



# FACIAL EMOTION RECOGNITION

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Capstone Project -  
Milestone II

## MILESTONE 2



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REFINED INSIGHTS



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TECHNIQUES AND  
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FINAL SOLUTION

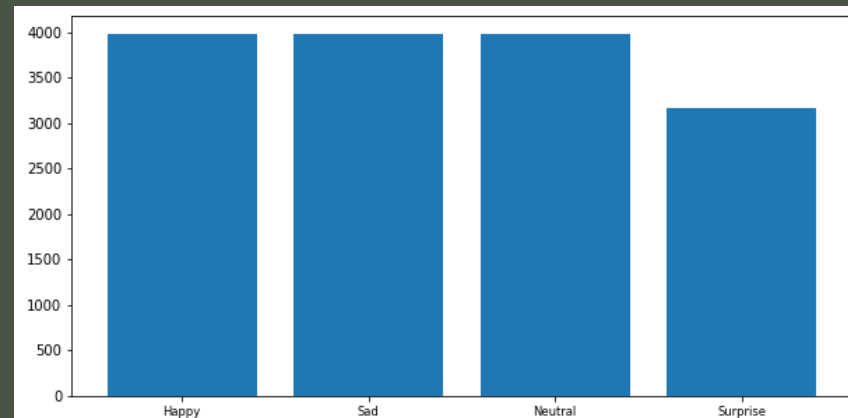
# REFINED INSIGHTS

Objective: Multiclassification problem  
4 classes: happy, sad, neutral, surprised.

Unbalanced dataset for “surprised” class.  
Using data generators for data augmentation (solution).

20K images – 75/25 train-validation split

Possible solutions: ANN, CNN + Transfer Learning



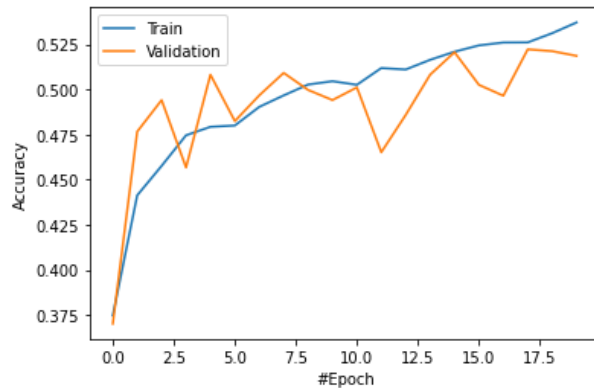
# TECHNIQUES AND PERFORMANCE

## VGG16

Trainable parameters: 14,7M  
Using block5\_pool as starting point.

Slightly overfitted - use less epochs

Train accuracy: 54%  
Validation accuracy: 51%  
Performance can be improved.



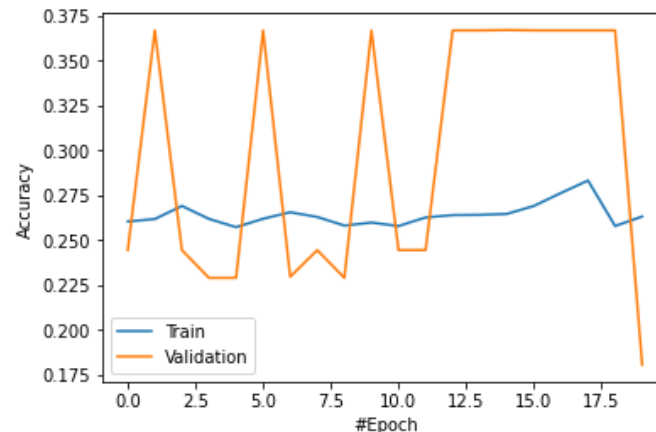
## RESNET

Much more complex model:  
#Parameters: 42,7M (trainable 42,5M)  
Using *conv5\_block3\_add* as starting point.

Validation accuracy unstable and training accuracy not improving.

Train accuracy: 26%  
Validation accuracy: 18%

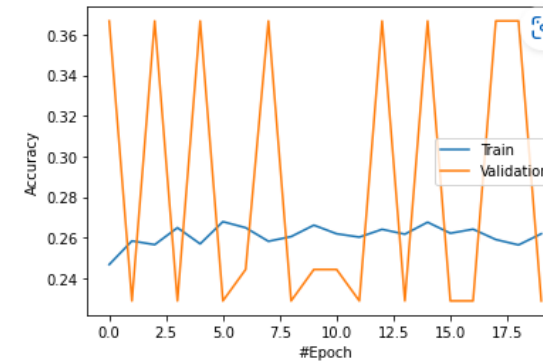
High uncertainty: validation accuracy goes up and down.



## EFFICIENTNET

Least complex LT model used:  
#Parameters: 8,8M (trainable 8,7M)

Similar to ResNet - High uncertainty: validation accuracy goes up and down.



## COMPLEX NN

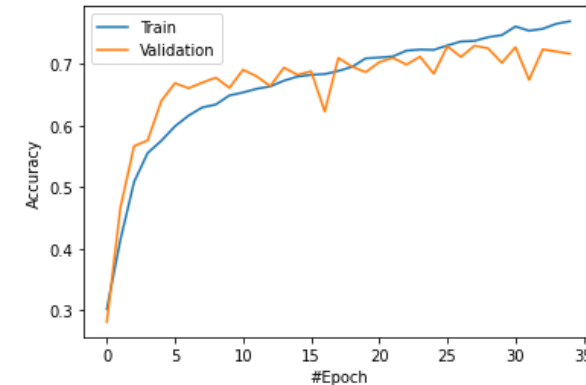
CNN instead of ANN.  
Complex ANN -  
#Parameters high: 1,7M

Uses GrayScale instead of 3 input channels.

**Best model.**

**Training accuracy 76%**

Validation accuracy: 71%  
Slightly overfitted.



# FINAL SOLUTION

## COMPLEX NN

CNN instead of ANN.

Complex ANN - #Parameters high: 1,7M

(much less parameters than CNN)

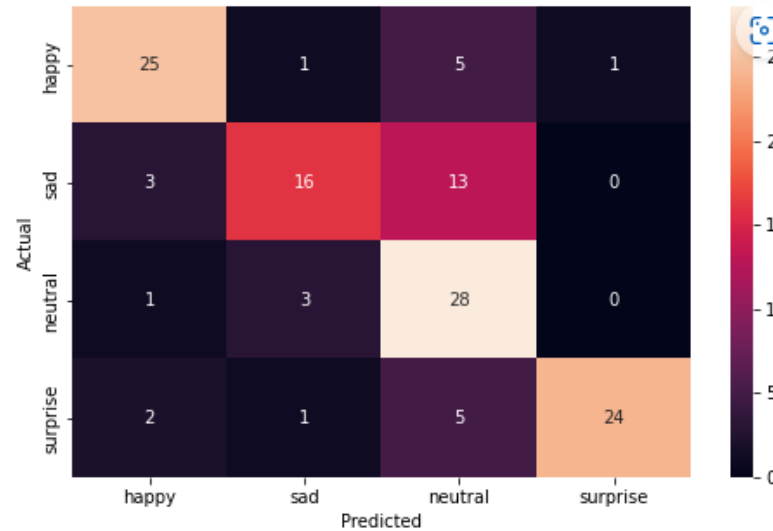
Uses GrayScale instead of 3 input channels.

Testing accuracy: 71-76%

- Surprised and happy images are far much better recognized compared to sad and neutral emotions.
- Most common error: sad faces that are classified as neutral emotion.
- Neutral class has high recall and low precision meaning neutral faces are very good identified, but many sad faces are mistaken as neutral (false positives).

Found 128 images belonging to 4 classes.

	precision	recall	f1-score	support
0	0.81	0.78	0.79	32
1	0.76	0.50	0.60	32
2	0.55	0.88	0.67	32
3	0.96	0.75	0.84	32
accuracy			0.73	128
macro avg	0.77	0.73	0.73	128
weighted avg	0.77	0.73	0.73	128



Found 128 images belonging to 4 classes.

	precision	recall	f1-score	support
0	0.77	0.84	0.81	32
1	0.71	0.53	0.61	32
2	0.57	0.75	0.65	32
3	1.00	0.84	0.92	32
accuracy			0.74	128
macro avg	0.76	0.74	0.74	128
weighted avg	0.76	0.74	0.74	128

