

# Narrative Summarization

## Candidacy Exam

Melanie Subbiah - March 10, 2023

## 1. Narrative Summarization

What is narrative summarization and why is it important?

## 2. Long Documents

How can we summarize very long narratives?

## 3. Controllability

How do we target summaries to specific tasks/users?

## 4. Evaluation

Can we automatically evaluate how well a system works?

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# What is narrative?

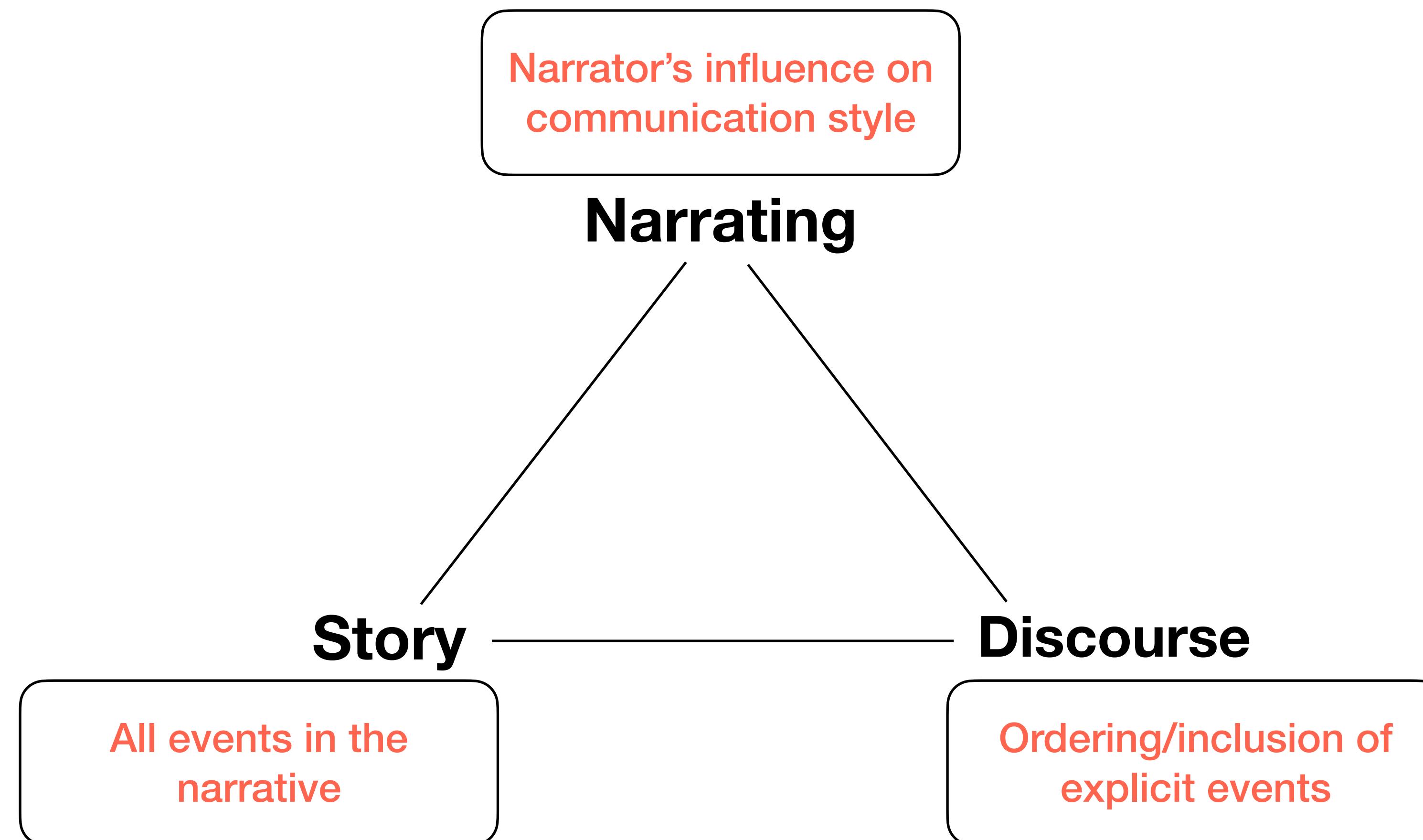
“Narrative roots itself in the **lived, felt experience** of human or human-like agents interacting in an ongoing way with their surrounding environment... [Narrative] is a **basic human strategy** for coming to terms with **time, process, and change**.”

# What is narrative?

Different definitions across cultures/traditions with common elements:

- **State change**
- **Sequence of events**
- **Communication context**
- **Human-like experiencer**

# What is narrative?



*Narrative Discourse: An Essay in Method*, Genette (1983) - shown in *Narrative Theory for Computational Narrative Understanding*, Piper et al. (2021)

# What is narrative?

Huge variation:

- books 
- screenplays 
- online birth stories 
- ... (investigative journalism, interviews, etc.)

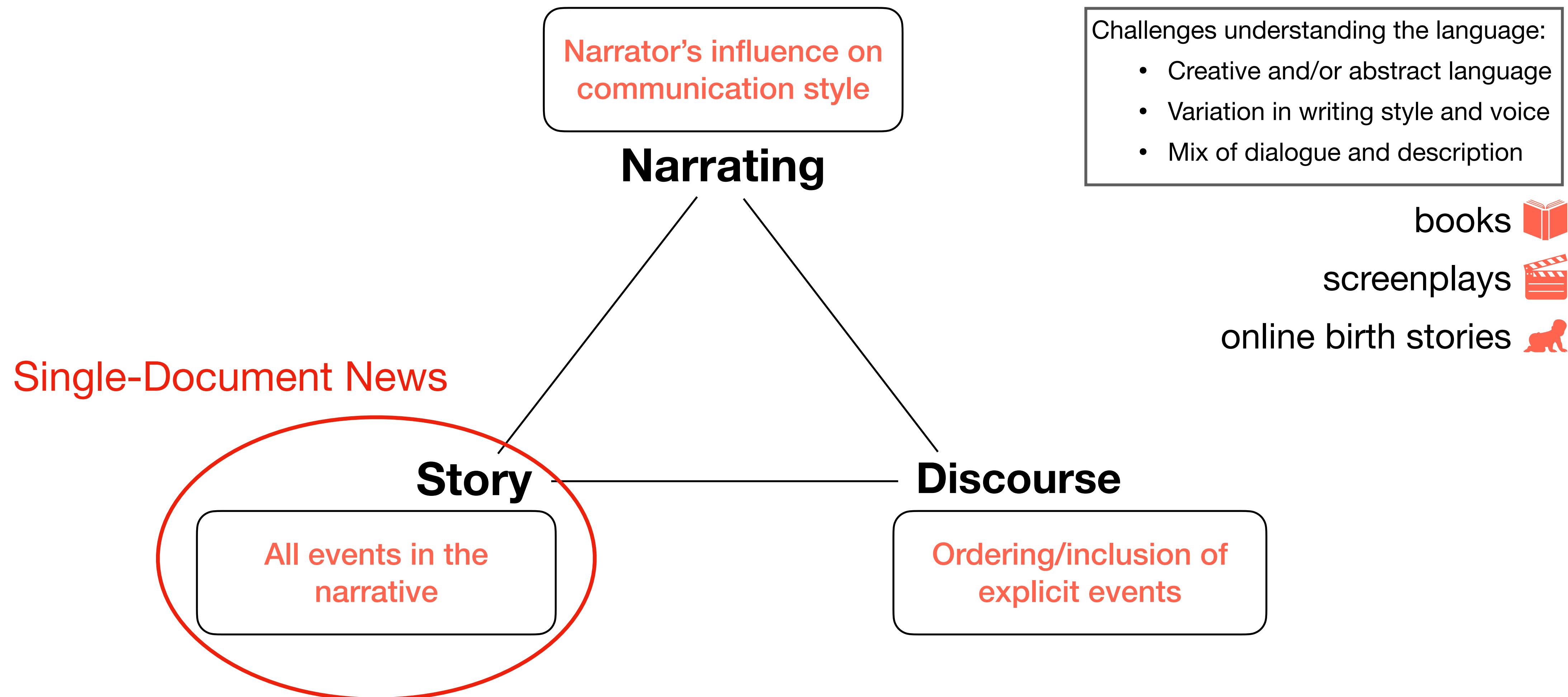
# Why is summarizing narrative important?

**Human** - universal part of communication, teaching, and understanding the world

**Practical** - narratives can be long and complex → we need summaries

**Technical** - automatic narrative summarization demonstrates advanced summarization ability

# Why is summarizing narrative difficult?



*Narrative Discourse: An Essay in Method*, Genette (1983) - shown in *Narrative Theory for Computational Narrative Understanding*, Piper et al. (2021)

# Why is summarizing narrative difficult?

Unique technical challenges:

- Working with very long narratives Long Documents
- Generating context-dependent summaries Controllability
- Evaluating summary quality Evaluation

# Narrative/Summary Variants

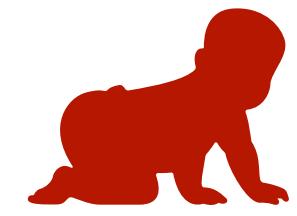
 Online birth stories

 Screenplays

 Books

# Narrative/Summary Variants

## 1. ***Narrative Paths and Negotiation of Power in Birth Stories***, Antoniak et al. (2019)



*I finally had my gorgeous baby girl at 41 weeks and 3 days on 3/3/2017! So I heard from 37 weeks that because of the size of the baby, I probably wouldn't be able to get all the way to 40 weeks and induction might be necessary. Well 39 weeks came and my doctor said that I shouldn't go past 41 weeks.*

...

*8:30 AM: AT LAST at 41+1 I went to my appointment and was sent to hospital. I go as fast as I can to labor and delivery knowing that they'll have to schedule an induction. Baby was fine in his current spot but the on call OB decided to start an induction.*

...

*My partner was really relieved that I decided to get an epidural...I think he was getting nervous! Since he was witnessing me in pain. Honestly the epidural wasn't bad, especially comparing the short term pain to the endless contractions.*

...

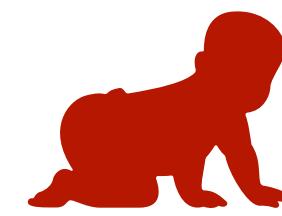
*I kept telling the nurse that I felt some pressure, and she was so surprised when she checked me. The nurse said that I was 10cm and ready to push! She went to get the midwife and I began pushing.*

...

*He scored 9/10 and immediately latched. Breastfeeding wasn't as strange as I expected and it actually came really naturally. All my fears were unnecessary after all.*

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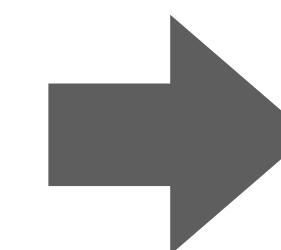
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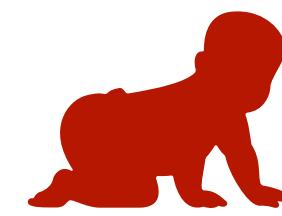
Persona

N-Grams

AUTHOR	I, me, myself
We	we, us, ourselves
BABY	baby, son, daughter
DOCTOR	doctor, dr, doc, ob, obgyn, gynecologist, physician
PARTNER	partner, husband, wife
NURSE	nurse
MIDWIFE	midwife
FAMILY	mom, dad, mother, father, brother, sister
ANESTHESIOLOGIST	anesthesiologist
DOULA	doula

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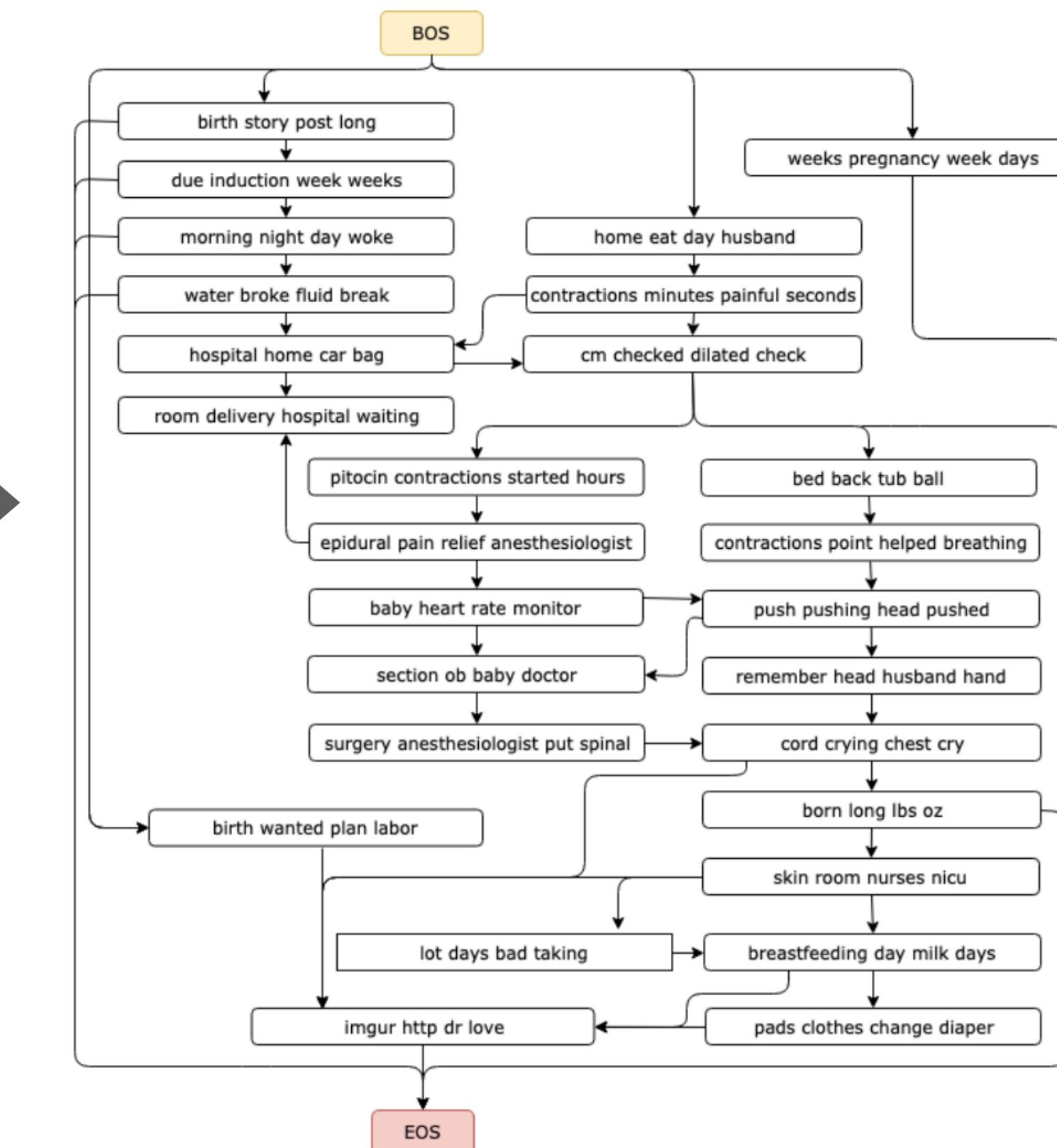
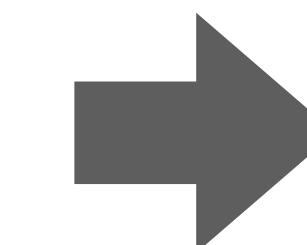
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2. *Screenplay Summarization Using Latent Narrative Structure*, Papalampidi et al. (2020)



Screenplay

INT. RANGER'S OFFICE - M.S.  
RANGER sitting at radio with phone to ear.  
RANGER  
(into phone)  
Yes, I'm afraid a lot of lines around here are down, due to the storm.

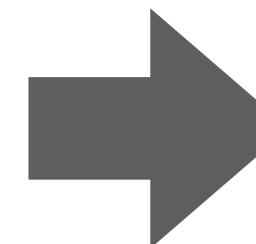
HALLOREN, phone to ear, at window.  
HALLOREN  
(into phone)  
Well, look sir, I hate to put you in any trouble, but there's a family there all by themselves with a young kid, and with this storm and everything.

RANGER  
(into phone)  
I'd sure appreciate it if you'd give them a call on your radio just to see if everything is okay.

INT. RANGER'S OFFICE - M.S.  
RANGER sitting at radio, phone to ear.  
RANGER  
(into phone)  
I'd be glad to do that, sir. Oh why don't you call me back in about oh twenty minutes?

HALLOREN  
(over phone)  
Thank you very much. I'll do that.

RANGER  
(into phone)  
All right, sir.



Video summary



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3. ***SummScreen: A Dataset for Abstractive Screenplay Summarization***, Chen et al. (2022) 

## Transcript:

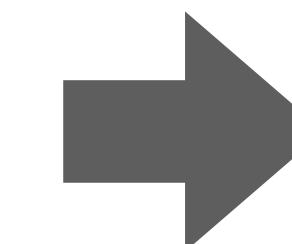
[ The apartment ]

Sheldon : What color would you like to be ?  
 Leonard : Well , I 'd like to be green , but you know you always take it .  
 Sheldon : That 's not true . Any color 's fine with me . Yeah , I could be a - a combination of blue and yellow .  
 Leonard : Blue and yellow make green .  
 Sheldon : Well , then it 's settled .

Penny : Hi . Ready to go ?  
 Sheldon : Oh , good news , we ordered lunch , so we can all stay here and play Lord of the Rings Risk .

Amy : Sheldon , we said that we would play games with you tonight .  
 Sheldon : Oh , no , we 'll still be playing it tonight , this game can easily take eight hours .

Penny : Sweetie , you really thought I 'd want to do this ?  
 Leonard : No .  
 Penny : Well , did you tell him that ?  
 Leonard : Yes .  
 Penny : Did you say it out loud with words ?  
 Leonard : No .  
 Penny : I do n't want to spend the whole day playing a board game .



## Recap:

Sheldon and Leonard are happy playing **a board game** until Amy and Penny say they are tired of doing what the guys want ...

# Narrative/Summary Variants - Books



## Datasets

Paper	Input Data	Reference Summaries	Input Length
<b>Exploring Content Selection in Summarization of Novel Chapters</b> , Ladhak et al. (2020)	Project Gutenberg novels	Study guide websites	Chapter
<b>BookSum: A Collection of Datasets for Long-form Narrative Summarization</b> , Kryściński et al. (2021)	Project Gutenberg stories, plays and novels	Study guide websites	Paragraph, chapter, and book
<b>Recursively Summarizing Books with Human Feedback</b> , Wu et al. (2021)	GPT-3 Books1 and Books2	Human-written	Book

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**Note:**

Free unpublished or past-copyright books

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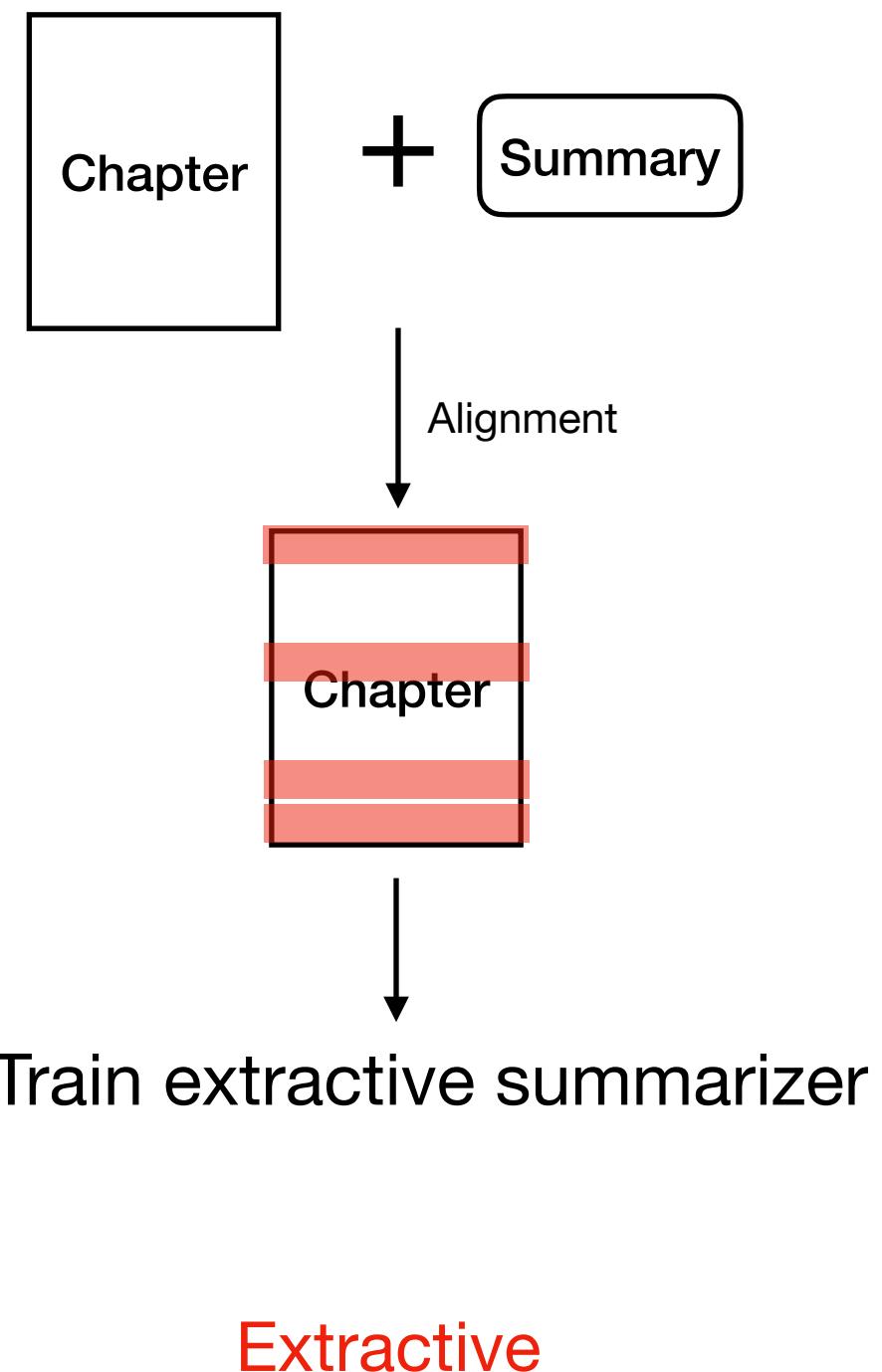
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## Methods

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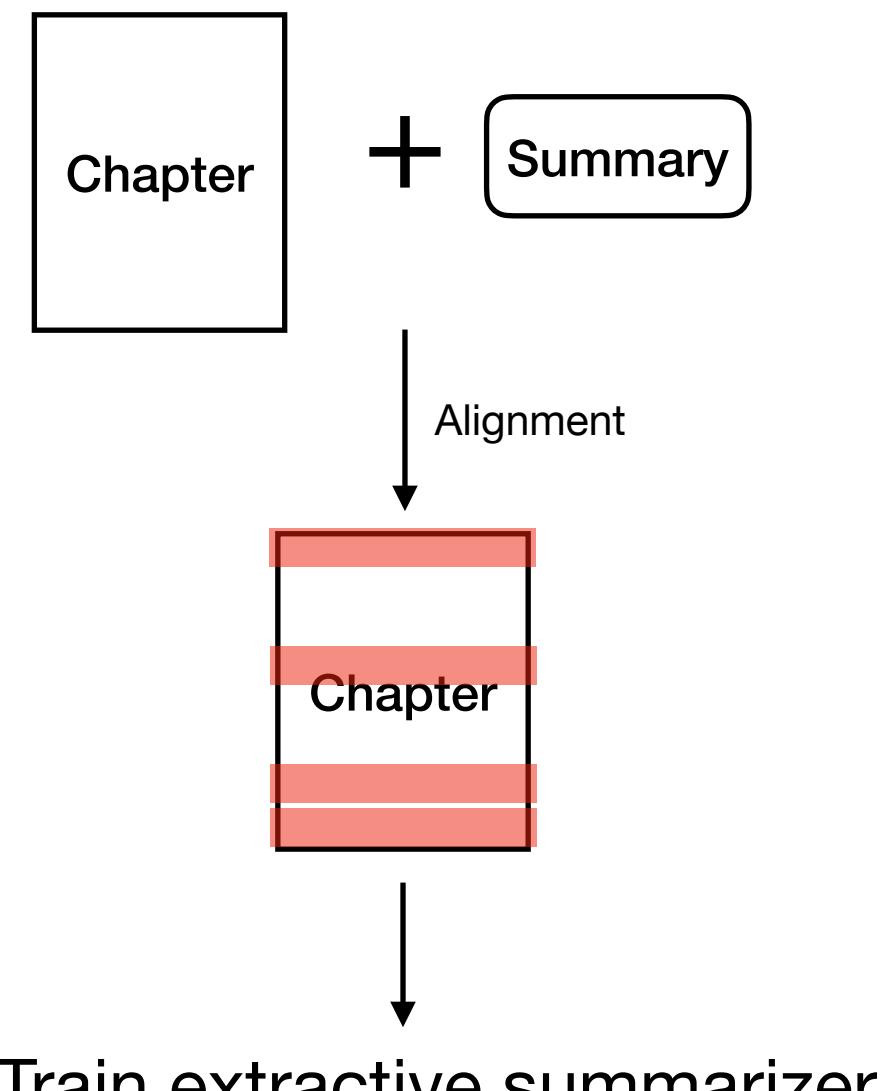
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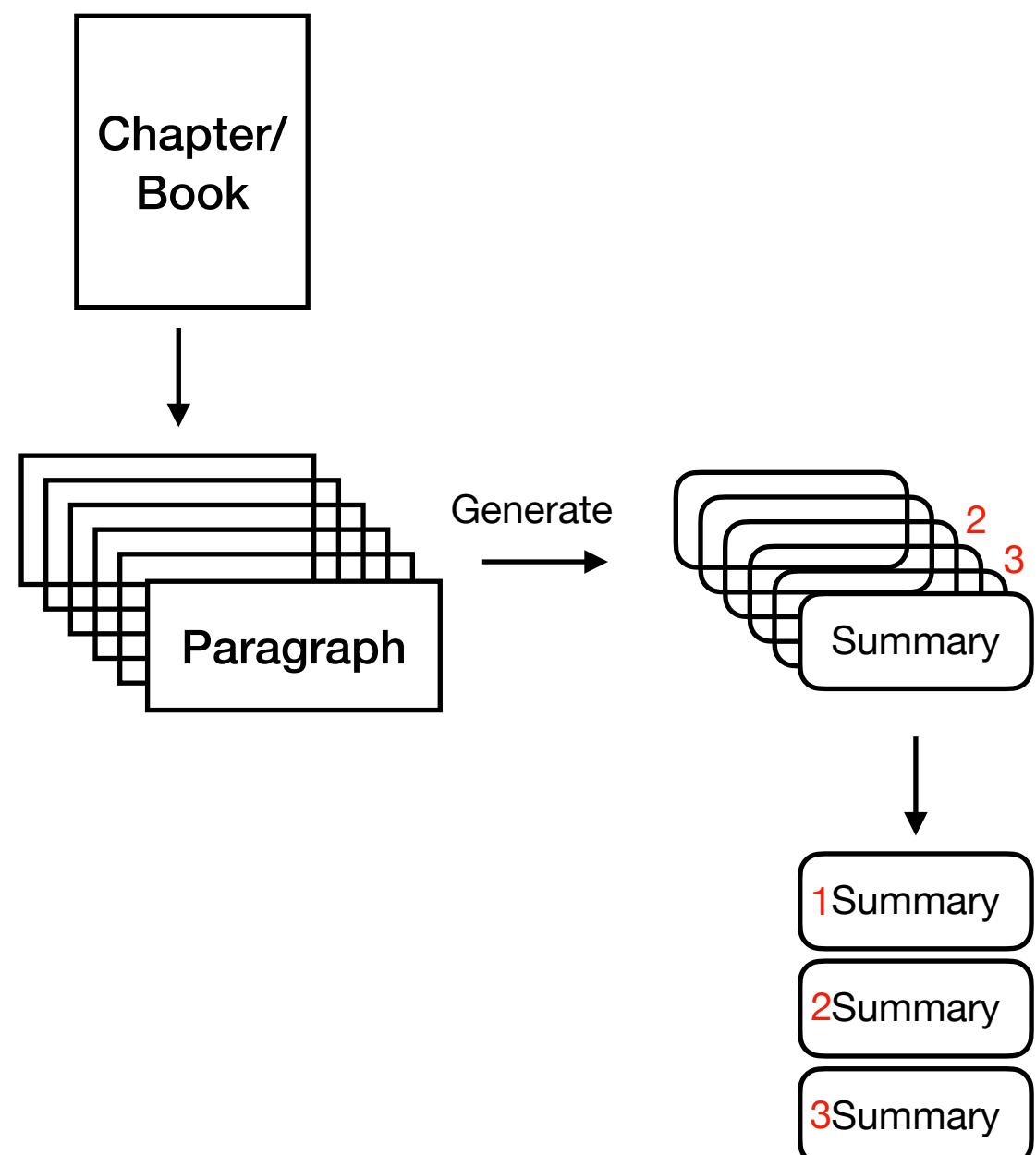
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Extractive

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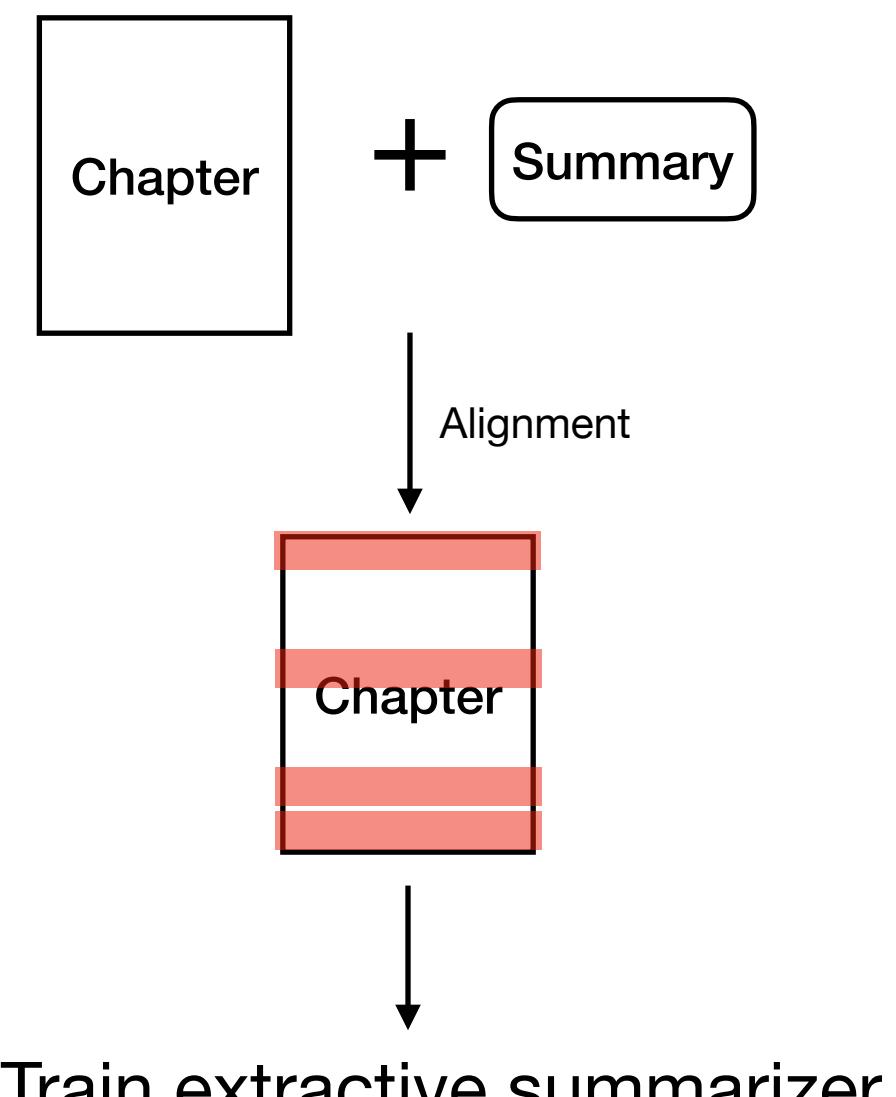
Extractive + Abstractive

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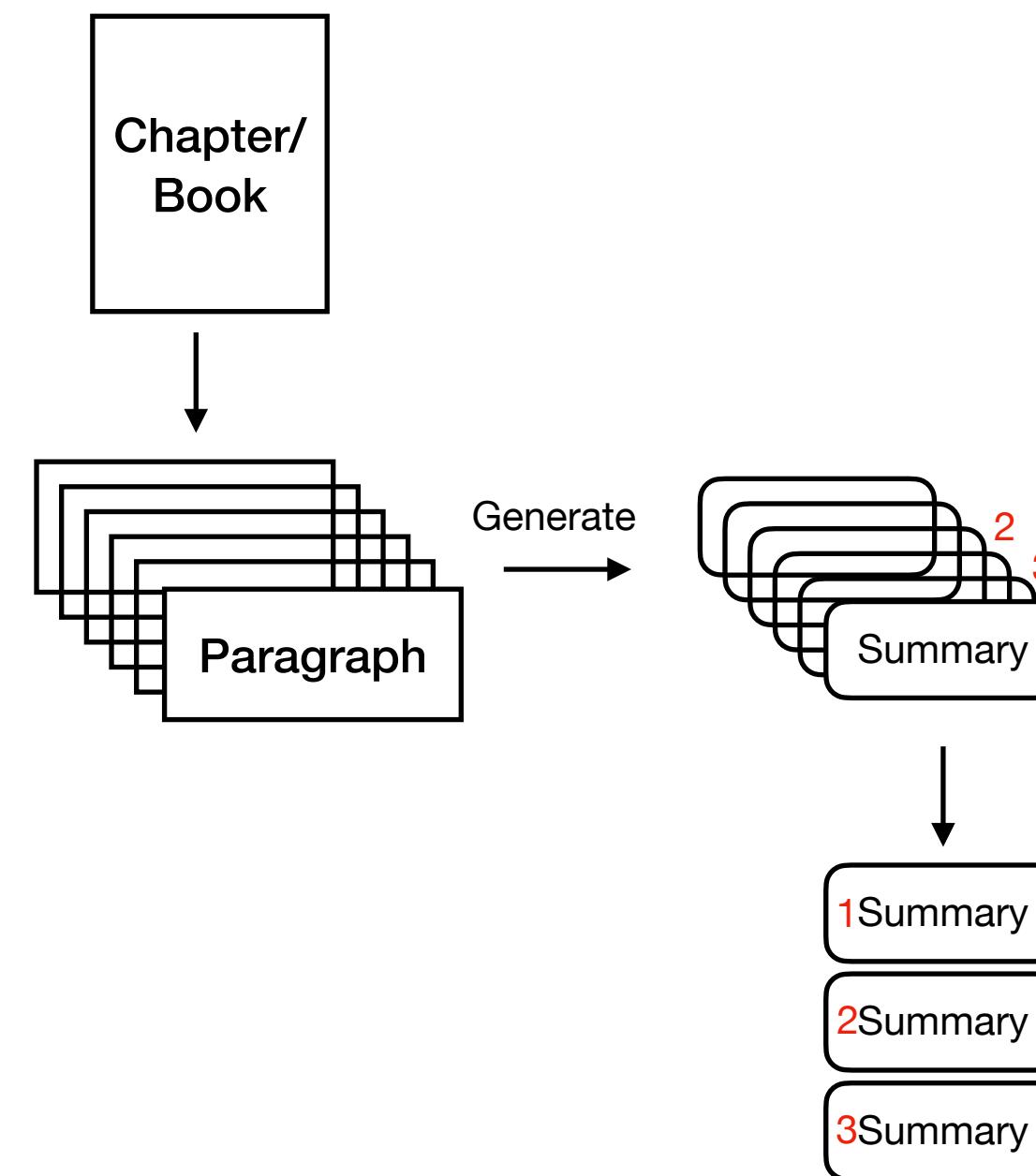
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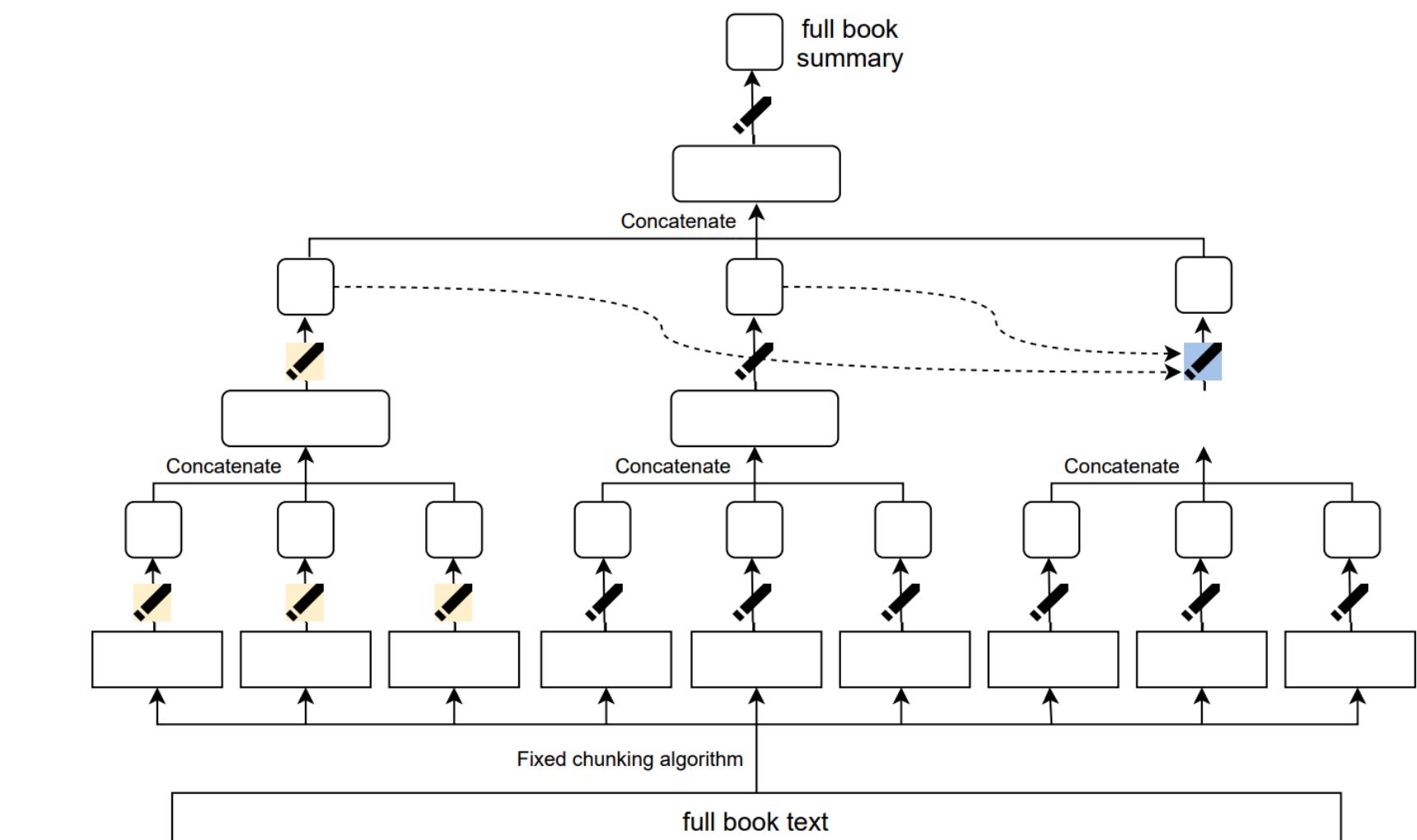
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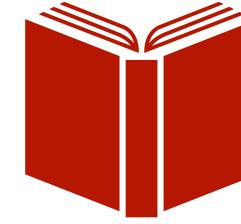
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Abstractive

# Narrative/Summary Variants - Books



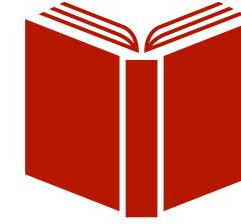
## Results

	Abstractive	ROUGE-1	ROUGE-2	ROUGE-L	BERTScore
Extractive Oracle		<b>46.62</b>	9.17	<b>18.31</b>	0.082
BertExt		36.71	6.16	13.40	0.028
T5 zero-shot	✓	35.43	5.62	12.02	0.011
T5 fine-tuned	✓	<b>39.46</b>	<b>7.69</b>	<b>13.77</b>	<b>0.060</b>
175b full tree RL	✓	41.51	10.46	16.88	<b>0.1821</b>
175b first subtree RL	✓	<b>43.19</b>	<b>10.63</b>	17.10	0.1778
6b full tree RL	✓	36.79	7.22	14.84	0.1246

BookSum, Kryściński et al. (2021)

Recursively Summarizing Books with Human Feedback, Wu et al. (2021)

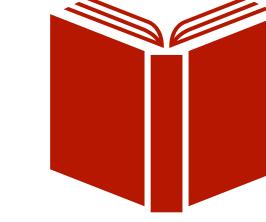
# Narrative/Summary Variants - Books



## Results

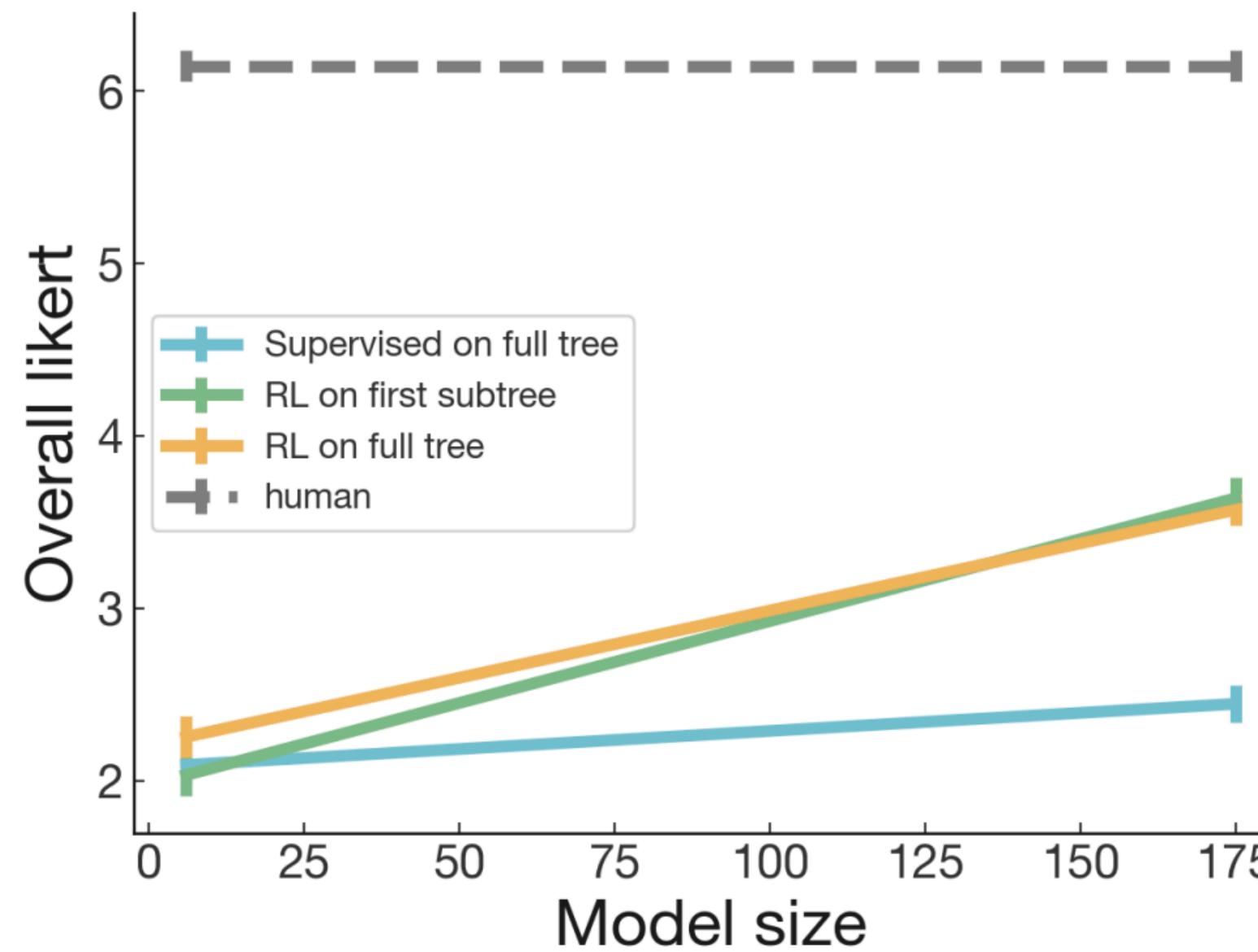
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## Results

**Recursively Summarizing Books with Human Feedback, Wu et al. (2021)**



Summary of “A Promised Land” by Barack Obama  
**Best 175B RL summary**

Barack Obama wins the presidency in 2008, and his first term is marked by economic turmoil and political polarization. His domestic policy includes the Affordable Care Act, which reformed healthcare in the United States.

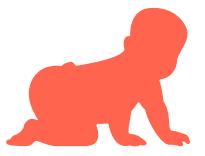
Obama’s presidency includes terrorism, the Arab-Israeli conflict, and the Deepwater Horizon oil spill. His foreign policy includes finding and eliminating Osama bin Laden. After the successful Abbottabad raid, Obama reflects on the unity of effort displayed by all the individuals involved in the operation. He wonders if that same unity of effort could be applied to other areas of government.

Need for: **Controllability Evaluation**

# Summary - It's a good time to work on narrative summarization!

Interesting narrative datasets across different domains, data formats, and summarization styles:

**Birth stories, screenplays, books, etc.**



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Early **attempts at abstractive summarization of long narratives** (e.g. books)...

- LLMs make this more possible but lots of room for improvement!
- Limited by challenges with processing long documents, unfaithful summarization, and identifying what details to include/exclude

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# Long Document Summarization

## 1. Efficient attention for Transformer-based models

Address computational bottleneck to scale to longer inputs

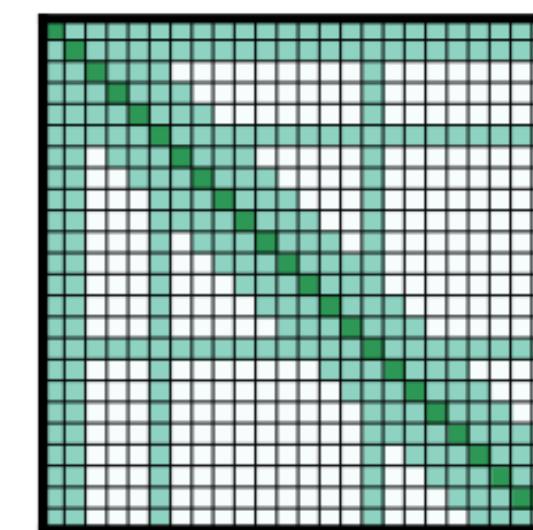
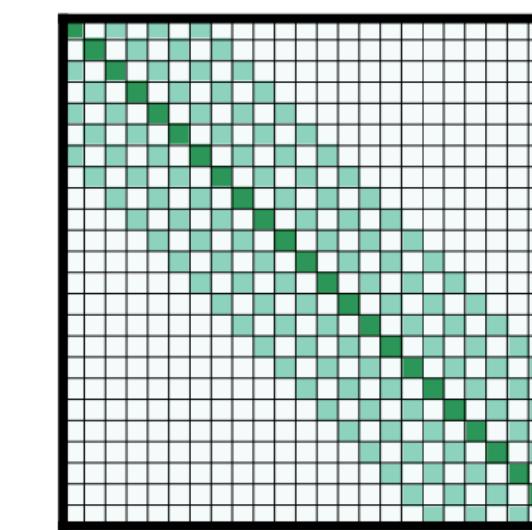
## 2. Summarization-specific approaches

# Computational Bottleneck in Transformers

Full self-attention has  $O(n^2)$  memory/compute complexity in terms of input length

# Efficient Attention Operations

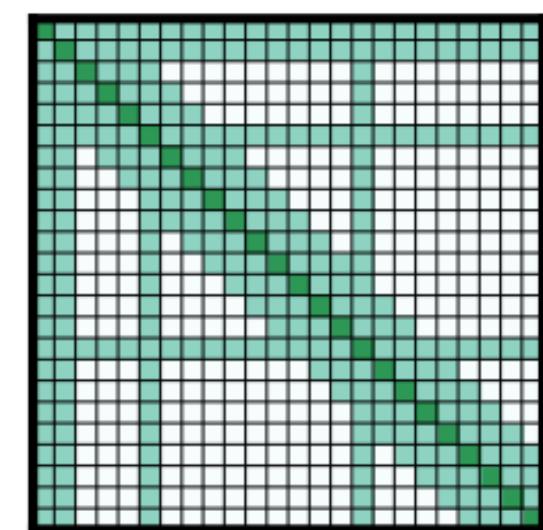
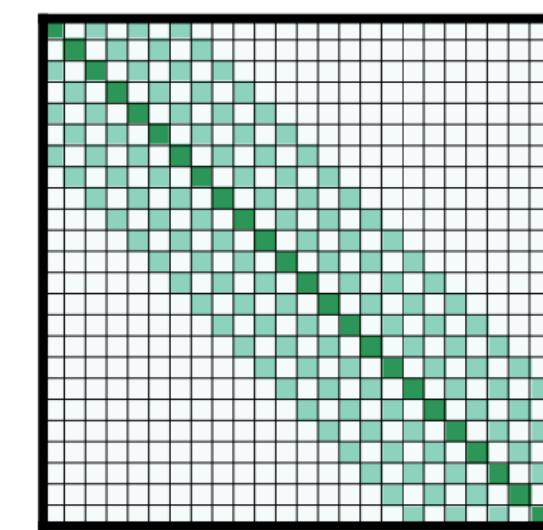
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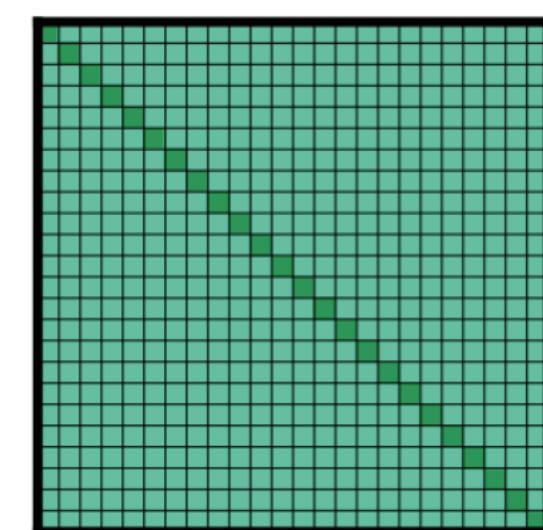
Dilated sliding window

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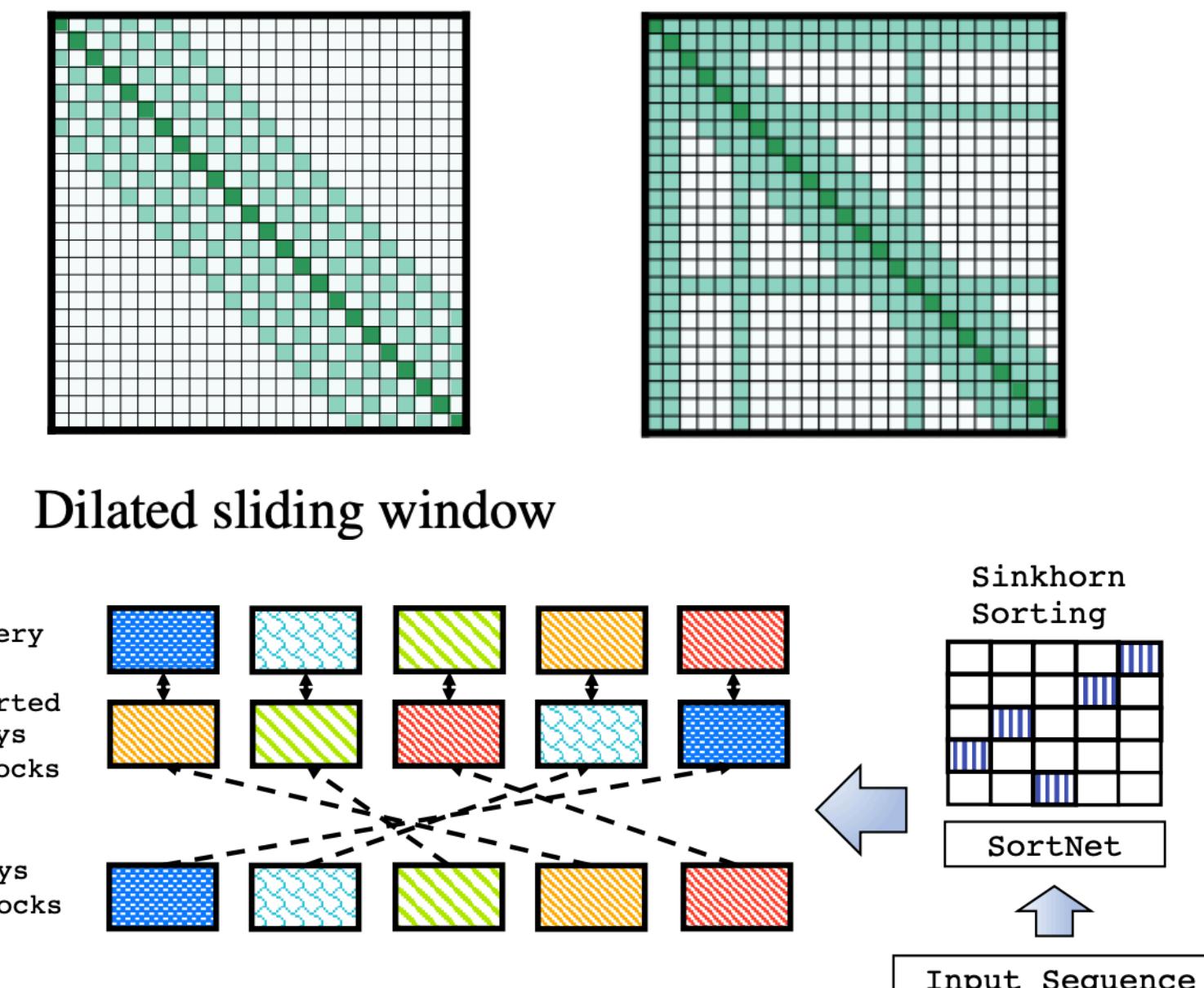
Dilated sliding window



(a) Full  $n^2$  attention

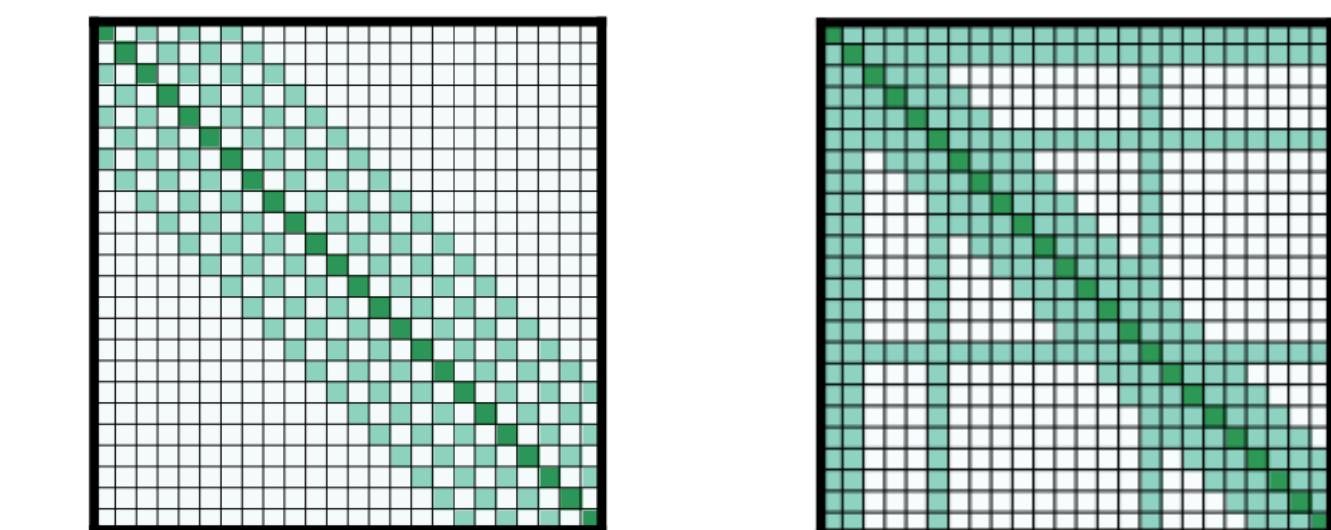
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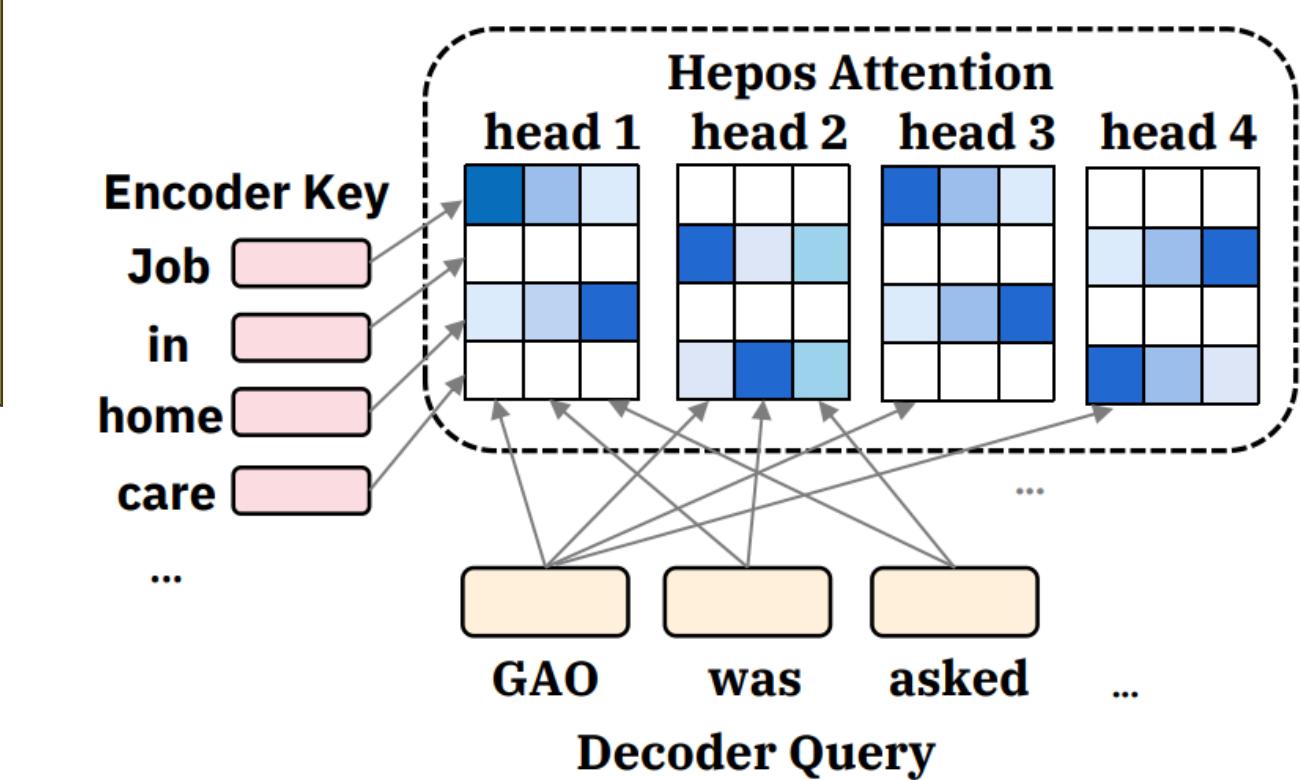
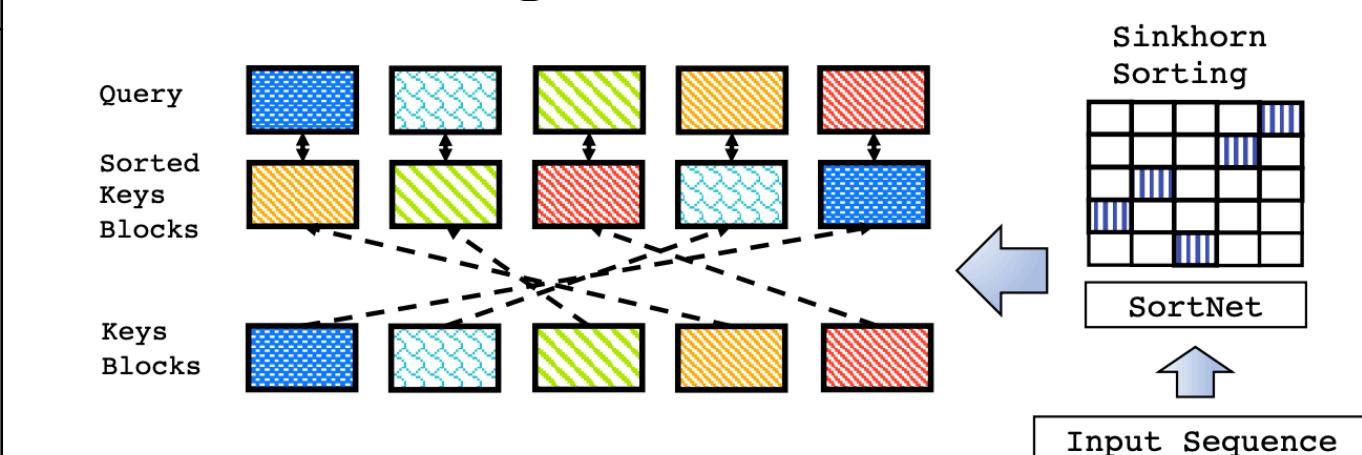


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Dilated sliding window



# Efficient Attention Operations

Different strategies perform similarly across 2 summarization tasks

Longer documents

GovReport

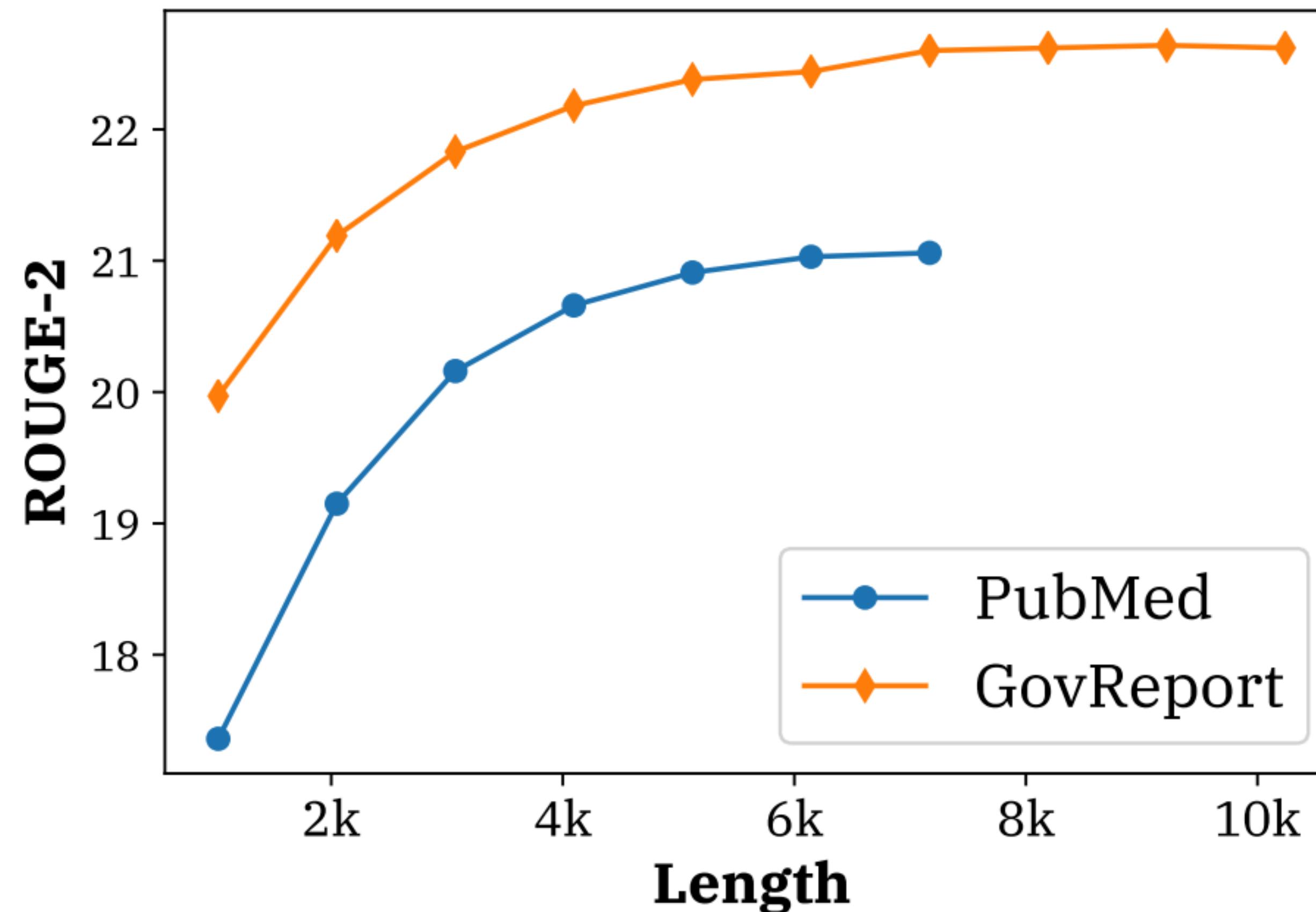
PubMed

	R-1	R-2	R-L	R-1	R-2	R-L
<b>GPT-series</b>	51.5	19.1	48.7	43.7	17.3	38.8
<b>Longformer</b>	51.2	19.0	48.6	43.4	17.1	38.6
<b>Sinkhorn</b>	53.0	20.1	50.3	45.1	18.4	40.1
<b>Hepos</b>	51.3	19.1	48.7	44.9	18.2	39.9

*Efficient Attentions for Long Document Summarization, Huang et al. (2021)*

# Context Window Length Matters

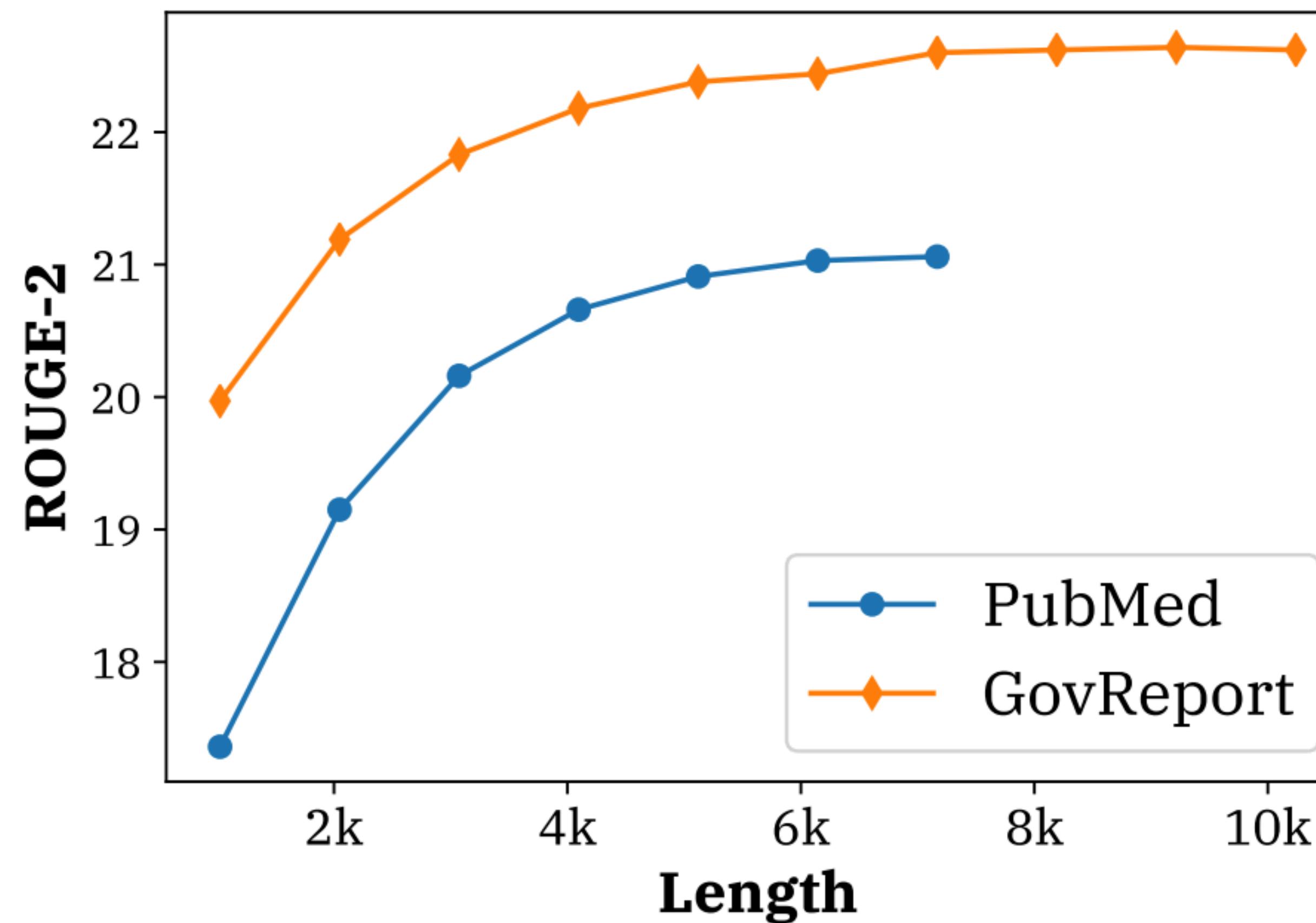
Summarization improves with a longer input context



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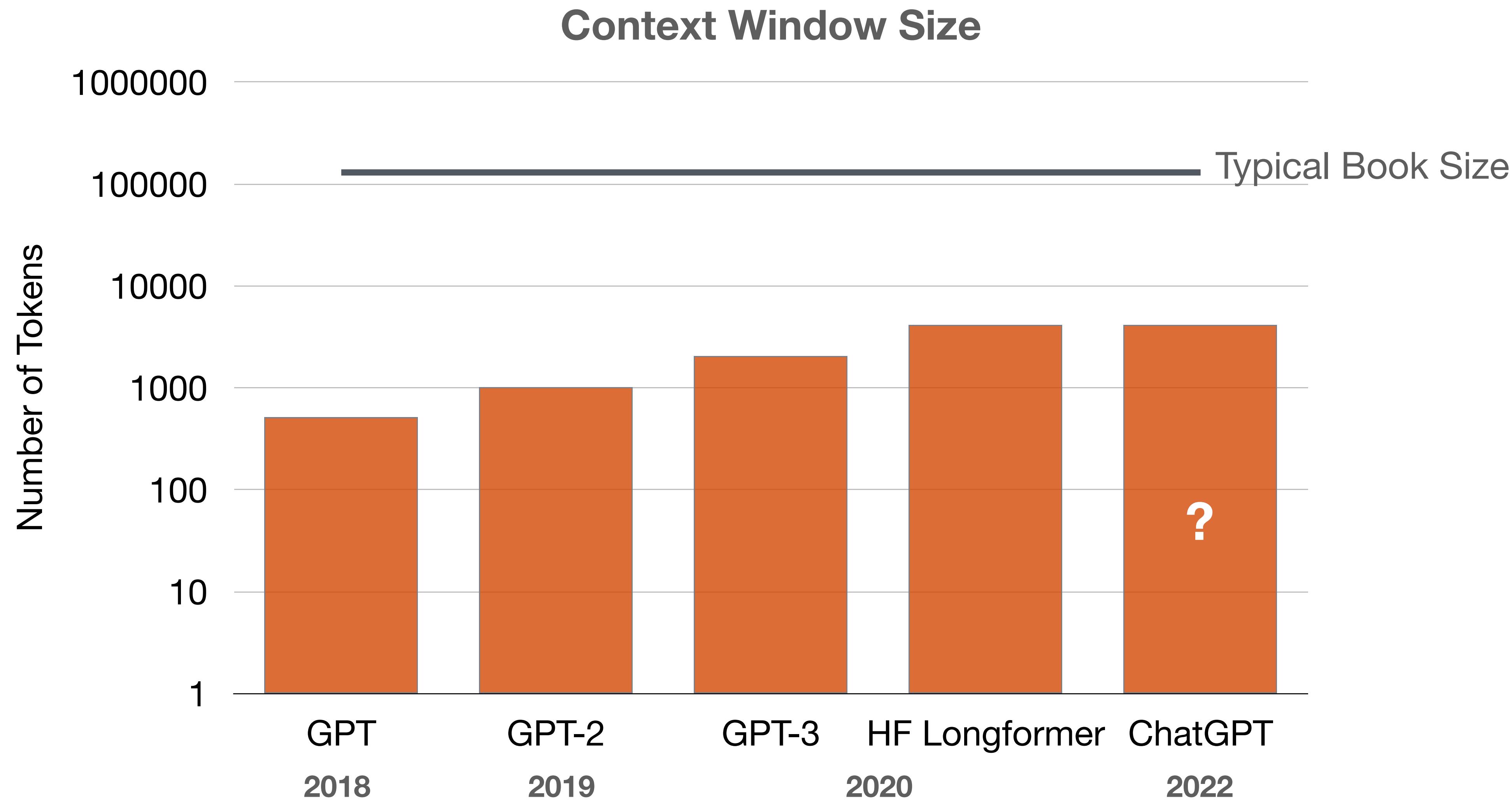
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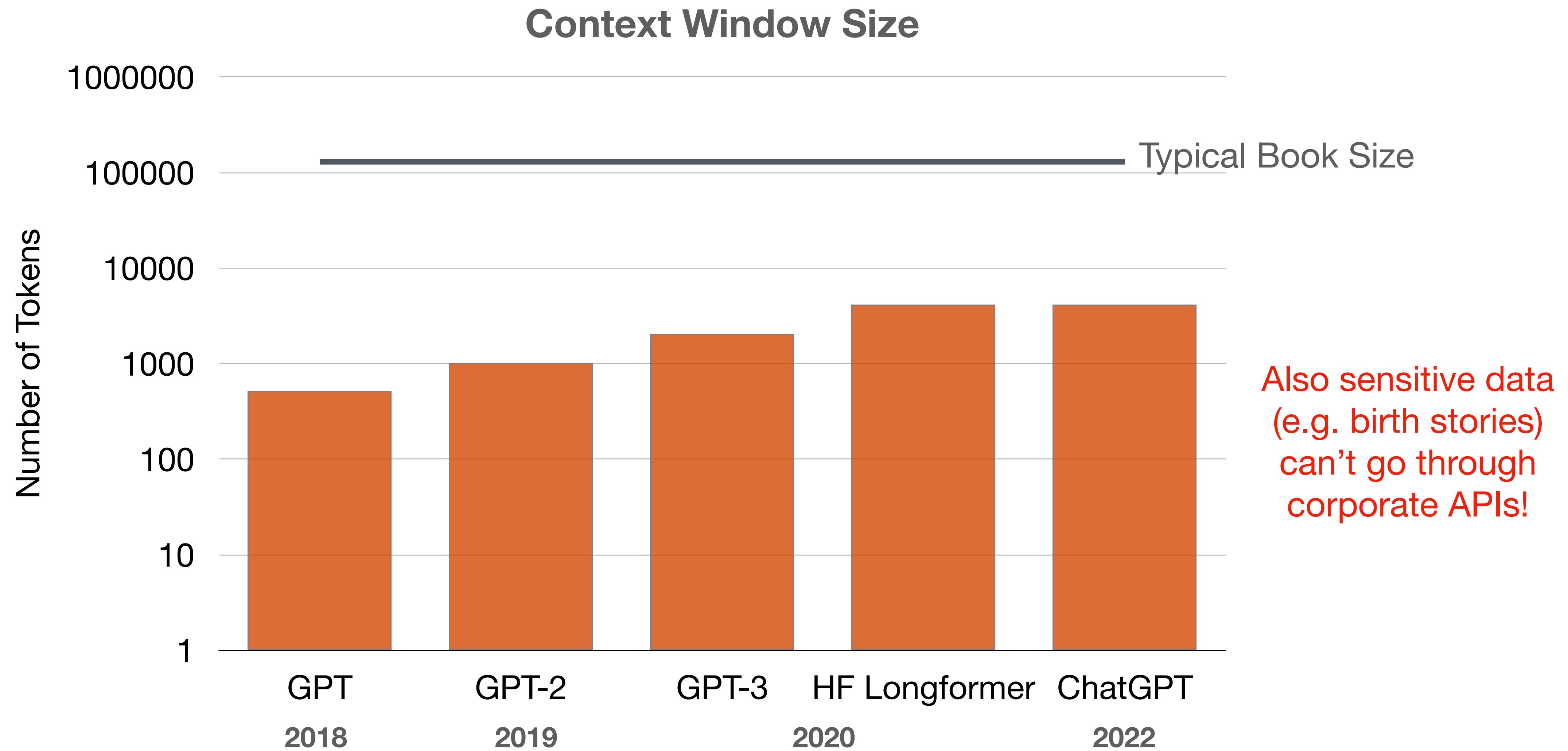
Longer documents

Narrative like books  
would likely flatten out at  
a much higher length

# Are efficient attention operations enough?



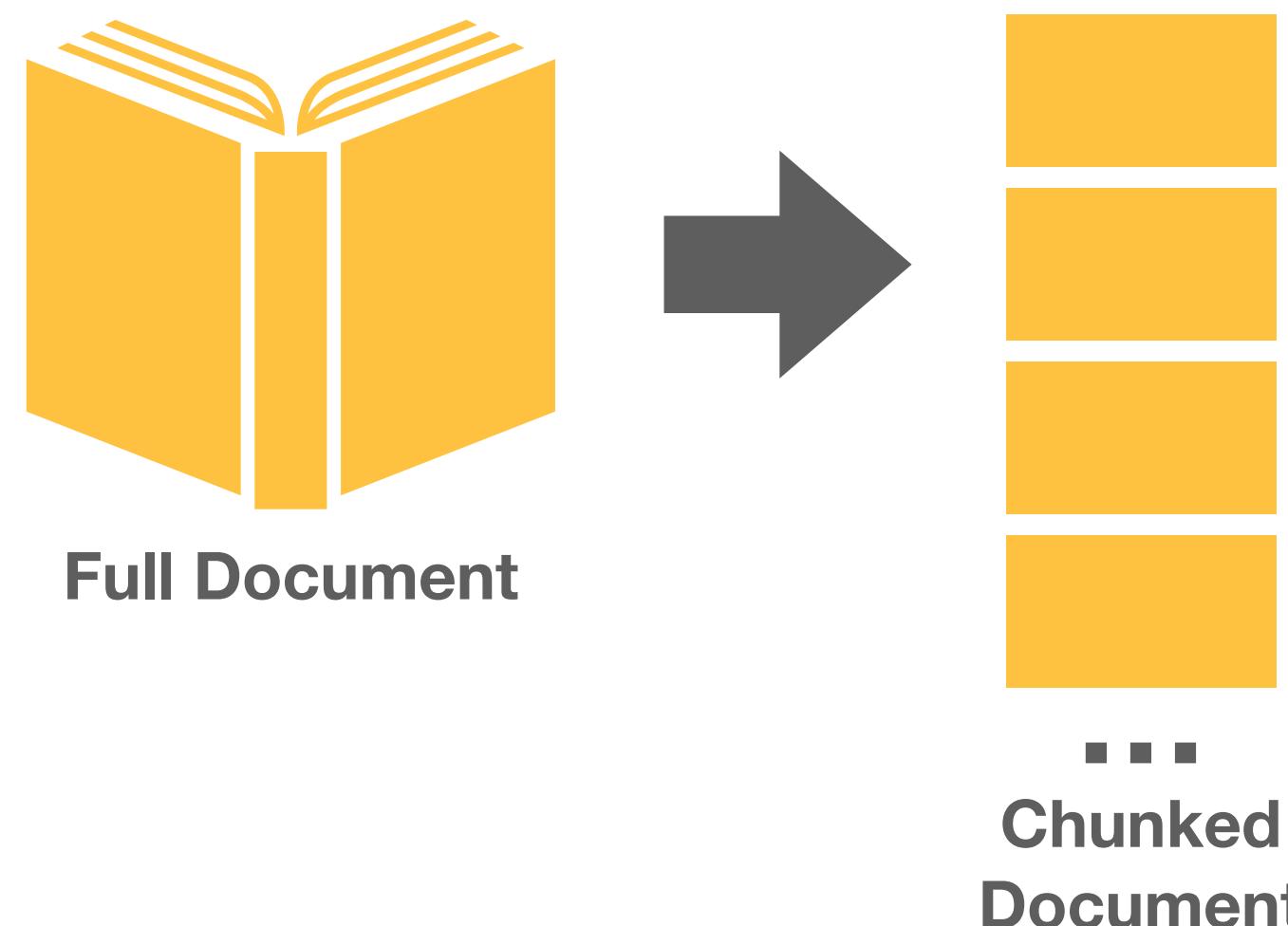
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# Summarization-Specific Approaches

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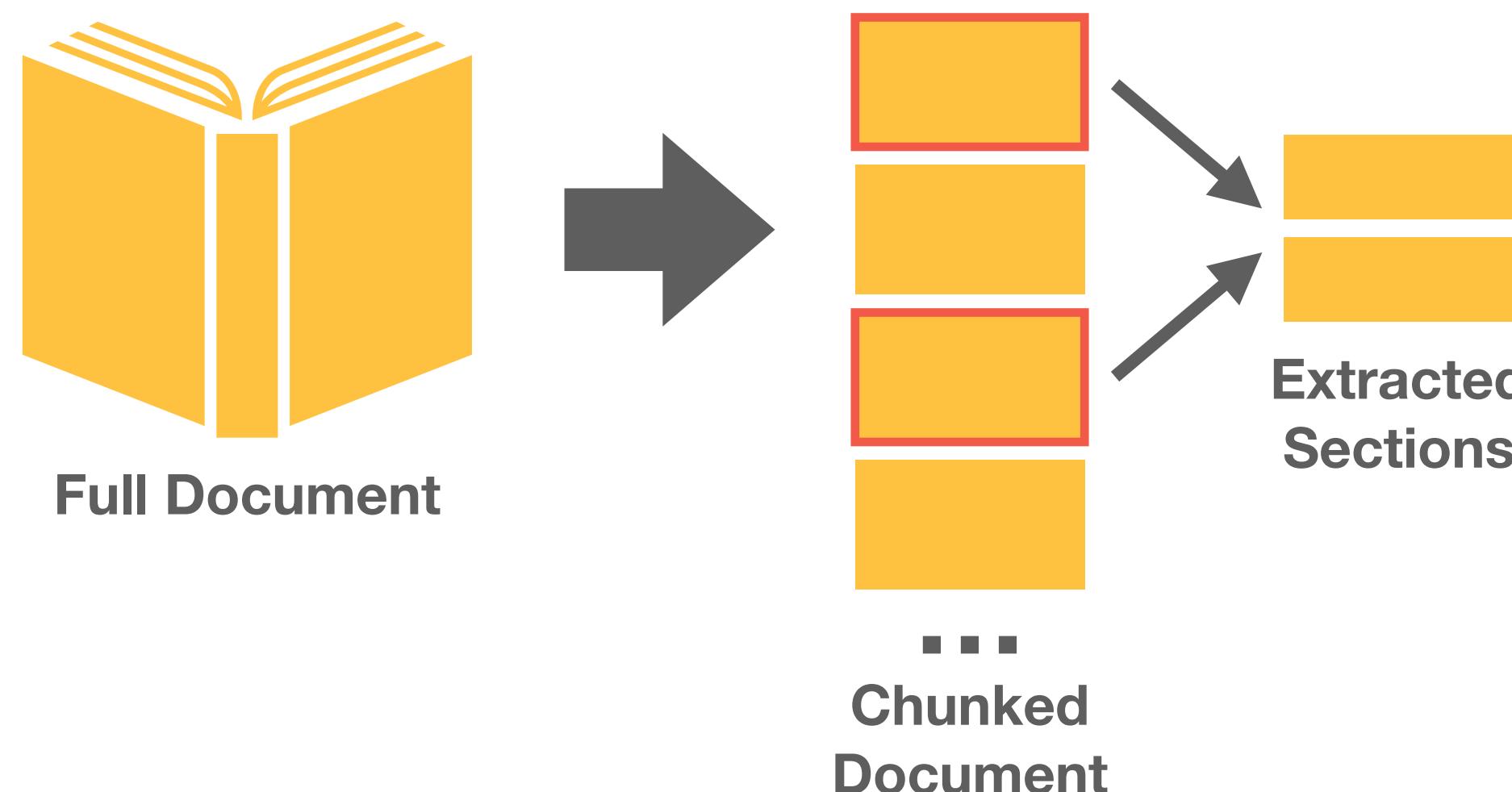
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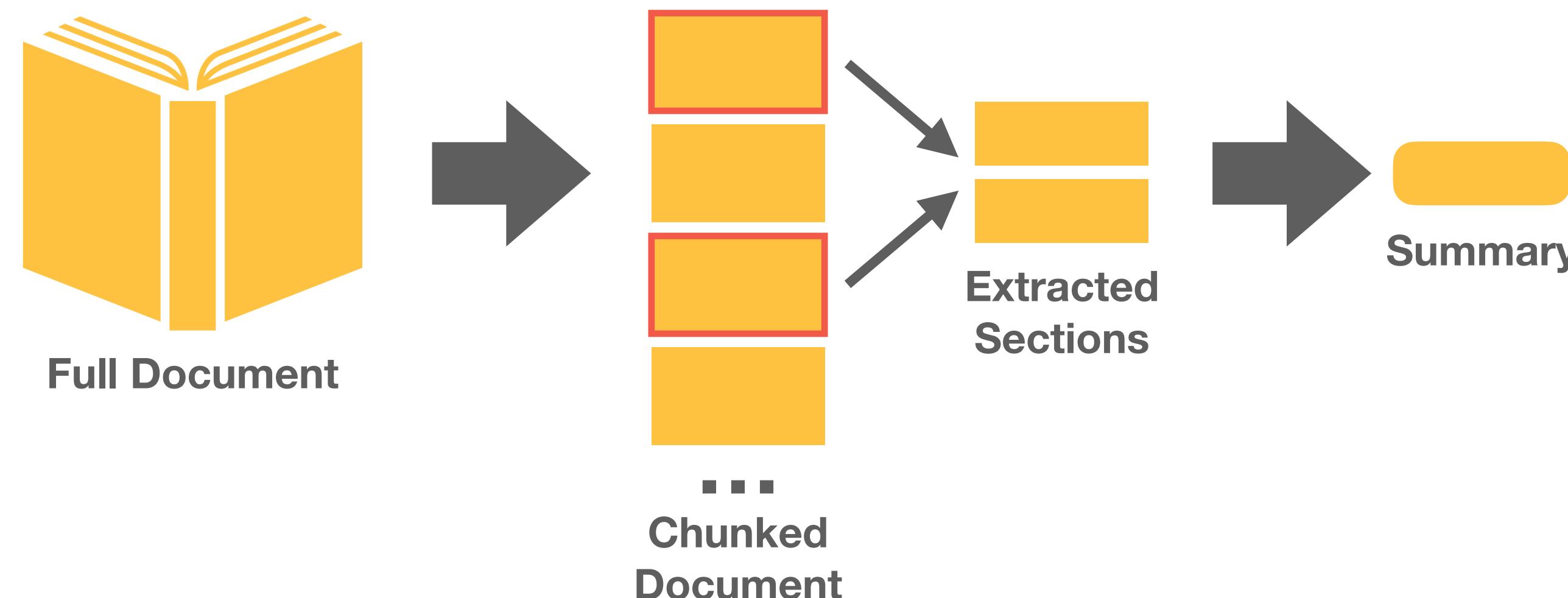
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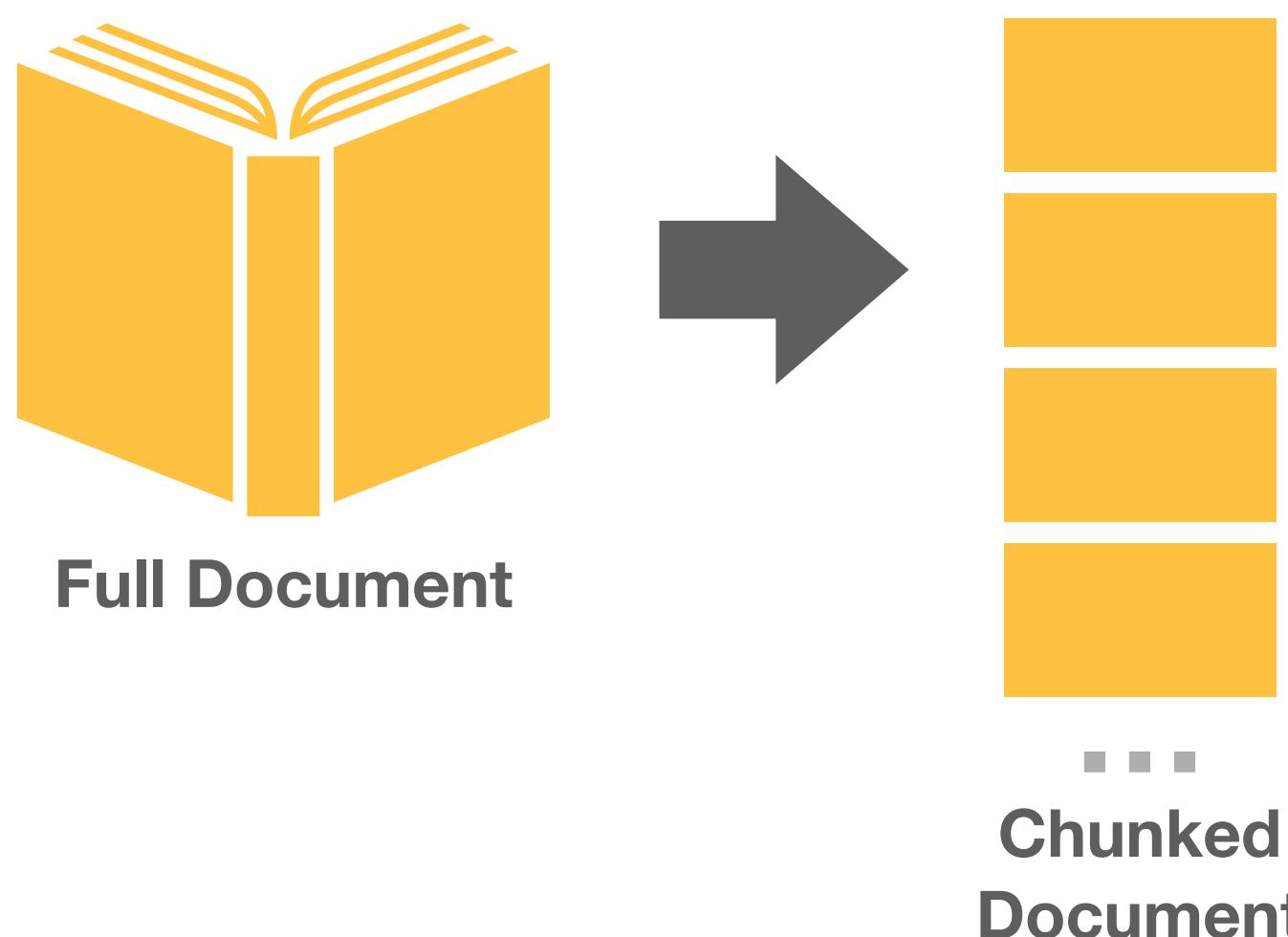
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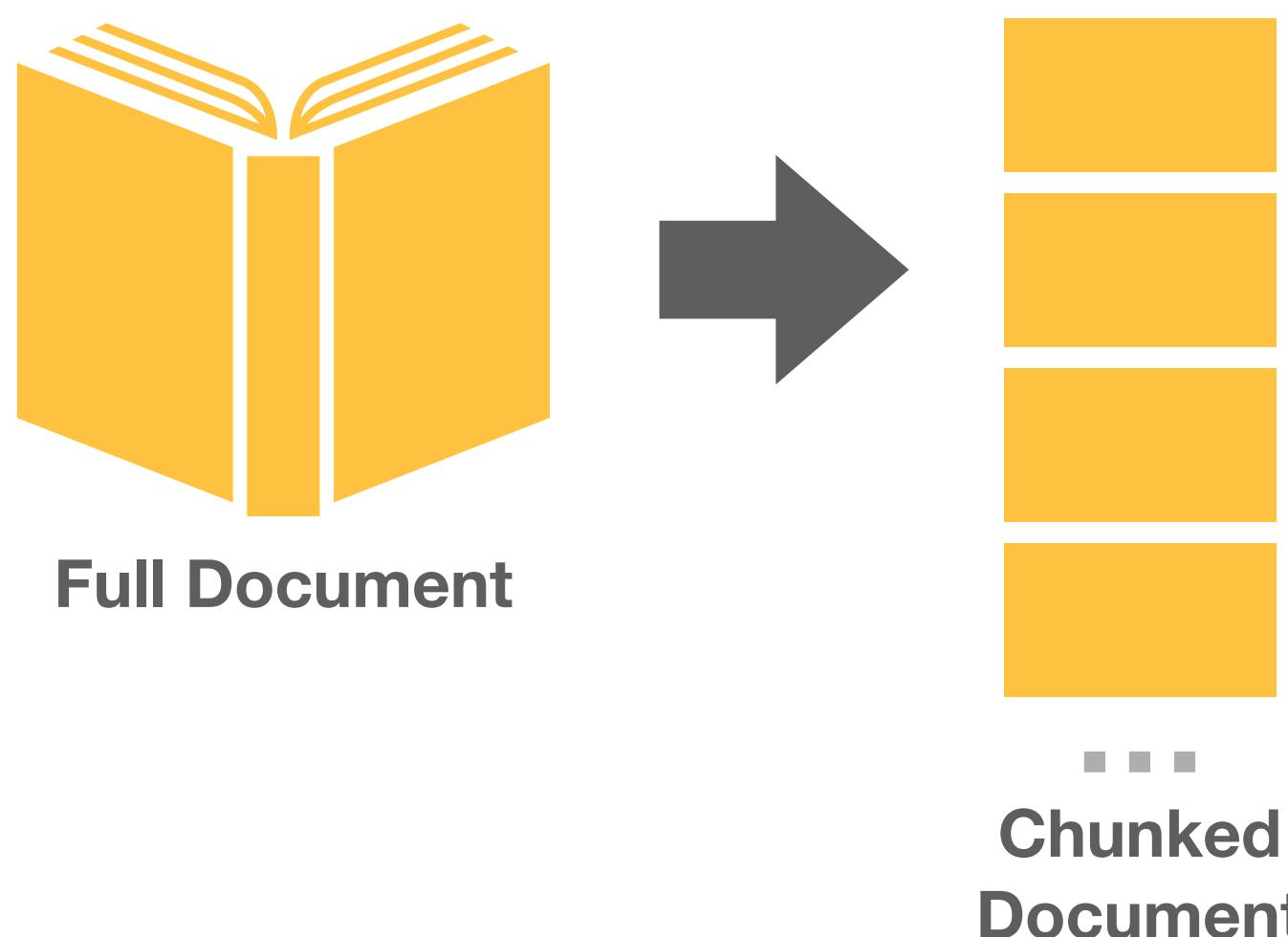
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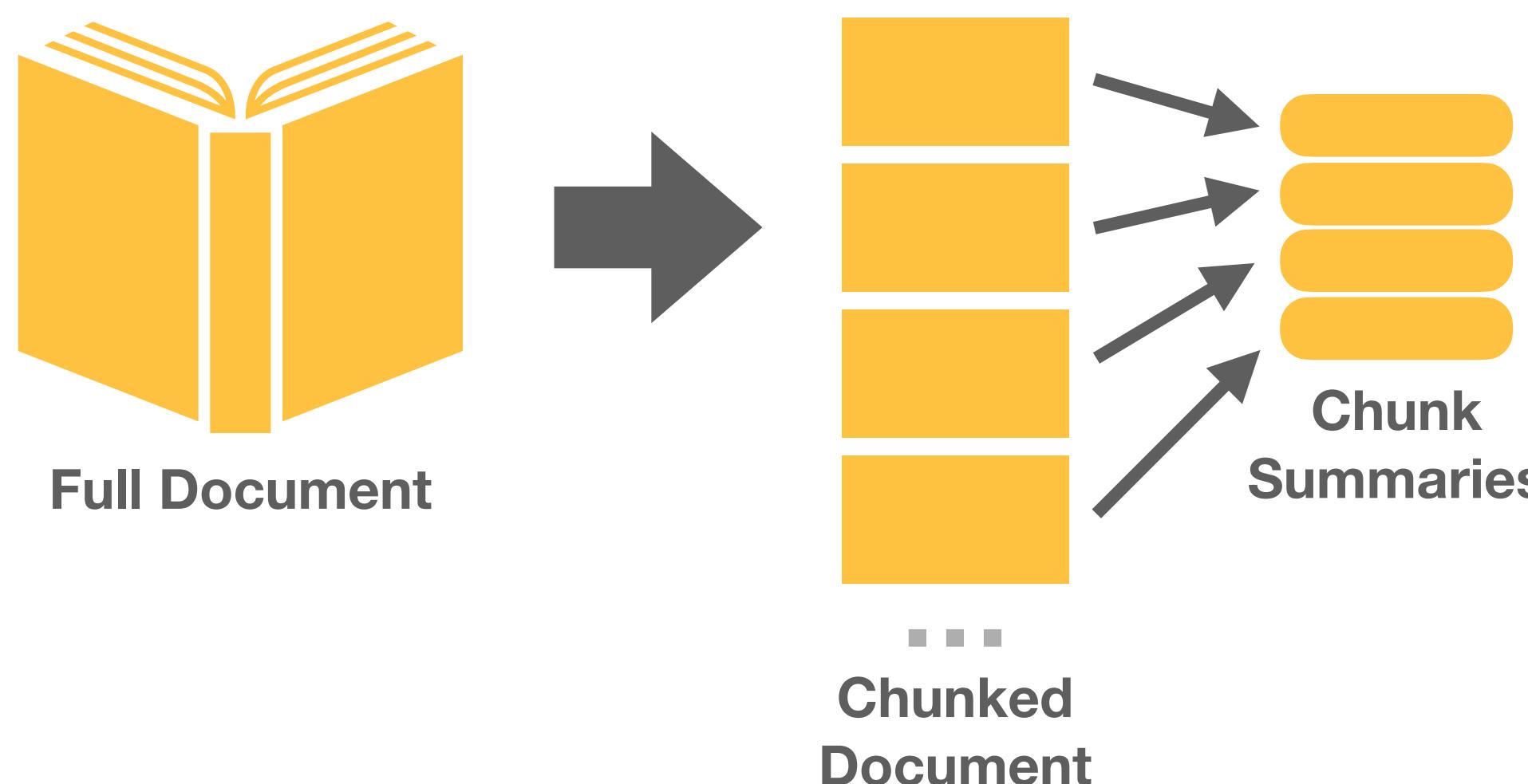
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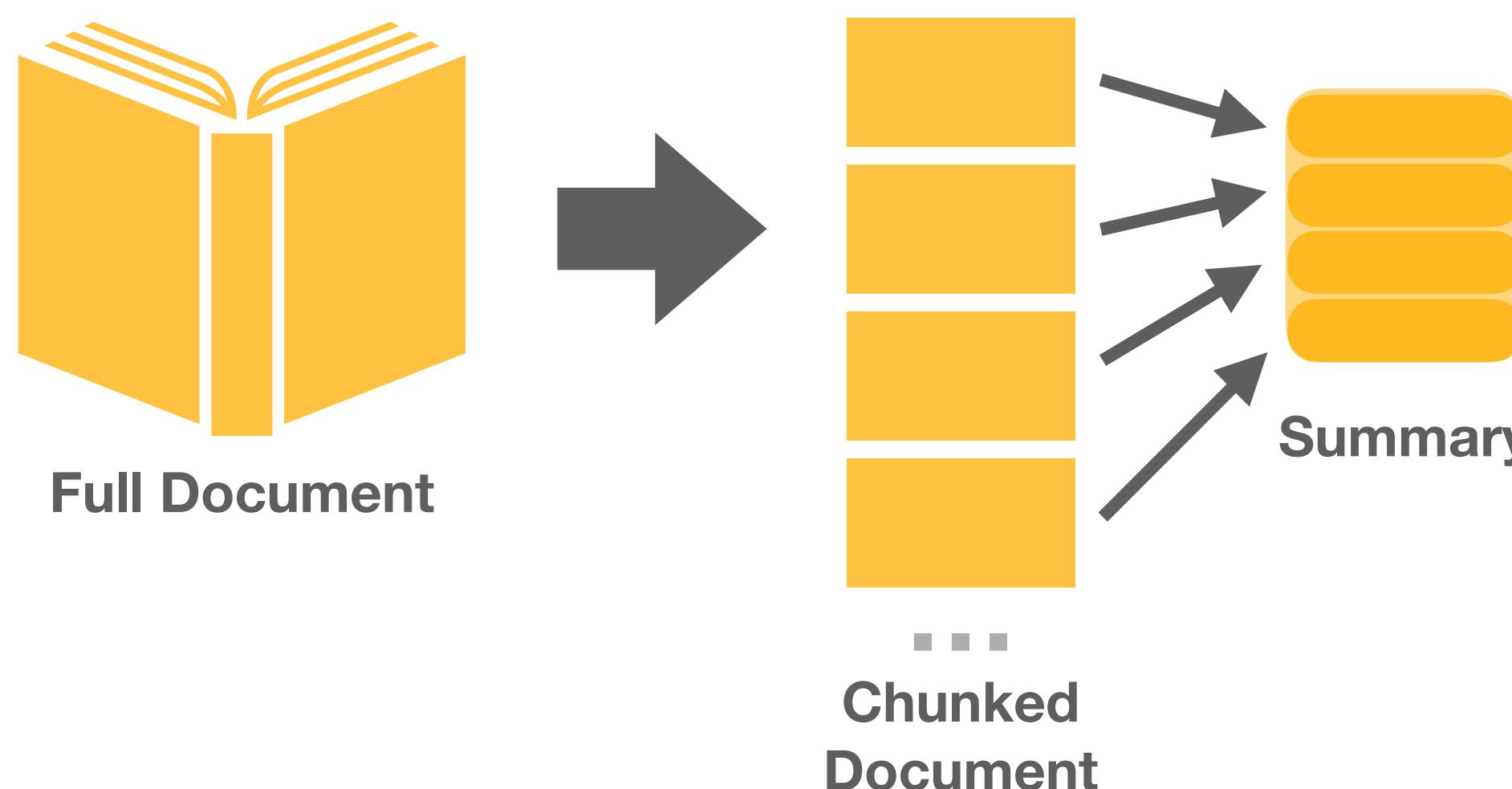
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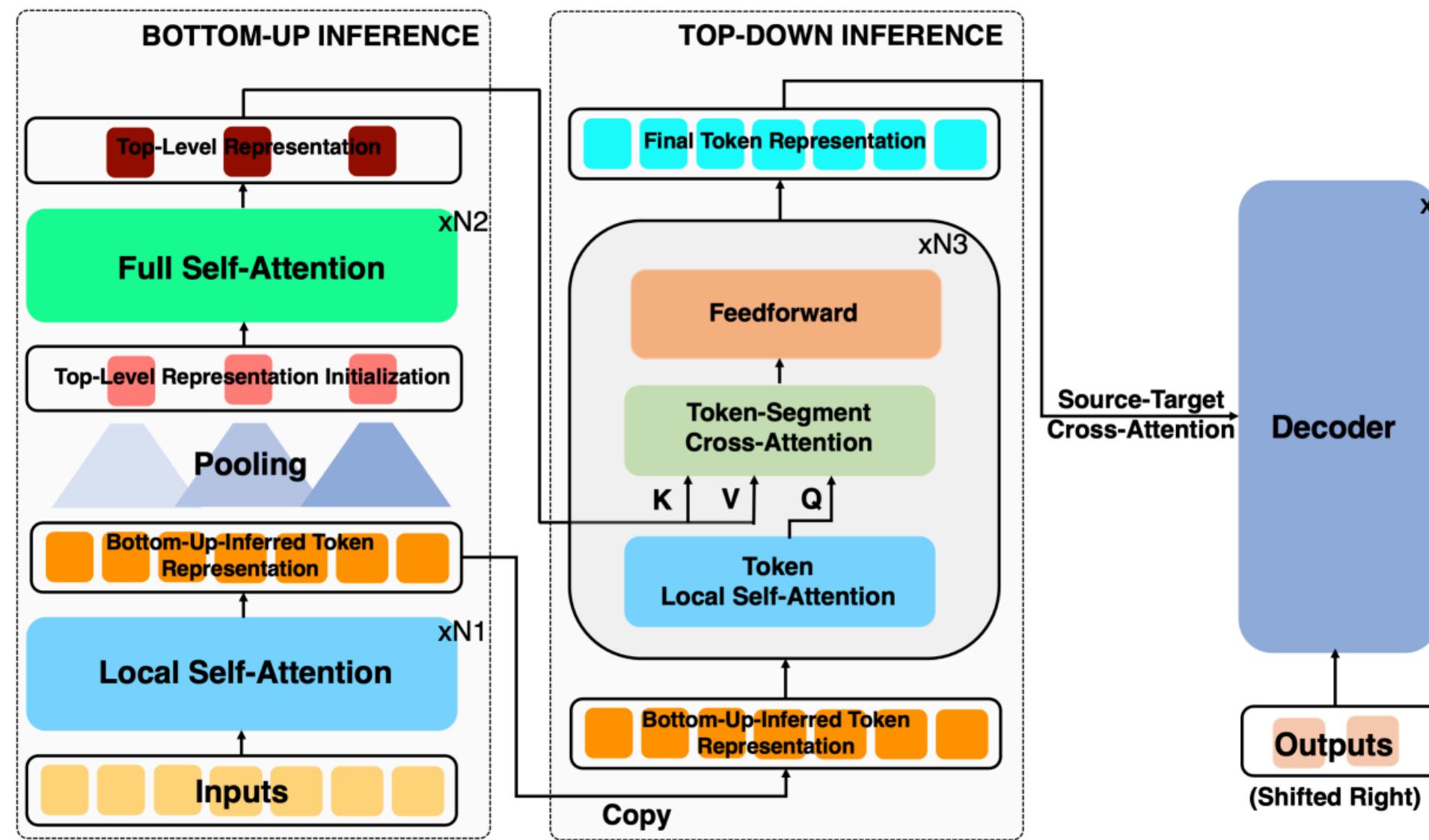
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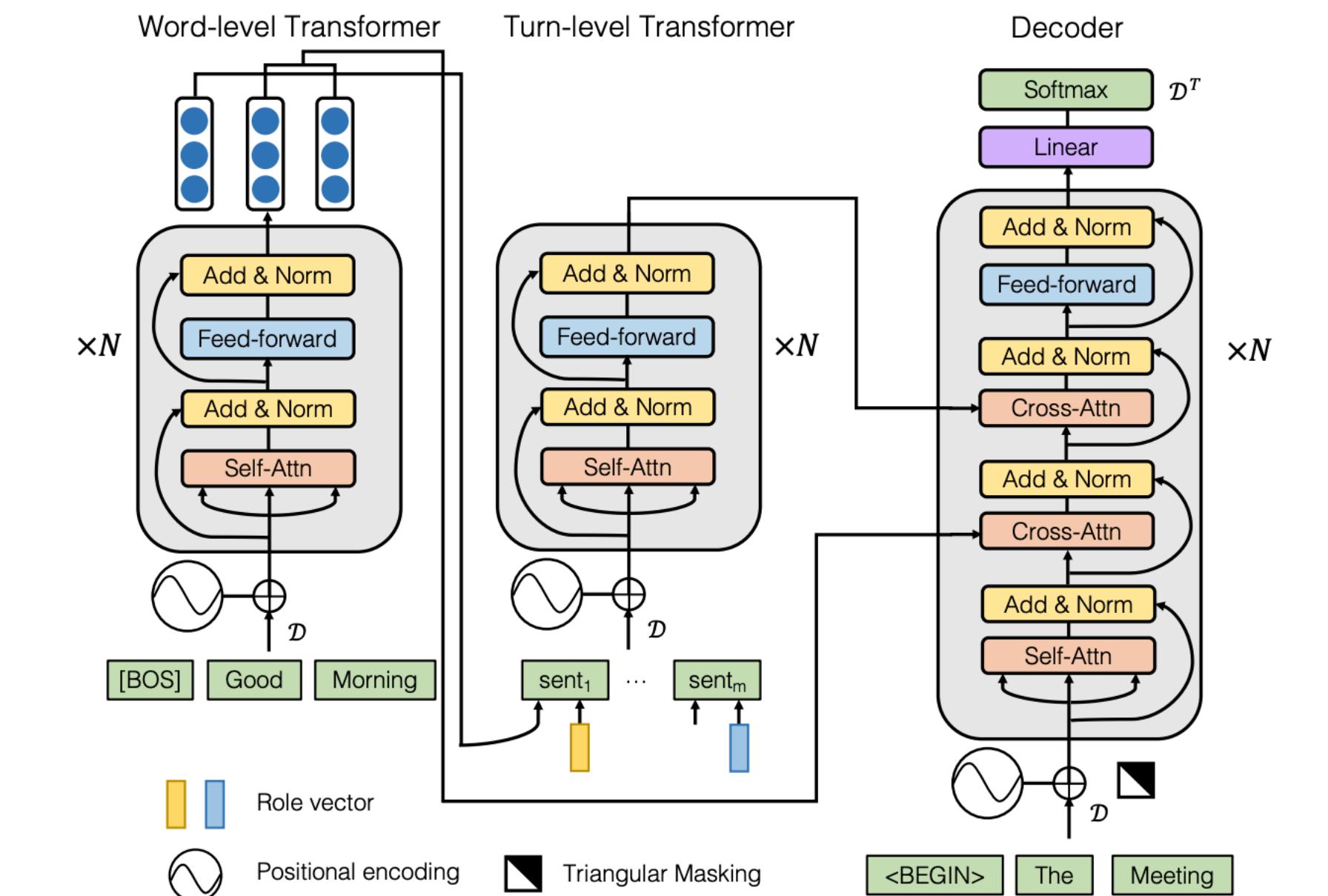
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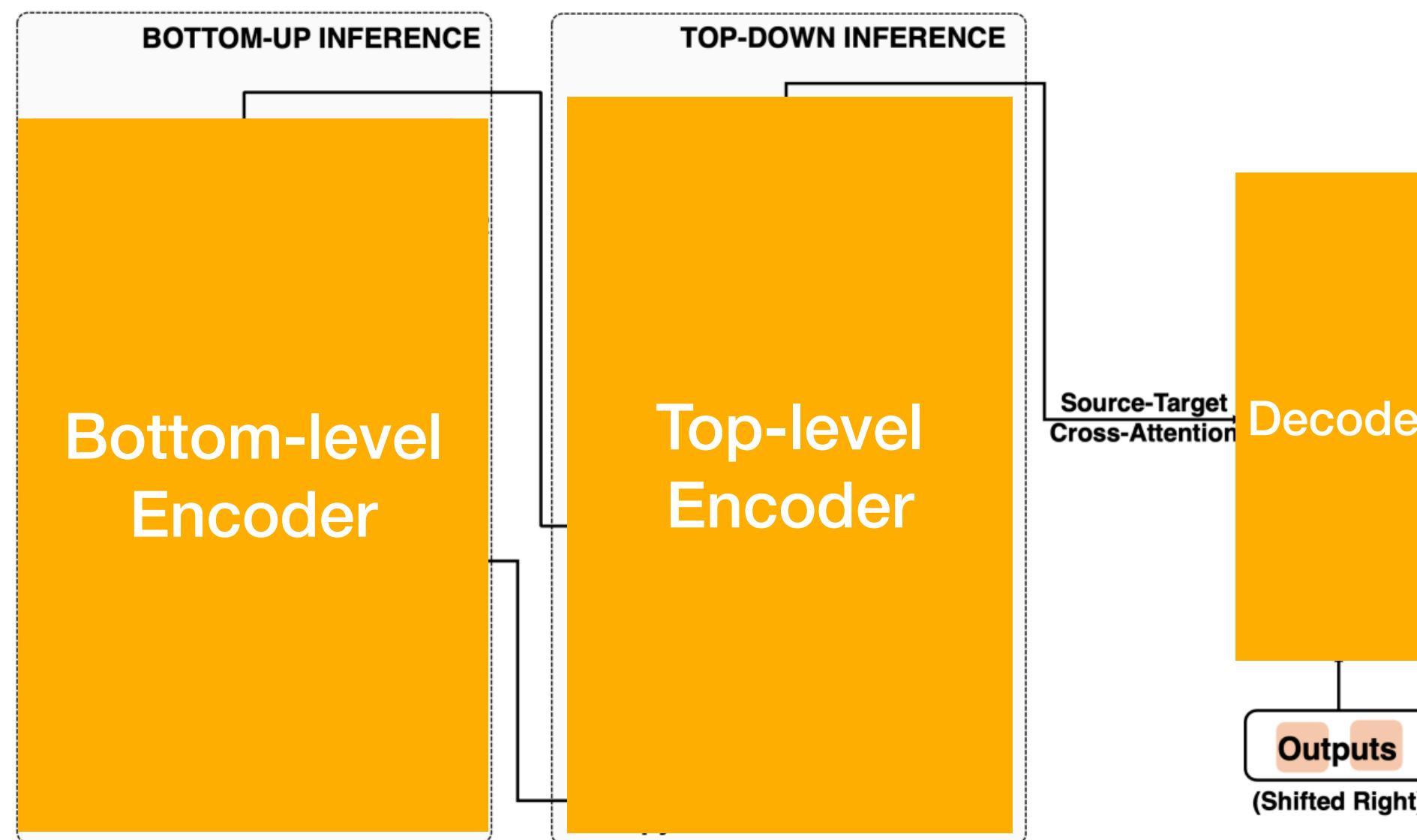
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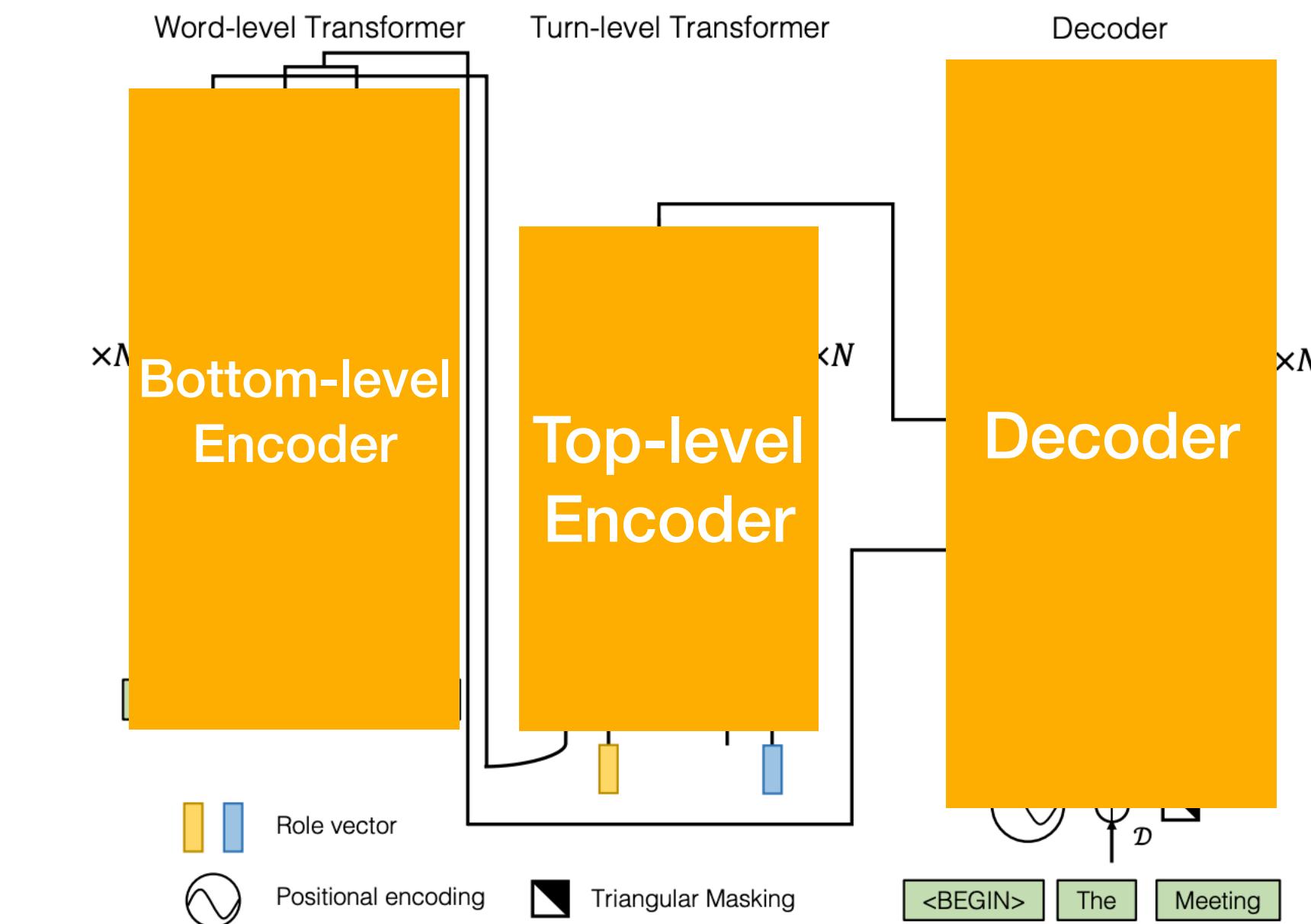
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**BookSum Book Dataset**

	R-1	R-2	R-L
<b>Extractive Oracle</b>	<b>46.62</b>	9.17	18.31
<b>BART</b>	29.97	6.02	10.97
<b>T5</b>	<b>39.46</b>	<b>7.69</b>	<b>13.77</b>
<b>Pegasus</b>	35.29	6.79	12.71
<b>175B full tree RL</b>	41.51	10.46	<u>16.88</u>
<b>175B first subtree RL</b>	<u>43.19</u>	<u>10.63</u>	<b>17.10</b>
<b>6B full tree RL</b>	36.79	7.22	14.84
<b>Top Down Transformer (464M)</b>	<b>44.19</b>	<b>10.89</b>	16.13

**BookSum**, Kryściński et al. (2021)

**Recursively Summarizing Books with Human Feedback**, Wu et al. (2021)

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<1% of the parameters

# Summarization-Specific Approaches

Dorian Gray is sitting in the garden of his uncle's house in London, playing the piano. In the center of the room is a portrait of a young man of extraordinary beauty, and in front of it is Basil Hallward's portrait of Dorian Gray. The portrait belongs to Hallward, and he refuses to show it at the Grosvenor Academy, because it contains too much of himself in it. He tells Dorian's uncle, Lord Henry Wotton, that he is in love with Dorian and wants to marry him. Dorian is sitting at the piano and finishes a painting that he has been working on. He looks at the portrait and realizes that it is of him. He realizes that he will never be able to look at it again, but he cannot stop looking at it because he wants to remember the beauty of his own face. He asks his uncle for some information about Dorian, and his uncle tells him that Dorian has a beautiful mother who was married to a poor man who was killed in a duel. She left him a son, who is very good-looking and who has inherited all of her property. Lord Henry tells him to write to him and ask for some advice, and Dorian agrees. One day, Dorian meets Sibyl Vane, a beautiful young woman who works as a governess for a rich family in the East End of London. She is in the employ of Lord Henry's friend, Mr. Erskine of Treadley, and Lord Henry wants to see her. He also wants to get her out of the hands of the Jew who has her bound to him for three years and eight months. He proposes to her, but she refuses him. She says that she does not think he is good enough for her, and she will never love anyone of his rank. He is disappointed, but does not say anything to his mother about it. The next day, he meets the Duchess of Monmouth, who tells him he should find a wife and marry her. She wants him to have a future and not to spend his money frivolously. He agrees, but when he tells her that he does not love her, she laughs at him and refuses to call him by his new name, Prince Charming. He goes to see the play, and is horrified to see that the face on the canvas is that of the portrait of Romeo and Juliet. He cannot believe that he could have done such a terrible thing to Juliet and that she could still be his wife. He leaves the theater and wanders the streets of London until he finds himself in Covent Garden. He finds some women waiting for him, and one of them laughs when he calls her by his nickname, "Prince Charming." She curses him and runs away. He runs into a dark alley and is suddenly grabbed by a man with a gun pointed at his head. It is James Vane. Vane threatens to kill Dorian if he doesn't make peace with God. He gives Dorian one minute to make his peace before he kills him. When Dorian gets to the street, he finds that the man he was trying to kill is not the same man he thought he was. It turns out that Vane is twenty-eight years younger than Dorian. The woman who took his money tells him not to talk to her again. She runs off, and when Dorian looks back, the woman has disappeared. When he wakes up the next morning, he has not had a nightmare. He writes two letters to his assistant, Alan Campbell, telling him that there is a dead man sitting on a table in his house, and that he must destroy the body so that no one will ever know who he is. He then goes to his bedroom and finds a small box of lacquer, which he takes out and puts inside. He puts the box back, gets into a horse-drawn carriage, and gives the driver an address. The driver takes him to the address, and as he is leaving the house, he sees the dead body of a man on the table. When Campbell returns, he tells Alan not to disturb the body, but to come back at seven o'clock in the evening. When the man arrives, he throws the picture over the table, but Dorian does not believe that it has been disturbed. He returns home and finds that Campbell has brought back the chemicals and the irons, and the other things that he needs to do the job. He opens the cabinet where he had hidden Basil's coat and bag, and finds the green paste. At midnight, he gets a hansom and leaves the house with the instructions to meet him at 7 o' clock the next day. He sits in the back of the carriage as the driver drives him through the streets. He wonders if it is possible to cure the soul by means of the senses and the body by way of the soul. He wakes up in the middle of the night to find that the portrait has not changed.

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Dorian Gray is sitting in the garden of his uncle's house in London, playing the piano. In the center of the room is a portrait of a young man of extraordinary beauty, and in front of it is Basil Hallward's portrait of Dorian. Dorian is deeply involved in his work, but he also has a desire to be more involved in society. He tells Dorian that he has been working on a painting that he has been working on for months, and that he cannot stop looking at it because he was so captivated by it.

Dorian has a beautiful mother who was very fond of him. She died when he was a child, and he grew up with his father, Lord Henry Wotton. Lord Henry tells him to write a letter to his mother, and Dorian does so. He then goes to work on his painting again. He finishes a painting that he has been working on for months, and he cannot stop looking at it because he was so captivated by it. He tells Dorian that he has been working on a painting that he has been working on for months, and that he cannot stop looking at it because he was so captivated by it.

# Summarization-Specific Approaches

## Some good details, correct characters

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For the foreseeable future, **we need both** attention-efficient and summarization-specific approaches

## 1. Narrative Summarization

What is narrative summarization and why is it important?

## 2. Long Documents

How can we summarize very long narratives?

## 3. Controllability

How do we target summaries to specific tasks/users?

## 4. Evaluation

Can we automatically evaluate how well a system works?

# Why do we need targeted summaries?

“A Promised Land” by Barack Obama

Barack Obama wins the presidency in 2008, and his first term is marked by economic turmoil and political polarization. His domestic policy includes the Affordable Care Act, which reformed healthcare in the United States.

Obama’s presidency includes terrorism, the Arab-Israeli conflict, and the Deepwater Horizon oil spill. His foreign policy includes finding and eliminating Osama bin Laden. After the successful Abbottabad raid, Obama reflects on the unity of effort displayed by all the individuals involved in the operation. He wonders if that same unity of effort could be applied to other areas of government.

# Why do we need targeted summaries?

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Assumes ACA = reform

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No further details on these issues

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Randomly focuses on Abbottabad raid

# Why do we need targeted summaries?

**Unlike news, narrative is personal and complex.**

**Depending on the situation, we may want to...**

- Speak to a particular audience (age, beliefs, depth of knowledge, etc.)
- Tradeoff breadth and depth
- Provide all details relevant to a specific question

# Types of Control

## 1. Attribute-based

- *MACSum: Controllable Summarization with Mixed Attributes*, Zhang et al. (2022)
- *HydraSum: Disentangling Style Features in Text Summarization with Multi-Decoder Models*, Goyal et al. (2021)

## 2. Query-focused

- *Text Summarization with Latent Queries*, Xu et al. (2021)
- *Educational Question Generation of Children Storybooks via Question Type Distribution Learning and Event-Centric Summarization*, Zhao et al. (2022)

## 3. Reward function

- *Controllable Neural Story Plot Generation via Reward Shaping*, Tambwekar et al. (2019)
- *Controllable Summarization with Constrained Markov Decision Processes*, Chan et al. (2021)
- *Learning to Summarize from Human Feedback*, Stiennon et al. (2020)

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## 2. Query-focused Content

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- *Educational Question Generation of Children Storybooks via Question Type Distribution Learning and Event-Centric Summarization*, Zhao et al. (2022)

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**MACSum**, Zhang et al. (2022)

**Control through input strategy**

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**MACSum**, Zhang et al. (2022)

## Control through input strategy

### Attributes:

- Specificity
- Length
- Extractiveness
- Topic
- Speaker

**HydraSum**, Goyal et al. (2021)

## Control through output strategy

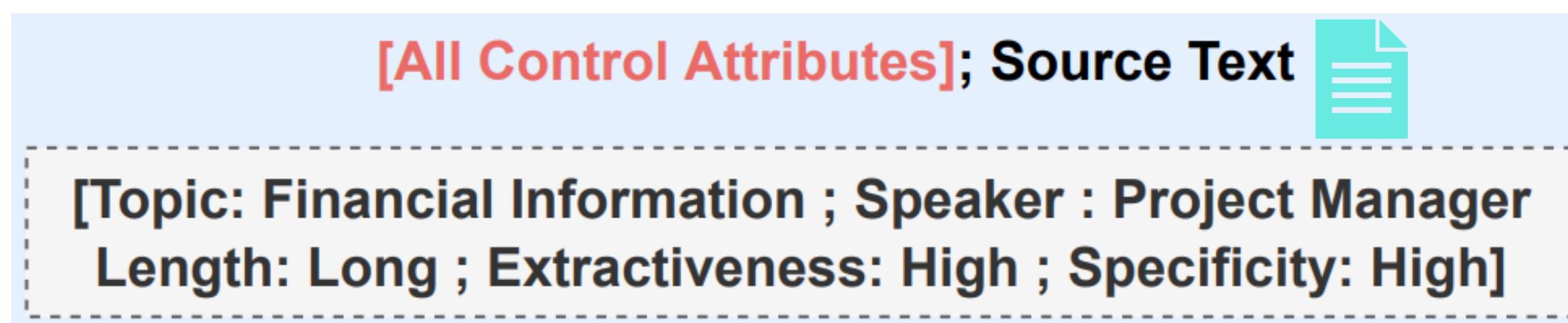
### Attributes:

- Specificity
- Length
- Extractiveness
- Readability

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**MACSum**, Zhang et al. (2022)

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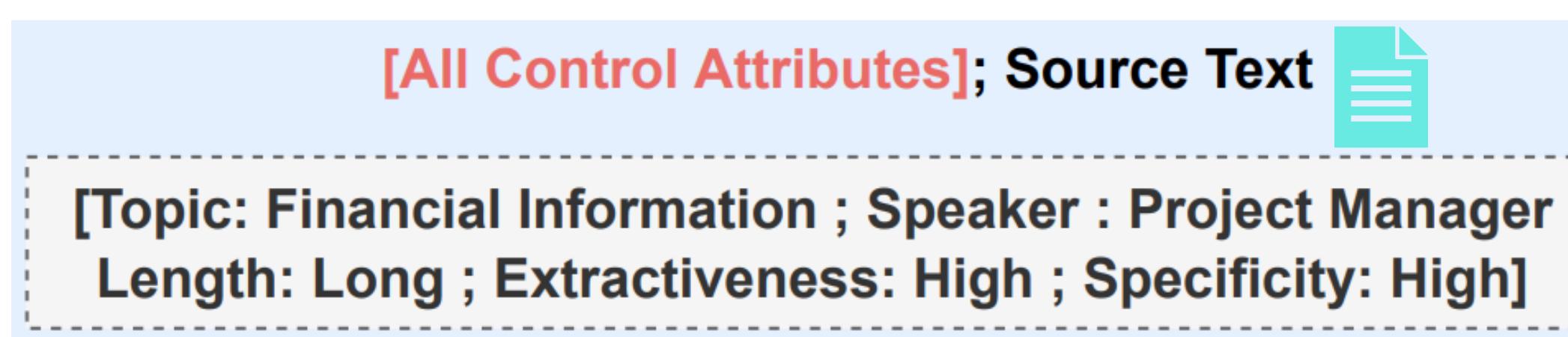
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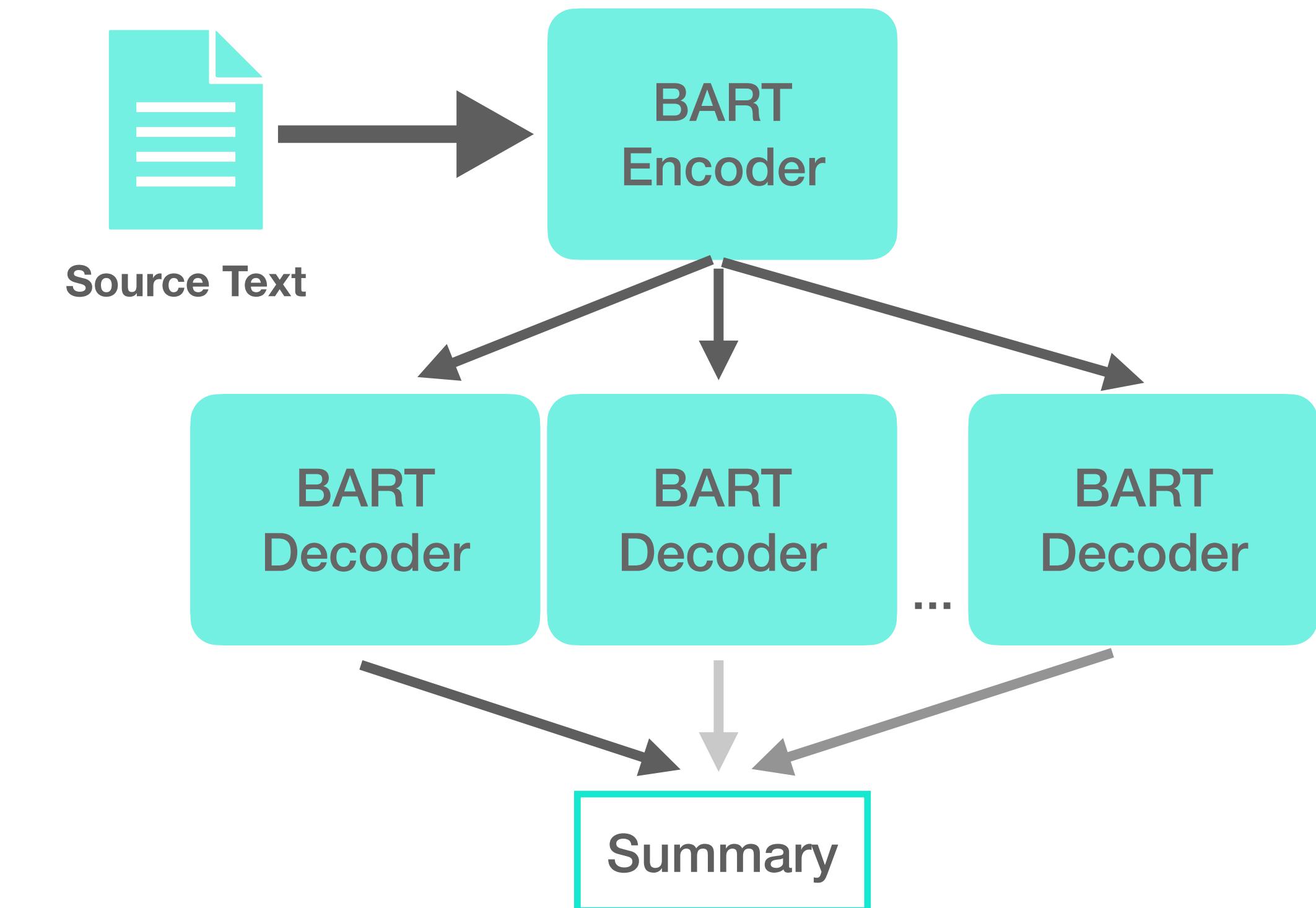
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## Text Summarization with Latent Queries, Xu et al. (2021)

Query Type	Query Example
Empty	$\emptyset$
Keywords	<i>Marina Beach, Incidents</i>
Question	<i>Is euthanasia better than withdrawing life support?</i>
Composite	<i>Amnesty International - What is the scope of operations of Amnesty International and what are the international reactions to its activities?</i>
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## Educational Question Generation of Children Storybooks via Question Type Distribution Learning and Event-Centric Summarization, Zhao et al. (2022)

---

**P1:** Why did the bonze want to get a good price for the pears? (causal relationship) What did the bonze ask for? (action)

---

**P2:** What did the Islanders want to express when they were married? (action) Why did the Islanders hold to the belief that Snorro was spirited away? (causal relationship)

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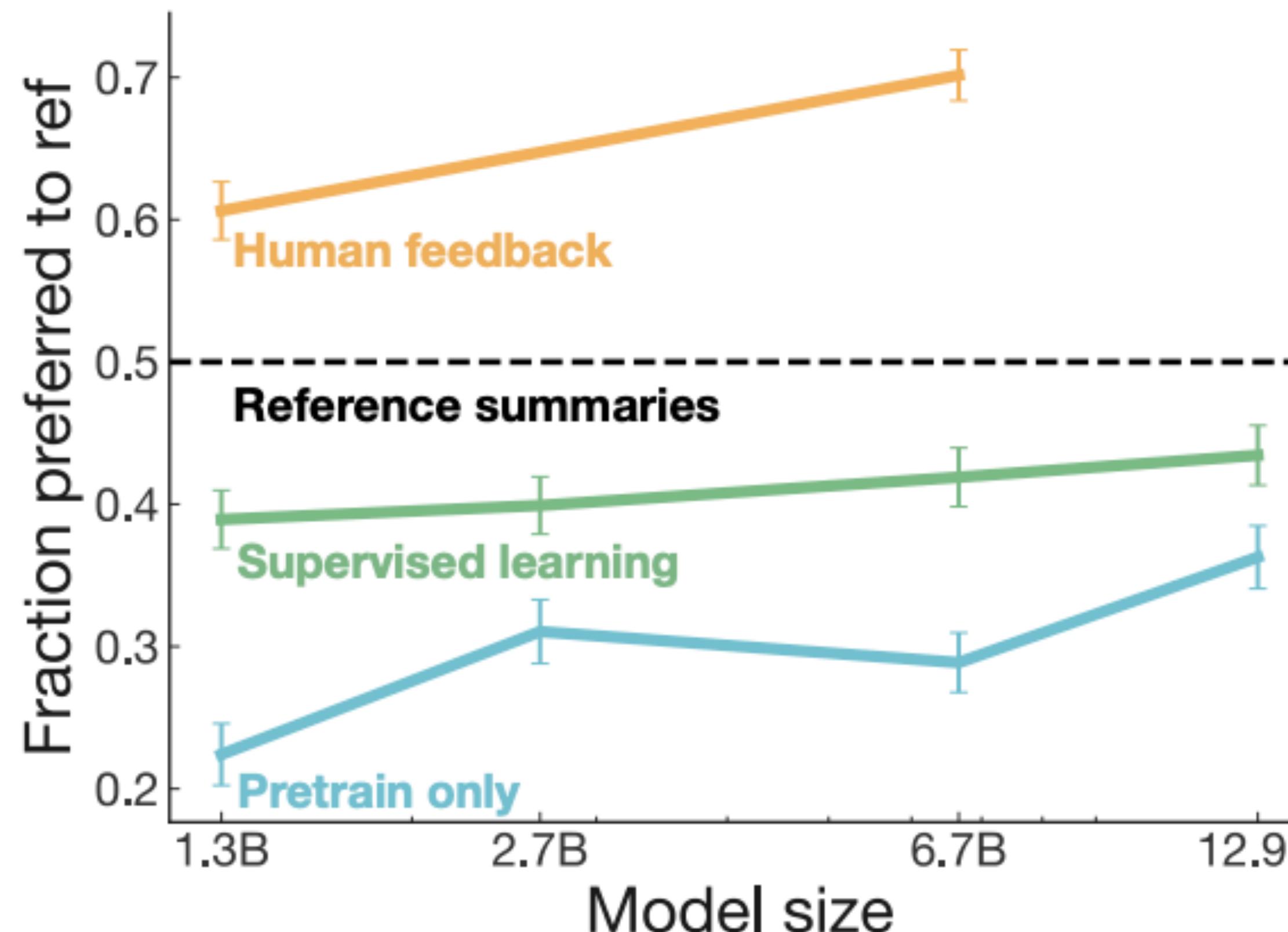
## Full summary reward

Learning to Summarize from Human Feedback, Stiennon et al. (2020)

- Reward: **learned from human rankings of summary generations**

# Reward Function Approaches

**Learning to Summarize from Human Feedback**, Stiennon et al. (2020) - RLHF



# Does RLHF solve the problem?

M

Summarize "The Picture of Dorian Gray" for a young child with a focus on what happens to the painting throughout the book.



"The Picture of Dorian Gray" is a story about a young man named Dorian who is very handsome and likes to have fun. One day, he has his portrait painted by an artist named Basil, and he becomes very interested in the painting.

As Dorian goes on living his life, he starts to do some bad things, like lying and hurting other people's feelings. But instead of Dorian getting older and uglier like everyone else, his portrait gets older and uglier, showing all the bad things he's done.

Dorian realizes that the painting is like a mirror of his soul, and he becomes very afraid of it. He tries to destroy it, but it keeps getting worse and worse. In the end, Dorian confesses to all his bad deeds and dies, while the portrait goes back to looking young and beautiful.

So, the painting in "The Picture of Dorian Gray" is like a magical mirror that shows how a person's inner beauty or ugliness can change over time.

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**Dorian does not confess!**  
But the full story was not actually input to the model

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- **Direct control** over the attributes but **constrained** by what they are

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Reward function...

- Enables **flexible and effective** control across **both topic and style** but:
  - Expensive/challenging to define if using LLMs or humans for feedback
  - Is this enough? How can it combine with long document strategies?

## 1. Narrative Summarization

What is narrative summarization and why is it important?

## 2. Long Documents

How can we summarize very long narratives?

## 3. Controllability

How do we target summaries to specific tasks/users?

## 4. Evaluation

Can we automatically evaluate how well a system works?

# Common Narrative Summarization Errors

Summaries may be long and complex, creating challenges for:

**Coherence** - Organized, consistent communication at a linguistic and semantic level *within the summary*

**Faithfulness** - Factual consistency *between source document and summary*

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# Detecting Incoherence

**SNaC: Coherence Detection for Narrative summarization**, Goyal et al. (2022)

## **Examples of semantic errors:**

- New character not introduced
- Missing reference to event/object
- Abrupt scene transition

## **Examples of language/fluency errors:**

- Unnecessary repetition
- Ungrammatical/nonsensical
- Unclear coreference

# Detecting Incoherence

**SNaC: Coherence Detection for Narrative summarization, Goyal et al. (2022)**

**CharE, v = 3**

Miss Manette receives a letter from the bank informing her that information about her father's small property has been discovered. She wants to travel to France to identify him and restore him to life.

**CharE, v = 3**

Mr. Lorry explains that her father has been found under another name and is being held in a house in Paris.

**CharE, v = 3**

**SceneE, v = 2**

**InconE, v = 2**

In court, Mr. Darnay is accused of treason. However, he is acquitted after his patriot friend, Roger Cly, testifies against him.

**CharE, v = 3**

**SceneE, v = 3**

Mr. Lorry visits the Doctor's house on a Sunday afternoon as he often does. Miss Pross, the housekeeper, worries that many people will come to the house to look for Ladybird.

**CharE, v = 3**

Suddenly, the Doctor starts to feel ill and says they should go inside.

**SceneE, v = 2**

**CharE, v = 3**

**RefE, v = 2**

Charles Darnay, the Marquis' nephew, returns to France to pursue the sacred object that took him away. He tells the Marquis that he renounces his French property as it is full of misery.

**RefE, v = 2**

Charles has been in love with Lucie Manette for a long time but has never told her about his feelings.

**CharE, v = 3**

Stryver tells Lorry that he intends to marry Lucie for pragmatic reasons.

New character without introduction  
**(CharE)**

Missing reference to object/event  
**(RefE)**

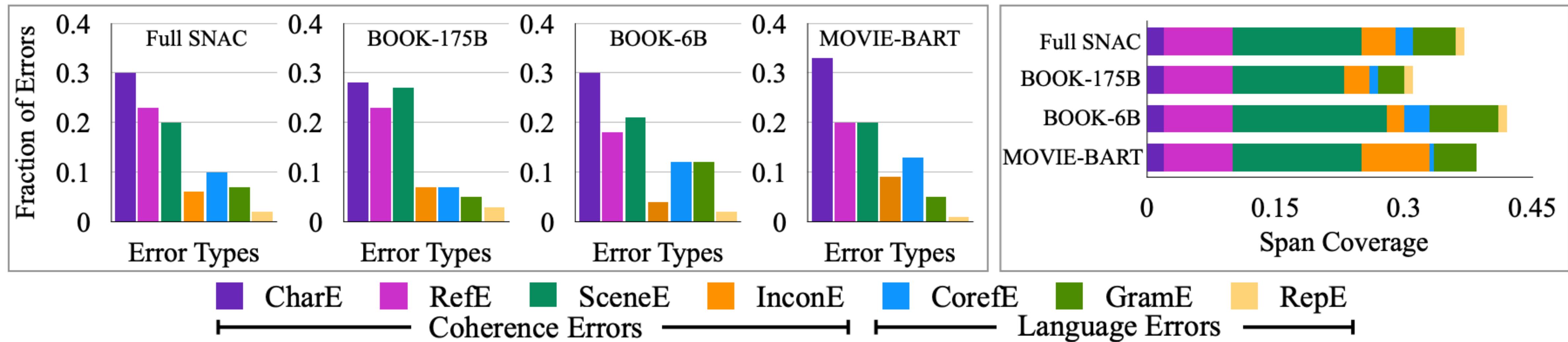
Abrupt scene transition  
**(SceneE)**

Inconsistent  
**(InconE)**

Figure 3: An example of expert annotations for a BOOK-175B summary (we only show coherence errors). The

# Detecting Incoherence

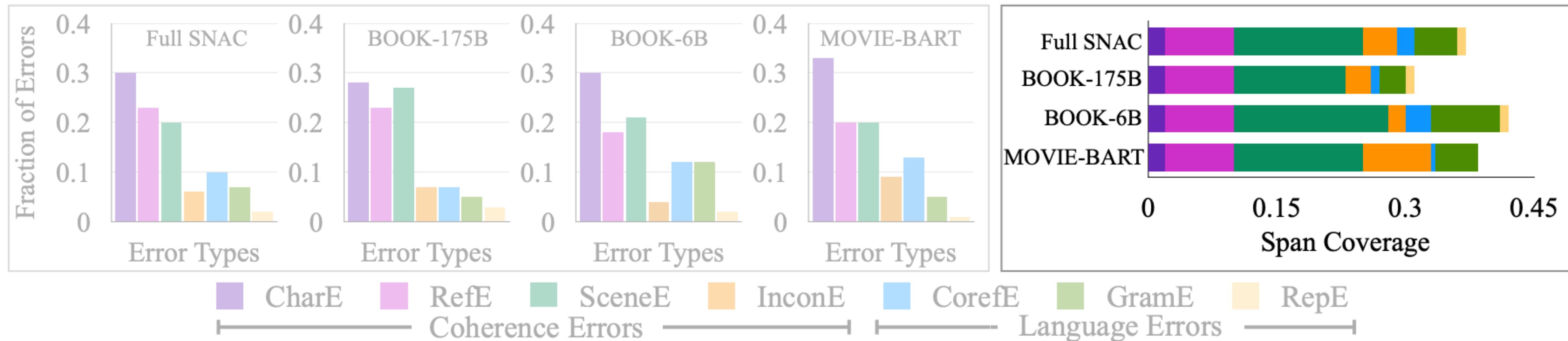
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# Detecting Incoherence

On average, ~30 errors per summary!

**SNaC: Coherence Detection for Narrative summarization**, Goyal et al. (2022)



# Challenges with Narrative Summarization Evaluation

Summaries may be long and complex, creating challenges for:

**Coherence** - Organized, consistent communication at a linguistic and semantic level *within the summary*.

**Faithfulness** - Factual consistency *between source document and summary*.

# Detecting Factual Inconsistency

## 1. Natural Language Inference (NLI) Is the summary entailed by the document?

- *FalseSum: Generating Document-level NLI Examples for Recognizing Factual Inconsistency in Summarization*, Utama et al. (2022)
- *SummaC: Re-Visiting NLI-based Models for Inconsistency Detection in Summarization*, Laban et al. (2022)

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## 2. Questing Answering (QA)

Can you answer questions about the summary using information from the document?

- *FEQA: A Question Answering Evaluation Framework for Faithfulness Assessment in Abstractive Summarization*, Durmus et al. (2020)
- *QAFactEval: Improved QA-Based Factual Consistency Evaluation for Summarization*, Fabbri et al. (2022)

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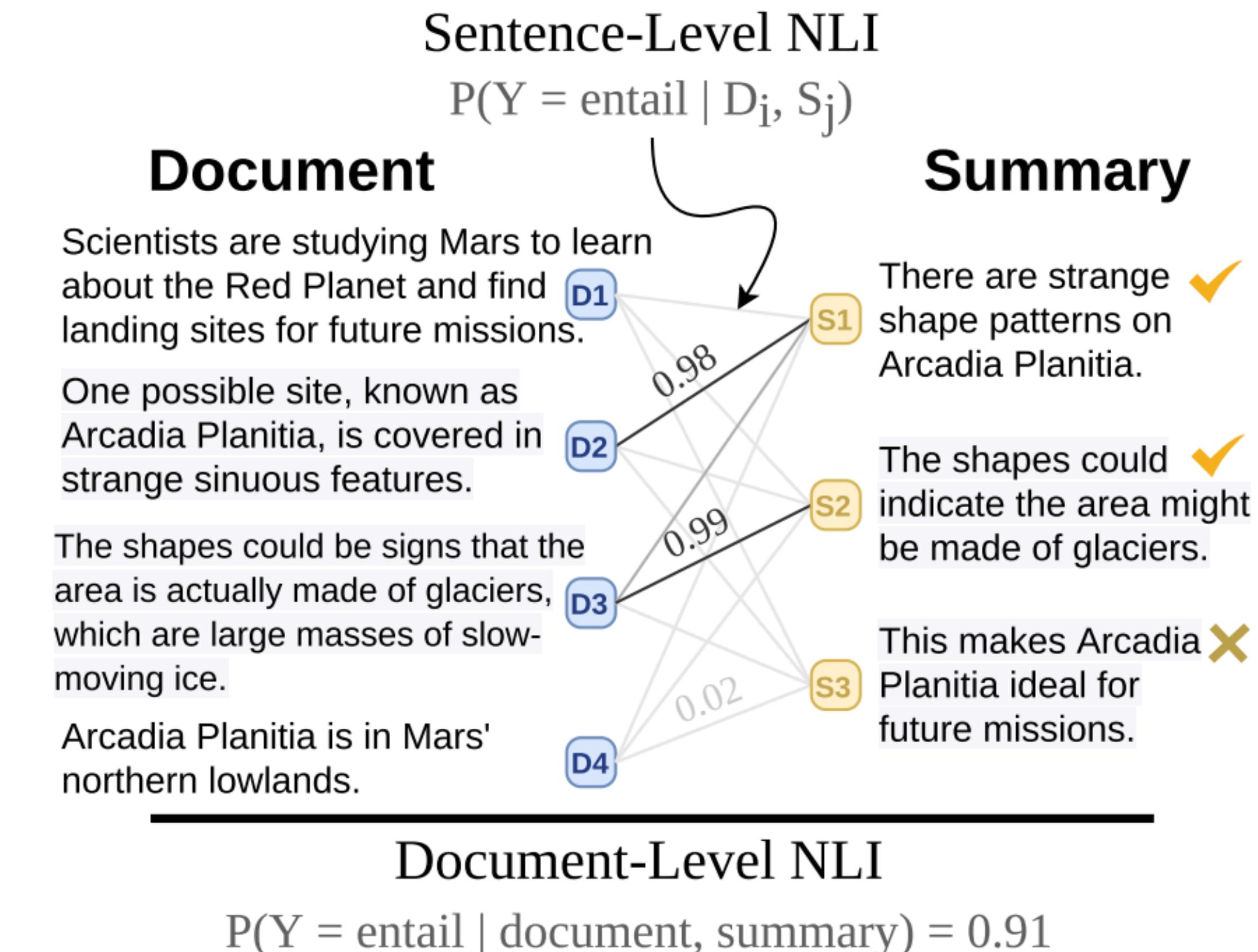
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# Detecting Factual Inconsistency w/ NLI

## SummaC: Re-Visiting NLI-based Models for Inconsistency Detection in Summarization, Laban et al. (2022)

- Important benchmark dataset
- NLI methods can be effective when applied at the right level of granularity



# Detecting Factual Inconsistency w/ QA

**QAFactEval: Improved QA-Based Factual Consistency Evaluation for Summarization**, Fabbri et al. (2022)

- Extensive comparison of entailment and QA
- Improves QA with good question generation and answerability filtering
- Shows entailment and QA are complementary and can be combined

# Detecting Factual Inconsistency w/ QA

**SummaC Benchmark Datasets**

	<b>FactCC</b>	<b>SummEval</b>	<b>CGS</b>	<b>XSF</b>	<b>Polytope</b>	<b>FRANK</b>
<b>FEQA</b>	53.6	53.8	61.0	56.0	57.8	69.9
<b>FalseSum</b>	83.52	65.18				
<b>SummaC</b>	<b>89.5</b>	<b>81.7</b>	64.7	<b>66.4</b>	62.7	81.6
<b>QAFactEval</b>	89.3	80.5	<b>78.1</b>	60.9	<b>83.7</b>	<b>84.3</b>

QAFactEval is current SOTA but both NLI and QA are continually improving

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For Coherence... designing detection strategies with a **basis in linguistics** helps

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**Rouge is still broadly used** by the leading systems but, as we've seen, further analysis shows **coherence and faithfulness errors**

# Overall Patterns

Educational Question Generation of Children Storybooks Via Question Type Distribution Learning and Event-Centric Summarization

Recursively Summarizing Books with Human Feedback

MacSum: Controllable Summarization with Mixed Attributes

BookSum: A Collection of Datasets for Long-form Narrative Summarization

Text Summarization with Latent Queries

Exploring Content Selection in Summarization of Novel Chapters

Screenplay Summarization Using Latent Narrative Structure

Controllable Summarization with Constrained Markov Decision Processes

SummScreen: A Dataset for Abstractive Screenplay Summarization

Learning to Summarize from Human Feedback

Narrative Paths and Negotiation of Power in Birth Stories

Controllable Neural Story Plot Generation Via Reward Shaping

Narrative Theory for Computational Narrative Understanding

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Long Document Summarization with Top-Down and Bottom-Up Inference

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Longformer: The Long-Document Transformer

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Efficient Attentions for Long Document Summarization

Towards Coherent and Consistent Use of Entities in Narrative Generation

Discourse-Aware Unsupervised Summarization of Long Scientific Documents

DYLE: Dynamic Latent Extraction for Abstractive Long-Input Summarization

How Coherent are Neural Models of Coherence?

A Hierarchical Network for Abstractive Meeting Summarization with Cross-Domain Pretraining

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A Hierarchical Network for Abstractive Meeting Summarization with Cross-Domain Pretraining

QAFactEval: Improved QA-based Factual Consistency Evaluation for Summarization

FEQA: A Question Answering Evaluation Framework for Faithfulness Assessment in Abstractive Summarization

# Overall Patterns - Evaluates on books

Educational Question Generation of Children Storybooks Via Question Type Distribution Learning and Event-Centric Summarization

Recursively Summarizing Books with Human Feedback

MacSum: Controllable Summarization with Mixed Attributes

BookSum: A Collection of Datasets for Long-form Narrative Summarization

Text Summarization with Latent Queries

Exploring Content Selection in Summarization of Novel Chapters

Screenplay Summarization Using Latent Narrative Structure

Controllable Summarization with Constrained Markov Decision Processes

SummScreen: A Dataset for Abstractive Screenplay Summarization

Learning to Summarize from Human Feedback

Narrative Paths and Negotiation of Power in Birth Stories

Controllable Neural Story Plot Generation Via Reward Shaping

Narrative Theory for Computational Narrative Understanding

HydraSum: Disentangling Style Features in Text Summarization with Multi-Decoder Models

Long Document Summarization with Top-Down and Bottom-Up Inference

SNaC: Coherence Error Detection for Narrative Summarization

A Divide-and-Conquer Approach to the Summarization of Long Documents

Entity-based Neural Local Coherence Modeling

Longformer: The Long-Document Transformer

SummaC: Re-Visiting NLI-based Models for Inconsistency Detection in Summarization

Sparse Sinkhorn Attention

FalseSum: Generating Document-level NLI Examples for Recognizing Factual Inconsistency in Summarization

Efficient Attentions for Long Document Summarization

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Discourse-Aware Unsupervised Summarization of Long Scientific Documents

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# Overall Patterns - Coherence/Faithfulness Benchmark

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**Compared performance against another model using a measure of coherence or faithfulness that was not ROUGE**

# Overall Patterns - Used a Non-ROUGE Benchmark

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# Where we are now...

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- LLMs are capable of long document processing and abstractive summarization
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1. Thorough benchmarking of existing summarization strategies across narrative tasks using rich evaluation metrics
2. Faithful, coherent and controllable summaries of long documents
3. Effective approaches that are accessible outside of resource-rich industry labs