

# AdamNX: An Adam improvement algorithm based on a novel exponential decay mechanism for the second-order moment estimate

Meng Zhu<sup>a</sup>, Quan Xiao<sup>a</sup>, Weidong Min<sup>b,c,d,\*</sup>

<sup>a</sup>*School of Information Management and Mathematics, Jiangxi University of Finance and Economics, Nanchang 330032, China*

<sup>b</sup>*School of Mathematics and Computer Science, Nanchang University, Nanchang 330031, China*

<sup>c</sup>*Institute of Metaverse, Nanchang University, Nanchang 330031, China*

<sup>d</sup>*Jiangxi Provincial Key Laboratory of Virtual Reality, Nanchang 330031, China*

## Abstract

Since the 21st century, artificial intelligence has been leading a new round of industrial revolution. Under the training framework, the optimization algorithm aims to stably converge high-dimensional optimization to local and even global minima. Entering the era of large language models, although the scale of model parameters and data has increased, Adam remains the mainstream optimization algorithm. However, compared with stochastic gradient descent (SGD) based optimization algorithms, Adam is more likely to converge to non-flat minima. To address this issue, the AdamNX algorithm is proposed. Its core innovation lies in the proposition of a novel type of second-order moment estimation exponential decay rate, which gradually weakens the learning step correction strength as training progresses, and degrades to momentum SGD in the stable training period, thereby improving the stability of training in the stable period and possibly enhancing generalization ability. Experimental results show that our second-order moment estimation exponential decay rate is better than the current second-order moment estimation exponential decay rate, and AdamNX can stably outperform Adam and its variants in terms of performance. Our code is open-sourced at <https://github.com/mengzhu0308/AdamNX>.

**Keywords:** Optimization algorithms, Adam, SGD, AdamNX, Exponential decay rate

\*Corresponding author

Email address: minweidong@ncu.edu.cn (Weidong Min)

of second-order moment estimate.

---

## 1. Introduction

In the 18th century, Watt’s improvement of the steam engine, which converted thermal energy into mechanical energy, propelled the first Industrial Revolution. In the 21st century, models are trained using optimization algorithms such as Stochastic Gradient Descent (SGD) [1], Adam [2], and AdamW [3] running on GPU clusters, converting electrical energy into learnable model parameters and triggering industrial applications of Artificial Intelligence (AI) in various fields. The two technological development paths are similar in the logic of “energy—control—scale”: the steam age relied on governors to stabilize mechanical rotation speed, while the AI age relies on optimization algorithms to minimize loss functions. Optimization algorithms are not only key technologies for improving model convergence efficiency but also the “governor” of the intelligent revolution, with profound significance for productivity enhancement and industrial transformation. Specifically, the significance of optimization algorithm research can be summarized in the following four aspects. (1) Computational power multiplier. Under the same hardware budget, improvements in optimization algorithms can effectively reduce the number of convergence steps, which has a leveraged effect on the annual capital expenditure of hundreds of billions of dollars for Internet Data Centers. (2) Energy and carbon reduction. Meta’s 2023 technical report pointed out that through a series of training and system engineering improvements (including optimization algorithms, parallel strategies, data allocation adjustments, and *etc.*), the total carbon emissions for the pretraining of LLaMA 2-70B [4] were estimated to be 539 tCO<sub>2</sub>e, achieving significant efficiency improvements compared with earlier models of the same scale. (3) Accelerator for scientific discovery. AlphaFold2 [5] approximates experimental resolution without manual constraints through an end-to-end differentiable geometric optimization framework. Large-scale non-convex optimization is also relied upon in material design [6] and controllable nuclear fusion simulation [7]. 4) Economic paradigm upgrade. Model-as-a-Service regards the optimization algorithm as a “production tool” and the efficiency of the algorithm directly affects API pricing

power and the distribution of the industrial profit pool.

Table 1 chronologically outlines the milestones of optimization algorithms in deep learning. In 1986, Rumelhart *et al.* [1] first proposed an efficient algorithm to calculate the exact gradient of all weights in a multilayer network on the full dataset in one go. For large-scale datasets, the cost of iterating once with gradient descent (GD) is too high, *i.e.*, it requires too much computational resources. To address this issue, they also proposed the SGD algorithm at the same time, which randomly draws a small batch of data from the training dataset to calculate the gradient and update the model parameters. However, there are three problems for SGD: (1) Lack of “momentum”—slow convergence. Each step is taken only in the direction of the current gradient, which easily causes oscillation back and forth on the narrow “valley” shaped surface, resulting in more convergence steps. (2) Unequal learning step sizes for different parameters. When encountering sparse features or scenarios with large gradient scale differences, the direction with large gradient oscillates violently, while the direction with small gradient is almost not updated. (3) Sensitive to learning rates. A slightly larger learning rate can easily cause divergence, while a slightly smaller one can easily cause stagnation. To address problems 1 and 3 of SGD, Sutskever *et al.* [8] introduced momentum into SGD, using “inertia” to suppress oscillations and accelerate traversal through flat areas, thus making it more robust to learning rates. To address problems 2 and 3 of SGD, Tieleman *et al.* [9] proposed adaptive step sizes to combat sparse features or scenarios with large gradient scale differences, thus making it more robust to learning rates. However, both momentum SGD and RMSProp algorithms only solved partial problems in SGD, but did not simultaneously obtain the advantages of both. Therefore, Adam [2] combined momentum and adaptive learning step size, and made it more robust to learning rates through unbiased estimate, *i.e.*, bias correction. To address the problems of Adam having to cache two additional sets of parameters and high computational complexity, Chen *et al.* [10] proposed the EvoLved Sign Momentum (Lion) algorithm. Compared with Adam, Lion has lower computational complexity and is more memory-efficient. Recently, Liu *et al.* [11] proposed the Sophia algorithm, which introduces a lightweight Hessian diagonal estimate as a preconditioner and combines a clipping mechanism to control the update step size, making the pretraining speed of

language models twice as fast as Adam and reducing the cost by half. Jordan *et al.* [12] proposed the MomentUm Orthogonalized by Newton-schulz (Muon) algorithm, which is specially customized for matrix parameters.

Table 1: Key nodes and iterations of optimization algorithms in deep learning

Year	denoteative algorithms	Core innovations	Main limitations
1986	GD [1]	Full-data gradient, theoretically stable	The cost of iterating once on large-scale datasets is too high
1986	SGD [1]	Random batch samples for approximation, computationally efficient	(1) Lack of “momentum”—slow convergence. (2) Unequal learning step sizes for different parameters. (3) Sensitive to the learning rate
2013	momentum SGD [8]	Momentum accumulation, suppression of oscillations, and acceleration of convergence	No adaptive step size
2012	RMSProp [9]	First-order moment estimate, adaptive learning step size	Lack of directional inertia
2015	Adam [2]	Unbiased estimate of both moments	Generalization disadvantage, additional storage required for first-order and second-order moments
2017	AdamW [3]	Decoupling of weight decay and target updates	Better generalization than Adam, but still requires additional storage for first-order and second-order moments
2023	Lion [10]	Sign-based Momentum	Not as effective as Adam in small batches
2024	Sophia [11]	Introduction of lightweight diagonal Hessian estimate, combined with a clipping mechanism to control the update step size	(1) Information loss due to diagonal approximation. (2) Hard trade-off between estimate frequency and timeliness
2024	Muon [12]	Updates for matrix parameters	Higher computational cost than Adam, increased parallel communication cost

With the advent of the era of large language models (LLM), the currently mainstream optimization algorithm remains Adam. Therefore, it still holds research value to make some minor modifications to Adam. The main criticisms of Adam are twofold: (1) it requires additional sets of parameters to cache the first-order and second-order moments. (2) It tends to converge to sharp minima, which have poorer generalization capabilities [13, 14]. In response to the issue of Adam’s slightly inferior generalization, this paper proposes the AdamNX algorithm (see Algorithm 1), whose core innovation lies in the proposition of a novel exponential decay rate for the second-order

moment estimation, which controls the gradual weakening of the learning step correction strength as training progresses, thereby degrading to momentum SGD in the stable training period.

The remainder of this paper is organized as follows. Section 2 reviews the existing research on optimization algorithms in deep learning and introduces the motivation of this study. Section 3 provides a detailed analysis of the problem and proposes the corresponding solution. Sections 4, 5, and 6 jointly verify the superiority of AdamNX through experiments. The final section summarizes the content of the entire paper.

## 2. Related work

### 2.1. Optimization algorithms in deep learning

In 1986, Rumelhart *et al.* [1] first proposed the backpropagation algorithm that can calculate the precise gradient of a multilayer network on the entire training set in one go. Although this algorithm is theoretically rigorous, when facing large-scale datasets, the computational and storage costs of a single iteration become a bottleneck. To alleviate this problem, Rumelhart *et al.* [1] also proposed to use mini-batch gradient approximation to replace the full gradient in SGD. The core idea is to randomly select a small batch of samples to estimate the gradient in each iteration, thereby significantly reducing the cost of a single parameter update. However, the convergence behavior of SGD in practice is not ideal, mainly manifested in three aspects of defects: (1) Lack of momentum. The update direction completely depends on the current gradient, resulting in frequent oscillations on narrow or high-curvature error surfaces and slow convergence. (2) Inconsistent step size. When the gradient scales of different parameters vary greatly, a uniform learning rate cannot take care of both sparse and dense features at the same time, resulting in some parameters being stagnant in updates and others vibrating violently. (3) Sensitive to learning rate. A slightly larger learning rate can easily cause the objective function to diverge, while a smaller one falls into inefficient updates.

To address defects 1 and 3 of SGD, Sutskever *et al.* [8] introduced an exponentially weighted momentum term into SGD, integrating historical gradient information into

the current direction to suppress oscillations and accelerate traversal of flat regions. Meanwhile, the robustness to the learning rate is also improved. Gitman *et al.* [15] used stochastic differential equations to characterize the effects of different momentum values on escaping saddle points and convergence speed, and provided a practical range for momentum selection. Ramezani-Kebrya *et al.* [16] provided upper bounds on the stability and generalization error of momentum SGD, theoretically explaining why momentum not only accelerates training but also reduces the risk of overfitting. Zhao *et al.* [17] proposed the “normalization + momentum” combination, proving that it can maintain an  $O(1/T)$  convergence efficiency under anisotropic noise, effectively alleviating the directional oscillations of SGD.

To address defects 2 and 3 of SGD, Duchi *et al.* [18] proposed the AdaGrad algorithm, which achieves per-parameter adaptive learning step size by accumulating the sum of squares of historical gradients, effectively improving the convergence efficiency in sparse data scenarios. However, the accumulation of the sum of squares of historical gradients as the denominator in AdaGrad causes the learning step size to monotonically decrease to close to zero during the training process, and updates almost stop in the later stages. To address this issue, Tieleman *et al.* [9] proposed RMSProp, which replaces the global accumulation with the exponential moving average of the gradient squares to avoid the learning step size from dropping to zero.

Although momentum SGD and RMSProp each improved some local problems of SGD, their advantages were not obtained at the same time. Kingma *et al.* [2] proposed Adam, which integrates the momentum mechanism and adaptive step size strategy, and further introduces bias correction to offset the systematic bias of the exponentially weighted average, thereby further improving the robustness to the learning rate. Reddi *et al.* [19] proposed the AMSGrad algorithm, which replaces the second-order momentum sliding average in Adam with the maximum value of historical gradients to avoid converging to local extreme solutions with poor generalization. Loshchilov *et al.* [3] proposed AdamW, which decouples weight decay from the target update of the optimization algorithm, making it an independent regularization term from the target update, improving generalization ability, and making hyperparameter tuning more robust. Shazeer *et al.* [20] proposed the Adafactor algorithm, which achieves sublinear

memory consumption through low-rank decomposition of the second-order moment, effectively reducing the training memory requirements and is suitable for large model training. Liu *et al.* [11] proposed Sophia, which introduces lightweight diagonal Hessian estimation into large-scale language model pretraining, achieving faster convergence and lower computational cost than Adam. Sophia uses diagonal Hessian approximation instead of the complete Hessian matrix, which reduces the computational cost but sacrifices the curvature correlation information between parameters. The Hessian is estimated every  $k$  steps, which keeps the average computational cost within 5% of the gradient calculation, but may lead to dynamic response lag. The estimation variance may be amplified in the early training stage or in small-batch scenarios, affecting stability.

Adam and its variants can converge to extreme points faster, but compared with SGD, it is more difficult to find flat extreme points that are friendly to generalization. To address this issue, Keskar *et al.* [13] proposed a hybrid optimization algorithm called Switching from Adam to SGD (SWATS). The core innovation is to adaptively switch from Adam to SGD during the training process without manually adjusting the switching timing and the learning rate of SGD. To address the problem of excessive variance in adaptive learning step size in the early training stage of Adam due to insufficient samples, Liu *et al.* [21] proposed the Rectified Adam (Radam) algorithm, which estimates the confidence  $\rho$  of gradient degrees of freedom through mathematical derivation. When  $\rho > 4$ , the variance-corrected adaptive learning rate is used; when  $\rho \leq 4$ , it degenerates into non-adaptive momentum. Li *et al.* [22] proposed the AdaX algorithm, which changes the second-order momentum exponential decay mechanism of Adam to exponential growth, giving historical gradient information the characteristic of “exponential long-term memory”, thereby solving the problem of Adam’s excessive step size due to sensitivity to small gradients in the training steady state, and inability to converge to extreme solutions. Xie *et al.* [14] proved from a dynamics perspective that Adam escapes saddle points faster but finds it more difficult to find flat minima friendly to generalization than SGD, and proposed the corresponding Adaptive Inertia (AdaI) optimization algorithm.

In addition, Adam and AdamW need to store the first-order moment estimate and

the second-order moment estimate for each parameter, which have high memory occupation and computational complexity. To address the high memory and high computation defects, Chen *et al.* [10] proposed Lion, which effectively reduces memory demand and computational volume through symbolic momentum, and can match or even outperform Adam in most tasks. However, when the batch size is small (less than 64), Lion is not as good as Adam. Recently, Jordan *et al.* [12] proposed Muon for matrix parameters, which uses Newton-Schulz iteration to approximate orthogonalize the momentum update matrix, significantly improving the training efficiency of matrix parameters. However, when the matrix is split and distributed across multiple devices, Muon must first aggregate the gradients of each slice (all-reduce) and then calculate the update amount uniformly, which cannot be updated independently in parallel on each device, thus introducing additional communication overhead.

In summary, from full gradient to SGD, and then to momentum, adaptive step sizes, bias correction, and specialized optimizers for structural features, the evolution of optimization algorithms in deep learning has always revolved around “improving convergence efficiency—reducing computational costs—enhancing hyperparameter robustness”.

## 2.2. Research motivation

Although Lion, Muon, and *etc.* have demonstrated performance comparable to Adam, the vast majority of scenarios in both industry and academia still use Adam. If significant changes are made to the optimization algorithm, it would require substantial validation costs. Therefore, making minor adjustments to Adam is still worth studying.

The literature review above indicates that Adam escapes saddle points faster but finds it more difficult than momentum SGD to locate flat minima that are friendly to generalization. Thus, it is possible to combine the strengths of both, leaning towards Adam in the early stages of training to facilitate faster escape from saddle points, and shifting towards momentum SGD in the stable training phase to better identify flat minima conducive to generalization. In other words, the transition from Adam to momentum SGD as training progresses forms the motivation of this paper, leading to the proposal of a novel exponential decay rate for the second-order moment estimation.

### 3. Proposed algorithm

This section first analyzes the impact of  $\beta_2$  on the variance of the second-order moment estimation in Adam, semi-qualitatively and semi-quantitatively proving that when  $t \rightarrow \infty, \beta_2 \rightarrow 1$ , Adam can degrade into momentum SGD, thereby reducing the “jitter” phenomenon in the stable training period. Then, to address the contradiction in Adam that  $\beta_2$  cannot be too large or too small, a novel exponential decay rate for the second-order moment estimation is proposed, along with the corresponding AdamNX optimization algorithm. Finally, AdamNX and Adam are compared once again.

#### 3.1. Impact of $\beta_2$ on the variance of the second-order moment estimation in Adam

Let:

$$\mathbf{g}_t = \nabla f(\boldsymbol{\theta}_{t-1}) + \boldsymbol{\xi}_t \quad (1)$$

where  $\nabla f(\boldsymbol{\theta}_{t-1})$  denotes the full-batch gradient, *i.e.*, the gradient of  $\boldsymbol{\theta}_{t-1}$  computed using all samples  $\mathcal{D}$ ,  $\mathbf{g}_t$  denotes the stochastic gradient, *i.e.*, the gradient of  $\boldsymbol{\theta}_{t-1}$  computed by randomly sampling a subset  $\mathcal{R} \subset \mathcal{D}$  from  $\mathcal{D}$ , and  $\boldsymbol{\xi}_t$  denotes the noise introduced by random sampling. Therefore,  $\mathbf{g}_t$  is only an approximate estimate of  $\nabla f(\boldsymbol{\theta}_{t-1})$ . Since the sampling is random,  $\boldsymbol{\xi}_t$  is isotropic, and the noise at different time steps,  $\boldsymbol{\xi}_t$  and  $\boldsymbol{\xi}_{t+i}$ , are mutually independent. For the subsequent semi-qualitative and semi-quantitative analysis, we make Hypothesis 1, 2 and 3:

**Hypothesis 1.** *The full-batch gradient vector  $\nabla f(\boldsymbol{\theta}_{t-1})$  and the noise vector  $\boldsymbol{\xi}_t$  have independent and identically distributed (i.i.d.) components across dimensions.*<sup>1</sup>

**Hypothesis 2.**  $\boldsymbol{\xi}_t \sim \mathcal{N}(\mathbf{0}, \sigma^2 \mathbf{1})$ .

**Hypothesis 3.** *The full-batch gradient  $\nabla f(\boldsymbol{\theta}_{t-1})$  and the stochastic noise  $\boldsymbol{\xi}_t$  are mutually independent.*

Based on Hypothesis 2, Equation (1) can be written as:

$$\mathbf{g}_t = \nabla f(\boldsymbol{\theta}_{t-1}) + \sigma \boldsymbol{\xi} \quad (2)$$

---

<sup>1</sup>This assumption was implicitly used in the derivations of arXiv v1 but was omitted from the formal statement of assumptions.

where  $\xi$  denotes the standard normal distribution.

For Adam, the second moment estimate is given by Equation (3):

$$\begin{cases} \mathbf{v}_t = \beta_2 \mathbf{v}_{t-1} + (1 - \beta_2) \mathbf{g}_t^2, \\ \widehat{\mathbf{v}}_t = \frac{\mathbf{v}_t}{1 - \beta_2^t} \end{cases} \quad (3)$$

where  $\mathbf{g}_t$  denotes the gradient of the current iteration,  $\mathbf{v}_{t-1}$  denotes the second moment of historical iterations. Iterating Equation (3), we have:

$$\mathbf{v}_t = (1 - \beta_2) \sum_{i=1}^t \beta_2^{t-i} \mathbf{g}_i^2 \quad (4)$$

Substituting Equation (2) into Equation (4) yields:

$$\begin{aligned} \mathbf{v}_t &= (1 - \beta_2) \sum_{i=1}^t \beta_2^{t-i} (\nabla f(\boldsymbol{\theta}_{i-1}) + \sigma \xi)^2 \\ &= (1 - \beta_2) \sum_{i=1}^t \beta_2^{t-i} ((\nabla f(\boldsymbol{\theta}_{i-1}))^2 + 2 \nabla f(\boldsymbol{\theta}_{i-1}) \odot \sigma \xi + \sigma^2 \xi^2) \end{aligned} \quad (5)$$

Computing the expectation of the second moment estimate:

$$\mathbb{E}[\mathbf{v}_t] = (1 - \beta_2^t) \sigma^2 \mathbf{1} + (1 - \beta_2) \sum_{i=1}^t \beta_2^{t-i} \mathbb{E}[(\nabla f(\boldsymbol{\theta}_{i-1}))^2] \quad (6)$$

Consider the bias term:

$$\mathbb{E}[\widehat{\mathbf{v}}_t] = \mathbb{E}\left[\frac{\mathbf{v}_t}{1 - \beta_2^t}\right] = \sigma^2 \mathbf{1} + \frac{1 - \beta_2}{1 - \beta_2^t} \sum_{i=1}^t \beta_2^{t-i} \mathbb{E}[(\nabla f(\boldsymbol{\theta}_{i-1}))^2] \quad (7)$$

Computing the variance of the second moment estimate:

$$\begin{aligned} \text{Var}[\mathbf{v}_t] &= \mathbb{E}[\mathbf{v}_t^2] - \mathbb{E}[\mathbf{v}_t]^2 \\ &= (1 - \beta_2)^2 \sum_{i=1}^t \beta_2^{2(t-i)} \left( 2\sigma^4 \mathbf{1} + 4\sigma^2 \mathbb{E}[(\nabla f(\boldsymbol{\theta}_{i-1}))^2] + \text{Var}[(\nabla f(\boldsymbol{\theta}_{i-1}))^2] \right) \end{aligned} \quad (8)$$

Consider the bias term:

$$\text{Var}[\widehat{\mathbf{v}}_t] = \frac{\text{Var}[\mathbf{v}_t]}{(1 - \beta_2^t)^2} \quad (9)$$

Then, when  $t \rightarrow \infty$ ,  $\text{Var}[\widehat{\mathbf{v}}_t]$  converges to:

$$\lim_{t \rightarrow \infty} \text{Var}[\widehat{\mathbf{v}}_t] = \frac{1 - \beta_2}{1 + \beta_2} \left( 2\sigma^4 \mathbf{1} + 4\sigma^2 \mathbb{E}[(\nabla f(\boldsymbol{\theta}_{i-1}))^2] + \text{Var}[(\nabla f(\boldsymbol{\theta}_{i-1}))^2] \right) \quad (10)$$

Based on the analysis of Equation (10), during the training plateau phase (*i.e.*,  $t \rightarrow \infty$ ), the variance of the second moment estimate decreases as  $\beta_2$  increases; if  $\beta_2 \rightarrow 1$ , then  $\lim_{t \rightarrow \infty, \beta_2 \rightarrow 1} \text{Var}[\hat{v}_t] \rightarrow 0$ , *i.e.*, “zero fluctuation”, and Adam degenerates into momentum SGD. Whereas in Adam,  $\beta_2$  is typically set to 0.999, therefore Adam exhibits the “spike” phenomenon during the training plateau phase. To address this issue, the most straightforward approach is to set  $\beta_2$  infinitely close to 1. However, when  $\beta_2$  is very close to 1, the response of the second moment estimate to gradient changes becomes very slow. During training, the gradient distribution may change (*e.g.*, from the early training stage to the plateau phase, or when the model encounters different data distributions), then  $\hat{v}_t$  cannot adjust in time to adapt to the new gradient scale. Moreover, Adam uses the bias correction term  $1/(1-\beta_2^t)$  to counteract the zero initialization bias. When  $\beta_2$  is very close to 1,  $\beta_2^t$  decays slowly, and the bias correction requires more iterations to take effect. In the early training stage, this causes  $\hat{v}_t$  to underestimate the true second moment, resulting in excessively large update steps and increased instability in early training. Therefore, in Adam,  $\beta_2$  cannot be too large nor too small. This is an irreconcilable contradiction.

### 3.2. Novel exponential decay rate for the second-order moment estimation and AdamNX

To address the aforementioned issues, this paper argues that the optimal solution is to let  $\beta_2$  vary with the iteration number  $t$ ; correspondingly,  $\beta_1$  also varies with the iteration number  $t$ , and possesses the following desirable properties:

- (1)  $\hat{\beta}_{2,t=1} = 0, \hat{\beta}_{2,t \rightarrow \infty} = 1$ .
- (2) For all  $t \geq 1$ , having:  $\hat{\beta}_{2,t} \geq \hat{\beta}_{1,t}$ .
- (3) During the early and middle stages of training,  $\hat{\beta}_{2,t}$  is as close as possible to  $\hat{\beta}_{1,t}$ .

Therefore, this paper proposes a novel decay rate for the second moment estimate:

$$\hat{\beta}_{2,t} = \frac{1 - \beta_2^{(1-\beta_2)(t-1)}}{1 - \beta_2^{(1-\beta_2)t}} \quad (11)$$

It is easy to prove that:

$$\lim_{t \rightarrow \infty} \hat{\beta}_{2,t} = \lim_{t \rightarrow \infty} \frac{1 - \beta_2^{(1-\beta_2)(t-1)}}{1 - \beta_2^{(1-\beta_2)t}} = 1 \quad (12)$$

and correspondingly proposes the AdamNX algorithm, as shown in Algorithm 1. To align with Adam’s default settings:  $\beta_1 = 0.9$ ,  $\beta_2 = 0.999$ , AdamNX is set to:  $\beta_1 = 0.9$ ,  $\beta_2 = 0.99$ . This allows hyperparameters tuned for Adam to be better transferred to AdamNX. Figure 1 visualizes  $\hat{\beta}_{1,t}$  and  $\hat{\beta}_{2,t}$  in AdamNX.

---

Algorithm 1: AdamNX algorithm

---

**Input data:** training dataset  $\mathcal{D}$ .  
**Input parameters:** parameters to be optimized  $(\theta_1, \theta_2, \dots, \theta_L)$ , first-order moments  $(\mathbf{m}_1, \mathbf{m}_2, \dots, \mathbf{m}_L)$ , second-order moments  $(\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_L)$ .  
**Input hyperparameters:** learning rate  $\eta_t$ , weight decay rate  $\lambda$ , exponential decay rate  $(\beta_1, \beta_2) = (0.9, 0.99)$ ,  $\epsilon = 1 \times 10^{-8}$ , total number of iterations  $T$ .  
**Output:** extremum  $(\theta_1^*, \theta_2^*, \dots, \theta_L^*)$ .

```

1 for  $t = 1$  to  $T$  do
2   randomly drawing a subset of training samples:  $\mathcal{R}_t \subseteq \mathcal{D}$ ;
   /* forward propagation
3   loss value calculation:  $\mathcal{L}_t = \frac{1}{|\mathcal{R}_t|} \sum_{(x_i, y_i) \in \mathcal{R}_t} E(\hat{y}(x_i, \theta_{1,t-1}, \dots, \theta_{L,t-1}), y_i)$ ;
   /* backpropagation
4   for  $l = 1$  to  $L$  do
5     gradient calculation:  $\mathbf{g}_{l,t} = \nabla_{\theta_{l,t-1}} \mathcal{L}$ ;
6     first-order moment estimate:  $\mathbf{m}_{l,t} = \frac{\beta_1 - \beta_1'}{1 - \beta_1'} \mathbf{m}_{l,t-1} + \left(1 - \frac{\beta_1 - \beta_1'}{1 - \beta_1'}\right) \mathbf{g}_{l,t}$ ;
7     second-order moment estimate:
8        $\mathbf{v}_{l,t} = \frac{1 - \beta_2^{(1-\beta_2)(t-1)}}{1 - \beta_2^{(1-\beta_2)t}} \mathbf{v}_{l,t-1} + \left(1 - \frac{1 - \beta_2^{(1-\beta_2)(t-1)}}{1 - \beta_2^{(1-\beta_2)t}}\right) \mathbf{g}_{l,t}^2$ ;
9     gradient element normalization:  $\mathbf{u}_{l,t} = \frac{\mathbf{m}_{l,t}}{\sqrt{\mathbf{v}_{l,t}} + \epsilon}$ ;
10    parameter updating:  $\theta_{l,t} = \theta_{l,t-1} - \eta_t (\mathbf{u}_{l,t} + \lambda_l \theta_{l,t-1})$ ,
         $\lambda_l = \begin{cases} \lambda, & \text{if } \theta_l \text{ is a matrix parameter} \\ 0, & \text{if } \theta_l \text{ is not a matrix parameter} \end{cases}$ ;
11    /* saving the optimal loss value and extremum point
12    if  $\mathcal{L}_t < \mathcal{L}_0$  then
13       $\mathcal{L}_0 \leftarrow \mathcal{L}_t$ ;
      for  $l = 1$  to  $L$  do
        |  $\theta_l^* \leftarrow \theta_{l,t}$ ; // storing the extremum point

```

---

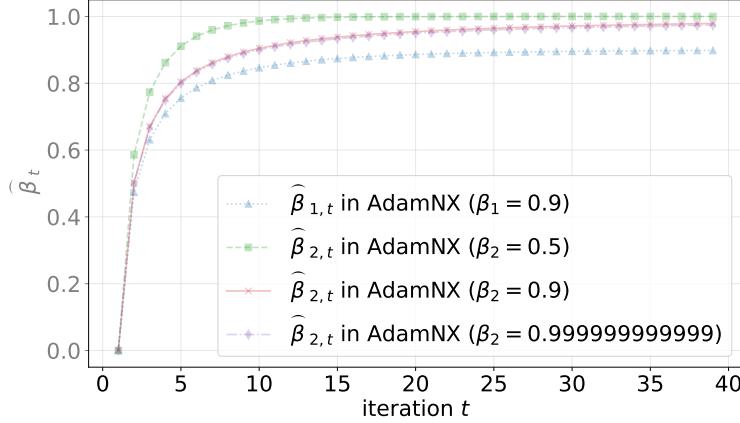


Figure 1: Functional curves of  $\hat{\beta}_{1,t}$  and  $\hat{\beta}_{2,t}$  versus iteration number  $t$  in AdamNX

### 3.3. AdamNX v.s. Adam

In fact, the recurrence of Adam’s bias-corrected  $\hat{v}_t$  also implicitly contains  $\hat{\beta}_{2,t}$ :

$$\begin{aligned}\hat{v}_t &= \frac{v_t}{1 - \beta_2^t} = \frac{\beta_2 v_{t-1} + (1 - \beta_2) g_t^2}{1 - \beta_2^t} = \frac{(\beta_2 - \beta_2^t) \hat{v}_{t-1} + (1 - \beta_2) g_t^2}{1 - \beta_2^t} \\ &= \frac{\beta_2 - \beta_2^t}{1 - \beta_2^t} \hat{v}_{t-1} + \left(1 - \frac{\beta_2 - \beta_2^t}{1 - \beta_2^t}\right) g_t^2 \triangleq \hat{\beta}_{2,t} v_{t-1} + (1 - \hat{\beta}_{2,t}) g_t^2\end{aligned}\tag{13}$$

And it is easy to prove:

$$\lim_{t \rightarrow \infty} \frac{\beta_2 - \beta_2^t}{1 - \beta_2^t} = \beta_2\tag{14}$$

Comparing Equations (12) and (14), the core difference is that when  $t \rightarrow \infty$ , AdamNX’s  $\hat{\beta}_{2,t} \rightarrow 1$ , thus AdamNX degenerates to momentum SGD, guaranteeing more stability in the later training stage. Figure 2 further visualizes the comparison between AdamNX’s  $\hat{\beta}_{2,t}$  and Adam’s  $\hat{\beta}_{2,t}$  for different  $\beta_2$  values.

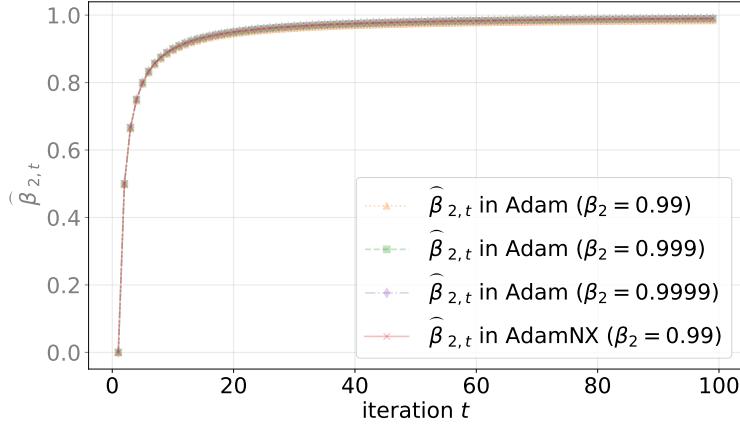


Figure 2: Comparison between AdamNX’s  $\hat{\beta}_{2,t}$  and Adam’s  $\hat{\beta}_{2,t}$

#### 4. Experimental reproduction details

This section introduces the common experimental reproduction details for Sections 5 and 6.

Table 2 shows the main software and hardware configurations required for the experiments.

Table 2: Main software and hardware configurations

Torch [23]	2.8.0
Torchvision [23]	0.23.0
Python	3.13.7
NVIDIA CUDA Toolkit	12.9
NVIDIA cuDNN	10.2
Memory Capacity	192 GB
CPU	Intel(R) Core i9-14900KF
GPU	NVIDIA RTX A5000

The learning rate strategy configuration. The experiments in the following sections followed two different learning rate strategies depending on the model. One was a fixed learning rate, and the other followed the learning rate strategy shown in Equation (15):

$$\eta_t = \begin{cases} 1 + \frac{\eta_{\min} - \eta_{\text{peak}}}{t_1} t, & \text{if } 0 \leq t \leq t_1, \\ \eta_{\min}, & \text{if } t > t_1 \end{cases} \quad (15)$$

where  $\eta_{\min}$  denotes the minimum learning rate, and  $\eta_{\text{peak}}$  denotes the peak learning rate. Figure 3 visualizes the functional relationship between the learning rate and the number of iterations.

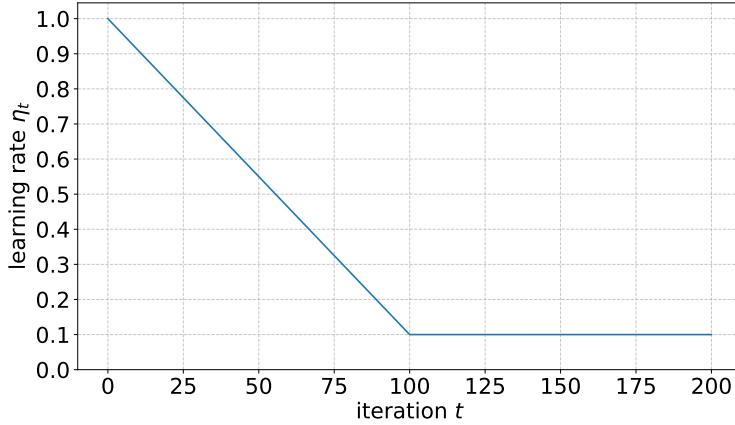


Figure 3: Functional curve between the learning rate and the number of iterations

Note: The settings of the horizontal and vertical coordinates in the figure are only for intuitively presenting the trend of the learning rate changing with the iteration process, and the values do not reflect the actual data in the experiments.

## 5. Comparison results and analysis of optimization algorithms of the same type

Firstly, the superiority of AdamNX in performance was verified by comparing it with optimization algorithms of the same type (Adam [2] and AdaX [22]) (These optimization algorithms can use the same training configuration). Experiments were conducted on the CIFAR-100 [24], PASCAL VOC [25], and Semantic Boundaries [26] datasets, with EfficientNetV2 [27] and SwinV2 [28] selected as the benchmark models for image classification, YOLOv7-tiny [29] as the benchmark model for object detection, and U-Net [30] as the benchmark model for semantic segmentation.

### 5.1. Image classification experimental results and analysis

Benchmark dataset for image classification. CIFAR-100 [24] is a benchmark image classification dataset constructed by the Department of Computer Science at the University of Toronto in 2009. As an extended version of the CIFAR series, it contains

60,000 RGB color images with  $32 \times 32$  pixel, forming a 100-class fine-grained classification system with 20 superclasses (each containing 5 subclasses). This dataset is strictly divided into 50,000 training images and 10,000 test images. During training, data augmentation strategies including random color space transformation and random horizontal flipping were employed.

Benchmark models for image classification. EfficientNetV2 [27] is a convolutional neural network (CNN) series proposed by Google. Its core innovation lies in the compound scaling method, which uniformly adjusts the network depth, width, and input resolution to achieve the optimal balance between model efficiency and performance. SwinV2[28] is an improved version of the visual Transformer proposed by Microsoft, with several innovations aimed at large-scale model training. To match the image resolution in CIFAR, EfficientNetV2 retained only the last three downsamplings; in SwinV2, the patch size was set to 1, and the window size was set to 4. The reproduction of all models directly cloned the official implementation of torchvision, and model weight initialization followed the official default without loading pre-trained weights.

Evaluation metrics for image classification. Top-1 error rate and Top-5 error rate are mainstream metrics for measuring the performance of image classification models. Suppose the test set contains  $N$  images, where the true label of the  $i$ -th image is  $y_i$ , and the model outputs a class probability vector for this image denoted as  $p_i = (p_{i,1}, p_{i,2}, \dots, p_{i,C})$  ( $C$  is the total number of classes), then the Top-1 error rate and Top-5 error rate are defined as:

$$E_{\text{Top-1}} = \frac{1}{N} \sum_{i=1}^N \chi_A \left( y_i \neq \arg \max_j p_{i,j} \right) \quad (16)$$

$$E_{\text{Top-5}} = \frac{1}{N} \sum_{i=1}^N \chi_A \left( y_i \notin \text{top-5} \left( p_{i,j} \right) \right) \quad (17)$$

where  $\chi_A(\cdot)$  denotes the indicator function. The above definitions follow the convention of reference [31] and are widely used in subsequent studies to unify the evaluation criteria.

Lowest error rate comparison. Table 3 shows the comparative experimental results on the benchmark model EfficientNetV2-M. The total number of training epochs was set to 80, the batch size was set to 64, the peak learning rate was set to  $3 \times 10^{-3}$ , the

minimum learning rate was set to  $3 \times 10^{-5}$ , and the training  $t_1$  was set to 37,488. As seen in Table 3, our AdamNX achieved the lowest top-1 error rate of 34.27% and the lowest top-5 error rate of 11.40% on EfficientNetV2-M. Table 4 shows the comparative experimental results on the benchmark model SwinV2-S. The total number of training epochs was set to 80, the batch size was set to 64, and the fixed learning rate was set to  $2.2 \times 10^{-5}$ . As seen in Table 4, our AdamNX also achieved the lowest top-1 error rate of 39.42% and the lowest top-5 error rate of 14.68% on SwinV2-S.

Table 3: Image classification results on CIFAR-100 for optimization algorithms of the same type (benchmark model is EfficientNetV2-M)

	Top-1 err. (%) ↓	Top-5 err. (%) ↓
Adam [2]	39.44	14.76
AdaX [22]	36.35	12.99
AdamNX (Ours)	<b>34.27</b>	<b>11.40</b>

Note: the **bold** denotes the best results in each column.

Table 4: Image classification results on CIFAR-100 for optimization algorithms of the same type (benchmark model is SwinV2-S)

	Top-1 err. (%) ↓	Top-5 err. (%) ↓
Adam [2]	40.05	14.80
AdaX [22]	39.97	15.07
AdamNX (Ours)	<b>39.42</b>	<b>14.68</b>

### 5.2. Object detection experimental results and analysis

Object detection benchmark datasets. Following the standard protocol in the object detection field of PASCAL VOC [25]: the trainval subsets of VOC2007 and VOC2012 were merged (a total of 16,551 images containing 20 classes of objects) as the training data, and the publicly annotated VOC2007 test (4,952 images) was used for model evaluation. During training, data augmentation strategies including random padding and scaling, random color space transformation, and random horizontal flipping were employed.

Benchmark model for object detection. YOLOv7-tiny was the high-speed lightweight version of the YOLOv7 [29] series. It achieved millisecond-level inference while maintaining high detection accuracy through a streamlined ELAN-Tiny backbone network,

balancing detection accuracy and efficiency. The image resolution fed into the model was set to  $320 \times 320$ . Model weight initialization followed the initialization strategy of RegNet [32].

Evaluation metrics for object detection. Mean Average Precision (mAP) is the most commonly used evaluation metric in the field of object detection, and its calculation process is as follows. For each class  $j$ , all prediction boxes are sorted in descending order of confidence and matched with the ground-truth boxes one by one. If  $\text{IoU} \geq T$  (*e.g.*,  $T = 0.5$  or  $0.75$ ), and the classes are consistent, it is recorded as a True Positive (TP); otherwise, it is a False Positive (FP). Precision and recall are cumulatively calculated as follows:

$$P_j(k) = \frac{\sum_{i=1}^k \text{TP}_i}{k} \quad (18)$$

$$R_j(k) = \frac{\sum_{i=1}^k \text{TP}_i}{N_j} \quad (19)$$

where  $N_j$  denotes the total number of ground-truth boxes for class  $j$ , and  $k$  denotes the top  $k$  prediction boxes. The  $P_j(R_j)$  curve is plotted, and the area under the curve is taken to obtain the average precision (AP) for this class:

$$\text{AP}_j = \int_0^1 P_j(R_j) dR_j \quad (20)$$

The mean value over all classes is then calculated as:  $\text{mAP} = \frac{1}{C} \sum_{j=1}^C \text{AP}_j$ .

Highest mAP comparison. Table 5 shows the comparative experimental results on the VOC2007 test benchmark dataset. The total number of training epochs was set to 80, the batch size was set to 32, the peak learning rate was set to  $3 \times 10^{-3}$ , the minimum learning rate was set to  $3 \times 10^{-5}$ , and the training  $t_1$  was set to 25,680. As seen in Table 5, our AdamNX achieved the highest mAP@0.5 of 52.18% and the highest mAP@0.75 of 28.21%.

Table 5: Object detection results on VOC2007 test for optimization algorithms of the same type

	mAP@0.5 (%) ↑	mAP@0.75 (%) ↑
Adam [2]	52.07	27.45
AdaX [22]	51.99	27.19
AdamNX (Ours)	<b>52.18</b>	<b>28.21</b>

Note: mAP@0.5 denotes  $\text{IoU} \geq 0.5$ , and mAP@0.75 denotes  $\text{IoU} \geq 0.75$ .

### 5.3. Semantic segmentation experimental results and analysis

Benchmark dataset for semantic segmentation. The Semantic Boundaries [26] dataset contains 11,355 images from the PASCAL VOC 2011 dataset, divided into 8,498 training images and 2,857 validation images, providing pixel-level semantic segmentation annotations and object boundary information for 21 classes of objects, supporting semantic segmentation and boundary detection tasks. During training, data augmentation strategies including random scaling, random horizontal flipping, and random cropping were employed.

Benchmark model for semantic segmentation. U-Net [30] was initially proposed for biomedical image segmentation. Its symmetric encoder-decoder structure integrates high-resolution details and low-resolution semantic information through skip connections, enabling pixel-level accurate prediction with limited annotated data. Given its design that balances localization accuracy and context modeling capabilities, U-Net has become a widely adopted benchmark model in the field of semantic segmentation. The parameter basic units in U-Net were set to 32, and the image resolution fed into the model is set to  $320 \times 320$ . Model weight initialization followed the initialization strategy of RegNet [32]. The loss function employed a weighted average combination of cross-entropy loss [33] and Dice loss [34].

Evaluation metric for semantic segmentation. Mean Intersection over Union (mIoU) is the most commonly used evaluation metric in the field of semantic segmentation, and its calculation method is as follows: for each class, the intersection over union between the prediction results and the ground truth is calculated and then averaged:

$$\text{mIoU} = \frac{1}{C} \sum_{i=1}^C \frac{\text{TP}_i}{\text{TP}_i + \text{FP}_i + \text{FN}_i} \quad (21)$$

where  $C$  denotes the total number of classes, and  $\text{TP}_i$ ,  $\text{FP}_i$ ,  $\text{FN}_i$  denote the number of TP, FP, and false negative pixels for class  $i$ , respectively. This metric measures both localization accuracy and classification accuracy simultaneously, and a higher value indicates better segmentation performance.

Highest mIoU comparison. Table 6 shows the comparative experimental results on the Semantic Boundaries benchmark dataset. The total number of training epochs was

set to 80, the batch size was set to 32, the peak learning rate was set to  $1 \times 10^{-3}$ , the minimum learning rate was set to  $1 \times 10^{-5}$ , and the training  $t_1$  was set to 12,720. As can be seen from Table 6, our AdamNX achieved the highest mIoU of 37.81%.

Table 6: Semantic segmentation results on Semantic Boundaries for optimization algorithms of the same type

	mIoU (%) ↑
Adam [2]	34.43
AdaX [22]	37.23
AdamNX (Ours)	<b>37.81</b>

#### 5.4. Ablation study results and analysis

Figure 4 visualizes the second-order moment estimate exponential decay rates in different optimization algorithms. The ablation experiments in this section focused on comparing the different second-order moment estimate exponential decay rates shown in this figure. Therefore, the  $\hat{\beta}_{2,t}$  in Adam was replaced with the  $\hat{\beta}_{2,t}$  from Adafactor [20], AdaX, and AdamNX, respectively, to verify which second-order moment estimate exponential decay rate is superior.

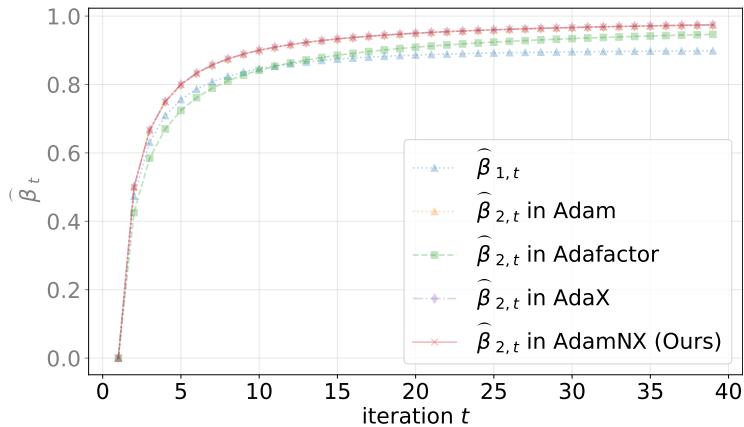


Figure 4: Different second-order moment estimate exponential decay rates

Optimal performance comparison. Table 7 shows the ablation study results of the second-order moment estimate exponential decay rates on the CIFAR-100 dataset with the benchmark model EfficientNetV2-M. Table 8 shows the ablation study results of

the second-order moment estimate exponential decay rates on the CIFAR-100 dataset with the benchmark model SwinV2-S. Table 9 shows the ablation study results of the second-order moment estimate exponential decay rates on the VOC2007 test dataset with the benchmark model YOLOv7-tiny. Table 10 shows the ablation study results of the second-order moment estimate exponential decay rates on the Semantic Boundaries dataset with the benchmark model U-Net. Based on the above tables, the  $\widehat{\beta}_{2,t}$  of AdamNX is statistically the best, further verifying the two excellent properties:

- (1)  $\widehat{\beta}_{2,t=1} = 0, \widehat{\beta}_{2,t \rightarrow \infty} = 1.$
- (2) For all  $t \geq 1$ , it holds that:  $\widehat{\beta}_{2,t} \geq \widehat{\beta}_{1,t}.$

Table 7: Image classification ablation experimental results for second-order moment estimate exponential decay rates (benchmark model is EfficientNetV2-M)

Adam[2] + $\widehat{\beta}_{2,t}$	Top-1 err. (%) ↓	Top-5 err. (%) ↓
$(\beta_2 - \beta_2^t)/(1 - \beta_2^t), \beta_2 = 0.999$ (Adam [2])	39.44	14.76
$1 - 1/t^c, c = 0.8$ (Adafactor [20])	41.22	15.23
$1 - \beta_2 / ((1 + \beta_2)^t - 1), \beta_2 = 0.0001$ (AdaX [22])	35.22	11.92
$(1 - \beta_2^{(1-\beta_2)(t-1)}) / (1 - \beta_2^{(1-\beta_2)t}), \beta_2 = 0.99$ (Ours)	<b>34.27</b>	<b>11.40</b>

Table 8: Image classification ablation experimental results for second-order moment estimate exponential decay rates (benchmark model is SwinV2-S)

Adam[2] + $\widehat{\beta}_{2,t}$	Top-1 err. (%) ↓	Top-5 err. (%) ↓
$(\beta_2 - \beta_2^t)/(1 - \beta_2^t), \beta_2 = 0.999$ (Adam [2])	40.05	14.80
$1 - 1/t^c, c = 0.8$ (Adafactor [20])	40.64	15.05
$1 - \beta_2 / ((1 + \beta_2)^t - 1), \beta_2 = 0.0001$ (AdaX [22])	39.64	<b>14.50</b>
$(1 - \beta_2^{(1-\beta_2)(t-1)}) / (1 - \beta_2^{(1-\beta_2)t}), \beta_2 = 0.99$ (Ours)	<b>39.42</b>	<u>14.68</u>

Note: the underline indicates the second-best results in each column.

Table 9: Object detection ablation experimental results for second-order moment estimate exponential decay rates

Adam[2] + $\widehat{\beta}_{2,t}$	mAP@0.5 (%) ↑	mAP@0.75 (%) ↑
$(\beta_2 - \beta_2^t)/(1 - \beta_2^t), \beta_2 = 0.999$ (Adam [2])	52.07	27.45
$1 - 1/t^c, c = 0.8$ (Adafactor [20])	45.50	21.63
$1 - \beta_2 / ((1 + \beta_2)^t - 1), \beta_2 = 0.0001$ (AdaX [22])	51.74	27.24
$(1 - \beta_2^{(1-\beta_2)(t-1)}) / (1 - \beta_2^{(1-\beta_2)t}), \beta_2 = 0.99$ (Ours)	<b>52.18</b>	<b>28.21</b>

Table 10: Semantic segmentation ablation experimental results for second-order moment estimate exponential decay rates

Adam[2] + $\hat{\beta}_{2,t}$	mIoU (%) ↑
$(\beta_2 - \beta_2^t)/(1 - \beta_2^t), \beta_2 = 0.999$ (Adam [2])	34.43
$1 - 1/t^c, c = 0.8$ (Adafactor [20])	31.76
$1 - \beta_2 / ((1 + \beta_2)^t - 1), \beta_2 = 0.0001$ (AdaX [22])	36.37
$(1 - \beta_2^{(1-\beta_2)(t-1)}) / (1 - \beta_2^{(1-\beta_2)t}), \beta_2 = 0.99$ (Ours)	<b>37.81</b>

Comparison of image classification training curves. The experimental logs from Table 8 were visualized to plot the training curves, which are shown in Figure 5. When plotting the function curves, downsampling and exponential weighted smoothing (seen Appendix A for the specific code) were used to more intuitively compare the different convergence characteristics due to the different second-order moment estimate exponential decay rates. As seen in Figure 5, when the number of iterations was approximately less than 19,344, the convergence rates of the four are similar. When the number of iterations was approximately greater than 19,344, the  $\hat{\beta}_{2,t}$  of AdaX and our  $\hat{\beta}_{2,t}$  converged faster, indicating that  $\hat{\beta}_{2,t \rightarrow \infty} \rightarrow 1$  helps to accelerate convergence in the later stages of training.

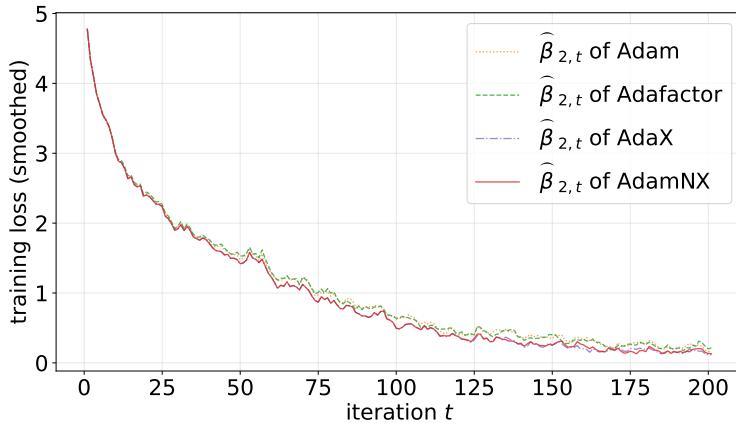


Figure 5: Training loss *vs.* iteration (experimental logs from Table 8)

Comparison of object detection evaluation curves. The experimental logs from Table 9 were plotted as curves to further analyze the convergence characteristics of

different optimization algorithms, and the results are shown in Figure 6. As seen in this figure, throughout the entire training process, the  $\hat{\beta}_{2,t}$  of Adam, the  $\hat{\beta}_{2,t}$  of AdaX, and the  $\hat{\beta}_{2,t}$  of this paper all converged faster and better than the  $\hat{\beta}_{2,t}$  of Adafactor, indicating the effectiveness of “for all  $t \geq 1$ , it holds that:  $\hat{\beta}_{2,t} \geq \hat{\beta}_{1,t}$ ”.

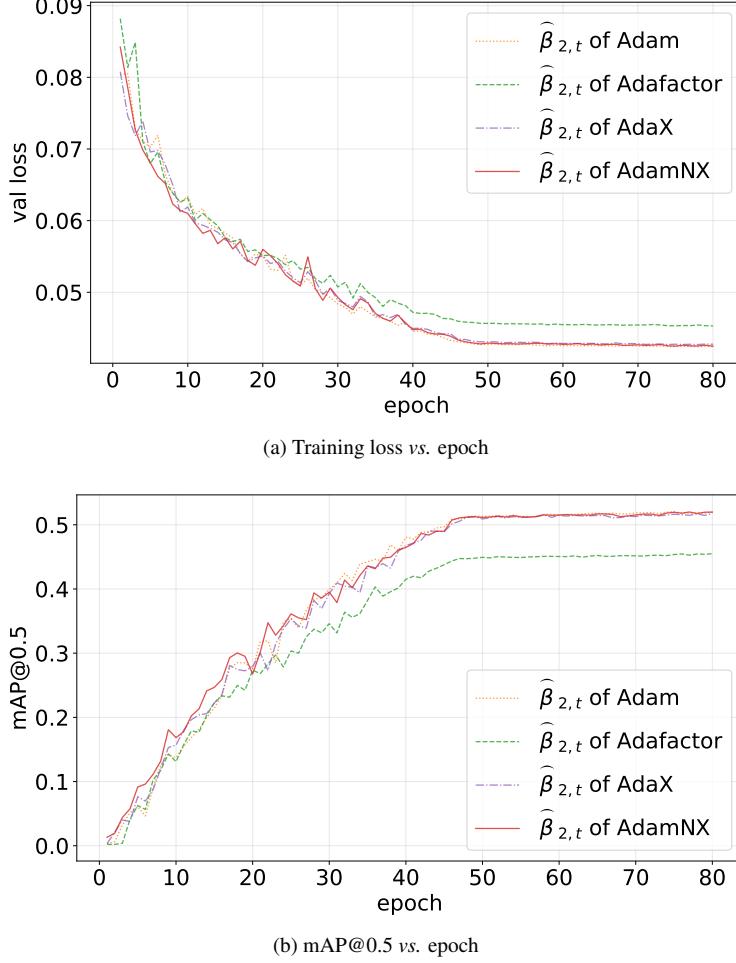


Figure 6: Evaluation curve comparison

## 6. Comparison results and analysis of optimization algorithms of different type

Then, by comparing our AdamNX with different types of optimization algorithms (momentum SGD [8], RAdam [21], Lion [10], SophiaG [11]), the superiority of AdamNX

in performance was more comprehensively verified. To ensure the fairest comparison possible, all optimization algorithms followed their respective optimal hyperparameter configurations as much as possible. The total number of training epochs was set to 80, and the batch size was set to 64.

Table 11 shows the comparative experimental results on the benchmark model EfficientNetV2-L. For momentum SGD, the momentum was set to 0.9, the peak learning rate was set to 0.1, the minimum learning rate was set to  $1 \times 10^{-3}$ ,  $t_1$  was set to 37,488, and the weight decay rate was set to  $1 \times 10^{-3}$ ; for Lion, the peak learning rate was set to  $1 \times 10^{-3}$ , the minimum learning rate was set to  $1 \times 10^{-5}$ ,  $t_1$  was set to 37,488, and the weight decay rate was set to 0.1; for RAdam, SophiaG, and AdamNX, the peak learning rate was set to 0.01, the minimum learning rate was set to  $1 \times 10^{-4}$ ,  $t_1$  was set to 37,488, and the weight decay rate was set to 0.01. As seen in Table 11, our AdamNX achieved the lowest top-1 error rate of 35.66% and the lowest top-5 error rate of 12.37% on EfficientNetV2-L.

Table 11: Image classification results on CIFAR-100 for different types of optimization algorithms (benchmark model is EfficientNetV2-L)

	Top-1 err. (%) ↓	Top-5 err. (%) ↓
momentum SGD [8]	39.03	16.19
RAdam [21]	36.12	12.66
Lion [10]	41.33	17.03
SophiaG [11]	41.01	15.42
AdamNX (Ours)	<b>35.66</b>	<b>12.37</b>

Table 12 shows the comparative experimental results with the baseline model being ConvNeXt-B. To adapt to the image resolution in CIFAR, ConvNeXt-B only retained the last three downsamplings, all convolutional kernel sizes were set to (3, 3), and the padding was set to (1, 1). For momentum SGD, the momentum was set to 0.9, the peak learning rate was set to 0.01, the minimum learning rate was set to  $1 \times 10^{-4}$ ,  $t_1$  was set to 37,488, and the weight decay rate was set to  $1 \times 10^{-3}$ ; for Lion, the peak learning rate was set to  $1 \times 10^{-4}$ , the minimum learning rate was set to  $1 \times 10^{-6}$ ,  $t_1$  was set to 37,488, and the weight decay rate was set to 0.1; for RAdam, SophiaG, and AdamNX, the peak learning rate was set to  $1 \times 10^{-3}$ , the minimum learning rate was set to  $1 \times 10^{-5}$ ,

$t_1$  was set to 37,488, and the weight decay rate was set to 0.01. As shown in Table 11, our AdamNX achieved the lowest top-1 error rate of 32.87% and the lowest top-5 error rate of 10.72% on ConvNeXt-B.

Table 12: Image classification results on CIFAR-100 for different types of optimization algorithms (benchmark model is ConvNeXt-B)

	Top-1 err. (%) ↓	Top-5 err. (%) ↓
momentum SGD [8]	63.83	33.66
RAdam[21]	35.45	11.95
Lion[10]	35.60	12.54
SophiaG[11]	37.39	13.48
AdamNX (Ours)	<b>32.87</b>	<b>10.72</b>

## 7. Conclusion

This paper proposes a novel AdamNX, whose core innovation lies in the novel  $\hat{\beta}_{2,t} = (1 - \beta_2^{(1-\beta_2)(t-1)}) / (1 - \beta_2^{(1-\beta_2)t})$ , which gradually reduces the correction strength of the learning step as the training progresses, thus degenerating to momentum SGD during the training plateau phase. The experimental results show that the proposed  $\hat{\beta}_{2,t}$  is superior to the  $\hat{\beta}_{2,t}$  of the existing optimization algorithms, and AdamNX also performs better than the existing optimization algorithms. Future work includes whether the computational requirements of AdamNX can be reduced.

## Acknowledgments

This work was partly supported by the National Natural Science Foundation of China (Grant No. 62076117) and the Jiangxi Provincial Key Laboratory of Virtual Reality (Grant No. 2024SSY03151).

## References

- [1] D. E. Rumelhart, G. E. Hinton, R. J. Williams, Learning representations by back-propagating errors, Nature 323 (6088) (1986) 533–536. doi:10.1038/323533a0.

- [2] D. P. Kingma, J. Ba, Adam: A method for stochastic optimization, in: Proceedings of the International Conference on Learning Representations, 2015, pp. 1–15.
- [3] I. Loshchilov, F. Hutter, Fixing weight decay regularization in adam (2017).  
URL <http://arxiv.org/abs/1711.05101>
- [4] H. Touvron, L. Martin, K. Stone, et al., Llama 2: Open foundation and fine-tuned chat models (2023).  
URL <https://arxiv.org/abs/2307.09288>
- [5] J. Jumper, R. Evans, A. Pritzel, et al., Highly accurate protein structure prediction with alphafold, *Nature* 596 (7873) (2021) 583–589. doi:10.1038/s41586-021-03819-2.
- [6] A. Merchant, S. Batzner, S. S. Schoenholz, et al., Scaling deep learning for materials discovery, *Nature* 624 (7990) (2023) 80–85. doi:10.1038/s41586-023-06735-9.
- [7] S. K. Kim, R. Shousha, S. M. Yang, et al., Highest fusion performance without harmful edge energy bursts in tokamak, *Nature Communications* 15 (1) (2024) 3990–4001. doi:10.1038/s41467-024-48415-w.
- [8] I. Sutskever, J. Martens, G. Dahl, G. Hinton, On the importance of initialization and momentum in deep learning, in: Proceedings of the International Conference on International Conference on Machine Learning, 2013, p. 1139–1147.
- [9] T. Tieleman, G. Hinton, Rmsprop: Divide the gradient by a running average of its recent magnitude (2012).  
URL <https://cir.nii.ac.jp/crid/1370017282431050757>
- [10] X. Chen, C. Liang, D. Huang, et al., Symbolic discovery of optimization algorithms, in: Proceedings of the International Conference on Neural Information Processing Systems, 2023, pp. 1–30.

- [11] H. Liu, Z. Li, D. L. W. Hall, et al., Sophia: A scalable stochastic second-order optimizer for language model pre-training, in: Proceedings of the International Conference on Learning Representations, 2024, pp. 1–30.
- [12] K. Jordan, Y. Jin, V. Boza, et al., Muon: An optimizer for hidden layers in neural networks (2024).
 

URL <https://kellerjordan.github.io/posts/muon>
- [13] N. S. Keskar, R. R. Socher, Improving generalization performance by switching from adam to sgd (2017).
 

URL <http://arxiv.org/abs/1712.07628>
- [14] Z. Xie, X. Wang, H. Zhang, et al., Adaptive inertia: Disentangling the effects of adaptive learning rate and momentum, in: Proceedings of the International Conference on Machine Learning, Vol. 162, 2022, pp. 24430–24459.
- [15] I. Gitman, H. Lang, P. Zhang, L. Xiao, Understanding the role of momentum in stochastic gradient methods, in: Proceedings of the International Conference on Neural Information Processing Systems, Vol. 32, 2019, pp. 1–11.
- [16] A. Ramezani-Kebrya, K. Antonakopoulos, V. Cevher, et al., On the generalization of stochastic gradient descent with momentum, Journal of Machine Learning Research 25 (22) (2024) 1–56.
- [17] S. Zhao, C. Shi, Y. Xie, W. Li, Stochastic normalized gradient descent with momentum for large-batch training, SCIENCE CHINA Information Sciences 67 (11) (2024) 1–15. doi:10.1007/s11432-022-3892-8.
- [18] J. Duchi, E. Hazan, Y. Singer, Adaptive subgradient methods for online learning and stochastic optimization, The Journal of Machine Learning Research 12 (2011) 2121–2159.
- [19] S. J. Reddi, S. Kale, S. Kumar, On the convergence of adam and beyond, in: Proceedings of the International Conference on Learning Representations, 2018, pp. 1–23.

- [20] N. Shazeer, M. Stern, Adafactor: Adaptive learning rates with sublinear memory cost, in: Proceedings of the International Conference on Machine Learning, Vol. 80, 2018, pp. 4596–4604.
- [21] L. Liu, H. Jiang, P. He, et al., On the variance of the adaptive learning rate and beyond, in: Proceedings of the International Conference on Learning Representations, 2020, pp. 1–13.
- [22] W. Li, Z. Zhang, X. Wang, P. Luo, Adax: Adaptive gradient descent with exponential long term memory (2020).  
 URL <https://openreview.net/forum?id=r11-5pEtDr>
- [23] A. Paszke, S. Gross, F. Massa, et al., Pytorch: An imperative style, high-performance deep learning library, in: Proceedings of the International Conference on Neural Information Processing Systems, Vol. 32, 2019, pp. 1–12.
- [24] A. Krizhevsky, G. Hinton, Learning multiple layers of features from tiny images (2009).  
 URL <https://citiseseerx.ist.psu.edu>
- [25] M. Everingham, J. Winn, The pascal visual object classes challenge 2012 (voc2012) development kit, Pattern Analysis, Statistical Modelling and Computational Learning, Tech. Rep 8.
- [26] B. Hariharan, P. Arbeláez, L. Bourdev, et al., Semantic contours from inverse detectors, in: Proceedings of the International Conference on Computer Vision, 2011, pp. 991–998. doi:10.1109/ICCV.2011.6126343.
- [27] M. Tan, Q. Le, Efficientnetv2: Smaller models and faster training, in: Proceedings of the International Conference on Machine Learning, Vol. 139, 2021, pp. 10096–10106.
- [28] Z. Liu, H. Hu, Y. Lin, et al., Swin transformer v2: Scaling up capacity and resolution, in: Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition, 2022, pp. 12009–12019.

- [29] C. Wang, A. Bochkovskiy, H. Liao, Yolov7: Trainable bag-of-freebies sets new state-of-the-art for real-time object detectors, in: Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition, 2023, pp. 7464–7475. doi:10.1109/CVPR52729.2023.00721.
- [30] O. Ronneberger, P. Fischer, T. Brox, U-net: Convolutional networks for biomedical image segmentation, in: Proceedings of the Medical Image Computing and Computer-Assisted Intervention, 2015, pp. 234–241.
- [31] A. Krizhevsky, I. Sutskever, G. E. Hinton, Imagenet classification with deep convolutional neural networks, in: Proceedings of the International Conference on Neural Information Processing Systems, Vol. 25, 2012, pp. 1–9.
- [32] I. Radosavovic, R. P. Kosaraju, R. Girshick, et al., Designing network design spaces, in: Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition, 2020, pp. 10428–10436.
- [33] D. E. Rumelhart, G. E. Hinton, R. J. Williams, Learning representations by back-propagating errors, Nature 323 (6088) (1986) 533–536. doi:10.1038/323533a0.
- [34] F. Milletari, N. Navab, S. A. Ahmadi, V-net: Fully convolutional neural networks for volumetric medical image segmentation, in: Proceedings of the International Conference on 3D Vision, 2016, pp. 565–571. doi:10.1109/3DV.2016.79.

## Appendix A. Downsampling and exponentially weighted smoothing code

```

1 import pandas as pd
2 from matplotlib import pyplot as plt
3 from pathlib import Path
4
5 from plotting_config import *
6 from som_decay_rate_config import *
7
8 log_dir = Path("./excels")
9 T = 62480
10 step = max(1, T // 400)
11 window = max(5, step * 0.02)

```

```

12 alpha = 2 / (window + 1)
13
14 plt.rcParams.update(plt_update)
15 fig, ax = plt.subplots(figsize=figsize)
16
17 for i, file in enumerate(log_dir.glob("*.xlsx")):
18     df = pd.read_excel(file)
19
20     ds = df.iloc[::-step]
21     smooth = ds['loss'].ewm(alpha=alpha).mean()
22     file_stem = file.stem.split(" ")[-1]
23     label = r"\overset{\frown}{\beta}_{2,t} " + " of " + file_stem
24     plt.plot(range(1, len(smooth)+1), smooth, linewidth=1.2, alpha=0.8,
25               label=label,
26               linestyle=linestyles[file_stem], color=colors[file_stem
27               ])
28
29
30 plt.xlabel("iteration $t$")
31 plt.ylabel('training loss (smoothed)')
32 plt.grid(alpha=0.3)
33 plt.legend()
34 plt.tight_layout()
35 save_path = f"pdfs/som-decay-rate-train-loss-vs-iter-step{step}.pdf"
36 fig.savefig(save_path, bbox_inches='tight', pad_inches=0)
37
38 plt.close()

```