Better Chinese Word Segmentation via Dual Decomposition

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Abstract

There are two dominant approaches to Chinese word segmentation problem: word-based and character-based. They each have their own strengths and weaknesses. Word-based approaches are better at capturing longer context dependencies, and achieve higher segmentation consistency, whereas characterbased approaches model the internal structures of words and can capture more out-ofvocabulary words. It is an intuitive idea to find methods to combine these approaches. Past efforts in combing word and character-based segmenters involve either training many instances of segmenters and polling their results, or designing complex latent-variable methods that incur heavy computational costs. In this paper, we propose an effective joint decoding method using dual decomposition, which does not require any additional training. Our method is simple and easy to implement, and achieves best reported results on 6 out of 7 standard SIGHAN evaluation datasets.

1 Introduction

Chinese text is written without delimiters between words; as a result, Chinese word segmentation (CWS) is an essential foundational step for many tasks in Chinese natural language processing. As demonstrated by [cite, cite, cite - Pichuan, what else?], the quality and consistency of segmentation has important downstream impacts on system performance in machine translation, [and...].

State-of-the-art performance in CWS is high, with F-scores in the upper 90s. Still, challenges re-

main. Unknown words, also known as out-of-vocabulary (OOV) words, lead to difficulties for word- or dictionary-based approaches. Ambiguity can cause errors when the appropriate segmentation is determined contextually, such as 才能 ("talent") and 才/能 ("just able") (?).

There are two primary classes of models: character-based (?; ?; ?; ?) and word-based (?; ?), with corresponding advantages and disadvantages. (?) details their theoretical distinctions: character-based approaches better model the internal compositional structure of words and are therefore more effective at inducing new out-of-vocabulary words; word-based approaches are better at reproducing the words of the training lexicon and can capture information from significantly larger contextual spans. Prior work has shown performance gains from combining these two types of models to exploit their respective strengths, but such approaches are often complex to implement and computationally expensive.

In this work, we propose a simple and principled joint decoding method for combining character-based and word-based segmenters based on dual decomposition. This method has strong optimality guarantees and works very well empirically. It is easy to implement and does not require retraining of existing character- and word-based segmenters. Experimental results on standard SIGHAN 2003 and 2005 bake-off evaluations show that our model outperforms the character and word baselines by a significant margin. In particular, it improves OOV recall rates and segmentation consistency, and gives the best reported results to date on 6 out of 7 datasets.

2 Models for CWS

In this section, we describe the character-based and word-based models we use as baselines, and review existing approaches to combine these models.

2.1 Character-based Models

In the most commonly used contemporary approach to character-based segmentation, first proposed by (?), CWS is seen as a character sequence tagging task, where each character is tagged on whether it is at the beginning, middle, or end of a word. Conditional random fields (CRFs) are often used for this purpose (cite, cite, cite). In a CRF segmentation model, the probability of a label sequence is given by this equation:

Common linguistic features include character n-grams and morphological suffix/prefix features. Since these features capture information about the compositional properties of characters, they are likely to generalize well to unknown words.

2.2 Word-based Models

Initially word-based segmentation approaches employed simple heuristics like dictionary-lookup maximum matching (?), contemporary approaches use machine learning techniques to solve an argmax problem of the form:

The most successful such system reported to date is (?)'s Perceptron-based model, which uses a search-based discriminative decoder to solve the ...

2.3 Mixing Models

Since the two types of models described above have different strengths and make different kinds of errors, various mixing approaches have been proposed to combine them (?; ?; ?; ?).

- (?) and (?) both incorporate a character-based Maxent local classifier model with a language model that captures more word-level context. In order to bring in a bigram language model, (?) gave a heuristic decoding method that involves various forms of conditioning and back-off; (?) gave a modified Viterbi algorithm with a complexity of $O(T^3)$.
- (?) presented a latent-variable model which obtains good performance, but it is very complicated to implement and difficult to train. (?) use a method

most similar to this work in that they also directly combine two segmenters – one char-based and one word-based. However, they do it through segmenter bagging, which requires training 50 or more individual segmenters and polling their results at test time.

These mixing models perform well on standard datasets, but are not in wide use because of their high computational costs and difficulty of implementation.

3 Dual-Decomposition

Dual decomposition offers a ideal framework for combining these two sources of signals without incurring high cost in model complexity (in contrast to (?)) or decoding efficiency (in contrast to bagging in (?; ?)). DD has been successfully applied to similar situations where we want to combine local model with global models, for example, in dependency parsing (?)), bilingual sequence tagging (?) and word alignment (). Give a brief description of DD algorithm, focus on the intuition. See (?) and (?) for a good short introduction example. Refer users to (?) for a full tutorial on dual decomp. The modification to Viterbi decoding is exactly the same as in (?) and (?). The modification to the beamsearch is similar, each time we extend a hypothesis with a new character, depending if the new character is appended to the last word or starting a new word, the corresponding DD penalty is factored into the score for the new hypothesis.

4 Experiments

In this work, we employ two baseline models — a character-based CRF and a word-based perceptron — and test the performance of jointly decoding these baseline systems with dual decomposition.

For our character-based CRF, we use the open-source Stanford CRF segmenter described in (?).¹ In this system we use L2 regularization with a value of X and sigma set to 3.

For our word-based perceptron, we use a reimplementation of (?), run with 10 iterations of training.

For joint decoding with dual decomposition, we use an initial step size set to 0.1, and run for 100 iterations.

¹http://nlp.stanford.edu/software/segmenter.shtml

We use the same development set employed by (?), with Chinese Treebank sections 1-270, 400-931, and 1001-115 used as training data and sections 271-300 used as development data for tuning the hyperparameters of all three systems.

4.1 Datasets

Our test data is the standard SIGHAN 2003 (?) and 2005 (?) bake-off datasets. [Add a note here about why not using CTB - who figured out it was broken, how do we know not to use it?]

5 Results

Reformat the table so it fits in a single column. The OOV rates should be moved out of this table and put in the data description tables in Experiment section Dataset subsection.

6 Discussion

6.1 Dual decomposition convergence

Plot the histogram of the number of iterations it takes to converge, and percentage of optimality.

6.2 Consistency

(?) have shown that increased segmentation consistency is correlated with better machine translation performance. Following their method for calculating the conditional entropy of a segmentation system, we see in Table [insert table] that our dual decomposition method achieves the most consistent results on 6 out of 7 datasets.

Consistency Results, Sighan 2003:

as crf 0.0428091404101 as pct 0.0441475626702 as dd 0.042168035925 cityu crf 0.0946373294134 cityu pct 0.10025983369 cityu dd 0.0867128300158 ctb crf 0.174004652166 ctb pct 0.18632727645 ctb dd 0.165767655651 pku crf 0.0423983535204 pku pct 0.0543269973345 pku dd 0.045000565176

Consistency Results, Sighan 2005:

as crf 0.0718479895225 as pct 0.0681887138263 as dd 0.0624082516494 cityu crf 0.0660880615807 cityu pct 0.0742624297 cityu dd 0.0627869624389 msr crf 0.0755273737469 msr pct 0.064051889613 msr dd 0.0558050161967 pku crf 0.0892311495453 pku pct 0.0998921318846 pku dd 0.0868198134889

SIGHAN 2005

CRF		SIGHAN 2003						
AS			F_1	$R_{\rm oov}$	R_{iv}			
AS	AS	CRF	94.4	58.9	96.9			
AS		PCPT	95.4	69.5	97.0			
AS Zhang et al. 06		DD	95.4	67.7	97.2			
Zhang et al. 06		Best 05	95.2	69.6	96.3			
Sun et al. 09 Sun 10 Sun 10 95.2 CRF 94.9 PCPT 94.8 PCPT 94.8 76.7 95.2 DD 95.3 78.7 95.8 PS.0 63.6 97.2 Zhang et al. 06 2&C 07 Sun et al. 09 95.2 77.8 - Sun 10 95.2 CRF 94.3 PCPT 94.2 PCPT 94.2 PCPT 94.2 T1.7 DD 94.7 T5.3 96.5 PCPT 94.3 General of al. 06 PCPT 94.3 Best 05 PCPT 94.3 FORF PCPT 94.3 Best 05 Sun 10 95.1 CU CRF 94.6 Sun 10 94.6 CRF 96.5 Sun et al. 09 94.6 Sun 10 95.6 CRF PCPT 97.1 Sun et al. 09 97.4 PCPT 97.1 PCPT PCPT 97.1 PCPT PCPT 97.1 PCPT PCPT PCPT PCPT PCPT PCPT PCPT PC		Zhang et al. 06	94.7	-	-			
Sun 10 95.2 - - CRF 94.9 77.8 95.6 PCPT 94.8 76.7 95.2 DD 95.3 78.7 95.8 Best 05 95.0 63.6 97.2 Zhang et al. 06 94.5 - - Z&C 07 94.5 - - Sun et al. 09 95.2 77.8 - Sun 10 95.2 - - PCPT 94.3 76.1 96.2 PCPT 94.2 71.7 96.1 DD 94.7 75.3 96.5 Best 05 94.3 69.8 96.1 Z&C 07 95.1 - - Sun et al. 09 94.6 68.8 - Sun 10 95.6 - - CRF 96.5 71.3 97.1 PCPT 97.1 74.6 97.6 DD 97.4 76.0 97.9		Z&C 07	94.6	-	-			
CRF PCPT PCPT PU Best 05 Sun et al. 06 PCPT PCPT Sun et al. 09 Sun 10 CRF PCPT Sun et al. 06 PCPT PCPT PCPT Sun et al. 09 Sun 10 CRF PCPT PCPT PCPT PCPT PCPT PCPT Sun et al. 06 PCPT Sun et al. 06 PCPT PCPT PCPT PCPT PCPT PCPT PCPT PCP		Sun et al. 09	-	-	-			
PCPT 94.8 76.7 95.2 DD 95.3 78.7 95.8 Best 05 95.0 63.6 97.2 Zhang et al. 06 94.5 2 Sun et al. 09 95.2 77.8 - Sun 10 95.2 CRF 94.3 76.1 96.2 PCPT 94.2 71.7 96.1 DD 94.7 75.3 96.5 Zhang et al. 06 94.6 2 Sun et al. 09 95.6 CRF PCPT 94.6 68.8 - Sun 10 95.6 CRF PCPT 97.1 74.6 97.6 DD 97.4 76.0 97.9 MS Best 05 Zhang et al. 06 96.4 2 Sun et al. 09 97.2 - Sun et al. 09 97.2 - Sun et al. 09 97.2 - Sun et al. 09 97.3 72.2 - Sun et al. 09 97.3 72.2 - Sun et al. 09 97.3 72.2		Sun 10	95.2	-	-			
PU	PU	CRF	94.9	77.8	95.6			
PU		PCPT	94.8	76.7	95.2			
Thang et al. 06 94.5 - - Z&C 07 94.5 - - Sun et al. 09 95.2 77.8 - Sun 10 95.2 - CRF 94.3 76.1 96.2 PCPT 94.2 71.7 96.1 DD 94.7 75.3 96.5 Best 05 94.3 69.8 96.1 Zhang et al. 06 94.6 - Sun et al. 09 94.6 68.8 - Sun 10 95.6 - CRF 96.5 71.3 97.1 PCPT 97.1 74.6 97.6 DD 97.4 76.0 97.9 MS Best 05 96.4 71.7 96.8 Zhang et al. 06 96.4 - Sun et al. 09 97.3 72.2 - Sun et al. 09 97.3 72.2 -		DD	95.3	78.7	95.8			
Zhang et al. 06 94.5 - - Z&C 07 94.5 - - Sun et al. 09 95.2 77.8 - Sun 10 95.2 - - CRF 94.3 76.1 96.2 PCPT 94.2 71.7 96.1 DD 94.7 75.3 96.5 Best 05 94.3 69.8 96.1 Zhang et al. 06 94.6 - - Sun et al. 09 94.6 68.8 - Sun 10 95.6 - - CRF 96.5 71.3 97.1 PCPT 97.1 74.6 97.6 DD 97.4 76.0 97.9 MS Best 05 96.4 71.7 96.8 Zhang et al. 06 96.4 - - Sun et al. 09 97.3 72.2 - Sun et al. 09 97.3 72.2 -		Best 05	95.0	63.6	97.2			
Sun et al. 09 Sun 10 95.2 77.8 - Sun 10 95.2 - CRF 94.3 76.1 96.2 PCPT 94.2 71.7 96.1 DD 94.7 75.3 96.5 Best 05 Zhang et al. 06 Sun 10 95.6 - CRF 96.5 Sun 10 95.6 - CRF PCPT 97.1 74.6 97.6 DD 97.4 76.0 97.9 Best 05 Zhang et al. 06 96.4 - Z&C 07 Sun et al. 09 97.2 - Sun et al. 09 97.3 72.2 -		Zhang et al. 06	94.5	-	-			
Sun 10 95.2 - - CRF 94.3 76.1 96.2 PCPT 94.2 71.7 96.1 DD 94.7 75.3 96.5 Sun get al. 06 94.6 - - Z&C 07 95.1 - - Sun et al. 09 94.6 68.8 - Sun 10 95.6 - - PCPT 97.1 74.6 97.6 DD 97.4 76.0 97.9 MS Best 05 96.4 71.7 96.8 Zhang et al. 06 96.4 - - - Z&C 07 97.2 - - - Sun et al. 09 97.3 72.2 - -		Z&C 07	94.5	-	-			
CRF 94.3 76.1 96.2 PCPT 94.2 71.7 96.1 DD 94.7 75.3 96.5 Best 05		Sun et al. 09	95.2	77.8	-			
CU Best 05		Sun 10	95.2	-	-			
CU Best 05 94.3 69.8 96.1 Zhang et al. 06 94.6 2		CRF	94.3	76.1	96.2			
CU Best 05 Zhang et al. 06 Z&C 07 Sun et al. 09 94.6 Sun 10 95.6 CRF PCPT 97.1 PCPT 97.1 PCPT 97.1 PCPT 97.4 PCPT 97.2 PCPT PCPT 97.3 PCPT PCPT 97.4 PCPT PCPT PCPT PCPT PCPT PCPT PCPT PCP	CU	PCPT	94.2	71.7	96.1			
CU Zhang et al. 06 94.6 - - Z&C 07 95.1 - - Sun et al. 09 94.6 68.8 - Sun 10 95.6 - - CRF 96.5 71.3 97.1 PCPT 97.1 74.6 97.6 DD 97.4 76.0 97.9 MS Best 05 96.4 71.7 96.8 Zhang et al. 06 96.4 - - Z&C 07 97.2 - - Sun et al. 09 97.3 72.2 -		DD	94.7	75.3	96.5			
Zhang et al. 06		Best 05	94.3	69.8	96.1			
Sun et al. 09 94.6 68.8 - Sun 10 95.6 - CRF 96.5 71.3 97.1 PCPT 97.1 74.6 97.6 DD 97.4 76.0 97.9 Best 05 96.4 71.7 96.8 Zhang et al. 06 96.4 - Z&C 07 97.2 - Sun et al. 09 97.3 72.2 -			94.6	-	-			
MS Sun 10 95.6 - -		Z&C 07	95.1	-	-			
MS CRF 96.5 71.3 97.1 PCPT 97.1 74.6 97.6 DD 97.4 76.0 97.9 Best 05 96.4 71.7 96.8 Zhang et al. 06 96.4 - - Z&C 07 97.2 - Sun et al. 09 97.3 72.2 -		Sun et al. 09	94.6	68.8	-			
MS PCPT 97.1 74.6 97.6 DD 97.4 76.0 97.9		Sun 10	95.6	-	-			
MS DD 97.4 76.0 97.9	MS	CRF	96.5	71.3	97.1			
MS Best 05		PCPT	97.1		97.6			
MS Zhang et al. 06 96.4 - -		DD	97.4		97.9			
Zhang et al. 06 96.4 - - -		Best 05	96.4	71.7	96.8			
Sun et al. 09 97.3 72.2 -			96.4	-	-			
		Z&C 07	97.2	-	-			
Sun 10 96.9		Sun et al. 09	97.3	72.2	-			
		Sun 10	96.9					

SIGHAN 2003

AS	CRF	96.9	74.8	97.4
	PCPT	96.7	72.9	97.2
	DD	97.1	77.5	97.5
	Best 03	96.1	36.4	98.0
	Peng et al. 04	95.6	-	-
	Z&C 07	96.5	-	-
PU	CRF	95.3	80.3	96.5
	PCPT	95.0	79.0	96.0
	DD	95.4	80.6	96.5
	Best 03	95.1	72.4	97.9
	Peng et al. 04	94.1	-	-
	Z&C 07	94.0	-	-
CU	CRF	94.1	74.1	95.9
	PCPT	94.5	73.0	96.0
	DD	94.9	75.4	96.5
	Best 03	94.0	62.5	97.2
	Peng et al. 04	92.8	-	-
	Z&C 07	94.6	-	-

Table 1: Results on SIGHAN 2005 and 2003 datasets.

6.3 Oracle

Following (?), we run an oracle experiment to estimate the upper bound of improvement possible via system combination to further contextualize our results.

To do so, we combine our two baselines with the gold-standard segmentation. Each character in the test set is labeled with three B or I tags, B when it begins a word and I when it is word-medial or word-final, according to our two baselines and the gold standard. We then create oracle labels by majority vote: if the baselines agree, their label is used; if they disagree, the gold label is used.

The results of this oracle experiment, shown in Table [insert table], show that [what do they show? DD approaches the upper bound possible?]

Scoring Oracle Output, Sighan 2003 for as sighan2003/as.oracle 75 84 783 942 11989 11980 0.928 0.928 0.928 0.022 0.810 0.930 for cityu sighan2003/cityu.oracle 402 333 2364 3099 35087 35156 0.923 0.921 0.922 0.071 0.824 0.931 for ctb sighan2003/ctb.oracle 920 1005 4490 6415 39921 39836 0.862 0.864 0.863 0.181 0.773 0.882 for pku sighan2003/pku.oracle 186 187 1593 1966 17194 17193 0.896 0.897 0.897 0.069 0.834 0.901

Scoring Oracle Output, Sighan 2003 for as sighan2005/as.oracle 1855 726 9980 12561 122610 123739 0.913 0.904 0.908 0.043 0.722 0.921 for cityu sighan2005/cityu.oracle 577 371 3038 3986 40936 41142 0.917 0.912 0.914 0.074 0.817 0.925 for msr // currently broken sighan2005/msr.oracle 81 107 1066 1254 10987 10961 0.893 0.895 0.894 0.025 0.584 0.901 for pku sighan2005/pku.oracle 800 1661 9646 12107 104372 103511 0.892 0.899 0.895 0.058 0.815 0.896

6.4 Error analysis

Maybe look at cases where the model choose the worse of the two instead of the better of the two. See if there are patterns or insights we can draw. Not very important for a short paper.

some errors where DD picks the wrong model: gold: 有亭台流水 CRF:亭台;—DD PCT:亭台

gold: 大模 海空 合 演 CRF: 大模 海 空 合 演 ;--DD PCT: 大模 海空 合 演

gold: 在 李屋 附近 CRF: 在 李屋 附近 PCT: 在 李屋 附近 ;- DD gold: 父笑 CRF: 父笑 PCT: 父笑 ;- DD gold: 天 冷大 CRF: 天 冷大 PCT: 天 冷大 j- DD

7 Conclusion

In this paper we presented a straightforward approach to Chinese word segmentation using dual decomposition for system combination. We demonstrated that this method allows for joint decoding of existing CWS systems that performs better than either system alone on standard datasets for the task, and

We also demonstrated that our joint system produces more consistent segmentations than our baselines, a property that has potentially important downstream impacts for many Chinese NLP tasks. It remains to