

**Course:** CSC707, Automata, Computability and Computational Theory

**Homework 1:** Functions, Sets, Proofs, Induction, Countability

**Submission:** Use Wolfware

**File Format:** Both LaTeX and PDF

**Due Date: 2:00 AM, Tuesday, January 19, 2010**

1. Specify an estimate time spent (minutes) on each sub-problem (**optional**).
  2. No penalty for skipping up to three (3) sub-problems at your choosing.
  3. What sub-problems you would like us to discuss in class (**optional**).
  4. Provide any feedback/questions you may have on this homework (**optional**).
  5. Using LaTeX is required.
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1. Prove or disprove the countability of each of the following sets:

- (a) \_\_\_\_\_  $S = \{f : N \rightarrow N \mid f \text{ is total and, } \forall i \in N, f(i) \leq 2i\}$
- (b) \_\_\_\_\_ The set of all finite sequences of natural numbers
- (c) \_\_\_\_\_ The set of all subsets of a countable set
- (d) \_\_\_\_\_  $S = \{1, 3, 5\}$
- (e) \_\_\_\_\_ The set of all ordered pairs of integers

**Answers:**

- (a) **We hope to discuss this sub-problem in the class.**

For  $S = \{f : N \rightarrow N \mid f \text{ is total and, } \forall i \in N, f(i) \leq 2i\}$ , every  $x \in N$  maps to a finite subset of  $N$ :

$$1 \rightarrow \{1, 2\}, 2 \rightarrow \{1, 2, 3, 4\}, \dots, n \rightarrow \{1, 2, 3, \dots, 2 * n\}, \dots$$

Since the countable union of countable sets:  $\bigcup_{j=1}^{\infty} S_j$ ,  $S_j$  is countable for  $\forall j$ ,  $S = \{f : N \rightarrow N \mid f \text{ is total and, } \forall i \in N, f(i) \leq 2i\}$  is countable.

- (b) The set of all finite sequences of natural numbers consists of 1-length sequences of  $N$ , 2-length sequences of  $N$ , ...,  $n$ -length sequences of  $N$  and so on. All these  $n$ -length sequences of  $N$ ,  $n \in N$ , are countable. Since the countable union of countable sets is countable, the set of all finite sequences of natural numbers is countable.

- (c) The set of all subsets of a countable set is countable.  
 Assume a countable set  $S = \{s_1, s_2, \dots, s_n, \dots\}$ , we can create a function to map a sequence  $A = \langle a_1, a_2, \dots, a_n, \dots \rangle$  to a subset of  $S$ . For example, given a subset  $S'$  of  $S$ , if  $s_1 \notin S'$ , then  $a_1 = 0$  in the corresponding sequence  $A'$  for  $S'$ . This function maps the countable set "sequence of  $S$ " onto the set of all subsets of a countable set  $S$ . Thus, the set of subsets of a countable set is countable.
- (d)  $S = \{1, 3, 5\}$  is countable since  $|S|$  is finite, which is 3.
- (e) I provide two solutions to it:
- The set of all ordered pairs of integers is the cross-product of the set of integers,  $Z \times Z$ . Since the set of integers,  $Z$ , is countable and  $\prod_{j=1}^{\infty} S_j$  is countable for  $\forall j$ ,  $Z \times Z$  is countable. Thus, the set of all ordered pairs of integers is countable.
  - I use a function that is similar to the one that counts the ordered pairs of natural numbers. For an ordered pair of integers,  $(i, j)$ , I can compute their absolute values,  $(|i|, |j|)$ , and use the function  $\frac{(|i|+|j|-2)(|i|+|j|-1)}{2} + |i|$  to compute the corresponding mapping value  $k$ . In this way, we can map the  $k$  value of the integer pairs,  $(i, j), i > 0, j > 0$ , to  $4k + 1$ , the  $k$  value of the integer pairs,  $(i, j), i < 0, j > 0$ , to  $4k + 2$ , the  $k$  value of the integer pairs,  $(i, j), i < 0, j < 0$ , to  $4k + 3$ , and the  $k$  value of the integer pairs,  $(i, j), i > 0, j < 0$ , to  $4k + 4$ . First I construct a function  $f(i, j) : Z \rightarrow N, i \in Z, j \in Z, i \neq 0, j \neq 0$ :

$$f(i, j) = \begin{cases} f(i, j) = 4 * \left( \frac{(|i|+|j|-2)(|i|+|j|-1)}{2} + |i| \right) + 1 & \text{if } i > 0, j > 0 \\ f(i, j) = 4 * \left( \frac{(|i|+|j|-2)(|i|+|j|-1)}{2} + |i| \right) + 2 & \text{if } i < 0, j > 0 \\ f(i, j) = 4 * \left( \frac{(|i|+|j|-2)(|i|+|j|-1)}{2} + |i| \right) + 3 & \text{if } i < 0, j < 0 \\ f(i, j) = 4 * \left( \frac{(|i|+|j|-2)(|i|+|j|-1)}{2} + |i| \right) + 4 & \text{if } i > 0, j < 0 \end{cases}$$

Our new function use the same function to compute the corresponding mapping value  $k$  by replacing  $i, j$  with  $|i|, |j|$  and map  $(i, j)$  to  $4k + x$  based on which quadrant  $(i, j)$  belong to. Since the function  $f(i, j) = \frac{(|i|+|j|-2)(|i|+|j|-1)}{2} + |i|, i \in N, j \in N$  is bijection, our function is also bijection. Thus, the ordered pairs of  $(i, j), i \in Z, j \in Z, i \neq 0, j \neq 0$  is countable. I can construct the whole ordered pairs of integers by unioning the finite set of ordered pairs  $(i, j), i \in Z, j \in Z, i = 0$  or  $j = 0$ . Since both sets are countable, their union is countable, too.

2. Define the contrapositive (NOT) for each of the two statements:

- (a) \_\_\_\_\_  $A \text{ AND } (\text{NOT } B) \rightarrow C \text{ OR } (\text{NOT } D)$

(b) \_\_\_\_\_  $\exists p : \forall x \in L \exists u, v, w$  such that:

- i.  $x = uvw$
- ii.  $|uv| \leq p$
- iii.  $|v| > 0$
- iv.  $\forall k \geq 0, uv^k w \in L$

**Answer:**

(a) (NOT  $C$ ) AND  $D \rightarrow$  (NOT  $A$ ) OR  $B$

(b)  $\forall p : \exists x \in L \forall u, v, w$  such that:

- i.  $x \neq uvw$  OR
- ii.  $|uv| > p$  OR
- iii.  $|v| \leq 0$  OR
- iv.  $\exists k \geq 0, uv^k w \notin L$

3. Correct (if necessary) each of the following claims and prove the claims:

(a) \_\_\_\_\_ For  $\forall x, 2^x \geq x^2$

(b) \_\_\_\_\_ There is no pair of integers  $a$  and  $b : a \bmod b = b \bmod a$

(c) \_\_\_\_\_  $\sum_{i=0}^n i^3 = \left( \sum_{i=0}^n i \right)^2$

**Answer:**

(a) The claim,  $\forall x, 2^x \geq x^2$ , is not correct: When  $x = -2, 2^x = \frac{1}{4} < x^2 = 4$ .

The corrected claim is:  $\forall x \geq 4, 2^x \geq x^2$ .

**Prove:** First I use induction to prove  $2^x \geq 2x + 1, \forall x \geq 4$ .

When  $x = 4, 2^x = 16, 2x + 1 = 9, 2^x \geq 2x + 1$

Assume  $x > 4, 2^x \geq 2x + 1$ , then we have:

$2^{x+1} = 2 * 2^x \geq 2x + 1 + 2 = 2(x + 1) + 1$  Thus,  $2^x \geq 2x + 1, \forall x \geq 4$ .

Then I use induction to prove  $\forall x \geq 4, 2^x \geq x^2$ : When  $x = 4, 2^x = 16, x^2 = 16, 2^x \geq x^2$

Assume  $x > 4, 2^x \geq x^2$ , then we have:

$2^{x+1} = 2 * 2^x \geq x^2 + 2x + 1 = (x + 1)^2 \Rightarrow 2^{x+1} \geq (x + 1)^2$

Thus,  $2^x \geq 2x + 1, \forall x \geq 4$ .

(b) The claim, there is no pair of integers  $a$  and  $b : a \bmod b = b \bmod a$ , is not correct.

**Prove:** If  $a = b$ , then  $a \bmod b = 0, b \bmod a = 0$  and  $a \bmod b = b \bmod a$ , which means the claim is not correct. Therefore, the claim should be changed to: there is no pair of distinct non-zero integers  $a$  and  $b : a \bmod b = b \bmod a$ . Prove: When  $ab = 0, a \bmod b, b \bmod a$ , or both  $a \bmod b$  and  $b \bmod a$  is invalid since the modulo can not be 0. When  $ab < 0$ , then  $(a \bmod b) * (b \bmod a) < 0$  and  $(a \bmod b) \neq (b \bmod a)$ . When  $ab > 0$  and assume  $|a| > b, b \bmod a = b$  and

$a \bmod b \neq b$ . Therefore, the claim, there is no pair of distinct non-zero integers  $a$  and  $b$  :  $a \bmod b = b \bmod a$ , is correct.

(c) Prove: The sum of cubes can be represented as:  $\sum_{i=0}^n i^3 = \frac{n^2 * (n+1)^2}{4}$ .

Since  $\sum_{i=0}^n i = \frac{n * (n+1)}{2}$ ,  $\left(\sum_{i=0}^n i\right)^2 = \left(\frac{n * (n+1)}{2}\right)^2 = \frac{n^2 * (n+1)^2}{4}$ ,  $\sum_{i=0}^n i^3 = \left(\sum_{i=0}^n i\right)^2$ . The claim is correct.

4. Prove or disprove each of the following claims:

- (a) \_\_\_\_\_  $\sqrt{2}$  is a rational number
- (b) \_\_\_\_\_ Any even number is composite (i.e. not prime)

**Answer:**

- (a) Disprove: Assume  $\sqrt{2}$  is a rational number. Thus, we can have:  $\sqrt{2} = \frac{a}{b}$  such that  $a$  and  $b$  do not have common divisor. From  $\sqrt{2} = \frac{a}{b}$ , we can have:  $2 = \frac{a^2}{b^2}$ , from which we can further get  $a^2 = 2 * b^2$ . Then we know  $a^2$  is an even number and  $a$  must be an even number, since the square of an odd number can not be an even number. Knowing that  $a$  is an even number, we can replace  $a$  with  $2 * k$  and we have:  $4 * k^2 = 2 * b^2 \Rightarrow b^2 = 2 * k^2$ , which means that  $b$  is also an even number. If  $a$  and  $b$  are both even numbers, then they have a common divisor, 2, which means that we have a conflict with our assumption that  $a$  and  $b$  do not have common divisor. Therefore,  $\sqrt{2}$  is not a rational number.
- (b) Disprove: 2 is an even number since it can be divided by itself. 2 is also a prime number since it has exactly two distinct natural number divisors, 1 and 2. Thus, not every even number is composite.

5. State (without proof) if a definition is *one-to-one*, *onto*, or a *bijection*.

- (a) \_\_\_\_\_  $f : Z \rightarrow Z \mid f(i) = |i|$
- (b) \_\_\_\_\_  $f : N \rightarrow \{0, 1, 2, 3\} \mid f(i) \equiv i \bmod 4$
- (c) \_\_\_\_\_  $f : \{1, 2, 3\} \rightarrow \{1, 2, 3\} \mid f(1) = 3, f(2) = 1, f(3) = 2, f(2) = 3$

**Answer:**

- (a)  $f : Z \rightarrow Z \mid f(i) = |i|$  is not *one-to-one*, *onto*, or a *bijection*.
- (b)  $f : N \rightarrow \{0, 1, 2, 3\} \mid f(i) \equiv i \bmod 4$  is *onto*.
- (c)  $f : \{1, 2, 3\} \rightarrow \{1, 2, 3\} \mid f(1) = 3, f(2) = 1, f(3) = 2, f(2) = 3$  is *onto*.

6. **The ugly proof.**

- (a) Choose any of the above sub-problems.
- (b) Provide an **ugly** solution to this sub-problem.

The ‘**ugliest solution**’ is the one that contains either *common* or *hard-to-catch* mistakes. The more mistakes the better, but the *elegancy* of the mistakes will be valued higher.

**Answers:**

- (a) I choose problem 1(c): prove or disprove the set of all subsets of a countable set.
- (b) The set of all subsets of a countable set is countable. A subset of a countable set is countable and the set of all subsets of a countable set can be viewed as the countable union of its subsets. Since the countable union of countable sets:  $\bigcup_{j=1}^{\infty} S_j$  is countable for  $\forall j$ , the set of all subsets of a countable set is countable.

**The problem of this ugly proof is: the union of all its subsets equals itself.**