



# Chapter 18: Data Analysis and Mining

**Database System Concepts**

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# Chapter 18: Data Analysis and Mining

- Decision Support Systems
- Data Analysis and OLAP
- Data Warehousing
- Data Mining





# Decision Support Systems

- **Decision-support systems** are used to make business decisions, often based on data collected by on-line transaction-processing systems.
- Examples of business decisions:
  - What items to stock?
  - What insurance premium to change?
  - To whom to send advertisements?
- Examples of data used for making decisions
  - Retail sales transaction details
  - Customer profiles (income, age, gender, etc.)





# Decision-Support Systems: Overview

- **Data analysis** tasks are simplified by specialized tools and SQL extensions
  - Example tasks
    - ▶ For each product category and each region, what were the total sales in the last quarter and how do they compare with the same quarter last year
    - ▶ As above, for each product category and each customer category
- **Statistical analysis** packages (e.g., : S++) can be interfaced with databases
  - Statistical analysis is a large field, but not covered here
- **Data mining** seeks to discover knowledge automatically in the form of statistical rules and patterns from large databases.
- A **data warehouse** archives information gathered from multiple sources, and stores it under a unified schema, at a single site.
  - Important for large businesses that generate data from multiple divisions, possibly at multiple sites
  - Data may also be purchased externally





# Data Analysis and OLAP

## ■ Online Analytical Processing (OLAP)

- Interactive analysis of data, allowing data to be summarized and viewed in different ways in an online fashion (with negligible delay)

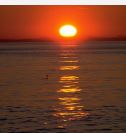
## ■ Data that can be modeled as dimension attributes and measure attributes are called **multidimensional data**.

### ● **Measure attributes**

- ▶ measure some value
- ▶ can be aggregated upon
- ▶ e.g. the attribute *number* of the *sales* relation

### ● **Dimension attributes**

- ▶ define the dimensions on which measure attributes (or aggregates thereof) are viewed
- ▶ e.g. the attributes *item\_name*, *color*, and *size* of the *sales* relation





# Cross Tabulation of sales by *item-name* and *color*

size: 

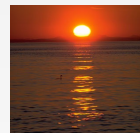
all

item-name

	dark	pastel	white	Total
skirt	8	35	10	53
dress	20	10	5	35
shirt	14	7	28	49
pant	20	2	5	27
Total	62	54	48	164

color

- The table above is an example of a **cross-tabulation** (**cross-tab**), also referred to as a **pivot-table**.
  - Values for one of the dimension attributes form the row headers
  - Values for another dimension attribute form the column headers
  - Other dimension attributes are listed on top
  - Values in individual cells are (aggregates of) the values of the dimension attributes that specify the cell.





# Relational Representation of Cross-tabs

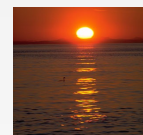
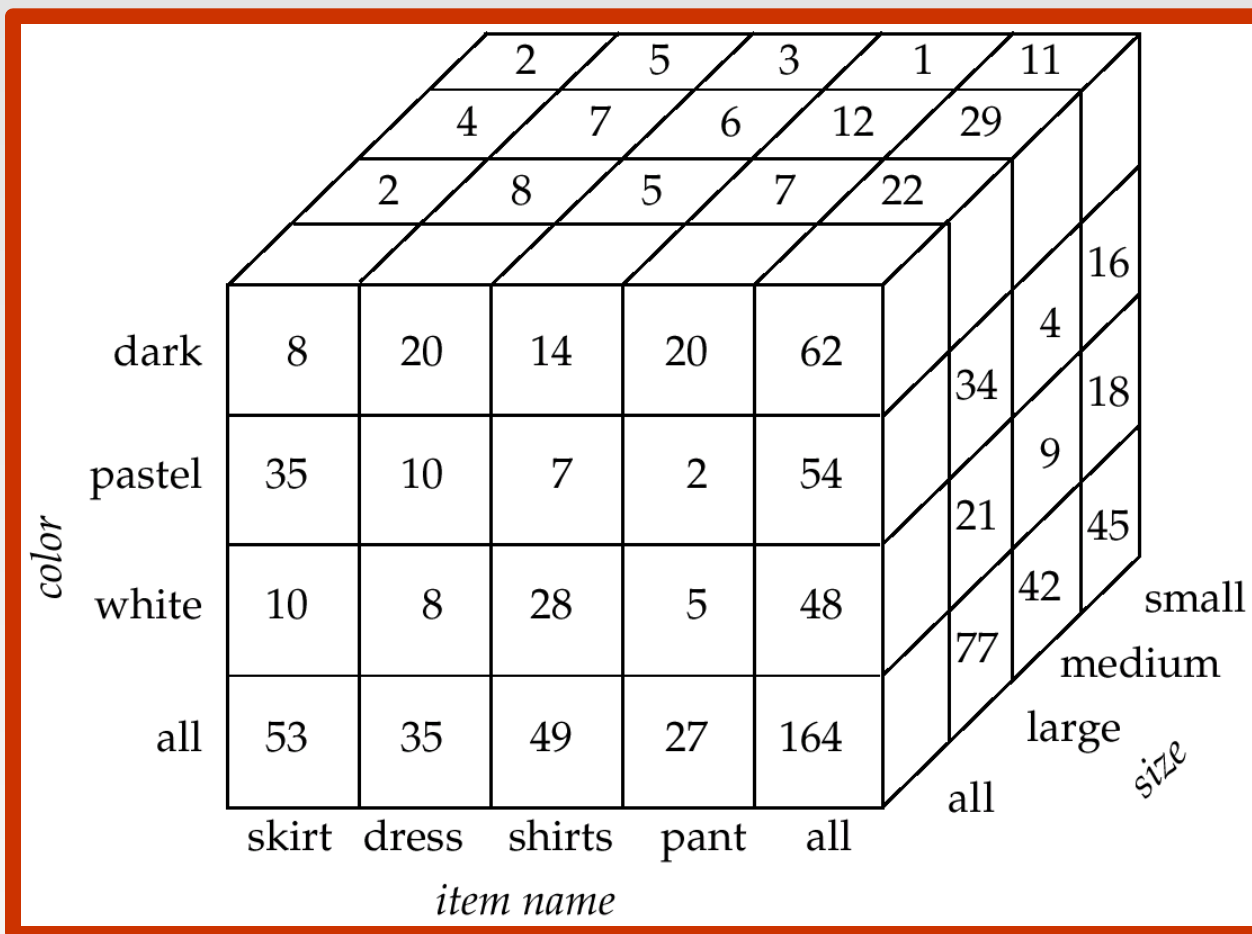
- Cross-tabs can be represented as relations
  - We use the value **all** is used to represent aggregates
  - The SQL:1999 standard actually uses null values in place of **all** despite confusion with regular null values

<i>item-name</i>	<i>color</i>	<i>number</i>
skirt	dark	8
skirt	pastel	35
skirt	white	10
skirt	<b>all</b>	53
dress	dark	20
dress	pastel	10
dress	white	5
dress	<b>all</b>	35
shirt	dark	14
shirt	pastel	7
shirt	white	28
shirt	<b>all</b>	49
pant	dark	20
pant	pastel	2
pant	white	5
pant	<b>all</b>	27
<b>all</b>	dark	62
<b>all</b>	pastel	54
<b>all</b>	white	48
<b>all</b>	<b>all</b>	164



# Data Cube

- A **data cube** is a multidimensional generalization of a cross-tab
- Can have  $n$  dimensions; we show 3 below
- Cross-tabs can be used as views on a data cube







# Online Analytical Processing

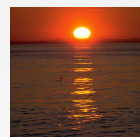
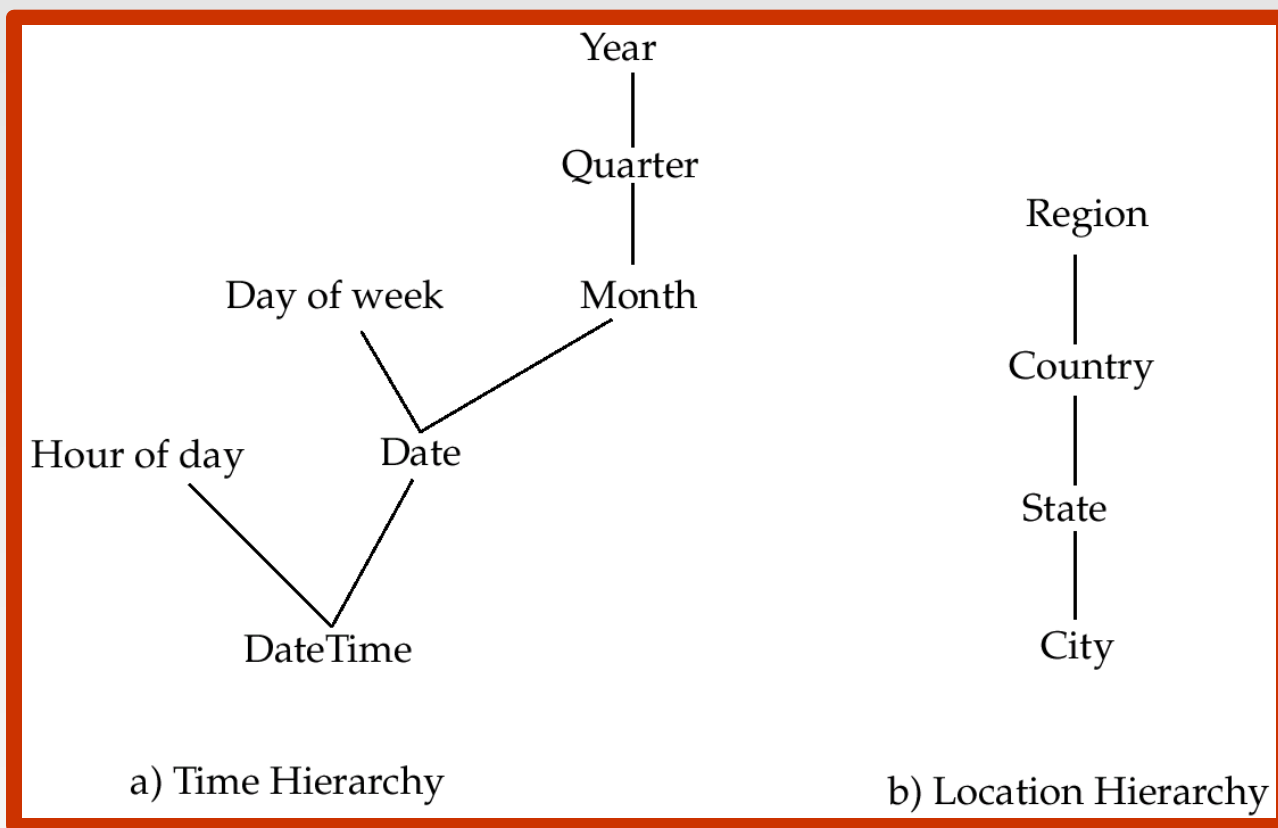
- **Pivoting:** changing the dimensions used in a cross-tab is called
- **Slicing:** creating a cross-tab for fixed values only
  - Sometimes called **dicing**, particularly when values for multiple dimensions are fixed.
- **Rollup:** moving from finer-granularity data to a coarser granularity
- **Drill down:** The opposite operation - that of moving from coarser-granularity data to finer-granularity data





# Hierarchies on Dimensions

- **Hierarchy** on dimension attributes: lets dimensions to be viewed at different levels of detail
  - ★ E.g. the dimension DateTime can be used to aggregate by hour of day, date, day of week, month, quarter or year

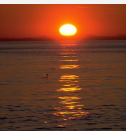




# Cross Tabulation With Hierarchy

- Cross-tabs can be easily extended to deal with hierarchies
  - ★ Can drill down or roll up on a hierarchy

<i>category</i>		<i>item-name</i>				
		dark	pastel	white	total	
womenswear	skirt	8	8	10	53	
	dress	20	20	5	35	
	subtotal	28	28	15		88
menswear	pants	14	14	28	49	
	shirt	20	20	5	27	
	subtotal	34	34	33		76
total		62	62	48		164





# OLAP Implementation

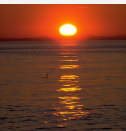
- The earliest OLAP systems used multidimensional arrays in memory to store data cubes, and are referred to as **multidimensional OLAP (MOLAP)** systems.
- OLAP implementations using only relational database features are called **relational OLAP (ROLAP)** systems
- Hybrid systems, which store some summaries in memory and store the base data and other summaries in a relational database, are called **hybrid OLAP (HOLAP)** systems.





# OLAP Implementation (Cont.)

- Early OLAP systems precomputed *all* possible aggregates in order to provide online response
  - Space and time requirements for doing so can be very high
    - ▶  $2^n$  combinations of **group by**
  - It suffices to precompute some aggregates, and compute others on demand from one of the precomputed aggregates
    - ▶ Can compute aggregate on (*item-name*, *color*) from an aggregate on (*item-name*, *color*, *size*)
      - For all but a few “non-decomposable” aggregates such as *median*
      - is cheaper than computing it from scratch
- Several optimizations available for computing multiple aggregates
  - Can compute aggregate on (*item-name*, *color*) from an aggregate on (*item-name*, *color*, *size*)
  - Can compute aggregates on (*item-name*, *color*, *size*), (*item-name*, *color*) and (*item-name*) using a single sorting of the base data





# Extended Aggregation in SQL:1999

- The **cube** operation computes union of **group by**'s on every subset of the specified attributes
- E.g. consider the query

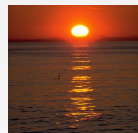
```
select item-name, color, size, sum(number)  
from sales  
group by cube(item-name, color, size)
```

This computes the union of eight different groupings of the *sales* relation:

```
{ (item-name, color, size), (item-name, color),  
  (item-name, size),      (color, size),  
  (item-name),          (color),  
  (size),              ( ) }
```

where ( ) denotes an empty **group by** list.

- For each grouping, the result contains the null value for attributes not present in the grouping.





# Extended Aggregation (Cont.)

- Relational representation of cross-tab that we saw earlier, but with *null* in place of **all**, can be computed by

```
select item-name, color, sum(number)  
from sales  
group by cube(item-name, color)
```

- The function **grouping()** can be applied on an attribute
  - Returns 1 if the value is a null value representing all, and returns 0 in all other cases.

```
select item-name, color, size, sum(number),  
      grouping(item-name) as item-name-flag,  
      grouping(color) as color-flag,  
      grouping(size) as size-flag,  
from sales  
group by cube(item-name, color, size)
```

- Can use the function **decode()** in the **select** clause to replace such nulls by a value such as **all**
  - E.g. replace *item-name* in first query by  
**decode( grouping(*item-name*), 1, 'all', *item-name*)**





# Extended Aggregation (Cont.)

- The **rollup** construct generates union on every prefix of specified list of attributes
- E.g.

```
select item-name, color, size, sum(number)  
from sales  
group by rollup(item-name, color, size)
```

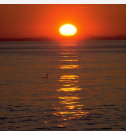
Generates union of four groupings:

{ (*item-name*, *color*, *size*), (*item-name*, *color*), (*item-name*), ( ) }

- Rollup can be used to generate aggregates at multiple levels of a hierarchy.
- E.g., suppose table *itemcategory*(*item-name*, *category*) gives the category of each item. Then

```
select category, item-name, sum(number)  
from sales, itemcategory  
where sales.item-name = itemcategory.item-name  
group by rollup(category, item-name)
```

would give a hierarchical summary by *item-name* and by *category*.





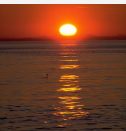


# Extended Aggregation (Cont.)

- Multiple rollups and cubes can be used in a single group by clause
  - Each generates set of group by lists, cross product of sets gives overall set of group by lists
- E.g.,

```
select item-name, color, size, sum(number)  
from sales  
group by rollup(item-name), rollup(color, size)
```

generates the groupings

$$\{item-name, ()\} \times \{(color, size), (color), ()\}$$
$$= \{ (item-name, color, size), (item-name, color), (item-name), (color, size), (color), ( ) \}$$




# Ranking

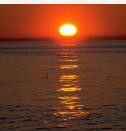
- Ranking is done in conjunction with an order by specification.
- Given a relation student-marks(student-id, marks) find the rank of each student.

```
select student-id, rank( ) over (order by marks desc) as s-rank  
from student-marks
```

- An extra **order by** clause is needed to get them in sorted order

```
select student-id, rank ( ) over (order by marks desc) as s-rank  
from student-marks  
order by s-rank
```

- Ranking may leave gaps: e.g. if 2 students have the same top mark, both have rank 1, and the next rank is 3
  - **dense\_rank** does not leave gaps, so next dense rank would be 2



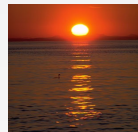


# Ranking (Cont.)

- Ranking can be done within partition of the data.
- “Find the rank of students within each section.”

```
select student-id, section,  
       rank ( ) over (partition by section order by marks desc)  
       as sec-rank  
from student-marks, student-section  
where student-marks.student-id = student-section.student-id  
order by section, sec-rank
```

- Multiple **rank** clauses can occur in a single **select** clause
- Ranking is done *after* applying **group by** clause/aggregation





# Ranking (Cont.)

- Other ranking functions:
  - **percent\_rank** (within partition, if partitioning is done)
  - **cume\_dist** (cumulative distribution)
    - ▶ fraction of tuples with preceding values
  - **row\_number** (non-deterministic in presence of duplicates)
- SQL:1999 permits the user to specify **nulls first** or **nulls last**  
**select** *student-id*,  
          **rank ( ) over (order by** *marks desc nulls last***) as** *s-rank*  
**from** *student-marks*

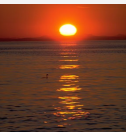




# Ranking (Cont.)

- For a given constant  $n$ , the ranking the function  $ntile(n)$  takes the tuples in each partition in the specified order, and divides them into  $n$  buckets with equal numbers of tuples.
- E.g.:

```
select threetile, sum(salary)  
from (  
    select salary, ntile(3) over (order by salary) as threetile  
    from employee) as s  
group by threetile
```





# Windowing

- Used to smooth out random variations.
- E.g.: **moving average**: “Given sales values for each date, calculate for each date the average of the sales on that day, the previous day, and the next day”
- **Window specification** in SQL:
  - Given relation *sales(date, value)*  
**select date, *sum*(value) over**  
    **(order by date between rows 1 preceding and 1 following)**  
**from sales**
- Examples of other window specifications:
  - **between rows unbounded preceding and current**
  - **rows unbounded preceding**
  - **range between 10 preceding and current row**
    - ▶ All rows with values between current row value –10 to current value
  - **range interval 10 day preceding**
    - ▶ Not including current row

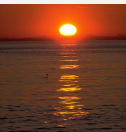




# Windowing (Cont.)

- Can do windowing within partitions
- E.g. Given a relation *transaction* (*account-number*, *date-time*, *value*), where *value* is positive for a deposit and negative for a withdrawal
  - “Find total balance of each account after each transaction on the account”

```
select account-number, date-time,  
       sum (value) over  
         (partition by account-number  
          order by date-time  
          rows unbounded preceding)  
as balance  
from transaction  
order by account-number, date-time
```





# Data Warehousing

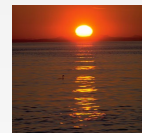
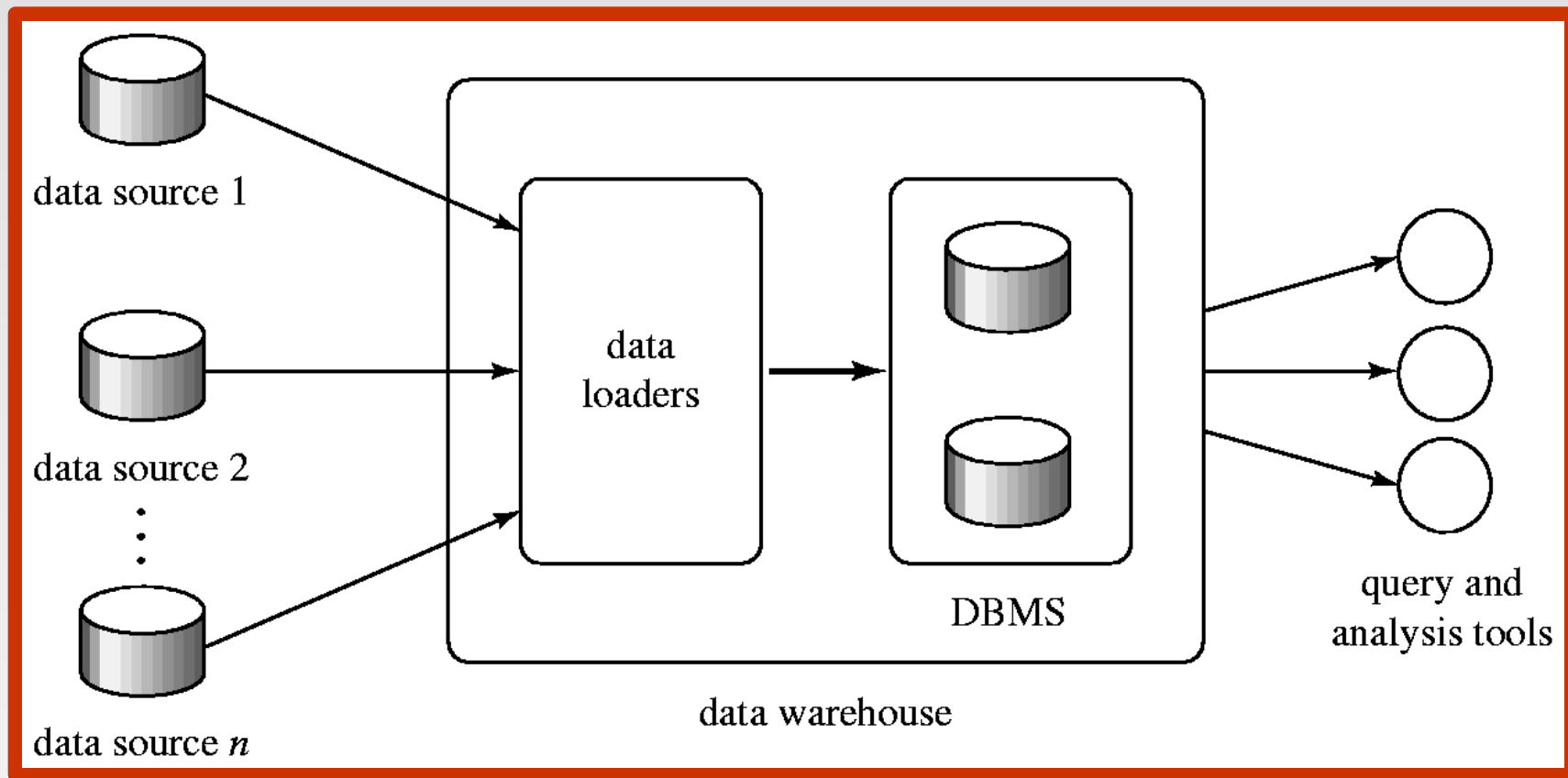
- Data sources often store only current data, not historical data
- Corporate decision making requires a unified view of all organizational data, including historical data
- A **data warehouse** is a repository (archive) of information gathered from multiple sources, stored under a unified schema, at a single site
  - Greatly simplifies querying, permits study of historical trends
  - Shifts decision support query load away from transaction processing systems







# Data Warehousing





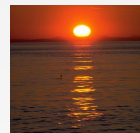
# Design Issues

## ■ *When and how to gather data*

- **Source driven architecture**: data sources transmit new information to warehouse, either continuously or periodically (e.g. at night)
- **Destination driven architecture**: warehouse periodically requests new information from data sources
- Keeping warehouse exactly synchronized with data sources (e.g. using two-phase commit) is too expensive
  - ▶ Usually OK to have slightly out-of-date data at warehouse
  - ▶ Data/updates are periodically downloaded from online transaction processing (OLTP) systems.

## ■ *What schema to use*

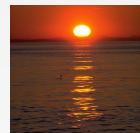
- Schema integration





# More Warehouse Design Issues

- *Data cleansing*
  - E.g. correct mistakes in addresses (misspellings, zip code errors)
  - **Merge** address lists from different sources and **purge** duplicates
- *How to propagate updates*
  - Warehouse schema may be a (materialized) view of schema from data sources
- *What data to summarize*
  - Raw data may be too large to store on-line
  - Aggregate values (totals/subtotals) often suffice
  - Queries on raw data can often be transformed by query optimizer to use aggregate values





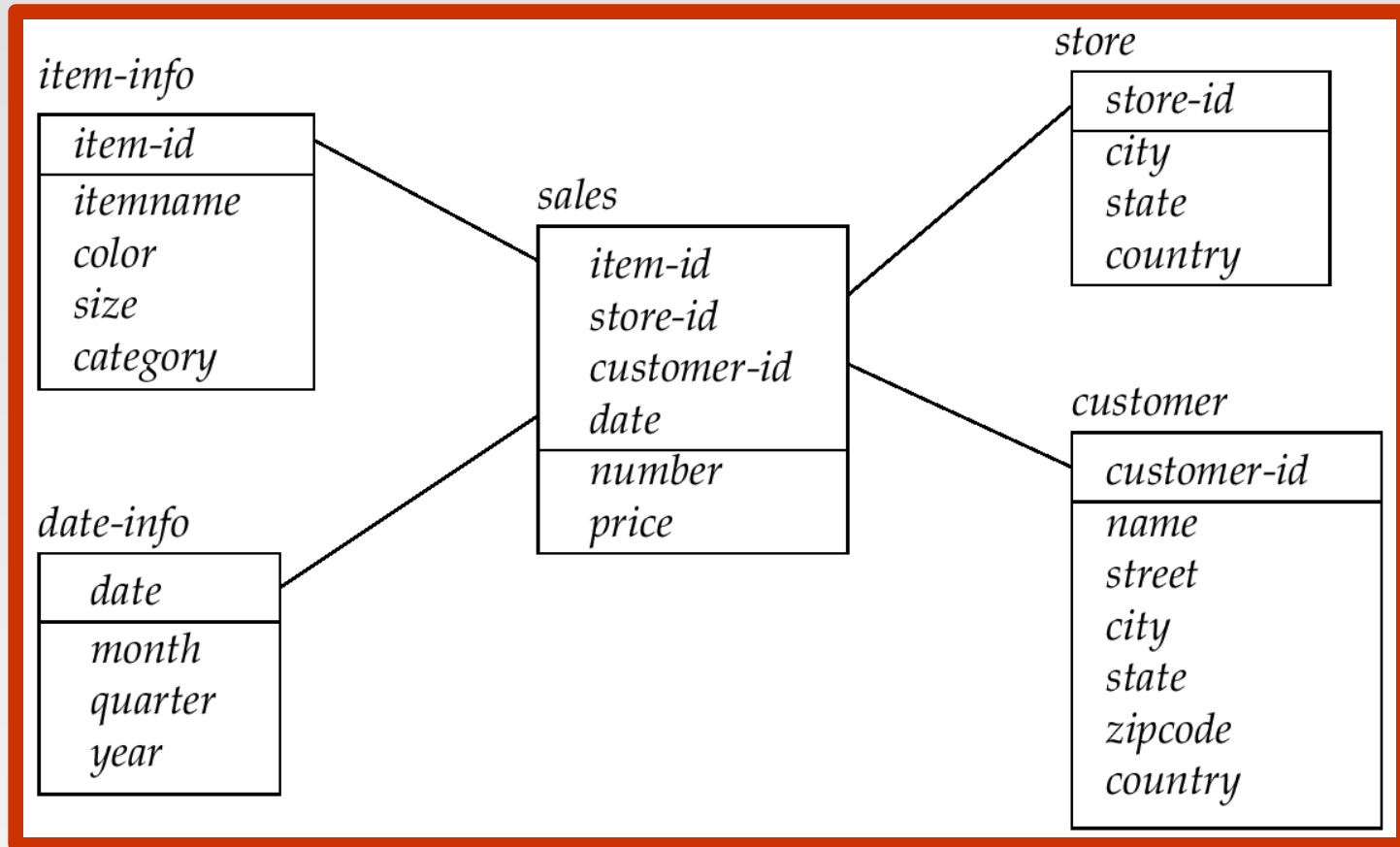
# Warehouse Schemas

- Dimension values are usually encoded using small integers and mapped to full values via dimension tables
- Resultant schema is called a **star schema**
  - More complicated schema structures
    - ▶ **Snowflake schema**: multiple levels of dimension tables
    - ▶ **Constellation**: multiple fact tables





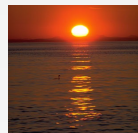
# Data Warehouse Schema





# Data Mining

- Data mining is the process of semi-automatically analyzing large databases to find useful patterns
- **Prediction** based on past history
  - Predict if a credit card applicant poses a good credit risk, based on some attributes (income, job type, age, ..) and past history
  - Predict if a pattern of phone calling card usage is likely to be fraudulent
- Some examples of prediction mechanisms:
  - **Classification**
    - ▶ Given a new item whose class is unknown, predict to which class it belongs
  - **Regression** formulae
    - ▶ Given a set of mappings for an unknown function, predict the function result for a new parameter value





# Data Mining (Cont.)

## ■ Descriptive Patterns

### ● Associations

- ▶ Find books that are often bought by “similar” customers. If a new such customer buys one such book, suggest the others too.

### ● Associations may be used as a first step in detecting **causation**

- ▶ E.g. association between exposure to chemical X and cancer,

### ● Clusters

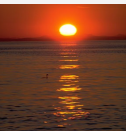
- ▶ E.g. typhoid cases were clustered in an area surrounding a contaminated well
- ▶ Detection of clusters remains important in detecting epidemics





# Classification Rules

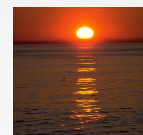
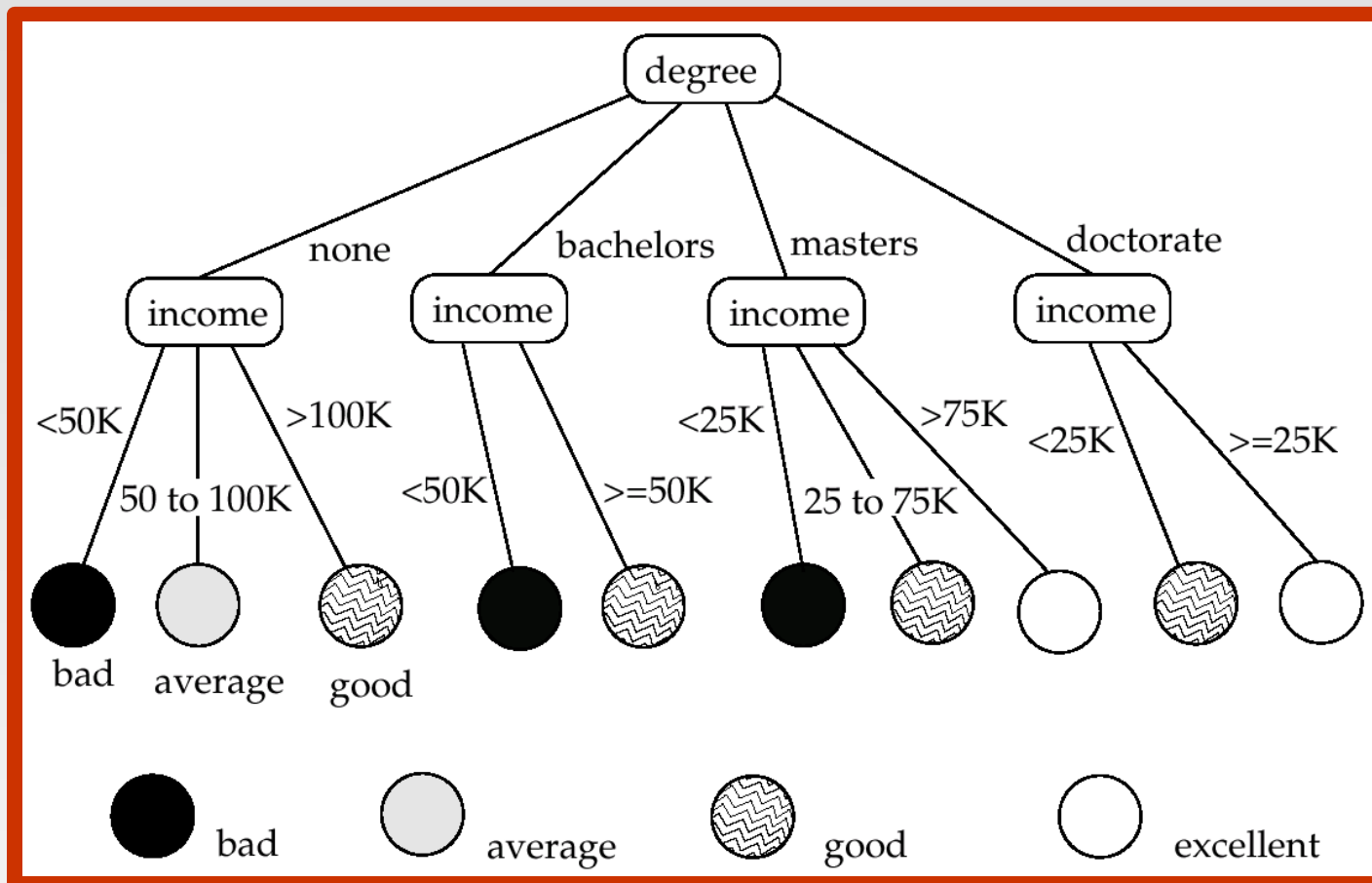
- Classification rules help assign new objects to classes.
  - E.g., given a new automobile insurance applicant, should he or she be classified as low risk, medium risk or high risk?
- Classification rules for above example could use a variety of data, such as educational level, salary, age, etc.
  - $\forall$  person  $P$ ,  $P.\text{degree} = \text{masters}$  **and**  $P.\text{income} > 75,000$   
 $\Rightarrow P.\text{credit} = \text{excellent}$
  - $\forall$  person  $P$ ,  $P.\text{degree} = \text{bachelors}$  **and**  
 $(P.\text{income} \geq 25,000 \text{ and } P.\text{income} \leq 75,000)$   
 $\Rightarrow P.\text{credit} = \text{good}$
- Rules are not necessarily exact: there may be some misclassifications
- Classification rules can be shown compactly as a decision tree.







# Decision Tree





# Construction of Decision Trees

- **Training set:** a data sample in which the classification is already known.
- **Greedy** top down generation of decision trees.
  - Each internal node of the tree partitions the data into groups based on a **partitioning attribute**, and a **partitioning condition** for the node
  - **Leaf** node:
    - ▶ all (or most) of the items at the node belong to the same class, or
    - ▶ all attributes have been considered, and no further partitioning is possible.





# Best Splits

- Pick best attributes and conditions on which to partition
- The purity of a set  $S$  of training instances can be measured quantitatively in several ways.
  - Notation: number of classes =  $k$ , number of instances =  $|S|$ , fraction of instances in class  $i = p_i$ .
- The **Gini** measure of purity is defined as

[

$$\text{Gini}(S) = 1 - \sum_{i=1}^k p_i^2$$

- When all instances are in a single class, the Gini value is 0
- It reaches its maximum (of  $1 - 1/k$ ) if each class the same number of instances.





# Best Splits (Cont.)

- Another measure of purity is the **entropy** measure, which is defined as

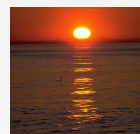
$$\text{entropy}(S) = - \sum_{i=1}^k p_i \log_2 p_i$$

- When a set  $S$  is split into multiple sets  $S_i$ ,  $i=1, 2, \dots, r$ , we can measure the purity of the resultant set of sets as:

$$\text{purity}(S_1, S_2, \dots, S_r) = \sum_{i=1}^r \frac{|S_i|}{|S|} \text{purity}(S_i)$$

- The information gain due to particular split of  $S$  into  $S_i$ ,  $i = 1, 2, \dots, r$

$$\text{Information-gain}(S, \{S_1, S_2, \dots, S_r\}) = \text{purity}(S) - \text{purity}(S_1, S_2, \dots, S_r)$$



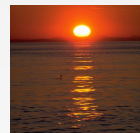


# Best Splits (Cont.)

- Measure of “cost” of a split:

$$\text{Information-content } (S, \{S_1, S_2, \dots, S_r\}) = - \sum_{i=1}^r \frac{|S_i|}{|S|} \log_2 \frac{|S_i|}{|S|}$$

- **Information-gain ratio** =  $\frac{\text{Information-gain } (S, \{S_1, S_2, \dots, S_r\})}{\text{Information-content } (S, \{S_1, S_2, \dots, S_r\})}$
- The best split is the one that gives the maximum information gain ratio





# Finding Best Splits

- Categorical attributes (with no meaningful order):
  - Multi-way split, one child for each value
  - Binary split: try all possible breakup of values into two sets, and pick the best
- Continuous-valued attributes (can be sorted in a meaningful order)
  - Binary split:
    - ▶ Sort values, try each as a split point
      - E.g. if values are 1, 10, 15, 25, split at  $\leq 1$ ,  $\leq 10$ ,  $\leq 15$
    - ▶ Pick the value that gives best split
  - Multi-way split:
    - ▶ A series of binary splits on the same attribute has roughly equivalent effect





# Decision-Tree Construction Algorithm

**Procedure** *GrowTree* ( $S$ )

    Partition ( $S$ );

**Procedure** Partition ( $S$ )

**if** ( *purity* ( $S$ )  $> \delta_p$  or  $|S| < \delta_s$  ) **then**

**return**;

**for each** attribute  $A$

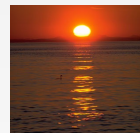
        evaluate splits on attribute  $A$ ;

    Use best split found (across all attributes) to partition

$S$  into  $S_1, S_2, \dots, S_r$ ,

**for**  $i = 1, 2, \dots, r$

        Partition ( $S_i$ );





# Other Types of Classifiers

- Neural net classifiers are studied in artificial intelligence and are not covered here
- Bayesian classifiers use **Bayes theorem**, which says

$$p(c_j | d) = \frac{p(d | c_j) p(c_j)}{p(d)}$$

where

$p(c_j | d)$  = probability of instance  $d$  being in class  $c_j$ ,

$p(d | c_j)$  = probability of generating instance  $d$  given class  $c_j$ ,

$p(c_j)$  = probability of occurrence of class  $c_j$ , and

$p(d)$  = probability of instance  $d$  occurring





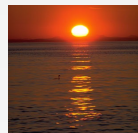


# Naïve Bayesian Classifiers

- Bayesian classifiers require
  - computation of  $p(d | c_j)$
  - precomputation of  $p(c_j)$
  - $p(d)$  can be ignored since it is the same for all classes
- To simplify the task, **naïve Bayesian classifiers** assume attributes have independent distributions, and thereby estimate

$$p(d | c_j) = p(d_1 | c_j) * p(d_2 | c_j) * \dots * (p(d_n | c_j)$$

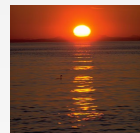
- Each of the  $p(d_i | c_j)$  can be estimated from a histogram on  $d_i$  values for each class  $c_j$ 
  - ▶ the histogram is computed from the training instances
- Histograms on multiple attributes are more expensive to compute and store





# Regression

- Regression deals with the prediction of a value, rather than a class.
  - Given values for a set of variables,  $X_1, X_2, \dots, X_n$ , we wish to predict the value of a variable  $Y$ .
- One way is to infer coefficients  $a_0, a_1, a_1, \dots, a_n$  such that
$$Y = a_0 + a_1 * X_1 + a_2 * X_2 + \dots + a_n * X_n$$
- Finding such a linear polynomial is called **linear regression**.
  - In general, the process of finding a curve that fits the data is also called **curve fitting**.
- The fit may only be approximate
  - because of noise in the data, or
  - because the relationship is not exactly a polynomial
- Regression aims to find coefficients that give the best possible fit.





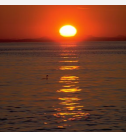
# Association Rules

- Retail shops are often interested in associations between different items that people buy.
  - Someone who buys bread is quite likely also to buy milk
  - A person who bought the book *Database System Concepts* is quite likely also to buy the book *Operating System Concepts*.
- Associations information can be used in several ways.
  - E.g. when a customer buys a particular book, an online shop may suggest associated books.

- **Association rules:**

*bread*  $\Rightarrow$  *milk*      *DB-Concepts, OS-Concepts*  $\Rightarrow$  *Networks*

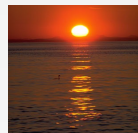
- Left hand side: **antecedent**,    right hand side: **consequent**
- An association rule must have an associated **population**; the population consists of a set of **instances**
  - ▶ E.g. each transaction (sale) at a shop is an instance, and the set of all transactions is the population





# Association Rules (Cont.)

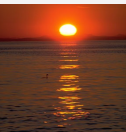
- Rules have an associated support, as well as an associated confidence.
- **Support** is a measure of what fraction of the population satisfies both the antecedent and the consequent of the rule.
  - E.g. suppose only 0.001 percent of all purchases include milk and screwdrivers. The support for the rule is  $milk \Rightarrow screwdrivers$  is low.
- **Confidence** is a measure of how often the consequent is true when the antecedent is true.
  - E.g. the rule  $bread \Rightarrow milk$  has a confidence of 80 percent if 80 percent of the purchases that include bread also include milk.





# Finding Association Rules

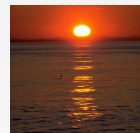
- We are generally only interested in association rules with reasonably high support (e.g. support of 2% or greater)
- Naïve algorithm
  1. Consider all possible sets of relevant items.
  2. For each set find its support (i.e. count how many transactions purchase all items in the set).
    - ★ **Large itemsets**: sets with sufficiently high support
  3. Use large itemsets to generate association rules.
    1. From itemset  $A$  generate the rule  $A - \{b\} \Rightarrow b$  for each  $b \in A$ .
      - ✓ Support of rule = support ( $A$ ).
      - ✓ Confidence of rule = support ( $A$ ) / support ( $A - \{b\}$ )





# Finding Support

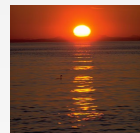
- Determine support of itemsets via a single pass on set of transactions
  - Large itemsets: sets with a high count at the end of the pass
- If memory not enough to hold all counts for all itemsets use multiple passes, considering only some itemsets in each pass.
- Optimization: Once an itemset is eliminated because its count (support) is too small none of its supersets needs to be considered.
- The **a priori** technique to find large itemsets:
  - Pass 1: count support of all sets with just 1 item. Eliminate those items with low support
  - Pass  $i$ : **candidates**: every set of  $i$  items such that all its  $i-1$  item subsets are large
    - ▶ Count support of all candidates
    - ▶ Stop if there are no candidates





# Other Types of Associations

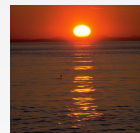
- Basic association rules have several limitations
- Deviations from the expected probability are more interesting
  - E.g. if many people purchase bread, and many people purchase cereal, quite a few would be expected to purchase both
  - We are interested in **positive** as well as **negative correlations** between sets of items
    - ▶ Positive correlation: co-occurrence is higher than predicted
    - ▶ Negative correlation: co-occurrence is lower than predicted
- Sequence associations / correlations
  - E.g. whenever bonds go up, stock prices go down in 2 days
- Deviations from temporal patterns
  - E.g. deviation from a steady growth
  - E.g. sales of winter wear go down in summer
    - ▶ Not surprising, part of a known pattern.
    - ▶ Look for deviation from value predicted using past patterns





# Clustering

- Clustering: Intuitively, finding clusters of points in the given data such that similar points lie in the same cluster
- Can be formalized using distance metrics in several ways
  - Group points into  $k$  sets (for a given  $k$ ) such that the average distance of points from the centroid of their assigned group is minimized
    - ▶ Centroid: point defined by taking average of coordinates in each dimension.
  - Another metric: minimize average distance between every pair of points in a cluster
- Has been studied extensively in statistics, but on small data sets
  - Data mining systems aim at clustering techniques that can handle very large data sets
  - E.g. the Birch clustering algorithm (more shortly)

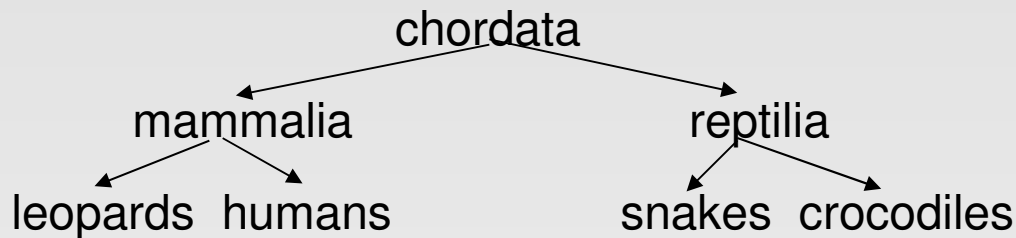




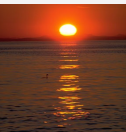


# Hierarchical Clustering

- Example from biological classification
  - (the word classification here does not mean a prediction mechanism)



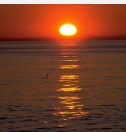
- Other examples: Internet directory systems (e.g. Yahoo, more on this later)
- Agglomerative clustering algorithms
  - Build small clusters, then cluster small clusters into bigger clusters, and so on
- Divisive clustering algorithms
  - Start with all items in a single cluster, repeatedly refine (break) clusters into smaller ones





# Clustering Algorithms

- Clustering algorithms have been designed to handle very large datasets
- E.g. the **Birch algorithm**
  - Main idea: use an in-memory R-tree to store points that are being clustered
  - Insert points one at a time into the R-tree, merging a new point with an existing cluster if it is less than some  $\delta$  distance away
  - If there are more leaf nodes than fit in memory, merge existing clusters that are close to each other
  - At the end of first pass we get a large number of clusters at the leaves of the R-tree
    - ▶ Merge clusters to reduce the number of clusters





# Collaborative Filtering

- Goal: predict what movies/books/... a person may be interested in, on the basis of
  - Past preferences of the person
  - Other people with similar past preferences
  - The preferences of such people for a new movie/book/...
- One approach based on repeated clustering
  - Cluster people on the basis of preferences for movies
  - Then cluster movies on the basis of being liked by the same clusters of people
  - Again cluster people based on their preferences for (the newly created clusters of) movies
  - Repeat above till equilibrium
- Above problem is an instance of **collaborative filtering**, where users collaborate in the task of filtering information to find information of interest





# Other Types of Mining

- **Text mining**: application of data mining to textual documents
  - cluster Web pages to find related pages
  - cluster pages a user has visited to organize their visit history
  - classify Web pages automatically into a Web directory
- **Data visualization** systems help users examine large volumes of data and detect patterns visually
  - Can visually encode large amounts of information on a single screen
  - Humans are very good at detecting visual patterns

