

Article

A Study on Application of Discrete Wavelet Decomposition for Fault Diagnosis on a Ship Oil Purifier

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Abstract: With the development of the internet of things, big data, and AI leading the 4th industrial revolution, it has become possible to acquire, manage, and analyze vast and diverse condition signals from industrial machinery facilities. Recently, it has been revealed that the acquired signals can be effectively used to detect failures of the facilities, in the shipbuilding · shipping industry, lots of efforts to use the signals on fault diagnosis have been made to establish self-diagnosis system in ship facilities. In this study, fault diagnosis framework was proposed for condition-based maintenance (CBM) of ship oil purifiers which are an auxiliary facility in engine system of a ship. First, an oil purifier test-bed for simulating faults of oil purifiers, was built to obtain data on condition of the equipment. After extracting features using discrete wavelet decomposition from the data, the data was visualized by using t-SNE and was used to train support vector machine-based diagnostic model. Finally, the trained model was evaluated with *Accuracy* and *F₁ score*, and some of them scored 0.99 or higher, confirming high diagnostic performance. This study can be used as a reference when developing CBM through fault simulation. Also, it is expected to improve the safety and reliability of oil purifiers in Degree 4 MASS.

Keywords: Fault Diagnosis; Discrete Wavelet Transform (DWT); Wavelet Packet Transform (WPT); Condition Based Maintenance (CBM); Oil Purifier

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1. Introduction

Recently, with the development of the internet of things, big data, and artificial intelligence (AI) which leads the 4th industrial revolution, the development of Maritime Autonomous Surface Ships (MASS) has become an issue in the shipbuilding and shipping industry. MASS is a vessel that has no or minimized human intervention on the surface of the water, which has degree of 1 to 4 according to automation level[1]. Currently, many studies have been conducted to develop the Degree 4 MASS, that is, a fully autonomous ship. Since the Degree 4 MASS operates with no or minimal human intervention, it should be able to recognize and take action by itself when unexpected event happens to the ship engine system. Therefore, it is necessary to develop self-diagnosis techniques[2,3].

One of the things that should be considered for the development of the self-diagnostic techniques is prognostics and health management (PHM). In the past, the ships were maintained by fault alarm-based breakdown maintenance (BM) or time-based maintenance (TBM) referring to the past maintenance history[4]. There are several disadvantages that can cause resource cost by early replacement or time and resource cost due to accidental failures. By using condition-based maintenance combined with PHM, we can overcome these disadvantages. It monitors complex system in real time, detects abnormalities

in the system in advance, and predicts future failures beforehand. Through these processes, it is possible to improve safety and reliability of facilities, and to establish efficient maintenance policies[5].

Condition monitoring with PHM begins with establishing an operation database of a facility. After pre-processing the established data, it proceeds in the order of diagnosing the current state and predicting the future failure[6]. In this process, factors affecting the fault diagnosis and prognosis results are data, models, and algorithms[8]. In particular, data acquisition and preprocessing are important, because if these processes are not done well, the reliability of the results is low regardless of the completeness of the fault diagnosis and prognosis framework[7]. However, there are problems of lack and imbalance of data[9]. Many types of sensors provide many signals about the normal condition of a facility, but data on failure conditions are limited or not provided. It's many facilities adopt conservative maintenance policies such as BM or TBM due to the costs and the catastrophic results which can be emerged by[2,3].

One strategy to address this is to use a physics model to derive reliable results using a small amount of data. This method is a hybrid approach which uses data and model the system failure such as physics-informed neural network (PINN)[10,11]. Another strategy is to acquire the data directly from the faulty system, using a testing device for simulation rather than the real one. Although it is expensive to build a test-bed which is a realistic imitation system to simulate failure, it is possible to efficiently develop a framework for fault diagnosis and prognosis because failures are simulated and data are acquired on the test-bed. When PHM needs to be introduced in a system with high complexity and high cost due to failures, it can be efficient to acquire reliable data from the test-bed for development of fault diagnosis and prognosis framework.

An oil purifier is one of the auxiliary equipment of ship engine system, and it separates crudes oil, including fuel oil and lubricating oil, into purified oil and sludge. Failure of the oil purifier is highly fatal, because when it has a problem with outlet flow and cleaning condition of the outlet oil, it may lead to failure of the entire ship as well as other engine system facilities[2,12]. The failure of the oil purifier often starts with a faulty component[3], but it is hard to detect the fault of the component inside the equipment. Despite the limitation of BM and TBM, for the above reasons, they have been implemented so far. However, for the development of Degree 4 MASS, it is essential to develop CBM with PHM for oil purifiers in ships from now on.

In this study, we conducted research in two stages with the purpose of developing a fault diagnosis framework based on condition monitoring of marine oil purifiers. In the first stage, the normal and faulty state data of the oil purifier were acquired. We built a test-bed for land-based simulation of the oil purifier, and acquired condition data by simulating faults based on fault analysis. In the second stage, the fault diagnosis framework for oil purifiers was presented. First, the signal was preprocessed using discrete wavelet decomposition, and several wavelet spectrum measures were calculated. After learning the support vector machine-based architecture, the diagnosis performance for each learning method was evaluated with a few evaluation metrics.

So, the main contributions of this article can be listed as follows:

- Proposed the measuring instrument setup and DAQ method to apply CBM policy to oil purifiers in real ships
- Established the condition database of the oil purifier based on fault simulation using the realistic land-based test-bed
- Proposed the fault diagnosis framework using discrete wavelet decomposition and support vector machine

The remainder of this paper is organized as follows. In section 2, methodologies about feature extraction and machines learning for fault diagnosis are introduced. Section 3 describes the entire process for the development of a fault diagnosis framework. Finally, in section 4, the results are discussed, and conclusions are drawn.

2. Methodology for Fault Diagnosis

The purpose of this study is to diagnose the state of the oil purifier based on condition monitoring. To achieve this, we adopted a data-driven approach that infers the system status from the collected data. In this section, discrete wavelet decomposition and support vector machine for multiclass classification is introduced.

2.1. Discrete Wavelet Decomposition

Methods to analyze waveform type data such as vibration can be divided into time domain analysis, frequency domain analysis, and time-frequency domain analysis[7]. Among them, time-frequency domain analysis has advantages of being able to analyze non-stationary signals because the frequency analysis is performed and repeated in a certain time window within the original signal. Short-time Fourier transform (STFT) and wavelet transform (WT) are representative of time-frequency domain analysis[12]. STFT is a method in which a signal in the time domain is cut into a certain time length, and then fast Fourier transform (FFT) is performed for each time section. However, since the original signal is cut with a time window of a certain length, there is a trade-off problem between time resolution and frequency resolution.

Wavelet Transform (WT) is a method that compensates for the shortcomings of STFT. As scale and translation parameters change, two orthonormal basis functions, the scaling function and the wavelet function, change, and the original signal is decomposed into sub-signals with various resolution conditions. Therefore, multi resolution analysis (MRA) with improved time resolution and frequency resolution is possible[14,15]. WT is usually categorized as continuous wavelet transform (CWT), discrete wavelet transform (DWT), and wavelet packet transform (WPT)[16,17]. In CWT, the scale and translation parameters of wavelet and scaling functions change continuously. Although detailed MRA is possible through CWT, there are disadvantages in that redundant information is generated and computational cost is increased. A way to solve this problem is to use DWT, especially to binarize the parameters. It can reduce the computational cost and, at the same time, avoids the loss of information contained in the signal[16,18]. In DWT, the original signal is decomposed through high pass filter (HPF) and low pass filter (LPF) and then down-sampled in half, as shown in Figure 1. Detail and approximation coefficients can be obtained through this process. After that, DWT process is repeated using the obtained approximation coefficients as an input[19–20].

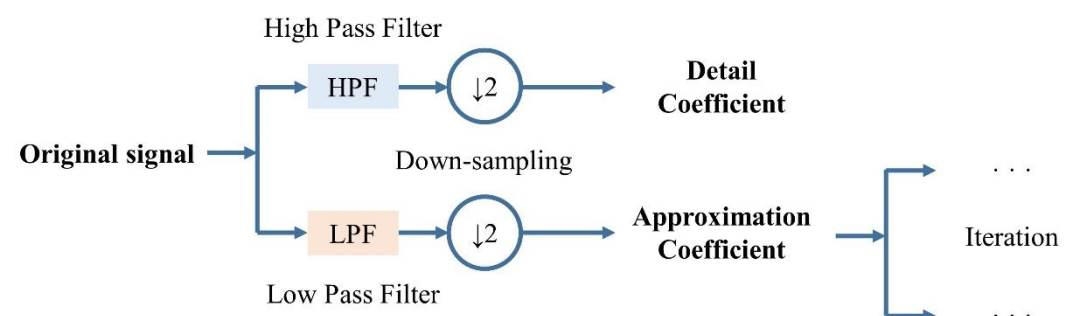


Figure 1. Schematic diagram describing the mechanism of DWT

Similar to DWT, WPT decomposes a signal into a low-frequency component and a high-frequency component, but it is the technique that continuously decomposes not only the approximation coefficients but also the detail coefficients that have passed the HPF. Therefore, it can be called a generalized discrete wavelet decomposition compared to DWT. Figure 2 shows maximum decomposition level $J = 3$ of WPT, which is called wavelet decomposition tree. As shown in Figure 1, the original signal is filtered through HPF and LPF in the first decomposition $j = 1$, and then down-sampled and converted

into detail coefficient D_1 and approximation coefficient A_1 . After that, in WPT, not only the approximation coefficients but also the detail coefficients are decomposed up to the target decomposition level J . Through repetition of this process, the original signal is decomposed into nodes having 2^J sub-bands, and it can be confirmed that each node has a frequency band of the same size [19,21]. The coefficients shown in red in Figure 2 mean the coefficients that can be obtained through DWT.

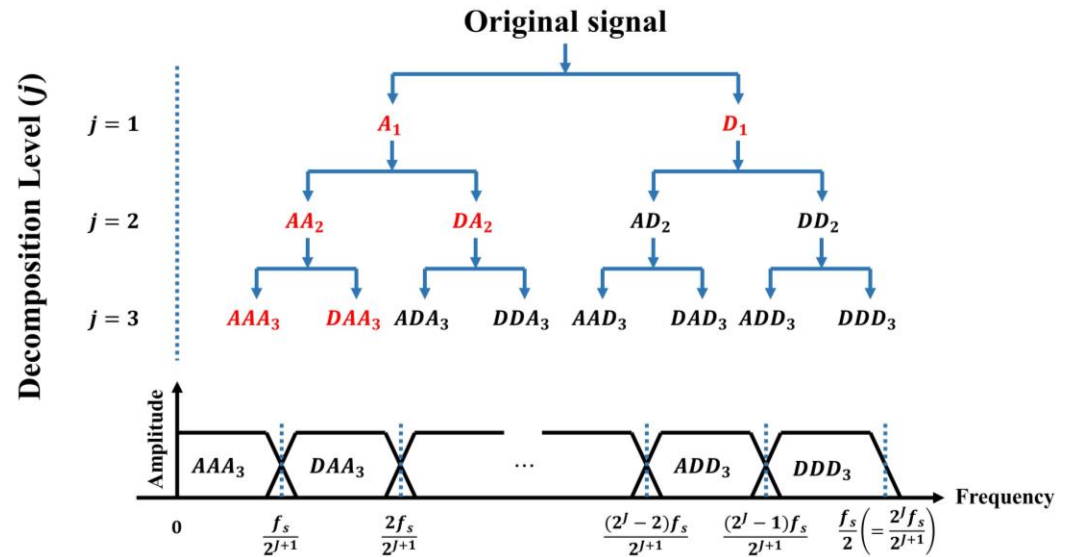


Figure 2. Schematic diagram describing the wavelet decomposition tree and its frequency band

After decomposing the signal using DWT and WPT, wavelet spectrum can be used to extract features from the decomposed signal. Wavelet spectrum is a kind of energy expression form designed for calculation of Hurst parameter [14]. Through the method, we can estimate $\log_2 \left(\frac{1}{n_j} \sum_{k=1}^{n_j} d_{j,k}^2 \right)$, called the wavelet spectrum, by using the sample energy of the wavelet coefficients $d_{j,k}$, assuming $d_{j,k}$ is the k th detail coefficient the decomposition level j ($j = 1, \dots, J, k = 1, \dots, n_j$) [15]. In addition, to identify additional characteristics of the energy from statistical point of view, other wavelet spectrums suggested in previous studies can be calculated, which are shown in Table 1 [22]. The mean measure is the binary logarithm of the mean energy of the sampled wavelet coefficients. Median measure has the advantage of being relatively robust to noise compared to mean measure. Additionally, the variation of the wavelet coefficients can be considered by using variance and interquartile range (IQR) measure.

Table 1. Wavelet spectrum based on discrete wavelet transform

Measure	Wavelet Spectrum
Mean	$w_j = \log_2 \left[\frac{1}{n_j} \sum_{k=1}^{n_j} d_{j,k}^2 \right]$
Median	$w_j = \text{median}(d_{j,k}^2)$
Variance	$w_j = \log_2 \left[\frac{1}{n_j - 1} \sum_{k=1}^{n_j} (d_{j,k}^2 - \bar{d}_{j,k}^2)^2 \right]$
Interquartile range (IQR)	$w_j = Q_3(d_{j,k}^2) - Q_1(d_{j,k}^2)$

2.2. *t*-Distributed Stochastic Neighbor Embedding

After extracting features from raw data, one of the methods to evaluate the quality of the extracted features is data visualization. However, since the features generally has two or more characteristics, it is necessary to transform the features to visualize with two or three characteristics. Commonly used methods for this purpose are principal component analysis (PCA) and t-distributed stochastic neighbor embedding (t-SNE)[23]. PCA finds principal components by repeating the process finding the direction with the largest variance in the features and the direction with the next largest variance among the directions orthogonal to that direction. Dimensional reduction can be performed by removing useless principal components while sequentially maintaining the target number of principal components starting with the most useful. However, PCA has disadvantages in that it is difficult to analyze the generated axis, and since it projects orthogonally in a linear way, the original clusters are crushed.

t-SNE is one of the nonlinear dimensionality reduction methods[23]. First, high-dimensional data is randomly expressed in low-dimensional data. Then, in the original feature space, points that are close to each other are placed close to each other, and points that are far from each other are placed farther away from each other. While continuously updating the position of the data in the low dimension, it aims to make the similarity between the data in the high dimension and the similarity between the data in the low dimension become similar[24,26]. Stochastic neighbor embedding (SNE) expresses the similarity with the conditional probabilities $p_{j|i}$ and $p_{i|j}$, instead of using the Euclidean distance to calculate distances in high and low dimensions. In Equation (1) and (2), suppose x_i and x_j are high-dimensional data, and y_i and y_j are the corresponding low-dimensional data, the similarity expressed as

$$p_{j|i} = \frac{\exp(-\|x_i - x_j\|^2 / 2\sigma_i^2)}{\sum_{k \neq i} \exp(-\|x_i - x_k\|^2 / 2\sigma_i^2)} \quad (1)$$

$$q_{j|i} = \frac{\exp(-\|y_i - y_j\|^2 / 2\sigma_i^2)}{\sum_{k \neq i} \exp(-\|y_i - y_k\|^2 / 2\sigma_i^2)} \quad (2)$$

where σ_i is the standard deviation of the Gaussian distribution centered on x_i , which is determined by the data used for analysis. In SNE, the Kullback-Leighbler divergence between the two distributions is minimized to find the low-dimensional embedding y_i that makes $p_{j|i}$ and $q_{j|i}$ similar to the given high-dimensional data x_i [24]. y_i is found using the gradient descent method. However, SNE uses asymmetric conditional probability and has a crowding problem in that it cannot embed data far from high dimensionality far enough away from low dimensionality. As an alternative to this, t-SNE uses the similarity p_{ij} of a symmetric structure such as Equation (3) at a high level.

$$p_{ij} = \frac{p_{j|i} + p_{i|j}}{2} \quad (3)$$

Also, to solve the crowding problem, the student t-distribution with 1 degree of freedom is used as in Equation (4) to obtain the similarity q_{ij} in the low dimension.

$$q_{ij} = \frac{(1 + \|y_i - y_j\|^2)^{-1}}{\sum_{k \neq i} (1 + \|y_i - y_k\|^2)^{-1}} \quad (4)$$

In the process of finding the low-dimensional embedding y_i , similar to SNE, the Kullback-Leighbler divergence of Equations (3) and (4) is minimized.

2.3. Support Vector Machine for Multiclass Classification

There are two main types of machine learning methods: supervised learning and unsupervised learning. Supervised learning is usually used for classification and regression problems, while unsupervised learning is used for clustering and dimension reduction. If

there are labels for certain conditions of the system and we need to match the label of the new input condition, classification based on supervised learning can be used[23]. Supervised learning-based classification includes support vector machine (SVM) and artificial neural network (ANN) as representative examples. ANN consists of input layer, hidden layer, output layer, and neurons in each layer, and aims to obtain correct answer labels from input data by updating weights through feed forward and back propagation. ANN learns inherent features from given data by itself[26], so a large amount of high-quality data is needed for learning[27]. SVM aims to find the maximum marginal hyperplane (MMH) that maximizes the margin between each class[29]. It can also correspond to a nonlinear problem in the input space with a linear problem in a high-dimensional feature space, and relatively good prediction performance can be expected even with a small number of samples.

The process of calculating MMH with SVM is as follows[29]. Suppose that there are two types of classes. Given a training set $D = \{\mathbf{x}_i, y_i\}_{i=1}^N$ for the binary classification problem, in which the class of $\mathbf{x}_i \in \mathbb{R}^p$ is denoted by $y_i \in \{+1, -1\}$. Assume that a separation hyperplane, which means decision boundary, that separates data according to class is $\omega \cdot x + b = 0$. x is the point on the hyperplane, ω is the vector perpendicular to the hyperplane, and b is the bias. When training data can be linearly separable, the hyperplane has the following properties:

$$\begin{aligned}\omega \cdot x_i + b &\geq 1, y_i = 1 \\ \omega \cdot x_i + b &\leq -1, y_i = -1\end{aligned}\quad (5)$$

The training data located on the two hyperplanes described in Equation (5) is called a support vector (SV), and the distance between the two hyperplanes is called a margin. The margin can be expressed as $\frac{2}{\|\omega\|}$ and can be substituted with $\frac{1}{2}\|\omega\|^2$. Therefore, the objective function and constraint to find the MMH condition are the same as Equation (6). Equation (6) can be classified as a quadratic optimization problem of a convex function with constraints, and can be converted into a quadratic programming problem to calculate the boundary where the margin is maximal[31].

$$\begin{aligned}\text{minimize } & \frac{1}{2}\|\omega\|^2 \\ \text{subject to } & y_i(\langle x_i, \omega \rangle + b) \geq 1, i = 1, 2, \dots, N\end{aligned}\quad (6)$$

If it is impossible to completely separate the training data linearly, soft margin classification can be performed by adding a slack variable ξ_i to Equation (6) to impose a penalty C for errors. In addition, since most class classification problems of data are complex, a non-linear support vector machine (Non-linear SVM) is used. Non-linear SVM maps a feature vector \mathbf{x}_i in the original space to a new high dimensional space $\Phi(\mathbf{x}_i)$ to calculate a nonlinear decision boundary. In this case, a kernel trick is used[31]. Instead of calculating the dot product after mapping the data to a higher dimension, the calculation cost is reduced by performing the dot product in the original dimension and sending it to the higher dimension. Popular kernel functions to construct the decision rules include the radial basis function (RBF) kernel, the polynomial kernel, and the multilayer perceptron (MLP) kernel. The objective function and its constraint to find the condition of MMH based on soft margin classification using kernel trick are the same as Equation (7).

$$\begin{aligned}\text{minimize } & \frac{1}{2}\|\omega\|^2 + C \sum_{i=1}^N \xi_i \\ \text{subject to } & y_i(\langle \Phi(x_i), \omega \rangle + b) \geq 1, i = 1, 2, \dots, N\end{aligned}\quad (7)$$

Originally, SVM was designed for the purpose of binary classification, but in the case of a multi-class classification problem, it can be solved by generalizing the SVM to a multi-class classifier or adopting a strategy that uses binary SVMs as multiple[29]. In the former

case, the computational complexity is high and the performance is similar to the latter method, so it is preferred. In the latter case, there are one against all (OAA), one against one (OAO), and error correcting output coding (ECOC) methods[32–34]. Among them, the OAO technique showing the best performance is widely used. The OAO technique learns a classifier using data belonging to two classes for each possible combination pair of classes. Afterwards, when new data comes in, each classifier classifies and votes to classify the data into the class with the most votes[35]. Through this procedure, the SVM designed as a binary classifier can be used for multiclass classification.

2.4. Evaluation Metrics of Multiclass Classification Performance

The results of classifying data using machine learning methods are divided into four categories, which are true positives (TP), true negatives (TN), false positives (FP), and false negatives (FN). Suppose that a positive as an actual faulty state, and a negative is not the faulty state, which contains a normal state. TP classifies the real fault as a the positive, and TN indicates the non-fault as a negative. On the other hand, FP and FN mean the misclassified case. When the faulty data is mistakenly labeled as the normal, it is called FN. Conversely, when the normal data is misclassified as the fault, it is called FP.

It is possible to evaluate the diagnosis performance of the machine learning model by calculating several evaluation metrics, listed as Equations (8)–(11), using the categories[2]. *Accuracy* refers to the proportion of data that accurately predicted among the total data. *Precision* represents the percentage of correct answers among the positive predictions. *Recall* indicates the percentage predicted by correct answers among actual faults. *F₁ score* is a score using the harmonic mean of *Precision* and *Recall*.

$$Accuracy = \frac{TP + TN}{TP + FP + FN + TN} \quad (8)$$

$$Precision = \frac{TP}{TP + FP} \quad (9)$$

$$Recall = \frac{TP}{TP + FN} \quad (10)$$

$$F_1 \text{ score} = 2 \times \frac{Precision \times Recall}{Precision + Recall} \quad (11)$$

Accuracy is an effective metric when data is balanced, so it is used when the number of input data of each class is the same. However, when the data is not balanced, the *F₁ score* can be utilized. In particular, in multiple classification, *F₁ score* may be obtained as an average of *F₁ scores* of each class or may be obtained as an average of *F₁ scores* considering the weight according to the data size of each class.

3. Establishment of Fault Diagnosis Framework using Fault Simulation

The development of the CBM with PHM framework starts with establishing a condition database for the target system. After that, deriving features representing the system status through signal pre-processing, it proceeds in the order of developing a framework for fault diagnosis and prognosis[6]. In this section, we describe the data acquisition process and the fault diagnosis procedure for the development of a fault diagnosis framework based on condition monitoring of an oil purifier.

3.1. Data Acquisition through Fault Simulation

The first stage for the development of CBM with PHM is to acquire a lot of data with accurate information about the normal and the fault[6–8]. However, it is difficult to acquire the faulty data because of the costs and consequences of operating the system in a failure

state[9]. In this study, a strategy was adopted to simulate fault conditions and monitor the conditions by using the land-based test-bed of an oil purifier.

First, the target is Alfa Laval S805 shown in Figure 1. When the motor rotates at 3600 rpm, the bowl rotates at 9300 rpm by flat belt transmission and centrifugal force is generated. At the same time, the crude oil is separated into the purified oil and the sludge by density difference of the fluid inside the bowl. In order to acquire the normal and faulty state data from the test-bed, five general failure modes with the highest criticality were derived from failure modes, effects and criticality analysis (FMECA) in the previous research[3]. Table 2 describes test conditions with the failure modes to simulate the faults of the oil purifier. Criterion for simulating each failure mode refer to the standards provided by Alfa Laval[12], and failures are simulated under the most severe conditions within the range specified in the standards, or further severe condition than that.

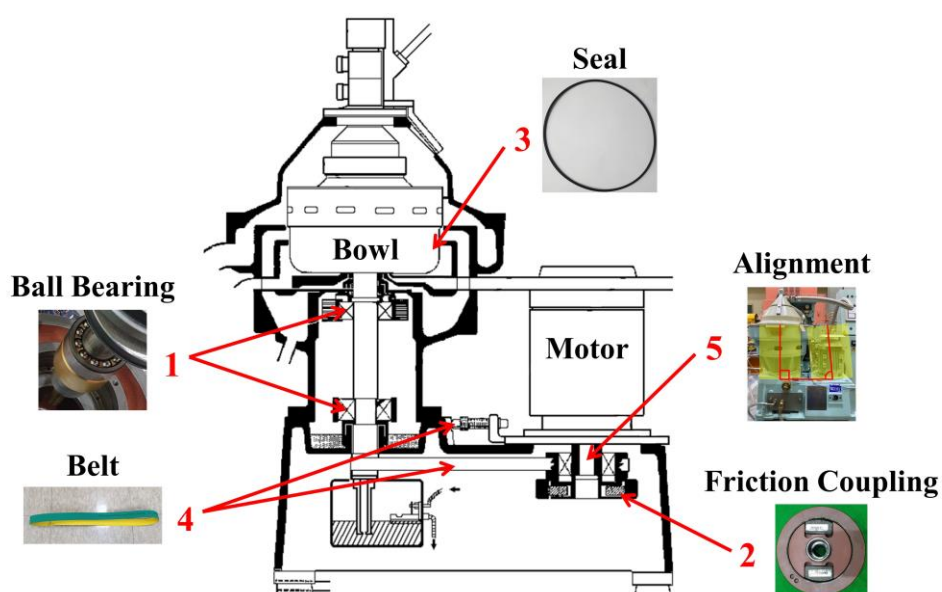


Figure 3. Schematic showing parts with high failure criticality of the oil purifier

Table 2. 5 types of failure components, modes, mechanisms, and effects

Mode Number	Component	Failure Mode	Failure Mechanism	Test Condition
0	-	Normal state	-	-
1	Bearing	Boundary lubrication	Poor lubrication	Reducing lubricant 100% compared to full capacity
2	Friction coupling	Poor power transmission	Wear of friction elements	Reducing the element mass 100% compared to the original state
3	Seal	Tension degradation	Thermal aging	Thermal aging at 120°C for 900 hours
4	Flat belt	Fatigue	Tension degradation	Shifting the motor towards the bowl by 13.62mm
5	Shaft (= Spindle)	Fatigue Crack	Misalignment	Tilting the motor shaft by 0.9° counterclockwise

In order to acquire the operating state through the fault simulation, the test-bed for condition monitoring was constructed as shown in Figure 4. Pressure, temperature, and flow data were acquired to monitor the operation. Because change of the operating state does not occur instantaneously, the data on the operating state were sampled by 1[kHz], respectively. In addition, to understand additional failure response characteristics, vibration, noise, and RPM data were acquired at 25.6[kHz], 51.2[kHz] and 1[kHz], respectively.

The accelerometers to acquire vibration measured vibration of 3-axis and were located on the upper part of the bowl frame and the upper part of the motor frame. One acoustic emission sensor was used to acquire the noise data, and it was located at a point 1[m] away from the test-bed. In addition, an RPM sensor was installed to check the power transmission of the motor by friction coupling. After applying the faults for each part, data on the normal and the fault condition was acquired from the built test-bed. The status of the test-bed was monitored for 30 minutes, and the acquired data was integrated and saved in 'csv' format.

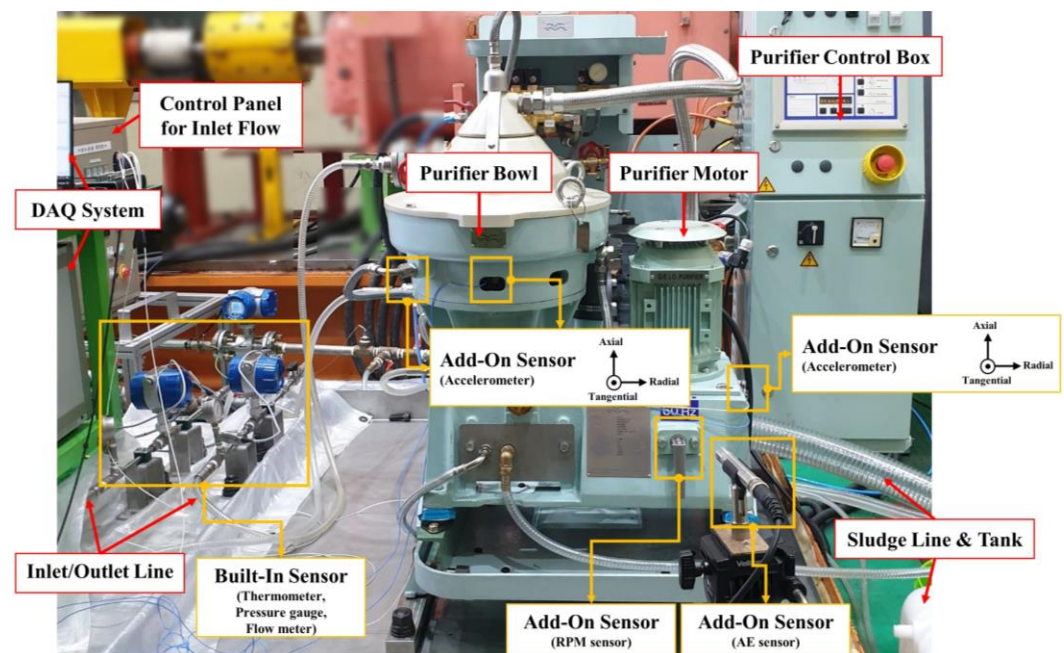


Figure 4. Oil purifier test-bed for land-based fault simulation

As a result of monitoring the state of the test-bed for each failure mode, significant differences were observed according to the failure mode in the vibration data. Figure 5 shows the results of FFT of vibration in the axial and radial directions of the bowl, and Figure 6 shows the results of FFT of vibration in the axial and radial directions of the motor, respectively. Mode 1-5 is as shown in Table 2, and Mode 0 indicates normal condition.

Overall, the motor vibration and bowl vibration are similar, or the motor vibration is slightly larger. This is because the place where the motor side sensor is attached vibrates relatively more than the place where the bowl side sensor is installed. In most modes except for mode 2, peaks can be seen at the motor frequency 60[Hz] and the bowl frequency 155[Hz]. Since mode 2 simulates the mass reduction due to friction of the friction coupling element, it means that the steady state RPM of the bowl could not be reached because the power could not be sufficiently transmitted in the defective state. In the case of mode 3, in Figure 6 (b), local gap and leakage occurred due to thermal degradation of the seal, resulting in mass imbalance. Therefore, it is judged that the bowl frequency amplitude has increased compared to the normal state. In case of other failure modes, it is difficult to confirm the significant amplification of the peak compared to mode 0 at motor frequency or Bowl frequency. This is the result because the standards suggested by the manufacturer are within the operating range of the equipment.

No significant difference was found in the time series data that monitored the operating status except for the mode 2. The faults were applied to each part, but such faults are considered not to change the operating state of the entire system. Also, ambient and sensor noise was observed in the pressure, temperature, and flow data. Therefore, in this study, the vibration data was selected as a monitoring factor and used for fault diagnosis.

In addition, for each mode, the most prominent faulty data, which means the most severe faulty condition, were utilized.

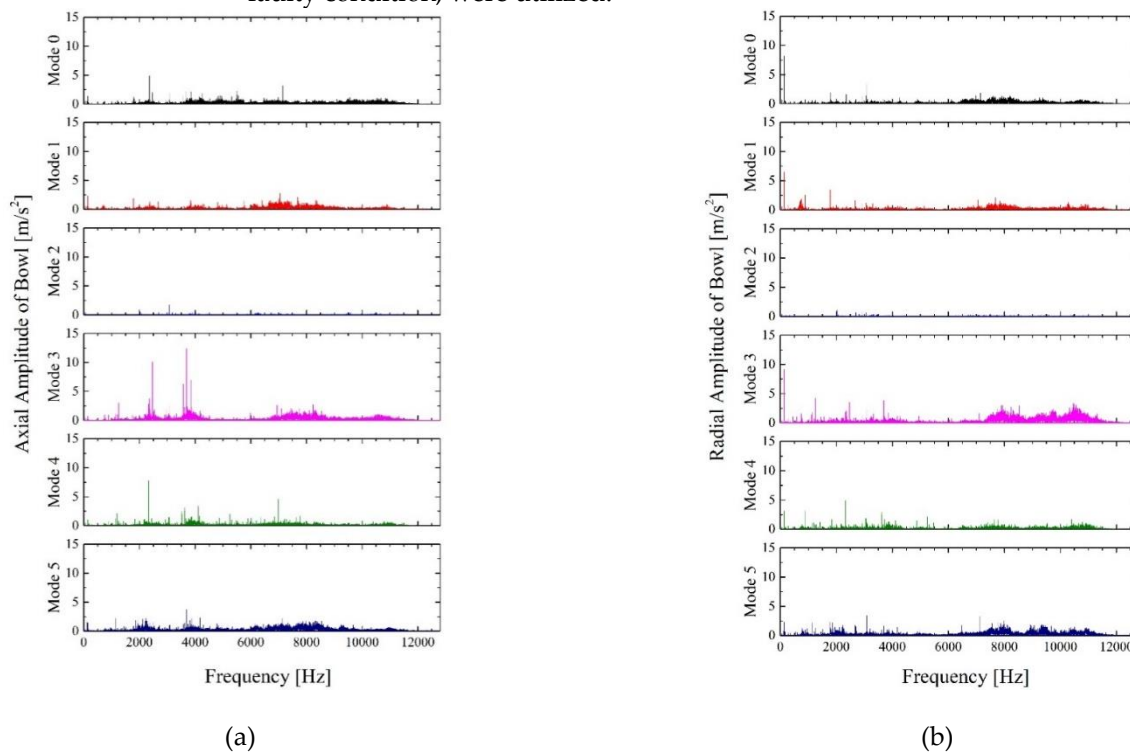


Figure 5. The FFT graph of the vibration from the oil purifier bowl with respect to the measurement direction, which indicates mode 0 to 5 from the top. (a) Radial vibration of the bowl; (b) Axial vibration of the bowl.

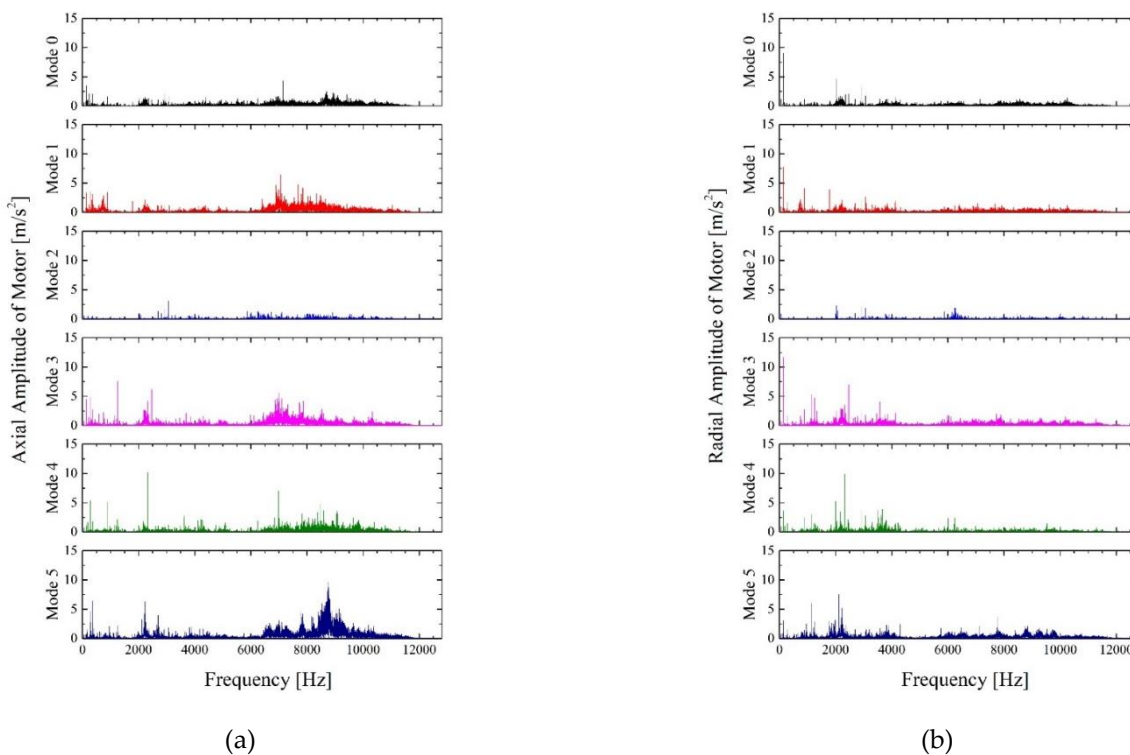


Figure 6. The FFT graph of the vibration from the oil purifier motor with respect to the measurement direction, which indicates mode 0 to 5 from the top. (a) Radial vibration of the bowl; (b) Axial vibration of the bowl.

3.2. Framework Organization for Fault Diagnosis

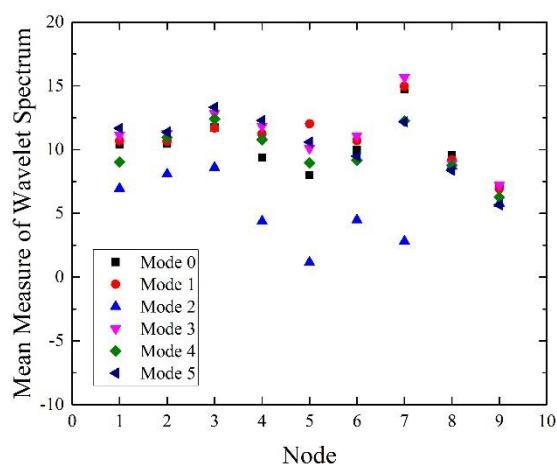
Since the vibration data selected as the monitoring factor was sampled at 25.6 [kHz] for 30 minutes, the size of the data was large, and it was not easy to analyze. Accordingly, a framework was constructed using feature extraction and machine learning-based diagnosis. First, in order to extract the features, the original signal was decomposed using discrete wavelet, and a few wavelet spectrum measures were calculated to extract the features. Second, for multi-class diagnosis, a support vector machine-based multi-classification model was trained. Finally, we evaluated the performance of the diagnosis model using *Accuracy* and *F₁ score*.

3.2.1. Feature Extraction using Discrete Wavelet Decomposition

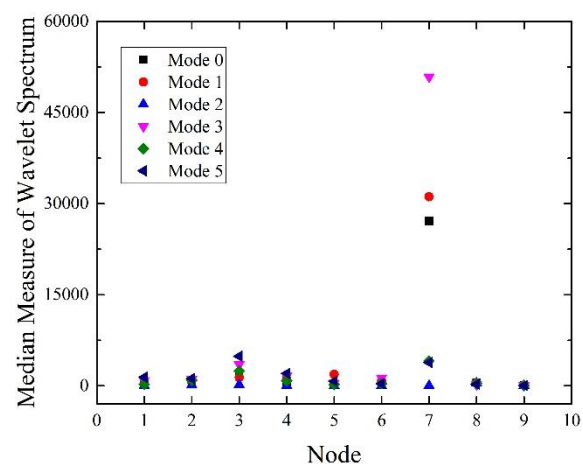
When the oil purifier is running, it takes about 10 minutes for the bowl to reach the target RPM. Since the raw signal was sampled for 30 minutes from the starting point of the operation, it includes both steady state and non-steady state. Therefore, vibration data between 10 and 30 minutes after the motor rotation speed reached a steady state was used. The vibration data was sampled at 25.6 [kHz], the truncated data was divided into 1 second frame data that can be analyzed at frequency up to 12.8 [kHz]. Then, WPT and DWT were performed with the divided data as input. The Daubechies function were used as a wavelet function. To determine the classification accuracy for degree of the decomposition, the signal was decomposed by changing the degree $j = 7, 8, 9$. At last, four wavelet spectrum measures in Table 1 were calculated.

The results of DWT $j = 8$ of the radial direction vibration of motor are shown in Figure 7, and Figure 8 shows the WPT $j = 8$ results. Regardless of the signal preprocessing method, Failure mode 2 was indicated clear differences unlike the rest of the modes. In the case of wavelet spectrum using DWT, slight differences were observed among the failure modes except the mode 2, in Figure 7 (a) and (c). In Figure 7 (b) and (d), significant differences by the failure mode were shown in the 3rd and 7th nodes, which had a frequency band of 100-200 [Hz] and 1600-3200 [Hz]. In the case of wavelet spectrum using WPT, in Figure 8 (b) and (d), it exhibits that there were significant differences near the 64th node as well as at the 3rd and 4th nodes. The 3rd and 4th nodes of WPT $j = 8$ had a frequency band of 100-150 [Hz] and 150-200 [Hz] which contained the dominant bowl frequency. However, the rest of the nodes had similar values.

In Figure 8, the nodes with significant differences were the same as the part with the frequency band amplified vibration, as seen in (b) and (d) of Figure 5 and Figure 6. It was confirmed that the WPT can get higher resolution and more frequency information than the DWT because it decomposes all frequency bands. However, except for few nodes that showed significant differences according to the failure mode, most of them had similar values, so it is hard to evaluate that the feature extraction was successful.



(a)



(b)

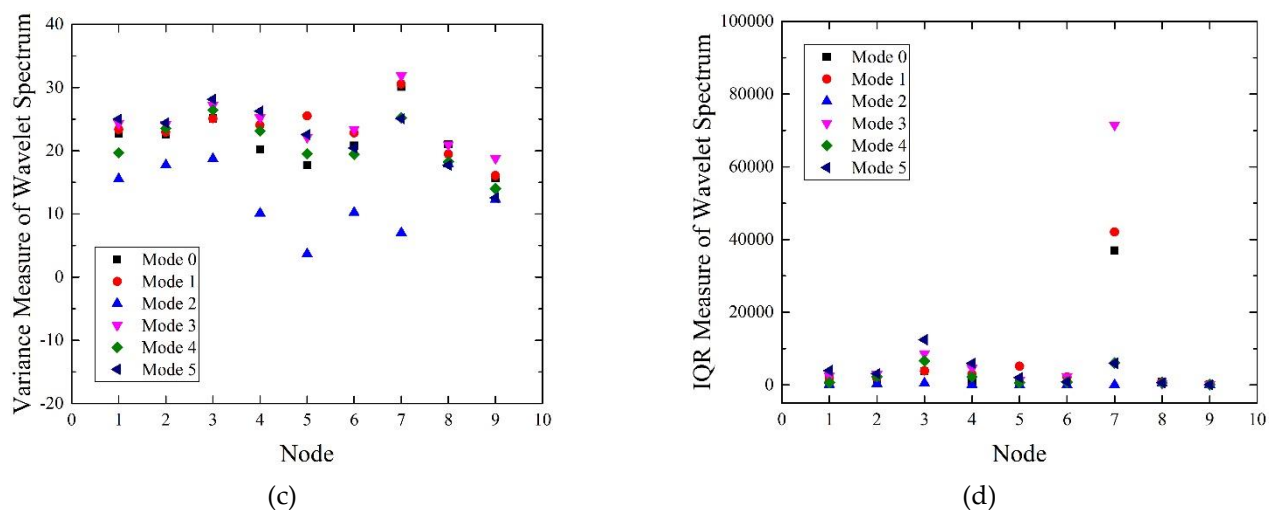


Figure 7. The wavelet spectrum measure of DWT $j=8$ with respect to the failure mode in the radial direction of the motor. (a) mean; (b) median; (c) variance; (d) IQR.

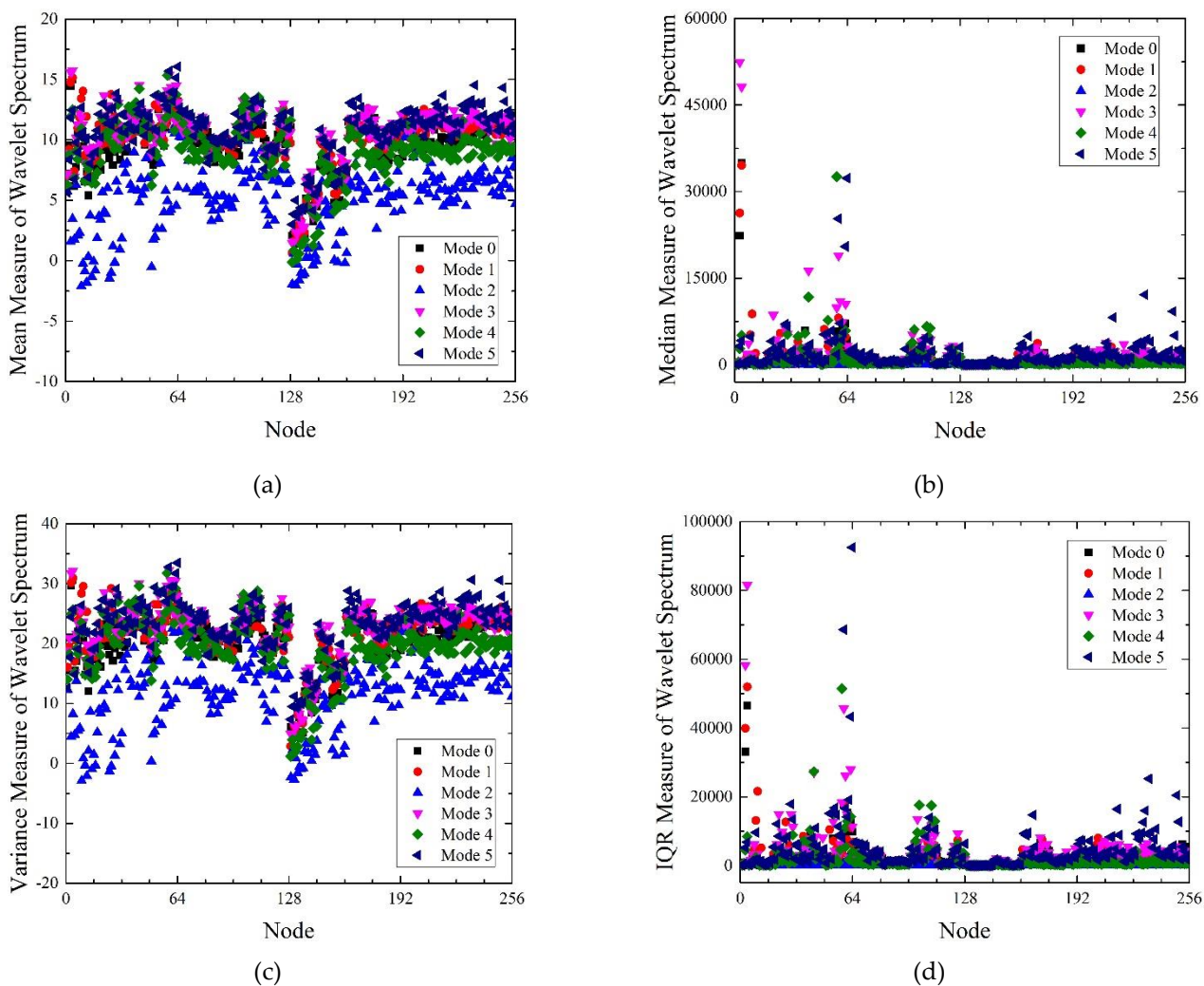


Figure 8. The wavelet spectrum measure of WPT $j=8$ with respect to the failure mode in the radial direction of the motor. (a) mean; (b) median; (c) variance; (d) IQR.

3.2.2. Feature Visualization using t-SNE

To evaluate the features extracted by the above process, t-SNE, one of the visualization methods, was used. The t-SNE results on four wavelet spectrum measures of the motor radial direction extracted through the DWT and the WPT are shown in Figure 9 and Figure 10. In Figure 9, when using the DWT, it is exhibited that median and IQR measures distinguished each failure mode well. However, it is observed that a single cluster was not formed as the decomposition level increases. Most of them were classified well, but the black data with the mode 0, meaning the normal condition, did not form a single cluster and spread at decomposition level $j = 7$ and $j = 8$.

When the WPT was used, it is confirmed that each mode formed a cluster well when using median and IQR measures in Figure 10. Similar to the t-SNE of DWT, it indicates that single cluster for each mode could not be formed as the decomposition level increased. Especially, the blue data with the mode 2, which means wear of the friction element, was widely distributed here. However, when the WPT was used, clusters were locally formed, unlike the DWT. The results of t-SNE describes that the more the low frequency band was decomposed, the more difficult it was to form a single cluster, and the more the high frequency band was decomposed, the more each cluster was localized.

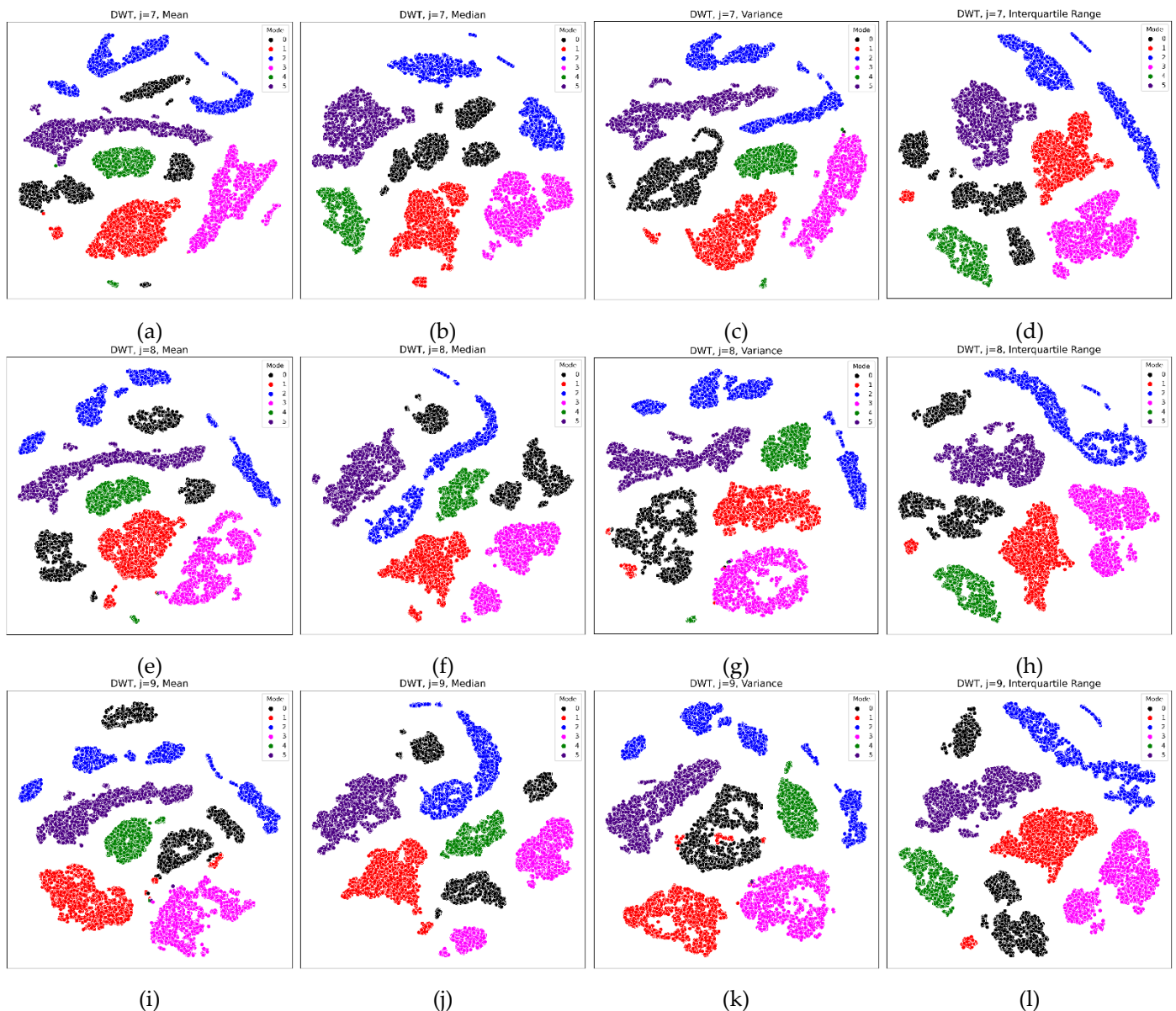


Figure 9. The t-SNE result of wavelet spectrum measures with respect to the decomposition level j based on the DWT. Wavelet spectrum measure varies in the row direction. (a),(e),(i) mean; (b),(f),(j)

median; (c),(g),(k) variance; (d),(h),(l) IQR. The decomposition level j increases in the column direction. (a)-(d) $j = 7$; (e)-(h) $j = 8$; (i)-(l) $j = 9$. The failure mode 0 to 5 are represented in black, red, blue, magenta, green, and indigo colors, respectively.

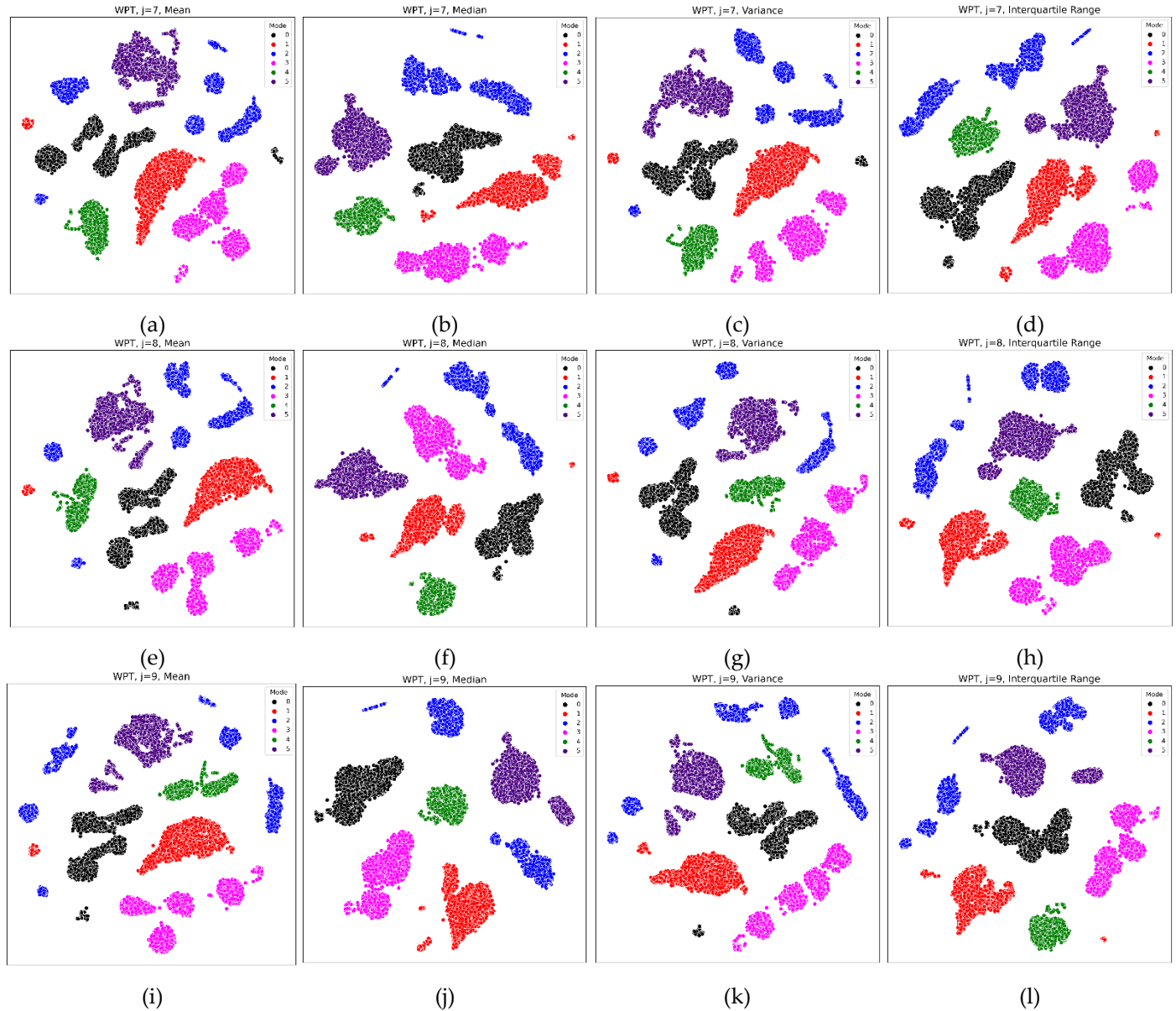


Figure 10. The t-SNE result of wavelet spectrum measures with respect to the decomposition level j based on the WPT. Wavelet spectrum measure varies in the row direction. (a),(e),(i) mean; (b),(f),(j) median; (c),(g),(k) variance; (d),(h),(l) IQR. The decomposition level j increases in the column direction. (a)-(d) $j = 7$; (e)-(h) $j = 8$; (i)-(l) $j = 9$. The failure mode 0 to 5 are represented in black, red, blue, magenta, green, and indigo colors, respectively.

3.2.3. Fault Diagnosis using OAO SVM

To take account of non-linearity included in data, the non-linear SVM using the RBF kernel was employed. In addition, the OAO SVM implemented by using Python *scikit-learn* package was adopted to classify the six classes. The learning parameters of the OAO SVM were fixed as $\gamma=0.1$ and $C=10$, so that we could evaluate the diagnostic performance of the model with respect to the different input data.

In terms of input data, features that increase the diagnostic accuracy of the entire class and features that increase the diagnostic accuracy of a specific class may be different

from each other. For this reason, the four wavelet spectrum measures of all nodes were used as input data, respectively, which mean a classification model was generated one by one for each type of wavelet decomposition and wavelet spectrum. The total data set consisted of 22,800 data, 13,200 data for training and 9,600 data for testing. Then, in the train set, it was divided again by 8:2, so that 10,560 data were used for training and 2,640 data were used for validation. At first, the model was trained by setting the number of iterations to 100, and then the change in accuracy on the validation set according to iteration was observed.

In Figure 11, with increasing iteration, it is observed the validation accuracy increased when each DWT-based wavelet spectrum measure of the radial vibration of the motor was used in training. Also, the validation accuracy of the model trained using that of the bowl data increased as Figure 12. When the radial vibration of the bowl was used, the validation accuracy improved more slowly than when the motor radial vibration was used. However, when each WPT-based wavelet spectrum measure was used, it shows that the validation accuracy did not increase when $j = 9$ or decreased gradually when $j = 7$ and $j = 8$, in (b) and (d) of Figure 11–Figure 12. Also, it is observed that the validation accuracy of the mean and variance measures based-model was higher than that of the median and IQR measures based-model. In the case of the mean and variance measures, the training was relatively well done because they had balanced slight differences in all nodes compared to the other measures-based models.

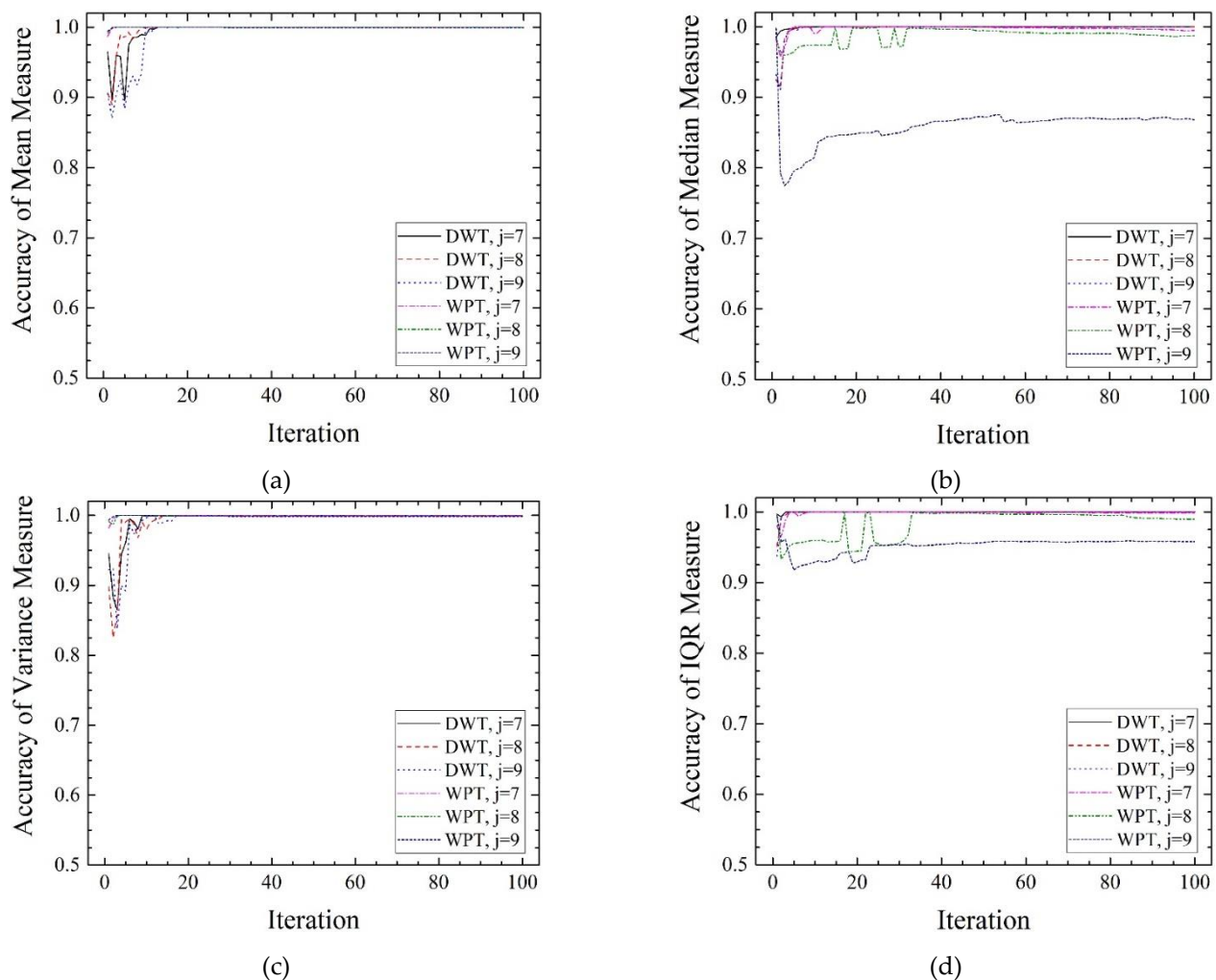


Figure 11. The validation accuracy of each wavelet spectrum measures of the motor radial vibration with increase of iterations with respect to the decomposition methods and decomposition levels. (a) mean; (b) median; (c) variance; (d) IQR.

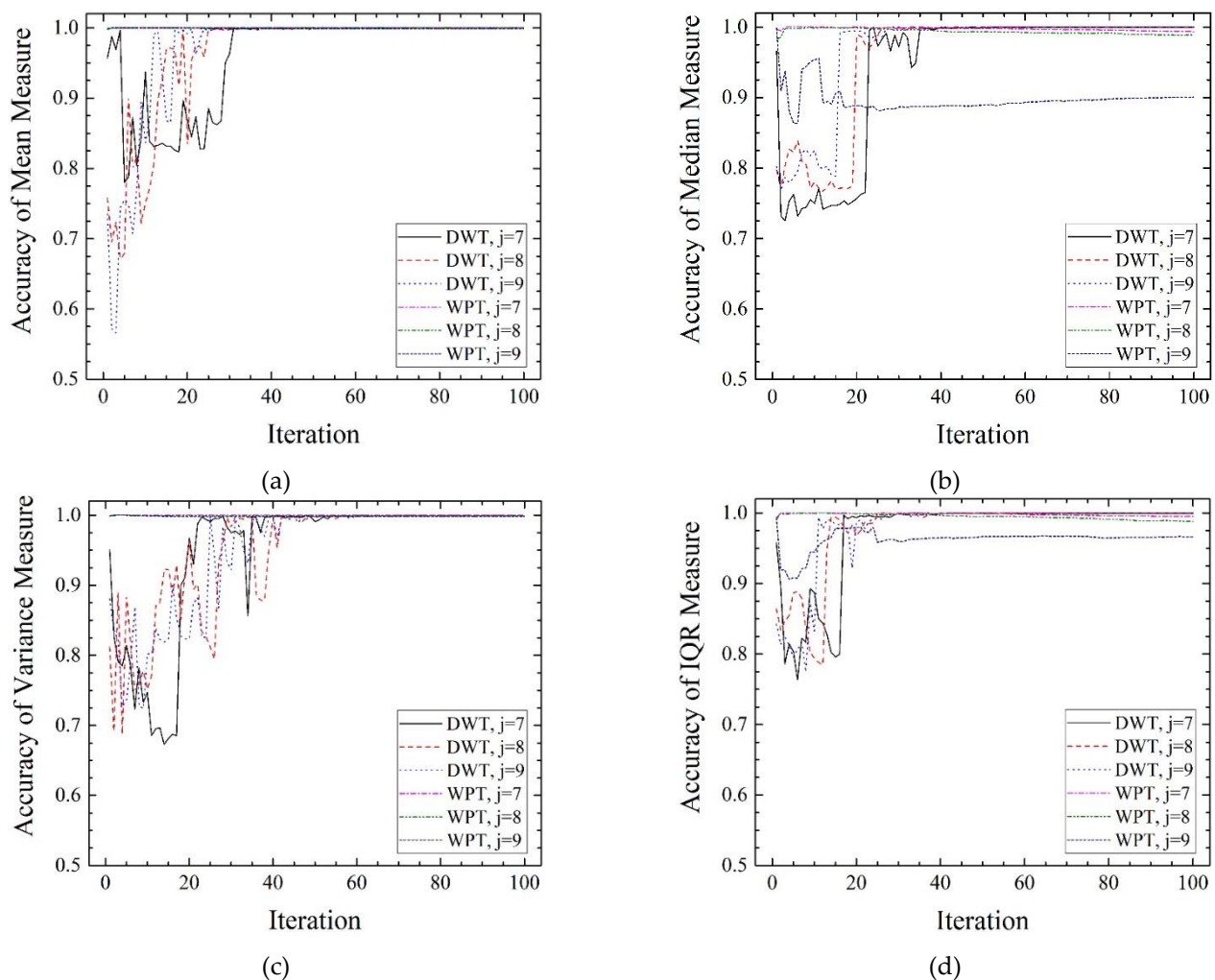


Figure 12. The validation accuracy of each wavelet spectrum measures of the bowl radial vibration with increase of iterations with respect to the decomposition methods and decomposition levels. (a) mean; (b) median; (c) variance; (d) IQR.

In Figure 11 and Figure 12, the validation accuracy for the radial vibration of the motor and the radial vibration of the bowl increased in different ways, but most of the models showed more than 90% of the diagnostic accuracy after 50 iterations. In terms of measurement position, these results suggest that both positions have useful features for fault diagnosis. In addition, when the WPT-based median and IQR measures were repeatedly trained 50 times or more, the result of the validation accuracy being the same or slightly lowered can be considered overfitting. It seems that as more signals were decomposed, the number of features irrelevant to classification increased, which reduced the explanatory power of the model at the same number of iterations.

3.2.4. Evaluation of Diagnosis Performance

In the previous results, when repeatedly training the OAO SVM model with WPT-based data about 50 iterations, it was observed that the validation accuracy decreased rather than increased. Thus, the number of the iteration was set to 50. Afterwards, *Accuracy* and *F₁ score* were used to evaluate the diagnostic performance of the trained model.

Table 3 shows the diagnostic performance of the OAO SVM model trained 50 times. When training with the DWT-based wavelet spectrum measures, *Accuracy* and *F₁ score* were more than 0.99 when $j=7$ and $j=8$. However, when the raw data were decomposed 9 times, *Accuracy* and *F₁ score* decreased. Additionally, as the decomposition level j increased, it is exhibited that the score of the axial direction data was lower than the score of the radial direction data. When training with the WPT-based wavelet spectrum

measures, it is remarkable that *Accuracy* and *F₁ score* scored 1.000 at $j = 7$. Also, they decreased sharply as the decomposition level increased, which can observe at WPT $j = 7$ and $j = 8$ in Table 3.

Considering the high diagnostic scores were obtained when the DWT-based decomposition used, both the motor and the bowl are suitable positions for diagnosing the faults of the oil purifier test-bed. In the terms of the diagnostic performance, the decrease in the *Accuracy* and *F₁ score* as the decomposition level increased may suspect overfitting. Especially, both methods further commonly decompose the low frequency band as the decomposition level increases. These represents that there were features correlated to the faults in the low frequency band. Therefore, it is presumed that further decomposition of the low frequency signal produced additional non-correlated features with the faults and reduced the diagnostic performance. Also, we confirmed the *Accuracy* and *F₁ score* decreases rapidly when WPT is used. Considering these results collectively, it can be inferred that the high-frequency band signals were relatively uncorrelated with the faults. Thus, using the WPT caused overfitting than using the DWT, which can be explained in the same context as forming localized clusters when using the WPT in t-SNE. It seems that all the data used for training clearly had significant differences, but most of them consisted of the information of the high frequency band, which led each model have reduced explanatory power.

Table 3. The *Accuracy* and *F₁ score* for performance evaluation of the trained OAO SVM model

Decomposition	Wavelet Spectrum	Bowl Axial dir.		Bowl Radial dir.		Motor Axial dir.		Motor Radial dir.	
Method	Measure	Accuracy	F ₁ score	Accuracy	F ₁ score	Accuracy	F ₁ score	Accuracy	F ₁ score
DWT	$j = 7$	Mean	0.9992	0.9992	0.9991	0.9991	0.9961	0.9961	0.9975
		Median	0.9979	0.9979	0.9997	0.9997	0.9929	0.9929	0.9950
		Variance	0.9993	0.9993	0.9994	0.9994	0.9998	0.9998	0.9990
		IQR	0.9973	0.9973	0.9996	0.9996	0.9999	0.9999	0.9954
	$j = 8$	Mean	0.9597	0.9573	0.9994	0.9994	0.9135	0.8967	0.9970
		Median	0.9983	0.9983	0.9999	0.9999	0.9878	0.9877	0.9947
		Variance	0.9979	0.9979	0.9994	0.9994	0.9083	0.8888	0.9984
		IQR	0.9976	0.9976	0.9995	0.9995	0.9988	0.9987	0.9953
	$j = 9$	Mean	0.8833	0.8742	0.9809	0.9812	0.8815	0.8391	0.9968
		Median	0.9975	0.9975	0.9997	0.9997	0.9896	0.9895	0.9947
		Variance	0.8820	0.8832	0.9961	0.9962	0.8961	0.8683	0.9980
		IQR	0.9989	0.9989	0.9994	0.9994	0.9977	0.9977	0.9954
WPT	$j = 7$	Mean	0.9658	0.9666	1.0000	1.0000	1.0000	1.0000	1.0000
		Median	0.9246	0.9296	0.9095	0.9039	0.9602	0.9599	0.9375
		Variance	0.8855	0.8865	1.0000	1.0000	0.9996	0.9996	0.9994
		IQR	0.9298	0.9342	0.8988	0.8891	0.9902	0.9903	0.9209
	$j = 8$	Mean	0.8610	0.8592	0.8748	0.8748	0.8761	0.8772	0.8767
		Median	0.8390	0.8511	0.8609	0.8424	0.9305	0.9341	0.8532
		Variance	0.8590	0.8574	0.8744	0.8746	0.9531	0.9547	0.8747
		IQR	0.7788	0.7927	0.8488	0.8186	0.9425	0.9459	0.7740
	$j = 9$	Mean	0.7495	0.7141	0.7833	0.7565	0.6547	0.6345	0.6890
		Median	0.8186	0.8371	0.7131	0.6765	0.8073	0.8173	0.6992
		Variance	0.7698	0.7363	0.8132	0.8006	0.7595	0.7553	0.7542
		IQR							

IQR	0.8447	0.8614	0.7485	0.7280	0.8103	0.8174	0.7267	0.7317
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4. Conclusions

As the 4th industrial revolution is in progress, MASS has been emerged in the ship-building and shipping industry. For the development of Degree 4 MASS, the self-diagnosis technique is essential, and CBM with PHM for facilities of ship engine system must be developed. In this article, we proposed a fault diagnosis framework using land-based fault simulation for the development of the CBM with PHM of an oil purifier, which is an auxiliary device for purifying oil in ships. In the first stage, we established the condition database of Alfa Laval S805 oil purifier. The land-based test-bed of the oil purifier for fault simulation was built, and the data about the operating state were acquired by simulating the faults. In the second stage, the framework for fault diagnosis was organized, which had the process of the feature extraction and fault diagnosis. First, raw signals were pre-processed by using the DWT and the WPT, which are decomposition methods enabling time-frequency analysis, and the features were extracted by calculating the wavelet spectrum. Next, the OAO SVM model was trained using the extracted features, and the diagnostic performance was evaluated with *Accuracy* and *F₁ score*.

In this study, we established the condition database by simulating the highly critical faults of the oil purifier, which can be used for developing CBM system of oil purifiers in real ships. In addition, using the proposed framework, it was confirmed that high accuracy diagnosis of 0.99 or more is possible by utilizing just one accelerometer and measurement direction. The DWT and the WPT were performed for extracting features within the framework, and when features were extracted by using the WPT, better resolution was provided compared to using the DWT. From Figure 7 to Figure 10, it was observed that median and IQR measures rather than mean and variance measures could confirm a significant difference according to the failure mode.

However, when the OAO SVM model was trained with the more decomposed features, we could confirm the diagnostic performance decreased. And these were observed with both methods. At this point, we can suspect the overfitting. When the decomposition level was increased, detailed information could be obtained. However, since most of the data were composed of the high frequency features that were not correlated with the faults and they were all used for training, so it seems that the explanatory power for the test data decreased. In this case, it is possible to increase the explanatory power of the model by selecting features or using low pass filters or band pass filters. Nevertheless, as suggested in this study, various research on utilizing and verifying all acquired signals are required to build a framework for fault diagnosis and prognosis based on the data-driven approach.

The framework proposed in here will be verified with data acquired from the real ship soon. After verifying and updating, it can be used for diagnosing faults of oil purifiers in real ships. Also, the established database can be utilized as source domain data or target domain data for another research. In the future, we will conduct research on prediction of a fault for a specific failure mode of oil purifiers using the test-bed manufactured in this study. Through a series of research, the safety and reliability of oil purifiers in real ships can be secured, and it can contribute to the development of Degree 4 MASS.

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