

# COM3064

## Automata Theory

### Week 7: Chomsky Normal Form & Pushdown Automata

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**Resources:** Introduction to The Theory of Computation, M. Sipser,  
Introduction to Automata Theory, Languages, and Computation, J.E. Hopcroft, R. Motwani, and J.D. Ullman  
BBM401 Automata Theory and Formal Languages, İlyas Çiçekli  
CENG280 Formal Languages and Abstract Machines, Halit Oğuztüzün

# Chomsky Normal Form

A context-free grammar is in *Chomsky Normal Form* if every rule is of the form

$$A \rightarrow BC$$

$$A \rightarrow a$$

where **a** is any terminal and **A**, **B**, and **C** are any variables — except that **B** and **C** may not be the start variable.

In addition, we permit the rule  $S \rightarrow \varepsilon$  where **S** is the start variable if the language of the grammar contains  $\varepsilon$ .

# Chomsky Normal Form

**Theorem:** Every (non-empty) context free language can be generated by a context-free grammar in Chomsky normal form.

- In order to obtain **an equivalent grammar in Chomsky normal form** for any given CFG  $G$ , we will have the following conversion steps:
  1. **Add a new start variable  $S_0$**  and a new production rule  $S_0 \rightarrow S$  where  $S$  is the original start variable of  $G$ .
  2. **Eliminate  $\varepsilon$ -productions** (productions of the form  $A \rightarrow \varepsilon$ ). After this conversion step, only one  $\varepsilon$ -production ( $S_0 \rightarrow \varepsilon$ ) if the language of  $G$  contains  $\varepsilon$ .
  3. **Eliminate unit productions** (productions of the form  $A \rightarrow B$  where  $A$  and  $B$  are variables).
  4. **Eliminate useless symbols**. Useless symbols do not appear in any derivation of a terminal string from the start symbol.
  5. **Convert the remaining rules into Chomsky normal form** adding new variables and rules.

# Add A New Start Variable

- We **add a new start variable**  $S_0$  and the **rule**  $S_0 \rightarrow S$ , where  $S$  was the original start variable.
- This change guarantees that the start variable does not occur on the right-hand side of a rule.
- The new grammar is equivalent to the original grammar (i.e. *they generate same language*).

**Example:**

$S \rightarrow \varepsilon \mid 0S1$



$S_0 \rightarrow S$

$S \rightarrow \varepsilon \mid 0S1$

**equivalent grammars**

# Eliminate $\varepsilon$ -productions

- In order to remove  $\varepsilon$ -productions, first we will determine **nullable variables**.
- A variable A is said to **nullable** if  $A \overset{*}{\Rightarrow} \varepsilon$

A CFG  $G_1$

$S_0 \rightarrow S$

$\rightarrow \text{nullable}(G_1) = \{S, S_0\}$

$S \rightarrow \varepsilon \mid 0S1$

A CFG  $G_2$

$S_0 \rightarrow S$

$S \rightarrow AB$

$A \rightarrow aAA \mid \varepsilon$

$\rightarrow \text{nullable}(G_2) = \{A, B, S, S_0\}$

$B \rightarrow bBB \mid \varepsilon$

# Eliminate $\varepsilon$ -productions

Steps for  $\varepsilon$ -production elimination for CFG G:

1. Find  $\text{nullable}(G)$ , the set of all *nullable* symbols of G.
2. Generate new rules from a rule R by eliminating *nullable* variables from its right-side, if *nullable* variables appears on its right-side.
  - The number of new rules depends on the number of *nullable* variables on the right-side. If there are k *nullable* variables, we have to generate  $2^k - 1$  new rules.
  - *Generated new rule is added if it is not already among the rules.*
    - If  $R \rightarrow \alpha A \beta$  is a rule and **A is the only nullable variable on  $\alpha A \beta$** , generate and add the new rule  $R \rightarrow \alpha \beta$ .
    - If  $R \rightarrow \alpha A \beta B \gamma$  is a rule and **A and B are only nullable variables on  $\alpha A \beta B \gamma$** , generate and add the new rules  $R \rightarrow \alpha \beta B \gamma$ ,  $R \rightarrow \alpha A \beta \gamma$  and  $R \rightarrow \alpha \beta \gamma$ .
3. Remove all  $\varepsilon$ -productions  $A \rightarrow \varepsilon$  (except  $S_0 \rightarrow \varepsilon$ ) from the rules.

The new grammar that is obtained by **eliminating  $\varepsilon$ -productions** is equivalent to the original grammar (i.e **they generate same language**).

# Eliminate $\varepsilon$ -productions - Example

$S_0 \rightarrow S$        $S \rightarrow \varepsilon \mid 0S1$

- nullable(G) = {S, S<sub>0</sub>}
- Since S is nullable,
  - generate  $S_0 \rightarrow \varepsilon$  from  $S_0 \rightarrow S$
- Since S is nullable,
  - generate  $S \rightarrow 01$  from  $S \rightarrow 0S1$
- After all generations, we have the following rules:

$S_0 \rightarrow \varepsilon \mid S$

$S \rightarrow \varepsilon \mid 01 \mid 0S1$

- Remove all  $\varepsilon$ -productions except  $S_0 \rightarrow \varepsilon$ , our final grammar is:

$S_0 \rightarrow \varepsilon \mid S$

$S \rightarrow 01 \mid 0S1$

**equivalent grammars**

# Eliminate $\varepsilon$ -productions - Example

$S_0 \rightarrow S$      $S \rightarrow AB$      $A \rightarrow aAA \mid \varepsilon$      $B \rightarrow bBB \mid \varepsilon$   
 $\times$   $\times$

- $\text{nullable}(G) = \{A, B, S, S_0\}$

- Generate new rules:

$S_0 \rightarrow \varepsilon$

$S \rightarrow A$

$A \rightarrow aA$

$B \rightarrow bB$

$S \rightarrow B$

$A \xrightarrow{\times} aA$

$B \xrightarrow{\times} bB$

$S \xrightarrow{\times} \varepsilon$

$A \rightarrow a$

$B \rightarrow b$

from  $S_0 \rightarrow S$

from  $S \rightarrow AB$

from  $A \rightarrow aAA$

from  $B \rightarrow bBB$

- Remove  $\varepsilon$ -productions

$S_0 \rightarrow S \mid \varepsilon$

$S \rightarrow AB \mid A \mid B$

$A \rightarrow aAA \mid aA \mid a$

$B \rightarrow bBB \mid bB \mid b$

**equivalent grammars**



# Eliminate Unit Productions

- $A \rightarrow B$  is a unit production, whenever A and B are variables.
- Unit productions can be eliminated from a grammar to obtain a grammar without unit productions.
  - The resulting grammar that is obtained by eliminating unit productions will be equivalent to the original grammar.
- We will remove unit productions one by one from the grammar.
- Remove a unit production  $A \rightarrow B$  from the grammar.
  - Then, whenever a rule  $B \rightarrow u$  appears, we add the rule  $A \rightarrow u$  unless this was a unit rule previously removed.
- We repeat these steps until we eliminate all unit rules.

# Eliminate Unit Productions - Example

$S_0 \rightarrow \varepsilon \mid S$   
 $S \rightarrow 01 \mid 0S1$

- Unit productions:  $\{ S_0 \rightarrow S \}$
- Remove  $S_0 \rightarrow S$ ,
  - Add  $S_0 \rightarrow 01$  and  $S_0 \rightarrow 0S1$
- The resulting grammar after eliminating unit productions.

$S_0 \rightarrow \varepsilon \mid 01 \mid 0S1$   
 $S \rightarrow 01 \mid 0S1$

← equivalent grammars

# Eliminate Unit Productions - Example

$S_0 \rightarrow S \mid \varepsilon$   
 $S \rightarrow AB \mid A \mid B$   
 $A \rightarrow aAA \mid aA \mid a$   
 $B \rightarrow bBB \mid bB \mid b$

- Unit productions:  $\{ S_0 \rightarrow S, S \rightarrow A, S \rightarrow B \}$
  - Remove  $S \rightarrow B$ , add  $S \rightarrow bBB \mid bB \mid b$
  - Remove  $S \rightarrow A$ , add  $S \rightarrow aAA \mid aA \mid a$
  - Remove  $S_0 \rightarrow S$ , add  $S_0 \rightarrow AB \mid aAA \mid aA \mid a \mid bBB \mid bB \mid b$
- The resulting grammar after eliminating unit productions.

$S_0 \rightarrow \varepsilon \mid AB \mid aAA \mid aA \mid a \mid bBB \mid bB \mid b$   
 $S \rightarrow AB \mid aAA \mid aA \mid a \mid bBB \mid bB \mid b$   
 $A \rightarrow aAA \mid aA \mid a$   
 $B \rightarrow bBB \mid bB \mid b$

← equivalent grammars

# Eliminate Unit Productions - Example

$E \rightarrow E+T \mid T$   
 $T \rightarrow T^*F \mid F$   
 $F \rightarrow G^{\wedge}F \mid G$   
 $G \rightarrow \text{id} \mid (E)$

- Unit productions:  $\{ E \rightarrow T, T \rightarrow F, F \rightarrow G \}$
- Remove  $F \rightarrow G$ , add  $F \rightarrow \text{id} \mid (E)$
- Remove  $T \rightarrow F$ , add  $T \rightarrow G^{\wedge}F \mid \text{id} \mid (E)$
- Remove  $E \rightarrow T$ , add  $E \rightarrow T^*F \mid G^{\wedge}F \mid \text{id} \mid (E)$
- The resulting grammar after eliminating unit productions.

$E \rightarrow E+T \mid T^*F \mid G^{\wedge}F \mid \text{id} \mid (E)$   
 $T \rightarrow T^*F \mid G^{\wedge}F \mid \text{id} \mid (E)$   
 $F \rightarrow G^{\wedge}F \mid \text{id} \mid (E)$   
 $G \rightarrow \text{id} \mid (E)$

← **equivalent grammars**

# Eliminate Unit Productions - Example

*Eliminating unit productions in different order do not change the result.*

$E \rightarrow E+T \mid T$   
 $T \rightarrow T^*F \mid F$   
 $F \rightarrow G^{\wedge}F \mid G$   
 $G \rightarrow \text{id} \mid (E)$

- Unit productions:  $\{ E \rightarrow T, T \rightarrow F, F \rightarrow G \}$
- Remove  $E \rightarrow T$ , add  $E \rightarrow T^*F \mid F$
- Remove  $T \rightarrow F$ , add  $T \rightarrow G^{\wedge}F \mid G$
- Remove  $F \rightarrow G$ , add  $F \rightarrow \text{id} \mid (E)$
- Remove newly introduced unit productions
- Remove  $E \rightarrow F$ , add  $E \rightarrow G^{\wedge}F \mid \text{id} \mid (E)$
- Remove  $T \rightarrow G$ , add  $T \rightarrow \text{id} \mid (E)$
- The resulting grammar after eliminating unit productions.

$E \rightarrow E+T \mid T^*F \mid G^{\wedge}F \mid \text{id} \mid (E)$   
 $T \rightarrow T^*F \mid G^{\wedge}F \mid \text{id} \mid (E)$   
 $F \rightarrow G^{\wedge}F \mid \text{id} \mid (E)$   
 $G \rightarrow \text{id} \mid (E)$

← equivalent grammars

# Eliminate Useless Symbols

- A symbol  $X$  is **useful** for a grammar  $G = (V, T, P, S)$ , if there is a derivation

$$S \xRightarrow{*} \alpha X \beta \xRightarrow{*} w$$

for a terminal string  $w$ .

- Symbols that **are not useful** are called **useless**.
- A symbol  $X$  is **generating** if  $X \xRightarrow{*} w$  for some string  $w \in T^*$ .
- A symbol  $X$  is **reachable** if  $S \xRightarrow{*} \alpha X \beta$  for some  $\{\alpha, \beta\} \subseteq (V \cup T)^*$ .
- If we eliminate **non-generating symbols** first, and then **non-reachable symbols**, we will **be left with only useful symbols**.
  - The grammar that is obtained by eliminating useless symbols will be equivalent to the original grammar.

# Eliminate Useless Symbols - *computing generating symbols*

- For a grammar  $G = (V, T, P, S)$ , the generating symbols **generating( $G$ )** are computed by the following closure algorithm:

**Basis:**  $\text{generating}(G) = T$

**Induction:**

If  $X \rightarrow \varepsilon \in P$  or  $X \rightarrow A_1 \dots A_n \in P$  where  $\{A_1, \dots, A_n\} \subseteq \text{generating}(G)$  then  
 $\text{generating}(G) = \text{generating}(G) \cup \{X\}$

**Example:**

- Let  $G$  be  $S \rightarrow AB \mid a, A \rightarrow b$
- Initially,  $\text{generating}(G) = \{a, b\}$
- $A$  will be in  $\text{generating}(G)$  because of  $A \rightarrow b$
- $S$  will be in  $\text{generating}(G)$  because of  $S \rightarrow a$
- Thus, **generating( $G$ ) =  $\{a, b, A, S\}$**  and **non-generating symbols are  $\{B\}$**

# Eliminate Useless Symbols - *computing reachable symbols*

- For a grammar  $G = (V, T, P, S)$ , the reachable symbols **reachable( $G$ )** are computed by the following closure algorithm:

**Basis:**  $\text{reachable}(G) = \{S\}$

**Induction:**

If  **$X \in \text{reachable}(G)$**  and  **$X \rightarrow \alpha \in P$**  then **add all symbols in  $\alpha$  to  $\text{reachable}(G)$ .**

**Example:**

- Let  $G$  be  $S \rightarrow AB \mid a, A \rightarrow b, C \rightarrow a$
- Initially,  $\text{reachable}(G) = \{S\}$
- $A$  and  $B$  will be in  $\text{reachable}(G)$  because of  $S \rightarrow AB$
- $a$  will be in  $\text{reachable}(G)$  because of  $S \rightarrow a$
- $b$  will be in  $\text{reachable}(G)$  because of  $A \rightarrow b$
- Thus,  **$\text{reachable}(G) = \{S, A, B, a, b\}$**  and **non-reachable symbols are  $\{C\}$**



# Eliminate Useless Symbols

**Steps to eliminate useless symbols from  $G = (V, T, P, S)$  :**

1. Compute generating( $G$ ).
2. Remove all productions containing at least one non-generating symbol in order to create a new grammar  $G_1$  (a grammar without non-generating symbols).
  - Remove a production if a non-generating symbol appears in that production (on its right-side or its left-side)
3. Compute reachable( $G_1$ ).
4. Remove all productions containing at least one non-reachable symbol in order to create a new grammar  $G_2$  without useless symbols (a grammar without non-reachable symbols and non-generating symbols).

**The new grammar  $G_2$  (a grammar without useless symbols) will be equivalent to the original grammar  $G$ .**

# Eliminate Useless Symbols - *Example*

$G: S \rightarrow AB \mid a, A \rightarrow b$

- **Compute generating( $G$ ):**
  - generating( $G$ ) =  $\{a, b, A, S\}$  and **non-generating symbols** are  $\{B\}$ .
- **Remove productions containing non-generating symbols:**
  - Remove  $S \rightarrow AB$  because it contains  $B$ .
  - Thus, following  $G_1$  is a grammar without non-generating symbols.
  - $G_1$  is  $S \rightarrow a, A \rightarrow b$
- **Compute reachable( $G_1$ ):**
  - reachable( $G_1$ ) =  $\{S, a\}$  and **non-reachable symbols** are  $\{A, b\}$ .
- **Remove productions containing non-reachable symbols:**
  - Remove  $A \rightarrow b$  because it contains  $A$  (and/or  $b$ ).
- **Grammar  $G_2$  without useless symbols** (non-generating and non-reachable symbols):

$G_2: S \rightarrow a$

# Chomsky Normal Form (CNF) - *Convert the remaining rules into CNF*

- Steps to obtain an equivalent grammar in Chomsky Normal Form:

1. Add a new start variable  $S_0$ .
2. Eliminate  $\varepsilon$ -productions.
3. Eliminate unit productions.
4. Eliminate useless symbols.
  - i. Eliminate non-generating symbols.
  - ii. Eliminate non-reachable symbols.

cleanup steps

## 5. *Convert the remaining rules into CNF:*

Now, to obtain a grammar in CNF, we want every rule to be the form

$$A \rightarrow BC \quad A \rightarrow a$$

- i. Arrange that all bodies of length 2 or more consists of only variables.
- ii. Break bodies of length 3 or more into a cascade of two-variable-bodied productions.

# Chomsky Normal Form (CNF) - *Convert the remaining rules into CNF*

- i. Arrange that all bodies of length 2 or more consists of only variables.
  - For every terminal **a** that appears in a body of length  $\geq 2$ , create a new variable, say  $X_a$ , and replace **a** by  $X_a$  in all bodies.
  - Then add a new rule  $X_a \rightarrow a$ .
- ii. Break bodies of length 3 or more into a cascade of two-variable-bodied productions.
  - For each rule of the form
$$A \rightarrow B_1, \dots, B_k$$
$$k \geq 3, \text{ introduce new variables } Y_1, \dots, Y_{k-2} \text{ and replace the rule with}$$
$$A \rightarrow B_1 Y_1$$
$$Y_1 \rightarrow B_2 Y_2$$
$$\dots$$
$$Y_{k-3} \rightarrow B_{k-2} Y_{k-2}$$
$$Y_{k-2} \rightarrow B_{k-1} B_k$$

# Chomsky Normal Form (CNF) - *Convert the remaining rules into CNF - Example*

$$S_0 \rightarrow \varepsilon \mid 01 \mid 0S1$$

already cleaned grammar

$$S \rightarrow 01 \mid 0S1$$

- Arrange that all bodies of length 2 or more consists of only variables.

$$S_0 \rightarrow \varepsilon \mid X_0 X_1 \mid X_0 S X_1$$

$$S \rightarrow X_0 X_1 \mid X_0 S X_1$$

$$X_0 \rightarrow 0$$

$$X_1 \rightarrow 1$$

- Break bodies of length 3 or more into two-variable-bodied productions.

$$S_0 \rightarrow X_0 S X_1 \quad \rightarrow \quad S_0 \rightarrow X_0 Y_1 \quad Y_1 \rightarrow S X_1$$

$$S \rightarrow X_0 S X_1 \quad \rightarrow \quad S \rightarrow X_0 Y_1$$

Grammar in CNF:

$$S_0 \rightarrow \varepsilon \mid X_0 X_1 \mid X_0 Y_1$$

$$Y_1 \rightarrow S X_1$$

$$S \rightarrow X_0 X_1 \mid X_0 Y_1$$

$$X_0 \rightarrow 0$$

$$X_1 \rightarrow 1$$

# Chomsky Normal Form (CNF) - *Converting into CNF: A Full Example*

$$\begin{aligned} S &\rightarrow ABA \\ A &\rightarrow aA \mid \varepsilon \\ B &\rightarrow bBc \mid \varepsilon \end{aligned}$$

**Step 1. Add a new start variable  $S_0$**

$$\begin{array}{ll} S \rightarrow ABA & S_0 \rightarrow S \\ A \rightarrow aA \mid \varepsilon & S \rightarrow ABA \\ B \rightarrow bBc \mid \varepsilon & A \rightarrow aA \mid \varepsilon \\ & B \rightarrow bBc \mid \varepsilon \end{array}$$

**Step 2. Eliminate  $\varepsilon$ -productions.**

$$\text{nullable}(G) = \{A, B, S, S_0\}$$

$$\begin{array}{lll} S_0 \rightarrow S & S_0 \rightarrow S \mid \varepsilon & S_0 \rightarrow S \mid \varepsilon \\ S \rightarrow ABA & S \rightarrow ABA \mid BA \mid AA \mid AB \mid A \mid B \mid A \mid \varepsilon & S \rightarrow ABA \mid BA \mid AA \mid AB \mid A \mid B \\ A \rightarrow aA \mid \varepsilon & A \rightarrow aA \mid a \mid \varepsilon & A \rightarrow aA \mid a \\ B \rightarrow bBc \mid \varepsilon & B \rightarrow bBc \mid \varepsilon \mid bc & B \rightarrow bBc \mid bc \end{array}$$

# Chomsky Normal Form (CNF) - *Converting into CNF: A Full Example*

## Step 3. Eliminate unit productions.

$$\begin{aligned} S_0 &\rightarrow S \mid \varepsilon \\ S &\rightarrow ABA \mid BA \mid AA \mid AB \mid A \mid B \\ A &\rightarrow aA \mid a \\ B &\rightarrow bBc \mid bc \end{aligned}$$

$$\begin{aligned} S_0 &\rightarrow \varepsilon \mid ABA \mid BA \mid AA \mid AB \mid aA \mid a \mid bBc \mid bc \\ S &\rightarrow ABA \mid BA \mid AA \mid AB \mid aA \mid a \mid bBc \mid bc \\ A &\rightarrow aA \mid a \\ B &\rightarrow bBc \mid bc \end{aligned}$$

## Step 4. Eliminate useless symbols.

- i. Eliminate non-generating symbols. **none**
- ii. Eliminate non-reachable symbols. **S**

$$\begin{aligned} S_0 &\rightarrow \varepsilon \mid ABA \mid BA \mid AA \mid AB \mid aA \mid a \mid bBc \mid bc \\ S &\rightarrow ABA \mid BA \mid AA \mid AB \mid aA \mid a \mid bBc \mid bc \\ A &\rightarrow aA \mid a \\ B &\rightarrow bBc \mid bc \end{aligned}$$

$$\begin{aligned} S_0 &\rightarrow \varepsilon \mid ABA \mid BA \mid AA \mid AB \mid aA \mid a \mid bBc \mid bc \\ A &\rightarrow aA \mid a \\ B &\rightarrow bBc \mid bc \end{aligned}$$

# Chomsky Normal Form (CNF) - *Converting into CNF: A Full Example*

## Step 5. Convert the remaining rules into CNF:

Arrange that all bodies of length 2 or more consists of only variables.

$$\begin{array}{ll} S_0 \rightarrow \varepsilon \mid ABA \mid BA \mid AA \mid AB \mid aA \mid a \mid bBc \mid bc & S_0 \rightarrow \varepsilon \mid ABA \mid BA \mid AA \mid AB \mid \textcolor{teal}{XA} \mid a \mid \textcolor{teal}{YBZ} \mid \textcolor{teal}{YZ} \\ A \rightarrow aA \mid a & A \rightarrow \textcolor{teal}{XA} \mid a \\ B \rightarrow bBc \mid bc & B \rightarrow \textcolor{teal}{YBZ} \mid \textcolor{teal}{YZ} \\ & \textcolor{teal}{X} \rightarrow a \\ & \textcolor{teal}{Y} \rightarrow b \\ & \textcolor{teal}{Z} \rightarrow c \end{array}$$

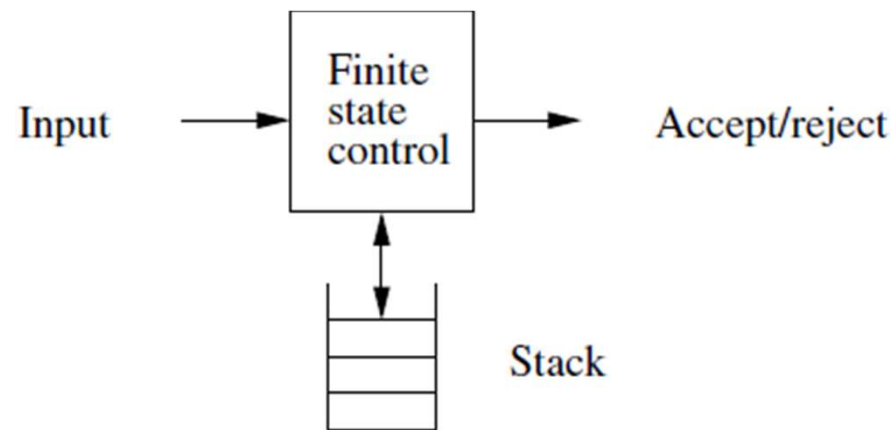
Break bodies of length 3 or more into two-variable-bodied productions.

$$\begin{array}{ll} S_0 \rightarrow \varepsilon \mid ABA \mid BA \mid AA \mid AB \mid XA \mid a \mid YBZ \mid YZ & S_0 \rightarrow \varepsilon \mid \textcolor{teal}{AC} \mid BA \mid AA \mid AB \mid XA \mid a \mid \textcolor{teal}{YD} \mid YZ \\ A \rightarrow XA \mid a & \textcolor{teal}{C} \rightarrow \textcolor{teal}{BA} \\ B \rightarrow YBZ \mid YZ & A \rightarrow \textcolor{teal}{XA} \mid a \\ X \rightarrow a & B \rightarrow \textcolor{teal}{YD} \mid YZ \\ Y \rightarrow b & \textcolor{teal}{D} \rightarrow \textcolor{teal}{BZ} \\ Z \rightarrow c & X \rightarrow a \quad Y \rightarrow b \quad Z \rightarrow c \end{array}$$



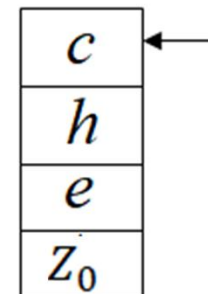
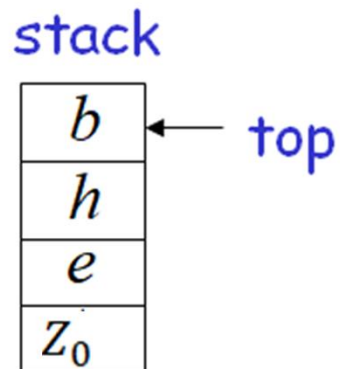
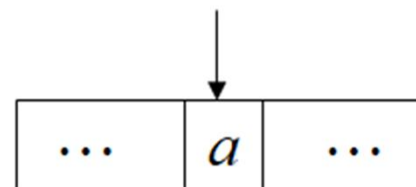
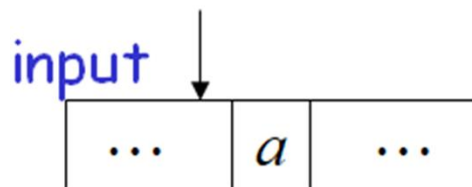
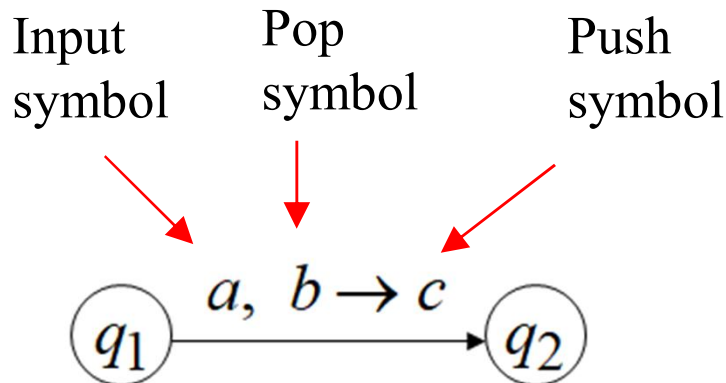
# Pushdown Automata

- Pushdown automata accept exactly **context free languages**.
- A **pushdown automaton (PDA)** is essentially an **NFA** with a **stack**.
- On a transition, a PDA:
  1. Consumes an input symbol (or  $\epsilon$ -transition).
  2. Goes to a new state (or stays in the old).
  3. Replaces the top of the stack item by any string (does nothing, pops the stack, or pushes a string onto the stack)

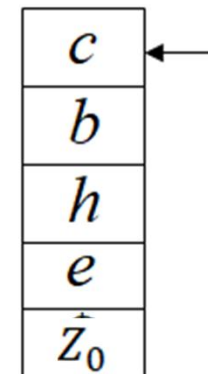
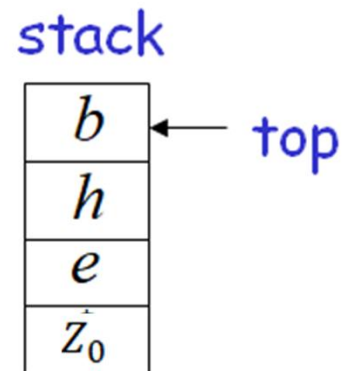
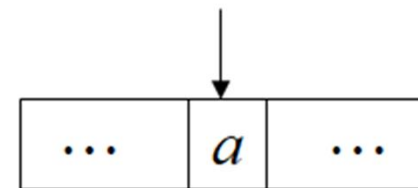
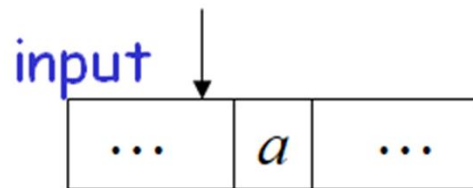
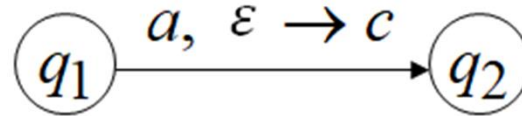


# Pushdown Automata - Transitions

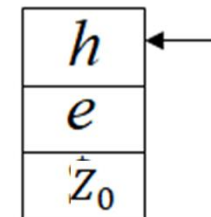
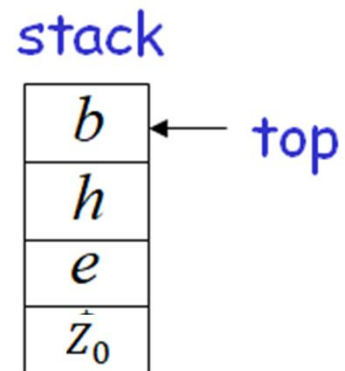
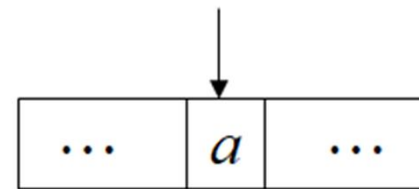
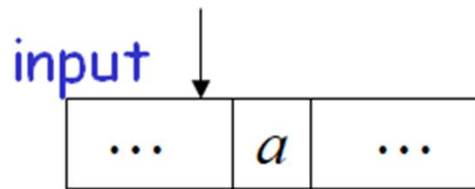
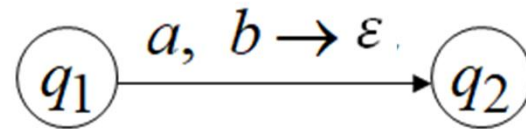
- No explicit mechanism to test for an empty stack.
- Initially place a special symbol  $Z_0$  on the stack.
- If it ever sees the  $Z_0$ , it knows that the stack is empty.



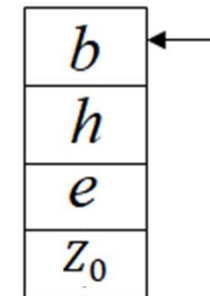
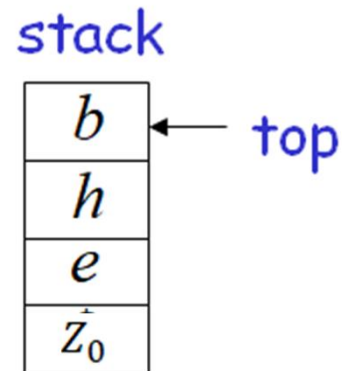
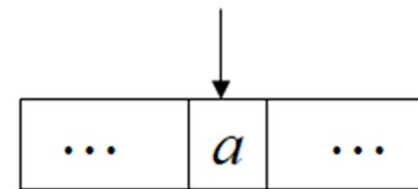
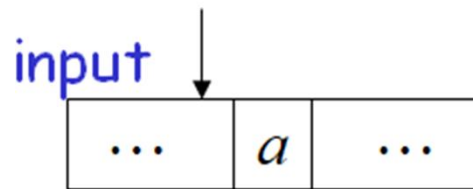
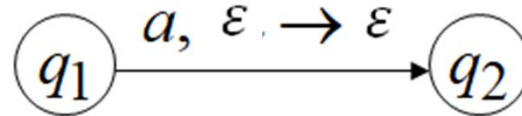
# Pushdown Automata - Transitions



# Pushdown Automata - Transitions

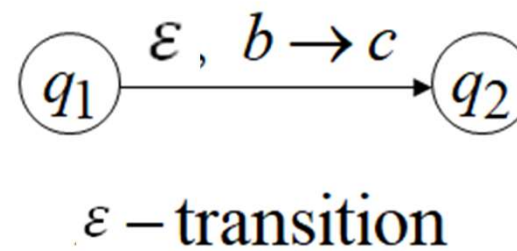
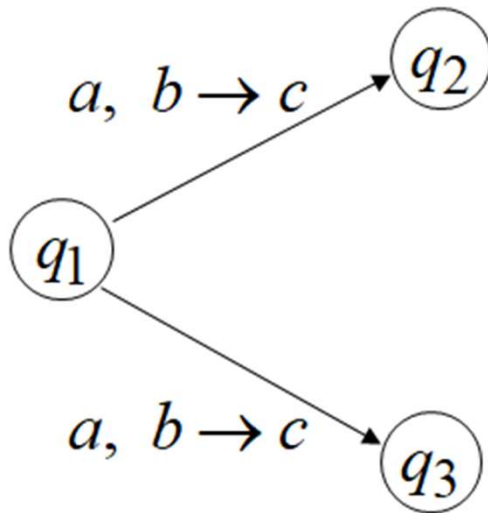


# Pushdown Automata - Transitions



# Pushdown Automata - Transitions

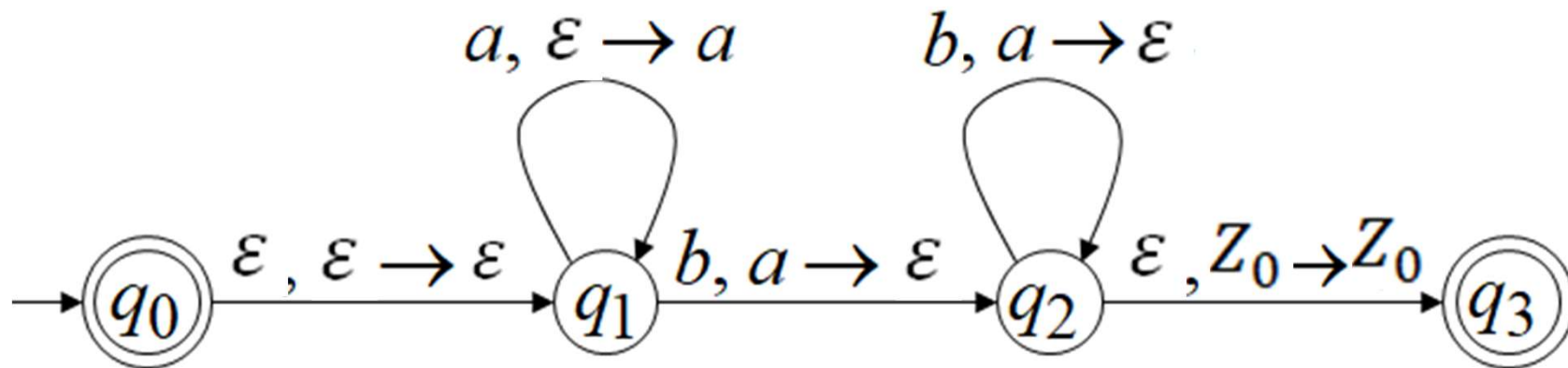
- PDAs are non-deterministic
- Allowed non-deterministic transitions



# Pushdown Automata - Transitions

- Example PDA  $M$

$$L(M) = \{a^n b^n : n \geq 0\}$$



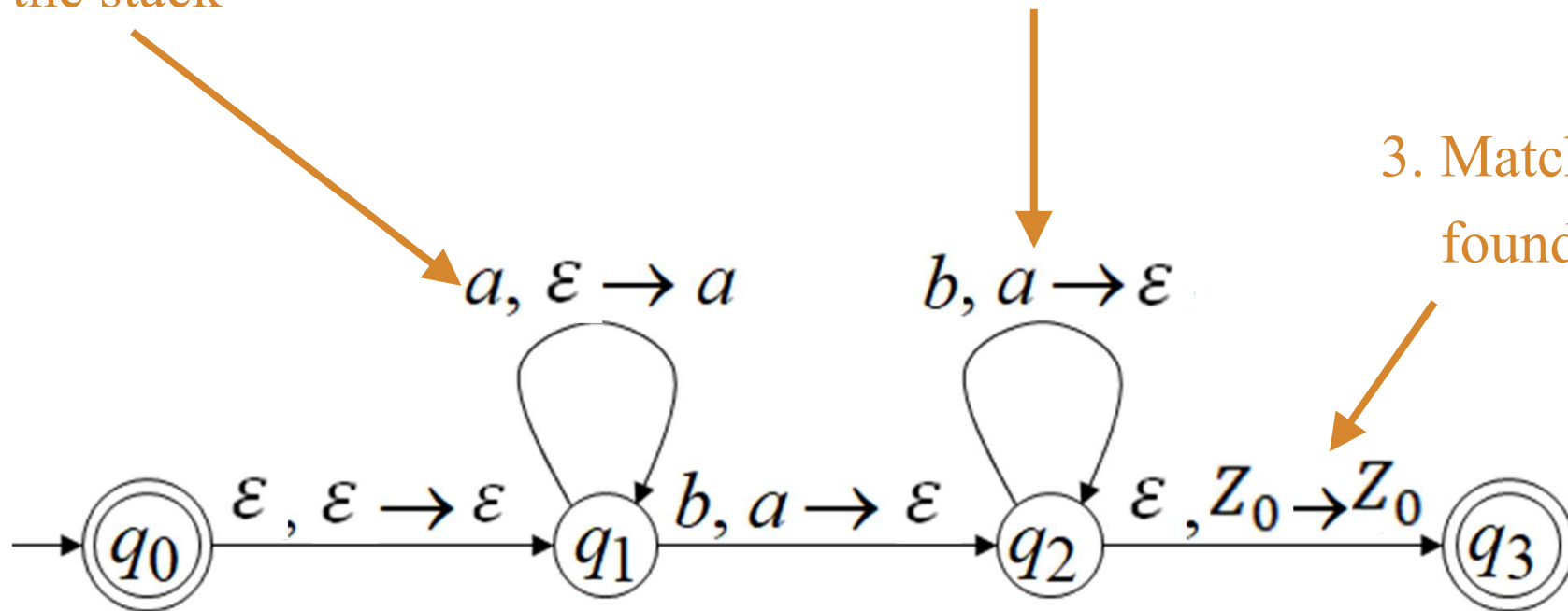
# Pushdown Automata - Transitions

$$L(M) = \{a^n b^n : n \geq 0\}$$

1. Push the a's  
on the stack

2. Match the b's on input  
with a's on stack

3. Match  
found

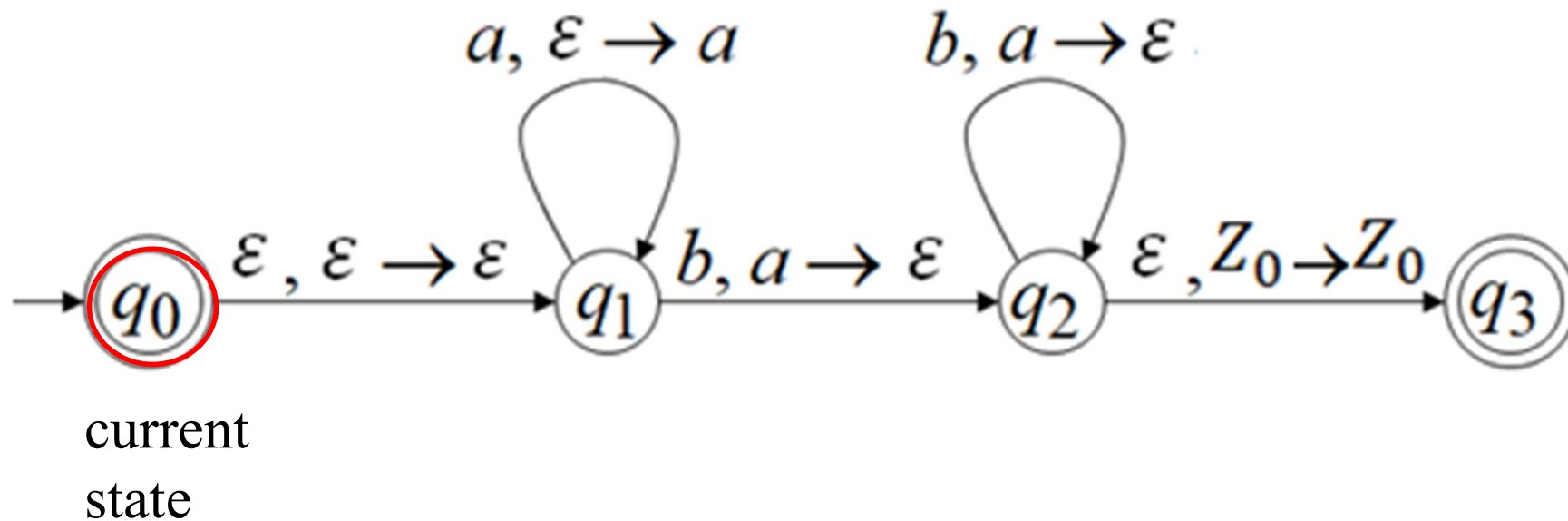
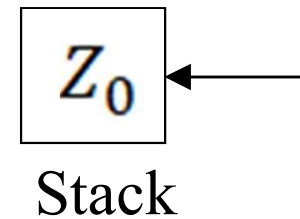
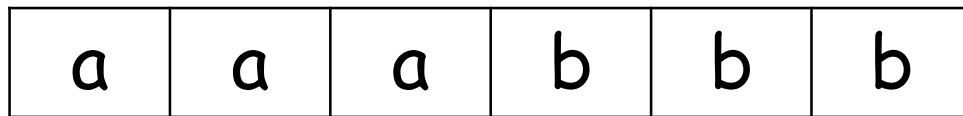




# PDA - Acceptance

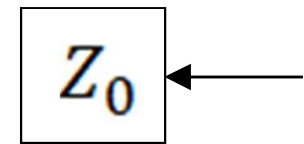
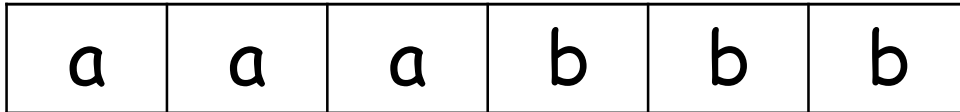
Time 0

Input

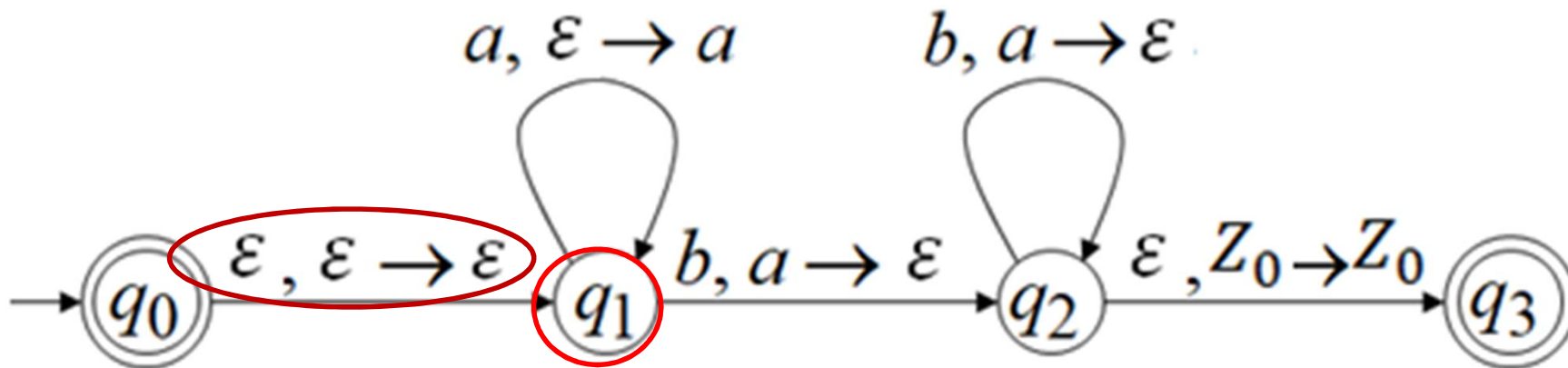


**Time 1**

Input



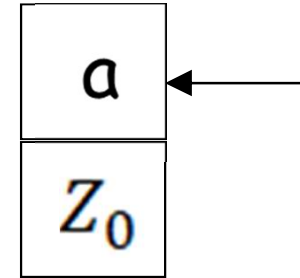
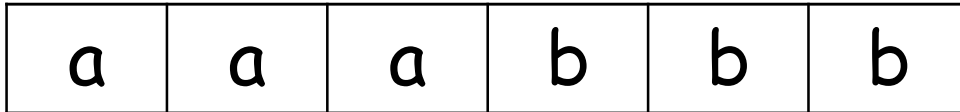
Stack



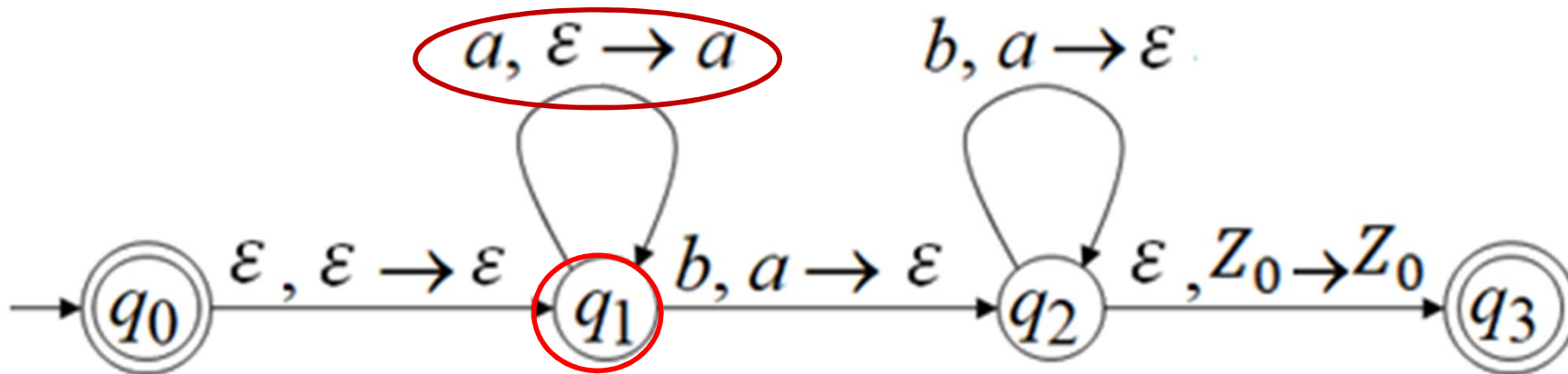
current  
state

## Time 2

Input



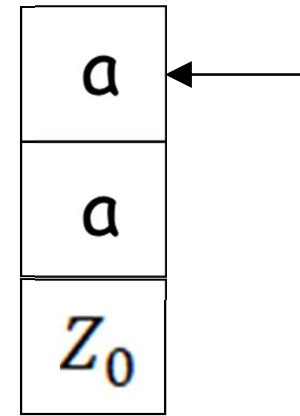
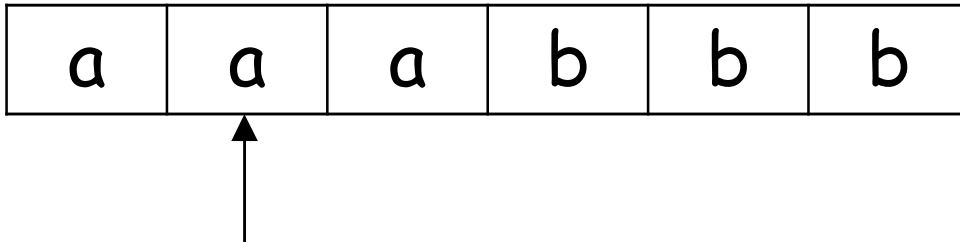
Stack



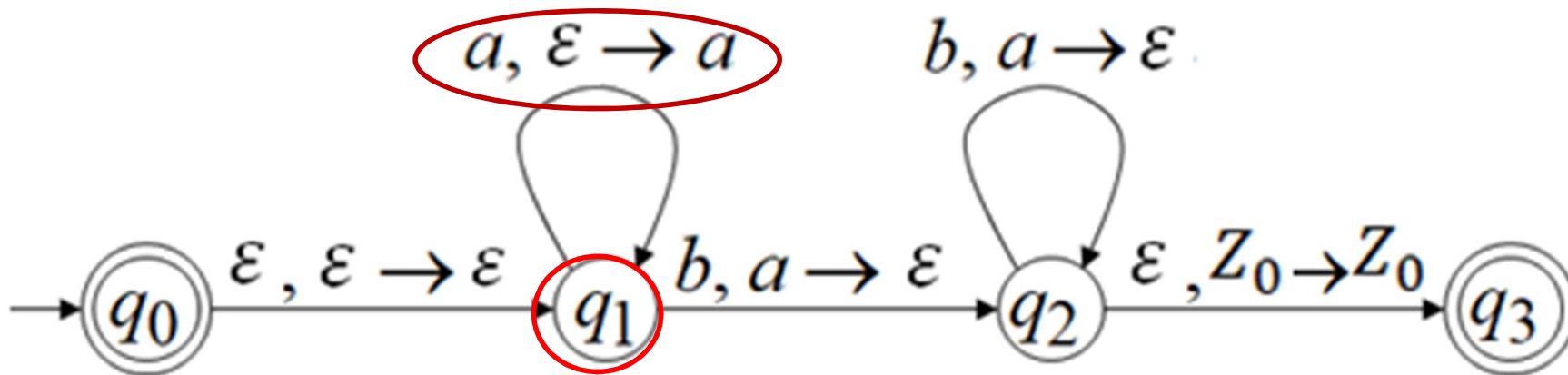
current  
state

## Time 3

Input



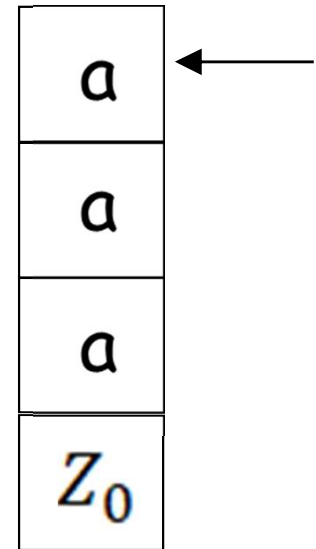
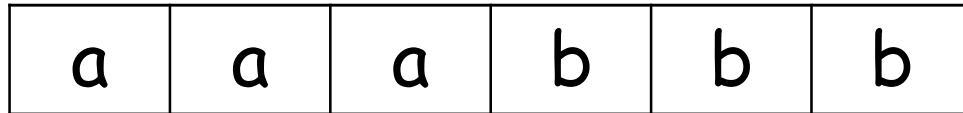
Stack



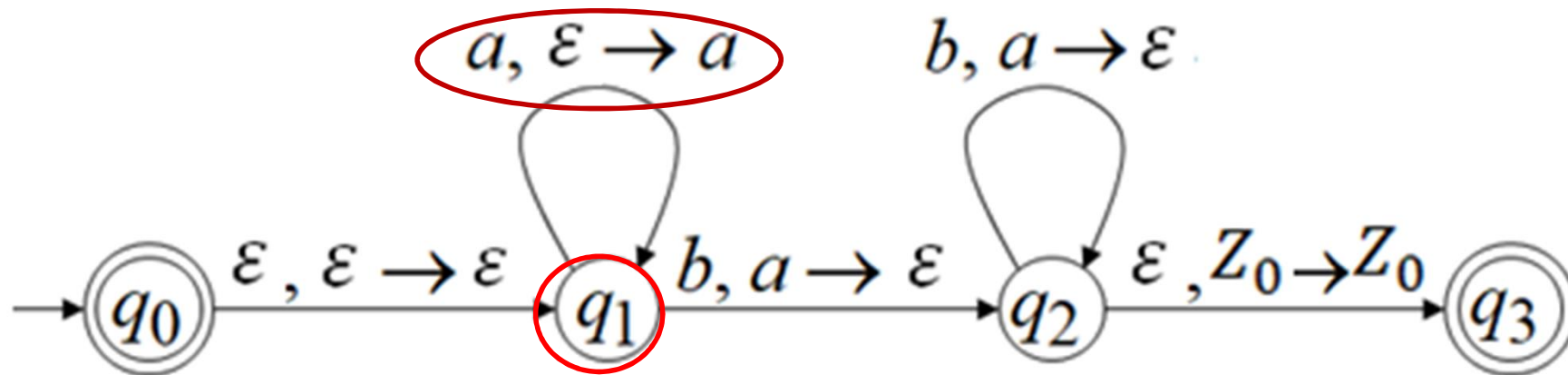
current  
state

**Time 4**

Input



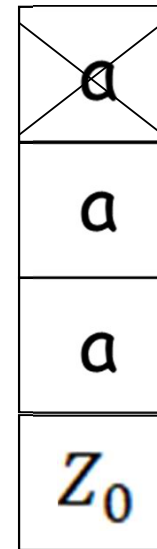
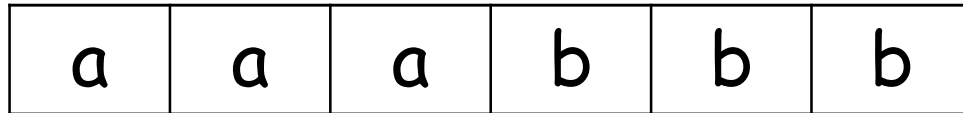
Stack



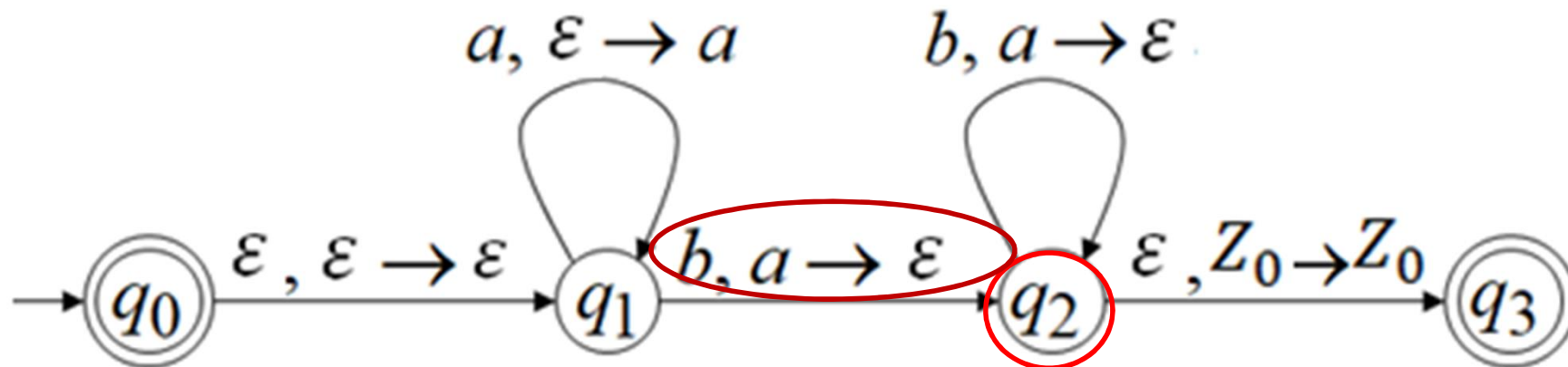
current  
state

**Time 5**

Input



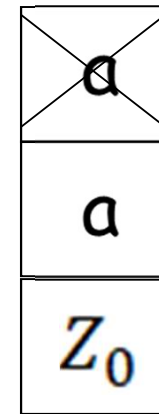
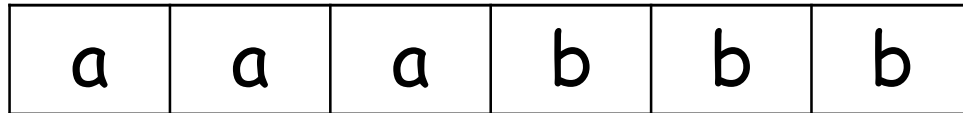
Stack



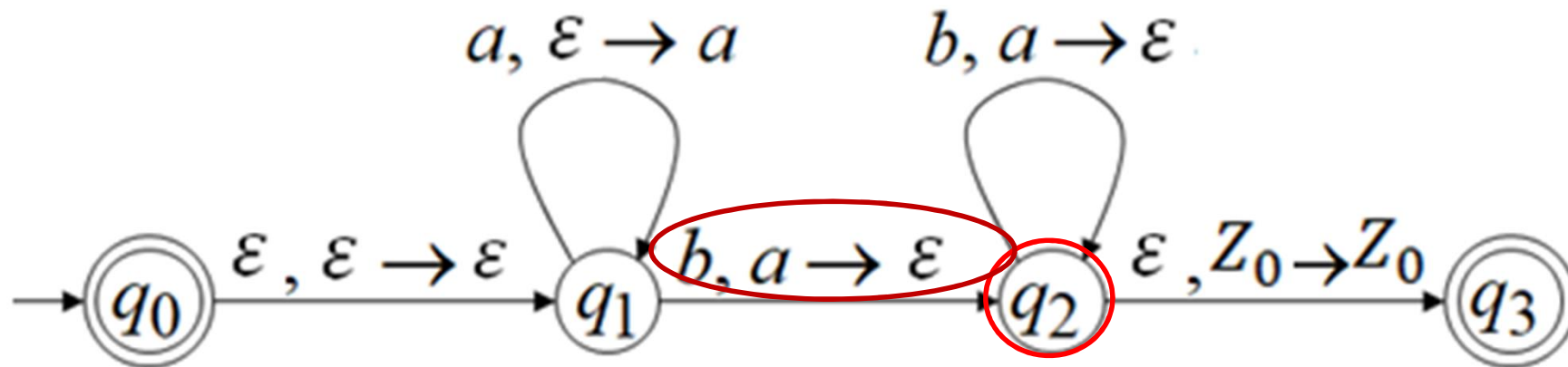
current  
state

**Time 6**

Input



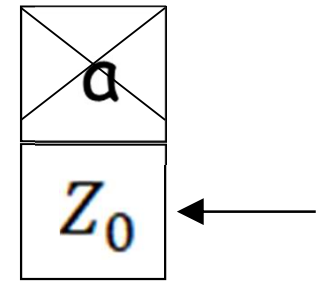
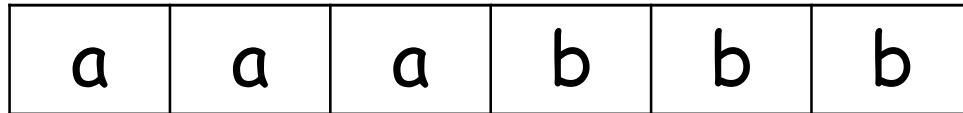
Stack



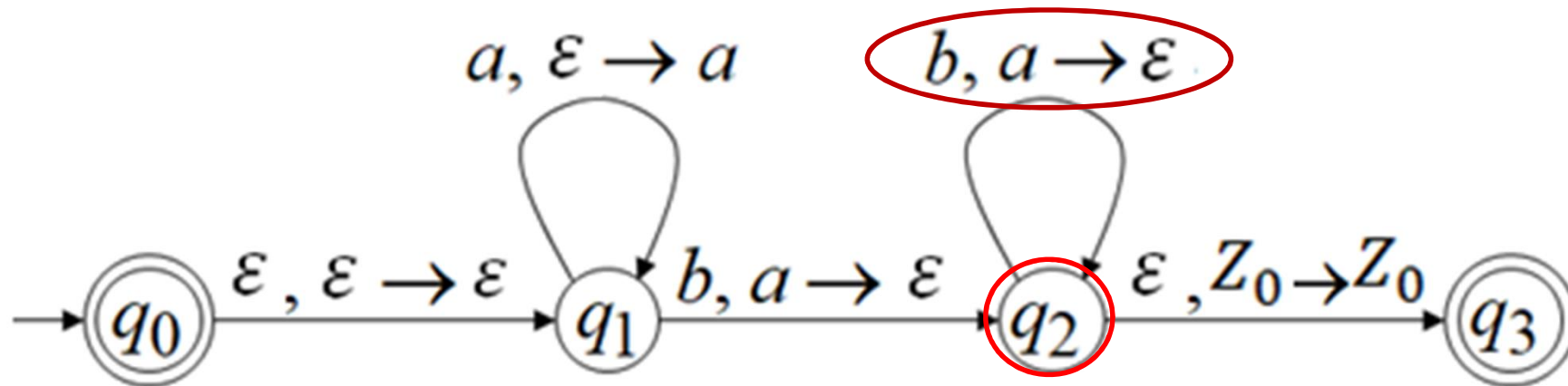
current  
state

Time 7

Input



Stack

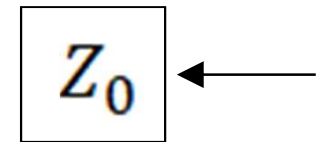
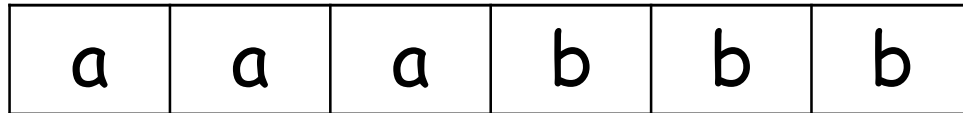


current  
state

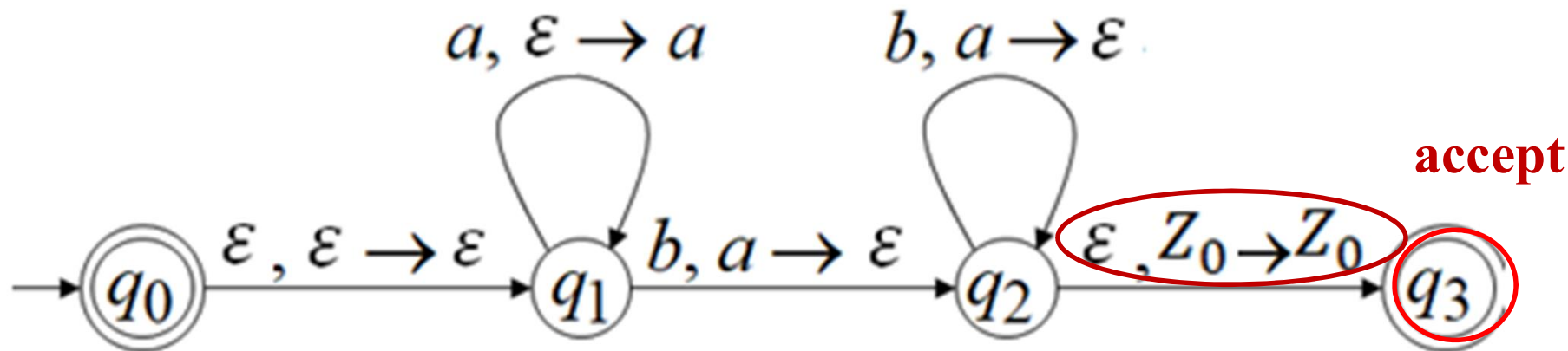


**Time 8**

Input



Stack



current  
state

# Pushdown Automata – Acceptance

- A string is accepted if there is a computation such that:

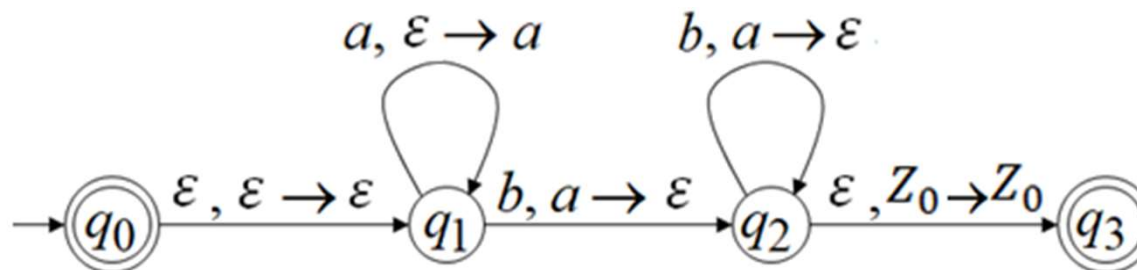
All the input is consumed

AND

The last state is an accepting state

- At the end of the computation, we do not care about the stack contents (the stack can be empty at the last state)

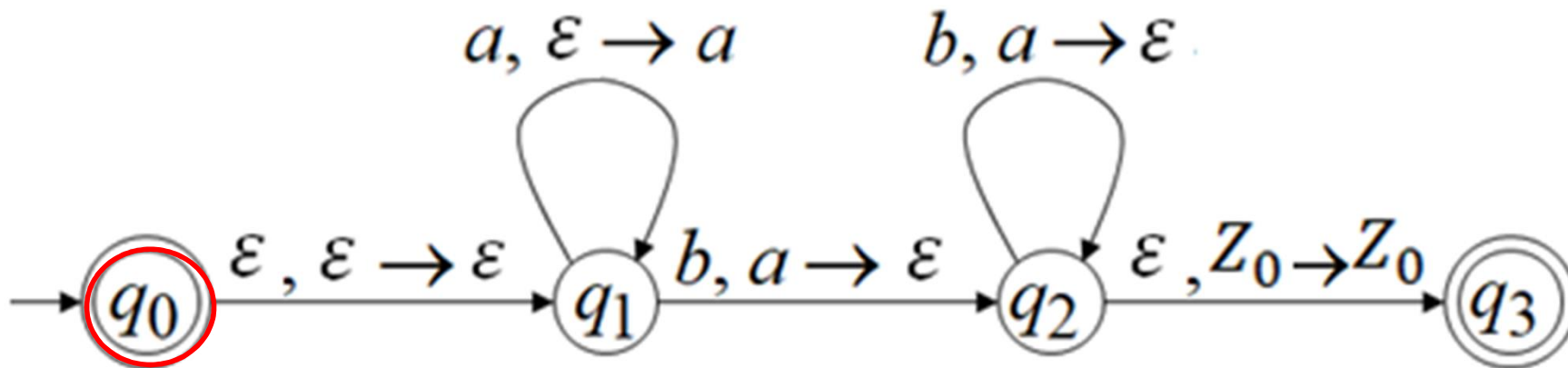
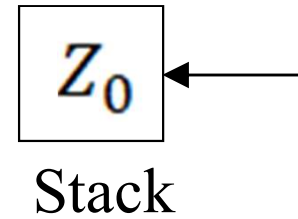
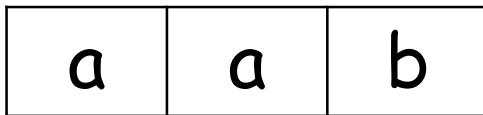
The input string **aaabbb** is accepted by the PDA:



# PDA - Rejection

Time 0

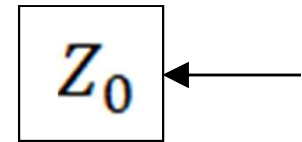
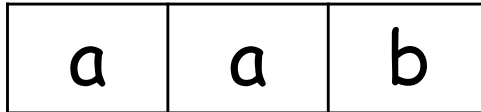
Input



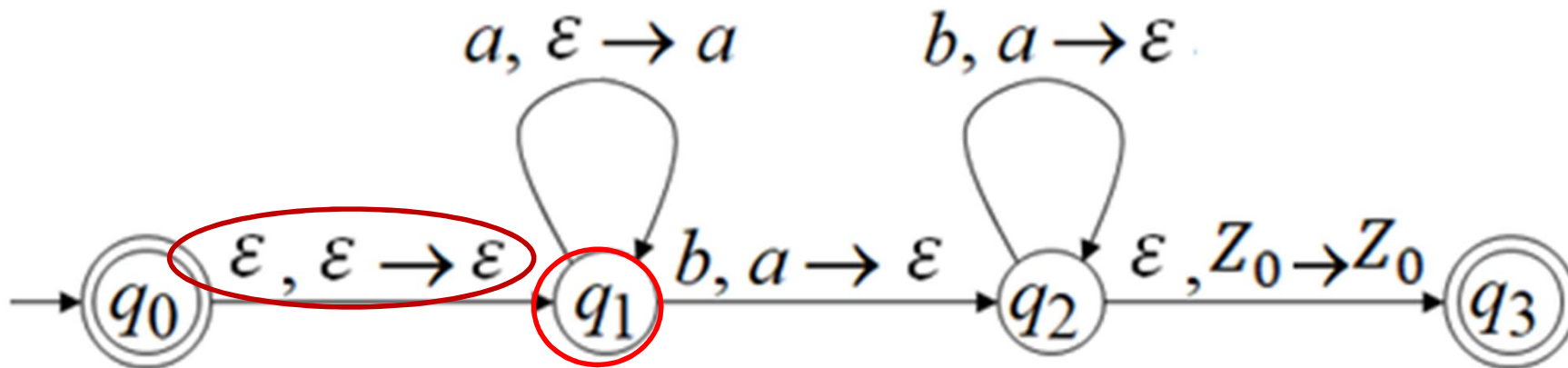
current  
state

**Time 1**

Input



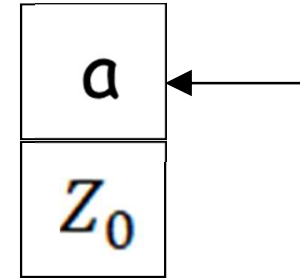
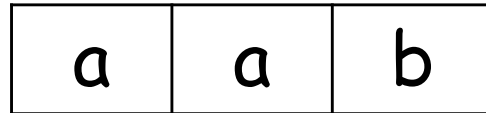
Stack



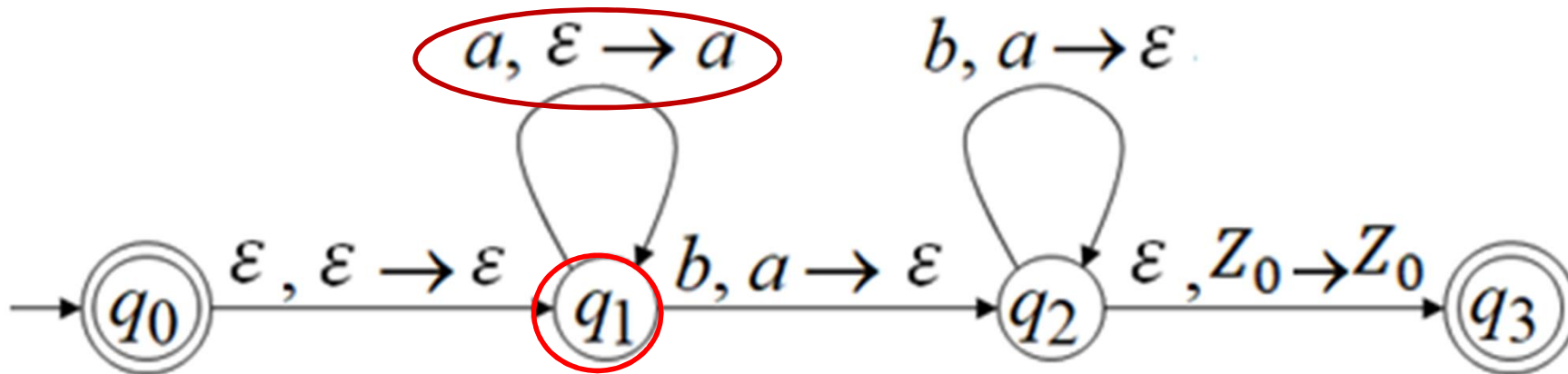
current  
state

## Time 2

Input



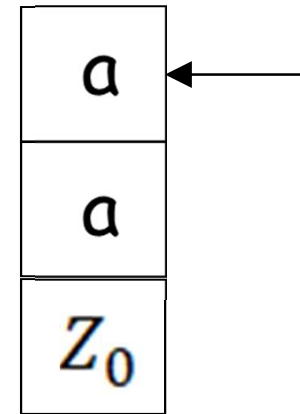
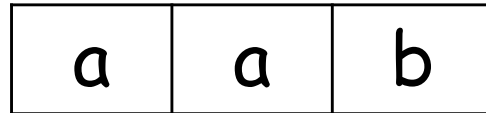
Stack



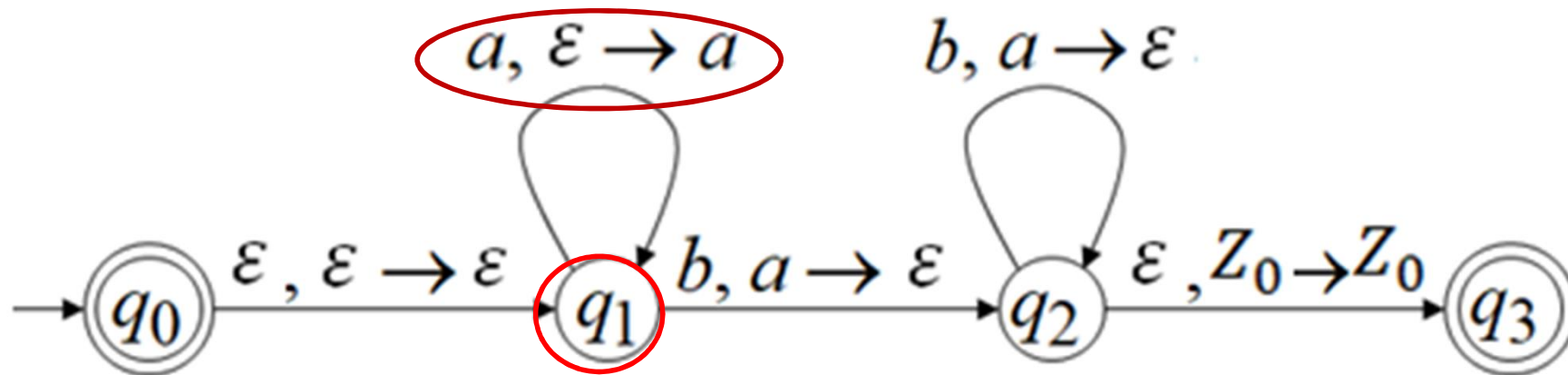
current  
state

## Time 3

Input



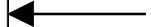
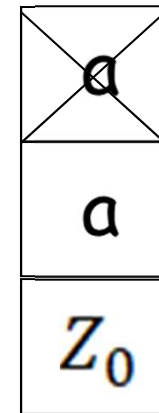
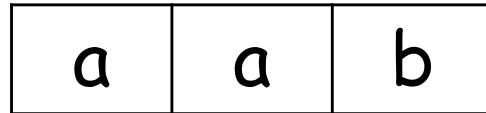
Stack



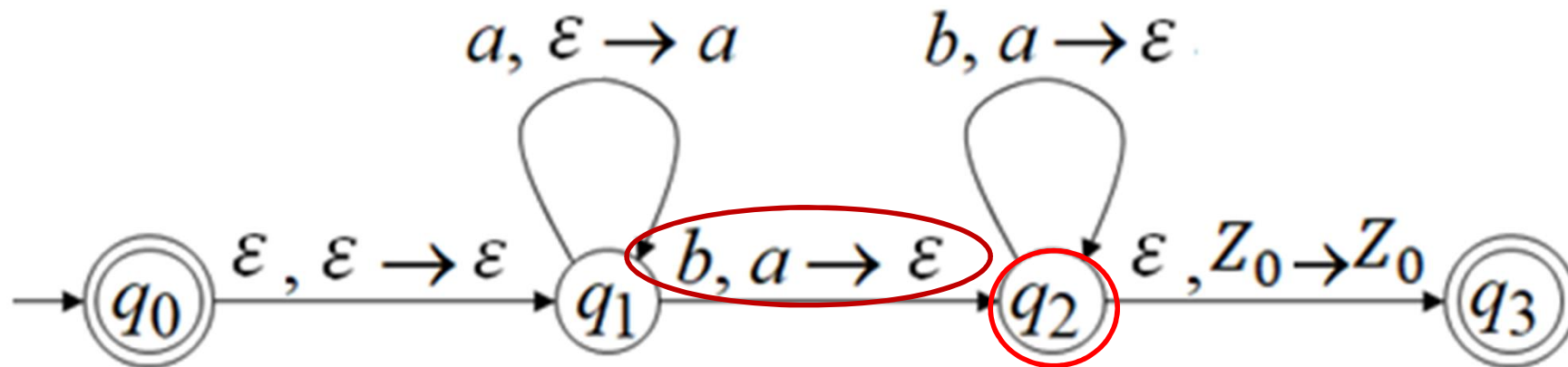
current  
state

## Time 4

Input



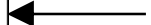
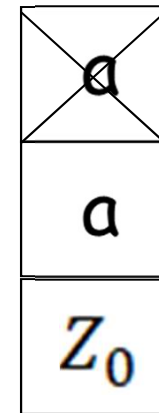
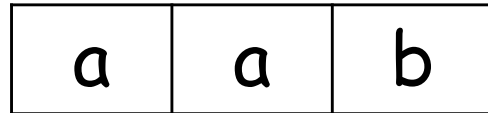
Stack



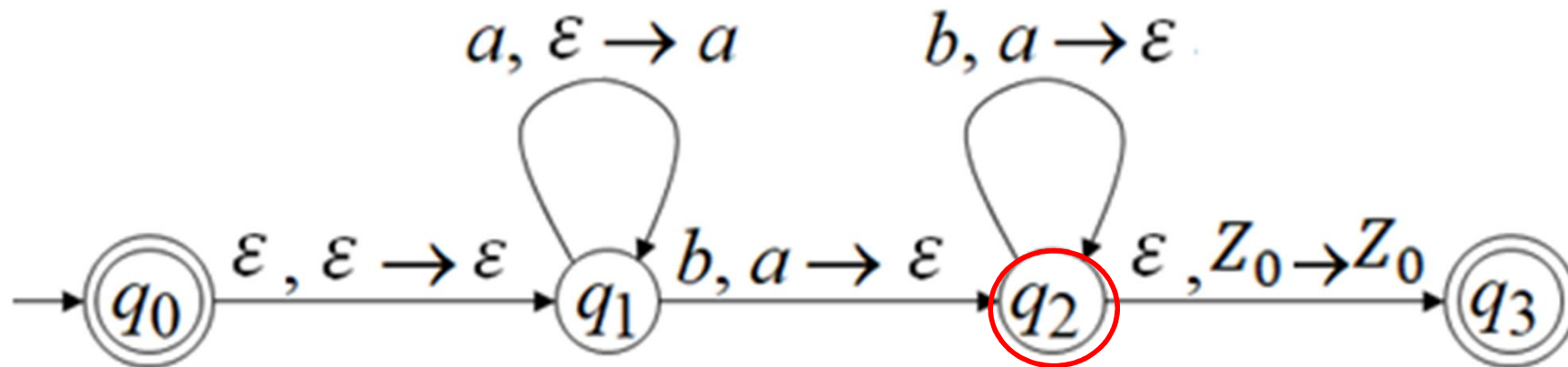
current  
state

## Time 4

Input



Stack



current  
state

reject



# Pushdown Automata – Formal Definition

A pushdown Automata (PDA) is a seven-tuple:

$$P = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F),$$

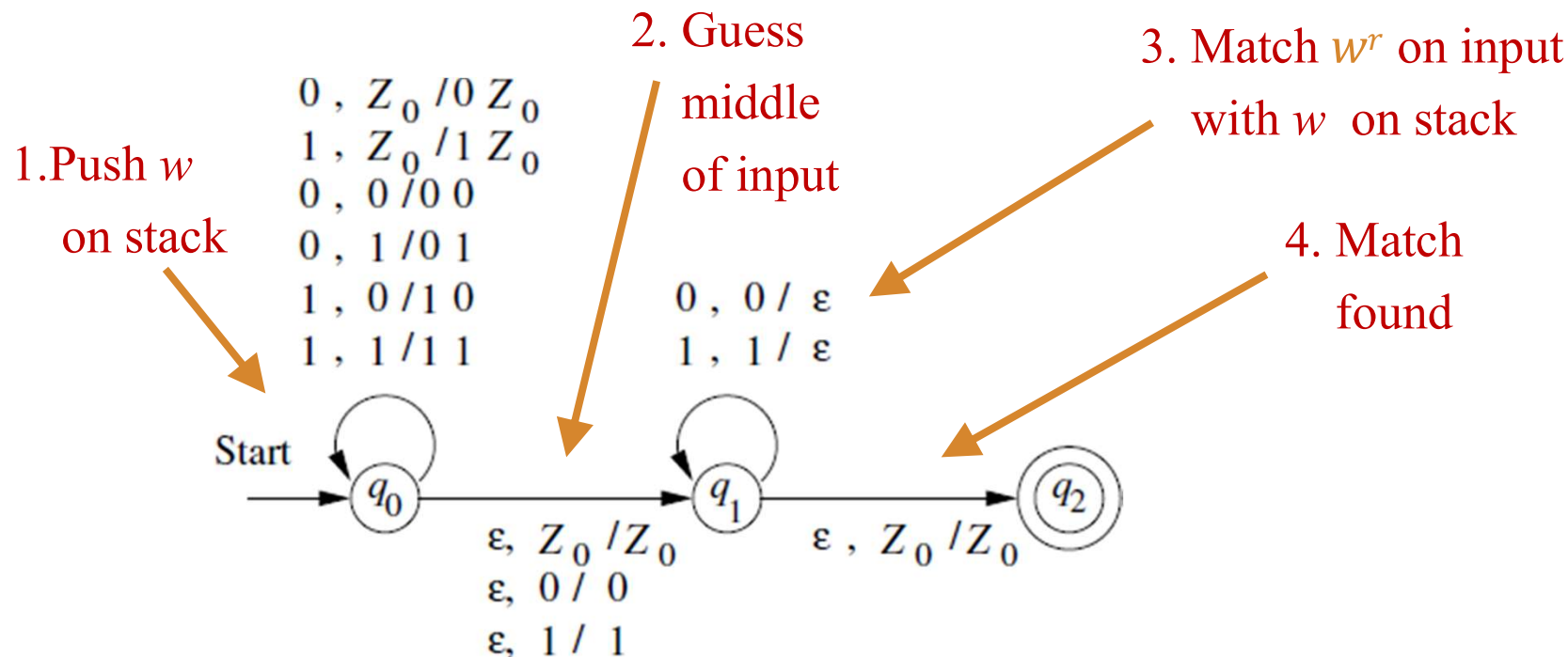
where

- $Q$  is a finite set of states,
- $\Sigma$  is a finite input alphabet,
- $\Gamma$  is finite stack alphabet,
- $\delta : Q \times \Sigma \cup \{\varepsilon\} \rightarrow 2^{Q \times \Gamma^*}$  is the transition function,
- $q_0$  is a start state,
- $Z_0$  is the start symbol for the stack, and
- $F$  is the set of accepting states.

# Pushdown Automata – Example

- Consider a CFL  $L_{ww^r} = \{ ww^r : w \in \{0,1\}^* \}$
- A pushdown automaton  $P$  for the language  $L_{ww^r}$  is as follows:

$$P = ( \{q_0, q_1, q_2\}, \{0,1\}, \{0,1, Z_0\}, \delta, q_0, Z_0, \{q_2\} )$$



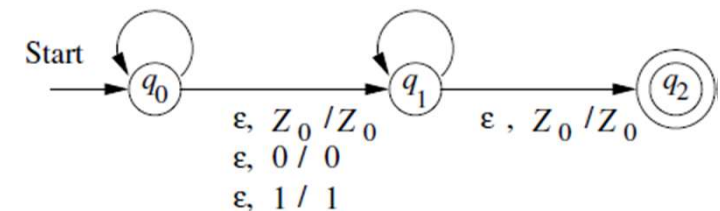
# Pushdown Automata – *Table Representation of Transition Function*

- Consider a CFL  $L_{ww^r} = \{ ww^r : w \in \{0,1\}^* \}$
- A pushdown automaton P for the language  $L_{ww^r} = \{ ww^r : w \in \{0,1\}^* \}$  is actually a seven tuple:

$$P = ( \{q_0, q_1, q_2\}, \{0,1\}, \{0,1, Z_0\}, \delta, q_0, Z_0, \{q_2\} )$$

where its transition function can be also shown by a table.

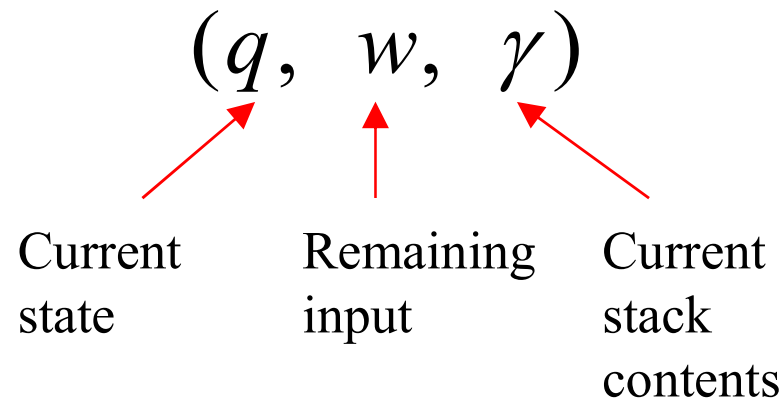
$0, Z_0 / 0 Z_0$   
 $1, Z_0 / 1 Z_0$   
 $0, 0 / 0 0$   
 $0, 1 / 0 1$   
 $1, 0 / 1 0$   
 $1, 1 / 1 1$   
 $0, 0 / \epsilon$   
 $1, 1 / \epsilon$



	0, Z <sub>0</sub>	1, Z <sub>0</sub>	0, 0	0, 1	1, 0	1, 1	ε, Z <sub>0</sub>	ε, 0	ε, 1
→ q <sub>0</sub>	q <sub>0</sub> , 0Z <sub>0</sub>	q <sub>0</sub> , 1Z <sub>0</sub>	q <sub>0</sub> , 00	q <sub>0</sub> , 01	q <sub>0</sub> , 10	q <sub>0</sub> , 11	q <sub>1</sub> , Z <sub>0</sub>	q <sub>1</sub> , 0	q <sub>1</sub> , 1
q <sub>1</sub>			q <sub>1</sub> , ε			q <sub>1</sub> , ε	q <sub>2</sub> , Z <sub>0</sub>		
*q <sub>2</sub>									

# Instantaneous Descriptions (ID) of a PDA

- A PDA goes from configuration to configuration when consuming input.
- The configuration of a PDA is represented by **a triple  $(q, w, \gamma)$**  where
  - $q$  is the state,
  - $w$  is the remaining input, and
  - $\gamma$  is the stack contents
- A configuration triple is called an **instantaneous description**, or **ID**, of the pushdown automata.



# PDA Move - turnstile $\vdash$ and $\vdash^*$ Notation

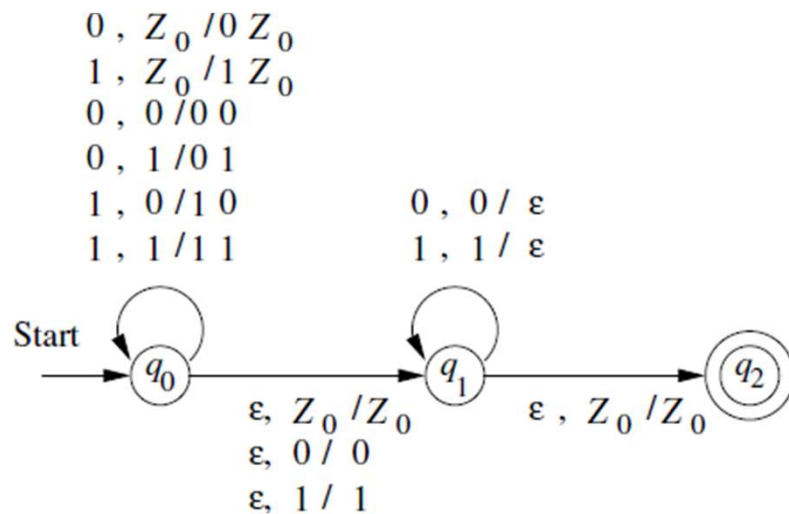
- We need a notation that describes changes in the state, the input, and stack.
- Let  $P = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$  be a PDA. We define a **move**  $\vdash$  as follows.
- If  $(p, \alpha) \in \delta(q, a, X)$  where  $q \in Q, a \in \Sigma \cup \{\epsilon\}, X \in \Gamma$  and  $\alpha \in \Gamma^*$ . Then for all strings  $w \in \Sigma^*$  and  $\beta \in \Gamma^*$ , we have a **move (transition)**:

$$(q, aw, X\beta) \vdash (p, w, \alpha\beta)$$

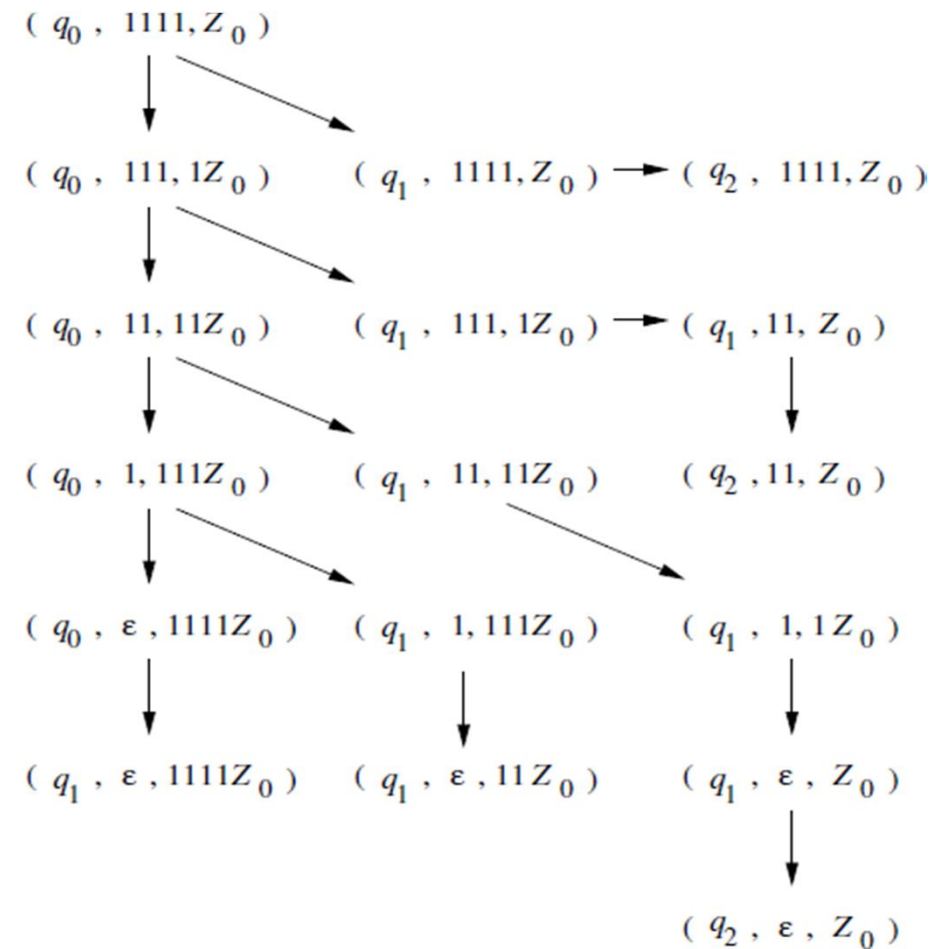
- This move reflects the idea that, by consuming  **$a$**  (which may be  $\epsilon$ ) from the input and replacing  **$X$**  on top of the stack by  **$\alpha$**  we can go from state  **$q$**  to state  **$p$** .
  - Note that what remains on the input,  **$w$** , and what is below the top of the stack,  **$\beta$** , do not influence the action of the PDA, they are merely carried along.
- To represent a sequence of zero or more moves of the PDA, we use  $\vdash^*$ .
- A sequence of moves is also called as **computation**.

# PDA Move - Example

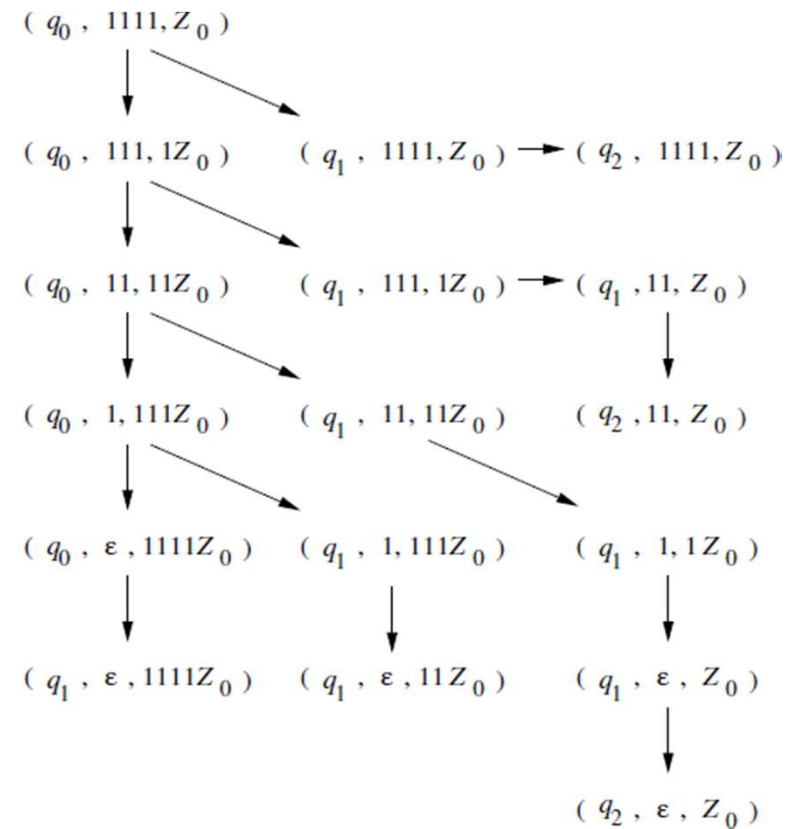
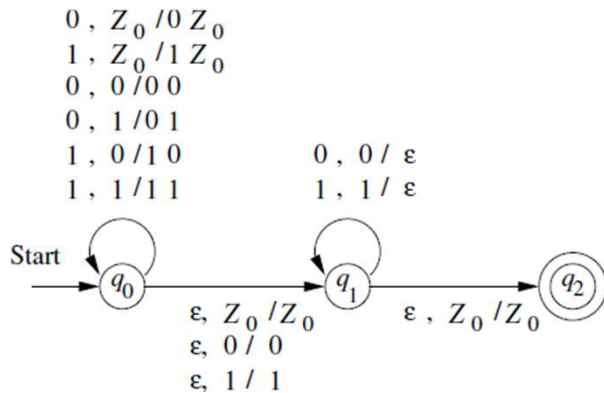
- On input 1111 the PDA has the following **computation sequences**:



Arrows represent move  $\vdash$  relation.



# PDA Move - Example



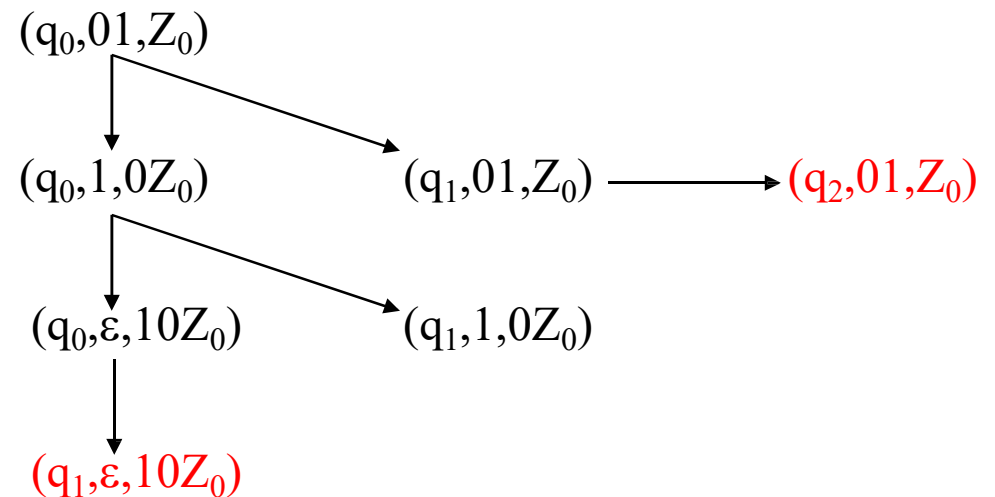
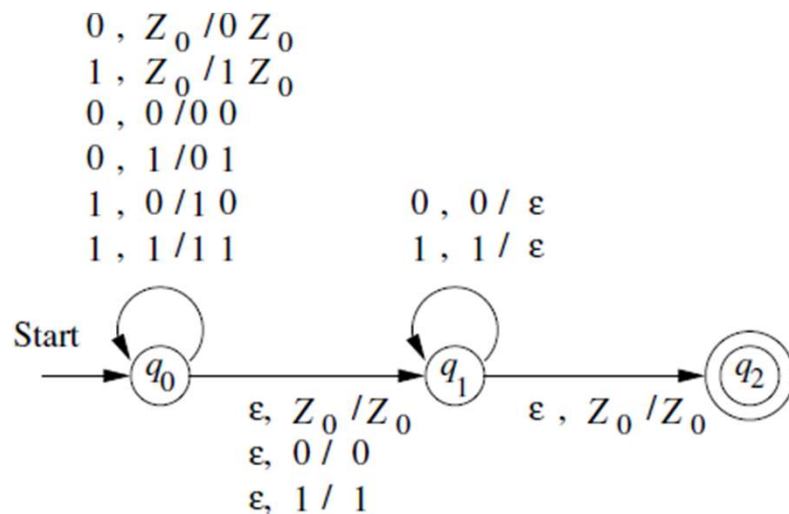
- A sequence of moves (a **computation**):

$(q_0, 1111, Z_0) \vdash (q_0, 111, 1Z_0) \vdash (q_0, 11, 11Z_0) \vdash (q_1, 11, 11Z_0) \vdash (q_1, 1, 1Z_0) \vdash (q_1, \epsilon, Z_0) \vdash (q_2, \epsilon, Z_0)$

- Thus,  $(q_0, 1111, Z_0) \vdash^* (q_2, \epsilon, Z_0)$

# PDA Move - Example

- On input 01 the PDA has the following **computation sequences**:



- All computations end with an ID whose state is NOT a final state or the input string is NOT consumed. Thus, 01 is NOT accepted by this PDA.
  - $(q_1, \epsilon, 10Z_0)$   $q_1$  is NOT a final state, and  $q_1$  does not have any move.
  - $(q_2, 01, Z_0)$  the input (01) is NOT consumed, and  $q_2$  does not have any move.