

**Problem 7.27.** A cut in an undirected graph is a separation of the vertices  $V$  into two disjoint subsets  $S$  and  $T$ . The size of a cut is the number of edges that have one endpoint in  $S$  and the other in  $T$ . Let

$$MAX-CUT = \{\langle G, k \rangle \mid G \text{ has a cut of size } k \text{ or more}\}.$$

Show that  $MAX-CUT$  is NP-complete. You may assume the result of Problem 7.26.

*Proof.* To show that  $MAX-CUT$  is NP-complete, we must show that it is in NP and that all NP-problems are polynomial time reducible to it. The first part is easy; a certificate is simply two disjoint subsets of  $V$  having cut size of  $k$  or more. To prove the second part, we show that  $\neq SAT$  is polynomial time reducible to  $MAX-CUT$ .

The reduction converts a 3cnf-formula  $\phi$  into an undirected graph  $G$  and a number  $k$ , so that  $\phi$  has a satisfying  $\neq$ -assignment, iff  $G$  has a cut of size  $k$  or more. The graph contains gadgets that mimic the variables and clauses of the formula.

The variable gadget for variable  $x$  is a collection of  $3c$  nodes labeled with  $x$  and another  $3c$  node labeled with  $\bar{x}$ , where  $c$  is the number of clauses. All nodes labeled  $x$  are connected with all nodes labeled  $\bar{x}$ . The clause gadget is a triangle of three edges connecting three nodes labeled with the literals appearing in the clause. Do not use the same node in more than one clause gadget. Finally, we choose  $k$  to be the maximum possible cut size of  $l \times (3c)^2 + 2c$  in  $G$ , where  $l$  is the number of variables in  $\phi$ .

Suppose that  $\phi$  has satisfying  $\neq$ -assignment. Such an assignment to the variables of  $\phi$  is one where each clause contains two literals with unequal truth values. In graph  $G$ , the vertices  $V$  can be partitioned into two disjoint subsets  $S$  and  $T$  as follows:

1. If some variable  $x$  is assigned true value, then put  $x$  nodes in  $S$  and  $\bar{x}$  nodes in  $T$ .
2. If some variable  $x$  is assigned false value, then put  $x$  nodes in  $T$  and  $\bar{x}$  nodes in  $S$ .

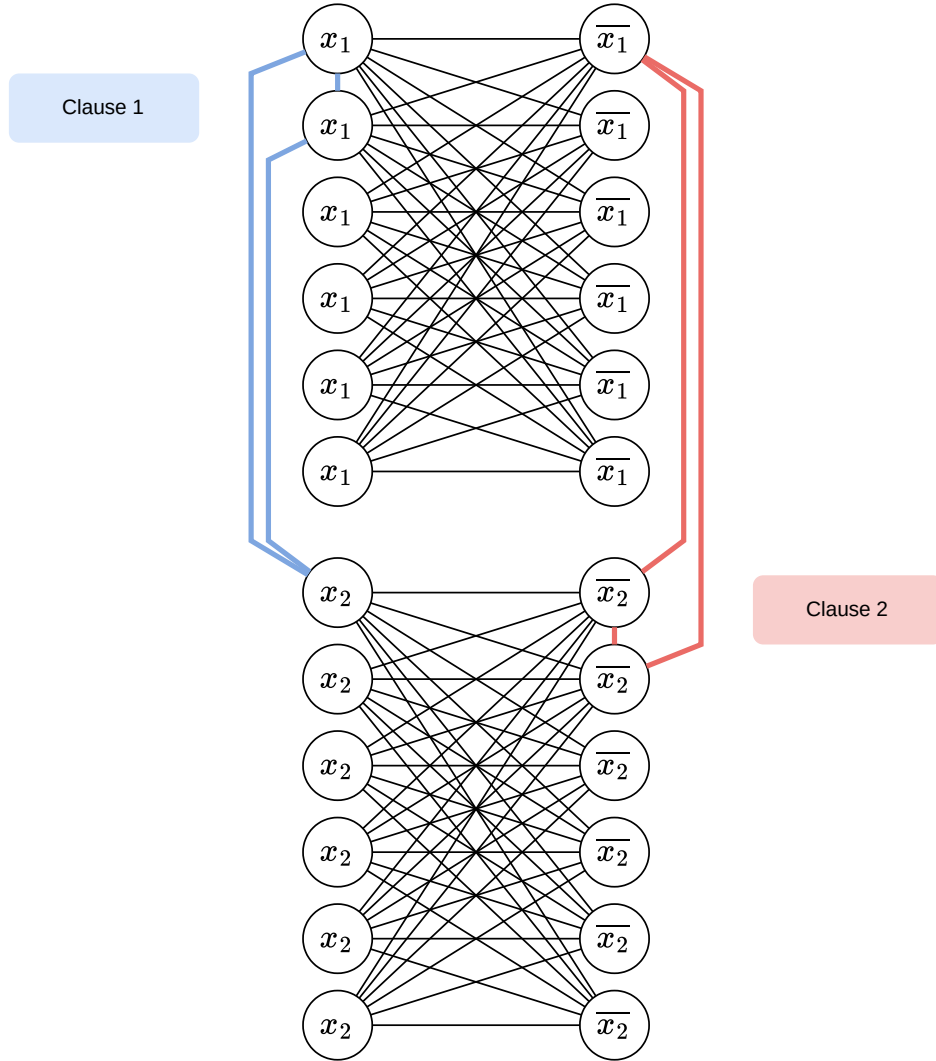
Clearly, in this partition all the  $l \times (3c)^2$  edges in variable gadgets have one endpoint in  $S$  and other in  $T$ . Next, we show that the clause gadgets have  $2c$  edges that have one endpoint in  $S$  and other in  $T$ . Let  $c_i$  be any clause  $(y_1 \vee y_2 \vee y_3)$  in  $\phi$ . Every clause  $c_i$  contains two literals with unequal truth values. These two literals can be:

1. Same variable  $x$  and  $\bar{x}$ .
2. Different variables, where both literals are non-negated  $x$  and  $y$ .
3. Different variables, where one literal is negated  $\bar{x}$  and  $y$ .
4. Different variables, where both literals are negated  $\bar{x}$  and  $\bar{y}$ .

In each case, a clause gadget has two edges that have one endpoint in  $S$  and other in  $T$ . Therefore, the graph  $G$  has a cut size of  $l \times (3c)^2 + 2c$  or more.

Suppose the graph  $G$  has a cut size of  $l \times (3c)^2 + 2c$  or more. Then, the separation of the vertices  $V$  into two disjoint subsets  $S$  and  $T$  gives the  $\neq$ -assignment for  $\phi$ . If a node  $x_i$  is in  $S$ , then the variable  $x_i$  is assigned true, otherwise false.

□



Graph  $G$  for  $\phi = (x_1 \vee x_1 \vee x_2) \wedge (\overline{x_1} \vee \overline{x_2} \vee \overline{x_2})$ .

Maximum cut size is obtained when  $S$  contains all nodes labeled  $x_1$  and  $\overline{x_2}$ , and  $T$  contains nodes  $\overline{x_1}$  and  $x_2$ .