

## Exercise 3

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### Problem 1.

*Proof.* First suppose  $R_1 \subseteq R_2$ . Let  $[a]_{R_1} \in P_1$ , where  $a \in A$ . For any  $x \in [a]_{R_1}$ ,  $aR_2x$  because  $aR_1x$  and  $R_1 \subseteq R_2$ . Thus  $x \in [a]_{R_2}$ , which implies that  $[a]_{R_1} \subseteq [a]_{R_2}$ . Then  $P_1$  is a refinement of  $P_2$  because  $[a]_{R_2} \in P_2$ .

Now suppose  $P_1$  is a refinement of  $P_2$ . For any  $(a, b) \in R_1$ ,  $a, b \in [a]_{R_1}$ . Because  $[a]_{R_1} \in P_1$ ,  $\exists [c]_{R_2} \in P_2$  such that  $[a]_{R_1} \subseteq [c]_{R_2}$ . Thus  $a, b \in [c]_{R_2}$ , which implies that  $cR_2a$  and  $cR_2b$ , which implies that  $aR_2c$ . Thus  $aR_2b$ . That implies that  $R_1 \subseteq R_2$ , completing the proof.  $\square$

### Problem 2.

*Proof.* We prove by induction on  $n$  that  $(R^n)^{-1} = (R^{-1})^n$ . The base case  $n = 1$  is trivial. Suppose the desired result holds for  $n-1$ . Then  $(R^n)^{-1} = (R^{n-1} \circ R)^{-1} = R^{-1} \circ (R^{n-1})^{-1} = R^{-1} \circ (R^{-1})^{n-1} = (R^{-1})^n$ , which closes the induction. Because  $R$  is symmetric,  $R = R^{-1}$ . Thus  $(R^n)^{-1} = (R^{-1})^n = R^n$ , which implies that  $R^n$  is symmetric.  $\square$

**Lemma 1.** Suppose  $R, S \subseteq A \times A$  and  $R \circ S \subseteq S$ . Then  $\forall T \subseteq S, R \circ T \subseteq S$ .

*Proof.*  $\forall (a, b) \in R \circ T, \exists c, aTc$  and  $cRb$ . Then  $aSc$  and  $cRb$ , which implies that  $(a, b) \in R \circ S \subseteq S$ . Thus  $R \circ T \subseteq S$ .  $\square$

**Lemma 2.** Suppose  $R, S \subseteq A \times A$  and  $R \circ S \subseteq S$ . Then  $\forall n \in \mathbb{Z}^+, R^n \circ S \subseteq S$ .

*Proof.* We use induction on  $n$ . The base case  $n = 1$  is trivial. Suppose the desired result holds for  $n - 1$ . Then  $R^n \circ S = R \circ (R^{n-1} \circ S)$  (by associativity)  $\subseteq S$  (by Lemma 1).  $\square$

### Problem 3.

*Proof.* Suppose  $R \circ S \subseteq S$ . For any  $(a, b) \in R^+ \circ S, \exists c, aSc$  and  $cR^+b$ , which implies that  $\exists n, cR^n b$ . Thus  $(a, b) \in R^n \circ S \subseteq S$  by Lemma 2.

Suppose  $R^+ \circ S \subseteq S$ . For any  $(a, b) \in R \circ S, \exists c, aSc$  and  $cRb$ , which implies that  $cR^+b$ . Thus  $(a, b) \in R^+ \circ S \subseteq S$ , completing the proof.  $\square$

**Lemma 3.** If  $R \in A \times A$  is transitive, then  $R^+ = R$ .

*Proof.*  $R \subseteq R$ .  $R$  is transitive. For every transitive  $S$  such that  $S \supseteq R, R \subseteq S$  trivially. Thus by definition  $R^+ = R$ .  $\square$

### Problem 4.

*Proof.*  $R^+$  is transitive by definition. By Lemma 3,  $(R^+)^+ = R^+$ .  $\square$

### Problem 5.

*Proof.* Because  $S \subseteq R^+$  and  $R^+$  is transitive,  $S^+ \subseteq R^+$ . We prove by induction on  $n$  that  $R^n \subseteq S^n$ . The base case  $n = 1$  is trivial. Suppose the desired result holds for  $n - 1$ . Then  $\forall (a, b) \in R^n = R^{n-1} \circ R, \exists c, aRc$  and  $cR^{n-1}b$ . Then  $aSc$  and  $cS^{n-1}b$  follow from  $R \subseteq S$  and induction hypothesis. Thus  $aS^n b$ , which closes the induction. Because  $R^n \subseteq S^n$  for all  $n \in \mathbb{Z}^+, R^+ \subseteq S^+$  by definition, which, together with  $S^+ \subseteq R^+$ , proves that  $R^+ = S^+$ .  $\square$

**Problem 6.**

*Proof.* (a)  $\forall a \in \mathbb{R}$ , trivially there is no integer in  $(a, a]$ . Thus  $R$  is reflexive. For all  $(a, b), (b, c) \in R$ , there is no integer in  $(b, a]$  or  $(c, b]$ . Thus there is no integer between  $(b, a] \cup (c, b] = (c, a]$ , which implies that  $aRc$ . Thus  $R$  is transitive. It can be verified that  $\frac{1}{3}R\frac{2}{3}$  and  $\frac{2}{3}R\frac{1}{3}$ , but  $\frac{1}{3} \neq \frac{2}{3}$ . Thus  $R$  is not antisymmetric. That completes the proof.

(b)  $R$  is transitive by definition. By Lemma 3,  $R^+ = R$ .

(c)  $\forall a \in A, aRa$  and  $aR^{-1}a$  by reflexivity. Thus  $(a, a) \in R \cap R^{-1}$ . Thus  $R \cap R^{-1}$  is reflexive.

$\forall (a, b) \in R \cap R^{-1}$ ,  $aRb$  and  $aR^{-1}b$ , which respectively imply that  $bR^{-1}a$  and  $bRa$ . Thus  $(b, a) \in R \cap R^{-1}$ , which implies that  $R \cap R^{-1}$  is symmetric.

Suppose  $(a, b), (b, c) \in R \cap R^{-1}$ .  $(a, b), (b, c) \in R$  implies by transitivity that  $(a, c) \in R$ .  $(a, b), (b, c) \in R^{-1}$  implies that  $(b, a), (c, b) \in R$ , which implies by transitivity that  $(c, a) \in R$ , which implies that  $(a, c) \in R^{-1}$ . Thus  $(a, c) \in R \cap R^{-1}$ , which implies that  $R \cap R^{-1}$  is transitive.

Because  $R \cap R^{-1}$  is reflexive, symmetric and transitive, it is an equivalence relation on  $A$ .

(d)  $\forall [a]_{R \cap R^{-1}} \in B$ ,  $aRa$  implies that  $([a]_{R \cap R^{-1}}, [a]_{R \cap R^{-1}}) \in S$ . Thus  $S$  is reflexive.

Suppose  $([a]_{R \cap R^{-1}}, [b]_{R \cap R^{-1}}), ([b]_{R \cap R^{-1}}, [a]_{R \cap R^{-1}}) \in S$ . Then  $aRb$  and  $bRa$  by definition.<sup>1</sup> Thus  $(a, b) \in R \cap R^{-1}$ , which implies that  $[a]_{R \cap R^{-1}} = [b]_{R \cap R^{-1}}$ . Thus  $S$  is antisymmetric.

For all  $([a]_{R \cap R^{-1}}, [b]_{R \cap R^{-1}}), ([b']_{R \cap R^{-1}}, [c]_{R \cap R^{-1}}) \in S$  where  $[b]_{R \cap R^{-1}} = [b']_{R \cap R^{-1}}$ , we have  $([b]_{R \cap R^{-1}}, [c]_{R \cap R^{-1}}) \in S$ . Thus  $aRb$  and  $bRc$  by definition. Thus  $aRc$  because  $R$  is transitive, which implies that  $([a]_{R \cap R^{-1}}, [c]_{R \cap R^{-1}}) \in S$ . That implies that  $S$  is transitive.

Because  $S$  is reflexive, antisymmetric and transitive, it is a partial ordering on  $B$ .  $\square$

**Problem 7.**

*Proof.* Let  $R = \{(x, y) \in A \times A : F(x) = F(y)\}$ .  $\forall x \in A, F(x) = F(x)$ , which implies that  $xRx$ . Thus  $R$  is reflexive.  $\forall (x, y) \in R, F(x) = F(y)$ . Thus  $F(y) = F(x)$ , which implies that  $yRx$ . Thus  $R$  is symmetric.  $\forall (x, y), (y, z) \in R, F(x) = F(y) = F(z)$ . Then  $xRz$  follows from  $F(x) = F(z)$ , which implies that  $R$  is transitive. Because  $R$  is reflexive, symmetric and transitive, it is an equivalence relation.  $\square$

**Problem 8.**

*Proof.* Let  $G = \{([x]_R, F(x)) : x \in A\}$  and  $P = \{[x]_R : x \in A\}$ .

First suppose  $G : P \rightarrow B$ . For any  $x, y \in A$  such that  $xRy$ ,  $[x]_R = [y]_R$ . Thus  $F(x) = G([x]_R) = G([y]_R) = F(y)$ .

Now suppose that for any  $x, y \in A$  such that  $xRy$ ,  $F(x) = F(y)$ . Suppose  $[x]_R \in P$ . Then there exists an element  $F(x)$  in  $B$  such that  $([x]_R, F(x)) \in G$ . Suppose that there are 2 elements  $a, b \in B$  such that  $([x]_R, a), ([x]_R, b) \in G$ . Then  $\exists y, z \in [x]_R, F(y) = a, F(z) = b$ . Because  $xRy$  and  $xRz$ , we have  $yRx$ . Then  $yRz$  by transitivity of  $R$ , which implies that  $a = F(y) = F(z) = b$ . That proves that  $G$  is a function from  $P$  to  $B$ . That completes the proof.  $\square$

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<sup>1</sup>Erratum: there are similar mistakes to those in hw2.