

# Spectral Shape Analysis for 3D matching

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## DIFFERENTIAL GEOMETRY

# Motivations

Study and Handle 3D object from real word that we generally denote with  $\mathcal{X}$



$\mathcal{X}$  is a geometric object



$\mathcal{X}$  undergoes several deformations



$\mathcal{X}$  is fully represented by its external surface



The perfect tool to describe  $\mathcal{X}$  is:

**DIFFERENTIAL GEOMETRY**

# Overview

The main goal of this part of the course is: to provide the general idea on the differential geometry necessary to study  $\mathcal{X}$

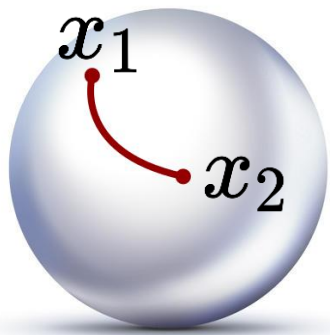


All the definitions and constructions that we introduce in this course are valid on both the surfaces


# metric on a space $\mathcal{X}$

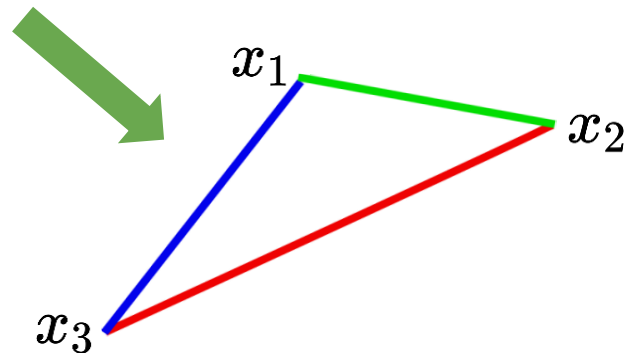
a metric on a set  $\mathcal{X}$  is defined as a function  $d : \mathcal{X} \times \mathcal{X} \longrightarrow \mathbb{R}$  such that:

- 1) non negativity:  $d(x_1, x_2) \geq 0$
- 2) indiscernability:  $d(x_1, x_2) = 0 \iff x_1 = x_2$
- 3) symmetry:  $d(x_1, x_2) = d(x_2, x_1)$
- 4) triangle inequality:  $d(x_1, x_2) + d(x_2, x_3) \geq d(x_1, x_3)$

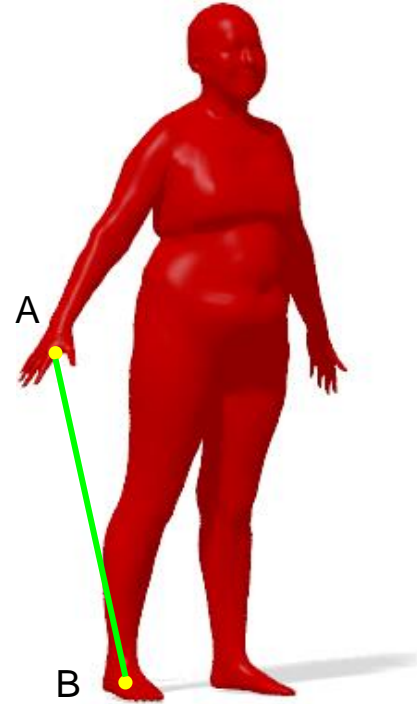
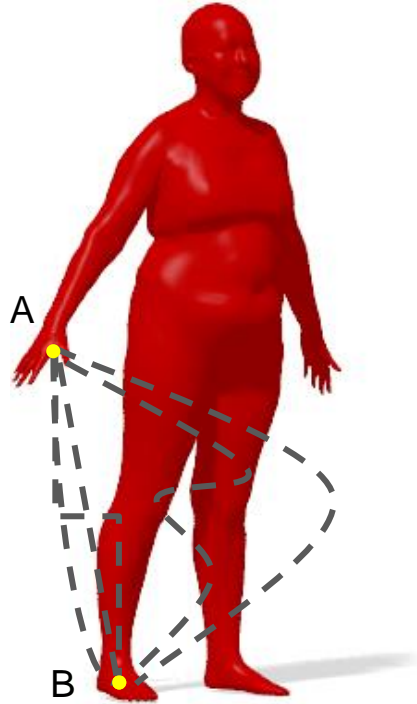
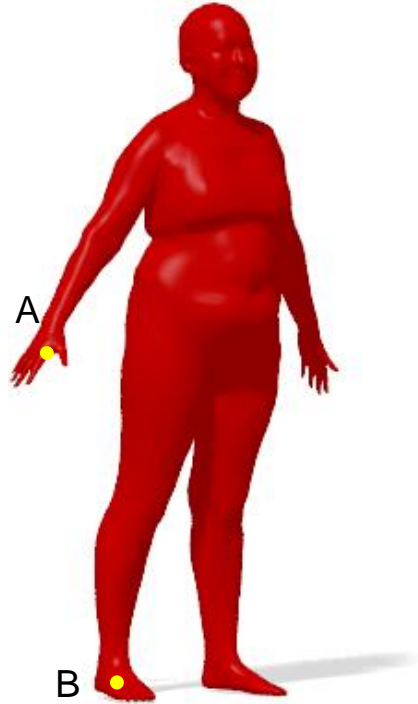


and if all these hold for all  $x_1, x_2, x_3 \in \mathcal{X}$

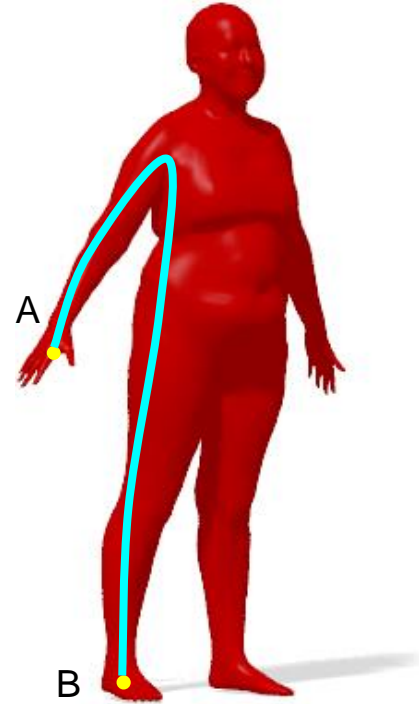
  $(\mathcal{X}, d)$  is a **metric space**



# distances



euclidean



geodesic

# metric neighborhood of a point $x_0 \in \mathcal{X}$

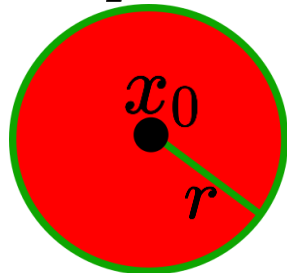
Given a point  $x_0 \in \mathcal{X}$  we define a open/close neighborhood of  $x_0$

**open:**  $B_r^{x_0} := \{x \in \mathcal{X} | d(x_0, x) < r\}$

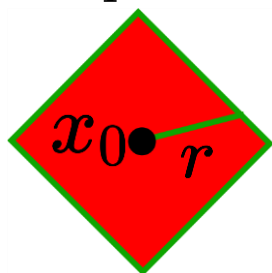
**close:**  $\bar{B}_r^{x_0} := \{x \in \mathcal{X} | d(x_0, x) \leq r\}$

In Euclidean spaces ( $\mathbb{R}^2$ ) we have the norms:

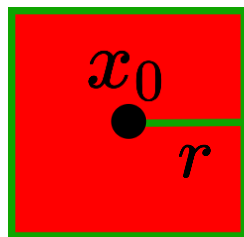
$L_2$  ball



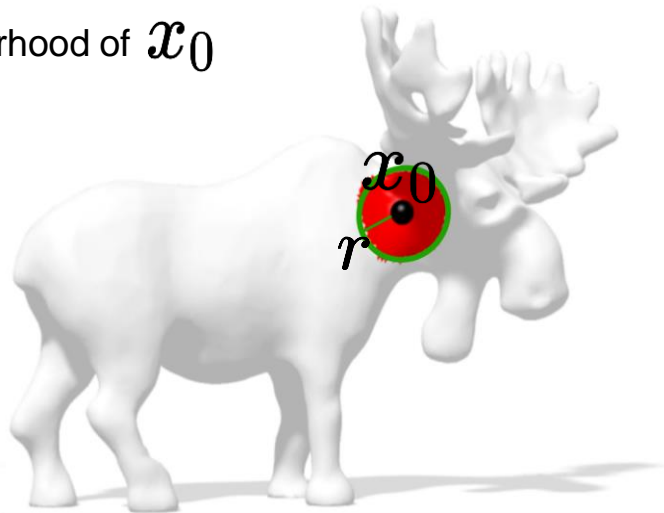
$L_1$  ball



$L_\infty$  ball



$$\|x - x_0\|_2 < r \quad \|x - x_0\|_1 < r \quad \|x - x_0\|_\infty < r$$



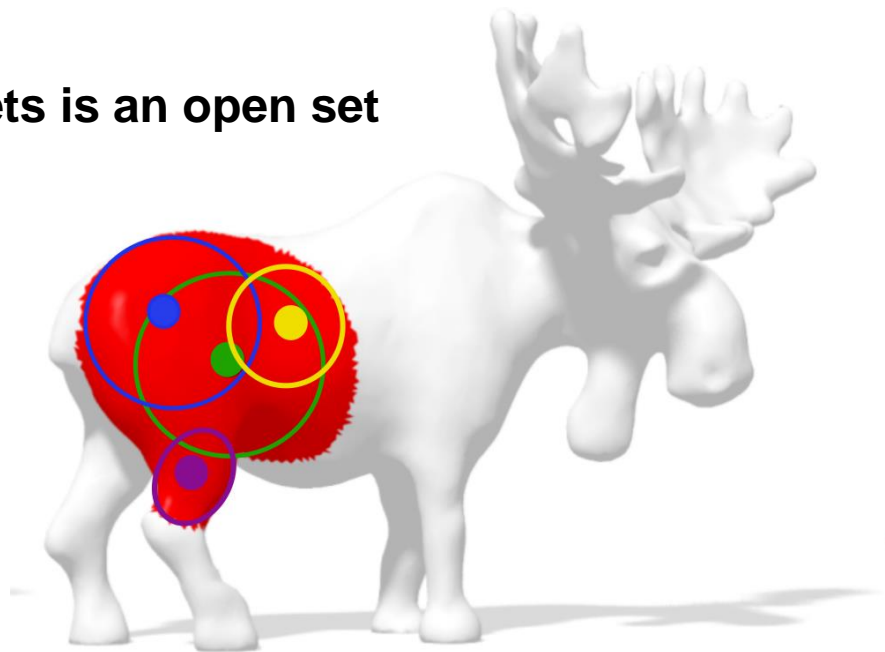
$$\|x - x_0\|_p = (\sum_k |x^k - x_0^k|^p)^{\frac{1}{p}}$$
$$\|x - x_0\|_\infty = \max |x^k - x_0^k|$$

# open set

A set  $A \subseteq \mathcal{X}$  is an **open set** of  $\mathcal{X}$  if:  $\forall x \in A$  there exists  $r > 0$  s.t.  $B_r^x \subseteq A$

## Properties of open sets:

- 1) the union of any number of open sets is an open set
- 2) the empty set is an open set
- 3) the intersection of a finite number of open sets is an open set



# A topology for $\mathcal{X}$

A **topology** on the set  $\mathcal{X}$  is the collection of all its open subsets.

Remark:

metric



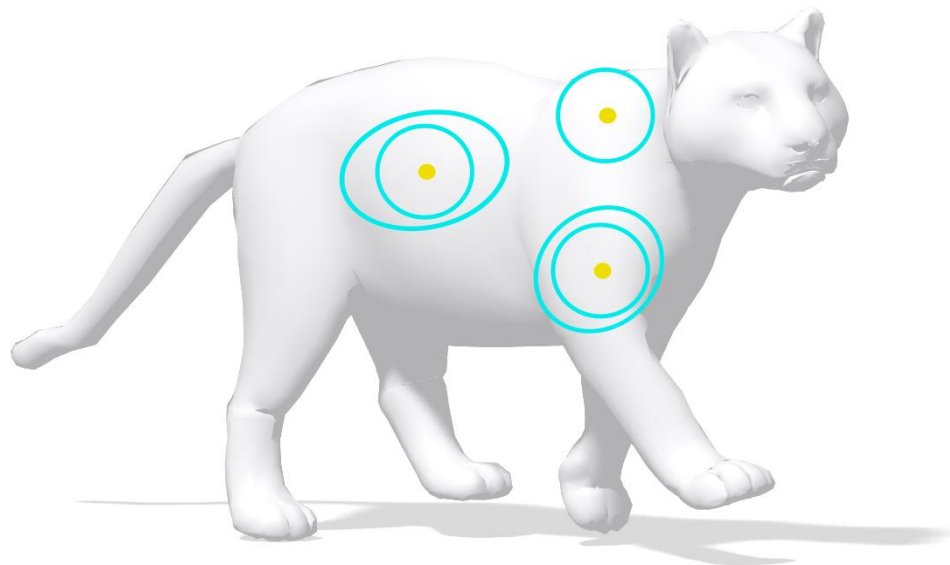
open sets



topology

A **topology** can be also defined independently from a metric through an axiomatic definition of the open sets.

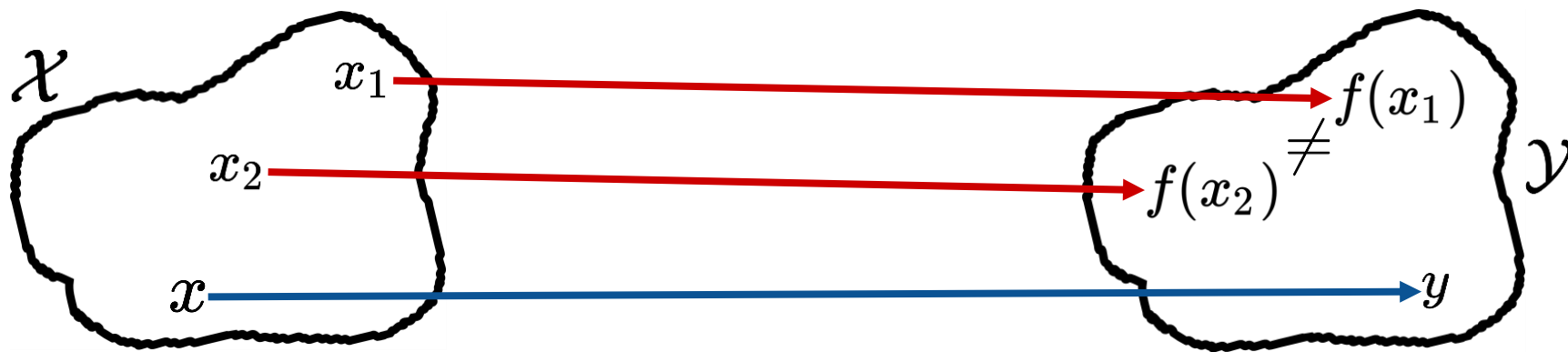
The standard **topology** on shape is the one defined by the geodesic metric





# function between sets

Given two sets  $\mathcal{X} = \{x_1, \dots, x_n\}$  and  $\mathcal{Y} = \{y_1, \dots, y_m\}$ , a function  $f : \mathcal{X} \longrightarrow \mathcal{Y}$  is a list of associations of every element from  $\mathcal{X}$  with an element of  $\mathcal{Y}$



●  $f$  is **injective** if  $f(x_1) \neq f(x_2)$ ,  $\forall x_1, x_2 \in \mathcal{X}$  s. t.  $x_1 \neq x_2$ .

●  $f$  is **surjective** if  $\forall y \in \mathcal{Y} \Rightarrow \exists$  at least one  $x \in \mathcal{X}$  s. t.  $f(x) = y$ .

●  $f$  is **bijective** if  $\forall y \in \mathcal{Y} \Rightarrow \exists! x \in \mathcal{X}$  s. t.  $f(x) = y$ . (= **injective** + **surjective**)

# continuity

**Definition:** A function  $f : \mathcal{X} \longrightarrow \mathcal{Y}$  is **continuous** if

**Topological**

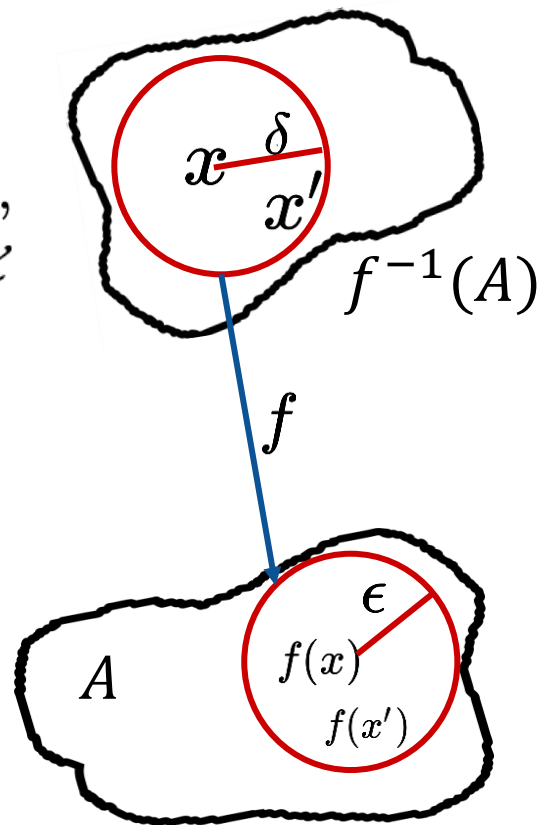
for any open subset  $A \subseteq \mathcal{Y}$  its preimage via  $f$ ,  
 $f^{-1}(A) = \{x \in \mathcal{X} \text{ s. t. } f(x) \in A\}$ , is an open subset of  $\mathcal{X}$

**Metric**

$\forall \epsilon > 0$  exists a  $\delta > 0$  s. t.  
 $d_{\mathcal{Y}}(f(x), f(x')) < \epsilon \quad \forall x, x' \in \mathcal{X} \text{ and } d_{\mathcal{X}}(x, x') < \delta$

**the previous 2 definitions are equivalent**

continuity is a **local** property



# homeomorphisms

A **bijjective** function is a function for which exists *one and only one* **image** for each point in the **domain** and *one and only one* **preimage** for each point in the **codomain**

**Definition:** A **homeomorphism** is a **bijjective** and **continuous** function with an **inverse** that is also **continuous**



**Definition:** Two domains for which exists an homeomorphism are **topologically equivalent**

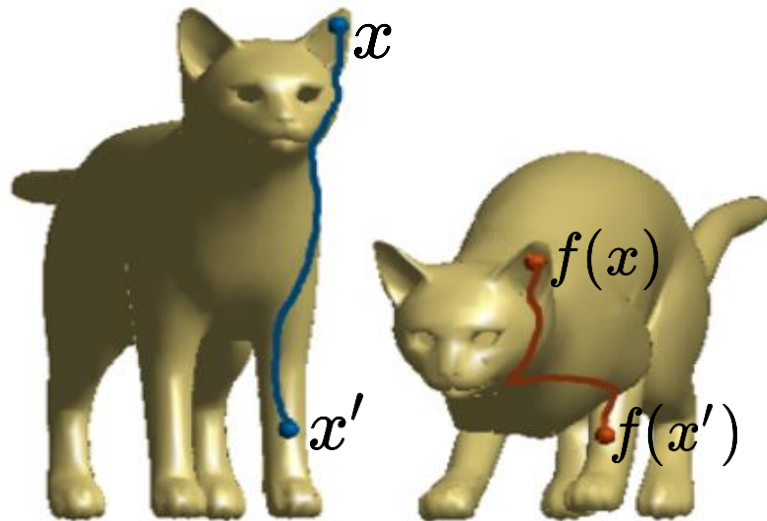
# isometry

**Definition:** A function  $f : \mathcal{X} \longrightarrow \mathcal{Y}$  is an **isometric embedding** or a **distance preserving function** if it preserves the distances or equivalently if the following condition holds:

$$d_{\mathcal{X}}(x, x') = d_{\mathcal{Y}}(f(x), f(x')), \quad \forall x, x' \in \mathcal{X}$$

**Definition:** A distance preserving function that is also **bijective** is an **isometry**

Two domains for which exists an isometry are **metrically equivalent** = they have the same metric.



# Euclidean isometries



A rigid transform is an **isometry** in the Euclidean space with respect to the euclidean distance (all distances are preserved)

**Translation:** 
$$T \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} t_x \\ t_y \end{pmatrix}$$



**Rotation:** 
$$R_\theta \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

**Reflection:** 
$$S \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

# Near-isometry

A function  $f : (\mathcal{X}, d_{\mathcal{X}}) \longrightarrow (\mathcal{Y}, d_{\mathcal{Y}})$  is a **near-isometry** or an  **$\epsilon$ -isometry** if:

$f$  is  **$\epsilon$ -distance preserving**:

$$d_{\mathcal{X}}(x, x') - d_{\mathcal{Y}}(f(x), f(x')) \leq \epsilon, \quad \forall x, x' \in \mathcal{X}$$

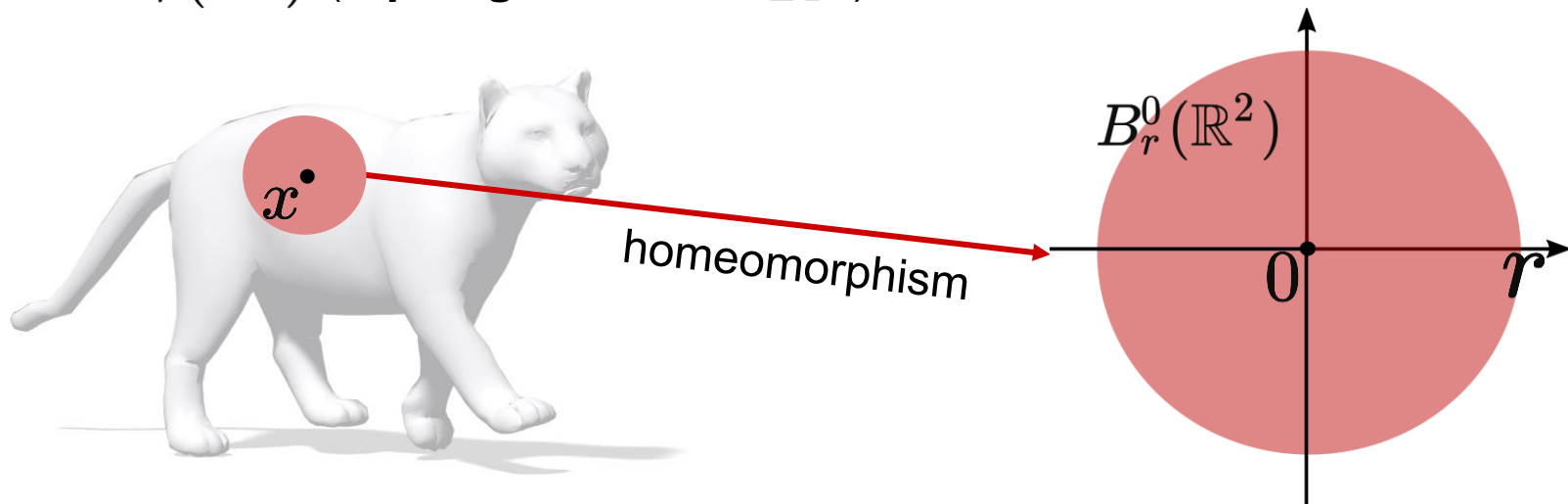
$f$  is  **$\epsilon$ -surjective**:

$$\forall y \in \mathcal{Y} \exists x \in \mathcal{X} \text{ s. t. } d_{\mathcal{Y}}(y, f(x)) \leq \epsilon$$

For a fixed  $\epsilon > 0 \in \mathbb{R}$ , if  $\epsilon = 0 \implies f$  is an **isometry**.

# manifolds

A topological space in which every point has a neighborhood *homeomorphic* to  $B_r^0(\mathbb{R}^n)$  (**topological disc** in  $\mathbb{R}^n$ ) is an  $n$  - **dimensional manifold**



$$B_r^0(\mathbb{R}^n) := \{x \in \mathbb{R}^n \mid d(x_0, x) \leq r\}$$

# charts and atlases

**Definition:** Given a point  $x \in \mathcal{X}$ , a **chart** is a homeomorphism  $\alpha$  from an open neighborhood  $U_\alpha \subseteq \mathcal{X}$  of  $x$  and  $\mathbb{R}^n$ .  
 $\alpha : U_\alpha \subseteq \mathcal{X} \longrightarrow \mathbb{R}^n$  s. t.  $x \in U_\alpha$  and  $\alpha$  is an homeomorphism.

**Definition:** An **atlas** is a collection of charts  $\{(U_{\alpha_i}, \alpha_i)\}_{i \in I}$  such that:

$$\mathcal{X} \subseteq \bigcup_{i \in I} U_{\alpha_i}$$

where  $I$  is the set of the indices in the collection of charts.



# smooth manifold

**Definition:** Given two charts  $(U_\alpha, \alpha)$  and  $(U_\beta, \beta)$  such that  $U_\alpha \cap U_\beta \neq \emptyset$  a **transition function**  $\beta \circ \alpha^{-1}$  (a **change of coordinates**) is defined as:

$$\beta \circ \alpha^{-1} : \alpha(U_\alpha \cap U_\beta) \subseteq \mathbb{R}^n \longrightarrow \mathbb{R}^n$$

**Definition:** A manifold  $\mathcal{X}$  with an atlas  $\{(U_{\alpha_i}, \alpha_i)\}_{i \in I}$  for which all the transition functions are  $\mathcal{C}^k$  is said a **manifold**  $\mathcal{C}^k$ .

A  $\mathcal{C}^\infty$  manifold is said a **smooth manifold**.

# manifold with boundary

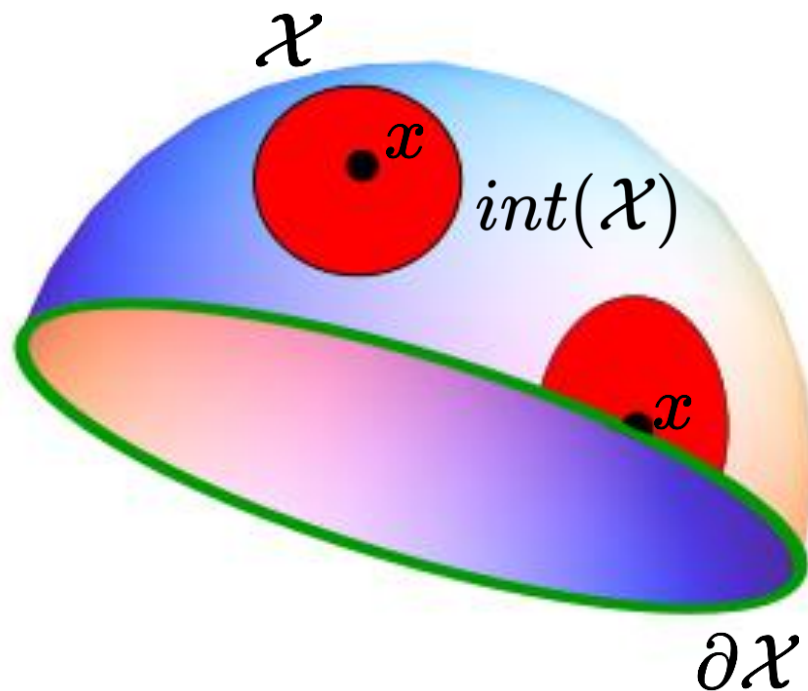
A **topological space** in which every point  $x$  has an open neighborhood **homeomorphic** to either:

- to a topological disk (i.e.  $\mathbb{R}^n$ )
- to a topological half disk (i.e.  $[0, +\infty) \times \mathbb{R}^{n-1}$ )

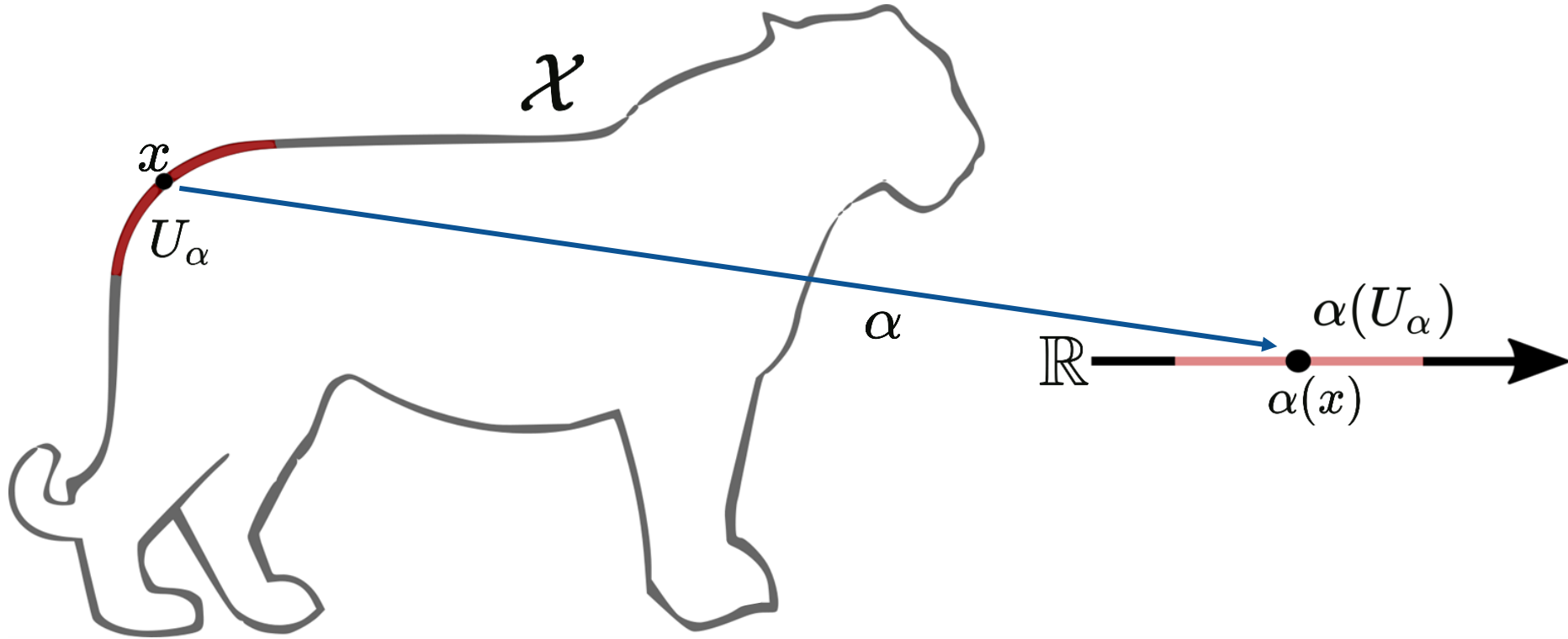
is called a **manifold with boundary**

$\text{int}(\mathcal{X})$  is defined as the set of point for wich exist a disk-like open neighboood

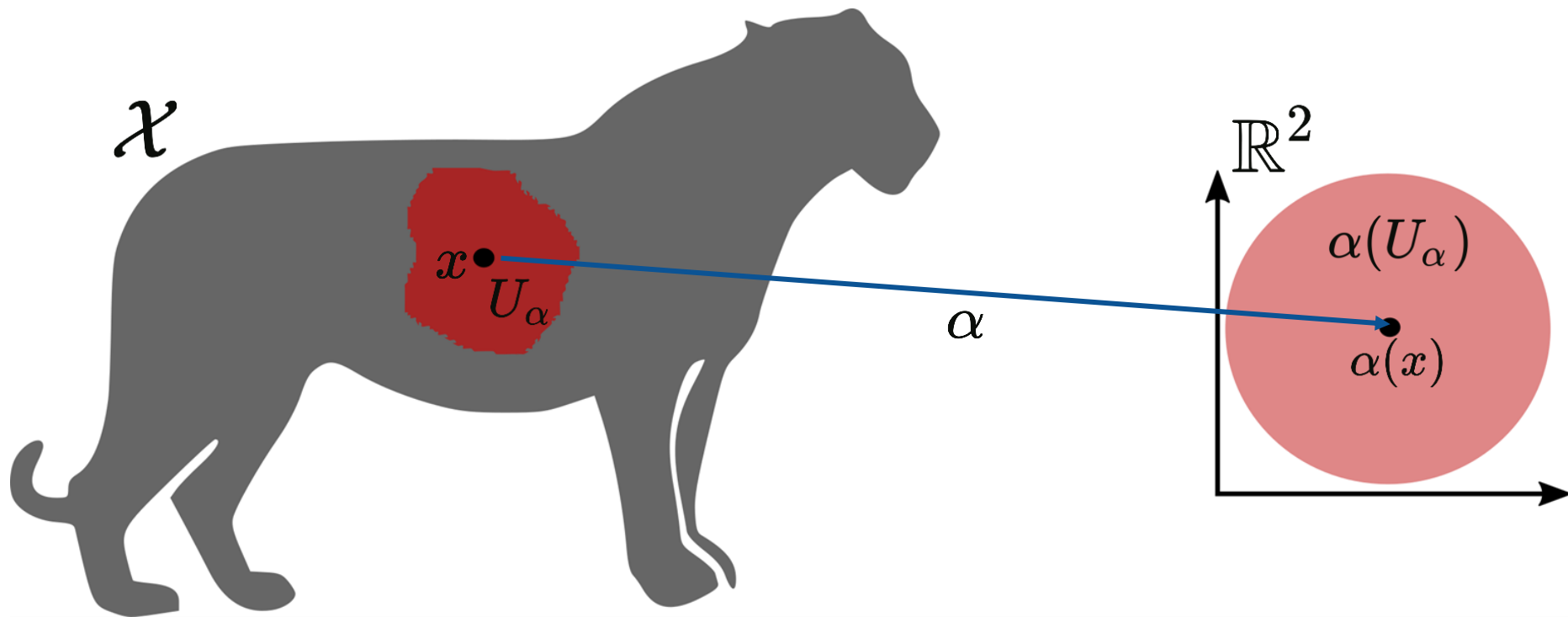
$\partial\mathcal{X}$  is defined as the set of point for wich exist a half disk-like open neighboood



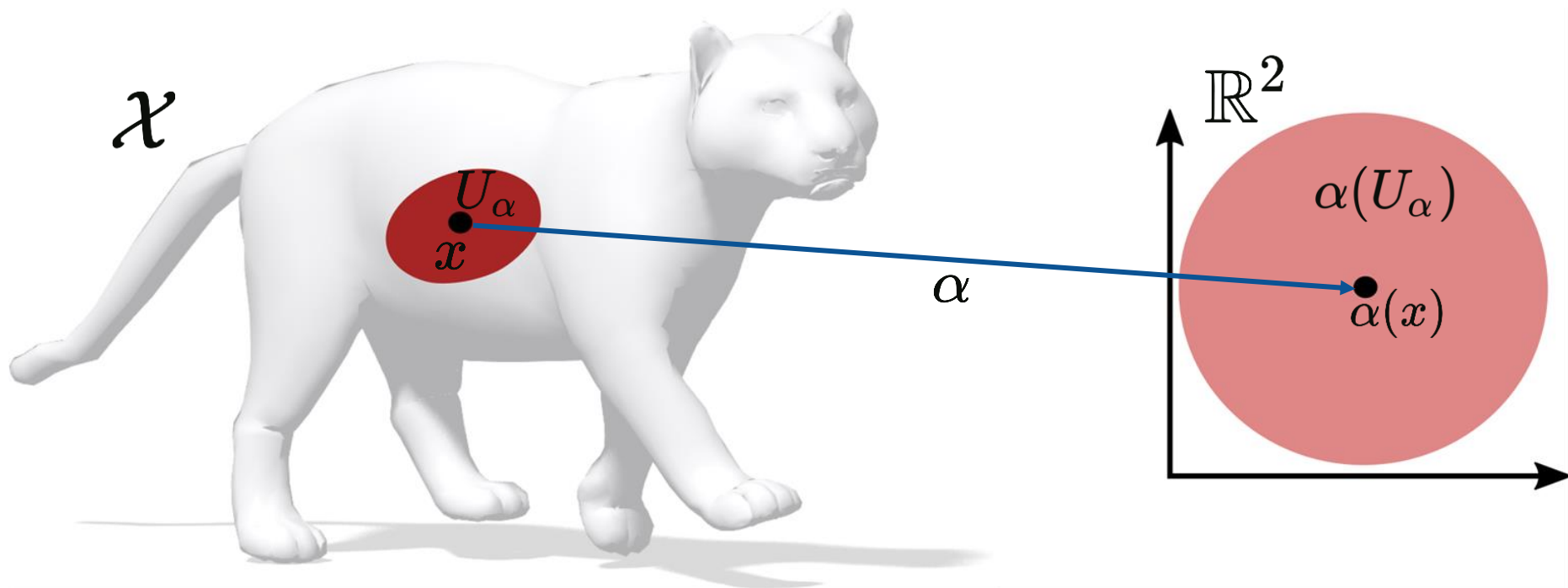
# 1D manifold



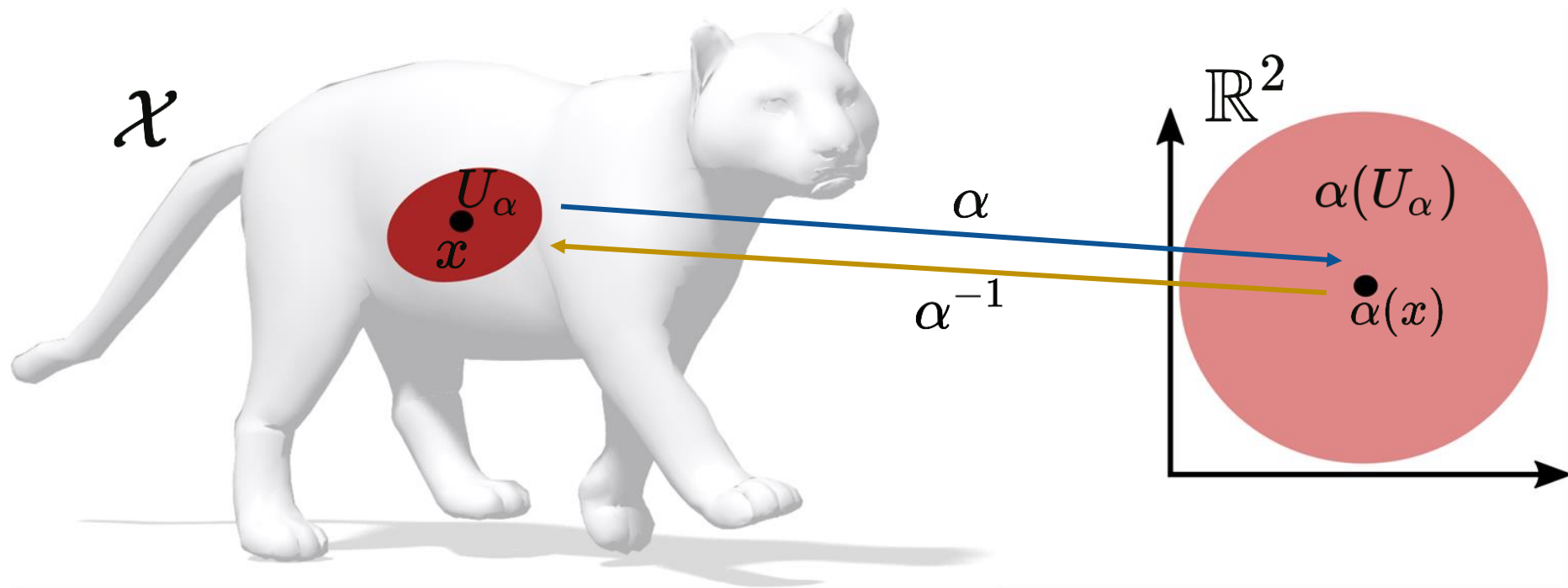
# 2D planar manifold



# 2D manifold in 3D space



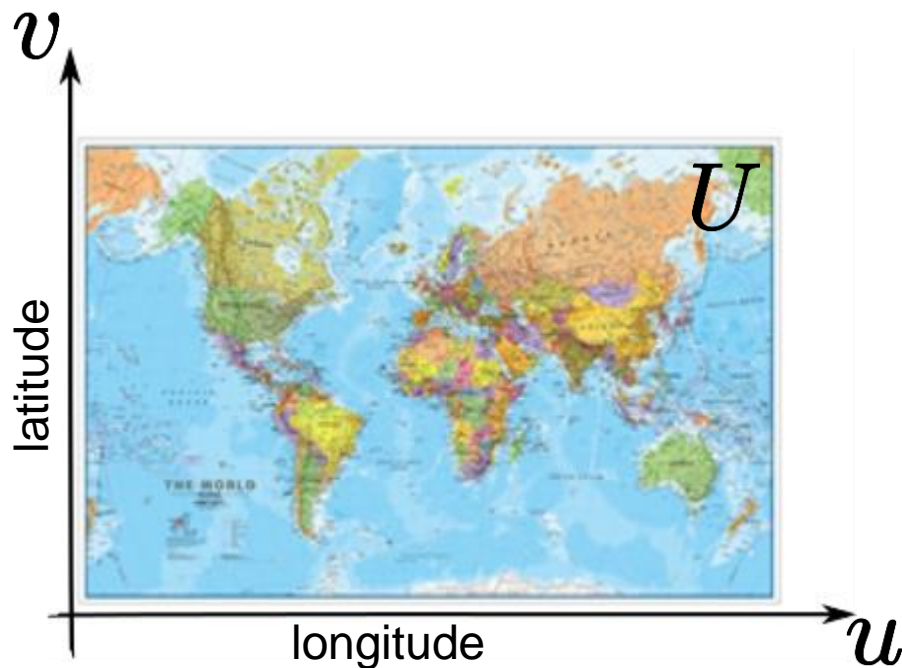
# 2D manifold in 3D space



$\alpha$  is an homeomorphism so it is a **bijective** and **continuous** function with an **inverse** that is also **continuous**, we refer to this maps as  $\alpha^{-1}$

# parametrization: a well-known example

$$U = \left[ -\frac{\pi}{2}, \frac{\pi}{2} \right] \times \left[ -\pi, \pi \right]$$



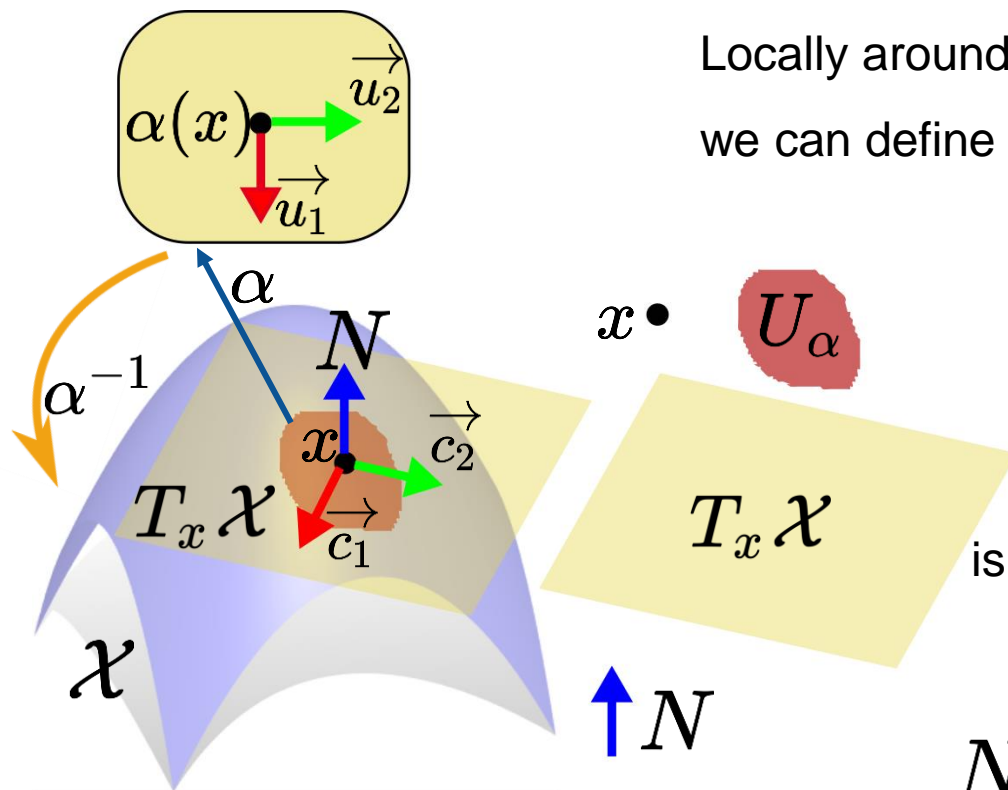
$$x = r \cdot \cos(v) \cdot \cos(u)$$

$$y = r \cdot \sin(v) \cdot \cos(u)$$

$$z = r \cdot \sin(v)$$



# tangent plane



Locally around each point  $x \in \mathcal{X}$  thanks to the chart we can define a **local system of coordinates**  $(U_\alpha, \alpha)$

$$\vec{c}_1 = \frac{\partial \alpha^{-1}}{\partial u_1} \quad \vec{c}_2 = \frac{\partial \alpha^{-1}}{\partial u_2}$$

The plane  $T_x \mathcal{X} = \text{span}(\vec{c}_1, \vec{c}_2)$

is the **tangent plane** at  $x \in \mathcal{X}$

is a **local Euclidean approximation** of  $\mathcal{X}$

$N$  is the **normal vector** and is orthogonal to  $\vec{c}_1, \vec{c}_2$  and then to  $T_x \mathcal{X}$



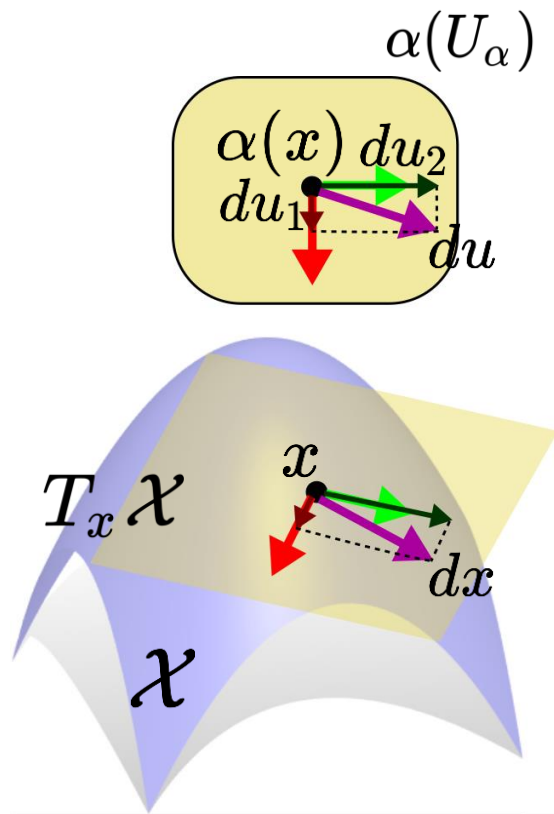
# The Jacobian

we can consider an infinitesimal  $du$  displacement on the chart  $\alpha(U_\alpha)$  and look at its image  $dx$  on  $T_x\mathcal{X}$

$$\begin{aligned} dx &= \alpha^{-1}(\alpha(x) + du) - \alpha^{-1}(\alpha(x)) \\ &= du_1 \vec{c}_1 + du_2 \vec{c}_2 = J du \end{aligned}$$

$J$  is the **Jacobian matrix** whose columns correspond to the 2D vectors  $\vec{c}_1$  and  $\vec{c}_2$

$$J = [ \vec{c}_1 \ , \ \vec{c}_2 \ ]$$



# metric and first fundamental form

We can measure the length  $l$  of the displacement  $dx$  as follows:

$$l^2 = \|dx\|_F^2 = du^\top J^\top J du = du^\top G du$$

$G = J^\top J$  is a positive definite symmetric  $2 \times 2$  matrix

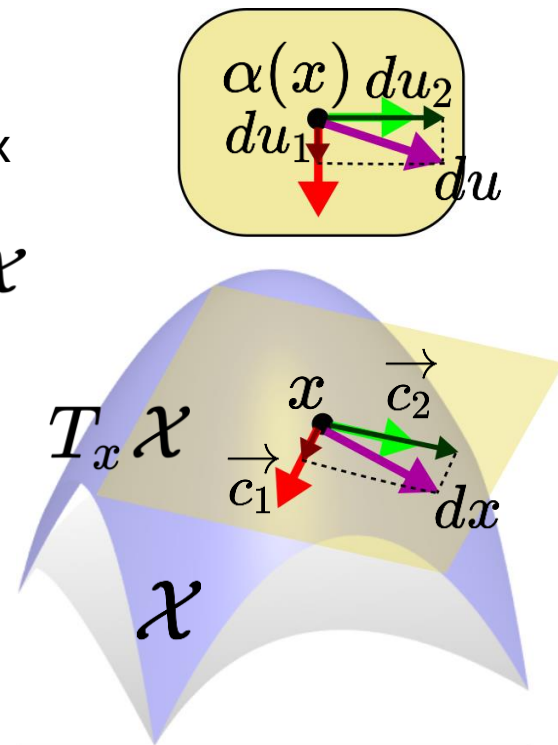
$G$  through its entries defines the inner product on  $T_x \mathcal{X}$

$$g_{i,j} = \langle \vec{c}_i, \vec{c}_j \rangle \text{ with } i, j \in \{1, 2\}$$

It defines a quadratic form:  $G: T_x \mathcal{X} \times T_x \mathcal{X} \rightarrow \mathbb{R}$

$$l^2 = du^\top G du$$

namely this quadratic form is the **I fundamental form**



# curvature on a plane

Let  $\gamma : [0, 1] \longrightarrow \mathbb{R}^2$  be a smooth curve parameterized by arclength:

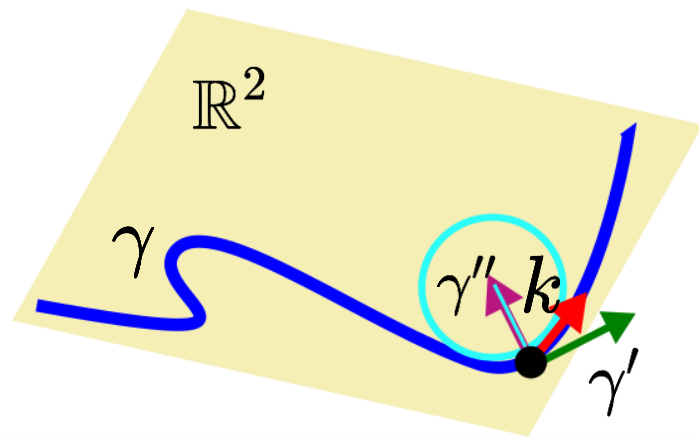
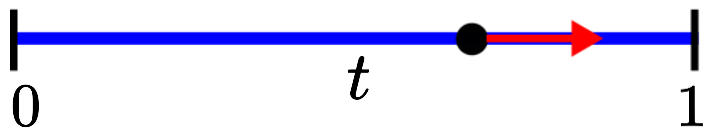
$$\int_a^b \|\gamma'\| dt = |a - b|, \quad \forall a, b \in [0, 1] \quad \text{where} \quad \gamma' = \frac{\partial \gamma(t)}{\partial t}, \quad t \in [0, 1]$$

$\gamma$  **trajectory** = a line on the plane

$\gamma'$  **velocity** = vector that indicates the rate of change of position

$\gamma''$  **acceleration** = vector (curvature)

$k$  **curvature** = measures of the rate of rotation  
of the velocity vector



# curvature on a surface

Let  $\gamma : [0, 1] \longrightarrow \mathcal{X}$  be a smooth curve defined on  $\mathcal{X}$   
 $x \in \mathcal{X}, x = \gamma(t), t \in [0, 1]$

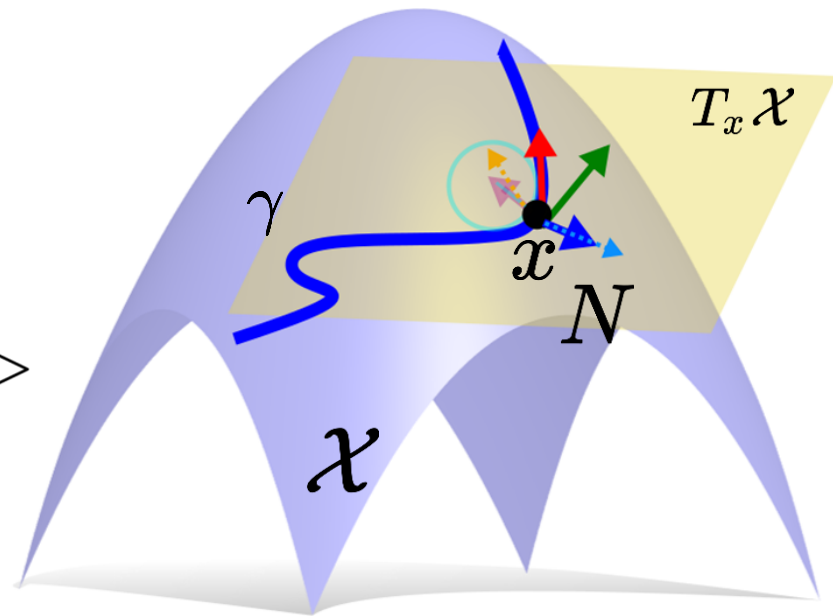
The curvature vector  $\gamma''$  decomposes into:

- **geodesic curvature** =  $k_g = \gamma''|_{T_x \mathcal{X}}$
- **normal curvature** =  $k_n = \langle \gamma'', N \rangle$



for a point  $x \in \mathcal{X}$  there is not a unique curvature

Curves passing at  $x$  in different directions have different curvatures



## II fundamental form

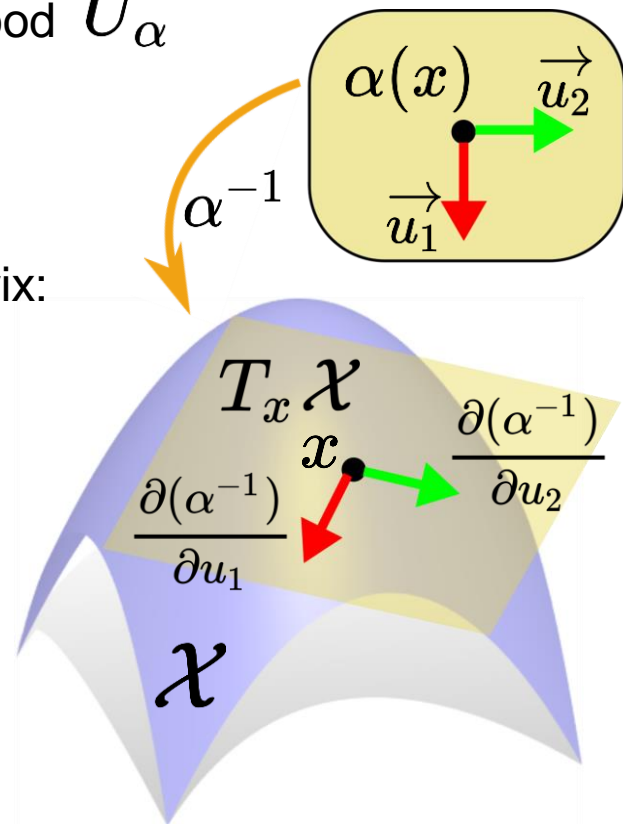
$\alpha^{-1} : \mathbb{R}^2 \longrightarrow U_\alpha \ni x$  provides the neighborhood  $U_\alpha$  of  $x$  of a regular parametrization

We define the **second fundamental form** as the quadratic form defined on  $T_x \mathcal{X}$  by the following matrix:

$$II = \begin{bmatrix} \frac{\partial^2 \alpha^{-1}}{\partial u_1 \partial u_1} \cdot N & \frac{\partial^2 \alpha^{-1}}{\partial u_1 \partial u_2} \cdot N \\ \frac{\partial^2 \alpha^{-1}}{\partial u_1 \partial u_2} \cdot N & \frac{\partial^2 \alpha^{-1}}{\partial u_2 \partial u_2} \cdot N \end{bmatrix}$$

It gives us an idea about the local curvature of the surface!

1. Differential Geometry



# principal curvatures

Given a point  $x \in \mathcal{X}$  and a vector  $v \in T_x \mathcal{X}$

we can consider a curve  $\gamma$  s.t.  $\gamma(0) = x$  and  $\gamma'(0) = v$

$\forall v \in T_x \mathcal{X}$ ,  $\gamma$  may have different normal curvature

**minima principal curvature**

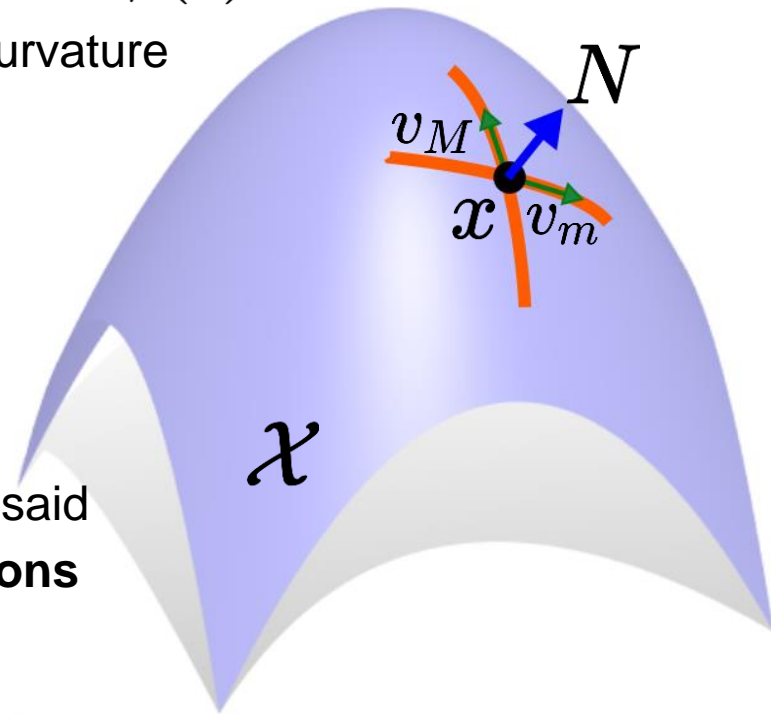
$$k_m = \min_{v \in T_x \mathcal{X}} \langle \gamma''(0), N \rangle$$

**maxima principal curvature**

$$k_M = \max_{v \in T_x \mathcal{X}} \langle \gamma''(0), N \rangle$$

the vectors  $v_m$ ,  $v_M$  that realize  $k_m$ ,  $k_M$  are said the **minima** and the **maxima principal directions**

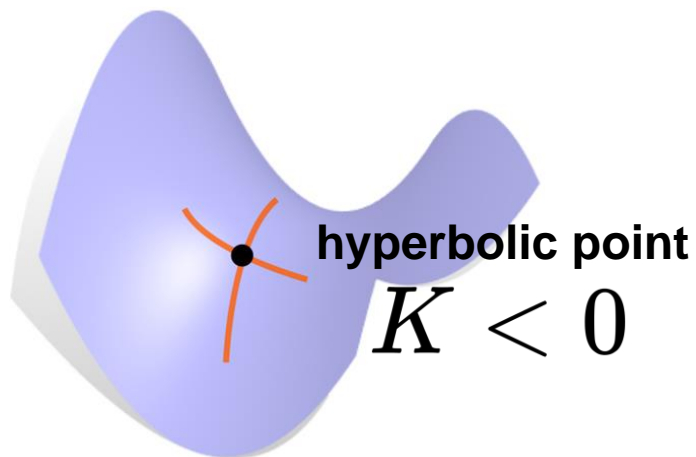
These are eigenvectors and eigenvalues of  $II$



# mean and gaussian Curvatures

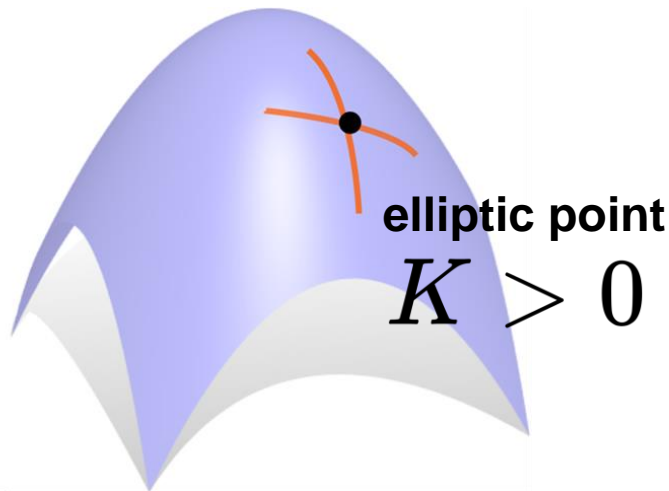
mean curvature

$$H = \frac{1}{2}(k_m + k_M)$$



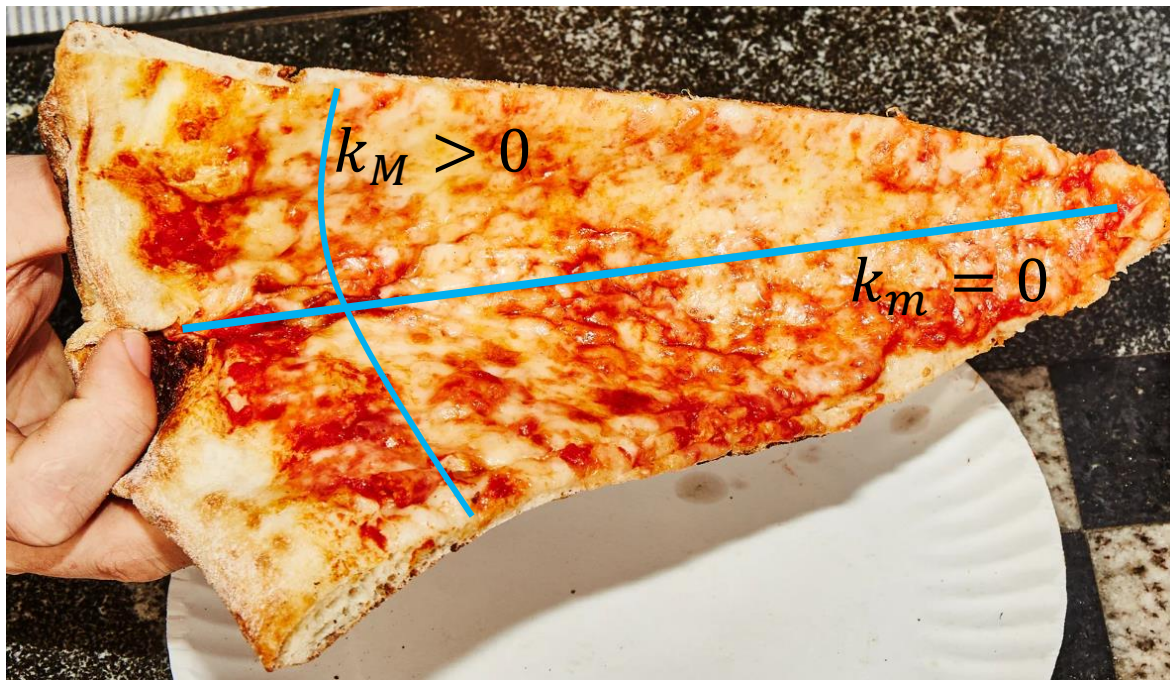
Gaussian curvature

$$K = k_m \cdot k_M$$



What is  $K$  for a plane?

# mean and gaussian Curvatures



What is  $K$  for a slice of pizza?



# path on a surface

Let  $\gamma : [0, 1] \longrightarrow \mathcal{X}$  be a smooth curve defined on  $\mathcal{X}$

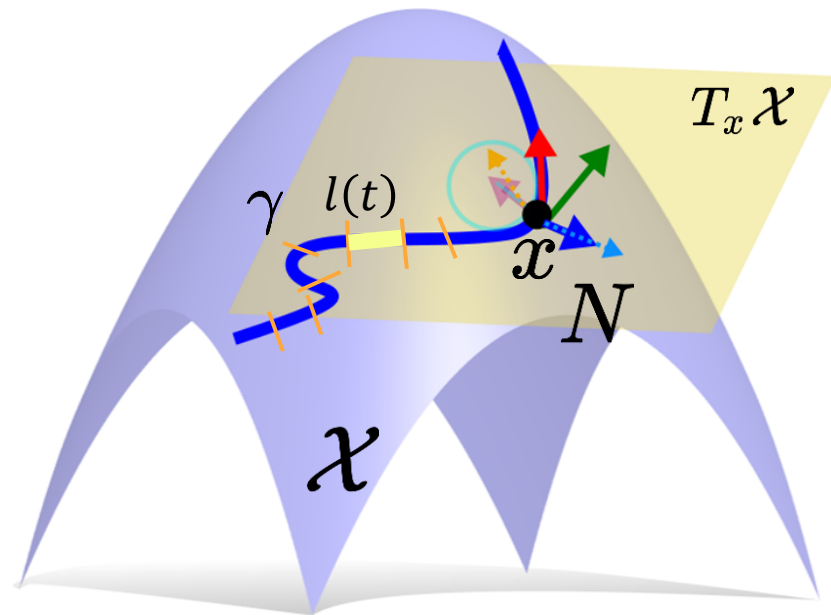
$x \in \mathcal{X}, x = \gamma(t), t \in [0, 1]$

$$l^2 = \|dx\|_F^2 = du^\top J^\top J du = du^\top G du$$

$$l(t) = \sqrt{(\gamma'(t))^\top G \gamma'(t)}$$

$$L(\gamma) = \sum l_i$$

$$L(\gamma) = \int_a^b \sqrt{(\gamma'(t))^\top G \gamma'(t)} dt$$



# path length

the **Riemannian metric** is strictly related with the length of the paths defined on  $\mathcal{X}$

Let  $\gamma : [0, 1] \longrightarrow \mathcal{X}$  be a smooth curve defined on  $\mathcal{X}$  the length of  $\gamma$  is:

$$L(\gamma) = \int_a^b \sqrt{(\gamma'(t))^{\top} G \gamma'(t)} dt \quad \text{or} \quad L(\gamma) = \int_a^b \sqrt{g_{\gamma(t)}(\gamma'(t), \gamma'(t))} dt$$

where for a given point  $x = \gamma(t)$  we denote with  $g_{\gamma(t)}$  the Riemannian metric:

$$g_{\gamma(t)} = g_x = g : T_x \mathcal{X} \times T_x \mathcal{X} \longrightarrow \mathbb{R}$$

The Riemannian metric  $g$  can be seen  $g : \mathcal{X} \longrightarrow \mathcal{F}(T\mathcal{X} \times T\mathcal{X}, \mathbb{R})$

as the map that associates at every point  $g : x \in \mathcal{X} \longmapsto g_x$  ( $g_x T_x \mathcal{X} \times T_x \mathcal{X} \rightarrow \mathbb{R}$ )

$x \in \mathcal{X}$  the bilinear form  $g_x$  on  $T_x \mathcal{X}$

# Riemannian geometry

Given a surface  $\mathcal{X}$  a **Riemannian metric** is a

**bilinear symmetric positive definite form**  $g : T_x \mathcal{X} \times T_x \mathcal{X} \longrightarrow \mathbb{R}$

defined on the tangent space  $T_x \mathcal{X}$

The **Riemannian metric** is completely independent

from the 3D embedding in which we visualize  $\mathcal{X}$

$g$  can be represented as a matrix  $G$

that is related with the first fundamental form and its

entries are  $g_{i,j} = \langle \vec{c}_i, \vec{c}_j \rangle$  with  $i, j \in \{1, 2\}$

# path length and I fundamental form

We have seen that the length  $l$  of the displacement  $dx$  is related with  $G$ :

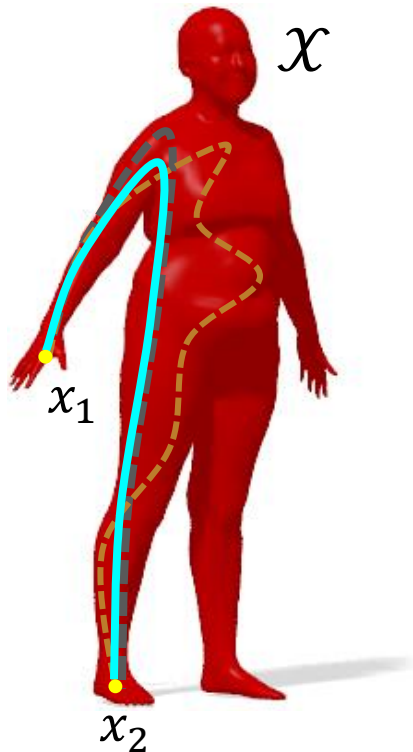
Let  $\gamma : [0, 1] \longrightarrow \mathcal{X}$  its length is:

$$L(\gamma) = \int_a^b \sqrt{(\gamma'(t))^\top G \gamma'(t)} dt$$

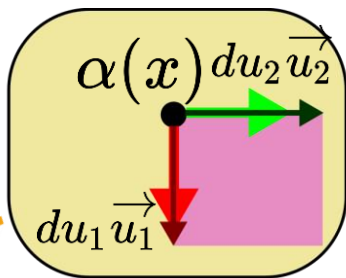
$G$  induces a metric on  $\mathcal{X}$  namely the **intrinsic metric**  $d_{\mathcal{X}}$  defined as:

$$d_{\mathcal{X}}(x_1, x_2) = \min(L(\gamma))$$

$$\begin{aligned} \gamma : [0, 1] &\longrightarrow \mathcal{X} \\ \gamma(0) &= x_1 \quad \gamma(1) = x_2 \end{aligned}$$



# area on the surface

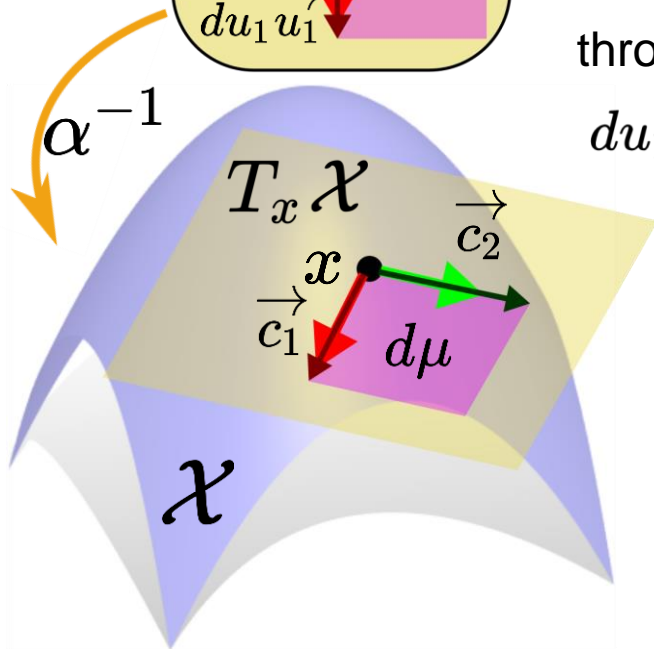


the differential area element on  $\mathbb{R}^2$  is the rectangle:

$$du_1 \vec{u}_1 \times du_2 \vec{u}_2 = du_1 du_2$$

through  $\alpha^{-1}$  this rectangle is mapped on the parallelogram  $du_1 \vec{c}_1 \times du_2 \vec{c}_2 \subset T_x \mathcal{X}$ , the area of which is given by:

$$\begin{aligned} d\mu &= \|du_1 \vec{c}_1 \times du_2 \vec{c}_2\| = \|\vec{c}_1 \times \vec{c}_2\| du_1 du_2 \\ &= \sqrt{\|\vec{c}_1\|^2 \|\vec{c}_2\|^2 - \langle \vec{c}_1, \vec{c}_2 \rangle^2} du_1 du_2 \\ &= \sqrt{g_{1,1} g_{2,2} - g_{1,2}^2} du_1 du_2 \\ &= \sqrt{\det(G)} du_1 du_2 \end{aligned}$$



# area and I fundamental form

We can consider a map  $\rho : \Omega \subset \mathbb{R}^2 \longrightarrow U \subset \mathcal{X}$ , and we compute the area

$$Area(U) = \int_U d\mu = \int_{\Omega} \sqrt{\det(G)} du_1 du_2$$

We can be interested in compute a relative area for  $U$ , defined as:

$$A_{rel}(U) = \frac{Area(U)}{Area(\mathcal{X})}$$

$L, A_{rel}, Area$  are measures on  $\mathcal{X}$  and with them we can analyze and study the properties of the surface.

# gradient on the surface

Given a vector  $v$  **the gradient** of a function  $f$  is the unique vector s.t. its product with a  $v$  gives the derivative of  $f$  in the direction of  $v$ .

The metric  $G$  induces a scalar product on  $T_x \mathcal{X}$ ,  $\forall dv, dw \in \mathbb{R}^2$  defined as:

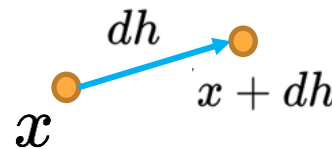
$$dv^\top G dw$$

This scalar product induces a definition for the gradient of every  $f : \mathcal{X} \longrightarrow \mathbb{R}$

$$f(x + dh) = f(x) + \langle \nabla_G f, dh \rangle + o(\|dh\|)$$

$$= f(x) + (\nabla_G f)^\top G dh + o(\|dh\|)$$

$$= f(x + dh) = f(x) + (\nabla(f \cdot \alpha^{-1}))^\top dh + o(\|dh\|)$$



From this derivation we obtain:  $\nabla_G(f) = G^{-1}(\nabla(f \cdot \alpha^{-1}))$

# divergence on the surface

Given a vector field, its divergence at each point is the quantity of how much the vector is entering or exiting from the infinitesimal area around that point.

$$\text{div}: T\mathcal{X} \rightarrow \mathcal{F}(\mathcal{X}, \mathbb{R})$$

Given a vector field  $\vec{V} \in T_x\mathcal{X}$ , we can define the **divergence** of  $\vec{V}$  with respect to the metric  $G$  as:

$$\text{div}(\vec{V}) = \frac{1}{\sqrt{\det(G)}} \sum_{i=1}^2 \frac{\partial \sqrt{\det(G)} V_i}{\partial \vec{c}_i}$$

$$\text{where } \vec{V} = V_1 \vec{c}_1 + V_2 \vec{c}_2 \in T_x\mathcal{X} = \text{span}(\vec{c}_1, \vec{c}_2)$$



# intrinsic geometry

- the first fundamental form fully represents the intrinsic geometry of  $\mathcal{X}$
- this representation is completely independent from the embedding of  $\mathcal{X}$
- this representation is independent on the embedding space of  $\mathcal{X}$
- the intrinsic geometry provides an abstract representation of

To fix the first fundamental form on  $\mathcal{X}$  we must have:

- $\forall x \in \mathcal{X}$  the tangent space  $T_x \mathcal{X}$
- the inner product  $g_{i,j} = \langle \vec{c}_i, \vec{c}_j \rangle$

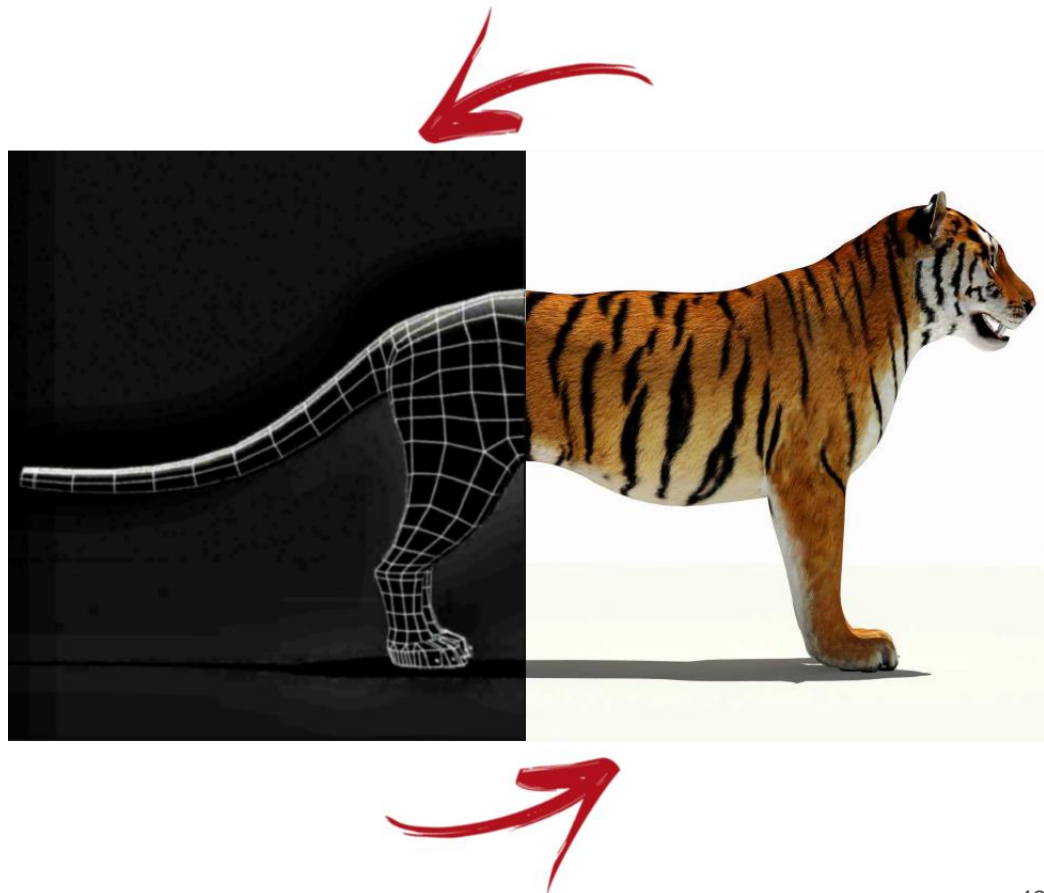
# extrinsic and intrinsic geometry

- the **first fundamental form** completely represent the **intrinsic geometry**
- the **first fundamental form** is invariant to **isometries**
- the **second fundamental form** completely represent the **extrinsic geometry**
- the **second fundamental form** is invariant to **rigid transforms**

**Theorem:** Given two surfaces  $\mathcal{X}$  and  $\mathcal{Y}$  and a map  $\pi : \mathcal{X} \longrightarrow \mathcal{Y}$  that preserves the **first and the second fundamental form** then the map  $\pi$  is a **congruence**.

# Differential and discrete geometry

- Differential geometry is well studied from several centuries.
- Discrete geometry is relatively recent.
- In discrete geometry several tools and analysis are based on the differential geometry.
- Understand geometry is necessary to deal with computer graphics



# questions?

