# TURBO AUTOENCODER: DEEP LEARNING BASED CHANNEL CODE



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## PROBLEM SETUP

#### CHANNEL CODING

- Over-complete autoencoder, with noisy channel in the middle.
- Most widely used channel model, AWGN: y = x + z,  $z \sim N(0, \sigma)$ .
- The output of encoder must satisfy power constraint.

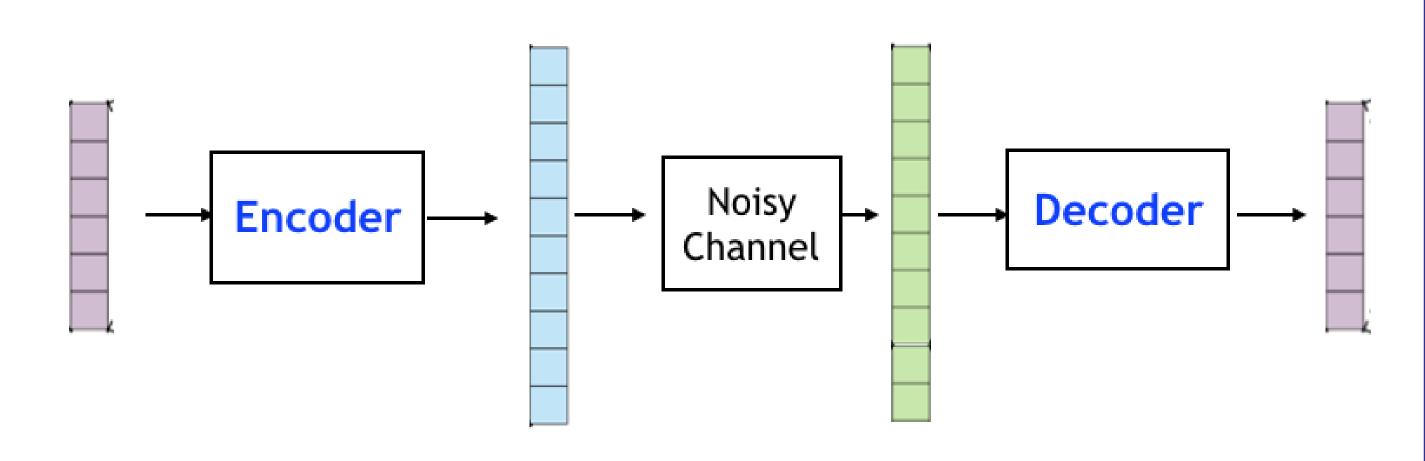


Figure 1: Channel Coding Problem

#### HUMAN INGENUITY DESIGNED CHANNEL CODES

- Progress in coding theory is sporadic breakthroughs over the past century.
- Turbo, LDPC, and Polar codes have been capacity-approaching on AWGN.

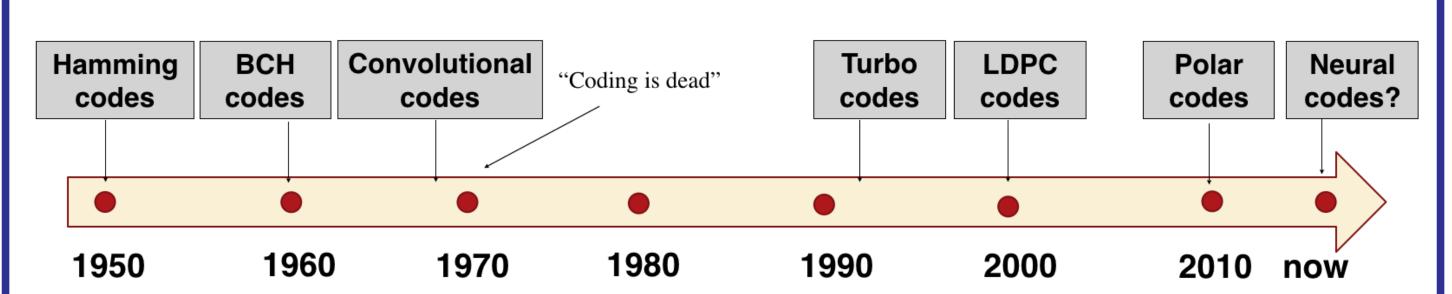


Figure 2: Research Timeline of Channel Codes

#### CHALLENGES

- Reliability can be further improved for short block lengths.
- Robustness and adaptivity for non-AWGN channels.
- No block length gain with learnable general purpose network.
- Automate the search of codes by solely deep learning.

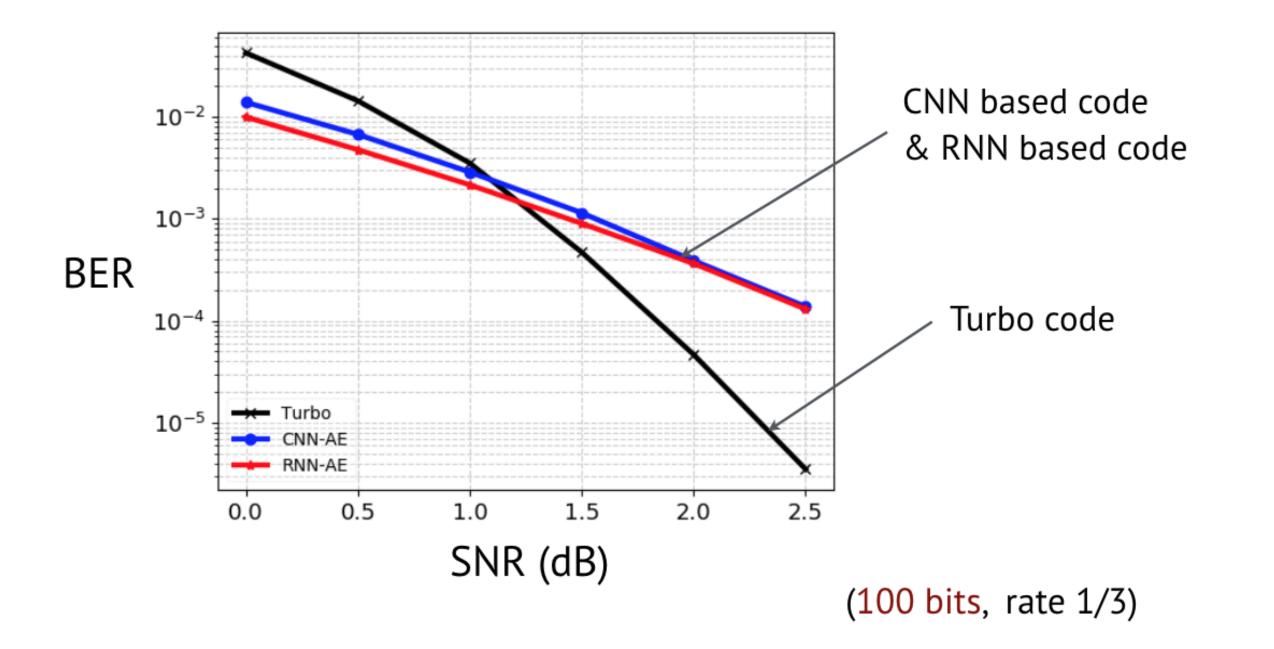
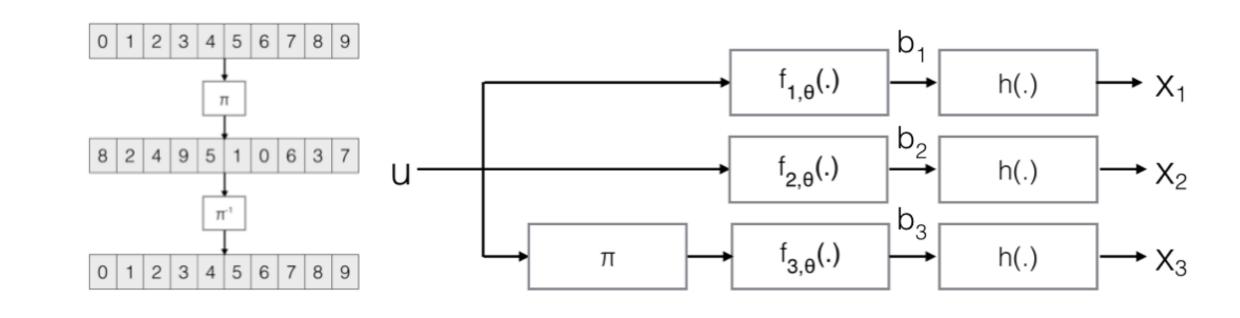


Figure 3: Challenges of using general purpose network for channel coding.

## TURBO AUTOENCODER

• Turbo Principle: introduce long term memory with interleaving on encoder.



**Figure 4:** Interleaver  $(\pi)$  and De-Interleaver  $(\pi^{-1})$  (left) and TurboAE encoder (right).

• Iterative learnable decoding via Belief Propagation.

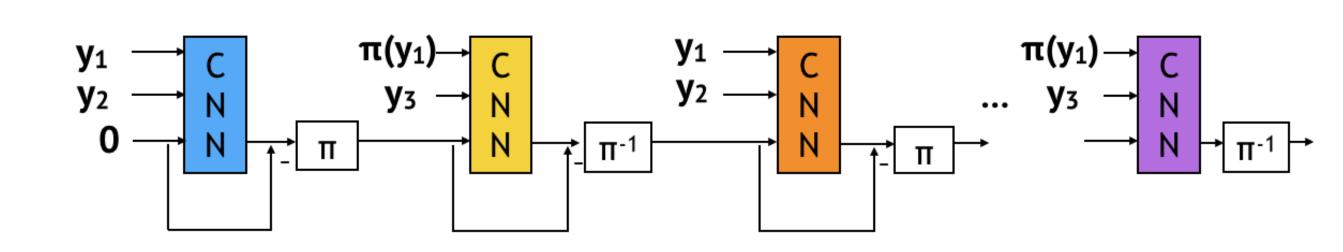
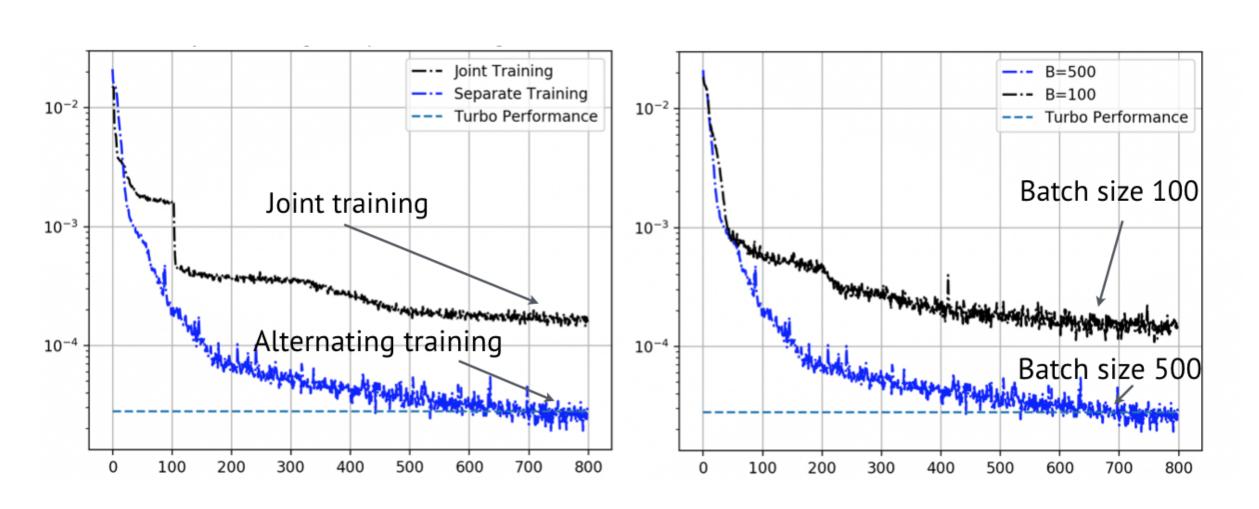


Figure 5: Iterative Decoding, with vector feature representation.

- Training Algorithms: Alternative Training, Large batch size, optimal training SNR.
  - Training SNR: encoder (same as test), decoder (close to Shannon Limit).



**Figure 6:** Alternative Training shows smooth learning curve (left), and use large batch size improves performance (right).

- Differentiability via Straight-Through-Estimator (STE) to binarize code.
  - STE forward: z = sign(x), backward-gradient:  $\frac{\partial x}{\partial z} = 1_{(|x| \le 1)}$ .

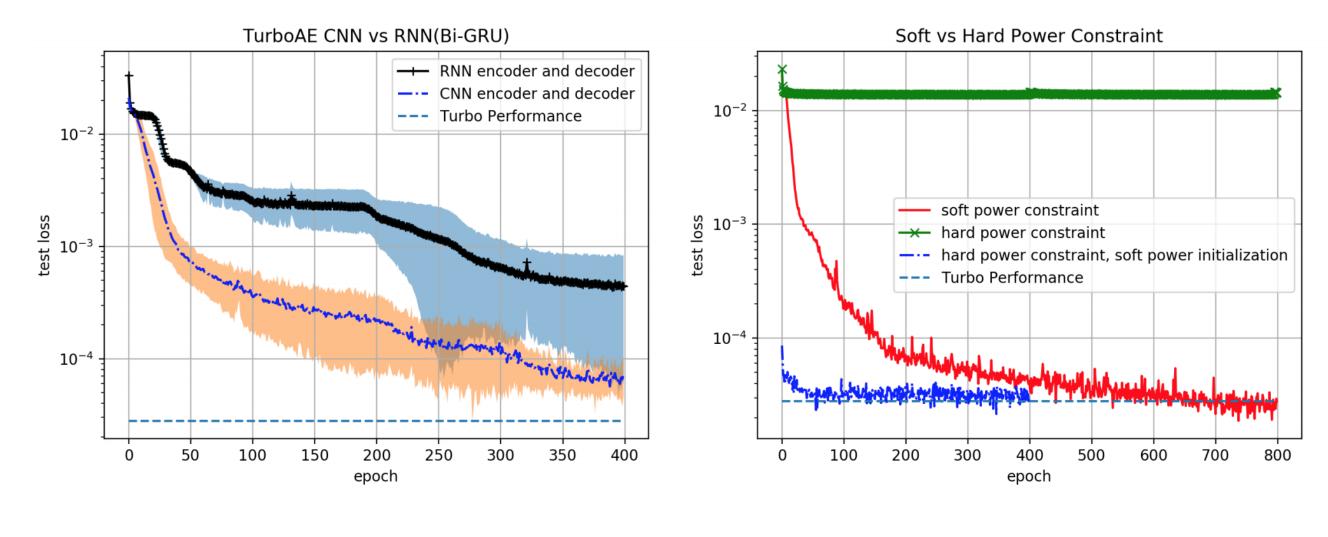


Figure 7: CNN vs RNN in training (left), and STE result.

## TURBOAE PERFORMANCE

• Comparable performance to canonical codes in AWGN channel.

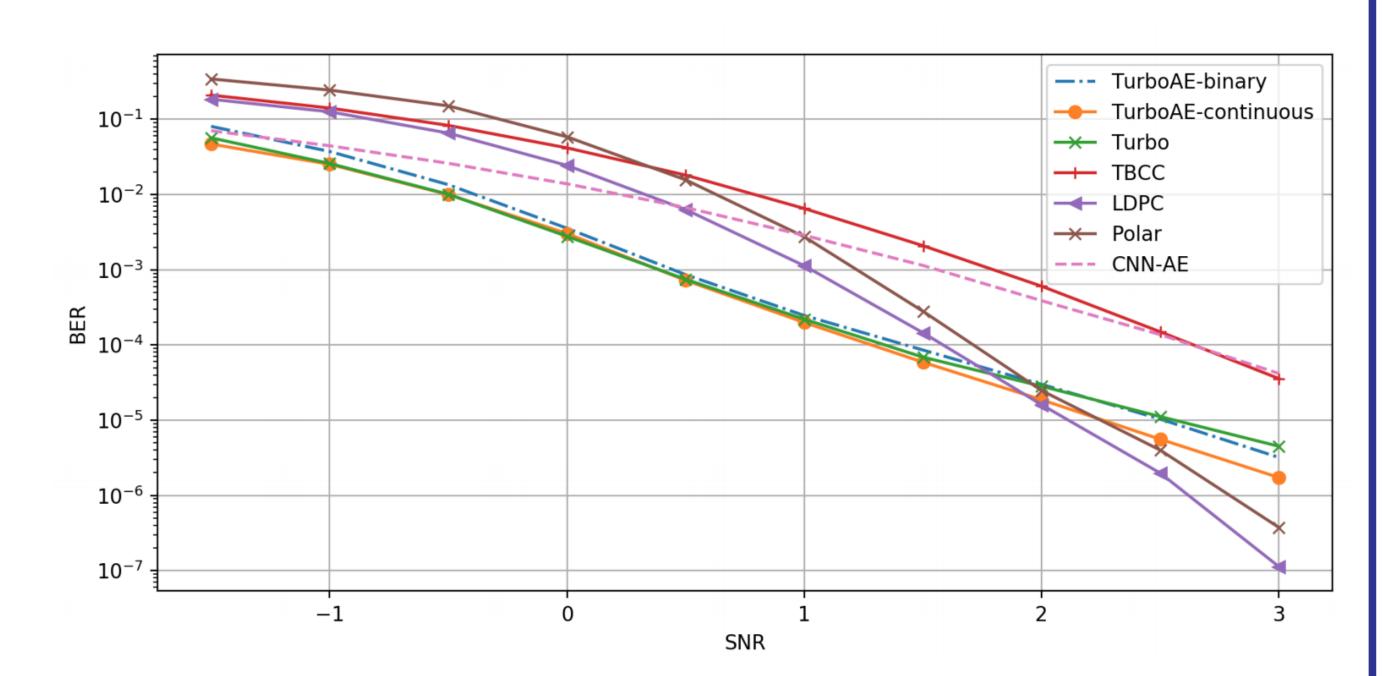


Figure 8: TurboAE vs other canonical codes under block length 100.

- TurboAE reveals coding gain in block length.
- Binarization via STE shows minimal performance drop.

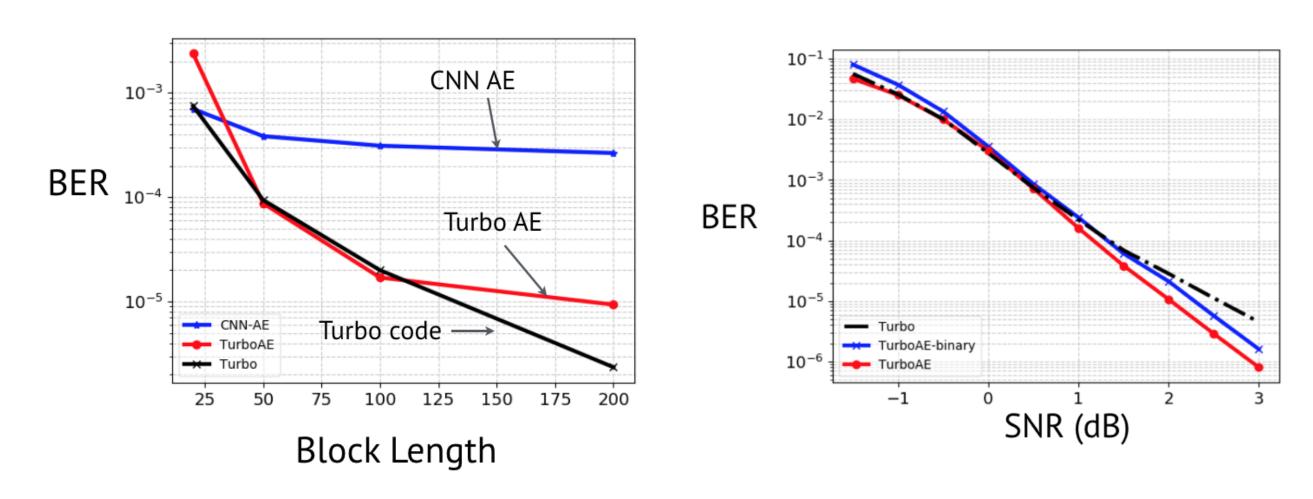


Figure 9: Block Lenght Gain of TurboAE (left), and TurboAE-binary result (right).

• TurboAE is not a large model, even without further model compression.

Metric	CNN encoder	CNN decoder	GRU encoder	GRU decoder	Turbo encoder	Turbo decoder
FLOP/EMO	1.8M	294.15M	334.4M	6.7G	104k	408k
Parameters	152.4k	2.45M	1.14M	2.714M	N/A	N/A

Figure 10: FLOP comparison between TurboAE-RNN, TurboAE-CNN, and Turbo.

• TurboAE outperforms on non-AWGN channels (shown in our paper.)

## SUMMARY

- TurboAE offers an alternative method to design channel code: **Key enabler: novel neural architecture and training algorithm design** can lead to good channel code.
- Channel code can be designed **solely** via deep learning.
- Follow-up Directions: (1) Optimizing BLER, (2) high SNR training, (3) very long block length, (4) combining with feedback channel, and (5) joint modulation and channel coding scheme.
- Code repo: https://github.com/yihanjiang/turboae