

GC33 Antibody Treatment against Liver Cancer Status Report 3

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Outline

- 1 Overview
- 2 Methodology
- 3 Joint Analysis: In the last meeting...
- 4 Two-step Analysis: first Placebo and then Drug

Internship Overview: Key Questions

- 1 How well can we predict Survival?
- 2 Which features have an impact on Survival? How? → prognostic biomarkers (regardless of treatment).
- 3 Which features make the drug work? How? → predictive biomarkers.

Notation: y : $N \times 1$ Time to First PD in months (Survival)
 X : $N \times D$ matrix of heterogeneous features

Focus: Survival Prediction

How well can we predict Survival?

- **Supervised approaches**

$$y = f(X) + \epsilon \quad (1)$$

- Prediction using 4 different methods:

- ① Linear Regression
- ② Lasso (Penalized LR)
- ③ Gaussian Process
- ④ **Random Forest**

- Identified Prognostic Variables according to Random Forest, at the top: CD56T, DN-PRE, CTROUGH3D1-DD, NKP46-MESF, C Reactive Protein.
- Problems: too few data for supervised learning. All variables roughly same relevance level.

Focus: Biomarker Discovery

Which features have an impact on Survival? How?

- **Unsupervised approaches**

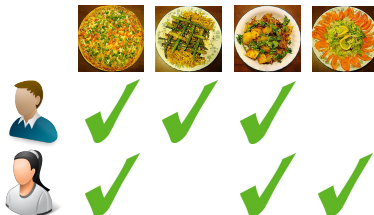
$$f(y, X) \quad (2)$$

- We considered the following approaches:
 - ① Dimensionality Reduction: Principal Component Analysis
 - ② Dependency Metric: Map of Variables according to Mutual Information
 - ③ **Latent Feature Model**
- Focus: Biomarker Discovery using the Indian Buffet Process.

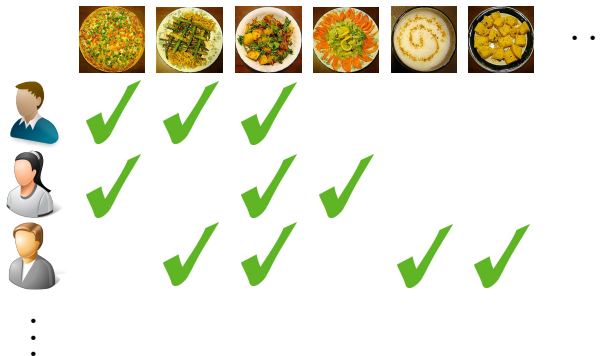
Indian Buffet Process












Indian Buffet Process



Indian Buffet Process

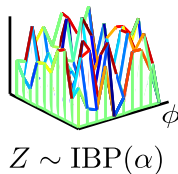
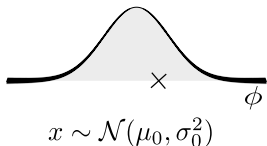


Indian Buffet Process

							...
	1	1	1	0	0	0	
	1	0	1	1	0	0	
	0	1	1	0	1	1	
...							

Biomarker Discovery with the Indian Buffet Process

- Distribution over binary matrices $Z_{N \times K}$ where $K \rightarrow \infty$
- Finite N implies finite number of non-zero columns K_+ .



N patients
 D covariates
 K latent features

$$\begin{matrix} N \times D \\ \boxed{} \\ X \end{matrix} = f \left(\begin{matrix} D \times K \\ \boxed{} \\ Z \end{matrix} \cdot \begin{matrix} K \times D \\ \boxed{} \\ B \end{matrix} \right)$$

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Methodology

- 1 Run IBP to identify interesting patient groups
- 2 Define group of interest G^* and reference G^B
- 3 Do Bootstrapping (to deal with low N)
- 4 Compute measure of Effect Size
- 5 Compute measure of Significance

Bootstrapping

- n_j : number of patients in group G_j
- for $l = 1 \cdots L$, do
 - Build new group \widetilde{G}_{jl} by sampling n_j patients from G_j with replacement.
 - Compute statistic of interest.
- We then get a distribution of the statistic (more accurate + uncertainty measure).

Measure of Effect Size

- For continuous variable d :

$$\beta_d = \frac{1}{L} \sum_{l=1}^L \log_2 \left(\frac{\mu_d(\widetilde{G}_l^*)}{\mu_d(\widetilde{G}_l^B)} \right) \quad (3)$$

- For categorical variable r :

$$\beta_r = \frac{1}{L} \sum_{l=1}^L \left(\mu_d(\widetilde{G}_l^*) - \mu_d(\widetilde{G}_l^B) \right) \quad (4)$$

Measure of Significance

Continuous Variables

For continuous variables, compute:

- Deviation compared to G^* variance

$$\gamma^* = \frac{|\mu_d(G^*) - \mu_d(G^B)|}{\sigma_d(G^*)} \quad (5)$$

- Deviation compared to G^B variance

$$\gamma^B = \frac{|\mu_d(G^*) - \mu_d(G^B)|}{\sigma_d(G^B)} \quad (6)$$

- T-test: Standard statistical test to compare two groups of data.

Measure of Significance

Categorical Variables

For categorical variables, compute:

- Distance to Binomial Mean
 - Fit a Binomial distribution to G^B
 - A variable r is considered significant if $\mu_r(G^*)$ is outside confidence interval
- Fisher Exact Test: Standard statistical test for contingency tables.

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In the last meeting... 1/5

- Analysis of all patients (both Placebo and Drug)
- Placebo patients forced to signature: 1 0 0 0 0
- Identified group G^* of 25 patients with longer survival
- We defined 4 different reference groups G_j^B

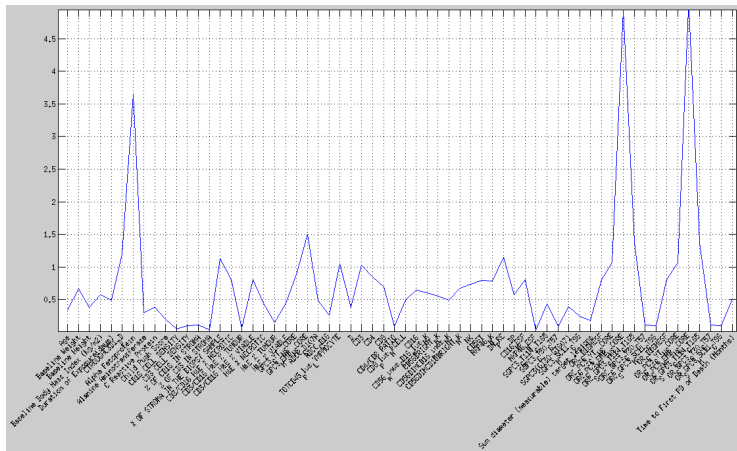
1.1. pattern: 1 0 0 0 0	60 patients	PLACEBO	survival: mean=3.22 median=1.52
1.2. pattern: 1 0 0 0 0	43 patients	DRUG	survival: mean=2.80 median=2.04
1. pattern: 1 0 0 0 0	103 patients		survival: mean=3.04 median=1.64
2. pattern: 1 0 0 0 1	5 patients		survival: mean=1.54 median=1.22
3. pattern: 1 0 0 1 0	15 patients		survival: mean=4.08 median=3.81
4. pattern: 1 0 0 1 1	1 patients		survival: mean=4.07 median=4.07
5. pattern: 1 0 1 0 0	20 patients		survival: mean=2.98 median=1.29
6. pattern: 1 0 1 0 1	2 patients		survival: mean=1.22 median=1.22
7. pattern: 1 0 1 1 0	8 patients		survival: mean=3.60 median=3.90
8. pattern: 1 0 1 1 1	2 patients		survival: mean=10.83 median=10.82
9. pattern: 1 1 0 0 0	3 patients		survival: mean=3.16 median=2.43
10. pattern: 1 1 0 1 0	10 patients		survival: mean=5.00 median=5.32
11. pattern: 1 1 0 1 1	1 patients		survival: mean=0.99 median=0.99
12. pattern: 1 1 1 0 0	5 patients		survival: mean=3.54 median=1.48
13. pattern: 1 1 1 0 1	2 patients		survival: mean=8.10 median=8.10
14. pattern: 1 1 1 1 0	2 patients		survival: mean=6.36 median=6.36
15. pattern: 1 1 1 1 1	1 patients		survival: mean=11.66 median=11.66

- Measure of Effect Size for Continuous Variables

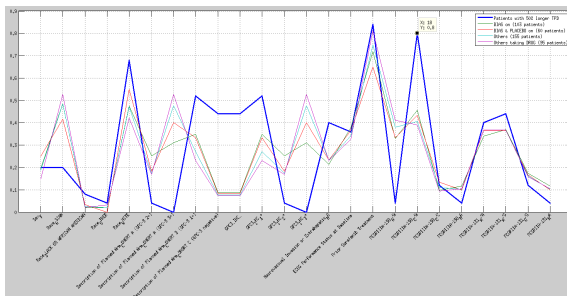


In the last meeting... 3/5

● Measure of Significance for Continuous Variables



- Measure of Effect Size and Significance for Discrete Variables



```

GPC3_IHC_3+ = -0.3967
FCGR3IIIA-158_C/A = 0.3118
GPC3_IHC_ = 0.3087
FCGR3IIIA-158_A/A = -0.2639
Race_ASIAN = -0.2030
Description of Planned Arm_COHORT B (GPC-3 1+) = 0.1719
GPC3_IHC_1+ = 0.1719
Race_WHITE = 0.1273
Macrovascular Invasion or Extrahepatic_N0 = 0.0932
Description of Planned Arm_COHORT A (GPC-3 2+) = -0.0780
GPC3_IHC_2+ = -0.0780
Prior Sorafenib Treatment = 0.0254
FCGR3IIA-131_NA = -0.0202
Race_BLACK OR AFRICAN AMERICAN = 0.0152

```

In the last meeting... 5/5

- Possible key variables are the following:
 - Lower GPC3-MEMB-H-SCORE
 - Lower Alpha Fetoprotein
 - Lower SGPC3-GT114-GT165
 - Higher probability for FCGR3A-158, C/A (lower allele A/A)
 - Higher DN-PRE
 - Higher probability for GPC3-IHC- (lower GPC3-IHC-3+)
 - ...
- Some problems:
 - Unexpected direction for variables in blue
 - Prognostic + Predictive variables mixed

Which variables impact survival Vs which ones make the treatment work?

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First Placebo

- Run IBP on Placebo patients

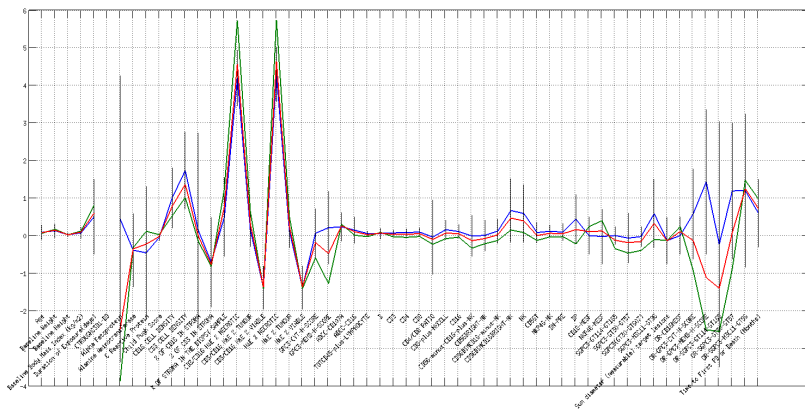
```
nk = 60  20  9
ALL                                     meanTPFD=3.22, medianTFPD=1.52
1. Pattern: 1  0  0 numPat=33, meanTPFD=3.17, medianTFPD=1.48
2. Pattern: 1  0  1 numPat= 7, meanTPFD=4.51, medianTFPD=3.22
3. Pattern: 1  1  0 numPat=18, meanTPFD=2.43, medianTFPD=1.46
4. Pattern: 1  1  1 numPat= 2, meanTPFD=6.55, medianTFPD=6.55
```

- Identify Interesting groups
 - G_{strong}^P : Placebo patients with longer survival naturally (patterns 2,4)
 - G_{normal}^P : Placebo patients having an average survival
 - G_{B1}^P : pattern 1
 - G_{B2}^P : pattern 3
 - G_{B3}^P : pattern 1 & 3, complementary to G_{strong}^P

First Placebo

Measure of Effect Size

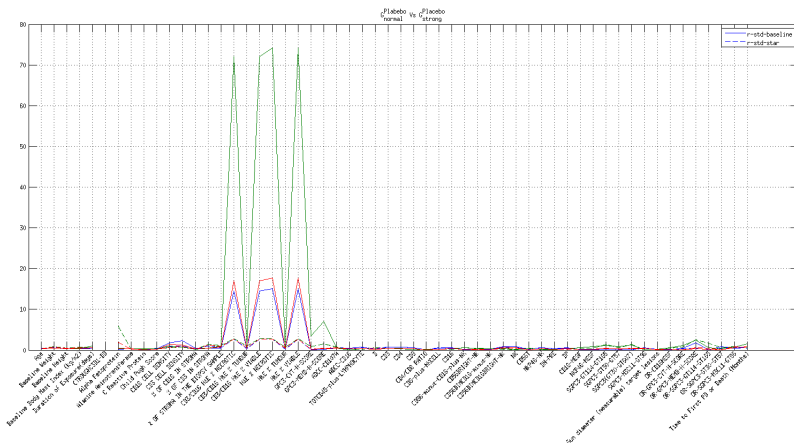
● Measure of Effect Size for Continuous Variables



First Placebo

Measure of Significance for continuous variables

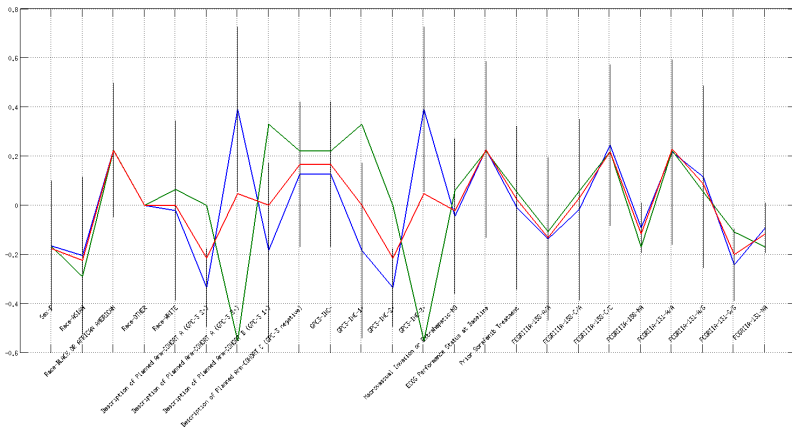
- Measure of Significance for continuous variables



First Placebo

Measure of Effect Size for discrete variables

- Measure of Effect Size for discrete variables



First Placebo

Measure of Significance for continuous/discrete variables

- Statistical measures of Effect Significance

T-TEST

```
H&E % NECROTIC:4.6818e-17
H&E % VIABLE:4.6818e-17
CD3/CD16 H&E % NECROTIC:1.0417e-15
CD3/CD16 H&E % VIABLE:1.0417e-15
CD3 CELL DENSITY:7.8890e-04
CD16 CELL DENSITY:4.6483e-03
OR-GPC3-MEMB-H-SCORE:3.3821e-02
```

FISHER TEST

```
FCGRIIA-131-C/G:1.0825e-05
Sex-F:1.0401e-04
FCGRIIIA-158-NA:1.9980e-04
FCGRIIA-131-NA:1.9980e-04
Race-ASIAN:2.1021e-04
FCGRIIIA-158-A/A:7.0105e-04
FCGRIIIA-158-C/A:2.9941e-02
```

First Placebo

Conclusions

- Two communities in Placebo
- Patients have longer survival if (high impact):
 - High CD3/CD16 H&E % NECROTIC
 - Low CD3/CD16 H&E % VIABLE
 - High H&E % NECROTIC
 - Low H&E % VIABLE
- Smaller Effect Size:
 - Lower probability for FCGR3A-131, G/G
 - Higher probability for FCGR3A-158, C/A (lower allele A/A)
 - Lower probability for Asian
 - Lower probability for Female

Second Drug

- Fix latent features for Placebo patients and run IBP with all patients.
- We only infer features of Drug patients

```
nk = 120   44   19   28
DRUG                                meanTPFD=3.54, medianTFPD=2.62
1. Pattern: 1 0 0 0 numPat=46, meanTPFD=3.40, medianTFPD=2.83
2. Pattern: 1 0 0 1 numPat=17, meanTPFD=3.83, medianTFPD=3.81
3. Pattern: 1 0 1 0 numPat=10, meanTPFD=2.46, medianTFPD=1.30
4. Pattern: 1 0 1 1 numPat= 3, meanTPFD=2.10, medianTFPD=1.25
5. Pattern: 1 1 0 0 numPat=32, meanTPFD=3.30, medianTFPD=1.38
6. Pattern: 1 1 0 1 numPat= 6, meanTPFD=4.10, medianTFPD=3.93
7. Pattern: 1 1 1 0 numPat= 4, meanTPFD=5.12, medianTFPD=2.08
8. Pattern: 1 1 1 1 numPat= 2, meanTPFD=10.83, medianTFPD=10.82
```

- One new feature created
- When 4th feature is active, generally longer survival

Second Drug

Identification of Interesting Groups

- Identify Interesting groups: $G_{normal}^{Placebo}$, G_{normal}^{Drug-} , G_{normal}^{Drug+} ,
 $G_{strong}^{Placebo}$, G_{strong}^{Drug-} , G_{strong}^{Drug+}

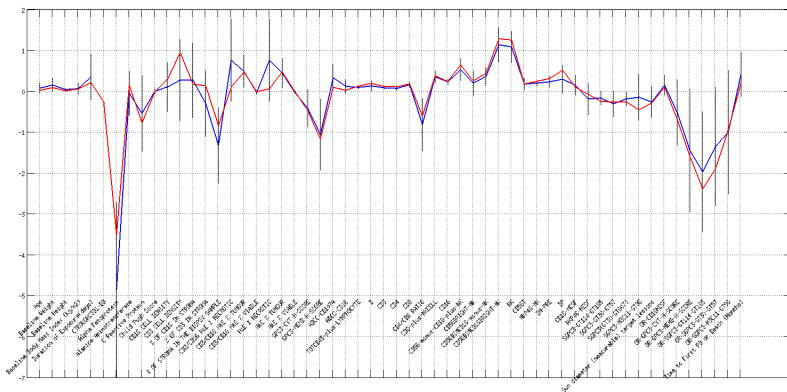
Patients Categorization: X P Mean Median (TFPD)

	Placebo	Drug –	Drug +
Normal (total)	51P 2.91 1.47	78P 3.36 2.24	23P 3.90 3.84
100–	33P 3.17 1.48	46P 3.40 2.83	17P 3.83 3.81
110–	18P 2.43 1.46	32P 3.30 1.38	06P 4.10 3.93
Strong (total)	09P 4.96 3.96	14P 3.22 1.52	05P 5.59 5.08
101–	07P 4.51 3.22	10P 2.46 1.30	03P 2.10 1.25
111–	02P 6.55 6.55	04P 5.12 2.08	02P 10.83 10.82
Last Feature	–0	–0	–1

Second Drug

Measure of Effect Size

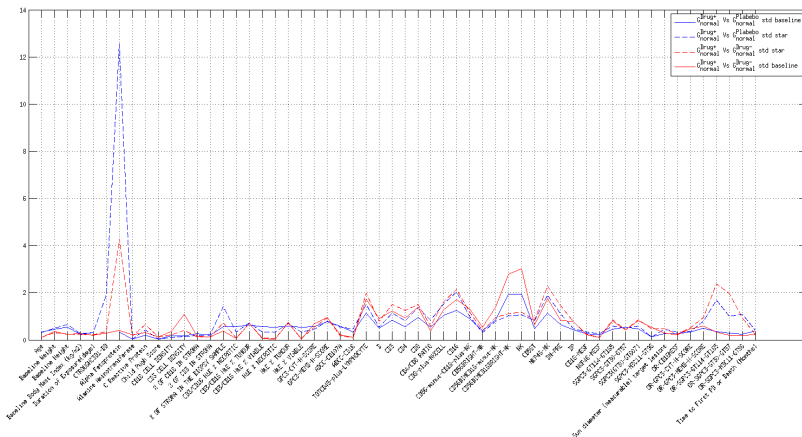
● Measure of Effect Size for Continuous Variables



Second Drug

Measure of Significance for continuous variables

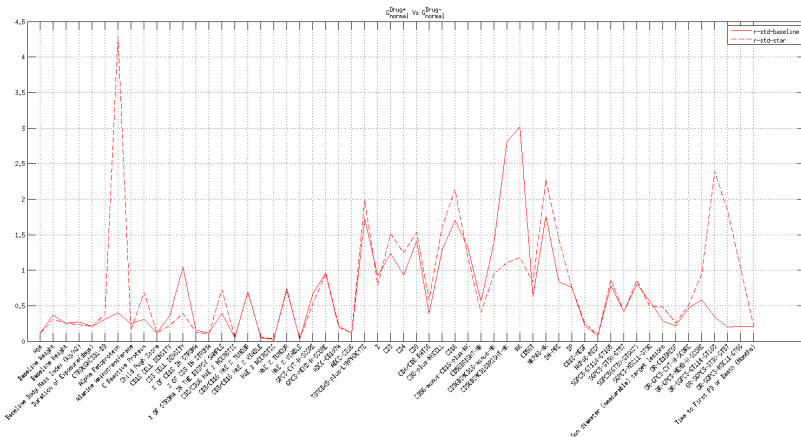
- Measure of Significance for continuous variables



Second Drug

Measure of Significance for continuous variables

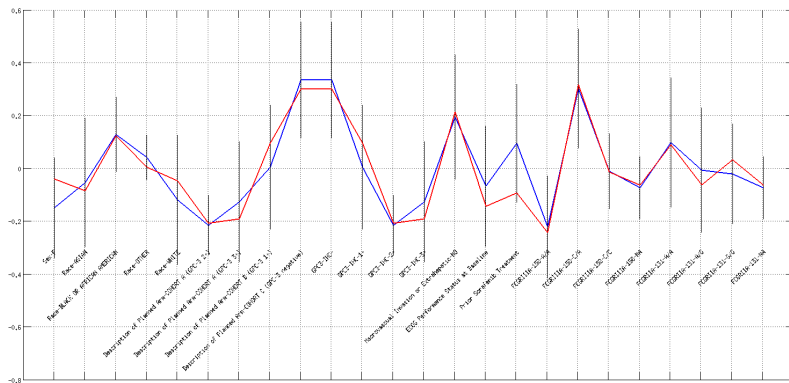
- Measure of Significance for continuous variables



Second Drug

Measure of Effect Size for discrete variables

● Measure of Effect Size for discrete variables



Second Drug

Measure of Significance for discrete variables

- Measure of Effect Significance for discrete variables

BINOMIAL TEST

```

FCGRIIIA-158-C/A = 0.2194, val=0.7419 and conf=[0.33 - 0.52]
GPC3-IHC-2+ = -0.1331, val=0.0000 and conf=[0.13 - 0.29]
Macrovascular Invasion or Extrahepatic-NO = 0.1233, val=0.4321 and conf=[0.14 - 0.31]
GPC3-IHC-3+ = -0.0962, val=0.2562 and conf=[0.35 - 0.55]

```

FISHER TEST

```

Description of Planned Arm-COHORT A (GPC-3 2+):2.6517e-11
GPC3-IHC-2+:2.6517e-11
FCGRIIIA-158-A/A:4.7740e-09
Description of Planned Arm-COHORT A (GPC-3 3+):3.2717e-06
GPC3-IHC-3+:3.2717e-06
FCGRIIIA-158-NA:7.4156e-06
FCGRIIA-131-NA:7.4156e-06
ECOG Performance Status at Baseline:1.0688e-05
Sex-F:2.7114e-05
FCGRIIIA-158-C/C:1.2550e-04
FCGRIIA-131-A/G:4.5416e-04
FCGRIIA-131-G/G:8.3508e-04
Race-ASIAN:8.6652e-04
Race-WHITE:3.4180e-03
Race-OTHER:5.2991e-03
Description of Planned Arm-COHORT B (GPC-3 1+):9.4299e-03
GPC3-IHC-1+:9.4299e-03
FCGRIIA-131-A/A:2.2316e-02

```

Second Drug

- Possible predictive variables are the following:
 - Lower Alpha Fetoprotein
 - Lower OR-SGPC3-GT114-GT165
 - Higher NK
 - Higher CD56DIMCD16BRIGHT-NK
- Higher probability for FCGR3A-158, C/A
- Higher probability for GPC3-IHC- (lower GPC3-IHC-2+, GPC3-IHC-3+)

Conclusion

- From First Placebo Analysis, prognostic variables:
 - High CD3/CD16 H&E % NECROTIC
 - Low CD3/CD16 H&E % VIABLE
 - High H&E % NECROTIC
 - Low H&E % VIABLE
 - Lower probability for FCGR3A-131, G/G
 - Higher probability for FCGR3A-158, C/A (lower allele A/A)
- From Second Drug Analysis, predictive variables:
 - Lower Alpha Fetoprotein
 - Lower OR-SGPC3-GT114-GT165
 - Higher NK
 - Higher CD56DIMCD16BRIGHT-NK
 - Higher probability for FCGR3A-158, C/A
 - Higher probability for GPC3-IHC- (lower GPC3-IHC-2+, GPC3-IHC-3+)