# Image Classification

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- Binary and multi-class classification
- Softmax function
- Cross entropy loss function
- Confusion matrix
- Accuracy, Precision and Recall
- Receiver Operating Characteristic (ROC) curve
- Transfer learning for classification
- Transfer learning experimental setup
- Experiment results and discussion

## Binary and multi-class classification

#### • Binary:

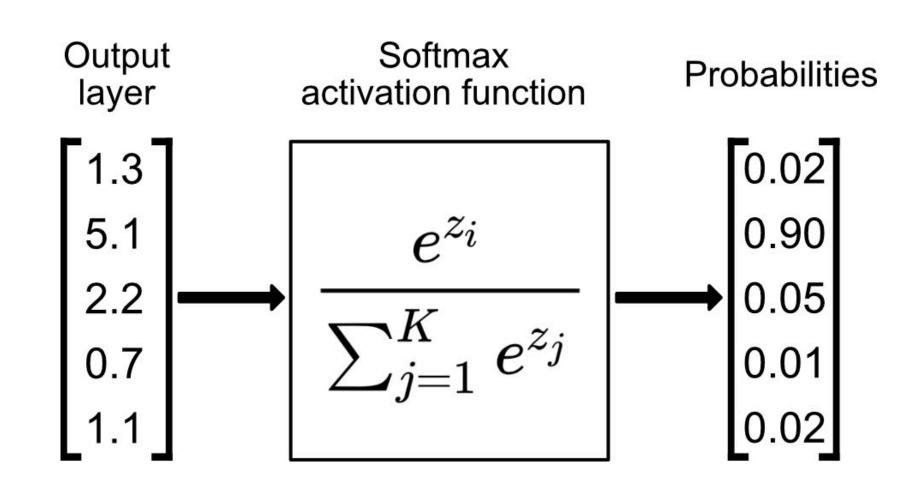
- Binary classification address the case where the label is either "True" or "False"
- The most popular activation function used in binary classification is the sigmoid function:

$$\bullet \ \sigma_{Sigmoid}(x) = \frac{1}{1 + e^{-x}}$$

- The sigmoid calculates the independent probabilities in multi class case
- Sum of all sigmoid outputs does not necessarily adds up to 1.0
- A solid decision on a prediction can be found by thresholding the outputs
  - output = 1 if prob > threshold else 0

### Binary and multi-class classification

- Multi-class
  - Multi-class addresses the case with 2 or more classes
  - The activation function used in this case is the Softmax function
  - A solid decision on the predicted class is made by taking the class that max the probability
    - $output = argmax_{i \in [i...N]}(\sigma_i^{Softmax}(z)); z: inputs$  (logits) and N: Number of classes



Ref.0: Conversion of logits to Softmax probabilities

#### Softmax function

- ullet A deep learning model at the output layer, outputs classification logits z (unnormalized)
- The Softmax function normalizes these logits to a discrete probability distribution
- The probabilities of the logits are not independent

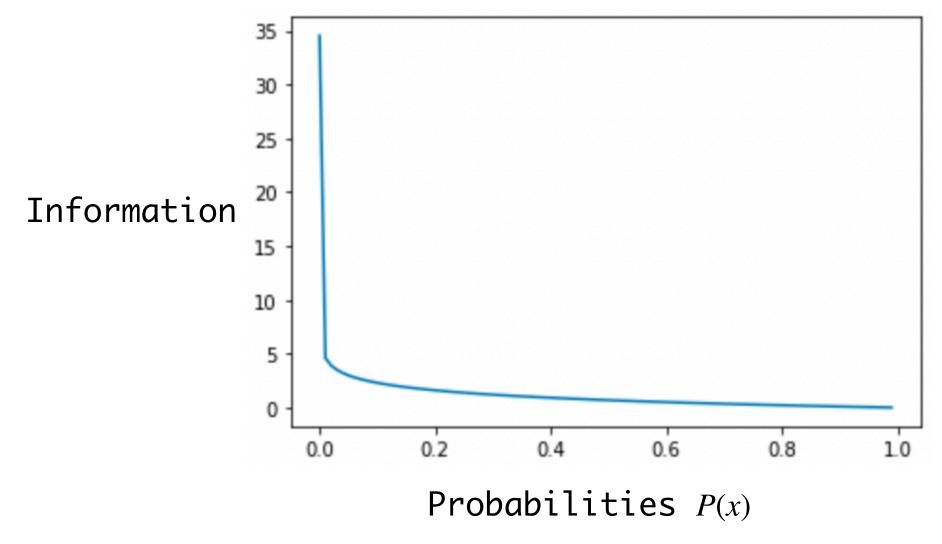
$$\bullet \sigma_i^{Softmax}(z) = \frac{e^{z_i}}{\sum_{j=1}^N e^{z_j}}$$

- lacktriangle where z is the output logits and i is the class we consider out of N classes
- All probabilities of the Softmax output sums to 1

```
logits = [0.3, 1.6, 3.1, 5.6]
softmax = lambda z, zs: np.exp(z) / sum(np.exp(zs))
norm_logits = list(map(lambda z: softmax(z, logits), logits))
print(norm_logits)
print(sum(norm_logits))
[0.0045156766666643495, 0.016569357344960955, 0.07425870771543724, 0.9046562582729584]
1.0
```

#### Cross entropy loss function

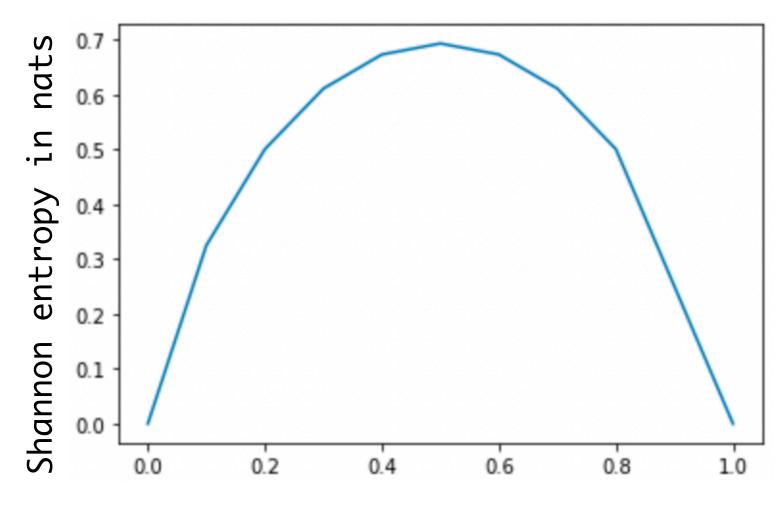
- In a discreet or continuous probability distribution:
  - The information quantifies the uncertainty of a given event
  - Deterministic events carry very less information (uninformative) (e.g. Sun rose today)
  - Less likely events carries more information (e.g. Today there was an eclipse)
  - Independent events should accumulate information
  - Information (self) of an event x:  $I(x) = -\log(P(x)) = \log\left(\frac{1}{P(x)}\right); \ P(.) \text{ probability mass}$ function
  - ullet When log is base e, the unit of information measurement is nats
  - bits or shannons for the base 2



The plot shows that, an event that is guaranteed not to happen  $P(x) \approx 0$  has the highest uncertainty and deterministic events P(x) = 1.0 has no information (uncertainty)

### Cross entropy loss function

- The entropy quantifies the uncertainty of a given distribution (expected amount of information)
- Near deterministic distributions have zero entropy and uniform distributions have very high entropy
- Entropy (amount of information) of a discrete distribution:
  - $\bullet \ H(x) = \mathbb{E}_{x \sim P}[-log(p(x))] = -\sum_{i=1}^K \left[P(x_i)log(P(x_i))\right]; \ K: \ \text{Number of possible}$  outcomes
- ullet Taking the same idea, cross entropy measures the difference between a true distribution P(x) and an estimated distribution Q(x)
  - Cross entropy loss:  $H(P_{true}, Q) = -\sum_{i=1}^{K} P_{true}(x_i) log(Q(x_i))$
  - Cross entropy is always higher than the entropy except for the case where  $Q(x) \approx P(x)$



P(X = 1): probability a binary random variable is equal to 1

When a binary random variable is sampled from a Bernoulli distribution it can take a value 1 with a probability p and 0 with a probability (1-p);

$$P(X = 1) = p = 1 - P(X = 0) = 1 - q$$

So the entropy here is calculated by:

$$entropy = -(1-p)log(1-p) - plog(p)$$

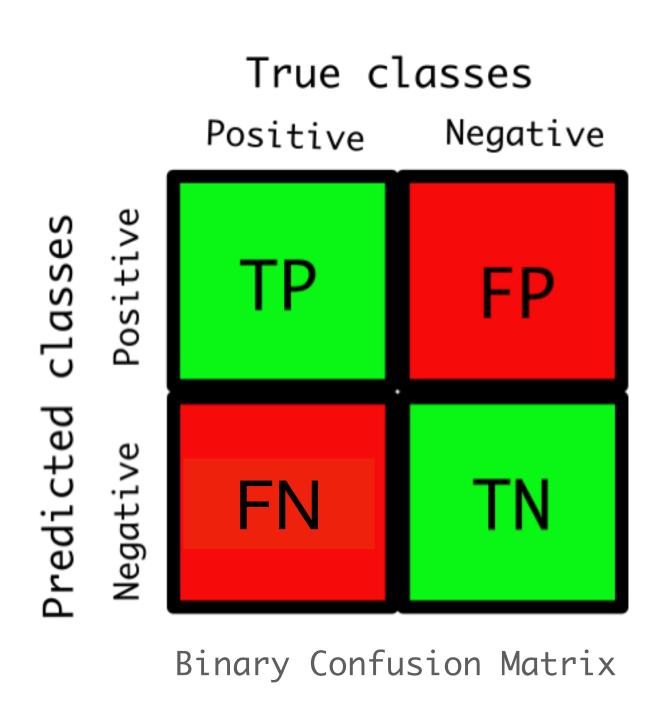
Highest entropy when p = 0.5Check ref-2 and 3

Check Ref-3 for more details

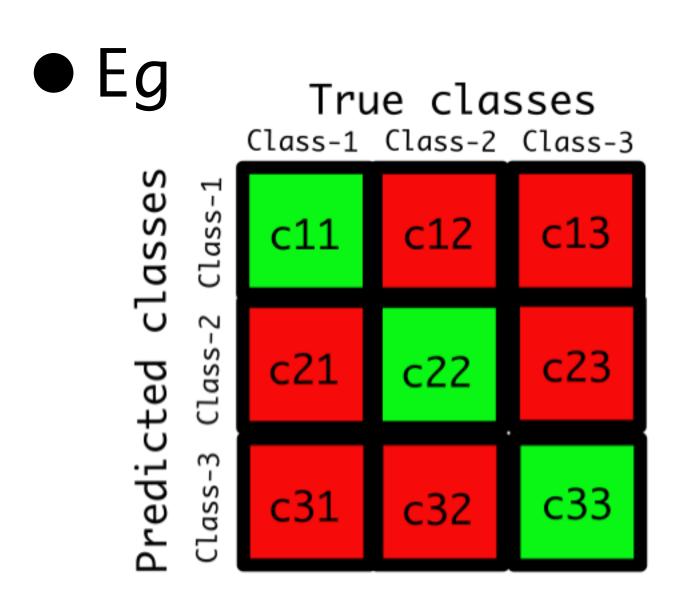
#### Cross entropy loss function

- Classification example:
  - There are 3 classes
  - Take: a model outputs given class2 image
  - $\sigma(z) = [class1 = 0.23 \ class2 = 0.63 \ class3 = 0.14]$  as normalised logits (Softmax probabilities)
  - The onehot encoded label is [0,1,0]
  - The cross entropy for this particular input:
    - $\bullet H = -0*log(0.23) 1*log(0.63) 0*log(0.13) = 0.462$

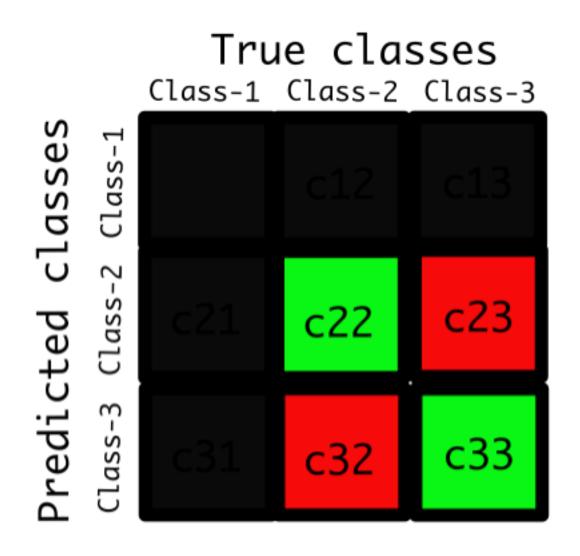
- The CM measures the performance of a classification model (binary or multi-class setup)
- It specifically shows the class confusion by means of True Positive (TP), True Negative (TN), False Positive (FP) and False Negative (FN)s
- Binary case:
  - TP: The prediction is positive and true (matches the label 1)
  - TN: The prediction is negative and true (matches the label 0)
  - FP: The prediction is positive but not true (not the label 1 but 0)
  - FN: The prediction is negative but not true (It is label 1 not 0)

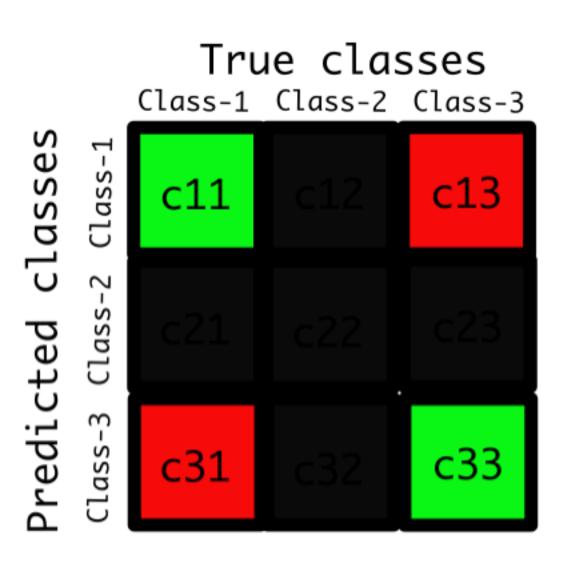


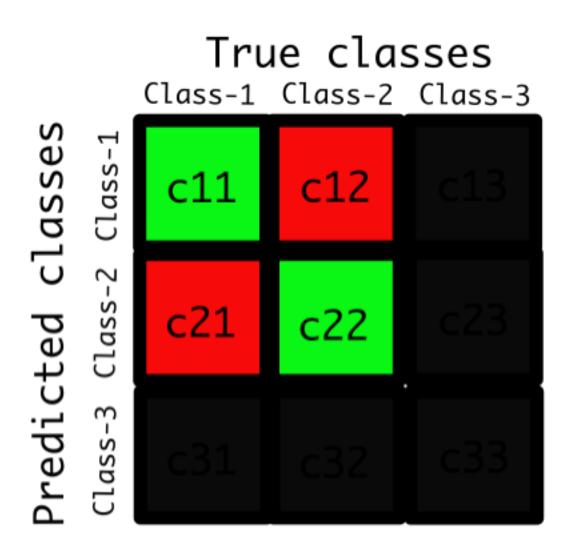
- Multi-class case:
  - Similar to the binary case, the Multi-class case considers all the classes when calculating TP, TN, FP and FNs



- True classes: What you have given to the NN to predict
- Predicted classes: The predictions of the corresponding True class inputs
- c11: number of class-1 predicted as class1
- c21: number of class-1 predicted as class2
- $\bullet$   $TP_{total} = c11 + c22 + c33$







True Negative class-1 case:  

$$TN_{class-1} = c22 + c23 + c32 + c33$$

True Negative class-1 case:  

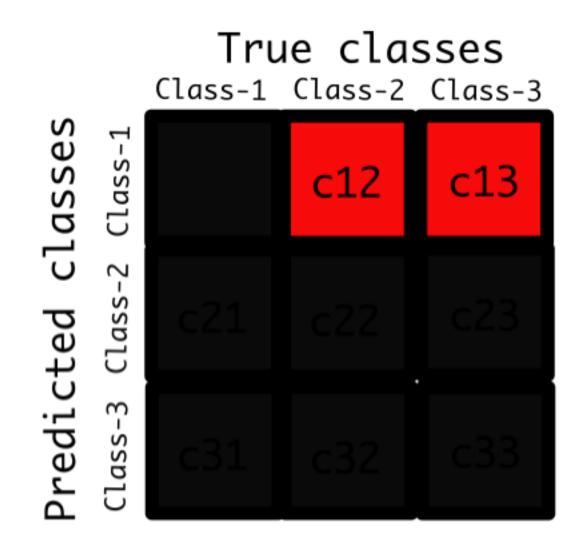
$$TN_{class-2} = c11 + c13 + c31 + c33$$

True Negative class-1 case:  

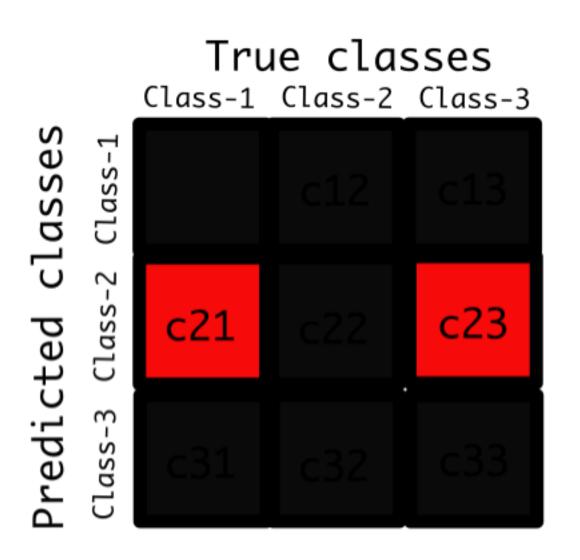
$$TN_{class-2} = c11 + c12 + c21 + c22$$

True Negatives: The samples that does not truly belong to the subjected class

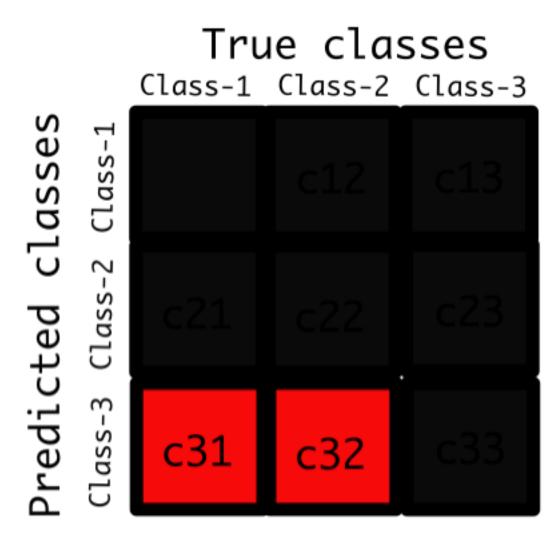
$$TN_{Total} = TN_{class-1} + TN_{class-2} + TN_{class-3}$$



False positive class-1 case:  $FP_{class-1} = c12 + c13$ 



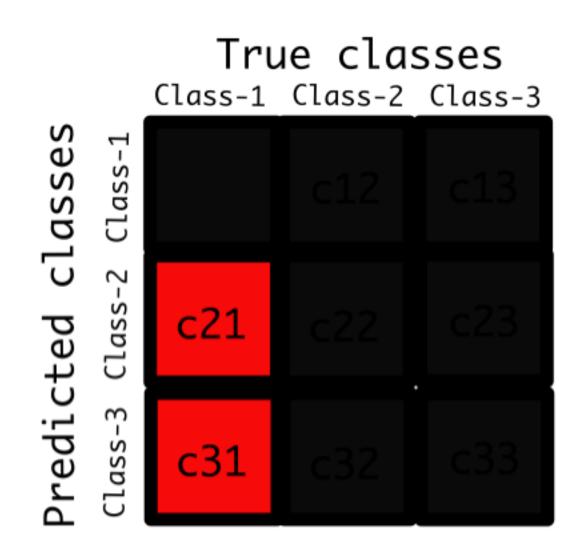
False positive class-2 case:  $FP_{class-2} = c21 + c23$ 



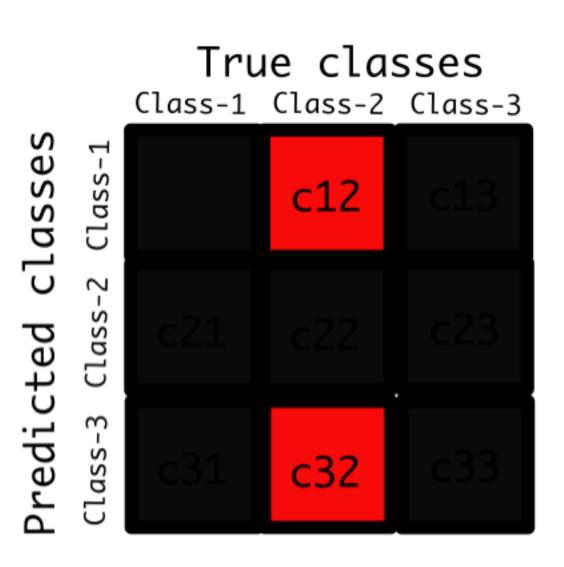
False positive class-2 case:  $FP_{class-3} = c31 + c32$ 

False positive: The samples that are predicted wrong for a given class

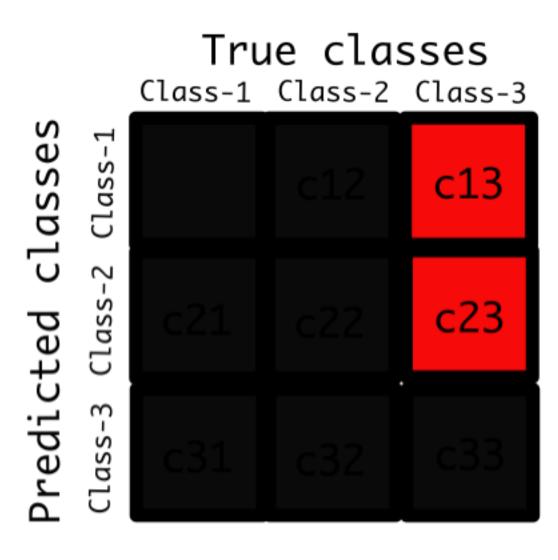
$$FP_{Total} = FP_{class-1} + FP_{class-2} + FP_{class-3}$$



False Negatives class-1 case:  $FN_{class-1} = c21 + c31$ 



False Negative class-2 case:  $FN_{class-2} = c12 + c32$ 



False Negative class-3 case:  $FP_{class-3} = c13 + c23$ 

False Negatives: The samples that are predicted as wrong class

$$FN_{Total} = FN_{class-1} + FN_{class-2} + FN_{class-3}$$

### Accuracy, Precision and Recall

- Accuracy
  - The model accuracy simply is the ratio between the total number of correct classifications and total number of classification

- In above example
  - TOTAL = c11 + c12 + c13 + c21 + c22 + c23 + c31 + c32 + c33
- Accuracy shows how often the classifier is correct in prediction
- However accuracy does not reflect the true capability of a classifier (very sensitive to class imbalances in test set)

## Accuracy, Precision and Recall

- Precision
  - Precision of a given class measures how well the predictions are, given the total number of predictions in that class
  - $Precision = \frac{TP}{TP + FP}$  Where TP + FP are the total number of predictions in that class
- Recall
  - Recall quantifies the ability of a model to classify the relevant samples in a dataset. This gives an indication on the coverage of a given class
  - $Recall = \frac{TP}{TP + FN}$ ; TP + FN, relevant samples as in all the samples for a given class
  - Recall is also known as the sensitivity of the model
- Precision and recall can be related to each other inversely
- Specificity of a model: How many TN samples got correctly classified out of all negatives

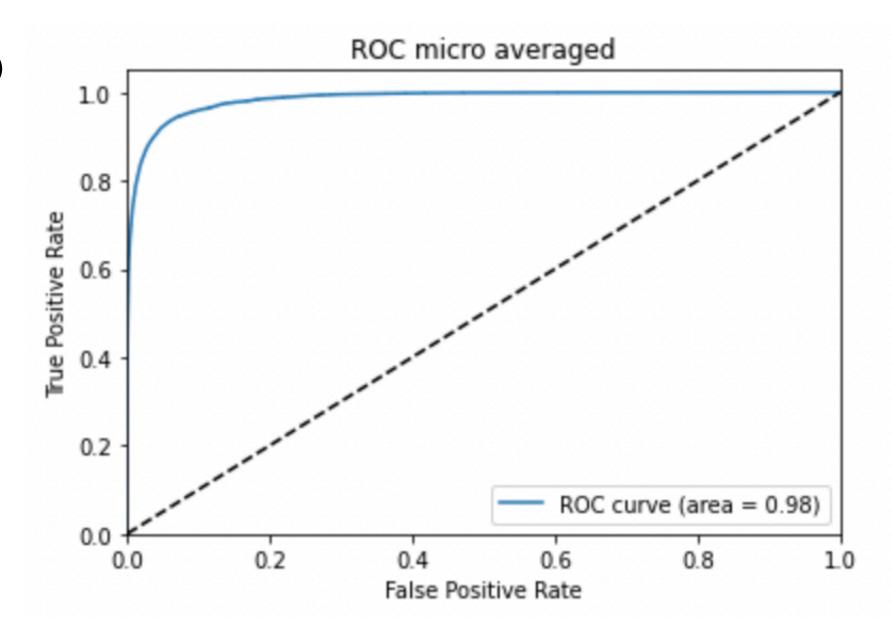
## Accuracy, Precision and Recall

- Average of Precision and Recall in multi-class classification
  - There are two types of averaging methods:
  - Macro average:  $Precision_{macro} = \sum_{i=0}^{N} \frac{Precision_i}{N}$ ; N: number of classes
    - Gives equal importance to all the classes
    - Insensitive to the class imbalance
  - Micro average:  $Precision_{micro} = \frac{TP_{total}}{TP_{total} + FP_{total}}$ 
    - Prefers the class with largest number of samples

#### Receiver Characteristic Operator (ROC) curve

- The ROC is originally an evaluation metric for binary classification
- ROC is plot of recall against the false positive rate (FPR) at different thresholds
- False Positive Rate (FPR): rate of negative samples classified as positive

- It is used to find an optimal threshold that balances the recall and false positive rate
- The area under the ROC curve (AUC) measures the ability of a classifier to distinguish between the classes
  - Higher the better
  - Compare AUC of different classifiers to determine the best classifier for a given task



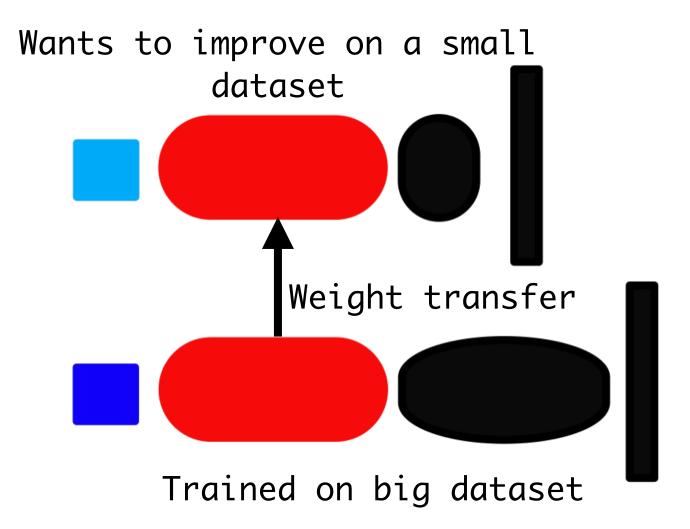
ROC curve example

#### Transfer learning in image classification

From the paper "How transferable are features in deep neural networks?"

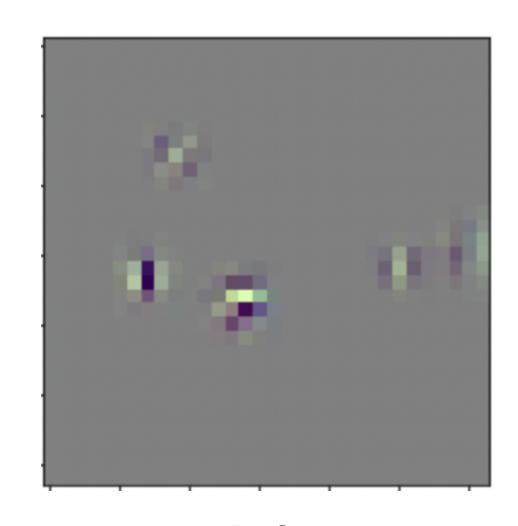
#### Transfer learning in image classification

- In the domain of deep learning, the transfer learning involves a source task (A) and an target task (B)
- The idea is to learn the features using a source task with large enough dataset and transfer the knowledge to the target task by means of learnt parameters
- The transferability between two tasks could depend on:
  - The distance between tasks (how similar they are)
  - The point of transfer (till which layer the knowledge is transferable)
  - Target dataset size (small dataset could lead to overfitting even in the transfer learning case)
- ullet Once the point of transfer is determined ( $N^{th}$  layer), either the transferred features are frozen or fine tuned for the target task
- If the target dataset is very small and N layers have large number of parameter:
  - Fine tuning could lead to overfitting so leave it frozen
- Otherwise, fine tune to the new data

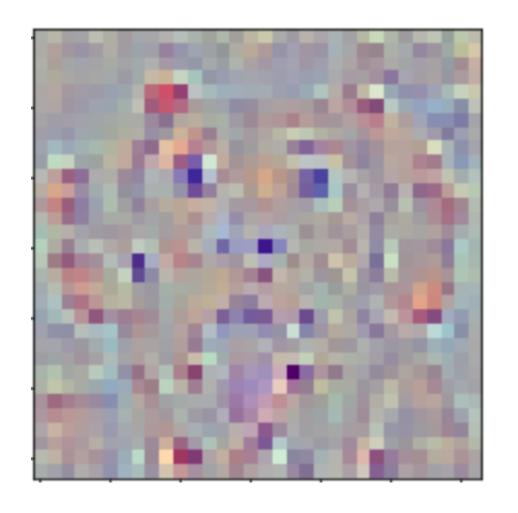


#### Transfer learning in image classification

- Generality and Specificity of a layer
  - Specific features: Features that are task specific
  - General features: Features that are common between tasks
  - The early layers (near input) of a deep convolutional neural network learns generalisable features such as color blobs, edges and corners
  - The later the layer is the generalisability drops and specificity increases (learns high level concepts such as full shapes)



General features



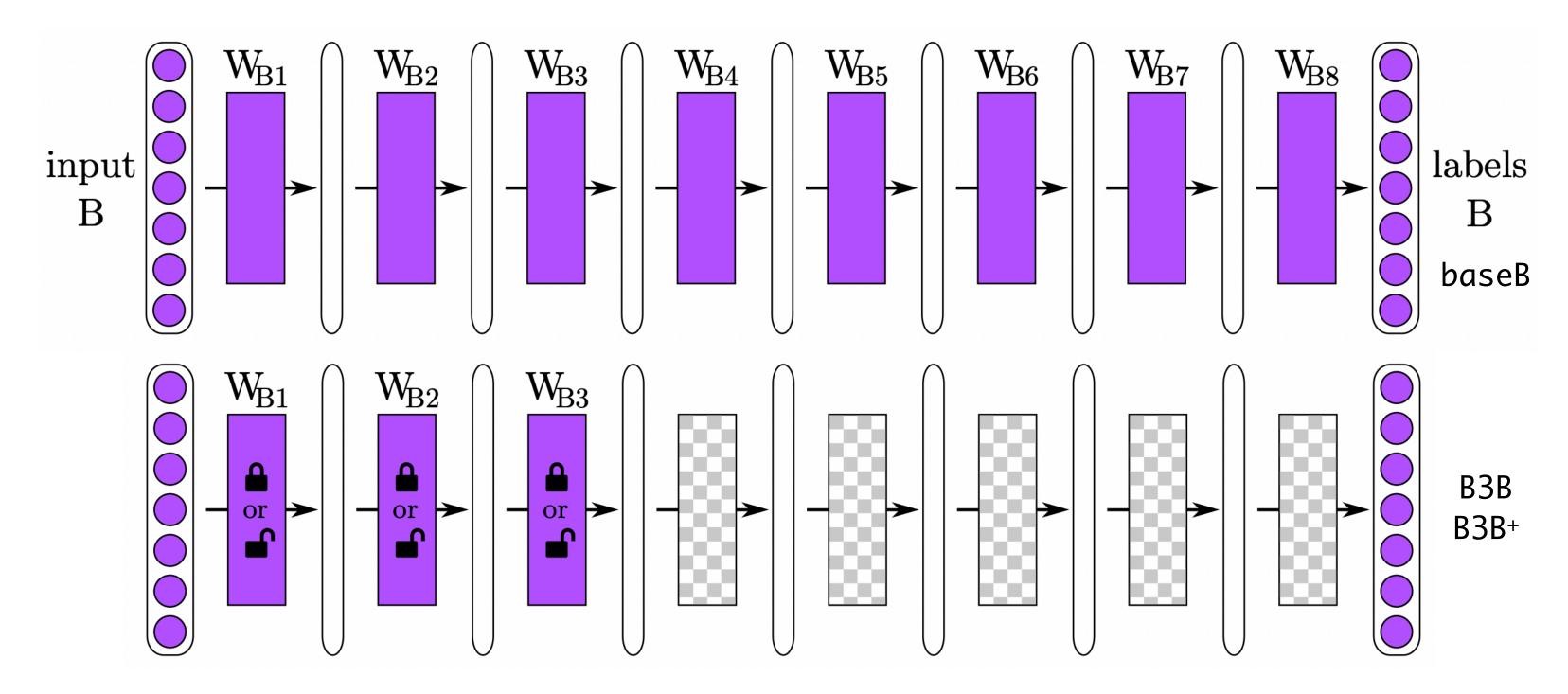
Task specific features

## Transfer learning experimental setup

- Transfer learning experiment setup
  - Two tasks are considered:
    - source task A and target task B
  - Task A has large number of data and Task B has small number of data
  - Considered scenarios:
    - Scenario-1: Task A and B are very similar
      - Imagenet dataset split according to types of cats and dogs (similar domain)
    - Scenario-2: Task A and B are very different
      - Imagenet dataset split between man-made and natural images (different domains)
  - A Neural Network with 8 layers is used to train on both the tasks

## Transfer learning experimental setup

#### ● Train setup-1:

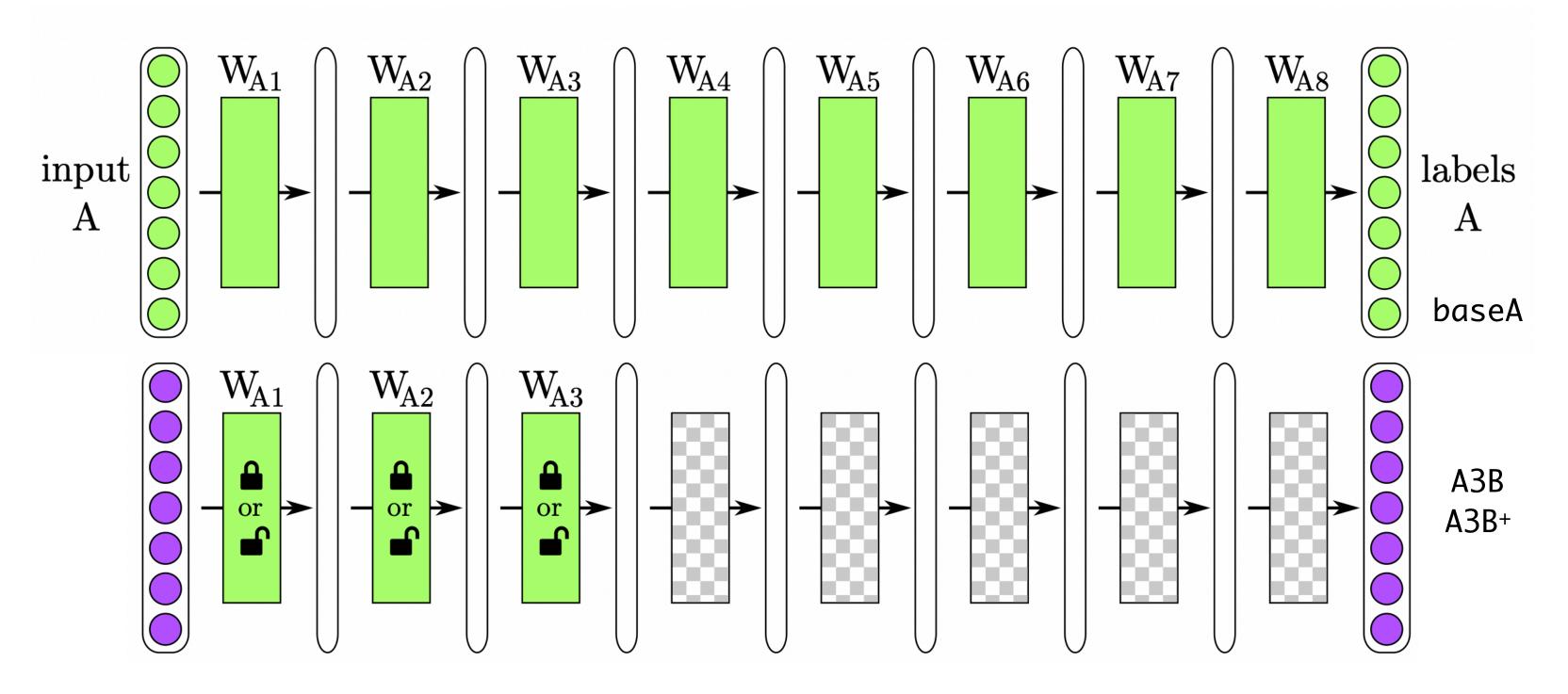


Ref-1: Transferring within the same domain baseB: Trained on the small dataset B (target)

B3B: Freeze 3 layers and randomly initialise the rest B3B+: Fine tune the 3 layers together with the randomly initialised ones

#### Transfer learning experimental setup

#### ● Train setup-2:

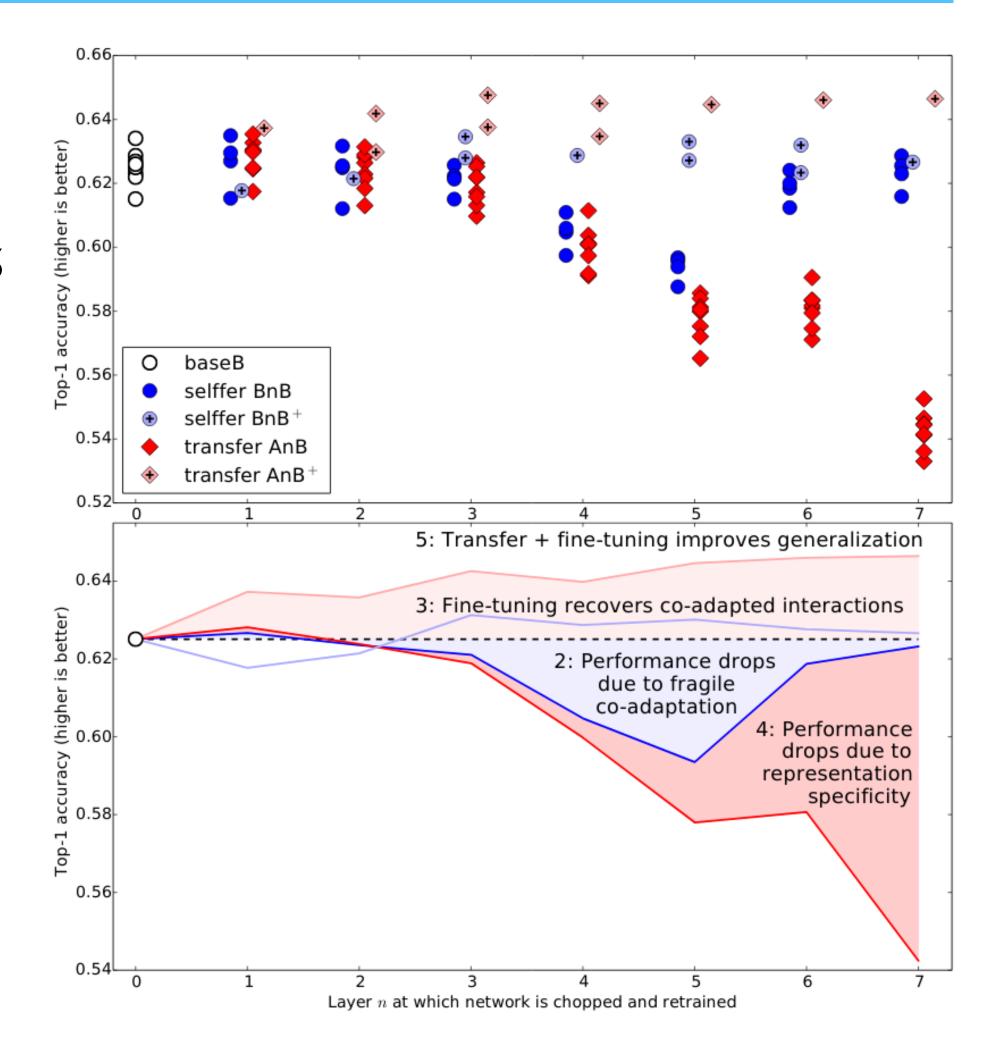


Ref-1: Transferring from a domain with large number of data to small number of data baseA: Trained on the large dataset A (source)

A3B: Freeze 3 layers and randomly initialise the rest A3B+: Fine tune the 3 layers together with the randomly initialised ones

### Experiment results and discussion

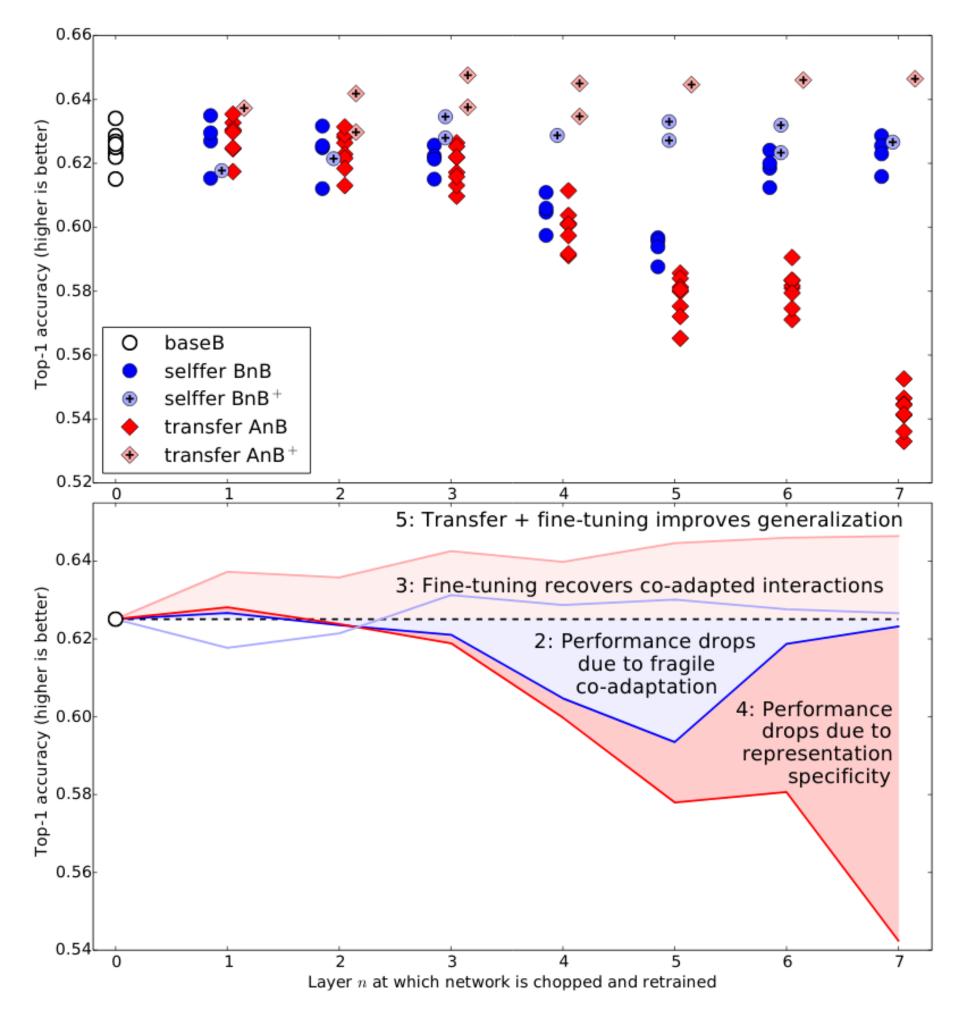
- Similar Domain case
- The following plots show the top-1 accuracies for the task B validation set (8 points: 8 A/B splits)
- White dots: The base accuracy for task B validation is 62.5% when only trained on task B
- Case: Adaptation within the same domain
  - Blue solid circle: Layers are kept frozen
    - The results here shows, that for the first 3 layers we have similar accuracies as expected (Because of generalisability)
    - But the drop after layer 4 indicates the co-adaptive features. The co-adaptive features are the features that depends/has a relationship to the proceeding layers
    - However, from layer 6-8 the accuracy again recovers indicating these layers are free of co-adaptive features



Ref-1: Performance plot, when transferring different trained layers

## Experiment results and discussion

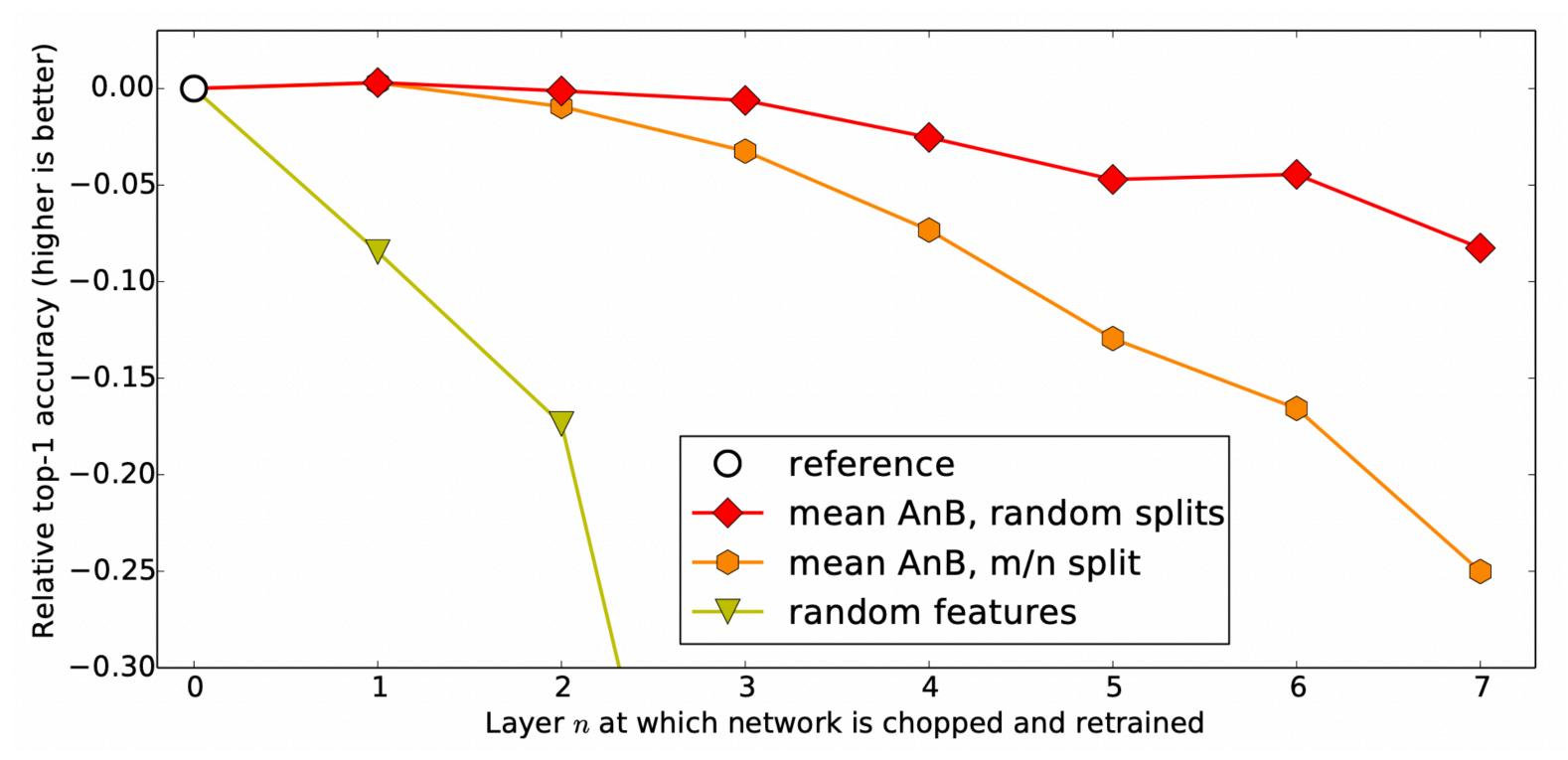
- Blue dot with a +: The frozen layers are also further trained along with the randomly initialised layers
  - The performance here is similar to the base accuracy since the scenario itself is similar to the task B only training (the base case)
- Red diamonds: Layers are transferred from task A to B and frozen 🤮
  - Here shows clearly the generalisability between tasks
  - The performance when only the first 3 layers are frozen gives similar accuracies as trained on task B itself (generalisability)
  - But after the layer 3 the performance drops significantly as the number of co-adaptive and specific features dominates the generalisable features
- Red diamonds with a +: Similar to the previous case, but the transferred features of task A further fine tuned
  - This results suggests that, when fine tuned after transferring from a different domain could lead to better generalisation compared to all the method discussed above
  - Be aware that depending on the size of the target dataset, there
    is a potential that this could lead to an overfitting



Ref-1: Performance plot, when transferring different trained layers

#### Experiment results and discussion

• Different domain case:



Ref-1: Transferring between dissimilar domains, The performance drops significantly.

A: man-made class data (source), B: natural class data (target)

The results are only for the AnB frozen weight case.

#### References

- Reference on Cross Entropy Loss:
  - Ref-0: <a href="https://towardsdatascience.com/softmax-activation-function-explained-a7e1bc3ad60">https://towardsdatascience.com/softmax-activation-function-explained-a7e1bc3ad60</a>
  - Softmax derivative: <a href="https://eli.thegreenplace.net/2016/the-softmax-function-and-its-derivative/">https://eli.thegreenplace.net/2016/the-softmax-function-and-its-derivative/</a>
  - http://machinelearningmechanic.com/deep\_learning/2019/09/04/cross-entropy-loss-derivative.html
  - https://rdipietro.github.io/friendly-intro-to-cross-entropy-loss/
  - Ref-2: <a href="https://en.wikipedia.org/wiki/Bernoulli\_distribution">https://en.wikipedia.org/wiki/Bernoulli\_distribution</a>
  - Ref-3: CHAPTER 3. PROBABILITY AND INFORMATION THEORY; Deep Learning, An MIT Press book, Ian Goodfellow and Yoshua Bengio and Aaron Courville; <a href="https://www.deeplearningbook.org">https://www.deeplearningbook.org</a>
- Transfer learning:
  - Ref-1:How transferable are features in deep neural networks?