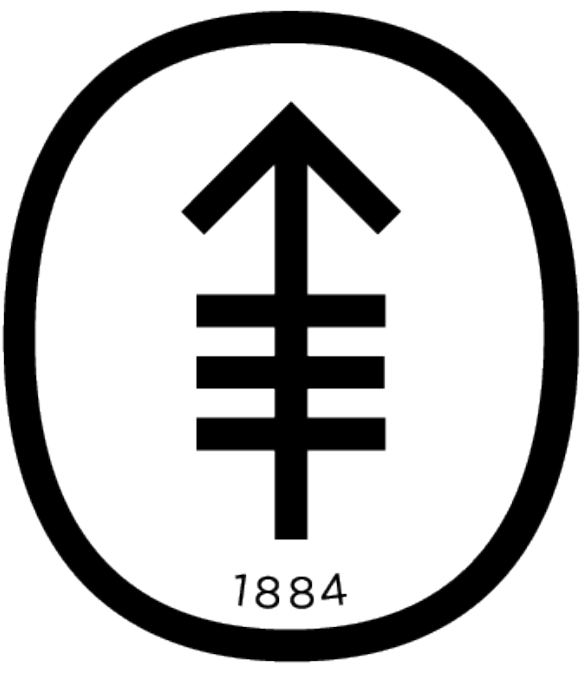




BAYESIAN POISSON FACTORIZATION FOR GENETIC ASSOCIATIONS WITH CLINICAL FEATURES IN CANCER

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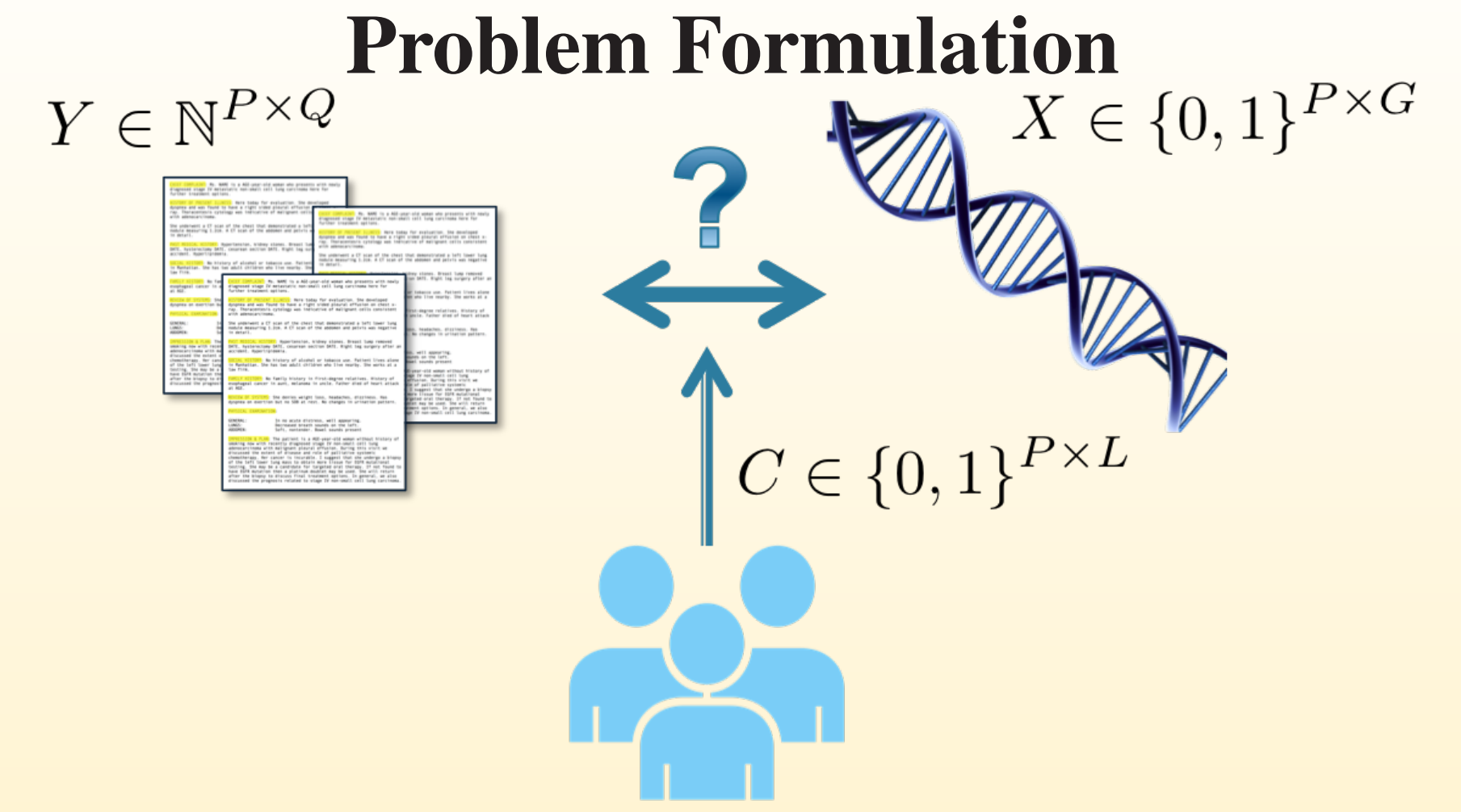
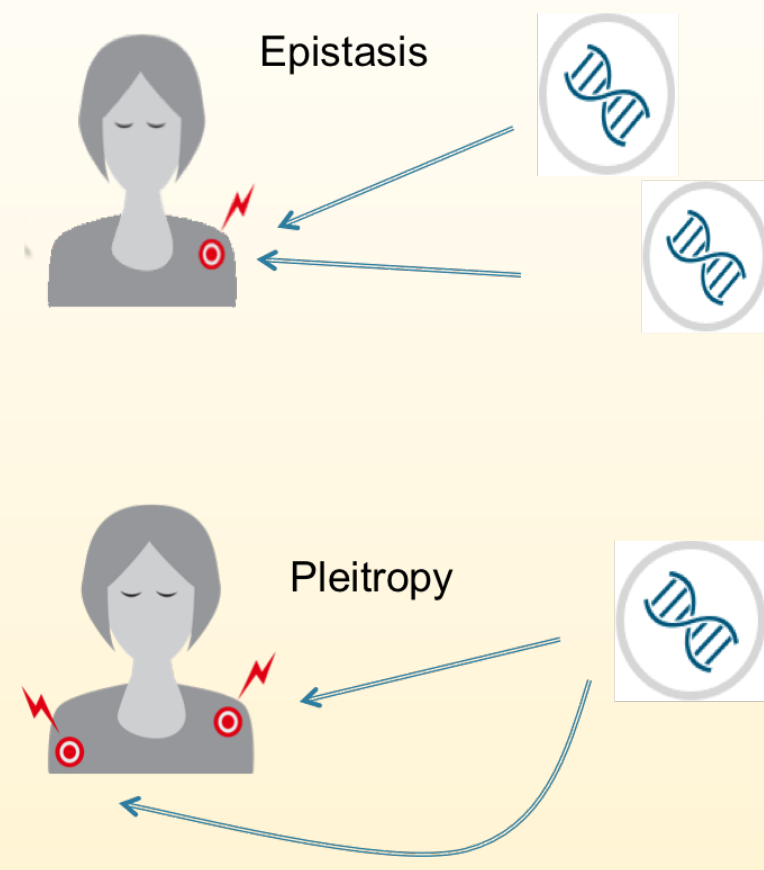
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INTRODUCTION

Motivation

- Cancer \equiv set of complex genetic diseases not very well understood yet.
- Our aim: Exploratory Analysis. Get meaningful genotype-phenotype associations by looking at Electronic Health Records (EHR) and genomic data.
- We would like our model to account for:
 - Confounders
 - Pleiotropy
 - Epistasis



CONFOUNDER-CORRECTED POISSON FACTORIZATION MODEL

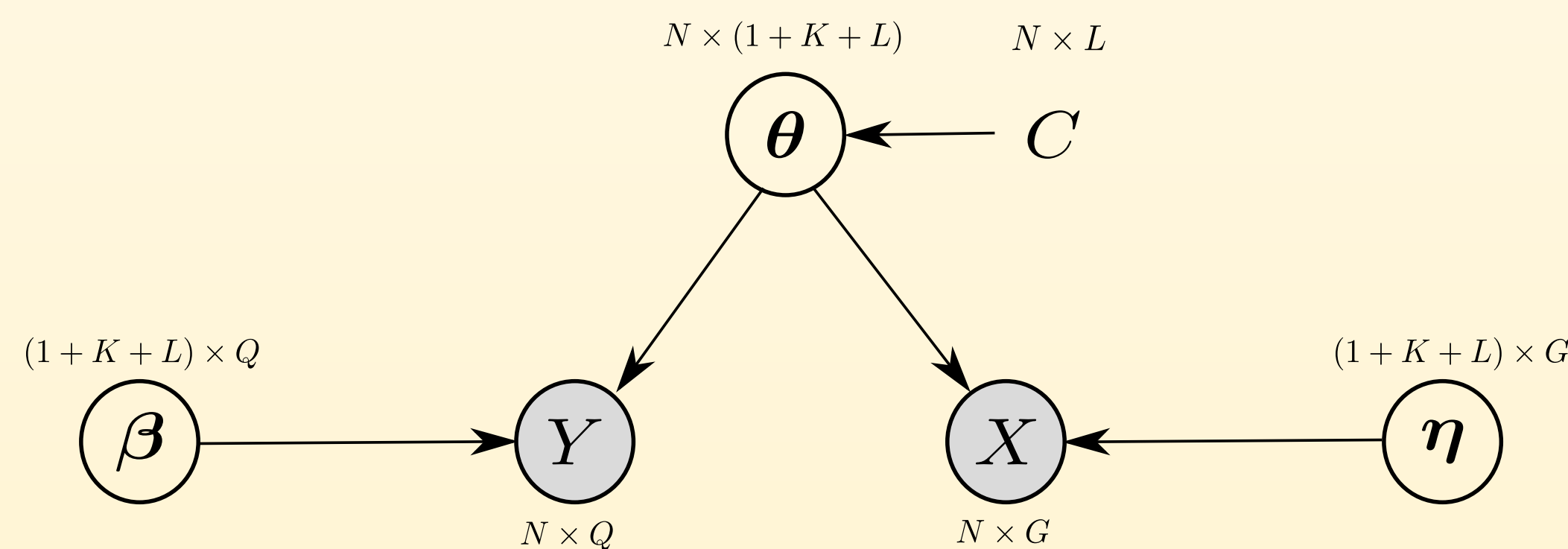
- We propose a model that directly finds associations between somatic mutations and clinical features through hidden factors. We call it Bayesian Confounder-corrected Poisson Factorization model (CC-PFM).
- Based on a PFM model for recommendation systems [1].
- Notation:
 - N patients, G mutations, Q words, L cancer types and K latent topics.
 - β and η are the clinical and genetic factors respectively.
 - θ is the weight matrix: it captures the presence/activation of each factor per patient.

$$y_{nq} | \theta_{n.}, \beta_{.q} \sim \text{Poisson}(\beta_{0q} + \theta'_{n.} \beta'_{.q} + \theta''_{n.} \beta''_{.q}) \quad (1)$$

$$x_{ng} | \theta_{n.}, \eta_{.g} \sim \text{Poisson}(\eta_{0g} + \theta'_{n.} \eta'_{.g} + \theta''_{n.} \eta''_{.g}) \quad (2)$$

$$\theta_{nr} \sim \text{Gamma}(a, b), \quad \beta_{rq} \sim \text{Gamma}(c, d), \quad \eta_{rg} \sim \text{Gamma}(e, f), \quad (3)$$

where $\theta = [\mathbf{1}_N, \theta'_{N \times K}, \theta''_{N \times L} \odot C_{N \times L}]$ is an $N \times (1 + K + L)$ matrix, $\mathbf{1}_N$ is a column vector of ones for the bias term, \odot is the Hadamard product, $\beta = [\beta_0; \beta'_{K \times Q}; \beta''_{L \times Q}]$ is a $(1 + K + L) \times Q$ matrix, and $\eta = [\eta_0; \eta'_{K \times G}; \eta''_{L \times G}]$ is a $(1 + K + L) \times G$ matrix of genetic factors.



We can also write the likelihood as:

$$y_{nq} | \theta_{n.}, \beta_{.q} \sim \text{Poisson}(\theta_{n.} \beta_{.q}) \quad (4)$$

$$x_{ng} | \theta_{n.}, \eta_{.g} \sim \text{Poisson}(\theta_{n.} \eta_{.g}) \quad (5)$$

- We use mean-field variational inference for learning.

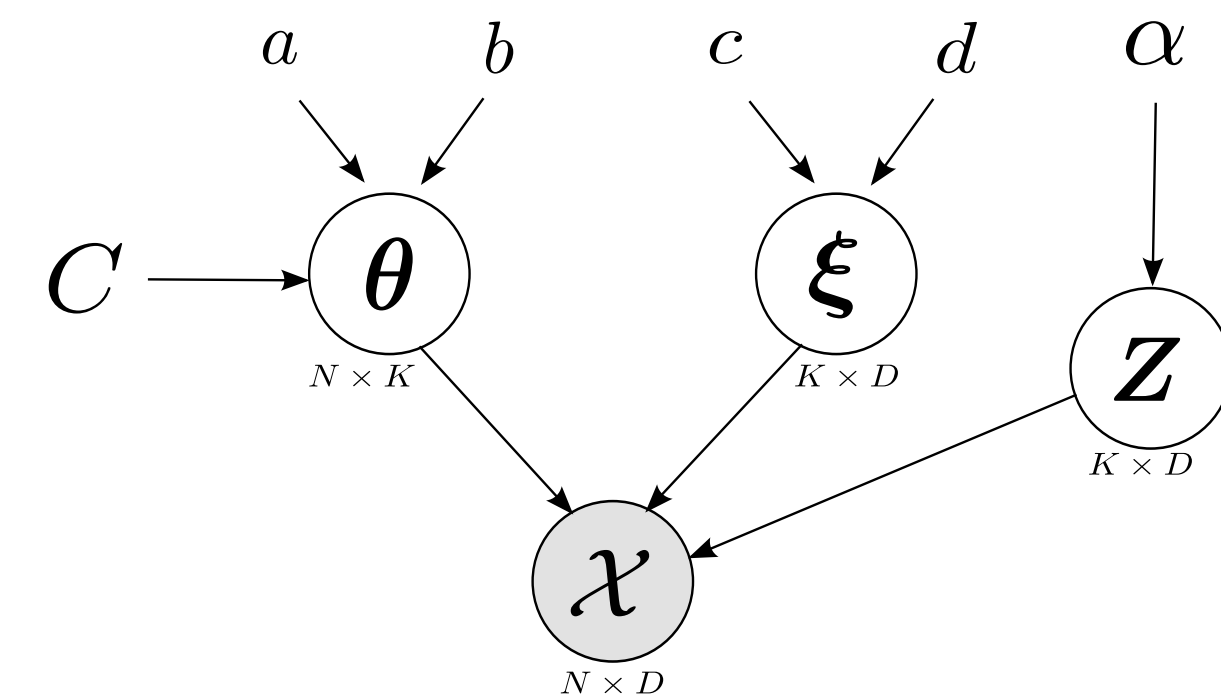
NON-PARAMETRIC SPARSE CC-PFM

- We introduce 2 binary matrices Z_β and Z_η that work as a mask on the factor matrices. In other words, we replace the Gamma priors by Spike-and-slab priors. The likelihoods are now:

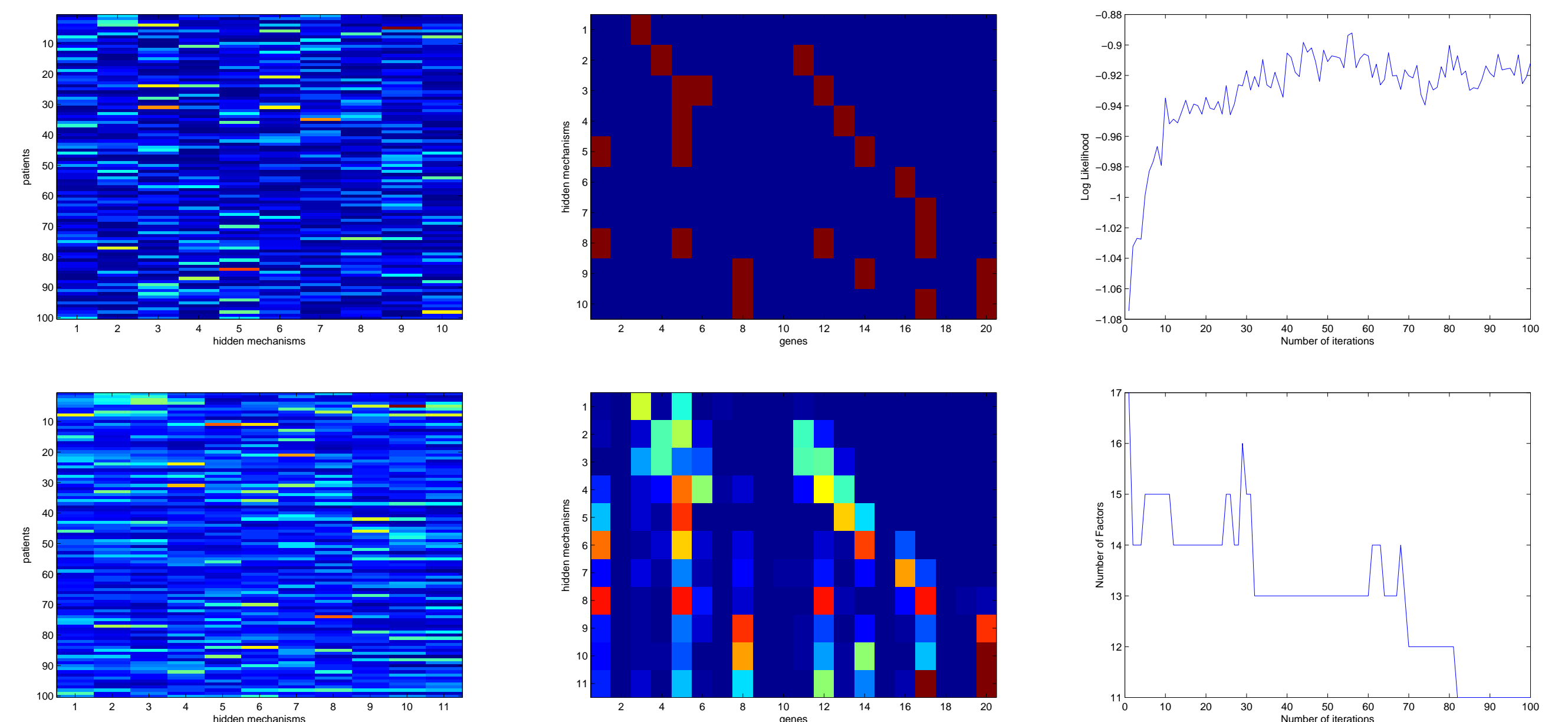
$$y_{nq} | \theta_{n.}, \beta_{.q} \sim \text{Poisson}(\theta_{n.} (Z_\beta \odot \beta_{.q})) \quad (6)$$

$$x_{ng} | \theta_{n.}, \eta_{.g} \sim \text{Poisson}(\theta_{n.} (Z_\eta \odot \eta_{.g})) \quad (7)$$

- We use an Indian Buffet Process prior on the matrices Z_β and Z_η to make $K \rightarrow \infty$. That is, $Z \sim \text{IBP}(\alpha)$ where $Z = [Z_\eta, Z_\beta]^T$. This model extends the model in [2].
- Simpler notation: $\xi = [\beta, \eta]$ and $\mathcal{X} = [Y, X]$.
- Inference: Gibbs sampling + slice sampling for matrix Z [3].



The Idea: We show θ and Z , ground truth (top) and inferred (bottom).



RESULTS

	Textual topics $\beta'_{k.}$		Genetic topics $\eta'_{k.}$
	Factor 0 (Bias)	demonstrated, oncologist, suv, died, involvement	TP53
Free Associations	Factor 1	adenocarcinoma, pleural, woman, smoker	PIK3CA, RB1
	Factor 2	pelvic, female, woman, endometrial, vaginal	NRAS
	Factor 3	cisplatin, squamous, icterus, kg, exertion	SPEN
	Factor 4	m, icterus, colon, fluid, ascites, cavity, hepatomegaly	KRAS
	Factor 5	folfox, colorectal, anc, colon, oxaliplatin	APC, KRAS, CIC
	Factor 6	brain, hemangiopericytoma, female, parietal	FUBP1, AXL
	Factor 7	breast, woman, adjuvant, female, mastectomy	PIK3CA, CDH1
Cancer Specific	Textual topics $\beta''_{l.}$		Genetic topics $\eta''_{l.}$
	Appendiceal	mucinous, debulking, intraperitoneal, appendectomy	KRAS, GNAS
	Bladder	bladder, urothelial, gemcitabine, invasive, cisplatin	TERT, KDM6A
	Breast Carcinoma	breast, mastectomy, invasive, husband, female	PIK3CA, GATA3
	Melanoma	melanoma, ipilimumab, database, toe, temozolomide	MYCN, RAD51
	Small Cell Lung	etoposide, smoker, cisplatin, reassessment, irinotecan	RB1
	Soft Tissue	sarcoma, gentleman, adjuvant, ifosfamide, c, adriamycin	MYOD1

Future work

- *Sparsity*: IBP ties the total number and per-row number of hidden features. This property is undesired a priori, and should be relaxed.
- *Validation*: What is the statistical significance of the inferred factors? Direct testing using statistical tests for stratified categorical data [4].
- *Scalability*: Inference using Stochastic Variational Inference.
- *Flexibility*: Introduce better conditional dependence on the confounders.

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This work is based on unpublished MSKCC data.



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