

Search Problem

Property	BFS	UCS	DFS	DLS	GFS	A*
Completeness	YES, b finite	YES*	NO	NO	NO	YES*
Optimal	NO	YES	NO	NO	NO	YES*
Time	$\mathcal{O}(b^{d+1})$	$\mathcal{O}(b^{1+\lceil C^*/\epsilon \rceil})$	$\mathcal{O}(b^{m+1})$	$\mathcal{O}(b^{\ell+1})$	-	$\mathcal{O}(b^{1+\lceil C^*/\epsilon \rceil})$
Space	$\mathcal{O}(b^{d+1})$	$\mathcal{O}(b^{1+\lceil C^*/\epsilon \rceil})$	$\mathcal{O}(bm)$	$\mathcal{O}(b\ell)$	-	
Frontier	Queue	Priority Queue	Stack	Stack	-	

*Condition: if b is finite, $cost \geq \epsilon > 0$

Notation: b : max num of successor (branching) of any node (maybe ∞); d : depth of (shallowest) goal node; m : max depth of a node from start node; C^* : optimal cost, $cost \geq \epsilon$
Definition: **Complete:** always find a solution; **Optimal:** find a least-cost solution; **Time C.:** number of nodes generated; **Space C.:** max number of nodes in memory

Uninformed Search

Path Checking: In every path $\langle p_k, c \rangle$, ensure that the final state c is not equal to any ancestors of c along this path: $c \notin \{s_0, s_1, ..., s_k\}$ (make sure does not go back). No increase time and space C.
Cycle Checking: Keep track of all nodes previously expanded during the search using a list (close list). When expand n_k to obtain successor c : (1) Ensure c is not equal to any previously expanded node (2) If it is, do not add c to Frontier; Expansive S.C.: $\mathcal{O}(b^{d+1})$
Bread-first Search: children at **end** of Frontier (**queue: last in last out**), extract **first** of the F
Depth-first Search: children at **front** of Frontier (**stack: last in first out**), extract **first** of the F
Depth-limited Search: DFS but only to a pre-specified depth limit D
Iterative Deepening Search: Starting at $d = 0$, loop DLS til solution or fail without cutting off
Uniform Cost Search: expand **least cost** node on F. (**Priority Queue**), same as BFS if all same cost

Informed Search

Greedy Bread-first Search: $f(n) = h(n)$; ignore cost of n ; not complement or optimal
A* Search: $f(n) = g(n) + h(n)$; g : cost path; h : heuristic estimate of cost: run out of time&memory

Poof C. Implies Admissible: $(\forall n_1, n_2, a) \quad h(n_1) \leq C(n_1, a, n_2) + h(n_2) \implies (\forall n) \quad h(n) \leq h^*(n)$
(1)Base case: $k = 1$, one step away from s_g , since consistent: $h(s_i) \geq C(s_i, s_g) + h(s_g)$, since $h(s_g) = 0$, $h(s_i) \geq C(s_i, s_g) = h^*(s_i)$, therefore admissible
(2) Induction step: Suppose assumption holds for every node that is $k - 1$ action away from s_g , given a node s_i , it is k action away from s_g , thus optimal path has $k > 1$ steps
(3) Since h is consistent, have: $h(s_i) \leq C(s_i, s_{i+1}) + h(s_{i+1})$
(4) Note that s_{k+1} is on a least-cost path from s_i , must have the path s_{i+1} to s_g as well, by induction hypothesis have: $h(s_{i+1}) \leq h^*(s_{i+1})$
(5) Combine inequality: $h(s_i) \leq C(s_i, s_{i+1}) + h^*(s_{i+1})$

Proof of Optimal with Consistency: $\forall n_1, n_2, \quad h(n_1) \leq h(n_2) + C(n_1, a, n_2)$

- (1) WTS: $\hat{f}_{pop}(s_g) = f(s_i)$, when goal node is pooped, have found optimal
- (2) Base case: $\hat{f}_{pop}(s_0) = f(s_0) - h(s_0)$
- (3) Induction step: Assume $\forall s_0, s_1, ..., s_k, \hat{f}_{pop}(s_i) = f(s_i)$, given:

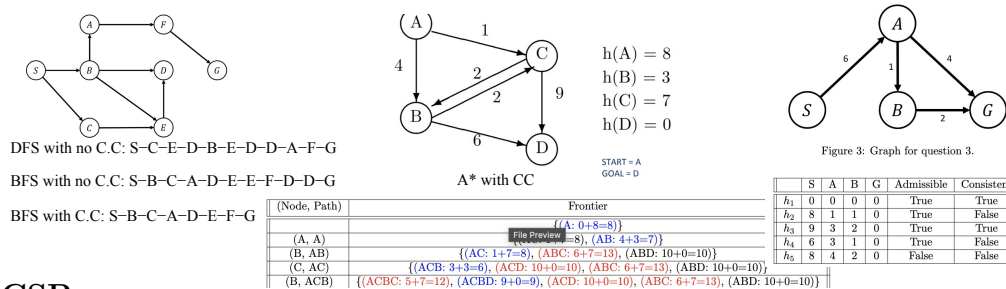
$$\hat{f}_{pop}(s_{k+1}) = \hat{g}_{pop}(s_{k+1}) + h(s_{k+1}) \geq g(s_{k+1}) + h(s_{k+1}) = f(s_{k+1})$$

For s_{k+1} is only explored after s_k , require $f(s_i) \leq f(s_{k+1})$, need of consistency of h , pooping s_k

$$\begin{aligned} \hat{f}_{pop}(s_{k+1}) &= \min\{\hat{f}(s_{k+1}), \hat{g}_{pop}(s_k) + c(s_k, s_{k+1}) + h(s_{k+1})\} \\ &\leq \hat{g}_{pop}(s_k) + c(s_k, s_{k+1}) + h(s_{k+1}) \\ &= g(s_k) + c(s_k, s_{k+1}) + h(s_{k+1}) \\ &= g(s_{k+1}) + h(s_{k+1}) \\ &= f(s_{k+1}) \end{aligned}$$

from IH

IDA* Search: reduce memory requirements of A*; cutoff is the f-value rather than the depth; at each iteration, the cutoff is the **smallest f-value** of any node that exceeded the cutoff on the previous iteration; avoids overhead with keeping a sorted queue of nodes, the Frontier occupies **linear space**.



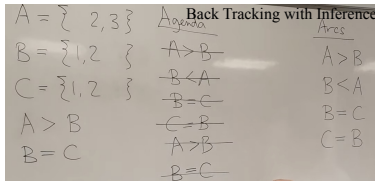
CSPs

- (1) A set of **variables** $V_1, ..., V_n$;
- (2) A (finite) **domain** of possible values $Dom[V_i]$ for each variable V_i
- (3) A set of **constraints** $C_1, ..., C_m$,
Unary: over one variable: $C(X) : X = 2$
Binary: over two variable: $C(X, Y) : X + Y \geq 2$
Higher-order: over i 3 variable: $All - Diff(V_1, ..., V_n) : V_1 \neq V_2, ..., V_2 \neq V_1, ... V_n \neq V_{n-1}$
- (4) Each variable V_i can be assigned any value from its domain: $V_i = d$ where $d \in Dom[V_i]$
- (5) Each constraint C Has a set of variables it operates over, called its **scope**.
- (6) Solution to a CSP: An assignment of a value to all of the variables such that every constraint is **satisfied**; unsatisfiable if no solution exists.

Back Tracking Search: searching through the space of partial assignments, rather than paths. Decide on a suitable value for one variable at a time. Order in which we assign the variables does not matter. If a constraint is falsified during the process of partial assignment, immediately reject the current partial assignment.

Back Tracking Search with Inference: every time assign a value to variable V , check **all constrains over V** and prune values from the current domain of the **unassigned variables** of the constrains

- (1) **Value Assignment:** define current domain (CurDom) of a value; first step to infer other values
- (2) **Degree Heuristic:** select the variable that is involved in the largest number of constrains on other **unassigned** variables
- (3) **Minimum Remaining Values Heuristics:** always branch on a variable with the **smallest remaining values** (smallest CurDom)
- (4) **Least Construing Value Heuristic:** always pick a value in CurDom that **rules out the least domain values** of other neighboring values in the constraint



Variables: X, Y, Z Domains: DX = DY = DZ = {0, 1, 2, 3, 4}
Constraints: C1 = (X = Y + 1); C2 = (Y = 2Z)

- Assuming that initially no variable has been assigned and var = Y ,
- (a) Pop Y : • From C1, X/ = 0 • From C2, Z/ = {3, 4} Since both X's and Z's domains are updated, we add them to the queue (i.e. queue now contains {X, Z}).
 - (b) Pop X: • From C1, Y/ = 4 Since Y 's domain is updated, we add Y to the queue (i.e. queue now contains {Z, Y }).
 - (c) Pop Z: • From C2, Y/ = {1, 3} Since the queue contains Y , we do not add it back (i.e. queue now contains {Y }).
 - Pop Y : • From C1, X/ = {2, 4} • From C2, Z/ = 2 Since both X's and Z's domains are lated, we add them to the queue (i.e. queue now contains {X, Z}).

- (e) Pop X • No inference (i.e. queue now contains {Z}).
- (f) Pop Z: • No inference (i.e. queue is now empty).

From the inference above, we derive the following:

- X/ = {0, 2, 4}, DX = {1, 3}
- Y/ = {1, 3, 4}, DY = {0, 2}
- Z/ = {2, 3, 4}, DZ = {0, 1}

Consider the following constraint satisfaction problem: there are four variables x_1, x_2, x_3, x_4 whose domain is $D = \{1, 2, 3\}$. They have the following constraints:

Variable popped/inferred	Domain update	Queue
x_2	$x_1 = \{1, 2\}$ $x_2 = \{1, 2, 3\}$ $x_3 = \{1, 2\}$ $x_4 = \{1, 2, 3\}$	$\{x_1, x_3\}$
x_1	$x_1 = \{1, 2\}$ $x_2 = \{1, 2\}$ $x_3 = \{2\}$ $x_4 = \{1, 2, 3\}$	$\{x_3, x_2\}$
x_3	$x_1 = \{2\}$ $x_2 = \{1\}$ $x_3 = \{2\}$ $x_4 = \{\emptyset\}$	$\{x_2, x_1\}$

Games

Properties: two player; **finite** number of states and moves (large- heuristic cutoffs); deterministic (perfect info/observable); **zero-sum**: **fully competitive**, total payoff to all players is constant

- 2 players **Max** and **Min**
- A set of positions P (states of the game)
- A starting positions $P \in P$ (game begins)
- A set of Terminal positions $T \subseteq P$ (game end)
- A set of directed edges E_{Max} between some positions, representing **Max's move**
- A set of directed edges E_{Min} between some positions, representing **Min's move**
- A utility/payoff function $U : T \rightarrow \mathbb{R}$, representing quality each terminal state is for player **Max**

Minmax Search

Max plays a move to change the state to the **highest valued child** $U(S_0) = \max \{U(S_i), \dots, U(S_n)\}$

Min plays a move to change the state to the **lowest valued child** $U(S_0) = \min \{U(S_i), \dots, U(S_n)\}$

Use **DFS** to save space (finite depth); T.C.: $\mathcal{O}(b^d)$; S.C: $\mathcal{O}(bd)$

Alpha-Beta Pruning

At a Max node s

(1.1) α_s : the **highest value** of s **children** examine so far (changes as examine more children)

(1.2) β : the **lowest value** of s **parent** examine so far (fixed)

(2) If α_s becomes $\geq \beta$, stop expanding children of s ; **Min** never choose to move from s parent, would choose one of s lower valued siblings

At a Min node s

(1.1) α : the **highest value** found so far by s **parent** by previous explored siblings (fixed)

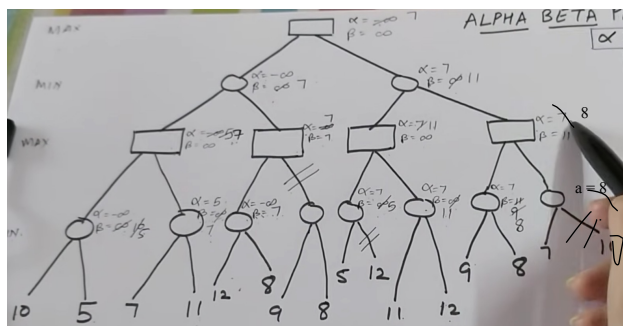
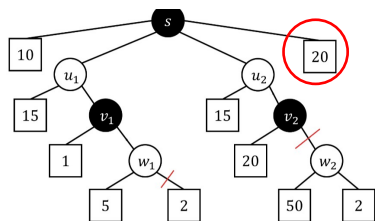
(1.2) β_s : the **lowest value** of value of s **children** examine so far (changes as explore more children)

(2) If α_s becomes $\geq \beta_s$, stop expanding children of s ; **Max** never choose to move from s parent, would choose one of s higher valued siblings

- Set initial values: $\alpha = -\infty$ and $\beta = \infty$
- While backing the utility values up the tree, identify α, β for each node (α/β : best already explored along the path to the root of **MAX/MIN**)
- At every node s , if $\alpha \geq \beta$ **prune** (remaining) children of s (α/β -cuts: pruning of **MAX/MIN** nodes)

Ordering Moves: **Max** prune best if best move for Max explored first; **Min** prune best if best move for Min explored first; can use heuristics to estimate and choose

Effectiveness: no pruning ($\mathcal{O}(b^d)$); if move **ordering is optimal** ($\mathcal{O}(b^{d/2})$)



Bayesian Networks

Probability

\cap : **OR**; \cup : **AND**

Basic Rules: $P(\mathcal{U}) = 1$, $P(A) \in [0, 1]$, $P(A \cup B) = P(A) + P(B) - P(A \cap B)$

Summing out Rule: $P(A) = \sum_{C_i} P(A \cap C_i)$, $P(A | B) = \sum_{C_i} P(A | B \cap C_i) P(C_i | B)$

Normalizing: dividing each number by the sum of the numbers:

(1) normalize $[x_1, x_2, \dots, x_k] = [\frac{x_1}{\alpha}, \frac{x_2}{\alpha}, \dots, \frac{x_k}{\alpha}]$, where α is the sum of all x_k

(2) normalize $[x_1, x_2, \dots, x_k] = [x_1 \cdot \beta, x_2 \cdot \beta, \dots, x_k \cdot \beta]$, where β is any constant

Conditional Probability:

$$P(A | B) = \frac{P(A \cap B)}{P(B)}$$

Bayes Rule:

$$P(A | B) = \frac{P(B | A) P(A)}{P(B)}$$

Chain Rule:

$$P(A_1 \cap A_2 \dots \cap A_n) = P(A_n | A_1 \dots \cap A_{n-1}) \cdot P(A_{n-1} | A_1 \dots \cap A_{n-2}) \dots \cdot P(A_2 | A_1) \cdot P(A_1)$$

Independence: $P(V_1 | V_2) = P(V_1)$ (V_1, V_2 are independent)

$$P(A | B) = P(A) \quad P(A \cap B) = P(A) \cdot P(B)$$

Conditional Independence: A is conditionally independent of B given C

$$P(A | B \cap C) = P(A | C) \quad P(A \cap B | C) = P(A | C) \cdot P(B | C)$$

Joint Distribution: $Dom[V_1] = Dom[V_2] = \{1, 2, 3\}$, $P(V_1, V_2)$ are vector of 9

$$P(v_1 = 1, V_2 = 1), P(V_1 = 1, V_2 = 2), \dots, P(V_1 = 2, V_2 = 1), \dots, P(V_1 = 3, V_2 = 3)$$

Conditional Probability Table (CPT): $Dom[V_1] = Dom[V_2] = Dom[V_3] = \{1, 2, 3\}$, $P(V_1 | V_2, V_3)$ are 27 values; $P(V_1 = 1 | V_2 = 1, V_3 = 1), \dots, P(V_1 = 3 | V_2 = 3, V_3 = 3)$

Bayesian Network

Conditional Independence:

$E \rightarrow C \rightarrow A \rightarrow B \rightarrow H$:

(1) B is independent of E , and C , **given** A , A is independent of E **given** C

(2) Computation: $P(H | B, \{A, C, E\}) = P(H | B)$

(3) Chain rule: $P(H, B, A, C, E) = P(H | B, A, C, E) P(B | A, C, E) P(A | C, E) P(C | E) P(E)$

(4) Independence assumption: $P(H, B, A, C, E) = P(H | B) P(B | A) P(A | C) P(C | E) P(E)$

(5) Joint distributions:

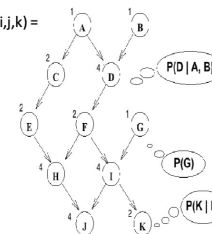
$$P(\mathcal{A} = \sum_{x_i \in Dom(X)} P(\mathcal{A} | x_i) P(x_i) = \sum_{x_i \in Dom(X)} P(\mathcal{A} | x_1) \sum_{y_i \in Dom(Y)} P(x_i | y_i) P(y_i)$$

Ex. $P(c) = P(c | e) P(e) + P(c | \neg e) P(\neg e)$

Network and Chain Rule

$Pr(a, b, c, d, e, f, g, h, i, j, k) =$

- $\times Pr(a)$
- $\times Pr(b)$
- $\times Pr(c|a)$
- $\times Pr(d|a, b)$
- $\times Pr(e|c)$
- $\times Pr(f|d)$
- $\times Pr(g)$
- $\times Pr(h|e, f)$
- $\times Pr(i|f, g)$
- $\times Pr(j|h, i)$
- $\times Pr(k|i)$



$$\begin{aligned} P(R) &= P(L_1)P(R|L_1) + P(L_2)P(R|L_2) + P(L_3)P(R|L_3) \\ &= (0.5)(0.05) + (0.3)(0.08) + (0.2)(0.1) \\ &= 0.069 \end{aligned}$$

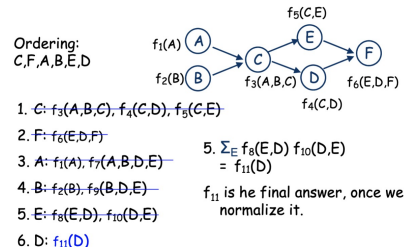
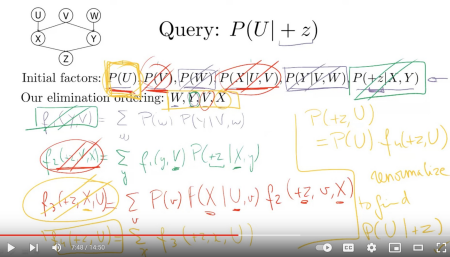
Therefore,

$$(a) P(L_1|R) = (0.5)(0.05)/0.069 = 0.3623$$

$$(b) P(L_2|R) = (0.3)(0.08)/0.069 = 0.3478$$

$$(c) P(L_3|R) = (0.2)(0.1)/0.069 = 0.2899$$

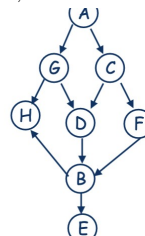
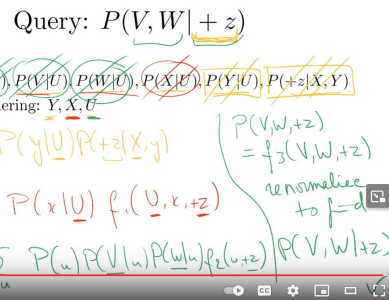
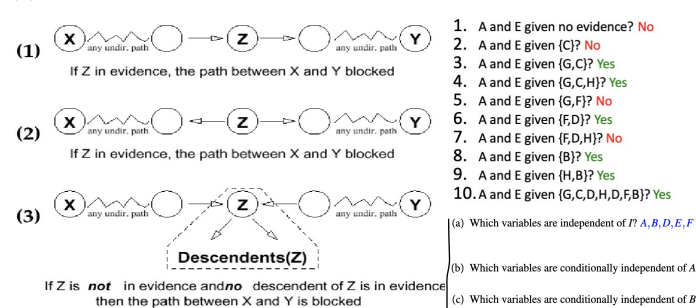
VE **sum out** the innermost variable, computing a new **function** over **variables in that sum**.



Independence: every X_i is conditionally independent of all of its **nondescendants** given its parents

- (1) A set of variables E **d-separates** X, Y if it **blocks every undirected path** in the BN between X, Y . Let P be an **undirected path** from X, Y in a BN; let E (evidence) be a set of variables. E **blocks** path P iff there is some node Z on path P such that:
- $Z \in E$ and one arc on P enters (goes into) Z and one leaves (goes out of) Z
 - $Z \in E$ and both arcs on P leave Z
 - Both arcs on P enter Z and neither Z , nor any of its descendants are in E

- (2) X, Y are **conditionally independent** given evidence E if E d-separates X, Y



$$P(F = \text{yes} | N, M) = \frac{P(F = \text{yes}, N, M)}{P(N, M)} = \frac{P(F = \text{yes}, N, M)}{\sum_{\forall F} P(F, N, M)}.$$

Using the Bayesian network, we can factorize the above as follows:

$$\begin{aligned}
 &= \sum_{\forall T, S} P(N)P(M)P(T|M, N)P(S|N)P(F|T, S) \\
 &= P(N)P(M) \sum_{\forall T} P(T|M, N) \underbrace{\sum_{\forall S} P(S|N)P(F|T, S)}_{f_1(F, T, N)} \\
 &\quad \underbrace{\hspace{10em}}_{f_2(F, M, N)}
 \end{aligned}$$

Using the conditional probability tables, we have

F	T	N	$f_1(F, T, N)$
yes	early	0	$0.9 \times 0.95 + 0.1 \times 0.70 = 0.925$

Representation: **Symbolic** encoding of propositional believed

Reasoning: Manipulation of symbolic encoding of propositions to produce propositions that believed by the agent but are not explicitly stated

Syntax: A grammar specifying what are legal syntactic constructs of the representation.

- Propositional variable: **True** or **False** variables
- $A \wedge B$ (conjunction); $A \vee B$ (disjunction); $A \implies B$ (implication); $A \iff B$ (bi-implication)
- A st V of variables; A set of F of function symbols; A set of P of predicate/relation symbols

Let \mathcal{L} be a vocabulary, the set of first-order \mathcal{L} -formulas as defined:

- Atomic formula: $P(t_1, t_2, \dots, t_n)$ where P is an n -ary predicate symbol in \mathcal{L} , and t_n are \mathcal{L} terms
- Negation: $\neg f$, where f is a \mathcal{L} -formula
- Conjunction: $f_1 \wedge f_2 \wedge \dots \wedge f_n$, where f_1, \dots, f_n are \mathcal{L} -formula
- Disjunction: $f_1 \vee f_2 \vee \dots \vee f_n$, where f_1, \dots, f_n are \mathcal{L} -formula
- Implication: $f_1 \implies f_2$, where f_1, f_2 are \mathcal{L} -formula
- Existential: $\exists x f$, where x is a variable and f is a \mathcal{L} -formula
- Universal $\forall x f$, where x is a variable and f is a \mathcal{L} -formula

Ex. $AC(x)$: x belongs to Alpine Club; $L(x, y)$: x likes y

Semantics: A formal mapping from syntactic constructs to set theoretic assertions

Truth Assignment: a function τ from the propositional variables into the set of $\{T, F\}$

Let τ be a t.a. extension $\bar{\tau}$ of τ assigns either T, F to every formula and is defined as:

- If $A = x$, where x is a variable, then $\bar{\tau} = \tau(x)$
- $\bar{\tau}(\neg A) = T$, IFF $\bar{\tau}(A) = F$
- $\bar{\tau}(A \wedge B) = T$ IFF $\bar{\tau}(A) = T$ AND $\bar{\tau}(B) = T$
- $\bar{\tau}(A \vee B)$ IFF $\bar{\tau}(A) = T$ OR $\bar{\tau}(B) = T$
- $\bar{\tau}(A \implies B) = F$ IFF $\bar{\tau}(A) = T$ AND $\bar{\tau}(B) = F$

τ satisfies a set Φ of formulas IFF τ satisfies all formula in Φ
 a formula A is a **logical consequence** of $\Phi \models A$ IFF for every t.a. τ satisfies Φ , then τ satisfies A

Structure: let \mathcal{L} be a first order vocabulary, an \mathcal{L} -structure \mathcal{M} consists:

- Nonempty set M called the **universe (domain) of discourse**
- For each n -ary function symbol $f \in \mathcal{L}$, and associated function $f^{\mathcal{M}} : M^n \rightarrow M$ (if $n = 0$, then f is a constant symbol and $f^{\mathcal{M}}$ is simply an element of M). $f^{\mathcal{M}}$ is called the **extension** of the function symbol f in \mathcal{M})
- For each n -ary predicate symbol $P \in \mathcal{M}$, an assorted relation $P^{\mathcal{M}} \subseteq M^n$. $P^{\mathcal{M}}$ is called the extension of the predicate symbol P in \mathcal{M}

P(E)	e	-e	P(B)	b	-b
	1/10	9/10		1/10	9/10
P(S E,B)	s	-s	P(W S)	w	-w
$e \wedge b$	9/10	1/10	s	8/10	2/10
$e \wedge -b$	2/10	8/10	-s	2/10	8/10
$-e \wedge b$	8/10	2/10			
$-e \wedge -b$	0	1			

What are the eight probability values of $P(G|S \wedge W)$?

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Solution:

$$\begin{aligned} P(g|s, \neg w) &= P(g|s, w) = P(g|s) = \frac{1}{2} \\ P(\neg g|s, \neg w) &= P(\neg g|s, w) = P(\neg g|s) = \frac{1}{2} \\ P(g|\neg s, \neg w) &= P(g|\neg s, w) = P(g|\neg s) = 0 \\ P(\neg g|\neg s, \neg w) &= P(\neg g|\neg s, w) = P(\neg g|\neg s) = 1 \end{aligned}$$

Solution:

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What are the four probability values of $P(G|W)$?

Solution: We perform variable elimination. Query variable is **G**. Ordering: *E, B, S, G*.

First run of VE. Evidence is $W = w$.

i. E: $P(E), P(S|E, B)$

- ii. B: $P(B)$

iii. S: $P(w|S), P(S|G)$

iv. G:

$$F_1(S, B) = \sum_E P(E) \cdot P(S|E, B) = P(e) \cdot P(S|e, B) + P(\neg e) \cdot P(S|\neg e, B)$$

$$F_3(\neg s, \neg b) = P(e) \cdot P(\neg s, e, \neg b) + P(\neg e) \cdot P(\neg s, \neg e, \neg b) = 0.1 \cdot 0.8 + 0.9 \cdot 1 = 0.98$$

$$P(\neg s, h) = P(c) \cdot P(\neg s, c, h) + P(\neg c) \cdot P(\neg s, \neg c, h) = 0.1 \cdot 0.1 + 0.9 \cdot 0.2 = 0.19$$

$$E(\varepsilon - k) = P(\varepsilon) \cdot P(\varepsilon - k) + P(-\varepsilon) \cdot P(\varepsilon - \varepsilon - k) = 0.1 \cdot 0.2 + 0.9 \cdot 0 = 0.02$$

$$E(-1) = D(-) = D(-1) + D(-) = D(-1) = 0.1, 0.0, 0.0, 0.0, 0.0$$

Variable Assignments: let \mathcal{M} be a structure and X be a set of variables. An **object assignment** σ for \mathcal{M} is **mapping** from variables in X to the universe of \mathcal{M}

Recursive definition: let \mathcal{L} be a set of function and predicate symbols

(1) Every variable x is a term;

(2) if f is an n -ary function symbol in \mathcal{L} and t_1, t_2, \dots, t_n are \mathcal{L} -terms, then $f(t_1, t_2, \dots, t_n)$ is a \mathcal{L} -term

Let \mathcal{L} be a vocabulary and \mathcal{M} be an \mathcal{L} -structure, the extension $\bar{\sigma}$ of σ is defined recursively:

(1) For every variable x , $\bar{\sigma}(x) = \sigma(x)$;

(2) For every function symbol $f \in \mathcal{L}$, $\bar{\sigma}(f(t_1, \dots, t_n)) = f^{\mathcal{M}}(\bar{\sigma}(t_1), \dots, \bar{\sigma}(t_n))$

Model Interpretation

For an \mathcal{L} -formula C , $\mathcal{M} \models C[\sigma]$ (\mathcal{M} **satisfies** C under σ , or \mathcal{M} is a **model** of C under σ) is defined recursively on the structure of C as:

- $\mathcal{M} \models P(t_1, \dots, t_n)[\sigma] \iff \langle \bar{\sigma}(t_1), \dots, \bar{\sigma}(t_n) \rangle \in P^{\mathcal{M}}$
- $\mathcal{M} \models (s = t)[\sigma] \iff \bar{\sigma}(s) = \bar{\sigma}(t)$
- $\mathcal{M} \models \neg A[\sigma] \iff \mathcal{M} \not\models A[\sigma]$
- $\mathcal{M} \models (A \vee B)[\sigma] \iff \mathcal{M} \models A[\sigma] \vee \mathcal{M} \models B[\sigma]$
- $\mathcal{M} \models (A \wedge B)[\sigma] \iff \mathcal{M} \models A[\sigma] \wedge \mathcal{M} \models B[\sigma]$
- $\mathcal{M} \models (\forall x A)[\sigma] \iff \mathcal{M} \models A[\sigma(m/x)]$ for all $m \in M$
- $\mathcal{M} \models (\exists x A)[\sigma] \iff \mathcal{M} \models A[\sigma(m/x)]$ for some $m \in M$

$\sigma(m/x)$ is an o.a. function exactly like σ , but maps the variable x to the individual $m \in M$:

(1) For $y \neq x$: $\sigma(m/x)(y) = \sigma(y)$ (2) For x : $\sigma(m/x)(x) = m$

Bounded: an occurrence of $x \in A$ is bounded iff it is a sub-formula of A of the form $\forall x B$ or $\exists x B$; otherwise the occurrence is **free**

In a structure \mathcal{M} , formula with free variables might be true for some object assignments to the free variable and false to others

Sentence: a formula A is closed if it contains no free occurrence of a variable

If σ and σ' agree on the free variables of A , then $\mathcal{M} \models A[\sigma] \iff \mathcal{M} \models A[\sigma']$

Corollary: if A is a **sentence**, then for any object assignments σ , and σ' :

$$\mathcal{M} \models A[\sigma] \iff \mathcal{M} \models A[\sigma']$$

so if A is a sentence (no free variables), σ is irrelevant and omit mention of σ , $\mathcal{M} \models A$

Satisfiability: let Φ be a set of sentences

- \mathcal{M} **satisfies** Φ ($\mathcal{M} \models \Phi$), if for **every** sentence $A \in \Phi$, $\mathcal{M} \models A$
- If $\mathcal{M} \models \Phi$, say \mathcal{M} is a **model** of Φ
- Say that Φ is **satisfiable** if there is a structure \mathcal{M} such that $\mathcal{M} \models \Phi$

Unsatisfiable: if A is a **logical consequence** of Φ , then there is no \mathcal{M} such that $\mathcal{M} \models \Phi \cup \{\neg A\}$

Resolution by Refutation

Knowledge Base: a collection of **sentences** that represent what the agent believes about the world

Sentences in KB are explicit knowledge; logical consequences of the KB are implicit

Resolution works with formulas expressed in **clausal form**

Literal: an atomic formula or the negation of an a.f. (ex. $dog(Fido)$, $\neg cat(fido)$)

Clause: disjunction of literals (ex. $P(x) \vee \neg Q(x, y)$ $\neg O(fido) \vee \neg Dog(fido)$)

Clausal Theory: a set of clauses, can be considered as conjunction of clauses

$(P(x) \vee \neg Q(x, y), \neg O(fido))$

Resolution Proof using inference rule

$$\frac{a_1 \vee a_2 \vee \dots \vee a_n \vee c \quad b_1 \vee b_2 \vee \dots \vee b_m \vee \neg c}{a_1 \vee a_2 \vee \dots \vee a_n \vee b_1 \vee b_2 \vee \dots \vee b_m}$$

Resolution by Refutation to Show: *KMA*

- Assume **$\neg A$** is true to generate a contradiction (**refutation**)
- Convert $\neg A$ and all sentences in KM to a **clausal theory** C
- **Resolve** the clauses in C until an empty clause is obtained

Eliminate Implications

Implication Rule: $A \rightarrow B \iff \neg A \vee B$

- $\neg(A \wedge B) \iff \neg A \vee \neg B$
- $\neg(A \vee B) \iff \neg A \wedge \neg B$
- $\neg \forall x A \iff \exists x \neg A$
- $\neg \exists x A \iff \forall x \neg A$

Standardize Variables: rename variables so that each quantified variable is unique

Skolemizaation: remove existential quantifiers by introducing new function symbols

Convert to Prenex Form: bring all quantifiers to the front

(1) $\forall x P \wedge Q \iff Q \wedge \forall x P \iff \forall x (P \wedge Q)$; (2) $\forall x P \vee Q \iff Q \vee \forall x P \iff \forall x (P \vee Q)$;

Conjunctions over disjunctions: $A \vee (B \wedge C) \iff (A \vee B) \wedge (A \vee C)$

Flatten nested \wedge, \vee : $A \vee (B \vee C)$ to $(A \vee B \vee C)$

Convert to Clauses

Resolution is refutation complete: If a set of clauses is unsatisfiable (i.e., when the answer is "YES") and so some branch contains $[]$, a breadth-first search guaranteed to find $[]$.

First-order unsatisfiability is semi-decidable, but not decidable. Thus, calculating entailments is semi-decidable and undecidable. First-order satisfiability is undecidable.

Decidable if there is some algorithm that correctly generates a "YES-NO" answer for every possible input. Otherwise, it's undecidable.

Semi-decidable if there is some algorithm that correctly generates "YES" answers, but does not terminate on some inputs for which the answer is "NO"

Convert the following FOL sentences into clausal form:

1. $\forall x (\text{child}(x) \rightarrow \text{loves}(x, \text{santa}))$
 $\neg \text{child}(x) \vee \text{loves}(x, \text{santa})$
2. $\forall x, y (\text{loves}(x, \text{santa}) \wedge \text{raindeer}(y) \rightarrow \text{loves}(x, y))$
 $\neg \text{loves}(x, \text{santa}) \vee \neg \text{raindeer}(y) \vee \text{loves}(x, y)$
3. $\text{reindeer}(\text{rudolph}) \wedge \text{has}(\text{rudolph}, \text{red-nose})$
 **$\text{reindeer}(\text{rudolph})$
 $\text{has}(\text{rudolph}, \text{red-nose})$**
4. $\forall x (\text{has}(x, \text{red-nose}) \rightarrow (\text{weird}(x) \vee \text{clown}(x)))$
 $\neg \text{has}(x, \text{red-nose}) \vee \text{weird}(x) \vee \text{clown}(x)$
5. $\neg \exists x (\text{reindeer}(x) \wedge \text{clown}(x))$
 $\neg \text{reindeer}(x) \vee \neg \text{clown}(x)$
6. $\neg \exists x (\text{loves}(\text{scrooge}, x) \wedge \text{weird}(x))$
 $\neg \text{loves}(\text{scrooge}, x) \vee \neg \text{weird}(x)$
7. $\neg \text{child}(\text{scrooge})$
 $\neg \text{child}(\text{scrooge})$