Course: CSC707, Automata, Computability and Computational Theory

**Homework 3**: Self-reduction and Approximability.

**Submission:** Use Wolfware **File Format:** LaTeX and PDF

Due Date: 2:00 A.M. (EST), Thursday, February 18, 2010

1. Provide any feedback/questions you may have on this homework (optional).

2. Using LaTeX is required.

1. **Self-reducibility**: Let G denote a finite, simple, undirected graph. Let k denote an arbitrary, positive integer.

- (a) Reduce the problem of finding a coloring of G with k or fewer colors to the problem of deciding whether such a coloring exists. **Solution:** Given a graph G and a decision version of algorithm, D, that solves the problem of k-coloring in a graph
  - i. mark all the vertices in G white
  - ii. call D(G, k), if answer "no", output empty and stop;
  - iii. construct a clique of size k, and color the vertices in the clique with different colors (except white)
  - iv. pick any vertex v is colored white, connect v with k-1 vertices in the clique
  - v. call D(G, k), if answer "no", connect v with other k-1 vertices in the clique, repeat step iv.
  - vi. if answer "yes", mark v with the color of the vertex in the clique that is not connected with v.
  - vii. repeat step iii until all the vertices are not colored white

After these steps, every vertex in G will be colored.

(b) Reduce the problem of finding a vertex cover of size k in polynomial time to the problem of deciding whether such a cover exists in polynomial time.

Given a graph G and a decision version of algorithm, D, that solves the problem of vertex cover in a graph

- i. call D(G, k), if answer "no", output empty and stop;
- ii. construct G': add vertex  $v_{new}$  to G; pick vertex v that has not been marked, add an edge  $(v, v_{new})$  to G
- iii. call D(G, k)

- iv. if answer "yes", mark v as the vertex in the vertex cover, G=G' and repeat step ii
- v. if answer "no", remove v and  $v_{new}$  from G and repeat step ii

After these steps, every vertex in vertex cover of G will be marked.

(c) The Independent Set problem is defined as follows. (Problem 4 from  $\mathrm{HW}2)$ 

Set Cover problem is defined as follows:

INSTANCE: A graph G = (V, E) and a positive integer  $k \leq |V|$ .

QUESTION: Does G contain an indepenent set of size k or more, i.e., a subset  $V' \subseteq V$  and  $|V'| \ge k$  such that no two vertices in V' are joined by an edge in E?

Suppose you are given a graph, G = (V, E), and an integer k as input with |V| = n. And suppose you are given an algorithm, D, that solves the decision version of the Independent Set problem in time T(n, k).

- i. Use D to find the size of the maximum independent set, and state the time complexity involved.
- ii. Use D in a self-reduction to solve the search version of the independent set problem, and state the time complexity involved.

## Solution:

i. Use D to find the size of the maximum independent set: Given a graph G,

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for k = n to 1
call D(G, k)
if D(G, k) are
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if D(G, k) answer "yes" , then output k and stop

Since in the worse case, we call D n=|V| times, the time complexity is  $T'(n,k)=O(n\ast T(n,k))$ 

ii. Use D in a self-reduction to solve the search version of the independent set problem:

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Given a graph G = (V, E)
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call D(G,k) if D(G) answer "no", then output empty and stop else

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while G is not empty and k > 0
pick any v \in V
construct G' by removing v from V
call D(G', k)
if D(G', k) answer "yes", G = G'
else add v to IS, k = k - 1,
construct G'' by removing v and its neighbor vertices G = G''
output IS and stop
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Since in the worse case, we need to call D n = |V| times, and construct G' or G'' requires O(|V| + |E|), the time complexity is T'(n,k) = O(n \* T(n,k) + |V| + |E|)

## 2. Approximability:

(a) If  $P \neq NP$ , then for any constant  $c \geq 1$ , there is no polynomial-time c-approximation algorithm for a general Traveling Salesman Problem. (Hint: Show that HAM-CYCLE can be solved in polynomial time. Also, see the additional hint in the lecture slides.)

## Solution:

- (a) i. We first prove that HAM-CYCLE problem can be solved by using the c-approximation algorithm for a general Traveling Salesman Problem. Given a undirected and unweighted graph G, we can create a complete graph G' of G by adding missing edges and assign weight to each edge. For the edges in G, we assign 1 for the weight. For the edges not in G, we assign c\*|V|+1 for the weight. This reduction can be done in polynomial time (O(|V|\*|E|)). Using the c-approximation algorithm for TSP, we can get a TSP tour of weight W. If W>c\*|V|, then we know this tour pick at least one edge that is not in G (since every edge in G is assigned weight of 1) and G does not have HAM-CYCLE. Otherwise, G has a HAM-CYCLE.
  - ii. Since the reduction from HAM-CYCLE to TSP is in polynomial time, if there exists a polynomial-time c-approximation algorithm for a general Traveling Salesman Problem, HAM-CYCLE can be solved in polynomial time. We already know that HAM-CYCLE  $\in NP$ . If it can be solved in polynomial time, then P = NP, which contradicts with out assumption that  $P \neq NP$ . Thus, there is no polynomial-time c-approximation algorithm for a general Traveling Salesman Problem.