- 1. (6 points) Of the following statements, identify all that hold about XML.
 - A. XML is valuable for e-business because it provides the desired level of conceptual modeling needed in e-business

Solution: A is false: XML doesn't offer conceptual modeling as such

B. XML has a natural match with messaging middleware but can be effectively used even without messaging middleware

Solution: B is true:

C. The XML Schema instance namespace offers some essential terms (elements or attributes) to be used within a schema-compliant XML document

Solution: C is true:

- 2. (6 points) Of the following statements, identify all that hold about names, identifiers, and namespaces.
 - A. The main prerequisite for a unique identifier scheme is the existence of an architecture by which identifiers can be resolved

Solution: A is false: an identifier scheme requires only social agreement, not an architecture; unlike locations, identifiers don't need to be resolved as such

B. A URL is simply a URI for which a resource exists

Solution: B is false: a URL purports to specify a resource location (based on a scheme that corresponds to an architecture for resolving said scheme) but many URLs fail to help locate resources; the existence or otherwise of a resource is irrelevant—what matters if there is an architecture for resolving it

C. A URL of the http scheme for which the expected resource doesn't exist can still be a viable URI

Solution: C is true: as long as people agree that it identifies a resource, for example, with the XML Schema namespace URI: the existence of a resource is irrelevant

- 3. (30 points) Of the following statements, identify all that hold about XML keys, integrity constraints, and other aspects of relating XML to databases:
 - A. Common business documents such as real-life purchase orders and repair manuals are typically better treated in the document-centric view than the data-centric view

Solution: A is true: business documents are typically structured in semiregular ways

B. In the document-centric view, we would generally find real-life documents that have no or few elements with mixed content

Solution: B is false: although mixed content is not fundamentally needed, mixed content elements would be common in document-centric settings, e.g., in XHTML documents or snippets that contain natural language words, some of them marked up with formatting elements

C. Minimizing NULL values is an important criterion in designing the relational schema corresponding to a set of XML documents

Solution: C is true: minimizing NULL values is an important criterion in general, and also in connection with relational schemas designed to capture XML documents

D. Consider three tables capturing the typical Student-takes-Course scenario via suitable primary and foreign keys; then, it is possible to map all the information in these three tables into a single element $\langle Student \rangle$ with zero or more subelements $\langle Course \rangle$ and no explicit representation of takes

Solution: D is true:

E. The XML representation proposed in Problem D above offers efficient query processing for finding all the students taking a particular course

Solution: E is false: the proposed representation places the course information within the student; thus this representation is efficient for finding all courses taken by a student but not efficient for finding all the students taking a particular course

F. The XML Root as defined in SQL/XML is identical to the root of the XML InfoSet

Solution: F is false: XML Root as defined in SQL/XML allows a forest whereas the root in XML InfoSet allows only a single tree

G. XML supports creating NULL elements for each element type that we wish to define as nillable

Solution: G is true:

H. SQL/XML Publishing functions can be used in a SELECT query to output serialized representations of XML elements, possibly even including subelements and attributes

Solution: H is true:

I. What makes it difficult to represent an XML document as a set of tables is that every table has a key but not every XML document has a key

Solution: I is false:

J. Shredding is an approach for representing an XML document as a set of relations

Solution: J is true:

K. If our goal is to capture the information in an XML document and not to require reconstructing the XML document, then it is safe to skip the so-called wrapper elements

Solution: K is true: the wrapper elements are not themselves informative

L. Except for the introduction of XML Type as a data type, SQL/XML makes no substantial change to the traditional SQL DDL

Solution: L is true:

M. In a number of settings, SQL/XML treats char, varchar, and clob as if they were more or less interchangeable string-like data types

Solution: M is true: for xmlparse, extractValue, and so on

N. SQL/XML SELECT queries output rows some of whose cell values may be XML elements

Solution: N is true:

O. When defining a table in SQL/XML, the XML Type must always be marked NOT NULL, since we should express a null value in the style of <code>\lambda elem xsi:nil='true'/\rangle</code>

Solution: O is false: our example schema allows NULL, for instance

- 4. (34 points) Of the following statements, identify all that hold about architecture.
 - A. Identity management is an important infrastructure module in enterprise architectures

Solution: A is false:

B. The data management module in an enterprise is expected to ensure integrity of the data, to support recovery from various kinds of failures, and to host the application logic

Solution: B is false:

C. In three-tier architecture, the business logic resides in the middle tier

Solution: C is true:

D. The architecture of an IT system presents a high-level model of the system, including its key components and interconnections, and potentially any constraints on them

Solution: D is true:

E. Just like architectures for buildings, all IT architectures are equally good; the only reasons for choosing one are matters of personal taste

Solution: E is false: business requirements are crucial in judging the relative goodness of different architectures

F. An "ility" is any of the qualities of service typically associated with aspects other than its input-output behavior; these aspects include availability, reliability, maintainability, and such

Solution: F is true:

G. An architecture in an established field such as networking or power systems is specified by the products that the major vendors promote in that field

Solution: G is false:

H. IT Governance deals with the administration of an IT system from the perspective of its stakeholders (users, staff, business partners)

Solution: H is true:

I. Under the data-centric view of XML documents, the Database Administrator (DBA) has an important role in IT Governance regarding designing document schemas and the storage of documents

Solution: I is true:

J. Upgrading an IT system so it offers direct web access to users means that you might need to consider different peak loads, but nothing else changes

Solution: J is false: also questions of user satisfaction, user assistance, security, installing software upgrades, and backward compatibility

K. A business service is characterized by the value it offers one or more stakeholders

Solution: K is true:

L. A business service is characterized by an underlying or associated financial exchange

Solution: L is false: a business service is about value transfer. Notice the contrast here is between "business" service and "technical" service. Although a financial exchange can occur with some business services, finance doesn't characterize business services. A business service can occur without financial exchange as when a consulting practice (such as IBM Global Services) installs network equipment from an equipment vendor (such as Cisco): their customer pays both parties but the vendor and the practice provide services to each other in addition to the services they provide their customer

M. A business service offering is different from a goods offering in that a business service inherently involves coproduction

Solution: M is true: coproduction means that the parties to a service engagement (nominally, service consumer and provider) interact to realize the value transferred during the service engagement

N. A business service is intangible but goods are tangible

Solution: N is false: many goods are intangible (e.g., digital media) and some services include components that are tangible (e.g., food at a restaurant)

O. In linguistic terms, a business process corresponds to an achievement because it is directed toward a well-defined ending point

Solution: O is false: a business process has some duration, so it is an accomplishment

P. Accounting and auditing are examples of business-level responsibilities that can be understood as crosscutting concerns on par with logging at a technical level

Solution: P is true:

Q. We define latency as a nonfunctional property because it has no bearing on the functionality of a given service

Solution: Q is false: as discussed in class, latency can be significant for the functionality—e.g., a 24-hour wire transfer service for funds depends upon being completed on time

- 5. (24 points) Of the following statements, identify all that hold about architecture.
 - A. Software development is far and away the most important phase in the typical business service life cycle followed by an IT organization

Solution: A is false: identifying needs before development and operating and maintaining a service after development are both highly important; most of an IT organization's effort goes into such phases—and the operation and maintenance phases may potentially never end

B. A connector in an architecture can sometimes be viewed as a component in its own right

Solution: B is true: as we discussed in class, a connector at one level of abstraction such as electrical wiring or a message queue can itself be viewed as a component at another level of abstraction

C. The purpose of an architecture in an IT setting is to nail down the implementation of an IT system

Solution: C is false: an architecture ought not to nail down the implementation of an IT system

D. In a well-defined approach for IT governance, each individual staff member, user, and other stakeholder plays exactly one organizational role

Solution: D is false: almost impossible to ensure such a restriction in real-life settings; moreover, the more well-defined the organization the more crisply defined its roles and the greater the odds that a stakeholder will play more than one role

E. The main value of application servers is that they are an architectural component that integrate considerations of business logic with considerations of infrastructure

Solution: E is false: instead application servers help *separate* business logic from infrastructure: the developer creates programs without knowing infrastructure details and the IT administrators manage the infrastructure without knowing the business logic

F. Middleware in an IT setting refers exclusively to networking components that come in the "middle" between interacting business partners

Solution: F is false: middleware is broader than than and includes messaging *within* an enterprise, for example

G. One of the important payoffs of developing an open architecture is that it yields complete specifications of the architectural components that can function in the system being architected

Solution: G is false: an open architecture merely specifies how the components may interact, not the complete specifications of their implementations

H. The emerging trend in middleware is the increasing development of explicit middleware to replace older implicit middleware

Solution: H is false: the trend is toward implicit middleware, employing which doesn't require changing the existing codebase

I. DoDAF, The Department of Defense Architecture Framework, provides a standardized methodology for developing an enterprise architecture

Solution: I is true: DoDAF calls for a series of documents culminating in an architecture; how the architecture would itself be implemented in a running system is not an emphasis of DoDAF

J. DoDAF, The Department of Defense Architecture Framework, was motivated to develop and maintain short-lived information systems that arise in agile environments in the military and elsewhere

Solution: J is false: DoDAF is intended for systems of lifetimes measured in decades

K. ReST is an ideal architectural style for the web because it supports multiparty interactions, such as among a payer, a payee, a bank, and a credit-card company to handle payment

Solution: K is false: ReST deals with two parties at a time: one as a client; one as a server

L. An architecture needs to consider system requirements but not factors like the skills of the enterprise staff or of the workforce broadly

Solution: L is false: