# Infinite Dimensional Topologies

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# 1 Topologies

Before we discuss what a topology is, it is instructive to remember a topology we're already somewhat familiar with.

### 1.1 Intervals of the Real Line

We begin with an example from  $\mathbb{R}$ . We define open and closed intervals of  $\mathbb{R}$  as follows:

$$(a,b) := \{x : a < x < b\}$$
 we call this an open interval

$$[a,b] := \{x : a \le x \le b\}$$
 we call this a closed interval

note that the union and intersection of two overlapping open or closed intervals is again a interval of the same type. However, we can have a *infinite* intersection of intervals of one type which gives the other type of interval. Namely, consider the intersection of all open intervals containing the closed interval [0, 1]:

$$\bigcap_{a<0,\,b>1}(a,b)$$

this gives us [0, 1] itself, which is closed. We are now ready to define a topology.

## 1.2 Topology on a Set

A topology  $\mathcal{T}$  on a set X is a subset of its power set,  $\mathcal{T} \subset P(x)$  satisfying the following three conditions:

- $\emptyset, X \in \mathfrak{T}$
- $\forall S \subset \mathfrak{T} : (\bigcup_{U \in S} U) \in \mathfrak{T}$
- $\forall U_1, \dots, U_n \in \mathfrak{T} : (\bigcap_{i=1}^{i=n} U_i) \in \mathfrak{T}$

note that even an infinite union of (intersecting) open intervals of the real line is again an open interval, which agrees with our definition of a topology.

We call a subset  $U \subset X$  open if  $U \in \mathcal{T}$ .

# 1.3 Topological Basis

Definition: A subset  $\mathcal{B} \subset \mathcal{T}$  is called a *basis* if every element of  $\mathcal{T}$  is the union of some subset of  $\mathcal{B}$ . Symbolically:

$$\forall U \in \mathfrak{T} \exists C_U \subset \mathfrak{B} : U = \bigcup_{B \in C_U} B$$

Given a Basis  $\mathcal{B}$  on X, a subset  $U \subset X$  is open if and only if:  $\forall x \in U \exists B \in \mathcal{B} : x \in B \subset U$ 

# 1.4 Standard Topology on $\mathbb{R}$

We now define the standard topology on  $\mathbb{R}$  as the topology with all open intervals as a basis. Notice that in the topology the interval  $(a, \infty) := \{x : x > a\}$  is open, because  $(x, \infty) = \bigcup_{b>a} (a, b)$ , the same holds for  $(\infty, a) = \{x : x < a\}$ .

# 2 Basic Topological Notions

Having defined a topology, we are now ready to discuss some of the fundamental topics of topology.

# 2.1 Product Topology

Given two sets A, B with respective topologies  $\mathcal{T}_A, \mathcal{T}_B$ , we define the product topology as:

$$A \times B = \{(a, b) : a \in A, b \in B\}$$
 this is the underlying set

$$\mathfrak{I}_{A\times B}$$
 is the topology with basis  $\mathfrak{B} = \{U\times V: U\in \mathfrak{I}_A, V\in \mathfrak{I}_B\}$ 

Note that  $\mathfrak{T}_{A\times B}\neq \mathfrak{T}_A\times \mathfrak{T}_B$ . For example two overlapping squares in  $\mathbb{R}^2$  is an element of  $\mathfrak{T}_{\mathbb{R}\times\mathbb{R}}$  but not of  $T_{\mathbb{R}}\times T_{\mathbb{R}}$ : We can thus define the product topology on  $\mathbb{R}^n$  for all natural numbers n. We write its basis elements as

$$\prod_{i}^{n} U_{i} = \prod_{i}^{n} (a_{i}, b_{i})$$

## 2.2 Comparing Topologies

Given two topologies  $\mathcal{T}, \mathcal{T}'$  on the same set X, we say that  $\mathcal{T}'$  is finer than  $\mathcal{T}$  if  $\mathcal{T} \subset \mathcal{T}'$ . The idea being that in this case everything open in  $\mathcal{T}$  is also open in  $\mathcal{T}'$ , while there are also some more sets open in  $\mathcal{T}'$ , which gives the topology  $\mathcal{T}'$  a more detailed texture.

If a set X has topologies  $\mathfrak{T}$  and  $\mathfrak{T}'$  with respective basis  $\mathfrak{B}$  and  $\mathfrak{B}'$  such that for each  $x \in X$  and every  $B \in \mathfrak{B}$  with  $x \in B$  we have a  $B' \in \mathfrak{B}'$  satisfying  $x \in B' \subset B$  then  $\mathfrak{T} \subset \mathfrak{T}'$ . Because let  $U \in \mathfrak{T}$ , then since U is open and  $\mathfrak{B}$  is a basis for  $\mathfrak{T}$ , for each  $x \in U$  we can choose  $B_x \in \mathfrak{B}$  such that  $x \in B_x \subset U$  then for each  $B_x$  choose  $B_x' \in \mathfrak{B}'$  such that  $x \in B_x' \subset B_x$  so that we get  $U = \bigcup_{x \in U} B_x'$  (since the union of subsets is also a subset, and  $\forall x \in U : x \in B_x'$ ) and thus U is open in  $\mathfrak{T}'$  and  $\mathfrak{T} \subset \mathfrak{T}'$  as desired.

### 2.3 Closed Sets

We call a set  $C \subset X$  closed if C = X - U for some open set  $U \in \mathcal{T}$  i.e. if its complement  $C^c$  is open. Notice that the closed interval [a,b] is in fact a closed set, because  $[a,b] = \mathbb{R} - ((\infty,a) \cup (b,\infty))$ .

We define the closure  $\overline{A}$  of a set  $A \subset X$  as the set  $\overline{A} = \{x \in X : \forall U \in \mathfrak{T} : x \in U \implies U \cap A \neq \emptyset\}$ . We will show that (a)  $A \subset \overline{A}$ , and (b)  $\overline{A}$  is closed, so that this is the smallest closed set containing A, hence the name.

- (a) if  $a \in A$  and  $a \in U$  then  $a \in A \cap U$  so  $a \in \overline{A}$  and thus  $A \subset \overline{A}$
- (b) We have  $\forall y \in X \overline{A} \exists U_y \in \mathfrak{T} : y \in U_y, U_y \cap A = \emptyset$  but this also gives that  $U_y \cap \overline{A} = \emptyset$ , for assume by way of contradiction that  $z \in U_y \cap \overline{A}$  then  $z \in U_y$  and  $U_y \cap A = \emptyset$ , so in fact  $z \notin \overline{A}$ . Thus we obtain  $X \overline{A} = \bigcup_{y \in \overline{A}} U_y$  which is a union of open sets and thus open.

Example:  $\overline{(0,1)} = [0,1]$  because if  $0 \in (a,b)$  then b > 0 assume for simplicity that b < 2 and so  $\frac{b}{2} \in (0,1) \cap (a,b)$ , so that this intersection is nonempty. However, if x < 0 then  $(2x,\frac{x}{2}) \cap (0,1) = \emptyset$ . A similar argument holds for 1.

There exists sets that are both open and closed. We call such a set clopen. For example in every topology both the empty set and the whole set are clopen. In fact a connected space is exactly a space where only these two are clopen.

# 3 Metric Spaces

We now consider another, more familiar type of topological space. Those which depend on a notion of distance.

#### 3.1 Definition

We define a *metric* as a function d(x,y) into  $\mathbb{R}$  satisfying these three conditions:

- d(x,y) = 0 exactly when x = y
- for any x, y we have d(x, y) = d(y, x)
- for any x, y, z we have  $d(x, z) \le d(x, y) + d(y, z)$

We can think of a metric as a function which gives the distance between two points. These three conditions state that (1) the only things with no distance between them are a thing and itself, (2) distance doesn't depend on which direction you're going, and (3) distance can't be decreased by stopping at a third point along the way.

**Example 1.** d(x,y) on  $\mathbb{R}$  defined by d(x,y) = |x-y|

**Example 2.** d(x,y) on  $\mathbb{R}^n$  defined by  $d(x,y) = |x-y| = \sqrt{(x_1 - y_1)^2 + \dots + (x_n - y_n)^2}$ 

### 3.2 $\epsilon$ -balls

An  $\epsilon$ -ball  $B_{\epsilon}(x)$  around a point x in a metric space X is the set of all points within  $\epsilon$  of x for some real number  $\epsilon > 0$ . I.e.  $B_{\epsilon}(x) = \{y : d(x,y) < \epsilon\}$ .

Thus, a metric d(x,y) induces a topology on a set X, which is given by the basis of all  $\epsilon$ -balls i.e.  $\mathcal{B} = \{B_{\epsilon}(x) : x \in X, \epsilon > 0 \in \mathbb{R}\}.$ 

**Example 3.** The standard topology on  $\mathbb{R}$  is given by the standard metric d(x,y) = |x-y| on  $\mathbb{R}$ , because (a,b) is the  $\epsilon$ -ball around  $\frac{a+b}{2}$  with radius  $\frac{b-a}{2}$ .

## 3.3 Equivalent topologies on $\mathbb{R}^n$

We can use our theorem about basis to show that the two topologies on  $\mathbb{R}^n$  are equivalent. Given an  $\epsilon$ -ball  $B_{\epsilon}(x)$  and a point  $y \in B_{\epsilon}(x)$ , we can find a basis element of the product topology contained in  $B_{\epsilon}(x)$ . Namely we take  $\delta = (\epsilon - d(x, y))/n$ , and take the basis element  $\prod_{i=1}^{n} (y_i - \delta, y_i + \delta)$ . Conversely, gives a basis element  $B = \prod_{i=1}^{n} (a_i, b_i)$  in the product topology, and an element  $x \in B$ , we can find an  $\epsilon$ -ball contained in B. Namely, we take  $\epsilon = \min\{|x_i - a_i|, |x_i - b_i|\}$  i.e. the smallest distance from a coordinate of x to the edge of an interval, and then take  $B_{\epsilon}(x)$ . By the way we have chosen  $\epsilon$  we must have the desired containment. Since we have containment both ways, we have equality.

# 4 Infinite Dimensional Topologies

We now come to the main definitions which we will be using: the topologies on infinite dimensional real space  $\mathbb{R}^{\omega}$ , consisting of all lists of countably many elements of  $\mathbb{R}$  e.g.  $(\pi, 4.87^3, -e, 101.10010, \ldots)$  and any other such objects.

## 4.1 The Box and Product Topologies

We could define the topology on  $\mathbb{R}^{\omega}$  naively as the topology with basis of all products of open sets in  $\mathbb{R}$ . Namely  $\mathcal{B} = \{\prod_{i \in \mathbb{N}} U_i : U_i \in \mathcal{T}_{\mathbb{R}}\}$ . This is similar to the product topology in the finite case. We call this the *box topology*. As we will soon see, unlike the finite case, this is not necessarily the most natural topology to put on  $\mathbb{R}^{\omega}$ .

Alternatively, we could put a restriction on the above basis, and only include things with finitely many proper subsets of  $\mathbb{R}$ . So that  $U \in \mathcal{B}$  is equal to  $\mathbb{R}$  for all but finitely many  $U_i$ . or symbolically

$$\mathcal{B} = \left\{ \prod_{i=1}^{i=n} U_i \times \prod_{i \in \mathbb{N}} \mathbb{R} : U_i \in \mathcal{T}_{\mathbb{R}} \right\} \text{ here the product is not necessarily ordered as written.}$$

we call this the product topology on  $\mathbb{R}^{\omega}$ .

# 4.2 The Uniform Topology

We now define the metric topology on  $\mathbb{R}^{\omega}$ . The first issue to deal with is the possibility that the distance between two points in  $\mathbb{R}^{\omega}$  might be too large. For example if we consider the distance between  $0 = (0, 0, 0, 0, \ldots)$  and  $\mathbb{N} = (0, 1, 2, 3, \ldots)$ , if we were to use some simple metric this distance would probably be infinite. And so we introduce the notion of a *bounded metric*.

To begin, we define the standard bounded metric on  $\mathbb{R}$  as  $\overline{d}(x,y) = \min\{d(x,y),1\}$ . This is similar to the standard metric, except that it only takes on values in the interval  $[0,1] \subset \mathbb{R}$ . This is a metric, since the first two properties follow from the fact that they hold for the standard metric, and we need only be concerned that we might not have  $\overline{d}(x,z) \leq \overline{d}(x,y) + \overline{d}(y,z)$  in the case that 2 > d(x,z) > 1. But even here, the third property of a metric holds rather trivially. Additionally,  $\overline{d}$  defines the same metric topology as d, as you can check.

Now that we have bounded our metric on  $\mathbb{R}$ , we are ready to extend it to  $\mathbb{R}^{\omega}$ . We define, for a subset  $S \subset \mathbb{R}$  the supremum of S, denoted  $\sup(S)$  as the least  $x \in \mathbb{R} : \forall y \in S, y \leq x$ . So, for two points  $x, y \in \mathbb{R}^{\omega}$  we define the set S of component-wise distances  $S = \{\overline{d}(x_i, y_i) : i \in \mathbb{N}\}$ . Finally, using this, we can define the uniform metric on  $\mathbb{R}^{\omega}$  as  $\rho(x, y) = \sup(S) = \sup\{\overline{d}(x_i, y_i) : i \in \mathbb{N}\}$ . This gives us a third topology on  $\mathbb{R}^{\omega}$ , which we call the uniform topology.

# 4.3 Comparing Infinite Topologies

These three topologies relate to each other in precisely the following way:

$$Box \supseteq Uniform \supseteq Product$$

**Proof:** (Uniform  $\subseteq$  Box): let  $U = \prod_{i \in \mathbb{N}} (\frac{-1}{n}, \frac{1}{n})$  then since  $(\frac{-1}{n}, \frac{1}{n})$  is open in  $\mathbb{R}$  for any n, this product is open in the Box topology. However, for any  $\epsilon > 0$  there is some  $n \in \mathbb{N}$  so that  $\frac{1}{n} < \epsilon$  and thus U fails to contain any  $\epsilon$ -ball. And thus U, while open in the box topology, fails to be open in the uniform topology. Thus we have Uniform  $\not\supset$  Box. However, given a basis element  $B_{\epsilon}(x)$  in the uniform topology, we can simply choose  $U = \prod_{i \in \mathbb{N}} (x_i - \epsilon/2, x_i + \epsilon/2)$  (dividing by 2 is required for the odd edge case where the  $x_i$  approach the distance  $\epsilon$ , so that the supremum ends up bigger than any individual distance, but this point is not particularly central), so that U is contained in  $B_{\epsilon}(x)$ . Giving Uniform  $\subseteq$  Box, as desired.

(Product  $\subseteq$  Uniform): for a basis element B in the product topology, there are only finitely many  $(a_i, b_i)$  in the product not equal to the whole of  $\mathbb{R}$ . So we can simply choose  $\epsilon = \min\{\frac{b_i - a_i}{2}\}$ , giving us the smallest radius of any term in the product giving B, then we have  $B_{\epsilon}(x) \subseteq B$  where  $x_i = \frac{a_i + b_i}{2}$  for  $U_i \neq \mathbb{R}$  and  $x_i = 0$  otherwise. So this gives us Product  $\subseteq$  Uniform. Conversely, consider  $B_1(0)$ , which is a basis element in the uniform topology. There is clearly no basis element in the product topology contained in it, this gives us Product  $\supset$  Uniform.

# 5 Closure of Sequences that are Eventually Zero

We now look at a special subset of  $\mathbb{R}^{\omega}$ , and consider its closure in the above topologies. We define  $\mathbb{R}^{\infty} \subset \mathbb{R}^{\omega}$  as the set of elements in  $\mathbb{R}^{\omega}$  with only finitely many nonzero entries. We consider  $\overline{\mathbb{R}^{\infty}}$  in the box, product and uniform topologies.

# 5.1 The Box Topology

As per usual, we trivially have that  $\mathbb{R}^{\infty} \subset \overline{\mathbb{R}^{\infty}}$ . We show that this is in fact all of  $\overline{\mathbb{R}^{\infty}}$ , or rather that  $\mathbb{R}^{\infty}$  is already closed in the box topology. Choose any  $y \in \mathbb{R}^{\omega}$  such that  $y \notin \mathbb{R}^{\infty}$ , then we can choose  $U = \prod (y_i - |\frac{y_i}{2}|, y_i + |\frac{y_i}{2}|)$  so that  $0 \notin U_i$  and thus  $U \cap \mathbb{R}^{\infty} = \emptyset$  so that  $y \notin \overline{\mathbb{R}^{\infty}}$  and  $\mathbb{R}^{\infty} = \overline{\mathbb{R}^{\infty}}$ .

# 5.2 The Product Topology

Let  $y \in \mathbb{R}^{\omega}$  be anything, then  $y \in \overline{\mathbb{R}^{\infty}}$ . For, consider any open set U containing y, then  $U_i = \mathbb{R}$  for all but finitely many i, so that  $0 \notin U_i$  for at most finitely many i. Let  $x \in \mathbb{R}^{\infty}$  be defined as  $x_i = y_i$  when  $U_i \neq \mathbb{R}$  and  $x_i = 0$  whenever  $U_i = \mathbb{R}$ . We thus have  $x \in U \cap \mathbb{R}^{\infty}$  so that  $y \in \overline{\mathbb{R}^{\infty}}$  for all  $y \in \mathbb{R}^{\omega}$ , and  $\overline{\mathbb{R}^{\infty}} = \mathbb{R}^{\omega}$ , as desired.

## 5.3 The Uniform Topology

In the uniform topology, the closure of sequences which are eventually zero is exactly sequences that converge to zero. Let  $y \in \mathbb{R}^{\omega}$  be such that as  $i \to \infty$  we get  $y_i \to 0$  (for example  $y_n = 1/n$ ), and let U be an open set in the uniform topology containing y. By definition of basis, there is some  $B \in \mathcal{B} : y \in B \subset U$ . But these basis elements are of the form  $B_{\epsilon}(y)$ . Then by the definition on convergence we have  $\forall \epsilon > 0 \exists n \in \mathbb{N} : i > n \Longrightarrow |y_i| < \epsilon$ . In particular, for some  $n \in \mathbb{N}$  for every i > n we get  $\overline{d}_i(y_i, 0) < \epsilon$ . So define x as  $x_i = y_i$  for  $i \le n$  and  $x_i = 0$  for i > n then  $x \in B_{\epsilon}(y) \cap \mathbb{R}^{\infty}$  but since  $B \subset U$  we also have  $x \in U \cap \mathbb{R}^{\infty}$  so that  $y \in \overline{\mathbb{R}^{\infty}}$ . Conversely, suppose that  $y \in \mathbb{R}^{\infty}$  does not converge to 0. This means, by the contrapositive of the above, that  $\exists \epsilon > 0 \forall n \in \mathbb{N} : \exists i > n : y_i > \epsilon$ . Taking such epsilon, we choose the neighborhood around y given by  $B = B_{\frac{\pi}{n}}(y)$  so that there are infinitely many  $B_i$  with  $0 \not\in B_i$  and thus  $B \cap \mathbb{R}^{\infty} = \emptyset$  and  $y \not\in \overline{\mathbb{R}^{\infty}}$ .

#### 5.4 Conclusion

Although it is essentially possible to imagine that  $\mathbb{R}^{\infty}$  is already closed, or that its closure is everything in  $\mathbb{R}^{\omega}$ . Since the three topologies on  $\mathbb{R}^{\omega}$  all agree on  $\mathbb{R}^{n}$ , we are in some sense free to choose which topology we'd like to put on  $\mathbb{R}^{\omega}$ . And it can be seen as most sensible that the closure of  $\mathbb{R}^{\infty}$ , i.e. the things that are very close to it, should be exactly the things that approach  $\mathbb{R}^{\infty}$  indefinitely.