MPC for Dynamic Obstacle Avoidance

Technical report on the group project activity

Course of Compliance Design of Automotive Systems

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Abstract

Every year 1.25 million people die and as many as 50 million are injured in road traffic accidents worldwide, according to United Nations statistics. Human error is involved in about 95% of all road traffic accidents in the EU, and in 2017 alone, 25300 people died on the Union's roads [1]. Autonomous cars can improve road safety and through new technologies it is also possible to reduce traffic congestion and CO2 emissions.

The aim of this report is to present the steps that we have followed as a group in order to implement a system for dynamic obstacle avoidance using an adaptive Model Predictive Control. In the simulated environment, the vehicle model is expected to encounter and safely avoid the dynamic obstacles along its way. On the other hand, when an obstacle is not present ahead of the autonomous vehicle, it is expected to follow a predetermined path.

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1   Introduction

The task of avoiding obstacles is one of the key issues when it comes to the vehicular scenario and it is more difficult to perform it here than it is in static environments. A vehicle with an obstacle avoidance system is equipped with sensors that measure the distance between the car itself and the obstacle in the same lane. If an autonomous car encounters an obstacle, it is expected to move temporarily to another lane and move back to the original lane once it has driven past the obstacle. This type of control can be implemented using a Model Predictive Control (MPC). An MPC is an advanced control method that works in discrete time and uses a model of the system to make predictions about the system's future behavior. MPC solves an online optimization algorithm to find the optimal control action that drives the predicted output to the reference [2]. In other words, from a set of state values, and with respect to a model, it optimizes a problem around an objective and gives a sequence of control signals as outputs. The first set of control values are then used as inputs to the system plant, and after a short period, set as the *system time step*, the new state values are measured and the process is repeated. The overall objectives of the MPC are:

- prevent that input and output constraints are violated;

- optimize some input variables, while other outputs are kept in specified ranges,

- prevent the input variables from having excessive variations.

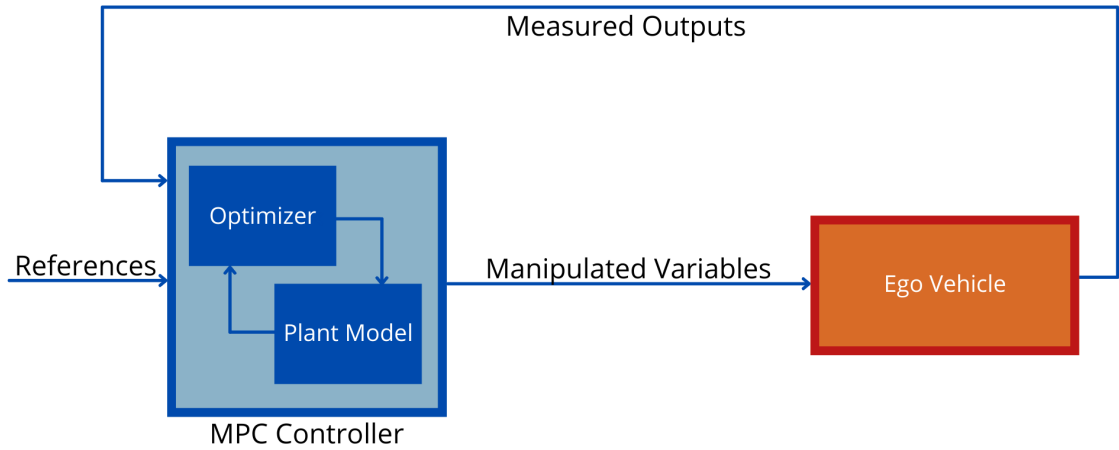Figure 1 shows a block diagram for a Model Predictive Control.

Figure 1: MPC Block Diagram

An MPC controller has two main functional blocks: the optimizer and the plant model. The dynamic optimizer allows to find the optimal input that gives the minimum value of the cost function taking into account all the constraints. Generally, a non linear model is used for the validation of the controller, while the plant model used for the MPC is a linearized version of the actual plant.

Our project is based on the use of an *adaptive* MPC which means that the plant state has to be measured again to be adopted as the linearization point for the next step of the predictive control. When the plant state is re-sampled, the whole process computes again the calculations starting from the new current state.

1.1 Model-based Design

When developing a project, especially concerning embedded systems, it is crucial to follow a process model which illustrates the high-level activities and their phasing during development.

As stated in [3] *"Process models provide high-level perspective that helps team members understand what activities to do and what progress has been made on each of those development activities"*.

The development process of our system is based on the V-model shown in Figure 2. Starting from the general V-model we have tailored our own V-model in order to meet our needs; doing so we have managed to skip some unnecessary steps in the development process while still being compliant with the definition of the V-model itself.
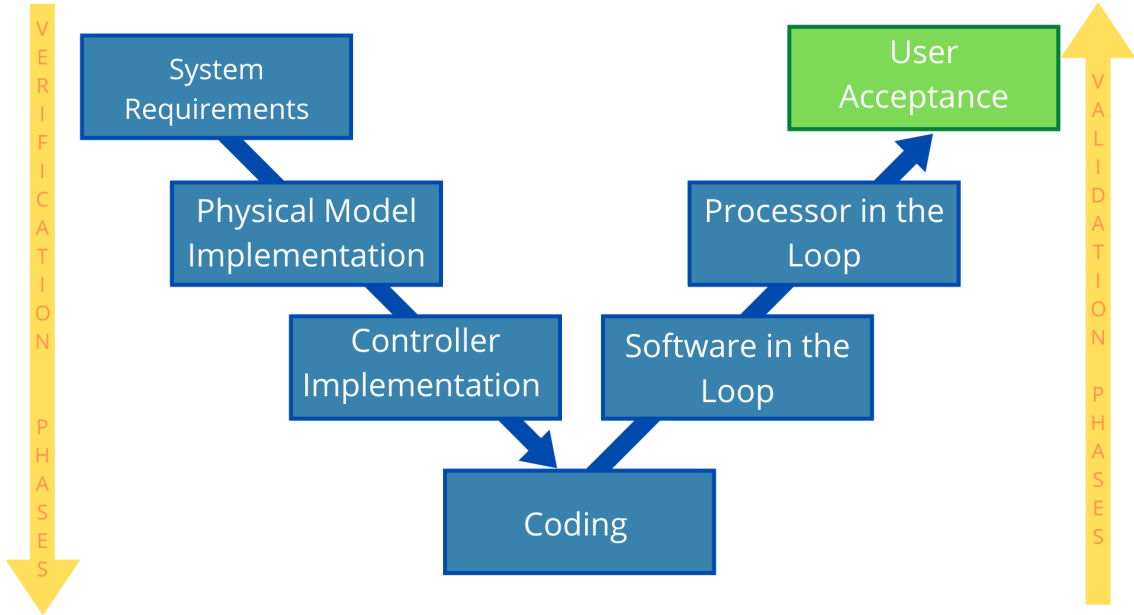

Figure 2: System Development V-model

As shown above the V-model is a representation of system development process that highlights verification steps on one side and validation steps on the other of the 'V'. The left side of the 'V' identifies the verification phase, containing the steps that lead to code generation while the right side identifies the validation phase which ends with the user acceptance. Below a brief description of the steps which constitute our V-model:

- **System requirements**: this is the first step of each project, it consists in the requirements gathering from the customer;

- **Physical model implementation**: this phase contains the system design of our vehicle in terms of sensors required and dynamic and kinematic model;

- **Controller implementation**: this phase is the focus of our project, designing an adaptive MPC.

- **Coding**: exploiting some specific tools, we can automatically generate code for embedded deployment and create test benches for system verification, saving time and avoiding the introduction of manually coded errors;

- **Software in the Loop**: once generated, the code is tested in a simulation environment;

- **Processor in the Loop**: during this phase the code is uploaded on a demo board and than it is tested again;

- **User Acceptance**: in this phase the final product is tested in order to verify the compliance with the initial costumer requirements.

2 System Requirements

Defining the project requirements is an essential and crucial step to accomplish in the starting phase of the project. Indeed, from both the perspective of the customer and of the project developers, detailed requirements are necessary to deliver exactly what is needed.

Firstly, the requirements are defined by the customer at a high level, then "translated" by the developers to a lower and technical level and later on validated to ensure that the project requirements are correct, free of defects/bugs, and meets the needs of the users.

For the sake of this project we have assumed to receive the requirements from a hypothetical customer. Namely, there are six high level requirements given for this obstacle avoidance implementation that are mainly related to the accuracy of the system and to the driver comfort:

1. Maximum lateral error from reference of $0.5m$;

2. Detection of obstacles within $100m$ ahead of vehicle;

3. Move on left lane within a predetermined safe zone from the obstacle[1];

4. Once passed the obstacle, come back on right lane with no less than $10m$ but no more than $50m$ ahead of it;

5. Maximum lateral acceleration of $0.5m/s^2$;

6. All previous requirements satisfied in speed range from $10km/h$ to $100km/h$.

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[1]Third to fifth requirements are requested when an obstacle is detected

3 System Implementation

As briefly discussed in the *Introduction* chapter, nowadays one of the smartest techniques deployed for autonomous vehicles control is the Model Predictive Control, hence our decision to develop a controller in order to accomplish the path following and obstacle avoidance task. The design of such a controller needs a deep analysis of the project requirements and a smart system partitioning to identify different tasks that can be developed autonomously and independently from others to be then merged in order to satisfy the control problem.

3.1 Analysis of requirements

Starting from the detailed system requirements described in Section 2, we can obtain low level requirements suitable for the controller implementation; each of these is associated with a draft of the validation method needed to assert each of them. Table 1 shows the system requirements, low level requirements and the corresponding validation methods.

System requirements	Low level requirements	Validation methods
Maximum lateral error from reference of $0.5m$	Inequality constraint: $e_y = abs(Y_{reference} - Y_{vehicle}) <= 0.5m$	Check in each point that the difference between the actual vehicle position and the reference path is not greater than $0.5m$
Detection of obstacles within 100 m ahead of vehicle	Sensor fusion able to provide useful data in the range $0 - 100m$ from the vehicle	Drive toward a static obstacle and confirm that is detected when is $100m$ far
Move on left lane within a predetermined safe zone from the obstacle	Inequality constraint when obstacle detected: $dist = position_{vehicle} - position_{obstacle} \in SafeZone$	Confirm that when changing lane the distance from the obstacle measured by the sensors is greater than the predetermined safe zone
Once passed the obstacle, come back on right lane with no less than $10m$ but no more than $50m$ ahead of it	Inequality constraint when obstacle passed: $10m <= dist <= 50m$	Confirm that when changing lane the distance from the obstacle measured by the sensors is greater than the predetermined safe zone
Maximum lateral acceleration of $0.5m/s^2$	Constraint on Model Predictive Control input: $a.max = 0.5$	Check that the maximum value registered by the $IMU-$accelerometer is not greater than $0.5m/s^2$
All previous requirements satisfied in speed range from $10km/h$ to $100km/h$	Verify all previous constraint when simulating at speed range $10 - 100km/h$	Verify all the above methods with vehicle travelling from $10km/h$ to $100km/h$

Table 1: System requirements and possible validation methods

3.2 Partitioning

Regarding the system partitioning, which as already said in Section 3 is a crucial part of the implementation of a system, we have decided to base the implementation of our
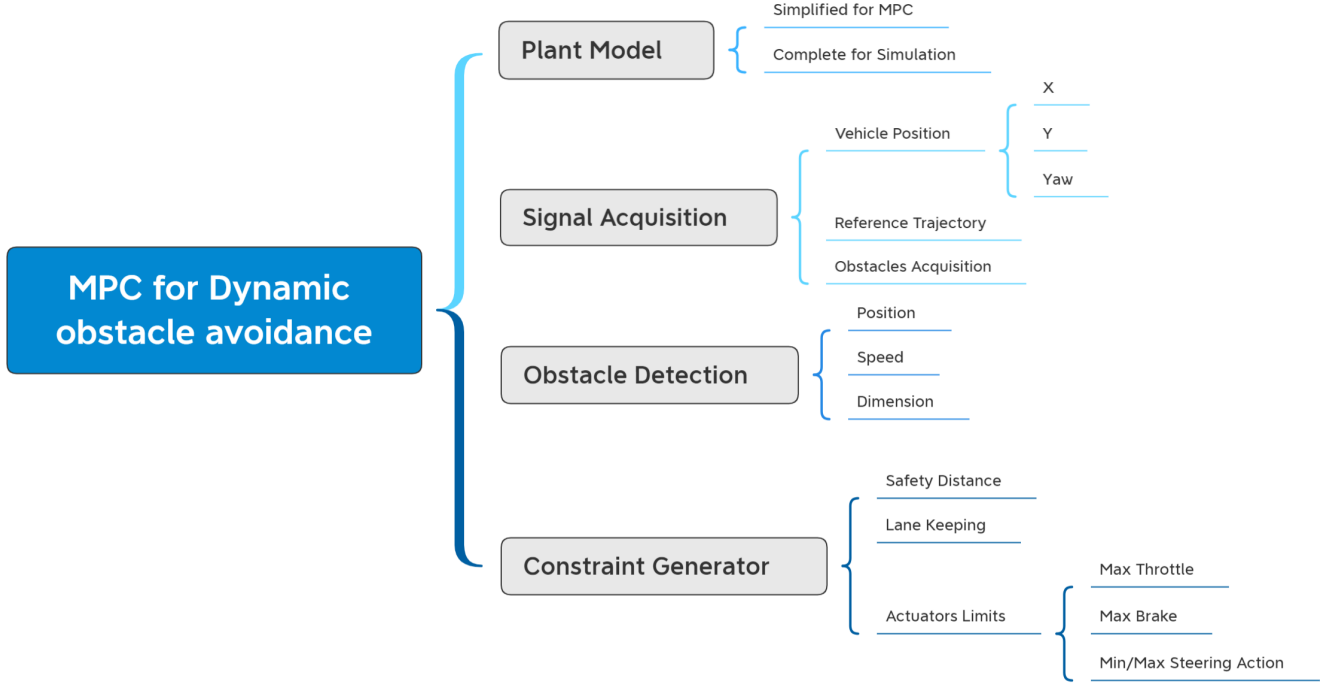
project on the tasks shown in Figure 3.

Figure 3: System Partitioning

As shown in the figure above, our project can be divided into four macro-areas:

- Plant Model: is the mathematical model of the vehicle which represent the development environment of our project. We have decided to adopt two models, a simplified one to be used in the MPC and a more accurate one to be used in the simulation;

- Signal Acquisition: refers to the gathering of data related to the vehicle position, trajectory, and obstacle acquisition, all coming from the sensors mounted on our vehicle;

- Obstacle Detection: here the properties of the obstacle such as position, speed, and dimension are evaluated;

- Constraint Generator: in this phase we set the constraints which the MPC needs to comply with. These constraints are necessary in order to make our model as realistic and safe as possible.

These sections will be described in depth in the following chapters.

4   Plant Model

The starting point for Model Based design is to develop and implement a plant model, so a model representing the Physics of the system considered.

Different models are available in literature to model a vehicle. Since the target of the project is to develop a lateral controller, one of the most suitable model is the so called *Bicycle Model*.

The kinematic bicycle model is described by the following non linear system:

$$\dot{X} = V cos(\psi + \beta) \tag{1}$$

$$\dot{Y} = V sin(\psi + \beta) \tag{2}$$

$$\dot{\psi} = \frac{V cos(\beta)}{l_f + l_r} \left(tan(\delta_f) - tan(\delta_r) \right) \tag{3}$$

$$\beta = tan^{-1} \left(\frac{l_f tan(\delta_r) + l_r tan(\delta_f)}{l_f + l_r} \right) \tag{4}$$

Where:

- X and Y are the coordinates of the body of the vehicle in the global reference frame,

- ψ is the yaw (orientation angle),

- β is the slip angle of the body,

- $\beta + \psi$ is the body speed direction known as *Course Angle*,

- δ_f is the front steering angle,

- δ_r is the rear steering angle,

- V is the magnitude of the body speed,

- l_f is a geometric parameter which indicates the distance of the CoG from the front wheel,

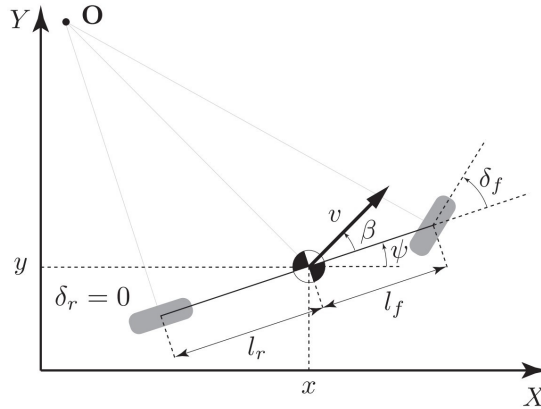- l_r is a geometric parameter which indicates the distance of the CoG from the rear wheel.

Figure 4: Bicycle kinematic vehicle model

This model can be further simplified assuming that, as in the most of commercial vehicles, only the front wheels are able to steer, so the rear steering angle δ_r is constant and equal to 0.

Standard MPC controller works on linear systems, so we decided to implement a linearized version of the Bicycle Model to feed the controller. This linearized model is described by the following State Space equations:

$$\dot{x} = Ax + Bu \tag{5}$$

$$y = Cx + Du \tag{6}$$

with:

$$x = \begin{bmatrix} X \\ Y \\ \psi \\ v \end{bmatrix} \quad A = \begin{bmatrix} 0 & 0 & -Vsin(\psi) & cos(\psi) \\ 0 & 0 & Vcos(\psi) & sin(\psi) \\ 0 & 0 & 0 & \frac{tan(\delta)}{l_r+l_f} \\ 0 & 0 & 0 & 0 \end{bmatrix} \quad B = \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 0 & \frac{Vtan(\delta)^2+1}{l_r+l_f} \\ 1 & 0 \end{bmatrix} \tag{7}$$

$$u = \begin{bmatrix} Throttle \\ \delta \end{bmatrix} \quad C = I^{4\times4} \quad D = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

These matrices are obtained evaluating the Taylor expansion of the non linear bicycle model, as explained in the MathWorks example [4].

A more complex vehicle model can be useful to test the performance of the controller to be developed. The *Dynamic Bicycle Model* [5] can be built starting from the previous non linear model, adding the following equations:

$$\ddot{x} = \dot{\psi}\dot{y} + Throttle \times cos\psi \tag{8}$$

$$\ddot{y} = -\dot{\psi}\dot{x} + \frac{2}{m}\left(F_f cos(\delta) + F_r\right) \tag{9}$$

$$\ddot{\psi} = \frac{2}{I_z}\left(l_f F_f - l_r F_r\right) \tag{10}$$

$$\dot{X} = \dot{x}cos(\psi) - \dot{y}sin(\psi) \tag{11}$$

$$\dot{Y} = \dot{x}sin(\psi) + \dot{y}cos(\psi) \tag{12}$$

$$\tag{13}$$

where m is the mass of the vehicle, I_z is the inertia of the vehicle with respect to the vertical axle passing for the CoG of it, while F_f and F_r are respectively the side slip forces acting on the front and rear wheels, and they can be evaluated as:

$$F_f = 2C_f\left(\delta - \theta_f\right) \tag{14}$$

$$F_r = 2C_r\left(-\theta_r\right) \tag{15}$$

with C_i side slip friction coefficient of the i-th wheel couple and θ_i side slip angle of the wheels.

Tyre side slip angles can be approximated by the following equations:

$$\theta_f = tan^{-1}\left(\frac{\dot{y} + l_f\dot{\psi}}{\dot{x}}\right) \tag{16}$$

$$\theta_r = tan^{-1}\left(\frac{\dot{y} - l_r\dot{\psi}}{\dot{x}}\right) \tag{17}$$

The models introduced are, more or less, independent on the longitudinal dynamics of the vehicle, since they link this dynamic with a simple coefficient, that is the *Throttle*. In our model, this coefficient should be considered as an acceleration of the vehicle, and it can be both positive (driving) or negative (braking). Range of values for this parameter is dependent on lots of variables, of course, such as the engine power, the vehicle mass and inertia, the ground type, the rubber of the wheels and so on. Our assumption is to give a fixed interval for this parameter, to simulate a small commercial vehicle on dry asphalt, which can have a maximum acceleration of $4m/s^2$ and a maximum braking deceleration of $-0.8g$. To summarize:

- $Throttle \in [-7.85, 4.00]m/s^2$

Parameters considered for the bicycle model are taken from real vehicle data [6] and are reported in the following table:

ID	Vehicle name	Wheel base $[m]$	l_r $[m]$	l_f $[m]$	Mass $[kg]$	Inertia $[kg \cdot m^2]$
1	*Hyundai Azera*	2.843	1.738	1.105	1200	1000
2	*BMW 325i*	2.570	1.369	1.201	1251	2027
3	*Ford E150*	3.505	1.634	1.871	2995	6536
4	*Suzuki Samurai*	2.032	0.870	1.162	1229	1341
5	*Volkswagen Beetle*	2.408	0.996	1.412	857	1289

Table 2: Vehicle data considered for development and validation

Values reported in the table 2 are stored in a MATLAB file and can be accessed through the *loadParameters* function, which take as input the vehicle ID and returns the parameters associated with that vehicle. To avoid to call improperly this function, a default parameters set as been provided with the following values:

Vehicle name	Wheel base $[m]$	l_r $[m]$	l_f $[m]$	Mass $[kg]$	Inertia $[kg \cdot m^2]$
Default	2	1	1	1000	1000

We used data of vehicle 1, *Hyundai Azera*, for the development phase, where mass and inertia are not the real ones of the vehicle, but are given with realistic values, while other data of the previous table 2 are meant to be used in the validation phase, to test the controller with different vehicles. For what concern the side slip friction values, we considered two fixed values for all the vehicles that are:

- $C_f = 1.0745 \times 10^5$ N/rad

- $C_r = 1.9032 \times 10^5$ N/rad

while in the *"default"* condition they are both 10^5 N/rad.

4.1 Model comparison

As specified in the subsection 3.2 we have decided to use two models, a simplified and linearized model for the MPC and a more complex one for the simulation of the model. The former is defined as *Kinematic Bicycle Model* and the latter as *Dynamic Bicycle Model*. Hence we have decided to test both of these systems to show how they behave with different throttle and steering inputs using the *Simulink Test* tool. Thus, the tests performed are:

- **Free evolution test**: this test has been performed considering a constant steering of 0° and a constant throttle of 0 m/s^2;

- **Only throttle test**: this test has been performed keeping the steering angle constant and equal to 0° and varying the throttle as shown in Figure 5;

- **Constant steering test**: this test has been performed keeping the throttle constant and equal to 0 m/s^2 and the steering angle constant and equal to 2°;

- **Ramp steering test**: this test has been performed keeping the throttle equal to 0 m/s^2 and giving a ramp steering angle signal (varying linearly from 0° to 36°);

- **Small sinusoidal steering test**: this test has been performed keeping the throttle constant and equal to 0 m/s^2 and giving a sinusoidal steering angle signal with frequency 0.2 Hz and amplitude 5°;

- **Big sinusoidal steering test**: here we have performed the same test as before (sinusoidal steering input) but with a larger amplitude of the sine wave (15°);

- **Combined test 1**: this test has been performed keeping the steering angle constant and equal 2° and varying the throttle as shown in Figure 5;

- **Combined test 2**: this test has been performed keeping the throttle equal to 0.2 m/s^2 and giving a ramp steering angle signal (varying linearly from 0° to 36°).
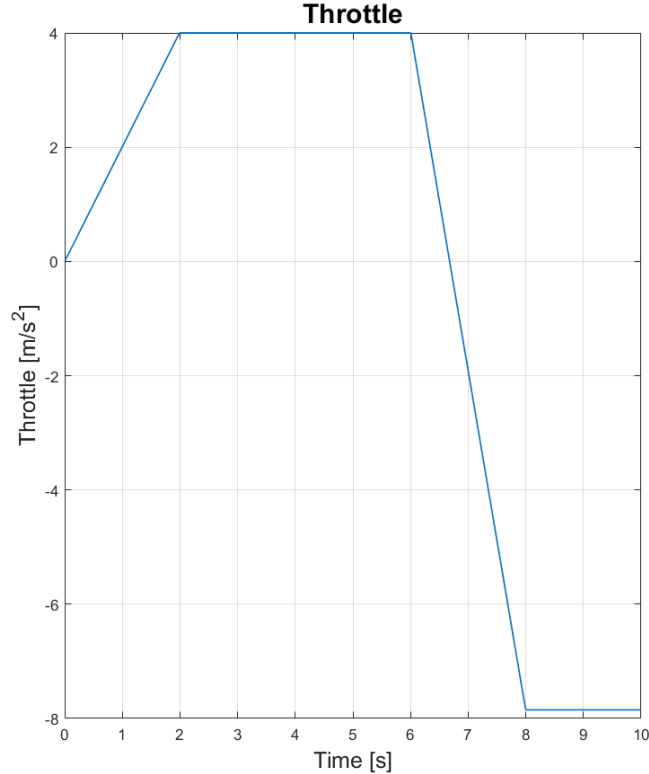

Figure 5: Throttle Input used in *Only throttle test* and *Combined test 1*. Maximum and minimum values are coherent with the range described in Section 4 in order to explore the whole set of throttle values.

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4.1.1 Simulink Test

The above-mentioned tests have been performed using the *Simulink Test* tool implemented by MathWorks. Simulink Test provides tools for authoring, managing, and executing systematic, simulation-based tests of models, generated code, and simulated or physical hardware. It includes simulation, baseline, and equivalence test templates that let you perform functional, unit, regression, and back-to-back testing using software-in-the-loop (SIL), processor-in-the-loop (PIL), and real-time hardware-in-the-loop (HIL) modes. With Simulink Test you can create non-intrusive test harnesses to isolate the component under test. You can define requirements-based assessments using a text-based language, and specify test input, expected outputs, and tolerances in a variety of formats. [7]

4.1.2 Results analysis

Exploiting Simulink Test, we have created two test harnesses : *Dynamic* and *Kinematic*. Those are referred to the models described at the beginning of this section. Then, we have executed an Equivalence Test which allowed us to make a comparison between two simulations. In particular, the Dynamic Model has been considered as the *baseline* which our Kinematic Model has been compared to in the test. The baseline represents the expected output being more accurate than the Kinematic model, which in turn is called *Compare to* model inside the Simulink Test automatic report generator[2]. Since these tests aim to give a qualitative analysis of the two models and compare them, we have not included equivalence criteria. The only parameter we have set up is the relative tolerance assigning to it a value of 1% in order to ignore the negligible offsets between the dynamic model and the kinematic model.

Figure 6 shows the results of the simulations we have carried out. As shown in Figures 6a and 6b respectively, as expected the two models behave in the same way when no inputs are present or when only the longitudinal input (throttle) is changed.

Another relevant result is shown in Figures 6e and 6f: as long as the amplitude of the sinusoidal input is fairly small, the two models behave in a similar fashion but the greater the amplitude of the sinusoidal input, the greater the deviation of the kinematic model from the dynamic one.

The other images shown below, underline as well the offset between the two vehicle models, which is due to the fact that when using the dynamic model we take into account lateral slips which are not considered in the kinematic model.
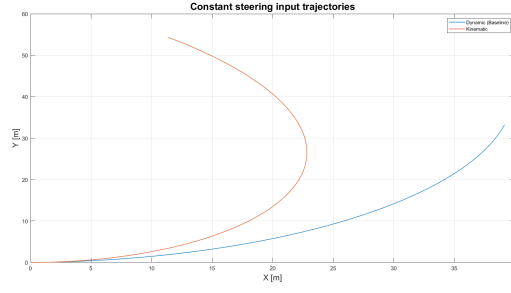
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[2]The "Model Comparison - Test Report" file generated by Simulink Test is included in the /Documentation/Test Reports/ file path
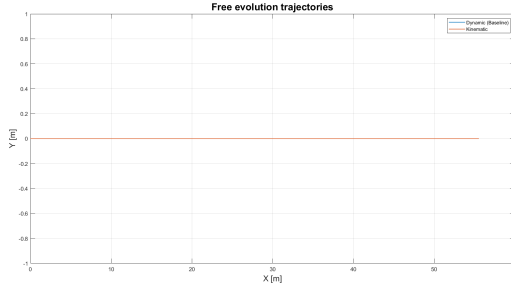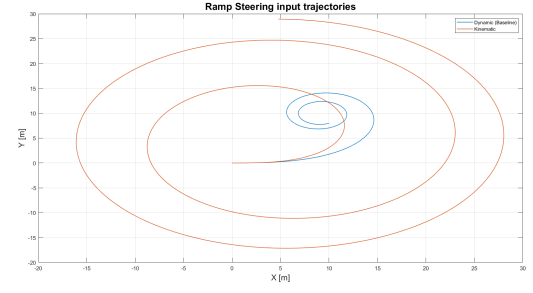
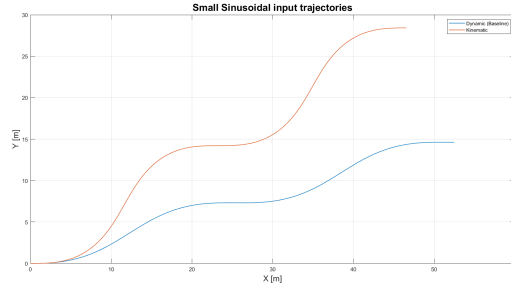(a) Free evolution test - trajectories
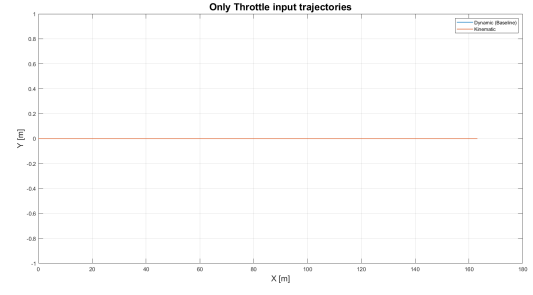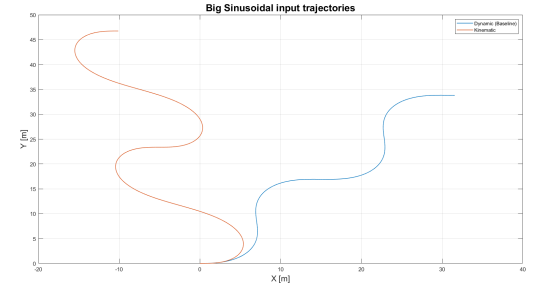
(b) Only throttle test - trajectories

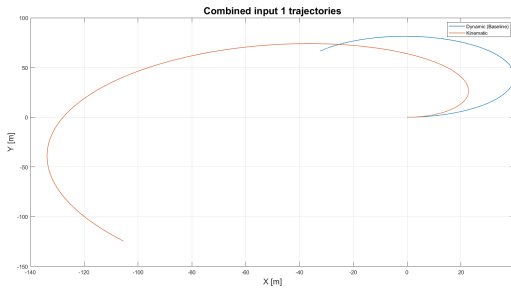(c) Constant steering test - trajectories
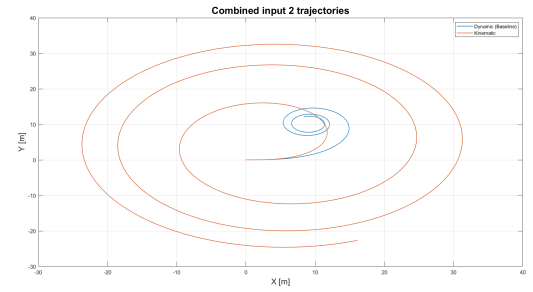
(d) Ramp steering test - trajectories

(e) Small sinusoidal test - trajectories

(f) Big sinusoidal test - trajectories

(g) Combined 1 test - trajectories

(h) Combined 2 test - trajectories

Figure 6: Output trajectories of the test set

After the analysis of the results, we decided to provide to the MPC the linearized bicycle model for the vehicle plant, because the controller is usually updated with the current state in some fractions of a second, and for this reason the differences between the model and the *"Real World"* system, that in our case is represented by the dynamic model, shouldn't affect too much the behaviour of the controller itself. As a matter of fact, by looking at the images above it is clear that the difference between the two models output is negligible at the first time steps of the simulation.

Moreover, as already discussed in this section, the performances of the two models are very similar if compared with small inputs, and this condition is fulfilled if we consider to use our controller in normal driving scenarios, such as, for instance, an highway.

Keeping in mind these assumptions, we go on with the project, considering to apply a change in the vehicle model for the MPC if we are not able to achieve good results with this one.

References

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