

# Prob 1

## String Matching

1. input:
  - a string to match of size  $m$
  - a string ~~at~~ to search of size  $n$
  - an alphabet of characters  $\Sigma$  e.g.  $\{\Sigma = \{1, 0\}, \Sigma = \{1, 2, a, b, \dots\}\}$

## 2. Output:

- true or false, string found
- if true, index of string

## 3. Methods:

- Naive approach, going through every index of the searchable text and checking for pattern match
- All other approaches use pre-processing to make more efficient search
  - Finite state automaton
  - Rabin-Karp
  - Knuth-Morris-Pratt
  - Boyer-Moore

## 4. Importance

- closely related to theory of computing (finite state automata)
- used in all search applications
- important in DNA sequencing

## 5. I like the problem for its simplicity and its multitude of complex solutions

## 6. Measure the size

- $m$  for number of characters in pattern
- $n$  for size of searchable text
- $|\Sigma|$  for number of characters in alphabet

Here is the ordering, where functions on the same line are in the same equivalence class, and those higher on the page are  $\Omega$  of those below them:

$2^{2^n}$	
$2^{2^n}$	
$(n+1)!$	
$n!$	see justification 7
$e^n$	see justification 1
$n \cdot 2^n$	
$2^n$	
$(3/2)^n$	
$(\lg n)^{\lg n} = n^{\lg \lg n}$	see identity 1
$(\lg n)!$	see justifications 2, 8
$n^3$	
$n^2 = 4^{\lg n}$	see identity 2
$n \lg n$ and $\lg(n!)$	see justification 6
$n = 2^{\lg n}$	see identity 3
$(\sqrt{2})^{\lg n} (= \sqrt{n})$	see identity 6, justification 3
$2^{\sqrt{2 \lg n}}$	see identity 5, justification 4
$\lg^2 n$	
$\ln n$	
$\sqrt{\lg n}$	
$\ln \ln n$	see justification 5
$2^{\lg^* n}$	
$\lg^* n$ and $\lg^*(\lg n)$	see identity 7
$\lg(\lg^* n)$	
$n^{1/\lg n} (= 2)$ and 1	see identity 4

Much of the ranking is based on the following properties:

- Exponential functions grow faster than polynomial functions, which grow faster than polylogarithmic functions.
- The base of a logarithm doesn't matter asymptotically, but the base of an exponential and the degree of a polynomial do matter.

We have the following *identities*:

- $(\lg n)^{\lg n} = n^{\lg \lg n}$  because  $a^{\log_b c} = c^{\log_b a}$ .
- $4^{\lg n} = n^2$  because  $a^{\log_b c} = c^{\log_b a}$ .
- $2^{\lg n} = n$ .
- $2 = n^{1/\lg n}$  by raising identity 3 to the power  $1/\lg n$ .
- $2^{\sqrt{2 \lg n}} = n^{\sqrt{2 \lg n}}$  by raising identity 4 to the power  $\sqrt{2 \lg n}$ .
- $(\sqrt{2})^{\lg n} = \sqrt{n}$  because  $(\sqrt{2})^{\lg n} = 2^{(1/2)\lg n} = 2^{\lg \sqrt{n}} = \sqrt{n}$ .
- $\lg^*(\lg n) = (\lg^* n) - 1$ .

The following *justifications* explain some of the rankings:

- $e^n = 2^n (e/2)^n = \omega(n2^n)$ , since  $(e/2)^n = \omega(n)$ .
- $(\lg n)! = \omega(n^3)$  by taking logs:  $\lg(\lg n)! = \Theta(\lg n \lg \lg n)$  by Stirling's approximation,  $\lg(n^3) = 3 \lg n$ .  $\lg \lg n = \omega(3)$ .
- $(\sqrt{2})^{\lg n} = \omega(2^{\sqrt{2 \lg n}})$  by taking logs:  $\lg(\sqrt{2})^{\lg n} = (1/2) \lg n$ ,  $\lg 2^{\sqrt{2 \lg n}} = \sqrt{2 \lg n}$ .  $(1/2) \lg n = \omega(\sqrt{2 \lg n})$ .
- $2^{\sqrt{2 \lg n}} = \omega(\lg^2 n)$  by taking logs:  $\lg 2^{\sqrt{2 \lg n}} = \sqrt{2 \lg n}$ ,  $\lg \lg^2 n = 2 \lg \lg n$ .  $\sqrt{2 \lg n} = \omega(2 \lg \lg n)$ .
- $\ln \ln n = \omega(2^{\lg^* n})$  by taking logs:  $\lg 2^{\lg^* n} = \lg^* n$ .  $\lg \ln \ln n = \omega(\lg^* n)$ .
- $\lg(n!) = \Theta(n \lg n)$  (equation (3.19)).
- $n! = \Theta(n^{n+1/2} e^{-n})$  by dropping constants and low-order terms in equation (3.18).
- $(\lg n)! = \Theta((\lg n)^{\lg n + 1/2} e^{-\lg n})$  by substituting  $\lg n$  for  $n$  in the previous justification.  $(\lg n)! = \Theta((\lg n)^{\lg n + 1/2} n^{-\lg e})$  because  $a^{\log_b c} = c^{\log_b a}$

Problem 3

1.  $O(n^b) = \left(\frac{n}{a} + c\right)^b$  for constants  $a > 0, b > 0$  and  $c$   
 $f(n) = \left(\frac{n}{a} + c\right)^b = \left(\frac{n}{a}\right)^b (n+ac)^b = k(n+ac)^b$  where  $k$  is constant  
 $g(n) = n^b$

without loss of generality, suppose there is a  $c_0$  and  $n=n_0$   
where  $f(n_0) \leq c_0 g(n_0) \Rightarrow k(n+ac)^b \leq c_0 n^b$  for  $n=n_0$

Then there exists  ~~$n_0$~~   $n_1 = n$  that is sufficiently large  
that makes the inequality false. ~~This contradicts~~  
~~the definition of big-O so the statement of~~ Therefore,  
~~the~~  $O(n^b) = \left(\frac{n}{a} + c\right)^b$  is ~~false~~ <sup>true</sup>.

2.  $T(n) = 4T\left(\frac{n}{2}\right) + n^2$

using the master theorem on p. 94, using case 2  
with  $a=4$  &  $b=2$  for  $f(n) = \Theta(n^{\log_2 4})$   
we find  $T(n) = \Theta(n^2 \log n)$ .

(c)

3.  $T(n) = T(n-1) + \frac{1}{2^n}$

$$T(n) = \underbrace{\frac{1}{2^n} + \frac{1}{2^{n-1}} + \frac{1}{2^{n-2}} + \dots + \frac{1}{2^2} + \frac{1}{2^1}}$$

This value approaches 1

$$\frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \frac{1}{16} + \dots \Rightarrow 1$$

so,  $T(n) = \Theta(1)$

(d)

## Problem 4

We have  $n$  students and  $m$  hospitals. Each hospital  $m_i$  has  $k_i$  open positions to fill. The total # of positions is  $\sum_{i=1}^m k_i$ . We propose the following algorithm to fill each  $p_j$  position.

### ALGORITHM

While there exists an unfilled position  $p_j$  {  
The hospital  $m_i$  that contains  $p_j$ 's selects the highest ranked student  $s$  on  $m_i$ 's list that has not already been proposed to

If  $s$  is free {  
add student  $s$  to position  $p_j$   
} else // student already engaged {  
if student  $s$  prefers  $p_j$  to its already engaged job  $p'_j$ , add student  $s$  to  $p_j$  and remove from  $p'_j$

}

PROOF OF STABILITY  
Since there are  $m$  hospitals and each hospital has a list of  $n$  students, and at worst case, each hospital will go through all of them, the complexity is  $O(mn)$ .

Instability 1 cannot occur because if  $h$  prefers  $s'$  to  $s$ ,  $h$  would have proposed to  $s'$  first. Since once a student has a position, it will never be free again,  $h$  must never have proposed to  $s'$ . So this is a contradiction.

Instability 2 cannot occur because if  $h$  preferred  $s'$  to  $s$ ,  $h$  would have proposed to  $s'$  first.  $s'$  also would have withdrawn from  $h$  to go to  $h'$ , so  $s'$  would prefer  $h'$  to  $h$ . Therefore, the instability cannot happen.

## Problem 5

For the algorithm, we use the greedy approach and always merge the two smallest files.

### ALGORITHM

Given a sorted list of  $n$  files

while there is more than one file

merge the two shortest files  $f_i$  and  $f_j$  and put the result  $f'$  back into the list sorted, removing  $f_i$  and  $f_j$

### RUNNING TIME

The time to initially sort the files is  $4n \log n$ . The time to find the two smallest files is 2. The time to insert a new file into the sorted list is  $O(\log n)$ . For a list of  $n$  files there will be exactly  $n-1$  merge actions or  $O(n)$ .

The sum of the file sizes is  $\sum_{i=1}^n L_i = N$ . The time for a merge action in the worst case is  $O(N)$ .

Neglecting the running time of a merge action, the running time of the algorithm is  ~~$O(n \log n)$~~ , dominated by the pre-processing of the sorted list. If the merge action is not constant, then the algorithm is likely to be  $O(n(p))$  where  $O(p)$  is some complexity or  $O$  function of the merge size.

### PROOF OF CORRECTNESS

We notice that the cost function only has a constant modifier and does not affect the decision of finding the optimal merge order. We also recognize this problem maps to the problem of finding the optimal Huffman codes where each file  $f_i$  represents a character  $c_i$  and the character's frequency corresponds to the file's size. Using the proof from the book, Theorem 16.4, we see that the greedy approach is optimal.