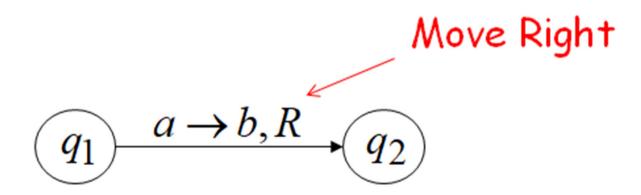
- Reads b
- Writes f
- Moves Right

Turing Machine Computation

- Read/write head starts at leftmost position on tape
- Input string written on leftmost squares of tape, rest is **blank**
- Computation proceeds according to transition function:
 - Given current state of machine, and current symbol being read
 - the machine
 - transitions to new state
 - writes a symbol to its current position (overwriting existing symbol)
 - moves the tape head L or R

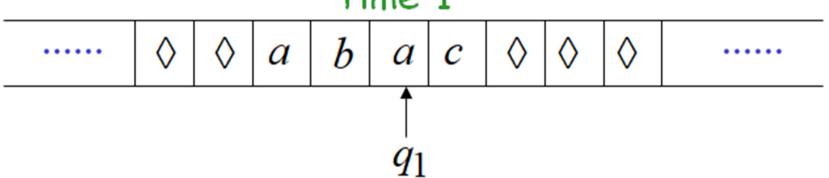
States & Transitions

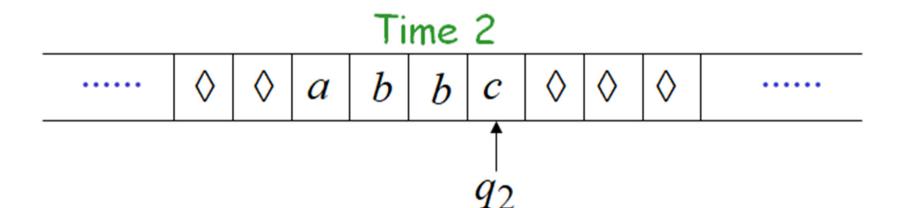




States & Transitions

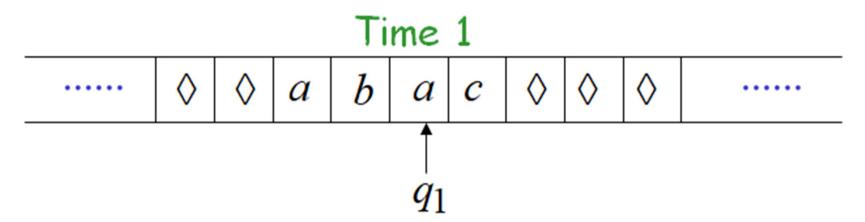


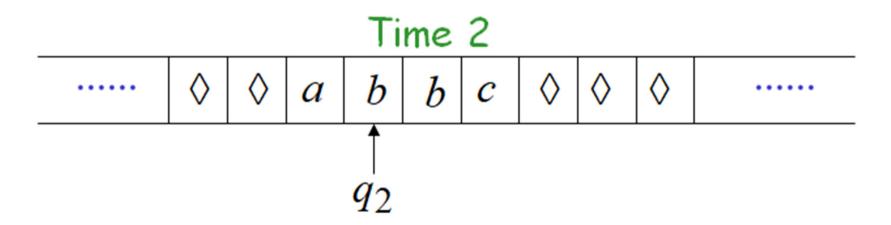




$$q_1 \xrightarrow{a \to b, R} q_2$$

States & Transitions

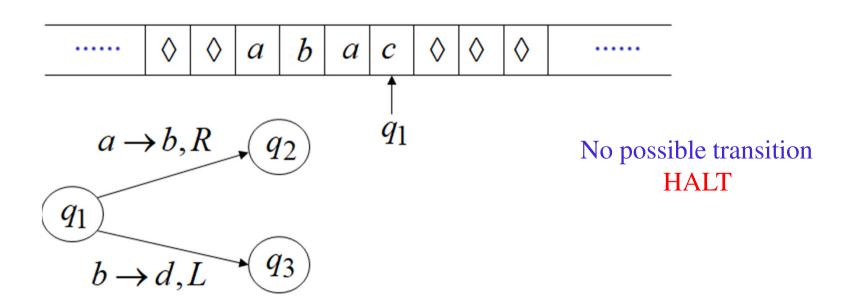




$$\underbrace{q_1} \xrightarrow{a \to b, L} \underbrace{q_2}$$

Turing Machine Computation

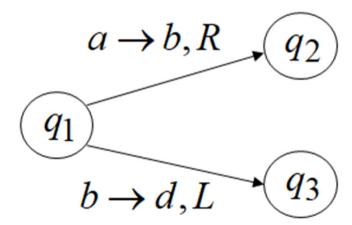
- The machine halts if there are no possible transitions to follow
- Computation ends when it enters either the **accept** or the **reject** state.
- The machine accepts if machine halts in an accept state.
- The machine rejects the input if it halts in a non-accepting state or if machine enters an infinite loop.



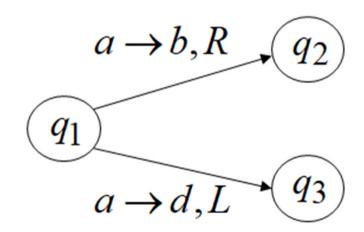
Turing Machine Computation

- Turing Machines are deterministic
- No lambda transitions are allowed

Allowed



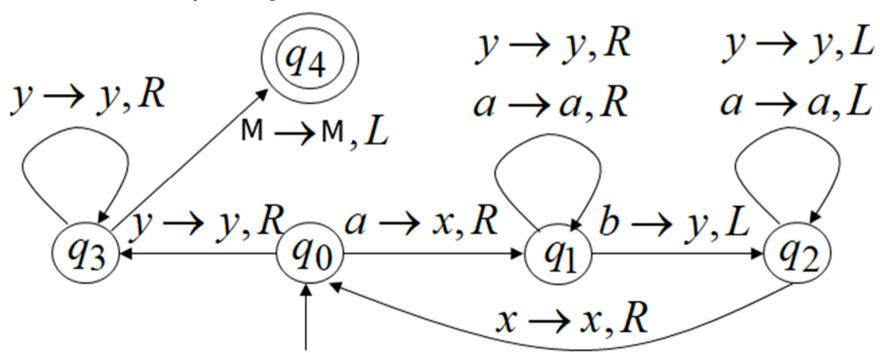
Not Allowed

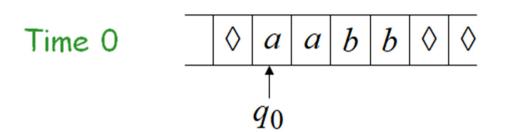


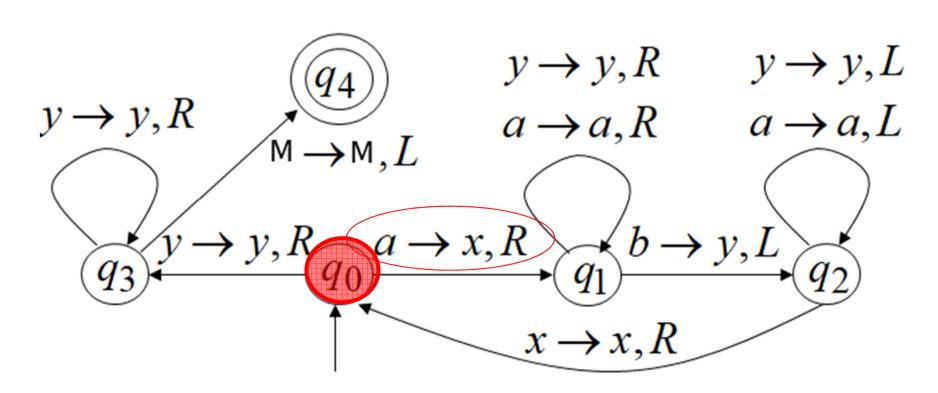
• $L = \{a^n b^n \mid n \ge 0\}$

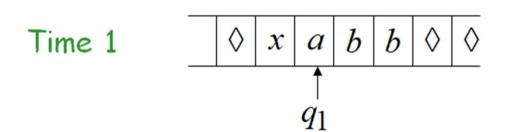
Idea for Turing machine

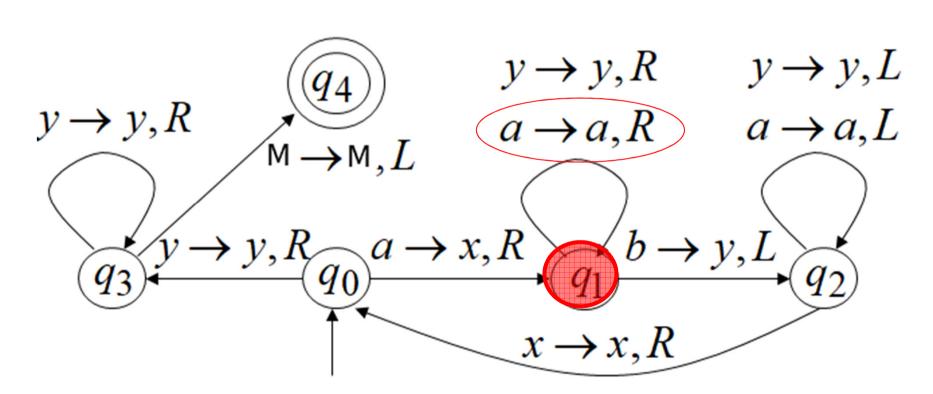
- Zig-zag across tape to match the number of a's and b's
- Replace current a with x and move right to find corresponding b and change b to y to identify matched b's
- When a reaches to end:
 - if there are any b's, *reject*
 - if there aren't any b's, *accept*.

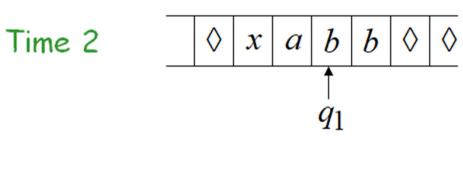


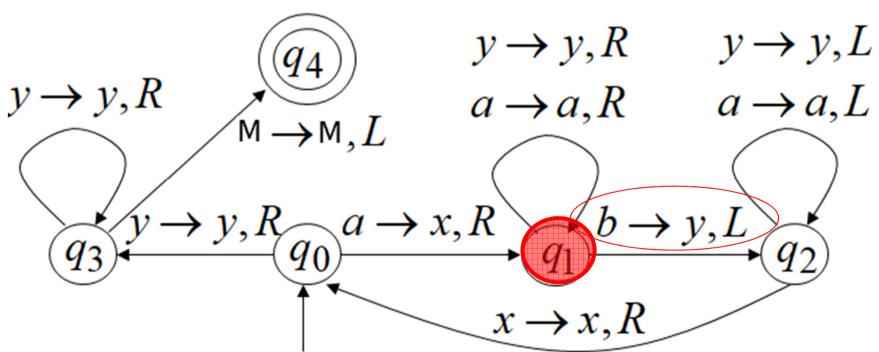


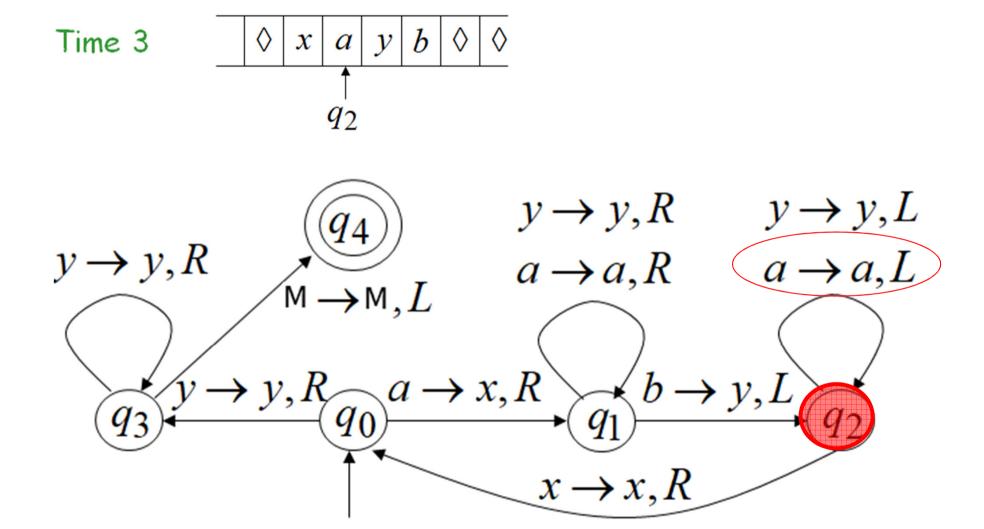


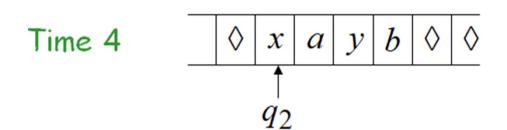


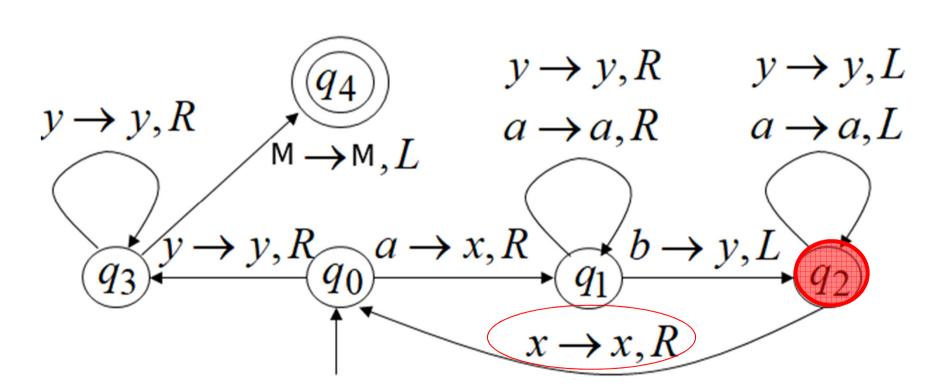


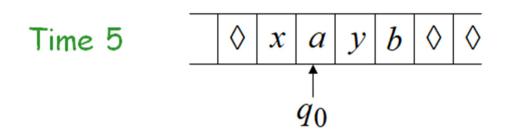


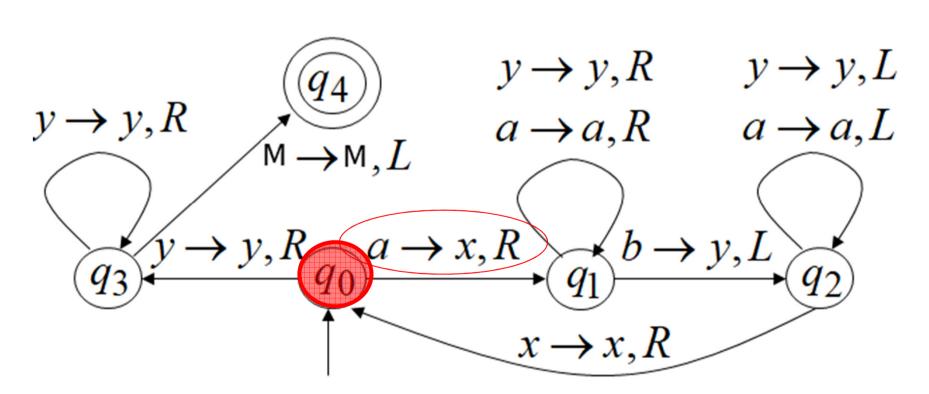


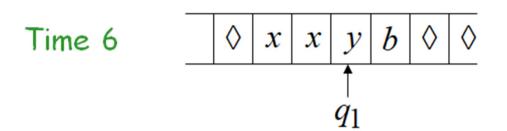


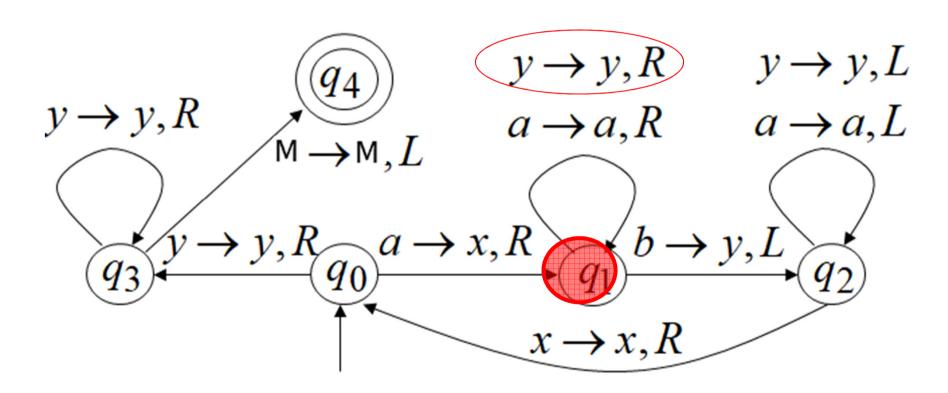


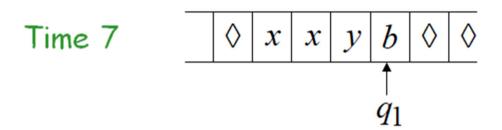


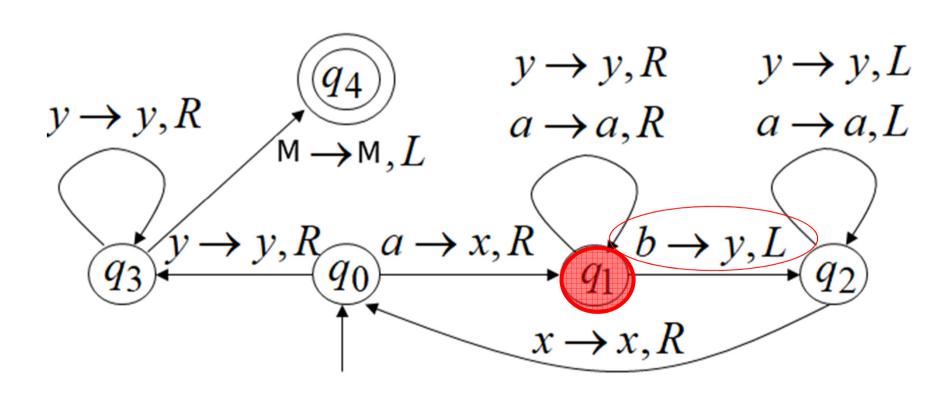


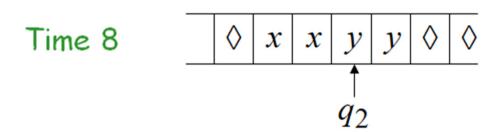


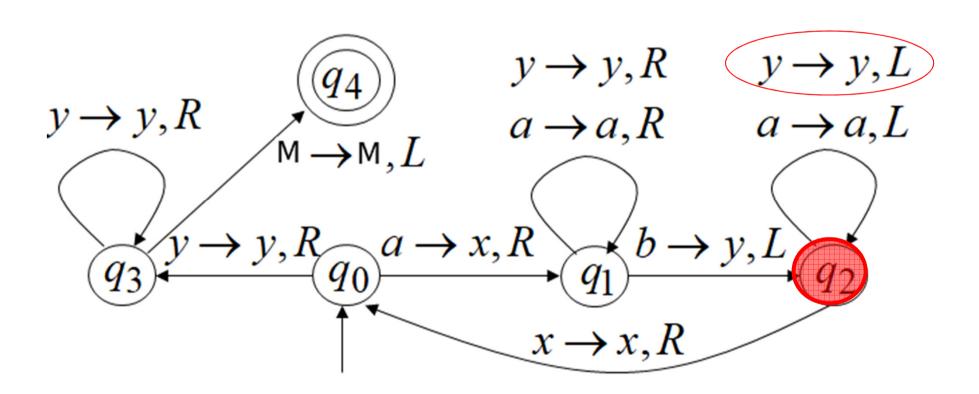


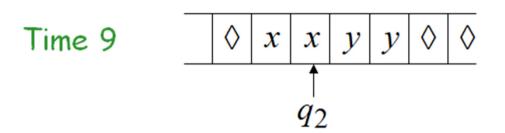


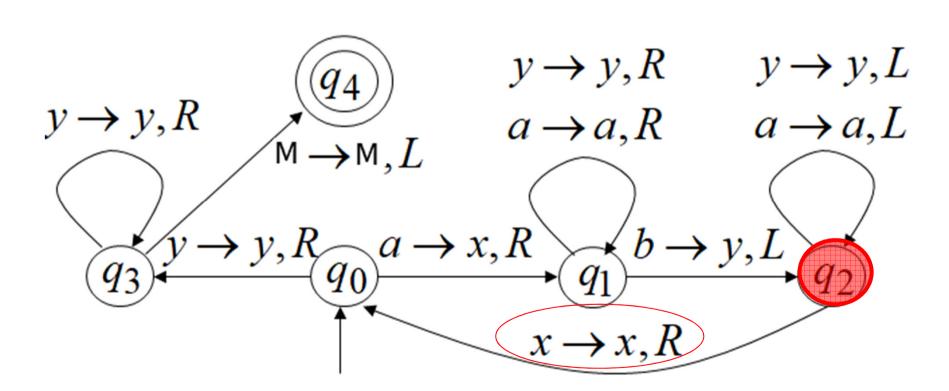


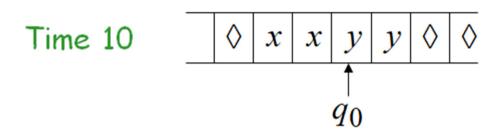


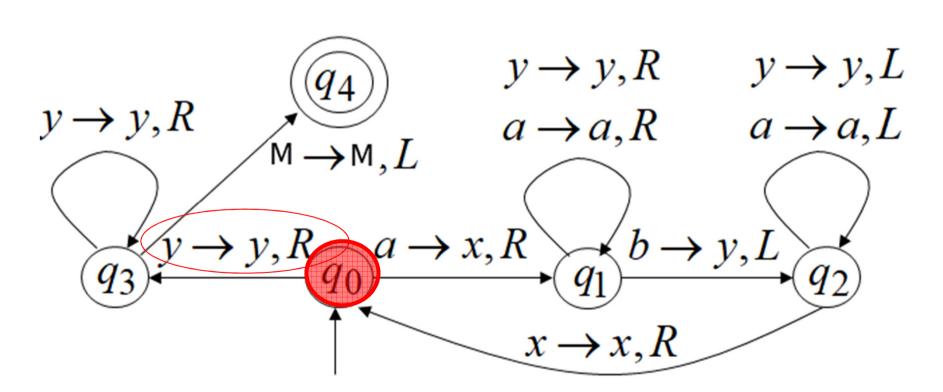


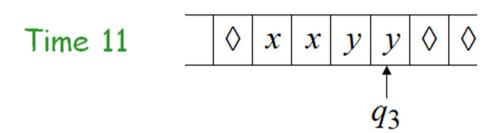


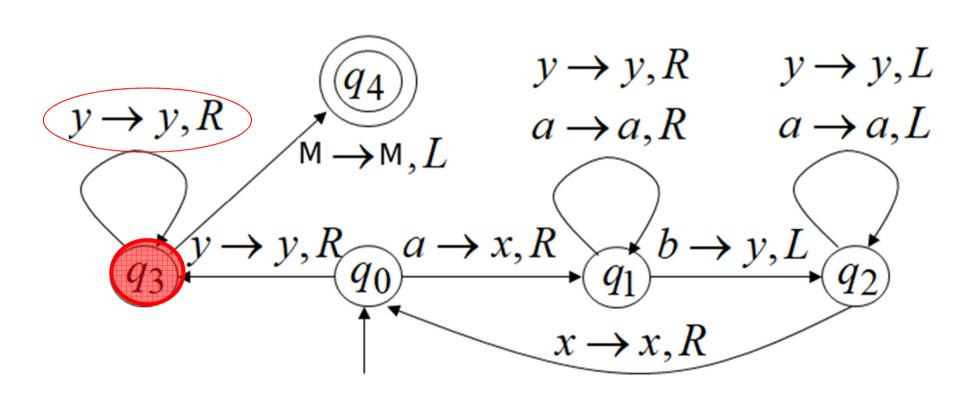


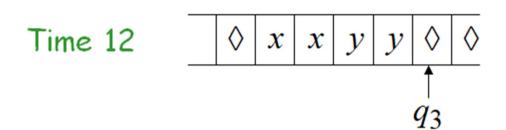


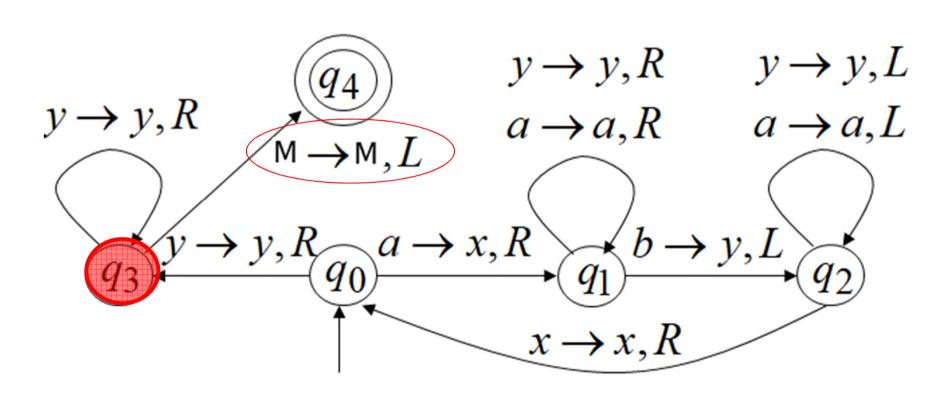


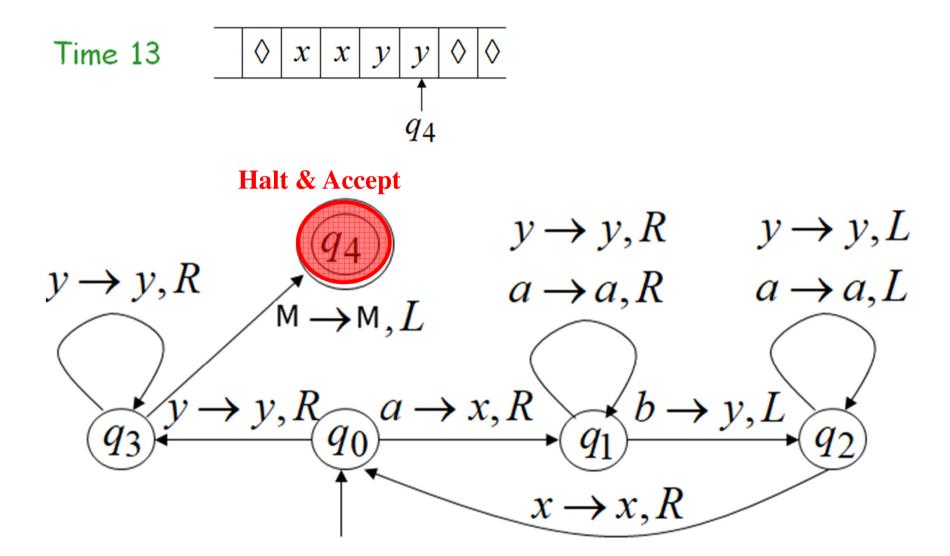












• $L = \{ w \# w \mid w \in \{0,1\}^* \}$

Idea for Turing machine

- Zig-zag across tape to corresponding positions on either side of '#' to check whether these positions agree.
 - If they do not, or if there is no '#', reject.
 - If they do, cross them off.
- Once all symbols to the left of the '#' are crossed off, check for any symbols to the right of '#':
 - if there are any, *reject*;
 - if there aren't, *accept*.

- $L = \{ w \# w \mid w \in \{0,1\}^* \}$
- The TM starts with the input on the tape.

```
0 1 1 0 0 0 # 0 1 1 0 0 0 M M M cross the symbol, move to R
X 1 1 0 0 0 # 0 1 1 0 0 0 M M M
    \rightarrow \rightarrow \dots move to R until first un-crossed symbol after #, it must be 0
X 1 1 0 0 0 \# X 1 1 0 0 0 M M M
    ← ← . . . move to L until first crossed symbol after #
\underline{X} 1 1 0 0 0 # X 1 1 0 0 0 M M M move to R
X \times 1 0 0 0 \# X 1 1 0 0 0 M M M
    \rightarrow \rightarrow \dots move to R until first un-crossed symbol after #, it must be 1
    Repeat ziz-zag operations
X X X X X X  # X X X X X X M M M
                                        move to R
\rightarrow \rightarrow \dots move to R until first un-crossed symbol, it must be blank
X X X X X X # X X X X X X <math>M M M M ACCEPT
```

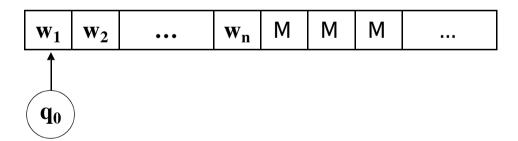
Formal Definition of a Turing Machine

- A Turing Machine is a 7-tuple $(Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$, where Q, Σ, Γ are all finite sets and
 - 1. Q is the set of states,
 - 2. Σ is the input alphabet not containing the *blank symbol* M,
 - 3. Γ is the tape alphabet, where $M \in \Gamma$ and $\Sigma \subset \Gamma$,
 - 4. $\delta: Q \times \Gamma \to Q \times \Gamma \times \{L, R\}$ is the transition function,
 - 5. q_0 is the start state,
 - 6. q_{accept} is the accept state, and
 - 7. q_{reject} is the reject state, where $q_{reject} \neq q_{accept}$

How does a Turing Machine Compute?

Initial Configuration:

- A Turing Machine M receives its input $w = w_1 w_2 \dots w_n$ on the leftmost **n** squares on the tape. The rest of the tape is blank.
 - The head starts on the leftmost square on the tape.
 - The first blank symbol on the tape marks the end of the input.
- The initial state is the start state q_0 .



How does a Turing Machine Compute?

Transition:

- The computation proceeds according to the **transition function** δ .
 - If the current state is $\mathbf{q_i}$, the current tape symbol \mathbf{a} , and $\delta(\mathbf{q_i}, \mathbf{a}) = (\mathbf{q_j}, \mathbf{b}, \mathbf{D})$ where \mathbf{a} and \mathbf{b} are tape symbols (they can be the same symbol) and \mathbf{D} is \mathbf{L} or \mathbf{R} , then
 - Machine M goes from the state q_i to state q_i .
 - Machine M writes **b** onto the current tape position
 - Tape head moves to **Left** (if **D** is **L**) or moves to **Right** (if **D** is **R**)

$$\delta(q_1,c) = (q_2,d,L) \qquad \delta(q_1,a) = (q_2,b,R)$$

- The head of Machine M never moves left of the beginning of the tape.
 - If Machine M is on the leftmost square, it stays there!

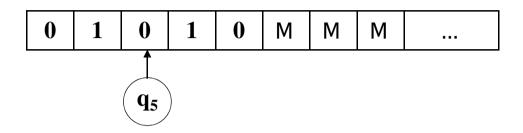
Configuration of a Turing Machine

- As a Turing machine computes, changes occur in the current state, the current tape contents, and the current head location.
- Each step of a TM computation can be captured by the notion of a configuration.

Configuration:

- For a state \mathbf{q} and two strings \mathbf{u} and \mathbf{v} over the tape alphabet Γ , we write \mathbf{uqv} for the configuration where the current state is \mathbf{q} , the current tape contents is \mathbf{uv} , and the current head location is the first symbol of \mathbf{v} .
- The tape contains only blanks following the last symbol of v.

Configuration of a Turing Machine



- The current state is **q**₅,
- u = 01 is to the left of the head,
- v = 010 is under and to the right of the head.
- Tape has uv = 01010 on it.
- We represent this configuration by **01q**₅**010**

start configuration : \mathbf{q}_0 w

accepting configuration, the state of the configuration is \mathbf{q}_{accept}

rejecting configuration, the state of the configuration is \mathbf{q}_{reject}

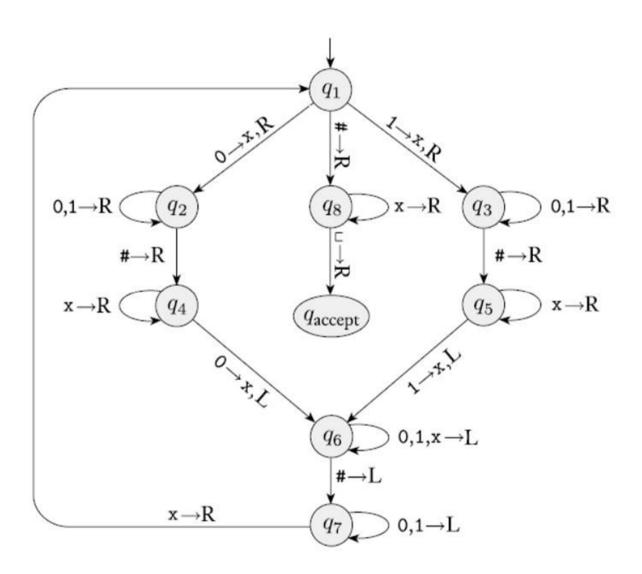
- $L = \{ w \# w \mid w \in \{0,1\}^* \}$
- A formal description of a TM which decides L is $(Q, \Sigma, \Gamma, \delta, q_1, q_{accept}, q_{reject})$ where

$$- Q = \{q_1, \dots, q_8, q_{accept}, q_{reject}\}$$

$$-\Sigma = \{0,1,\#\}$$

$$-\Gamma = \{0,1,\#,x,M\}$$

- The start, accept, and reject states are q_1 , q_{accept} , and q_{reject} , respectively.
- We describe δ with a state diagram.
 - On the given state diagram, q_{reject} is missing and some transitions are also missing.
 - We assume that all missing transitions goes to state q_{reject} without changing the current tape symbol and head moves to right.



• q_{reject} is missing and all missing transitions goes to state q_{reject}.

Arc label meanings:

- $0 \rightarrow x$,R from q_1 to q_2 means: $\delta(q_1,0)=(q_2,x,R)$
- # \rightarrow R from q₁ to q₈ means: $\delta(q_1,\#)=(q_8,\#,R)$
- $0,1 \rightarrow R$ from q_3 to q_3 means: $\delta(q_3,0)=(q_3,0,R)$ and $\delta(q_3,1)=(q_3,1,R)$
- $0,1,x \rightarrow L$ from q_6 to q_6 means: $\delta(q_6,0) = (q_6,0,L)$ $\delta(q_6,1) = (q_6,1,L) \text{ and }$ $\delta(q_6,x) = (q_6,x,L)$

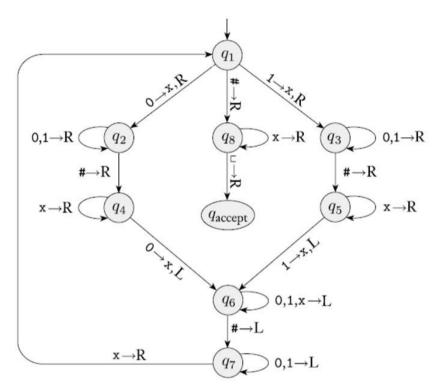
Missing arcs from q_8 means:

$$\delta(q_8,0) = (q_{reject},0,R)$$

$$\delta(q_8,1) = (q_{reject},1,R)$$

$$\delta(q_8,\#)=(q_{reject},\#,R)$$

An accepting configuration



The computation of 01#01

$$\mathbf{q}_1 \ 01\#01 \Rightarrow \mathbf{x} \ \mathbf{q}_2 \ 1\#01 \Rightarrow \mathbf{x}1 \ \mathbf{q}_2 \ \#01 \Rightarrow \mathbf{x}1\# \ \mathbf{q}_4 \ 01$$

$$\Rightarrow \mathbf{x}1 \ \mathbf{q}_6 \ \#\mathbf{x}1 \Rightarrow \mathbf{x} \ \mathbf{q}_7 \ 1\#\mathbf{x}1 \Rightarrow \mathbf{q}_7 \ \mathbf{x}1\#\mathbf{x}1 \Rightarrow \mathbf{x} \ \mathbf{q}_1 \ 1\#\mathbf{x}1$$

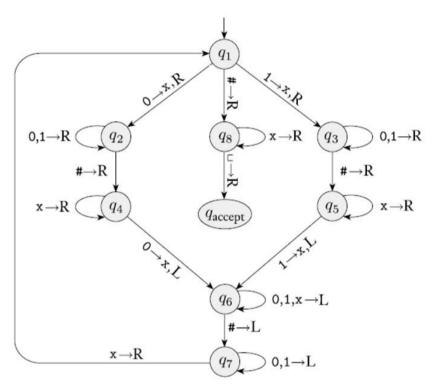
$$\Rightarrow \mathbf{x}\mathbf{x} \ \mathbf{q}_3 \ \#\mathbf{x}1 \Rightarrow \mathbf{x}\mathbf{x}\# \ \mathbf{q}_5 \ \mathbf{x}1 \Rightarrow \mathbf{x}\mathbf{x}\#\mathbf{x} \ \mathbf{q}_5 \ 1 \Rightarrow \mathbf{x}\mathbf{x}\# \ \mathbf{q}_6 \ \mathbf{x}\mathbf{x}$$

$$\Rightarrow \mathbf{x}\mathbf{x} \ \mathbf{q}_6 \ \#\mathbf{x}\mathbf{x} \Rightarrow \mathbf{x}\mathbf{x} \ \mathbf{q}_7 \ \mathbf{x}\#\mathbf{x}\mathbf{x} \Rightarrow \mathbf{x}\mathbf{x} \ \mathbf{q}_1 \ \#\mathbf{x}\mathbf{x} \Rightarrow \mathbf{x}\mathbf{x}\# \ \mathbf{q}_8 \ \mathbf{x}\mathbf{x}$$

$$\Rightarrow \mathbf{x}\mathbf{x}\#\mathbf{x} \ \mathbf{q}_8 \ \mathbf{x} \Rightarrow \mathbf{x}\mathbf{x}\#\mathbf{x}\mathbf{x} \ \mathbf{q}_8 \ \mathbf{M} \Rightarrow \mathbf{x}\mathbf{x}\#\mathbf{x}\mathbf{M} \ \mathbf{q}_{\mathbf{accept}} \ \mathbf{M}$$

ACCEPT

An rejecting configuration



The computation of 01#00

$$\mathbf{q_1} \ \mathbf{01} \# 00 \Rightarrow \mathbf{x} \ \mathbf{q_2} \ \mathbf{1} \# 00 \Rightarrow \mathbf{x1} \ \mathbf{q_2} \# 00 \Rightarrow \mathbf{x1} \# \mathbf{q_4} \ \mathbf{00}$$

$$\Rightarrow \mathbf{x1} \ \mathbf{q_6} \# \mathbf{x0} \Rightarrow \mathbf{x} \ \mathbf{q_7} \ \mathbf{1} \# \mathbf{x0} \Rightarrow \mathbf{q_7} \ \mathbf{x1} \# \mathbf{x0} \Rightarrow \mathbf{x} \ \mathbf{q_1} \ \mathbf{1} \# \mathbf{x0}$$

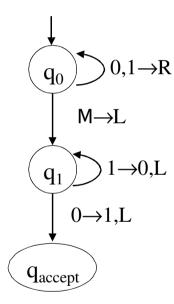
$$\Rightarrow \mathbf{xx} \ \mathbf{q_3} \# \mathbf{x0} \Rightarrow \mathbf{xx} \# \mathbf{q_5} \ \mathbf{x0} \Rightarrow \mathbf{xx} \# \mathbf{x} \ \mathbf{q_5} \ \mathbf{0}$$

$$\Rightarrow \mathbf{xx} \# \mathbf{x0} \ \mathbf{q_{reject}} \ \mathsf{M}$$

REJECT

- A TM to add 1 to a binary number (with a 0 in front)
- M = "On input w
 - 1. Go to the right end of the input string
 - 2. Move left as long as a 1 is seen, changing it to a 0.
 - 3. Change the 0 to a 1, and halt.
- For example, to add 1 to w = 0110011
 - Change all the ending 1's to 0's \Rightarrow 0110000
 - Change the next 0 to a $1 \Rightarrow 0110100$
- Now let's design a TM for this problem.

ATM to add 1 to a binary number (with a 0 in front)



Language of a Turing Machine

Accepting (Recognizing) String:

- A Turing machine M accepts an input string w if a sequence of configurations C_1, C_2, \ldots, C_k exists, where
 - 1. C_1 is the start configuration of M on input w,
 - 2. Each C_i yields C_{i+1} , and
 - 3. C_k is an accepting configuration.

Language of a Turing Machine M (or Language Recognized by M):

- The language of a Turing machine M, L(M) is the set of strings w that are recognized by M.
- More formally,

```
L(M) = \{ w \mid q_0 w \Rightarrow^* C \text{ where } q_0 w \text{ is the starting configuration of } M \text{ on input } w \text{ and } C \text{ is an accepting configuration of } M. \}
```

Language of a Turing Machine

Turing-Recognizable:

- A language L is Turing-recognizable if some Turing machine recognizes it.
 - It is also called a recursively enumerable language.

- When we start a Turing machine on an input, three outcomes are possible.
 - The machine may *accept*, *reject*, or *loop*.
 - By loop we mean that the machine simply does not halt.
 - Looping may entail any simple or complex behavior that never leads to a halting state.

Language of a Turing Machine

- A Turing machine M can fail to accept an input by entering q_{reject} state or looping.
 - We prefer Turing machines that halt on all inputs; such machines never loop.
 - These machines are called deciders because they always make a decision to accept or reject.
 - A decider that recognizes some language also is said to decide that language.

Turing-Decidable (Decidable):

- A language L is Turing-decidable (or decidable) if some Turing machine (which is a decider) decides it.
 - It is also called a recursive language.
- Every decidable language is Turing-recognizable.
 - But there are languages that are Turing-recognizable but not decidable.

Turing Machines: Story So Far!

- Turing Machines are the most general model of computation.
- Computations of a TM are described by a sequence of configurations.
 - Accepting Configuration: contains state q_{accept}
 - Rejecting Configuration: contains state q_{reject}
 - Starting Configuration for input w: q_0 w where q_0 is the start state

• Turing-recognizable languages

- TM halts in an accepting configuration if w is in the language.
- TM may halt in a rejecting configuration or go on indefinitely if w is not in the language.

• Turing-decidable languages

- TM halts in an accepting configuration if w is in the language.
- TM halts in a rejecting configuration if w is not in the language.