Associations between optical, physical and chemical properties of aerosols measured at ground-based networks

Meredith Franklin<sup>1</sup>, Meytar Sorek-Hamer<sup>2</sup>, Olga Kalashnikova<sup>3</sup>, Michael Garay<sup>3</sup>, and David Diner<sup>3</sup>

<sup>1</sup>University of Southern California, Los Angeles, CA, United States

<sup>2</sup>NASA Ames Research Center, Moffett Field, CA, USA

<sup>3</sup>Jet Propulsion Laboratory, Pasadena, CA, USA

**Correspondence:** Meredith Franklin (meredith.franklin@usc.edu)

**Abstract.** The abstract goes here. It can also be on *multiple lines*.

Copyright statement. The author's copyright for this publication is transferred to institution/company.

1 Introduction

A large body of literature has shown that satellite-derived aerosol optical depth (AOD), typically retrieved at 550 nm wavelength, reliably correlates with mass-volume concentrations of fine mode particulate matter with aerodynamic diameter less than 2.5 μm (PM<sub>2.5</sub>)Van Donkelaar et al. (2019). Studies that have used satellite observations to generate PM<sub>2.5</sub> have been instrumental for air pollution - health effects research. The associations between AOD and different chemical components of PM<sub>2.5</sub> are lesser known. A handful of studies using the Multiangle Imaging SpectroRadiometer (MISR), an instrument onboard the NASA Terra satellite that provides observations of optical properties by particle type (size, shape, absorption), have provided evidence that different optical properties relate to different physical and chemical properties of particulate matter Franklin et al. (2017); Meng et al. (2018) Results have been somewhat inconsistent, showing differences depending on geographic area of analysis, optical components used, and statistical tools applied.

The purpose of this analysis is to make a detailed examination of the statistical relationships between ground-level  $PM_{2.5}$  and  $PM_{2.5}$  chemical components (nitrate, sulfate, elemental carbon, organic carbon, dust) and optical measures of aerosols (e.g. aerosol optical depth, angstrom exponent).

AERONET Hol (1998); Shin et al. (2018, 2019a, b)

- Specific sections of the manuscript:
  - running with title and author
  - competinginterests

20

- copyrightstatement (optional)

- availability (strongly recommended if any used), one of code, data, or codedata
- authorcontribution
- disclaimer
- acknowledgements
- 5 See the defaults and examples from the skeleton and the official Copernicus documentation for details.

**Important**: Always double-check with the official manuscript preparation guidelines at https://publications.copernicus.org/for\_authors/manuscript\_preparation.html, especially the sections "Technical instructions for LaTeX" and "Manuscript composition". Please contact Daniel Nüst, daniel.nuest@uni-muenster.de, with any problems.

### 2 Methods

The study encompasses the San Joaquin Valley region of central California (Figure 1). We leverage datasets available at four sites - Bakersfield, Fresno, Modesto, and Visalia. At these sites there are co-located instruments from EPA's chemical speciation network (CSN), EPA's air quality system (AOS) and NASA's AERONET network.

## 2.1 Data

20

The CSN monitors are on a 1-in-3 or 1-in-6 day sampling schedule, providing  $PM_{2.5}$  mass and component  $PM_{2.5}$  concentrations of metals (e.g. Aluminium Al, Silicon Si, Calcium Ca, Titanium Ti, Iron Fe) obtained from X-ray fluorescence (XRF), ions (nitrate  $NO_3^-$  and sulfate  $SO_4^{2-}$ ) from ion chromatography, and carbons (organic OC and elemental EC) from thermal/optical analysis. To quantify dust we use the following equation Chow et al. (2015): dust = 2.2Al + 2.49Si + 1.63Ca + 1.94Ti + 2.42Fe

The AQS monitors provide daily concentrations of  $PM_{2.5}$  mass by the EPA's Federal Reference Method, which is the highest quality gravimetric measurement method used for regulatory purposes.

AERONET sites are sunphotometers providing a "ground-up" measurement of aerosol optical properties at multiple wavelengths and have been used extensively to validate "top-down" satellite observations of related properties. Wavelength-specific AOD and angstrom exponents are the primary sunphotometer variables. Using quadratic log-log interpolation we calculated AOD 550 nm from AOD 440, 500, 675, 870 nm in log-log space. AOD at 550 nm is the most common wavelength retrieved from satellite instruments. A retrieval-based AERONET product, called the inversion product, provides an additional suite of aerosol properties that help distinguish size (fine, coarse effective radius), shape (asymmetry), and absorption. We excluded sunphotometer and inversion variables that had a significant proportion of missing data (~90% missing). A list of the variables included in the analysis are shown in the Appendix. In a separate test we examine data from the SPARTAN site in Rehovot, Israel. The SPARTAN network provides data for PM<sub>2.5</sub> mass and speciation concentrations on an integrated 1 in 9 day sampling schedule, and is colocated with an AERONET site (We don't have the speciation data for this site so we could only look at PM<sub>2.5</sub> for now).

The CSN monitors are on a 1 in 3 or 1 in 6 day sampling schedule, providing PM2.5 mass and component PM2.5 concentrations of metals (e.g. Aluminium Al, Silicon Si, Calcium Ca, Titanium Ti, Iron Fe) obtained from X-ray fluorescence (XRF), ions (nitrate  $NO_3^-$  and sulfate  $SO_4^{2-}$ ) from ion chromatography, and carbons (organic OC and elemental EC) from thermal/optical analysis. To quantify dust we use the following equation: dust = 2.2Al + 2.49Si + 1.63Ca + 1.94Ti + 2.42Fe

The AQS monitors provide daily concentrations of PM2.5 mass by the EPA's Federal Reference Method, which is the highest quality gravimetric measurement method used for regulatory purposes.

AERONET sites are sunphotometers providing a "ground-up" measurement of aerosol optical properties at multiple wavelengths and have been used extensively to validate "top-down" satellite observations of related properties. Wavelength-specific AOD and angstrom exponents are the primary sunphotometer variables. Using quadratic log-log interpolation we calculated AOD 550 nm from AOD 440, 500, 675, 870 nm in log-log space. AOD at 550 nm is the most common wavelength retrieved from satellite instruments. A retrieval-based AERONET product, called the inversion product, provides an additional suite of aerosol properties that help distinguish size (fine, coarse effective radius), shape (asymmetry), and absorption. We excluded sunphotometer and inversion variables that had a significant proportion of missing data (~90% missing). A list of the variables included in the analysis are shown in the Appendix. In a separate test we examine data from the SPARTAN site in Rehovot, Israel. The SPARTAN network provides data for PM2.5 mass and speciation concentrations on an integrated 1 in 9 day sampling schedule, and is colocated with an AERONET site (We don't have the speciation data for this site so we could only look at PM2.5 for now).

#### 2.2 Statistical methods

5

30

Prior to model building we examined a cluster-based correlation heat map (Figure 2), which provides the Pearson correlations between all pairs of AERONET variables grouped by a decision tree. To avoid collinearity in the regression models, we kept the most relevant of a group of variables that had a correlation coefficient > 10.91. We then examined and picked a subset of variables connected at the mid-tier level of the tree to construct interactions. We fit simple linear regression models separately for PM2.5 mass, sulfate, nitrate, EC, OC, and dust with AOD 550 nm as the sole predictor variable. Multiple linear regression models were again fit separately for PM2.5 mass, sulfate, nitrate, EC, OC, and dust, but with the combined AERONET sunphotometer and inversion product as predictor variables and model selection was conducted using the "all possible subset method". This method constructs models based on all combinations from 1 to k variable models. We select the best model from the combinations based on highest R2, lowest RMSE, and Mallow's Cp statistic that is close to k+1. Model selection for the Fresno and Bakersfield sites were examined separately and in combination in a "total CA" analysis, which combined data from Fresno, Bakersfield, Modesto, Visalia, and a special DRAGON campaign in late 2012-early 2013 over the region (8 co-located sites with PM2.5 mass).

All models were cross validated (CV) with 10-fold CV, and we report the CV R2 and RMSE. Models were fit in R using the leaps() library.

### 3 Results

#### 3.1 Statistical methods

Prior to model building we examined a cluster-based correlation heat map (Figure 2), which provides the Pearson correlations between all pairs of AERONET variables grouped by a decision tree. To avoid collinearity in the regression models, we kept the most relevant of a group of variables that had a correlation coefficient > 10.91. We then examined and picked a subset of variables connected at the mid-tier level of the tree to construct interactions. We fit simple linear regression models separately for PM2.5 mass, sulfate, nitrate, EC, OC, and dust with AOD 550 nm as the sole predictor variable. Multiple linear regression models were again fit separately for PM2.5 mass, sulfate, nitrate, EC, OC, and dust, but with the combined AERONET sunphotometer and inversion product as predictor variables and model selection was conducted using the "all possible subset method". This method constructs models based on all combinations from 1 to k variable models. We select the best model from the combinations based on highest R2, lowest RMSE, and Mallow's Cp statistic that is close to k+1. Model selection for the Fresno and Bakersfield sites were examined separately and in combination in a "total CA" analysis, which combined data from Fresno, Bakersfield, Modesto, Visalia, and a special DRAGON campaign in late 2012-early 2013 over the region (8 co-located sites with PM2.5 mass).

All models were cross validated (CV) with 10-fold CV, and we report the CV R2 and RMSE. Models were fit in R using the leaps() library.

### 4 Results

## 5 Content section with R code chunks

You should always use echo = FALSE on R Markdown code blocks as they add formatting and styling not desired by Copernicus. The hidden workflow results in 42.

You can add verbatim code snippets without extra styles by using ``` without additional instructions.

$$sum < -1 + 41$$

### 6 Content section with list

If you want to insert a list, you must

25 - leave

- empty lines
- between each list item

because the \tightlist format used by R Markdown is not supported in the Copernicus template. Example:

- leave
- empty lines

5

- between each list item

# 7 Examples from the official template

# 7.1 FIGURES

When figures and tables are placed at the end of the MS (article in one-column style), please add



Figure 1. one column figure

between bibliography and first table and/or figure as well as between each table and/or figure.

# 7.1.1 ONE-COLUMN FIGURES

Include a 12cm width figure of Nikolaus Copernicus from Wikipedia with caption using R Markdown.

# 7.1.2 TWO-COLUMN FIGURES

5 You can also include a larger figure.

# 7.2 TABLES

You can ad LATeXtable in an R Markdown document to meet the template requirements.

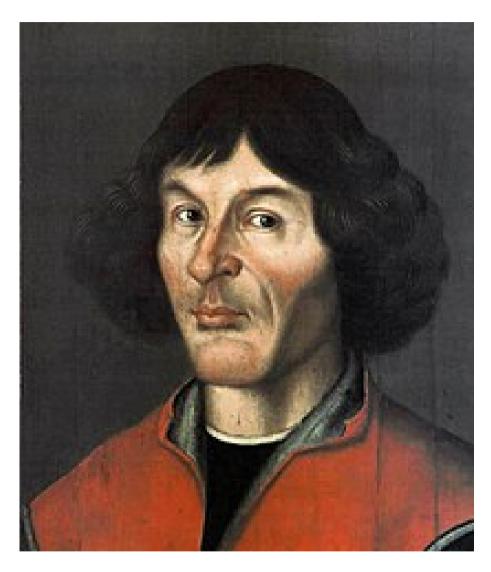


Figure 2. two column figure

**Table 1.** TEXT

a b c

1 2 3

Table Footnotes

**Table 2.** TEXT

a b c
1 2 3

Table footnotes

## 7.2.1 ONE-COLUMN TABLE

### 7.2.2 TWO-COLUMN TABLE

# 7.3 MATHEMATICAL EXPRESSIONS

All papers typeset by Copernicus Publications follow the math typesetting regulations given by the IUPAC Green Book (IU-

5 PAC: Quantities, Units and Symbols in Physical Chemistry, 2nd Edn., Blackwell Science, available at: http://old.iupac.org/publications/bool 1993).

Physical quantities/variables are typeset in italic font (t for time, T for Temperature)

Indices which are not defined are typeset in italic font (x, y, z, a, b, c)

Items/objects which are defined are typeset in roman font (Car A, Car B)

Descriptions/specifications which are defined by itself are typeset in roman font (abs, rel, ref, tot, net, ice)

Abbreviations from 2 letters are typeset in roman font (RH, LAI)

Vectors are identified in bold italic font using x

Matrices are identified in bold roman font

Multiplication signs are typeset using the LaTeX commands \times (for vector products, grids, and exponential notations)

15 or \cdot

The character \* should not be applied as mutliplication sign

## 7.4 EQUATIONS

### 7.4.1 Single-row equation

Unnumbered equations (i.e. using \$\$ and getting inline preview in RStudio) are not supported by Copernicus.

$$1 \times 1 \cdot 1 = 42 \tag{1}$$

$$5 \quad A = \pi r^2 \tag{2}$$

$$x = \frac{2b \pm \sqrt{b^2 - 4ac}}{2c}.\tag{3}$$

## 7.4.2 Multiline equation

$$3+5=8$$
 (4)

$$3+5=8\tag{5}$$

10 
$$3+5=8$$
 (6)

### 7.5 MATRICES

- $x \quad y \quad z$
- x y z
- $x \quad y \quad z$

# 7.6 ALGORITHM

If you want to use algorithms, you can either enable the required packages in the header (the default, see algorithms: true), or make sure yourself that the LATEX packages algorithms and algorithmicx are installed so that algorithm.sty respectively algorithmic.sty can be loaded by the Copernicus template. Copernicus staff will remove all undesirable packages from your LaTeX source code, so please stick to using the header option, which only adds the two acceptable packages.

### 7.7 CHEMICAL FORMULAS AND REACTIONS

For formulas embedded in the text, please use  $\c \in \{\ \}$ , e.g.  $A \to B$ .

The reaction environment creates labels including the letter R, i.e. (R1), (R2), etc.

## Algorithm 1 Algorithm Caption

```
\begin{aligned} i &\leftarrow 10 \\ & \text{if } i \geq 5 \text{ then} \\ & i \leftarrow i-1 \\ & \text{else} \\ & \text{if } i \leq 3 \text{ then} \\ & i \leftarrow i+2 \\ & \text{end if} \end{aligned}
```

- \rightarrow should be used for normal (one-way) chemical reactions
- \rightleftharpoons should be used for equilibria
- \leftrightarrow should be used for resonance structures

$$A \to B$$
 (R1)

5

$$Coper = nicus$$
 (R2)

$$Publi \leftrightarrow cations$$
 (R3)

### 7.8 PHYSICAL UNITS

Please use \unit{} (allows to save the math/\$ environment) and apply the exponential notation, for example  $3.14 \text{km h}^{-1}$  (using LaTeX mode: \((3.14\,\unit{\ldots}\))) or  $0.872 \text{m s}^{-1}$  (using only \unit{0.872\,\m\,\s^{\ldots}\-1}}).

## 8 Conclusions

The conclusion goes here. You can modify the section name with \conclusions [modified heading if necessary].

Code and data availability. use this to add a statement when having data sets and software code available

15 Sample availability. use this section when having geoscientific samples available

## Appendix A: Figures and tables in appendices

Regarding figures and tables in appendices, the following two options are possible depending on your general handling of figures and tables in the manuscript environment:

# A1 Option 1

If you sorted all figures and tables into the sections of the text, please also sort the appendix figures and appendix tables into the respective appendix sections. They will be correctly named automatically.

## A2 Option 2

If you put all figures after the reference list, please insert appendix tables and figures after the normal tables and figures.

To rename them correctly to A1, A2, etc., please add the following commands in front of them: \appendixfigures needs to be added in front of appendix tables

Please add \clearpage between each table and/or figure. Further guidelines on figures and tables can be found below.

Author contributions. M. Franklin conducted analyses and wrote the manuscript. M. Sorek-Hamer conducted analyses and reviewed the manuscript. O. Kalashnikova and D. Diner conceptualized the study. D. Diner edited the manuscript.

Competing interests. The authors declare no competing interests.

15 Disclaimer. We like Copernicus.

Acknowledgements. This work was supported by NASA Grant 80NSSC19K0225

### References

5

15

- AERONET A federated instrument network and data archive for aerosol characterization, Remote Sensing of Environment, 66, 1–16, https://doi.org/10.1016/S0034-4257(98)00031-5, 1998.
- Chow, J. C., Lowenthal, D. H., Chen, L. W., Wang, X., and Watson, J. G.: Mass reconstruction methods for PM2.5: a review, Air Quality, Atmosphere and Health, 8, 243–263, 2015.
- Franklin, M., Kalashnikova, O. V., and Garay, M. J.: Size-resolved particulate matter concentrations derived from 4.4km-resolution size-fractionated Multi-angle Imaging SpectroRadiometer (MISR) aerosol optical depth over Southern California, Remote Sensing of Environment, 196, 312–323, https://doi.org/10.1016/j.rse.2017.05.002, http://dx.doi.org/10.1016/j.rse.2017.05.002, 2017.
- Meng, X., Garay, M. J., Diner, D. J., Kalashnikova, O. V., Xu, J., and Liu, Y.: Estimating PM2.5 speciation concentrations using prototype 4.4 km-resolution MISR aerosol properties over Southern California, Atmospheric Environment, 181, 70–81, https://doi.org/10.1016/j.atmosenv.2018.03.019, 2018.
  - Shin, S.-K., Tesche, M., Kim, K., Kezoudi, M., Tatarov, B., Müller, D., and Noh, Y.: On the spectral depolarisation and lidar ratio of mineral dust provided in the AERONET version 3 inversion product, Atmospheric Chemistry and Physics, 18, 12735–12746, 2018.
  - Shin, S. K., Tesche, M., Müller, D., and Noh, Y.: Technical note: Absorption aerosol optical depth components from AERONET observations of mixed dust plumes, Atmospheric Measurement Techniques, 12, 607–618, https://doi.org/10.5194/amt-12-607-2019, 2019a.
  - Shin, S. K., Tesche, M., Noh, Y., and Müller, D.: Aerosol-type classification based on AERONET version 3 inversion products, Atmospheric Measurement Techniques, 12, 3789–3803, https://doi.org/10.5194/amt-12-3789-2019, 2019b.
- Van Donkelaar, A., Martin, R. V., Li, C., and Burnett, R. T.: Regional Estimates of Chemical Composition of Fine Particulate Matter
   Using a Combined Geoscience-Statistical Method with Information from Satellites, Models, and Monitors, Environmental Science and
   Technology, 53, 2595–2611, https://doi.org/10.1021/acs.est.8b06392, 2019.