

On the Parametrized Complexity of Red-Blue Points Separation [1]

Édouard Bonnet, Panos Giannopoulos, and Michael Lambis

Merkouris Papamichail[†]

[†]IGP in Algorithms, Logic and Discrete Mathematics
NKUA, NTUA

Parametrized Algorithms & Complexity,
Spring 2021



Table of Contents

- 1 Introduction
 - The Problem
 - Exponential Time Hypothesis
- 2 Lower Bound
 - 2-Track Hitting Set Problems
 - Reduction
 - Gadgets
- 3 Upper Bound
 - Description & Strategy
 - 2-Conjunctive Normal Form
- 4 Conclusion & Future Work
- 5 Bibliography

Table of Constents

- 1 Introduction
 - The Problem
 - Exponential Time Hypothesis
- 2 Lower Bound
 - 2-Track Hitting Set Problems
 - Reduction
 - Gadgets
- 3 Upper Bound
 - Description & Strategy
 - 2-Conjunctive Normal Form
- 4 Conclusion & Future Work
- 5 Bibliography

The Problem

Red-blue Points Separation

Let $\mathcal{R}, \mathcal{B} \subset \mathbb{R}^2$ two point-sets in the plane. We need to find some set of lines \mathcal{L} , that separates \mathcal{R} from \mathcal{B} .

Results

- **Lower Bound:** In the general case, there is *no* $n^{o(k)}$ algorithm, unless ETH is false.
- **Upper Bound:** Axis-Parallel case, there is an $O(n \log n + n|\mathcal{B}|9^{|\mathcal{B}|})$ algorithm.
- **Conjecture:** Axis-Parallel case, there is an FPT algorithm, in the number of lines $|\mathcal{L}|$.

Exponential Time Hypothesis

Exponential Time Hypothesis (ETH)

3-SAT *cannot be solved in **subexponential** time.*

- Stated by Impagliazzo & Paturi in 1999 [3]
- If ETH holds, then $\mathbf{P} \neq \mathbf{NP}$
- *Stronger conjecture*, than $\mathbf{P} \neq \mathbf{NP}$

Table of Constents

- 1 Introduction
 - The Problem
 - Exponential Time Hypothesis
- 2 Lower Bound
 - 2-Track Hitting Set Problems
 - Reduction
 - Gadgets
- 3 Upper Bound
 - Description & Strategy
 - 2-Conjunctive Normal Form
- 4 Conclusion & Future Work
- 5 Bibliography

2-Track Hitting Set

Definition

Input:

- 1 A, B two *totally ordered* sets.
- 2 $\mathcal{S}_A, \mathcal{S}_B$ two collections of *intervals* of A, B , respectively.
- 3 An *1-1 correspondence* $\phi: A \rightarrow B$

Parameter:

- The *size of the solution* $k = |S|$.

Output:

- 1 A set $S = \{(a, \phi(a)) \mid a \in A, \phi(a) \in B\}$
- 2 The *1st projection* of S *is a hitting set for A*
- 3 The *2nd projection* of S *is a hitting set for B*.

Structured 2-Track Hitting Set

Definition

- ① The definition of the sets $A, B, \mathcal{S}_A, \mathcal{S}_B$ and the solution S is the *same* as 2-TRACK HITTING SET.
- ② Additional *constraints in the 1-1 correspondence*.
- ③ \mathcal{C} is a *partition* of A into k color classes, each containing t elements.
- ④ For each $a \in C_i$, $\phi(a) = \sigma \circ \sigma_i$, where σ maps C_i to a color class C'_j of B , σ_i "shuffles" the elements of C_i in C'_j .

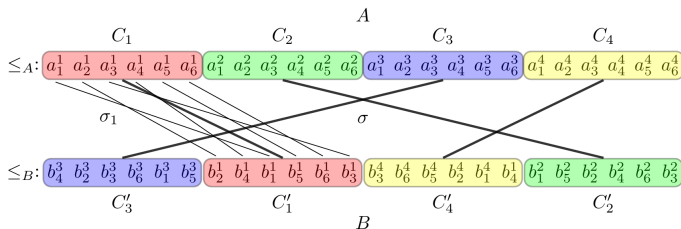


Figure 1: Constraints on the 1-1 correspondence of the STRUCTURED 2-TRACK HITTING SET.

The Reduction

Theorem 1 (Bonnet and Miltzow) [2]

STRUCTURED 2-TRACK HITTING SET is $W[1]$ -hard and, unless the ETH fails, cannot be solved in $f(k)n^{o(k/\log k)}$ time for any computable function f .

- 1 We use RED-BLUE POINT SEPARATION as a "black box", in order to solve an instance of STRUCTURED 2-TRACK HITTING SET.
- 2 STRUCTURED 2-TRACK HITTING SET \preceq RED-BLUE POINT SEPARATION
- 3 From Theorem 1, and the reduction (Theorem 2) we have the desired lower bound (Theorem 3).

Theorem 3

RED-BLUE SEPARATION is $W[1]$ -hard w.r.t. the number of lines k , and unless ETH fails, cannot be solved in $f(k)n^{o(k/\log k)}$ time for any computable function f .

- Given an instance \mathcal{I}_{S2-THS} of the STRUCTURED 2-TRACK HITTING SET problem, we need to construct an instance $\mathcal{J}_{R-B S}$ of the RED-BLUE SEPARATION problem, such that

$$\mathcal{I}_{S2-THS} \in \mathcal{L}_{S2-THS} \Leftrightarrow \mathcal{J}_{R-B S} \in \mathcal{L}_{R-B S}$$

- We need to encode the following structures:
 - 1 The sets A, B .
 - 2 The collections of intervals $\mathcal{S}_A, \mathcal{S}_B$.
 - 3 The permutation of the color classes σ (inter-class permutation).
 - 4 The permutation of the elements of each class σ_i (*intra*-class permutation).
- The *positions* of red and blue points on the plane will be the restrictions.
- The *lines* selected by the R-BS algorithm, will correspond to the elements of a hitting set in S2-THS.

Theorem 2

Let \mathcal{I}_{S2-THS} be an instance of the S2-THS problem, and \mathcal{J}_{R-BS} be the corresponding instance (of our construction) of the R-BS problem. Then, $\mathcal{I}_{S2-THS} \in \mathcal{L}_{S2-THS}$, *if and only if \mathcal{J}_{R-BS} can be solved with $6k + 14$ lines.*

Note: From Theorems 1, 2 we obtain Theorem 3 as a Corollary.

Wire Diagram

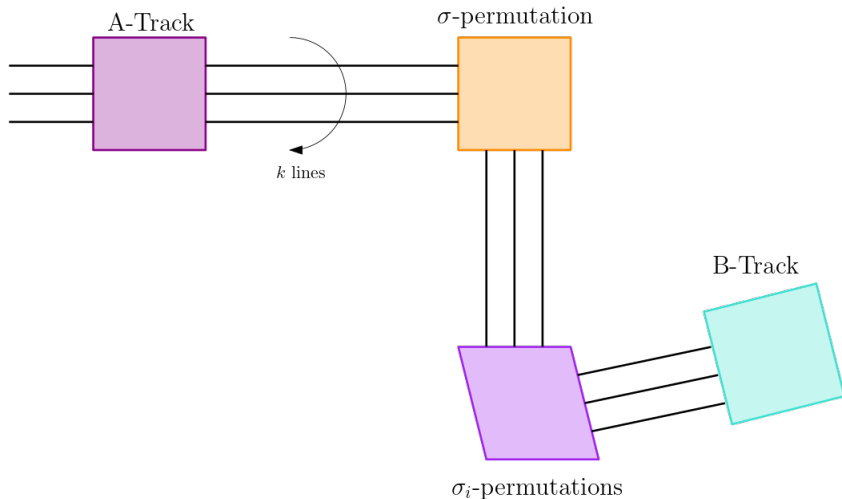
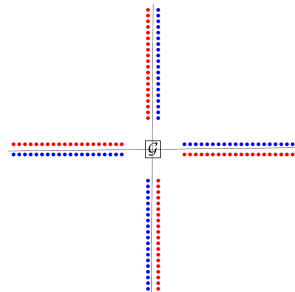


Figure 2: The wire diagram of the gadgets.

Long Alley Gadgets



(a) Long alley

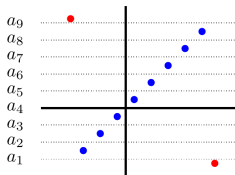


(b) Surrounding a gadget with long alleys.

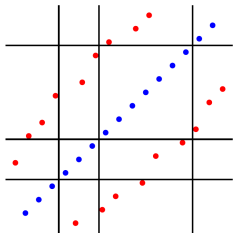
Figure 3: Long alleys and their use.

- Enforcing (almost) *horizontal* or *vertical* lines.
- We surround gadgets with long alleys in order to force only *axis parallel* lines to pass through a gadget.
- There are infinitely many possible lines passing through a long alley, even in \mathbb{Q}^2 .

Interval Gadgets & Encoding A-Track



(a) Interval gadget corresponding to $[a_1, a_9] = \{a_1, a_2, \dots, a_9\}$.



(b) Interval gadgets put together. A representation of a track.

- **Figure 4a.** The algorithm must choose *at least one* horizontal line, corresponding to some $a_i \in [a_1, a_9]$.
- **Figure 4a.** In order to separate the red points from the red *with 2 axis-parallel lines*, the choice of the former imposes the later.
- **Figure 4b.** A representation of a track.
- **Figure 4b.** Separating these points requires taking lines associated to a *minimum hitting set*.
- **Note 1.** We include the *color classes* as intervals w.l.o.g.
- **Note 2.** We surround track-A with $4k$ long alleys.

Encoding *Inter*-class Permutation σ

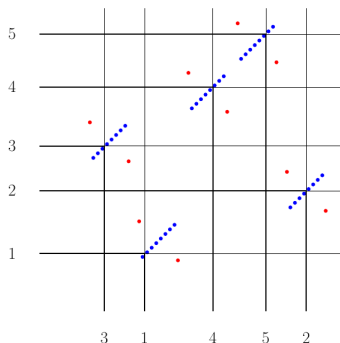


Figure 5: Encoding the permutation $\sigma = 31452$.

- We place the σ -gadget at the *right-hand side* of the A-track gadget.
- The choices within the color classes are transferred from almost horizontal, to almost vertical.
- Given the k choices of horizontal lines originating from the A-track gadget, it results in a vertical propagation accompanied by the desired *reordering* of the color classes.

Encoding *Intra*-class Permutations σ_i

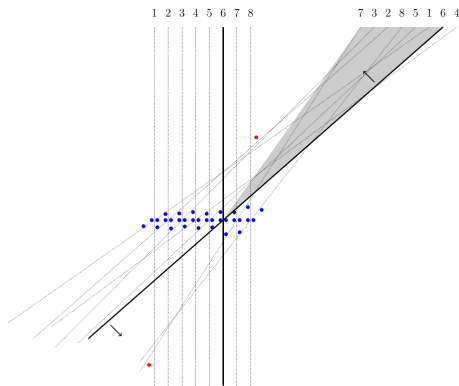


Figure 6: Half encoding of the permutation $\sigma_i = 73285164$, of the i -th color class.

- We place a σ_i -gadget, for each color class.
- All σ_i -gadgets are below the σ -gadget.
- We utilize the arbitrary slopes of the lines, in order to keep the gadget "small" and maintain the parameter.
- The gadget on the left enforces that *the choice of a_i^j is linked with an element smaller or equal to b_i^j* .
- We use a symmetrical gadget to enforce the other inequality.

Encoding Track B

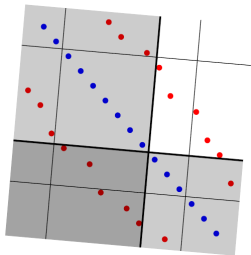


Figure 7: The encoding of the B-Track.

- We set the encoding of the B-Track around 5° to the right.
- The rotation facilitates the arbitrary slopes of the σ_i -gadgets.
- From the perspective of the B-Track the "incoming" lines behave like *vertical*.

The Big Picture

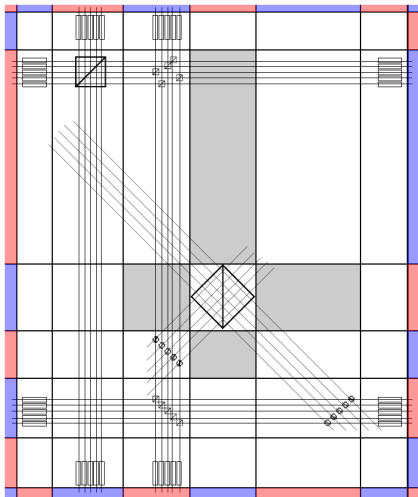


Figure 8: The Big Picture.

- The thin rectangles are the *long alleys*.
- The bold square in the with the diagonal *top left corner* is the encoding of *A-track*.
- The other bold square *in the middle* is the encoding of *B-track*.
- The smaller squares with the diagonal are encodings of the *σ -permutation*.
- The small round gadgets are the *half-encodings* of the *σ_i -permutations*.

Table of Constents

- 1 Introduction
 - The Problem
 - Exponential Time Hypothesis
- 2 Lower Bound
 - 2-Track Hitting Set Problems
 - Reduction
 - Gadgets
- 3 Upper Bound
 - Description & Strategy
 - 2-Conjunctive Normal Form
- 4 Conclusion & Future Work
- 5 Bibliography

Description & Strategy

- We present an FPT algorithm for AXIS-PARALLEL RED-BLUE SEPARATION.
- **Parameter:** $\min\{|\mathcal{R}, \mathcal{B}|\}$, w.l.o.g. we assume \mathcal{B} is the smaller set.

Theorem 4

An optimal solution of AXIS-PARALLEL RED-BLUE SEPARATION can be computed in $O(n \log n + n|\mathcal{B}|9^{|\mathcal{B}|})$ time.

- 1 We divide the plane into $|\mathcal{B}| + 1$ *vertical stripes*.
- 2 Each stripe contains only red points, hence an optimal solution uses *at most two lines inside a single strip*.
- 3 We enumerate the number of lines used in each strip in $9^{|\mathcal{B}|}$ time.
- 4 We check if a specification holds, by verifying a 2-CNF formula.

2-CNF: Definitions & Variables

Definitions:

- Let X, Y two list of the points $\mathcal{R} \cup \mathcal{B}$, sorted by x and y coordinate, respectively.
- $V_i = \{p \in \mathbb{R}^2 \mid X[i] \leq p(x) \leq X[i+1]\}$
- $H_i = \{p \in \mathbb{R}^2 \mid Y[i] \leq p(y) \leq Y[i+1]\}$
- Let S be the *solution*.

Variables:

- For each red point $p \in H_i$, let y_p^i denote "the line of S in H_i is below p ".
- For each red point $p \in V_i$, let x_p^i denote "the line of S in S_i is to left of p ".

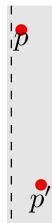
2-CNF: Constraints 1, 2

Constraints:

- 1) For each pair of red points $p, p' \in H_i$, that are in consecutive lexicographical (y, x) order, we add the restriction $y_p^i \rightarrow y_{p'}^i$.
- 2) For each pair of red points $p, p' \in V_i$, that are consecutive in lexicographical (x, y) order, we add the restriction $x_p^i \rightarrow x_{p'}^i$.



(a) Constraint 1: $y_p^i \rightarrow y_{p'}^i$.



(b) Constraint 2: $x_p^i \rightarrow x_{p'}^i$.

Figure 9: Constraints 1, 2.

2-CNF: C_{ij} -separable & Interesting Cells

Definition: C_{ij} -separable

Let $C_{ij} = H_i \cap V_j$ be a cell. A red point $p \in C_{ij}$ is called C_{ij} -separable for a point $p_b \in \mathcal{B}$, if p can be separated from p_b by a vertical or horizontal line running through the interior of C_{ij} .

Definition: Interesting Cell

We say that C_{ij} is *interesting* for a point $p_b \in \mathcal{B}$ if the following hold:

- 1 C_{ij} contains at least one red point that is C_{ij} -separable for p_b .
- 2 At least one of H_i or V_j contains at most one horizontal or vertical line from S respectively.
- 3 There is no vertical line from S in a strip strictly between p_b and V_j
- 4 There is no horizontal line from S in a strip strictly between p_b and H_i

► **Note:** The interesting cells for p_b are the cells that contain lines, whose position *cannot be predetermined*.

2-CNF: Constraint 3

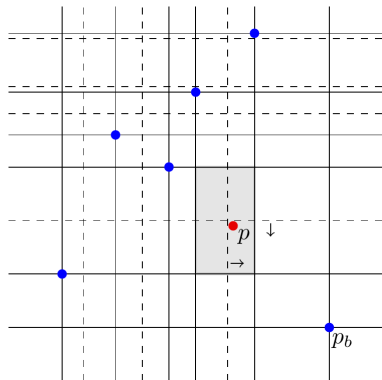


Figure 10: The gray cell is interesting for p_b . Here, we construct the clause $y_p^2 \vee \neg x_p^4$. We would have $y_p^2 = 0$, $\neg x_p^4 = 1$.

3) For each $p_b \in \mathcal{B}$, each interesting cell C_{ij} and each red point $p \in C_{ij}$ we construct a clause:

- 1 If $y(p_b) \geq Y(i+1)$, add $\neg y_p^i$, else add y_p^i .
- 2 If $x(p_b) \geq X(i+1)$, add $\neg x_p^i$, else add x_p^i .
- 3 We construct $O(|\mathcal{B}||\mathcal{R}|)$ clauses.
- 4 In an infeasible instance, we will have empty (unsatisfiable) clauses.

Table of Constents

- 1 Introduction
 - The Problem
 - Exponential Time Hypothesis
- 2 Lower Bound
 - 2-Track Hitting Set Problems
 - Reduction
 - Gadgets
- 3 Upper Bound
 - Description & Strategy
 - 2-Conjunctive Normal Form
- 4 Conclusion & Future Work
- 5 Bibliography

Conclusions

- We presented a reduction from STRUCTURED 2-TRACK HITTING SET to RED-BLUE POINTS SEPARATION.
- We proved the R-BPS cannot have an FPT algorithm, in the general case.
- We presented an FPT algorithm for the special case of AXIS PARALLEL R-BPS.

Future Work

- The complexity of AXIS PARALLEL R-BPS. Is it FPT, w.r.t. the number of lines?
- The complexity of R-BPS, when lines are allowed to have 3 slopes.
- The complexity of AXIS PARALLEL R-BPS in 3-dimensions.

Thank you for your time!

Table of Constents

- 1 Introduction
 - The Problem
 - Exponential Time Hypothesis
- 2 Lower Bound
 - 2-Track Hitting Set Problems
 - Reduction
 - Gadgets
- 3 Upper Bound
 - Description & Strategy
 - 2-Conjunctive Normal Form
- 4 Conclusion & Future Work
- 5 Bibliography

Bibliography



É. BONNET, P. GIANNOPOULOS, AND M. LAMPIS, *On the parameterized complexity of red-blue points separation*, CoRR, abs/1710.00637 (2017).



E. BONNET AND T. MILTZOW, *Parameterized hardness of art gallery problems*, ACM Trans. Algorithms, 16 (2020).



R. IMPAGLIAZZO AND R. PATURI, *Complexity of k -sat*, in Proceedings. Fourteenth Annual IEEE Conference on Computational Complexity (Formerly: Structure in Complexity Theory Conference) (Cat.No.99CB36317), 1999, pp. 237–240.