Linking leaf spectra to the plant tree of life

José Eduardo Meireles, Jeannine Cavender-Bares, and Brian O'Meara

3 Abstract

Evolutionary trees recount the history of how biological diversity came to be; giving rise to the incredible variation in plant form and function captured by leaf spectra. Understanding leaf spectra in light of evolution is thus critical for assessing biodiversity and explaining how spectral diversity is generated. Here, we review what evolutionary trees (phylogenies) are and how to interpret them. We then describe how to model the evolution of quantitative traits, discuss which evolutionary processes are involved, and familiarize ourselves with specific concepts and metrics, such as phylogenetic signal and evolutionary rates. Next, we describe a framework that links phylogenies and leaf spectra by coupling models of evolution and radiative transfer models. We then discuss how spectra can help us understand leaf evolution and be used to detect biodiversity. Finally, we review some of the challenges of subjecting spectra to evolutionary analyses.

5 1 Introduction

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- Evolution is the engine behind the diversity in leaf structure and chemistry that is captured in their spectral profiles and, therefore, leaf spectra are inexorably linked to the tree of life. Our ability to distinguish species using spectra is a consequence of trait differences that arise and accumulate over evolutionary time. By the same token, the amount of variation that exists in certain spectral regions is determined by leaf attributes that are ultimately shaped by the interplay between different evolutionary processes. Even the degree to which an individual can respond to environmental change is rooted in evolution.
- There is an increasing interest in understanding leaf spectra through the lens of evolution

- 24 and in the context of phylogenetic history. Advances on this front will require, however, a
- 25 good understanding of how evolutionary biologists leverage the tree of life to make inferences
- 26 about evolution.

⁷ 2 Evolutionary trees

28 2.1 His Dudeness, Duder, El Duderino

We refer to phylogenies in many different ways because you will run into the different names in the literature. The terms *phylogeny*, *phylogenetic tree*, *evolutionary tree* can be used interchangeably, though phylogeny or phylogenetic tree sound a little more formal. We also use the term *tree of life* to refer to **The** tree of life (the evolutionary tree for all of life), the phylogeny of a really large group of organisms (e.g. plant or the vertebrate tree of life), or sometimes to phylogeny in an abstract sense. Conversely, saying "the maple tree of life" or the tree of life for cedar creek plants will sound silly.

³⁶ 2.2 What are phylogenies and how to read them?

The idea that species descend from a common ancestor is at the very core of the theory of
evolution. Evolutionary trees — or phylogenies — represent that branching structure of life,
and describe how species are related to each other just like a genealogical tree recounts how
people are related. Phylogenetic trees are composed of nodes, representing current organisms
(tips) and their common ancestors (internal nodes), that are linked by branches that represent
their relationships (Figure 1). Lineages — or clades — are defined as a common ancestor
and all of its descendants (Figure 1a) and lineages that branch off from the same common
ancestor are referred to as sisters. Relatedness among organisms is encoded in the phylogeny's
structure — it's topology, which defines a series of lineages that are of hierarchically nested.
The branch lengths can also convey information, such as the time since divergence, amount of
molecular similarity, or number of generations (Figure 1a-b). Usually, the distance between

tip nodes and the order in which they are drawn has no meaning, but it can sometimes be used to display information about the trait values of a species (Figure 1c). Because no one has been taking notes of how lineages split over the last 4 Billion years, phylogenetic trees must be estimated by analyzing current species data, generally DNA sequences, using models of evolution. Therefore, phylogenies are statistical inferences that have uncertainty about their structure and their branch lengths (Figure 1a,d).

⁴ 2.3 Why care about phylogenetic accuracy?

Phylogenetic inference depends on the accuracy of the reconstructed relationships between organisms. A phylogeny in which dandelions are more closely related to ferns than to roses tells us a very different story about the evolution of flowers than does a phylogeny in which all flowering plants belong to a single lineage. In other words, the accuracy of the estimated tree topology — the structure of the relationships between species — matters to how we understand evolution. Accurately inferring divergence times among species and lineages is also critical for making meaningful inferences about evolution. That is because, as we will see in the next section, our estimates about the pace of evolution of traits depends on the amount of change that occurs over a unit of time. There are today several resources to help you generate a good phylogenetic tree for a set of species.

A common approach is to trim the whole plant tree of life – taken from the Open Tree of
Life (Hinchliff et al. 2015) or Phylomatic (Webb and Donoghue 2005), for example – to the
set of species of interest. A second option is to reconstruct the phylogeny from scratch using
DNA sequences and then time calibrating the tree using fossil information and molecular
clock models. Tree reconstruction is a complex subject, making this is a tricky and laborious
endeavor, but there are many tools that can help you through the process (Pearse and Purvis
2013). Either way, cobbling a phylogenetic tree by sticking branches together by hand is
unlikely to be the best route to take if you want to analyze your carefully-measured spectra.

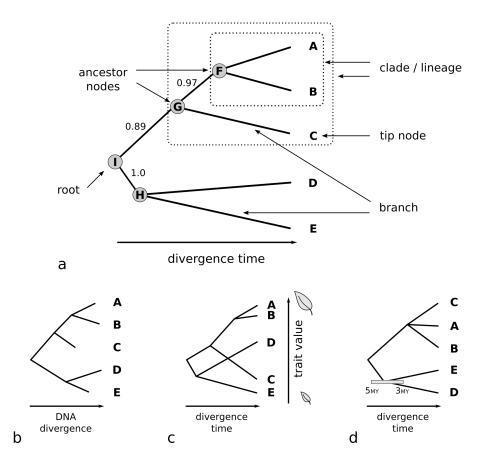


Figure 1: Phylogenetic trees depict the inferred evolutionary relationships between species. a. Clades (or lineages) are defined by a common ancestor and all of its descendants. All nodes – tips and ancestors alike – share a common ancestor at some point in time. The ancestral node from which all tree descends from is called the root. Confidence on the evolutionary relationships are show above internal branches. b. Branch lengths (here shown along the x-axis) may represent divergence times, number of generations or amount of molecular divergence (b). c. In some cases the y-axis is used to display information about a quantitative trait – such as leaf size – in a tree known as a phenogram or a traitigram. d. Unresolved relationships can be represented as three or more descendants stemming from the same ancestor, which is known as a polytomy. Uncertainty in divergence times are generally depicted with error bars at the internal nodes.

Finally, as seen in the previous section, phylogenies are estimates and systematists have means of assessing uncertainty in their topology and their branch lengths, which are together referred to as phylogenetic uncertainty. For example, the divergence between two lineages may have a mean of 20 million years and a confidence interval or 95% highest posterior density of 18 to 22 million years. That uncertainty can (and should) be carried over to downstream statistical analyses.

₇₉ 3 The evolution of quantitative traits

The study of evolution is fundamentally concerned with describing how organisms change through time and with understanding the processes driving change. Evolutionary change can be thought about at different taxonomic levels and temporal scales though, and the evolutionary processes under consideration are determined by our choice of scale. Because we are interested in understanding spectra in light of phylogenies, we will not discuss microevolutionary processes that occur at the population level such as genetic drift and natural selection. Instead, we will focus on describing how traits change across entire lineages over long timescales (usually millions of years), which is called macroevolution.

3.1 Models of trait evolution at macroevolutionary scales: Brownian motion and Ornstein-Uhlenbeck

Macroevolutionary models of trait evolution ultimately describe the long—term consequences
of short timescale evolution. At any point in time, a trait value can increase or decrease by a
different amount due to mechanisms like selection, drift, and migration. For example, the
reflectance of a spectral region may decrease due to selection for higher levels of a particular
pigment; then increase due to a random change in leaf hair density, etc. Many such changes
will occur over evolutionary time in each lineage. The pace at which those changes accumulate
is at the core of what we call the rate of evolution. That rate can be different for different

traits or between different lineages. Importantly, at a speciation event, the two descendant lineages start out with the same trait value and then diverge (O'Meara et al. 2006).

Most models for evolution of quantitative traits leverage an idea from statistics known as the Central Limit Theorem, which states that the sum of many random changes leads to a 100 normal distribution. Because trait evolution at macroevolutionary scales integrate over many 101 random changes in trait values (due to varied processes), it may be described by a normal 102 distribution. This model of evolution is known as Brownian motion (Figure 2a, O'Meara et 103 al. (2006)). In this model, the expected amount of variation in a trait depends on both the 104 instantaneous rate of evolution and on the divergence time between species. This leads to a 105 really important expectation; that trait values should be more similar among closely related 106 taxa – which had little time to diverge – than among distantly related taxa. 107

With Brownian motion, an increase or decrease in a trait is equally likely, regardless of what 108 the current value of a trait is. However, it could be more realistic to think of a trait being 100 pulled towards some optimum (or, similarly but not quite the same, away from extreme 110 values). This pull could be due to many processes: it is often considered to be pull towards 111 some evolutionary optimum, but it could instead be a bias in mutation towards some value, 112 repulsion from extremes, or other factors that lead to a pattern that grossly resembles a pull 113 towards an optimum. The placement of the optimum, the strength of the pull, as well as 114 the basic underlying rate of evolution are all parameters of this model, which is known as 115 an Ornstein-Uhlenbeck process (Butler and King 2004). The degree of the pull towards the 116 optimum is analogous to the strength of a rubber band linking the evolving trait on one end 117 and the optimum trait value on the other end. A weak rubber band will provide enough slack 118 for the trait to wiggle around the optimum (Figure 2b) whereas a strong rubber band will 119 keep the evolving trait close to the optimum (Figure 2c). The strength of rubber band the 120 also affects how quickly the trait is pulled towards is optimum (Figure 2b-c). The time a 121 trait is expected to take to get halfway to the optimum is called the phylogenetic half-life, 122

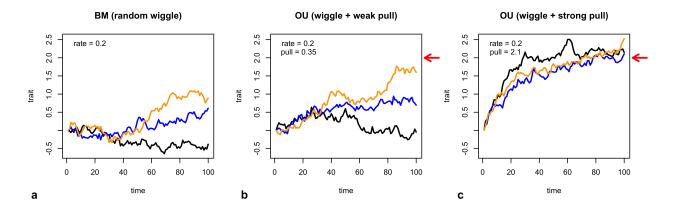


Figure 2: Three independent realizations of the Brownian motion (BM) and Ornstein-Uhlenbeck (OU) processes. a. In a BM model, trait values are equally likely to increase or decrease at each timestep.** b-c.** In contrast, traits in an OU model are more likely to move towards an optimum (represented by the red arrows). b. When the evolutionary pull is weak, traits move slowly towards their optimum. c. When the pull is strong, however, traits converge quickly to their optimum.

and is an alternative way to think about the strength of the evolutionary pull.

Although traits vary within a species, populations and even within an individual. That variation may result from plastic responses to environmental conditions or have a genetic basis. Until recently, phylogenetic comparative methods ignored intraspecific variation and used species means instead. However, Ives, Midford, and Garland (2007) and Felsenstein (2008) devised methods to account for within species variation, which typically enters the model as the standard-errors about the mean trait value of each species.

3.2 What does phylogenetic signal mean?

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Phylogenetic signal can be thought as the degree to which of closely related species tend to resemble each other. Two different metrics have been widely used to assess phylogenetic signal:
Pagel's lambda (Pagel 1999) and Blomberg's K (Blomberg, Garland, and Ives 2003). Lambda is a scalar for the correlation between the phylogenetic similarity matrix and the trait matrix.

It has the effect of shrinking the internal branches (as opposed to the terminal branches that lead to the tips) of a phylogeny, thereby reducing the expected species correlation

due to shared evolutionary history (Figure 3a-d). A lambda value of 0 indicates that trait correlations between species are independent from evolutionary history whereas a lambda of 1 suggests that trait correlations are equal to the species correlation imposed by their 139 shared evolutionary history assuming a Brownian motion model of evolution. Blomberg's K 140 measures the degree to which trait variance lies within clades versus among clades. Brownian 141 motion is used as an expectation. K values greater than 1 indicate that that there is more 142 variance among clades when expected by Brownian motion (Figure 3e) while K values smaller 143 than 1 imply that more variance is found within clades than what is expected under a BM 144 model (Figure 3f). 145

It is important to note that both Pagel's Lambda and Blomberg's K are tree wide metrics,
meaning that they do not explicitly account for the heterogeneity among lineages. For
example, an estimate of low phylogenetic signal in fruit shape across all flowering plants
does not mean that fruits are not phylogenetically conserved within the oaks. Therefore,
assessments of phylogenetic signal should be seen as indicators that are contingent on the
scale of analysis and the particular species sampled instead of as general, hard truths.

It is also fundamental to realize that every time you calculate the phylogenetic signal of a trait, you are fitting an evolutionary model and buying into its assumptions. For example, most procedures to estimate phylogenetic signal using Blomberg's K are based on a single rate Brownian motion model, and you are implicitly accepting the Brownian assumptions even if you do not know about it.

From the evolution of traits to spectra and back

Chemical and structural leaf attributes that underlie plant spectra evolve through time.

Because leaf spectra integrate over these evolved leaf attributes, they can themselves carry

information about phylogenetic relationships and about leaf evolution. If that is the case,

how would one go about analyzing spectra in a phylogenetic context?

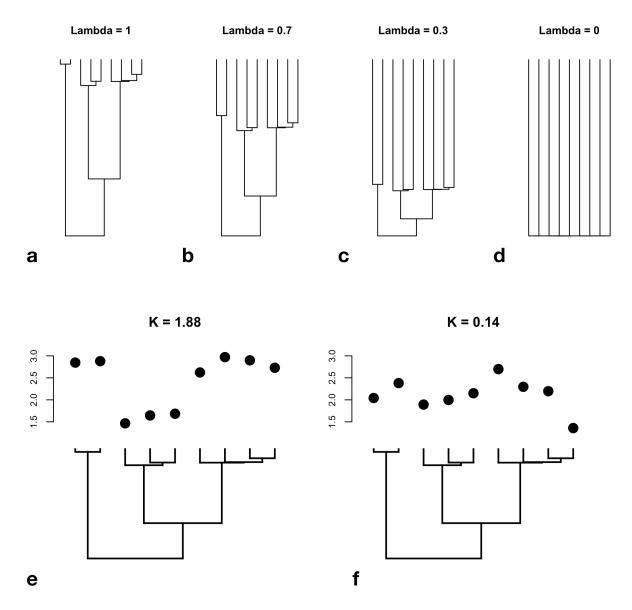


Figure 3: **This is how phylogenetic signal is inferred.** a–d Pagel's lambda is equivalent to scaling the internal branches of the phylogeny, which reduces the expected covariance between species due to evolutionary history. e–f Blomberg's K measures phylogenetic signal by estimating the degree of variation between and within clades. e. K value is high when most trait variation is found between clades instead of within them f. Conversely, K values are low when trait variation is mostly within clades.

One approach is to subject the spectra directly to an evolutionary analysis, essentially assuming the spectrum to be a set of "traits". For example, McManus et al. (2016) estimated 163 Pagel's lambda on spectra from Amazonian plants, assuming each band to be an independent 164 trait. Cavender-Bares et al. (2016) used PCA do reduce the dimensionality of the spectral 165 data before estimating phylogenetic signal on the resulting principal component axes using 166 Blomberg's K. As insightful as this approach might be, the fact is that it is devoid of 167 mechanism and does not allow us to verify that our inferences are biologically meaningful. 168 Another approach integrates phylogenies and leaf spectra (Meireles et al. in review, Figure 4) 169 by coupling the models of trait evolution described in the previous section with leaf radiative 170 transfer models (Figure 4) that predict spectral profiles from a small set of leaf attributes 171 (see the RTM Chapter). This framework explicitly posits that chemical and structural 172 leaf attributes evolve and therefore, that the spectra that integrates over those traits carry 173 the signature of evolution. This approach describes that ancestral leaf traits have evolved 174 over time along a phylogenetic tree, which is generally known. Traits can evolve according to 175 different models of evolution, different rates, etc., and ultimately resulting the trait values we 176 observe at the species or individual levels. Finally, those leaf traits underlie the spectra we 177 measure. This framework can be used in several ways. For example, we can simulate what 178 leaf spectra would look like given a certain evolutionary model and phylogenetic tree (section 179 4.1). Alternatively, given a phylogeny and a spectral dataset, we can infer what ancestral 180 spectra or ancestral traits were like if we assume a certain model of evolution. Finally, given a 181 spectrum from an unknown plant we could estimate how it is related to other plants (section 182 4.3). 183

⁸⁴ 4.1 Simulating leaf spectra under different evolutionary regimes

A model that describes the evolution of leaf spectra mediated by the evolution of leaf traits enables us to simulate spectral data in a phylogenetically explicit way. This allows us to forecast how different evolutionary scenarios would affect the shape and diversity of spectral

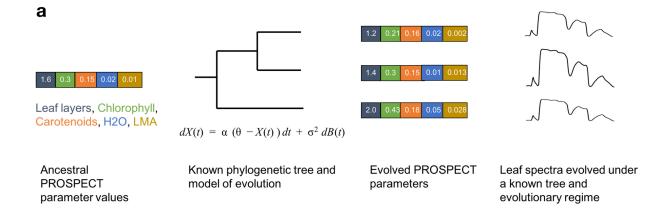


Figure 4: Framework integrating trait evolution and leaf spectral models that enables the estimation of evolutionary parameters from spectra and simulation of leaf spectra along a phylogeny. Ancestral leaf attributes evolve along a phylogenetic tree under a given evolutionary regime, generating the current leaf attributes that underlie spectra. From the evolved leaf attributes, Radiative Transfer Models (RTMs) — such as PROSPECT — estimate spectra that carry the signature of the phylogeny.

profiles we observe. For example, (Figure 5) shows how the different scenarios for the
evolution of leaf structure — the number of layers parameter (N) in PROSPECT5 — result
in different amounts of trait variability. A fast Brownian rate (top left, Figure 5) results in
higher trait variation than a slow Brownian rate (top center, Figure 5). Evolution under an
Ornstein-Uhlenbeck model — which describes an evolutionary attraction towards the trait
optimum — also results in less variation than the fast Brownian model even though their
rates of evolution are the same. The trait values shaped by evolution have a noticeable effect
on the spectral profiles of those lineages.

4.2 Making evolutionary inferences from leaf spectra

Integrating spectra and phylogenies raises the exciting prospect of leveraging spectra to estimate aspects of the evolutionary process and test hypotheses. Some questions may be about evolutionary patterns in the spectra themselves. Those include investigations about phylogenetic signal or rates of evolution across the spectrum and there are a handful of studies that take this approach (Cavender-Bares et al. 2016, McManus et al. (2016)). For

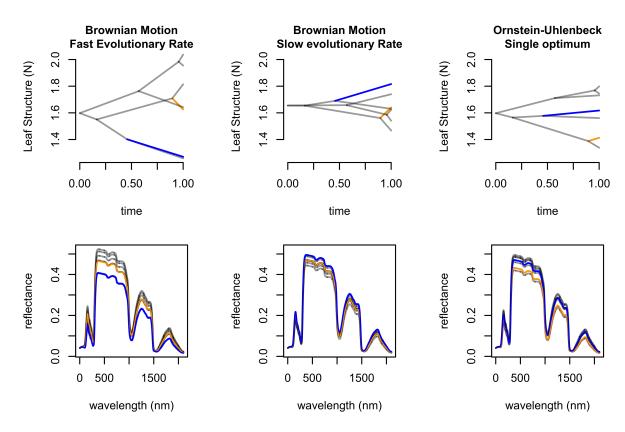


Figure 5: Evolution of leaf structure under different evolutionary scenarios and their consequences to leaf spectra. Top row depicts evolution according to an unbounded Brownian Motion model at two different rates and according to an Ornstein-Uhlenbeck process that models an evolutionary pull towards an optimum leaf structure value. The bottom row shows spectra estimated with the PROSPECT 5 model, where all leaf attributes evolved under the same model except for leaf structure, which evolved under the three scenarios outlined above.

example, Meireles et al. (in review) used a large spectral dataset for many species across the seed plant tree of life to ask how the phylogenetic signal varied across the leaf spectrum and across different phylogenetic groups.

Because we are interested in biology, evolutionary inference made at the spectral level will
often need to be interpreted a posteriori. For example, the signature of convergent evolution
in this particular spectral band likely means that a certain trait X is evolutionarily convergent.
An alternative (and potentially better) approach is to infer traits from spectra first using
either statistical (e.g. PLSR) or Radiative Transfer Model inversions (e.g. PROSPECT), and
then study the evolution of those traits.

Testing biological hypotheses is also possible because we can calculate the likelihood of our spectral data being generated by different models of evolution. Therefore, we can fit different evolutionary models that reflect our different hypotheses and compare them using a goodness of fit metric such as AIC (Burnham and Anderson 2002). We foresee innumerous interesting hypotheses being tested using this type of approach, especially related to evolutionary rates and convergent evolution.

Here is a mock but realistic example. We could hypothesize that plant lineages that shift from sunny to shade habitats see an increase in their leaf chlorophyll content from 20 ug/cm² 218 to 60 ug/cm², that is, they have a new chlorophyll content optimum, and that should 219 be reflected in their spectra (Figure 6a-b). We used the predictive approach established 220 in the previous subsection to simulate leaf spectra under that evolutionary scenario. For 221 visualization purposes, we show the difference between the "evolved" spectra and the ancestral 222 spectrum in Figure 6c, which highlights the disparity in reflectance in the visible spectrum 223 between sun and understory plants. We can then fit various models of evolution to the 224 spectra (including one and two rate BM as well as a one optimum OU and two optimum 225 OU, which is the true model), calculate their AIC, and compare models using AIC weights 226 (Burnham and Anderson 2002), as shown in Figure 6d. 227

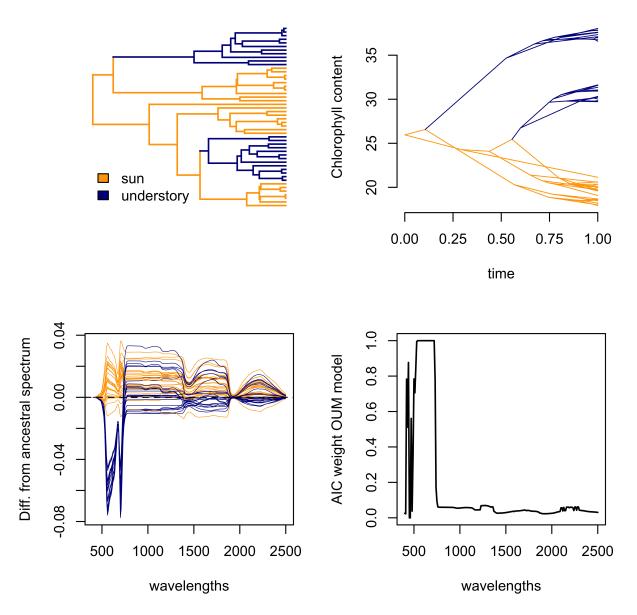


Figure 6: Evolution of chlorophyll content under a multiple optima OU model. a-b. Macroevolutionary shifts from sun exposed to understory habitats (a) result in chlorophyll content to be pulled towards different optima in different lineages (b). c. differences between the evolved spectra and the ancestral spectrum highlighting the effect of chlorophyll evolution on the visible region of the spectrum. d. we can use AIC to calculate how well various models of evolution, including the true multiple optima OU model, describe evolution across the spectrum. AIC weights suggest that the multiple optima OU model is preferred in the visible regions and nowhere else, which matches how the data were simulated.

228 4.3 Leaf spectra and biodiversity detection in an evolutionary context

Assessments of biodiversity based on leaf spectra have commonly focused on estimating species richness or doing species detection. Biodiversity is more than the number of species though; it encompasses, among other things, which branches of the tree of life are found in an area how much evolutionary history that represents. We can leverage the information about evolutionary history embedded in leaf spectral profiles to assess the diversity of lineages instead (or in addition to) the diversity in species or function. There are key conceptual advantages of taking this approach.

First, we can estimate lineage diversity at different phylogenetic scales when species level detection performs poorly. It is possible that classification models can detect broad clades more accurately that they could detect very young clades or species. For example, Cavender-Bares et al. (2016) found that leaf spectral information can more accurately identify broad oak clades (Kappa 0.81) than species (Kappa 0.61) and then population within a species (Kappa 0.34).

Second, we know that species definitions change over time and that many species in hyperdi-243 verse ecosystems are still unknown to science. This begs the question; how do you classify 244 something that you do not yet know about? Using evolutionary models to estimate where 245 an unknown spectrum belongs on the tree of life bypasses the need for labeling, because is 246 simply tells you who that unknown sample is likely related to, and still allows you to estimate 247 phylogenetic diversity of site. This is possible because we can use the models of evolution 248 described before to calculate the likelihood that the unknown spectrum belongs to different 249 parts of the tree assuming that we know the correct evolutionary model and its parameter 250 values. This is a lot to know (or assume), especially as go deeper in phylogenetic time and evolutionary history become more complex (see section 5.2). 252

5 Cautionary notes

The integration of leaf spectra and phylogenies can provide breakthroughs in how we detect biodiversity, explain how spectral variation between species and lineages comes to be, and make inferences about the evolution of leaves. We should, nevertheless, be aware of the limitations inherent of making inferences about the deep past, be mindful of the sampling requirements and statistical assumptions of our analyses, and be careful to interpret our findings in a biologically meaningful way. Here are two important cautionary notes.

²⁶⁰ 5.1 Is your sampling adequate for making evolutionary inferences?

Inferences about the evolutionary process or that rely heavily on it — such as the degree of 261 phylogenetic signal or the pace of evolution — are dependent on how well a lineage has been 262 sampled. Evolutionary biologists usually target a particular lineage and strive to include 263 in their analysis as many close relatives as possible regardless of their geographic location. 264 Ecologists, on the other hand, tend to focus on a specific geographic area of interest and will 265 end up sampling whatever species are there. This likely results in very severe undersampling 266 of the total phylogenetic diversity that is represented by the particular species pool. Think 267 about it: the 20 seed plants in your study site belong to a clade that has about 300,000 268 species, harbors incredible morphological and physiological diversity, and goes back 350 million years. Evolutionary analyses using this kind of sampling will very likely yield poor 270 estimates of the evolutionary parameters: the species in your area can tolerate a subset of all the climate conditions other seed plants can handle, for example.

In addition, ecological processes themselves can lead to bias in estimates of evolutionary parameters. For example, extremely arid conditions may act as an environmental filter that curbs colonization by species with low leaf water content, thus reducing the amount of variability in leaf succulence. As a consequence, estimates of the rate of evolution of leaf succulence based on species found in that hyper arid community may be artificially low.

These caveats should be kept in mind when analyzing spectra in an evolutionary context.

Finding that certain spectral regions have high phylogenetic signal in a large forest plot does
not necessarily mean that those regions are truly phylogenetically conserved.

The more of the tree of life you sample, the more complex models will (or should) be.

Most of the models of evolution and phylogenetic signal statistics we saw here are actually rather simple. For example, a Brownian motion (BM) model has two parameters, the trait value at the root (mean) and the rate of evolution (variance). The single rate BM model may reasonably describe the evolution of leaf water content in dogwoods (Cornus), but it would probably do a terrible job if you were analyzing all flowering plants because of the sheer heterogeneity and diversity that they possess (Felsenstein 2008, O'Meara (2012), Cornwell et al. (2014)).

There is a tradeoff: the most realistic model would have a different set of parameters at every time point on every branch but would have far more parameters to estimate than the 291 data could support; a simple model of one set of parameters across all the time periods and 292 species examined is clearly unrealistic. Most applications have used the simplest approach, 293 but there are ways to allow for more complex models. Some of these test a priori hypotheses 294 about heterogeneity in models of evolution: biologists propose particular models linking sets 295 of parameters on different parts of the tree (gymnosperms and angiosperms having different 296 rates of evolution, for example, versus a mapping with them all having the same rate) and 297 then the methods select between the possible models (Butler and King 2004, O'Meara et al. 298 (2006)). There are also methods that can automatically search across possible mappings to 299 find the ones that fit best (Uyeda and Harmon 2014). In the case of multiple characters, such 300 as reflectance at different wavelengths of light, there is also the question of whether different 301 characters are evolving under the same or different models, and there are models to test that,

as well (Adams and Otárola-Castillo 2013).

Early attempts to analyze spectra in an evolutionary context (Cavender-Bares et al. (2016), 304 McManus et al. (2016), Meireles et al. in review) have used models that are maximally simple for each character (a single model applying for all taxa and times) are nearly maximally 306 complex between characters (each trait evolves independently of all others on the same 307 common tree). Those approaches are computationally cheap but are at odds with our 308 understanding of biology (i.e. models of evolution do vary among lineages) and physics 309 (i.e. spectral bands do covary). Other ways of segregating complexity, such as models that 310 incorporate heterogeneity among lineages and account for the covariance among spectral 311 bands remain potentially more fruitful ways of examining the diversity in leaf spectra. 312

5.3 Spectra do not evolve*, leaves do!

 314 {*unless when they do}.

One could estimate the pace of evolution of the beaks of Darwin's finches from their pho-315 tographs. But the photographs didn't evolve. Leaf spectra do capture many different aspects 316 of the complex phenotype and, we have seen in this chapter, each band of a spectrum can be 317 analysed as a trait in an evolutionary model. This does not necessarily mean that spectra 318 themselves are traits nor that they themselves evolve. For example, there is no reason for 319 evolution to favor lower reflectance at 660 nm. However, there may be biological reasons for natural selection to favor higher amounts of Chlorophyll a in a leaf, which happens to absorb light at 660 nm. Terminology such as "evolution of spectra" or "spectral niches" may be efficient communication shortcuts but can also cause confusion. They may make it all to easy lose sight of the biological mechanisms behind the observed phenomena. 324

Advances in analyzing spectral data in light of evolution will require keeping mechanisms in mind. Having said that, phylogenetic inference on spectra can be used as a discovery tool. Consistently finding high rates evolution in a spectral region not associated with a known function should trigger further investigation. Moreover, mechanistic thinking may end up
proving us wrong and show that spectra in fact evolve (at least some regions). For example,
increased leaf reflectance that prevents leaf overheating could be favored by evolution. Sure,
high reflectance results from "real" traits — such as bright hairs, cuticles, and waxes — but
one can argue that there is biological meaning in the evolution of reflectance itself in this
case.

³³⁴ 5.4 Ignore phylogeny at your peril

Phylogeny adds complexity to an analysis, but has benefits in new insights (estimating 335 ancestral leaf spectra, helping to go from observations to traits, and more). However, it can 336 be tempting to analyze data on multiple species without incorporating this. The problem with this is many methods that do that, for example, partial least squares regression that 338 estimate traits from spectra, assume species are independent data points. They are not. 339 There is thus the risk of "overcounting" some species: for example, if one wants to develop 340 a model for all plants, and one has five oak species, a gingko, a pine, and a magnolia, the 341 final model will essentially be an oak mode with some deviations. However, the five oaks 342 have shared much of their evolutionary history and so do not represent five independent 343 instances of evolution. Phylogenies can be included into such analyses, and their importance 344 appropriately scaled (in some cases, they will not affect results, but this is only knowable 345 once the tree is used), and make results far more robust. 346

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