

## Adaptive Dose-Ranging Study in Multiple Sclerosis

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# Outline

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- Clinical setting
- Methodological challenges
- Simulations
- Conclusions

# Clinical setting

- MS is a chronic dysimmune inflammatory disease of the CNS, affecting up to 2.5 million people worldwide (~400,000 US), mainly young adults; women are affected twice as often as men
- Disease has profound effects on an individual's daily activities
  - Cognitive and physical disability associated to relapses and progression lead to severe limitations related to work and social functioning
  - Within 15 years of onset, if untreated, 50% will require aids for ambulation or worse
- Marketed compounds: IFN, GA, Mitox., Nataliz.; Increasing number of effective therapy choices (Fingolimod, Cladribine, x-umab)
- Need to sharpen the **dose-exposure-response characterization** to optimize the strategy of ph3 trials,
- Need to **minimize exposure to placebo**

# Clinical setting

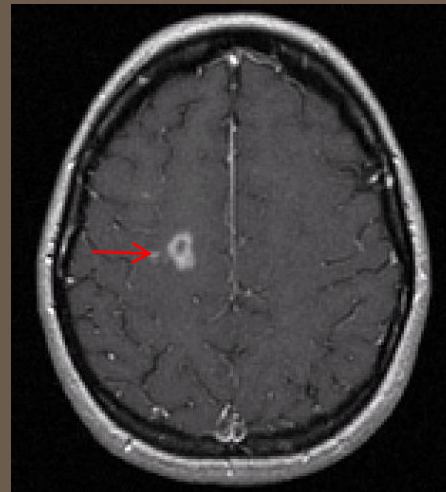
## *Challenges in assessing efficacy in ph2 MS trials*

- In typical ph2 study in RRMS, the primary clinical endpoint is the change in lesion pattern of the CNS detected by magnetic resonance imaging (MRI)

***Hyperintense  
 $T_2$ -weighted lesions***



***Gd-enhanced  
 $T_1$ -weighted lesions***



- Such changes serve as basis for study design (dose, N, duration, population)

# Clinical setting

## *Key efficacy questions*

1. Test for the presence of a dose response signal
2. Determine if clinical relevant effect can be achieved within available dose range (i.e., is there a dose with an appropriate clinical response?)
3. Select dose(s) for ph3 program (with measure of precision/confidence)
4. Develop model to represent dose-response (DR) signal and estimate DR profile

### **Purpose and rationale:**

The purpose of this study is to determine the dose-response curve for the MRI-based efficacy of [REDACTED] compared with placebo in patients with Relapsing-Remitting Multiple Sclerosis (RRMS), and to characterize its safety and tolerability (including effects on blood pressure) for the selection of an optimal dose in a later phase III study.

### **Objectives:**

#### **Primary objective**

The primary objective of this study is to evaluate the dose response relationship among five doses of [REDACTED] and placebo during 3 months of treatment in patients with RRMS, as measured by the number of combined unique active [MRI] lesions (CUAL).

# Methodological challenges

- A. Primary endpoint, number of new Gd-enhanced and new/newly enlarged T2 lesions is a **count** variable: traditional statistical analysis methods (e.g., ANCOVA model) can not be used
- B. Longitudinal measurements on each patient – need to model **dose** and **time** response
- C. Unknown DR shape for primary endpoint – model **uncertainty**
- D. Unclear what dose range is more **informative** for learning about DR
- E. Need to make decision on continuing/stopping trial based on **interim** data (stop for futility)

# Methodological challenges

A+B

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# Methodological challenges

## *Modeling count endpoint*

- Negative binomial (NB) regression model used to describe monthly counts (new GdE + new/newly enlarged T2 lesions)
- NB model parameterized by mean lesion count (i.e., expected number of new lesions) and dispersion parameter
- Dose and time response represented in NB model:

$$\log(\text{count}) = \mu(\text{dose}) + \beta \times \text{time}$$

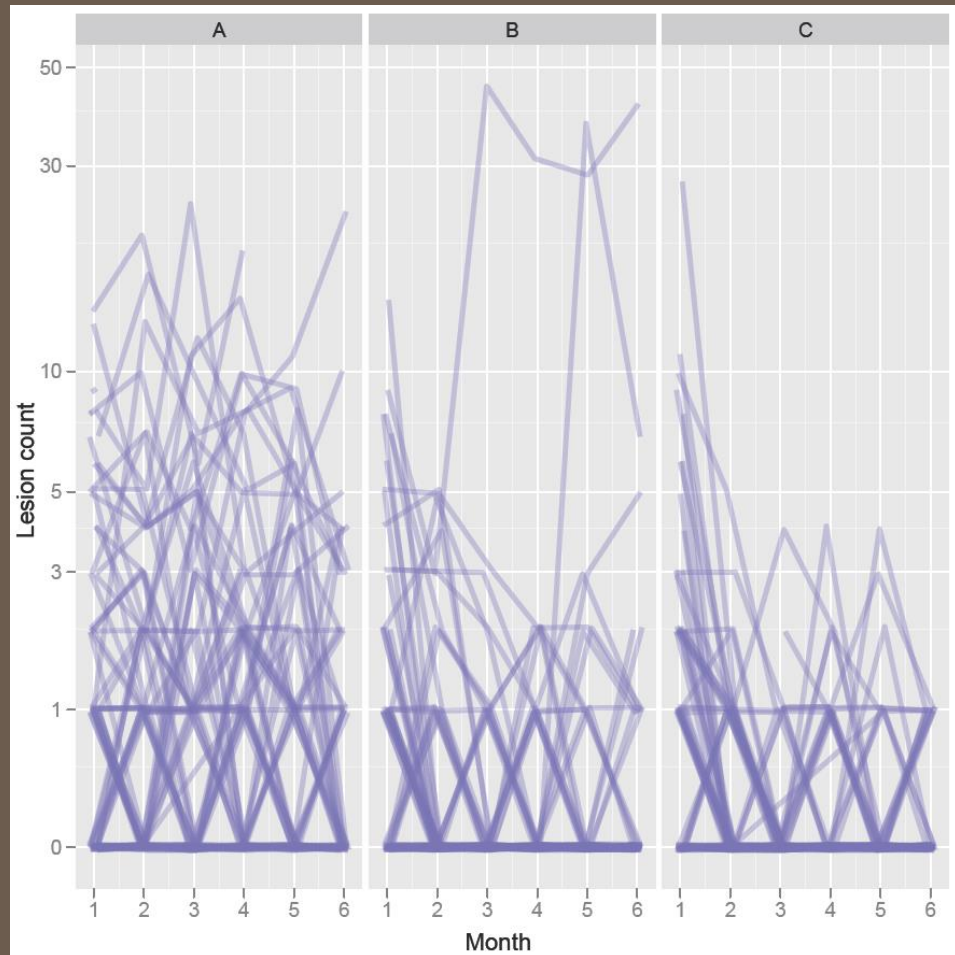
- time assumed to have linear effect on  $\log(\text{count})$  – based on modeling of previous compound in same class
- dose response model represented by  $\mu(\text{dose})$  – multiplicative effect in original scale (can be interpreted as % reduction in lesion count)
- Uncertainty *a-priori* about shape of  $\mu(\text{dose})$



# Methodological challenges

## *Time course of lesion count*

- Individual time profiles of new GdE lesion count from previous compound in same class



# Methodological challenges

C+D

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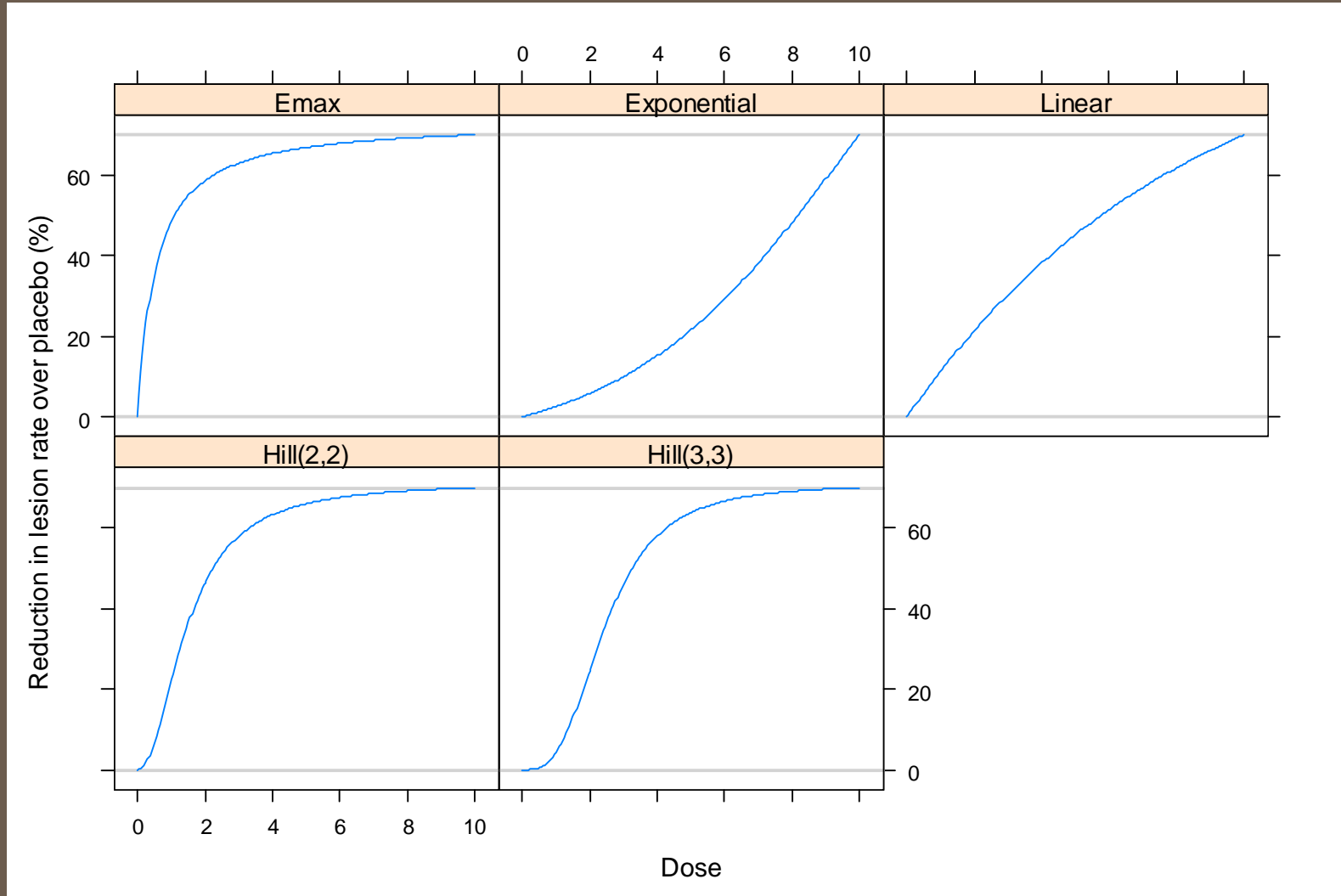
# Methodological challenges

## *MCP-Mod approach*

- Combination of multiple comparison procedure (MCP) and modeling (Mod) for
  - i. testing DR signal,
  - ii. estimating DR model
  - iii. selecting appropriate dose for ph3
- Incorporates model uncertainty by using set of **candidate DR models**, instead of assuming DR shape known *a-priori*
- DR signal tested via contrast tests derived from candidate models – correcting for **multiplicity**
- If DR signal significant, “best” model (among candidate DR models) representing observed data is selected and fitted to data,
- Final model is used to estimate **target doses** (i.e., producing a desired clinical effect)
- Original MCP-Mod developed for normal response – needed to be adapted to longitudinal count data for this study

# Methodological challenges

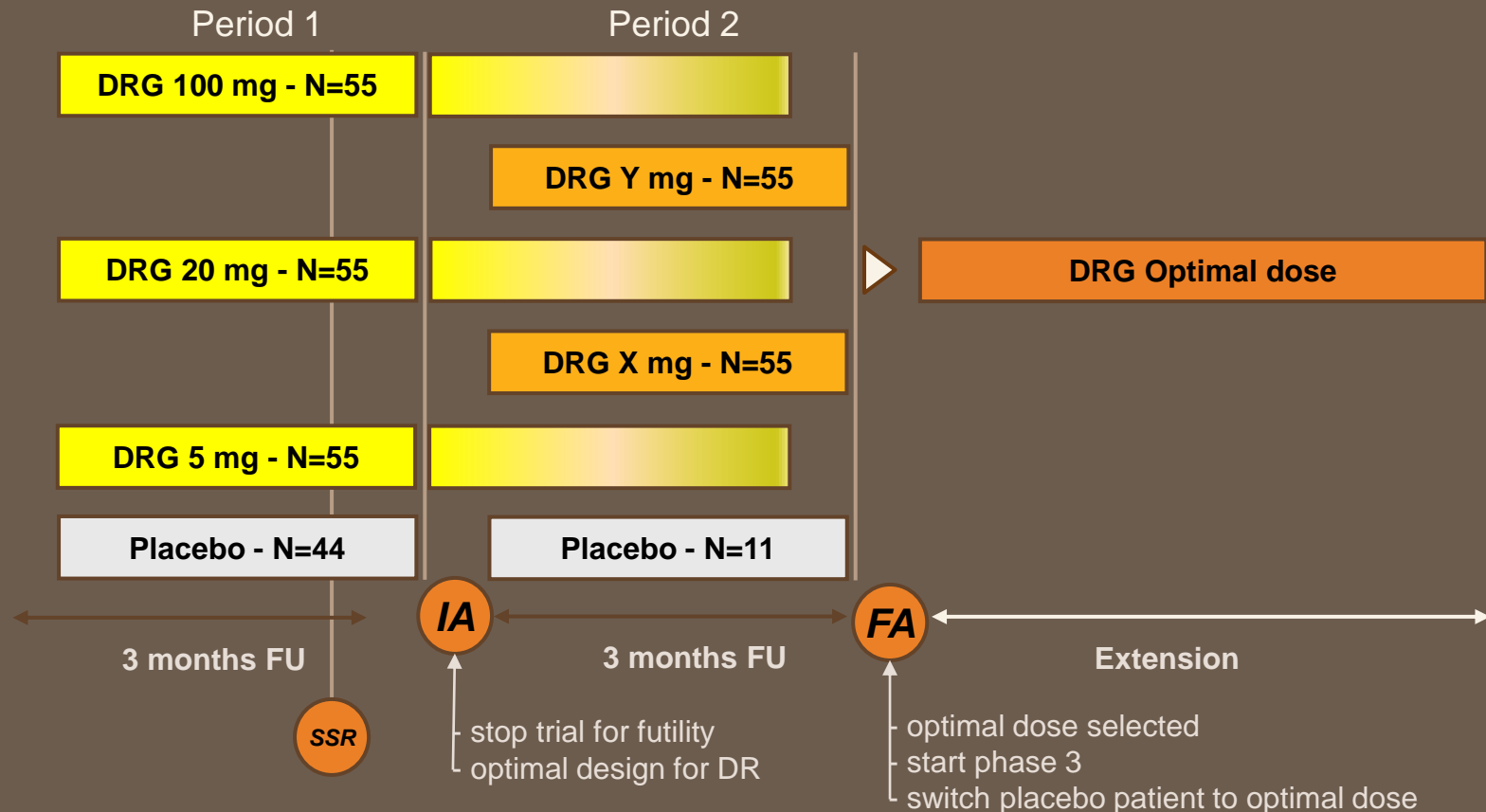
## *Candidate DR models considered*



# Methodological challenges

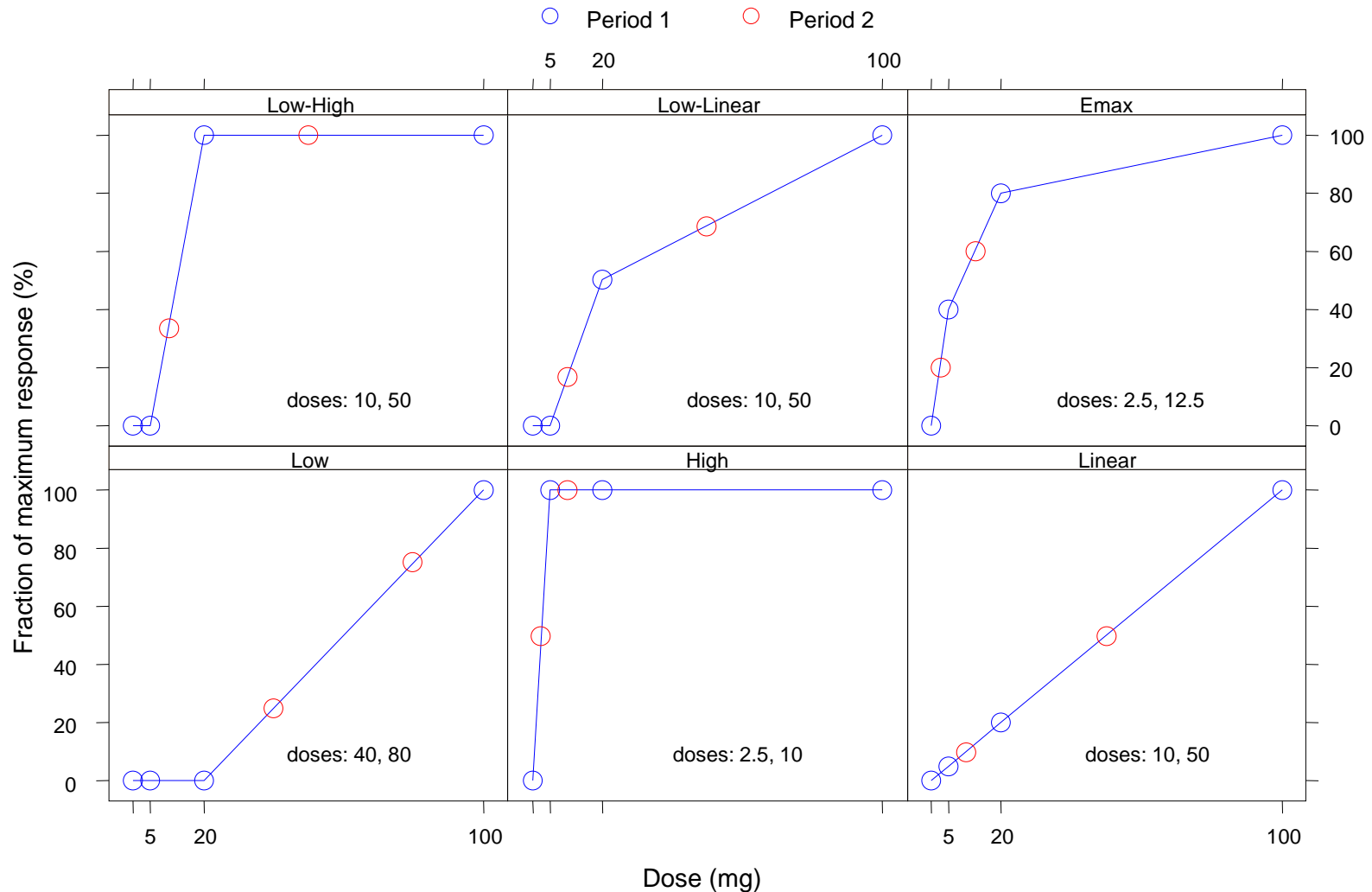
## *Adaptive dose-ranging study design*

- Motivation: learn about DR from data in Period 1 and select most informative doses for Period 2 at interim analysis (IA)



# Methodological challenges

## *Decision rule for dose to introduce in Period 2*



# Methodological challenges

E

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# Methodological challenges

## *Futility rule*

- Motivation: allow stopping at IA after Period 1, if highly unlikely that clinically relevant response will be attained
- Bayesian rule used: stop trial if  $p(\exp(\mu_x)/\exp(\mu_0) < 0.65) < 0.20$ , for any dose  $x > 0$ .
- Simulations used to evaluate operational characteristics of futility rule (and to define probability and effect thresholds)
- Small reduction in power for clinically relevant effects and over 50% chance of stopping when no DR effect present



# Methodological challenges

## *Sample size reassessment*

- Motivation: Allow assessment of assumptions regarding NB parameters (derived from analysis of lesion counts with previous compound in same class) utilized in sample size calculation
- Sample size re-calculated on the basis of revised NB parameter estimates ( $\mu_0$ ,  $\beta$ ,  $\theta$ ) derived from observed month-2 data for Period 1 patients
- SSR requires unblinding data (for fitting NB model), but is based on parameters with no information about treatment effect (placebo rate and overdispersion)
- If the parameter estimates suggest a power < 80% under any of the candidate models, N per group (for both Period 1 and 2) is then increased in order to ensure a minimum power of 80% (up to an extra 20 patients/arm)

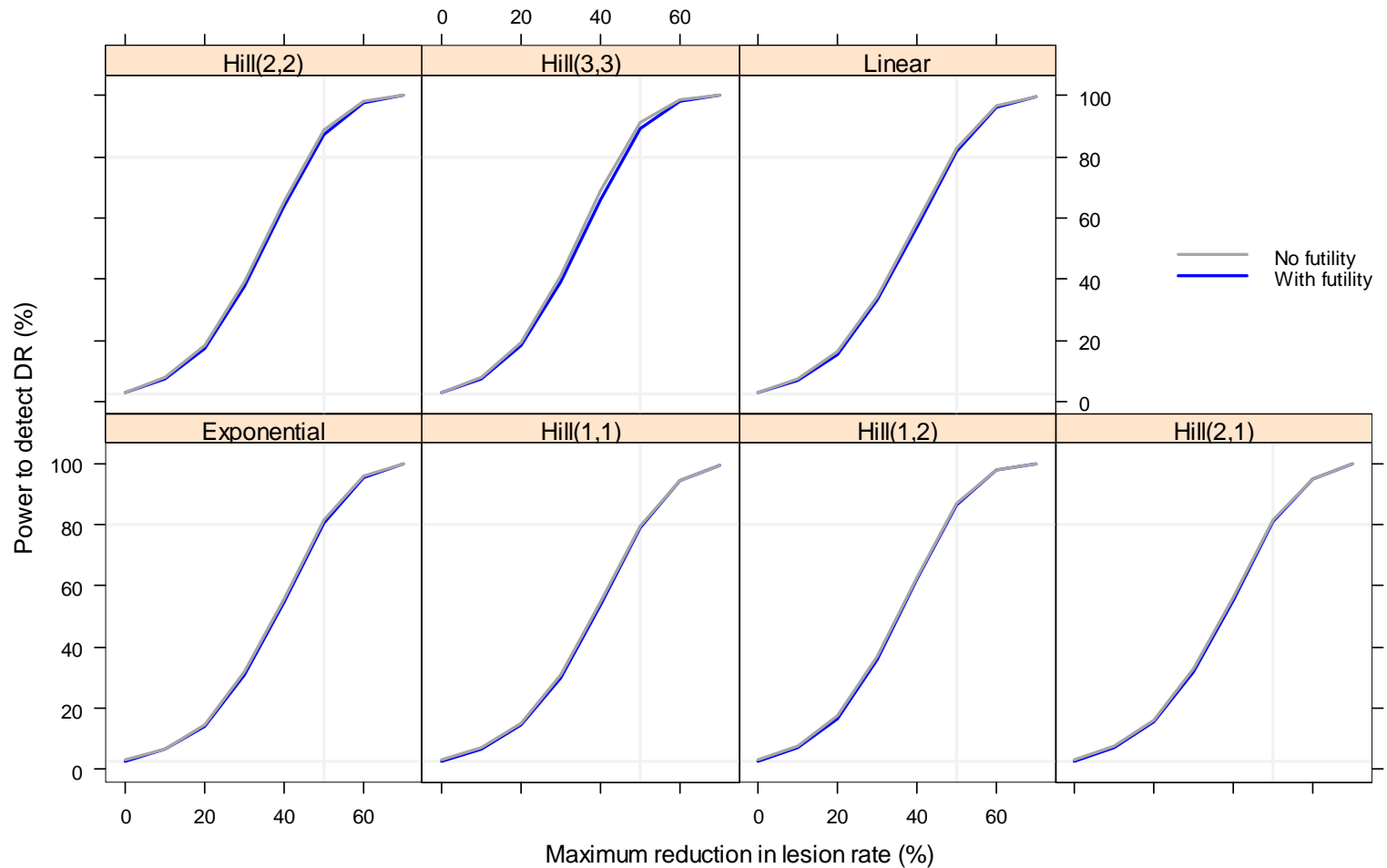
# Simulations to support study design

## *Plan*

- Evaluate operational characteristics of design and analysis methods, including:
  - power to detect DR signal
  - precision of target dose estimate
  - precision of target response at estimated dose
- Decisions to take: adaptive vs. non-adaptive, Period 1 vs. Period 2 doses, sample size, and calibrate rules (e.g., thresholds for futility rule)
- Different scenarios: DR models (including models not in candidate set), max reduction in lesion rate (up to 70%), drop-out rate (5, 7, and 10%)
- 10,000 simulated trials for each scenario

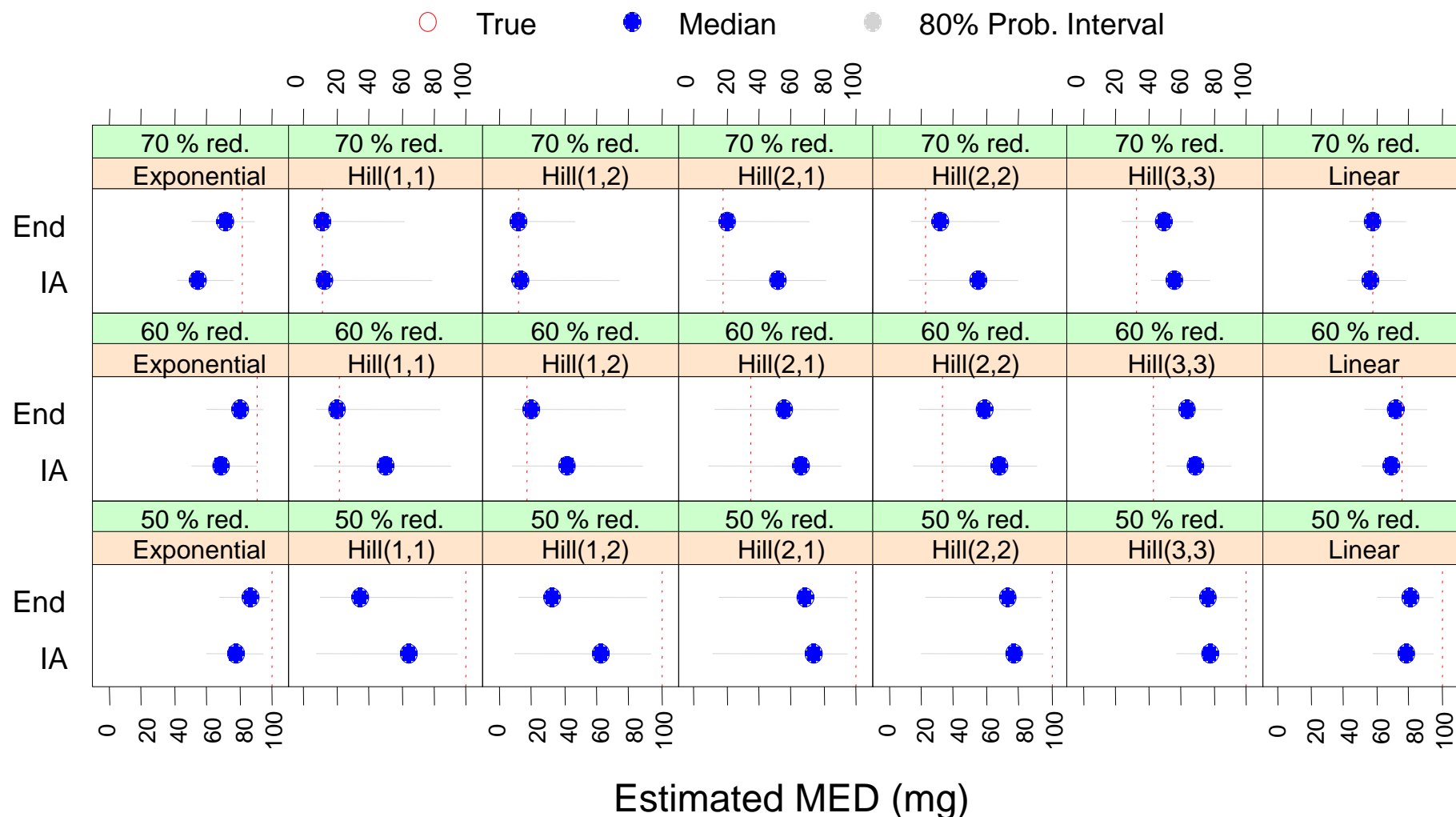
# Simulations to support study design

## Power to detect DR



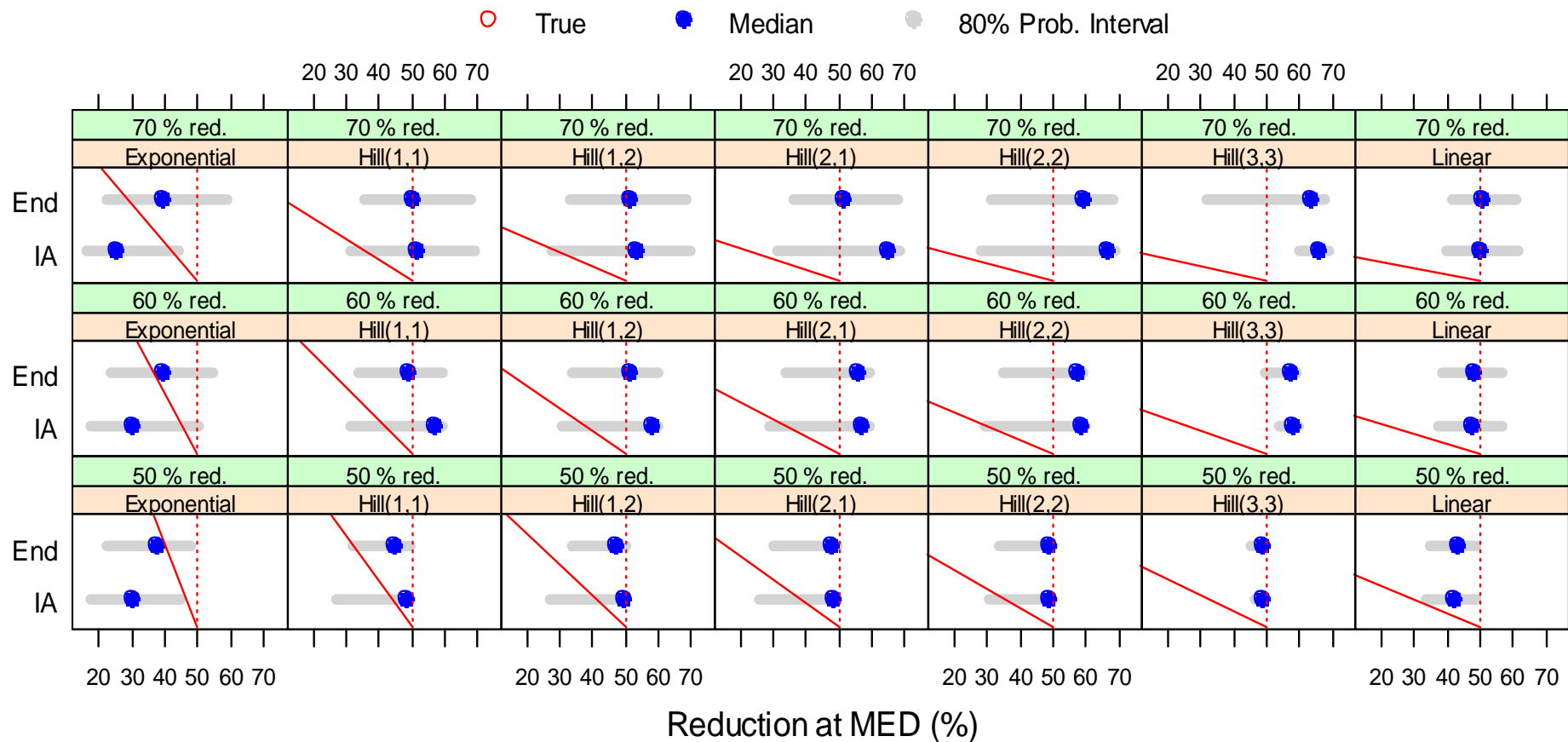
# Simulations to support study design

## Precision of MED – 50% target reduction



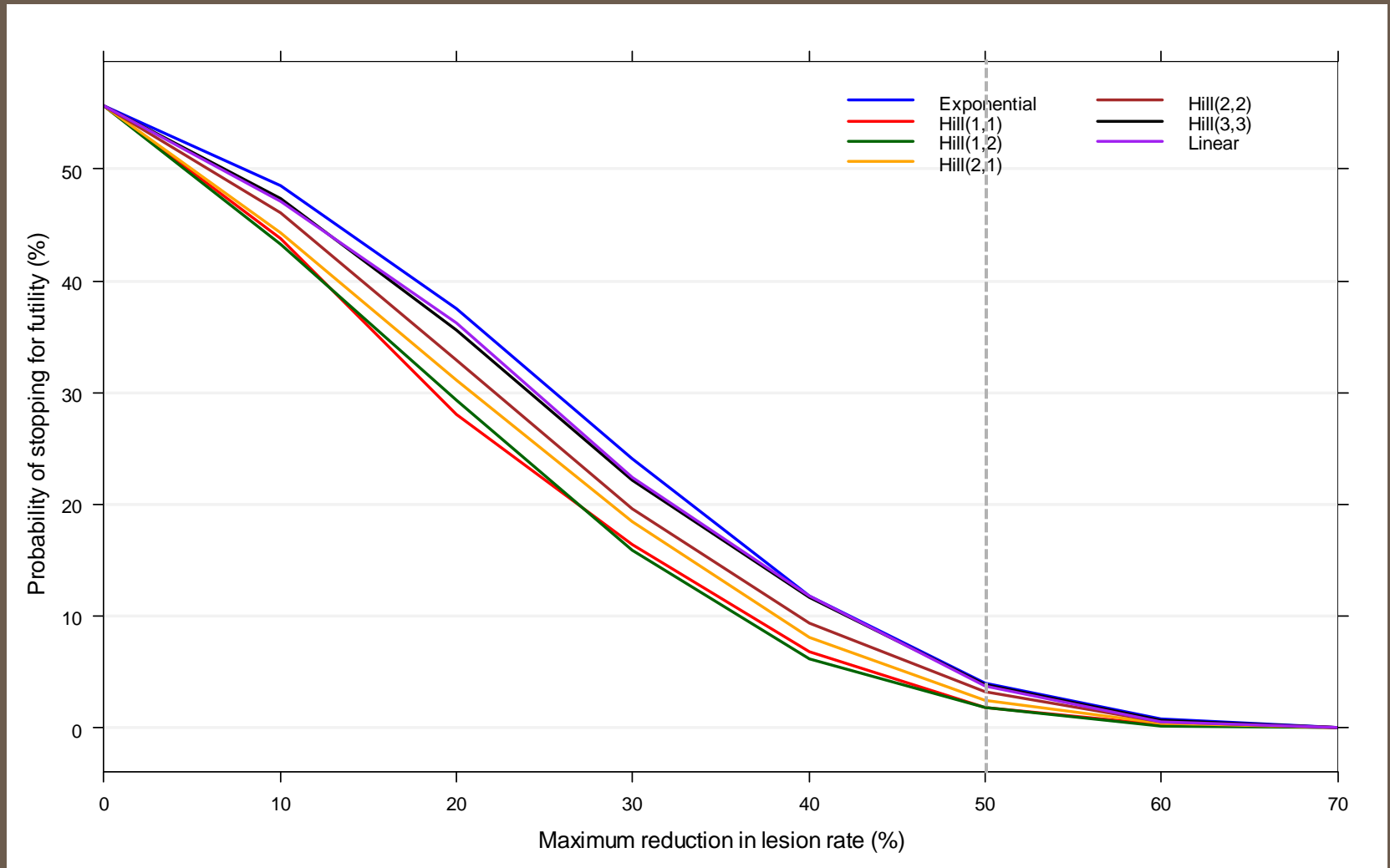
# Simulations to support study design

*Response @ MED – 50% target reduction*



# Simulations to support study design

## *Probability of stopping for futility*



# Conclusion

- Short, small and efficient adaptive dose-ranging trial design to test DR signal and select appropriate dose for phase 3
- Adaptive design allows to focus dose range on more informative region of DR, learning from interim data
- Futility rule let study be stopped if it appears to be unlikely that the compound works – prevents unnecessary exposure of patients to drug
- MCP-Mod handles uncertainty about DR shape
- Technical difficulties associated with analysis of count data have been overcome via Negative Binomial model
- Benefit from experience and data from previous compound in the same class
- Excellent collaboration with clinical team

# Acknowledgements

- David Ohlssen, Erika Rochotte, from Novartis - Statistics
- Olivier Luttringer from Novartis - Modeling and Simulation
- Erik Wallstroem, Frank Dahlke from the Novartis - Clinical Neuroscience



# BACK-UP

# Why still a placebo ?

- To provide a clear efficacy, safety and tolerability profile
- To control for regression to the mean effects, alternating periods of disease activity/inactivity and placebo effects,
- To simplify comparisons across trials