

# **CENG 483 - Intro. to Computer Vision**

## Clustering, segmentation & k-means clustering

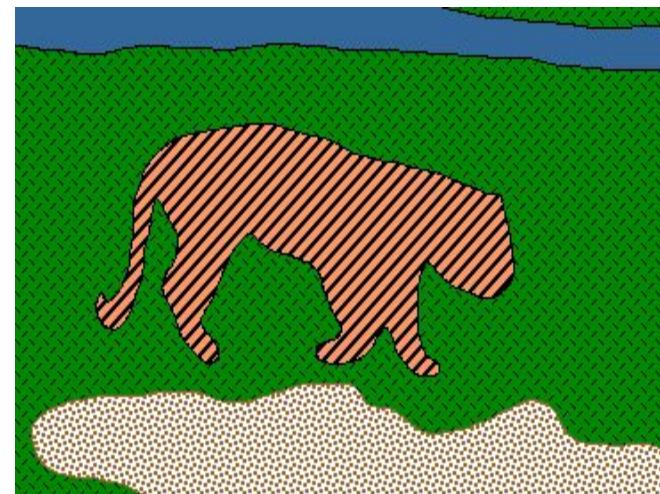
Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# What we will learn today

- **Introduction to segmentation and clustering**
- Gestalt theory for perceptual grouping
- K-means clustering

# Image Segmentation (unsupervised)

- A very commonly studied **grouping problem**
- Goal: identify groups of pixels that go together



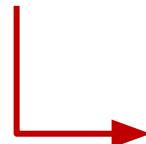
Slide credit: Steve Seitz, Kristen Grauman

Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# Segmentation vs Semantic Segmentation

*Typically:*

- **Image (unsupervised) segmentation:**  
unsupervised, grouping based
  - This is what we mean throughout this lecture
- **Semantic segmentation:**  
supervised, category-level



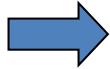
# The Goals of Segmentation

- **Example application #1** Separate image into coherent “objects”

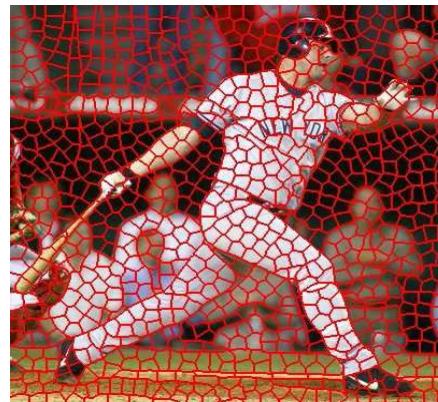
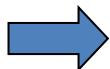


# The Goals of Segmentation

- **Example application #2** Group similar pixels for efficiency of further processing (superpixels, etc)



[Felzenszwalb and Huttenlocher 2004]



[Hoiem et al. 2005, Mori 2005, Felzenszwalb and Malik 2004, Learning a classification model for segmentation, ICCV 2003.]

Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

[Shi and Malik 2001]

Slide: Derek

# The Goals of Segmentation

- Example application #3 Better feature support



Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

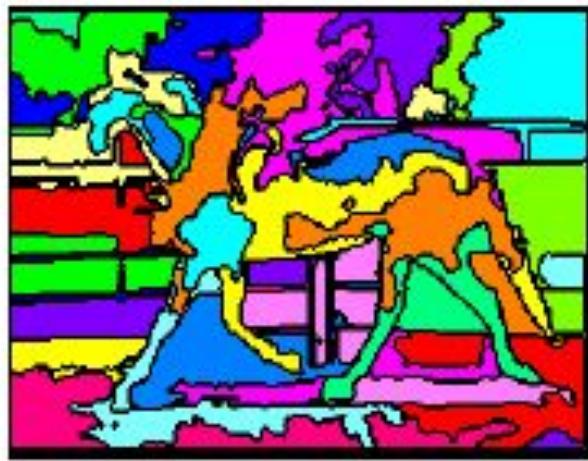
# The Goals of Segmentation

- Example application #4 Image editing

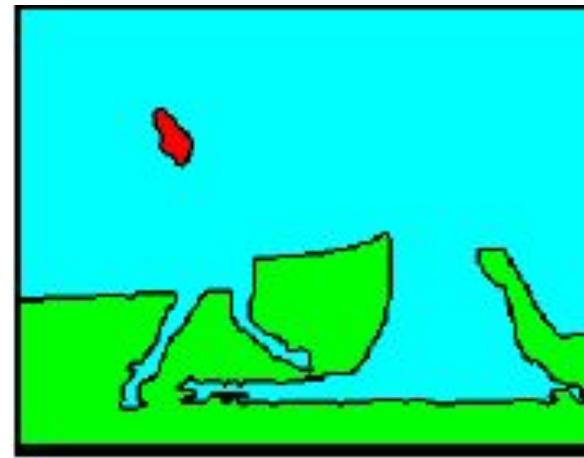


Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

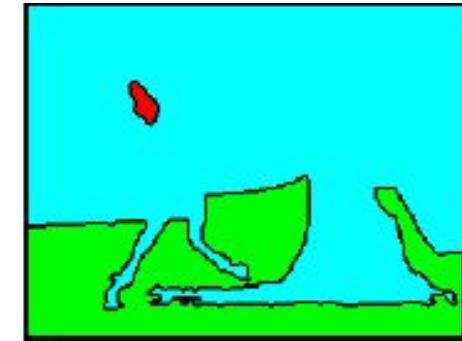
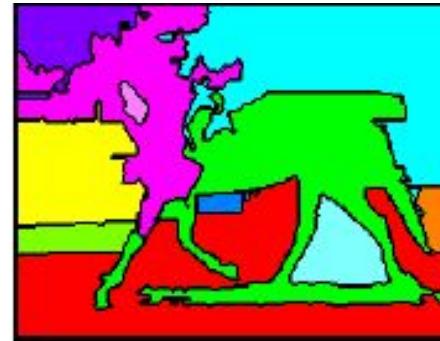
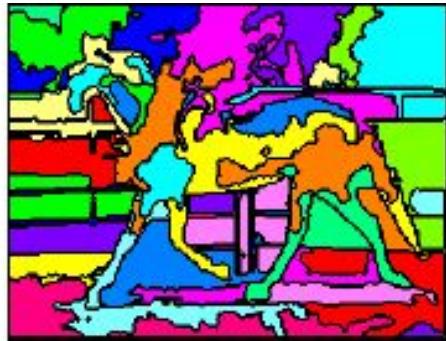
# Types of segmentations



Oversegmentation



Undersegmentation



Multiple Segmentations

Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

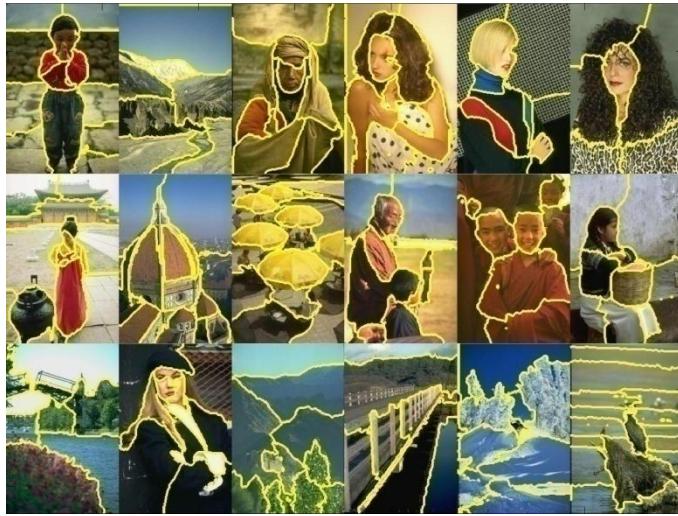
# One way to think about “segmentation” is Clustering

**Clustering:** group together similar data points and represent them with a single token

Key Challenges:

- 1) What makes two points/images/patches similar?
- 2) How do we compute an overall grouping from pairwise similarities?

# There are many other grouping tasks in Vision



Determining image regions



Grouping video frames into shots

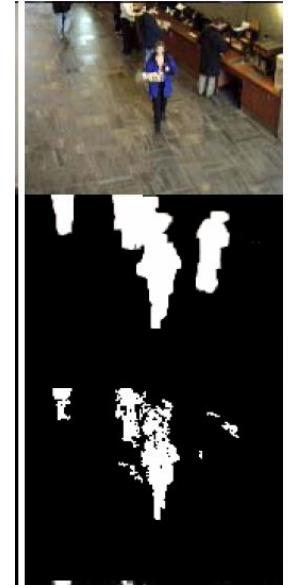
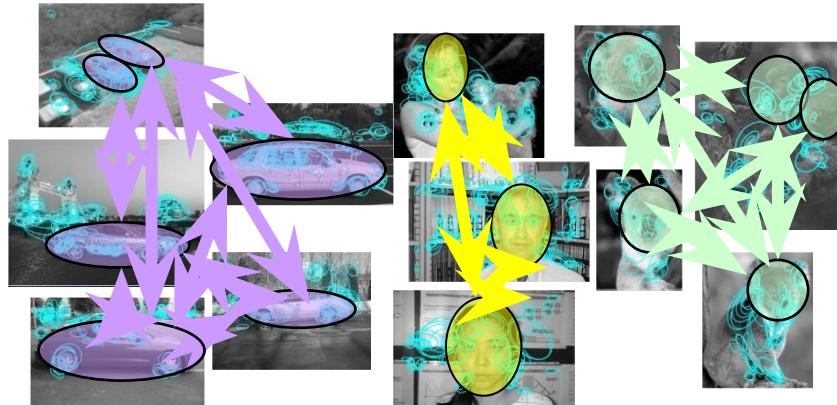


Figure-ground

*What things should  
be grouped?*

***What cues  
indicate groups?***



Object-level grouping

Najay Krishna

Original version by: Kristen Grauman

# Similarity



Slide credit: Kristen Grauman  
Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# Symmetry



Slide credit: Kristen Grauman

Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# Common Fate



Image credit: Arthus-Bertrand (via F. Durand)



(c) 2005 Heiko Burkhardt, Illano.com

Slide credit: Kristen Grauman  
Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

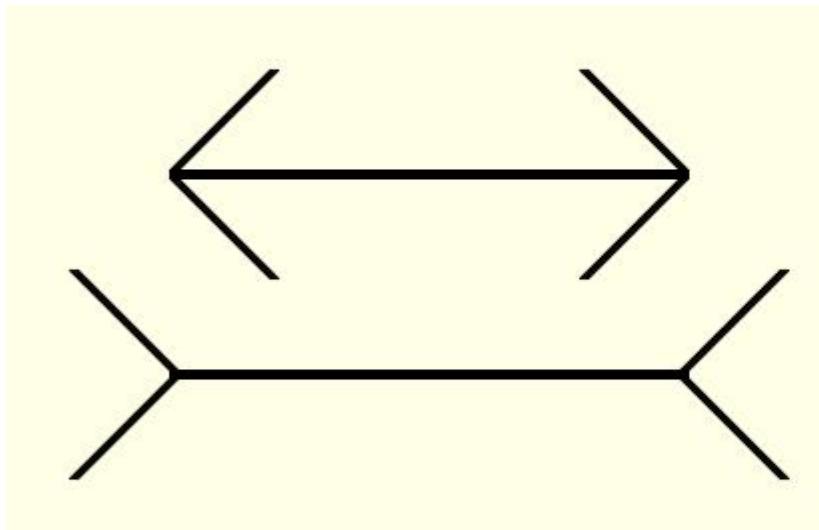
# Proximity



Slide credit: Kristen Grauman

Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# Muller-Lyer Illusion



- What makes the bottom line look longer than the top line? (Answer is not fully clear)

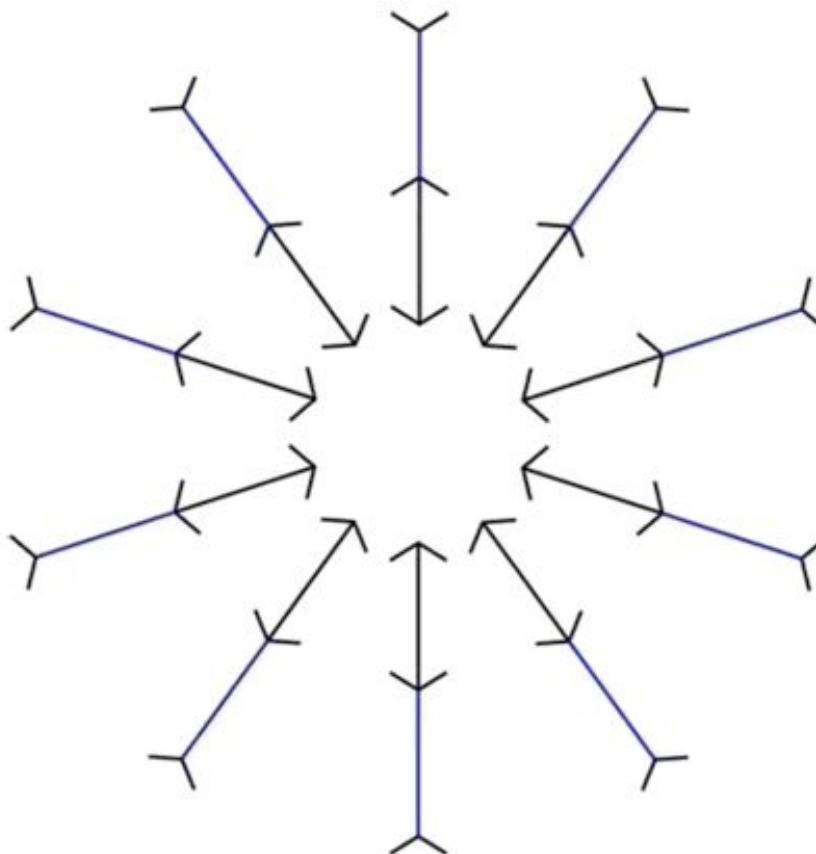
See for potential explanations, e.g. misleading 3D cues:  
[https://en.wikipedia.org/wiki/M%C3%BCller-Lyer\\_illusion](https://en.wikipedia.org/wiki/M%C3%BCller-Lyer_illusion)

Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

## Müller-Lyer Pulsating Star

by Gianni A. Sarcone

Though the star seems to pulsate, the **blue** and **black** segments of the radial structure are always the **same length**!



©GSARCONE giannisarcone.com @@@@

[https://twitter.com/social\\_brains/status/972325994391486464](https://twitter.com/social_brains/status/972325994391486464)

Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# What we will learn today

- Introduction to segmentation and clustering
- **Gestalt theory for perceptual grouping**
- K-means clustering

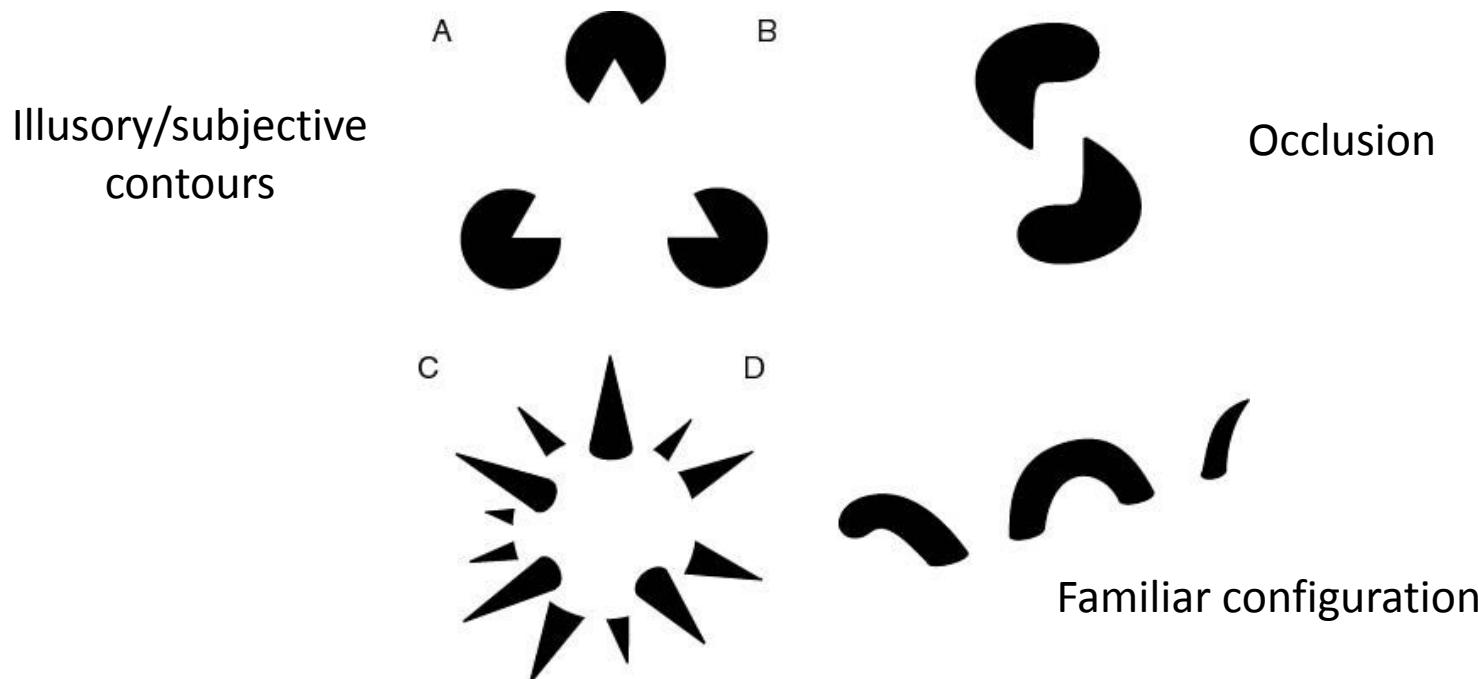
# The Gestalt School

- *A school of psychology that emerged in the early twentieth century in Austria and Germany as a theory of perception.*
- gestaltism = configurationism

[http://en.wikipedia.org/wiki/Gestalt\\_psychology](http://en.wikipedia.org/wiki/Gestalt_psychology)

# The Gestalt School

- Grouping is key to visual perception
- Elements in a collection can have properties that result from **relationships**
  - “The whole is greater than the sum of its parts”



Adapted from slides by Juan Carlos Gómez, 2017

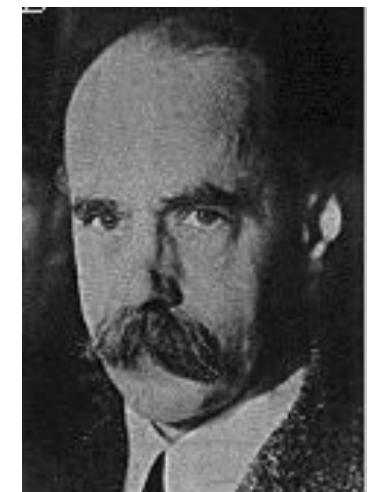
[http://en.wikipedia.org/wiki/Gestalt\\_psychology](http://en.wikipedia.org/wiki/Gestalt_psychology)

# Gestalt Theory

- Gestalt: whole or group
  - Whole is greater than sum of its parts
  - Relationships among parts can yield new properties/features
- Psychologists identified series of factors that predispose set of elements to be grouped (by human visual system)

*"I stand at the window and see a house, trees, sky.  
Theoretically I might say there were 327 brightnesses  
and nuances of colour. Do I have "327"? No. I have sky, house,  
and trees."*

**Max Wertheimer**  
(1880-1943)



Untersuchungen zur Lehre von der Gestalt,  
*Psychologische Forschung*, Vol. 4, pp. 301-350, 1923  
<http://psy.ed.asu.edu/~classics/Wertheimer/Forms/forms.htm>

# Gestalt Factors



Not grouped



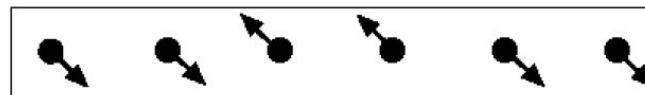
Proximity



Similarity



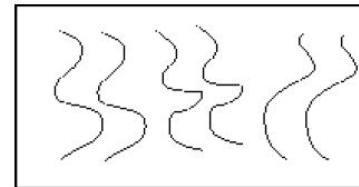
Similarity



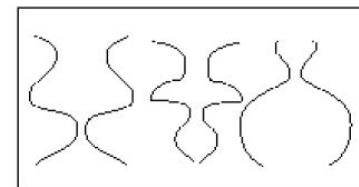
Common Fate



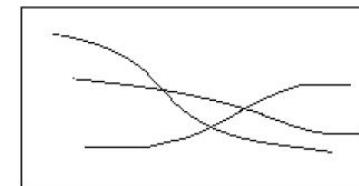
Common Region



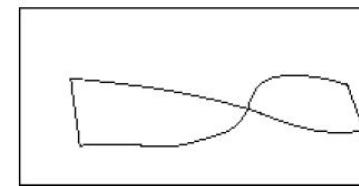
Parallelism



Symmetry



Continuity

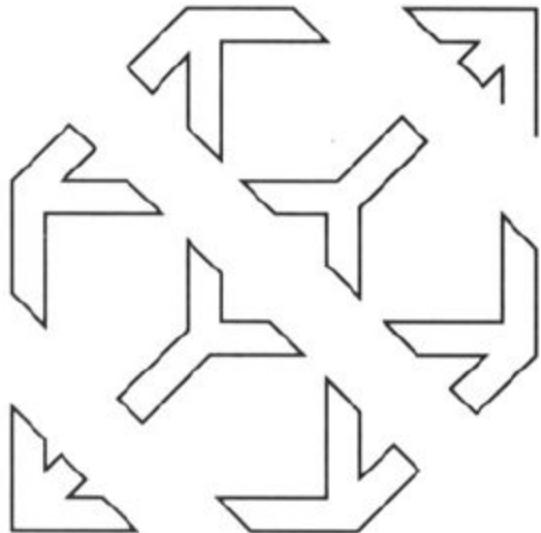


Closure

- These factors make intuitive sense, but are very difficult to translate into algorithms.

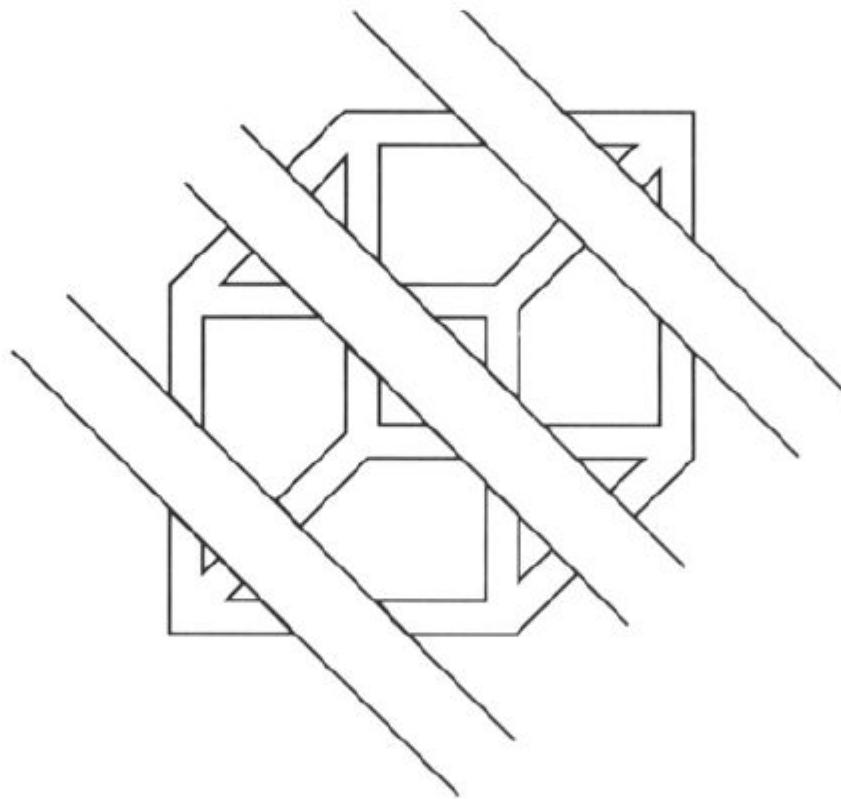
Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# Continuity through Occlusion Cues



Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

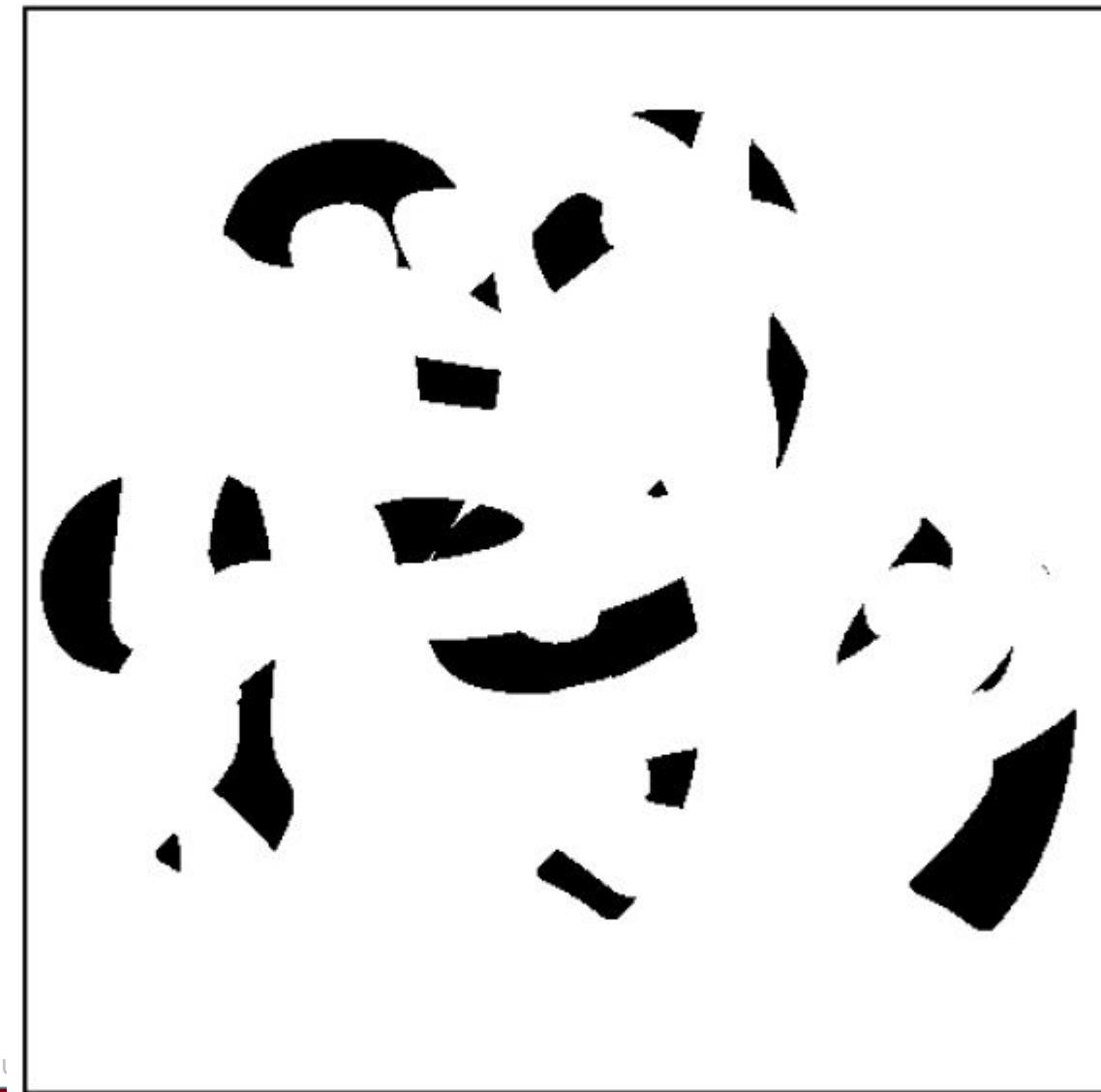
# Continuity through Occlusion Cues



Continuity, explanation by occlusion

Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# Continuity through Occlusion Cues



Adapted from slides by Ji

Image source: Forsyth & Ponce

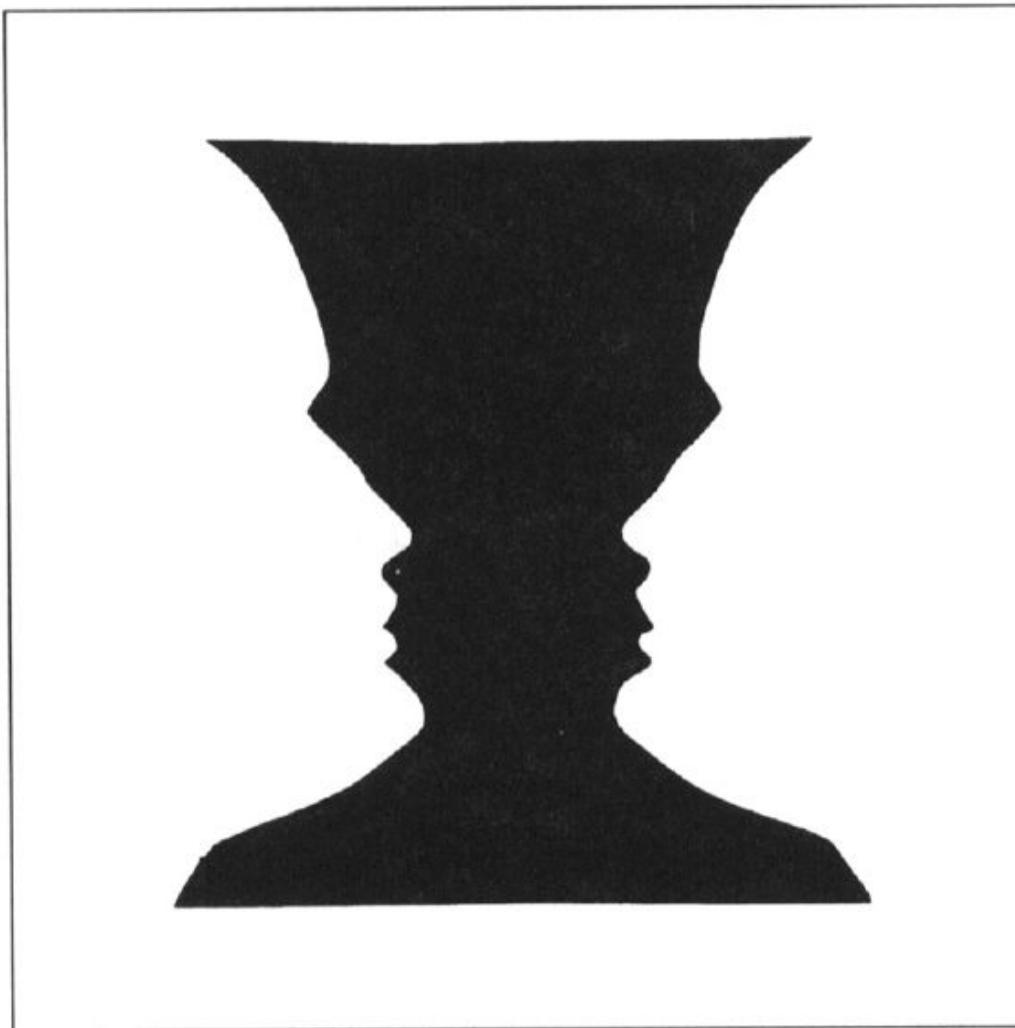
# Continuity through Occlusion Cues



Adapted from slides by

Image source: Forsyth & Ponce

# Figure-Ground Discrimination



Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

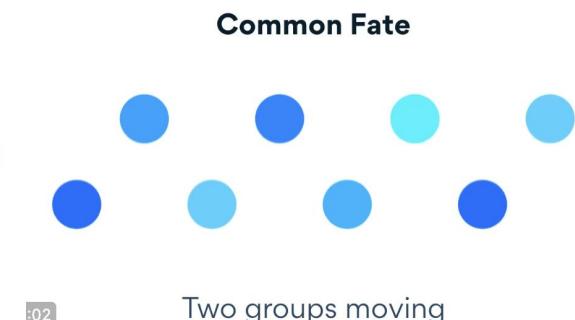
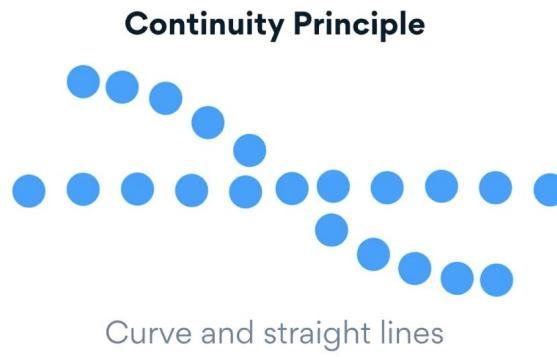
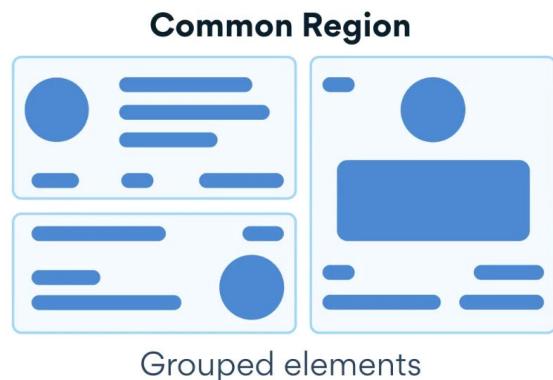
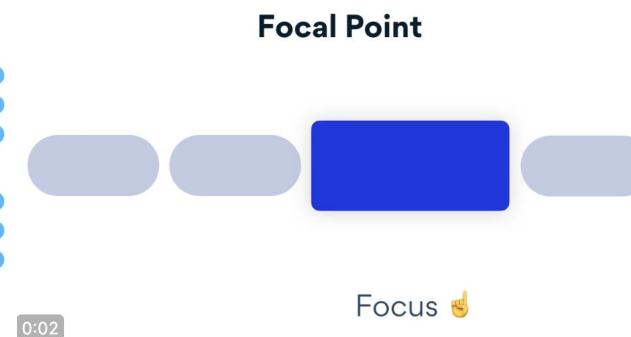
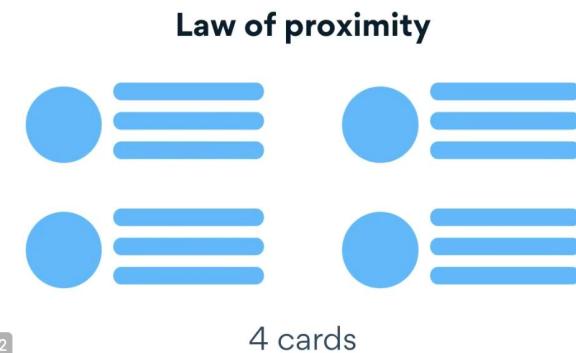
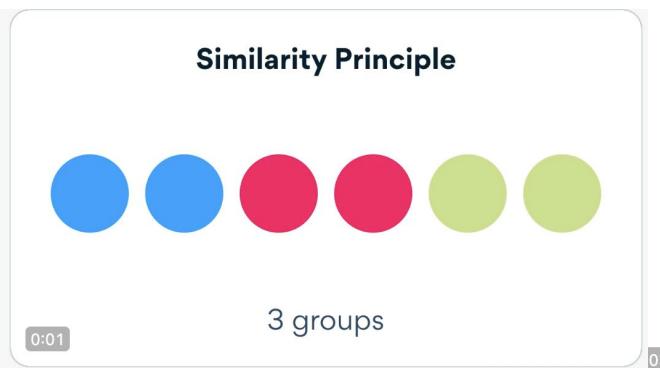
# The Ultimate Gestalt?



Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# Side-topic: Gestalt Principles in Presentations

- <https://twitter.com/pablostanley/status/974303621092225024>



# What we will learn today

- Introduction to segmentation and clustering
- Gestalt theory for perceptual grouping
- **K-means clustering**

# Why do we cluster?

- **Summarizing data**
  - Look at large amounts of data
  - Patch-based compression or denoising
  - Represent a large continuous vector with the cluster number
- **Counting**
  - Histograms of texture, color, SIFT vectors
- **Segmentation**
  - Separate the image into different regions
- **Prediction**
  - Images in the same cluster may have the same labels

# General ideas

- Tokens
  - whatever we need to group (pixels, points, surface elements, etc.)
- Bottom up clustering
  - tokens belong together because they are locally coherent
- Top down clustering
  - tokens belong together because they lie on the same visual entity (object, scene...)
- Model based clustering
  - Based on fitting a model to the data set

Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# How do we cluster?

## Examples

- Agglomerative clustering (**we'll skip**)
  - Start with each point as its own cluster and iteratively merge the closest clusters
- K-means (**we'll cover**)
  - Iteratively re-assign points to the nearest cluster center
- Mean-shift clustering (**we'll skip**)
  - Estimate modes of pdf

# Clustering's technical elements

Clustering is an unsupervised learning method. Given items  $x_1, \dots, x_n \in \mathbb{R}^D$ , the goal is to group them into clusters.

Depending on the algorithm we need to make assumptions / take inputs, such as:

- a pairwise distance/similarity function
- the desired number of clusters
- thresholds on intra/inter cluster distance limits
- a data distribution model (eg. mixture of gaussian)

# Defining Similarity / Distance Measures

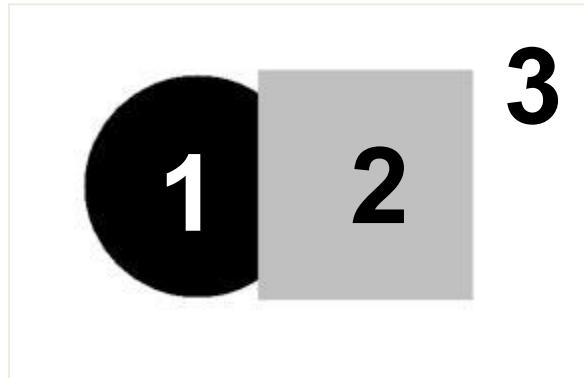
Some algorithms, such as agglomerative clustering, explicitly use a similarity or distance function.

Some others, eg. k-means, implicitly assume a distance metric through the distribution assumption.

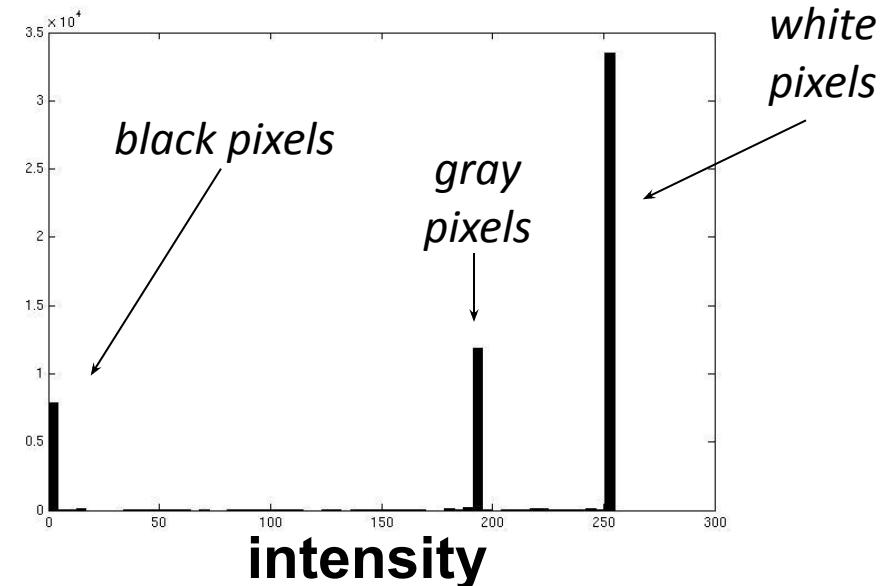
Example similarity & distance functions:

$$\text{cosine similarity} = S_C(A, B) := \cos(\theta) = \frac{\mathbf{A} \cdot \mathbf{B}}{\|\mathbf{A}\| \|\mathbf{B}\|}$$
$$\text{cosine distance} = D_C(A, B) := 1 - S_C(A, B)$$

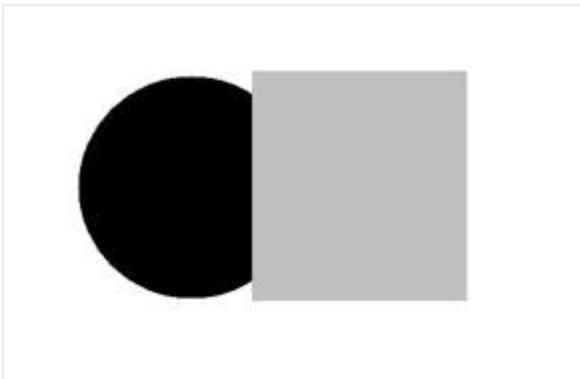
# Image Segmentation: Toy Example



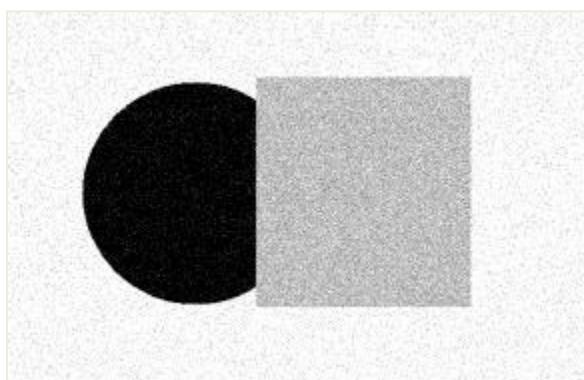
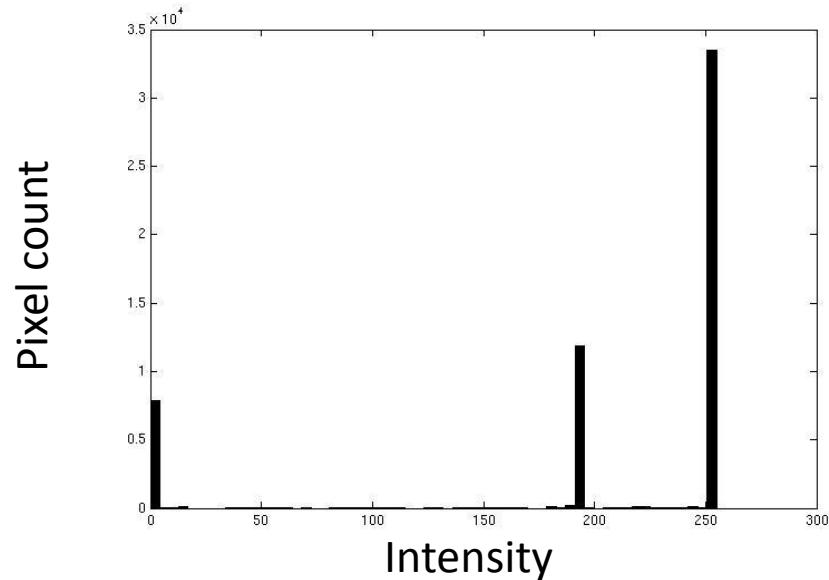
input image



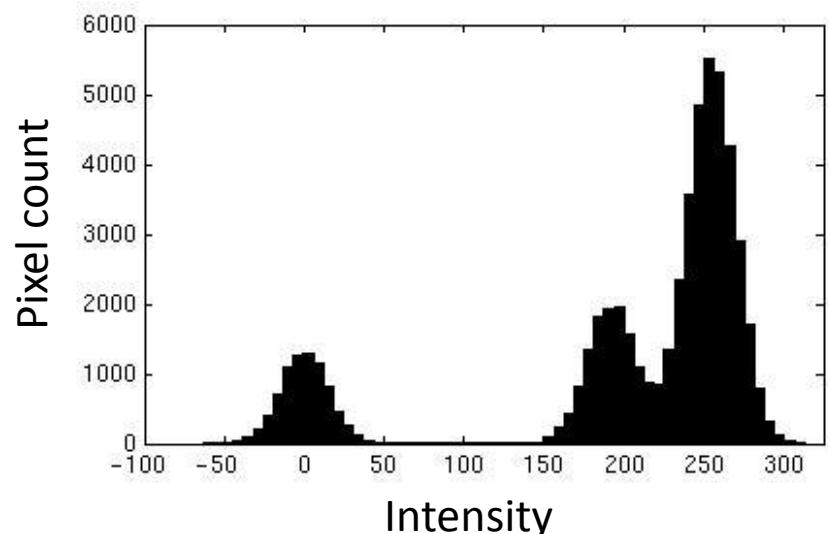
- These intensities define the three groups.
- We could label every pixel in the image according to which of these primary intensities it is.
  - i.e., segment the image based on the intensity feature.
- What if the image isn't quite so simple?



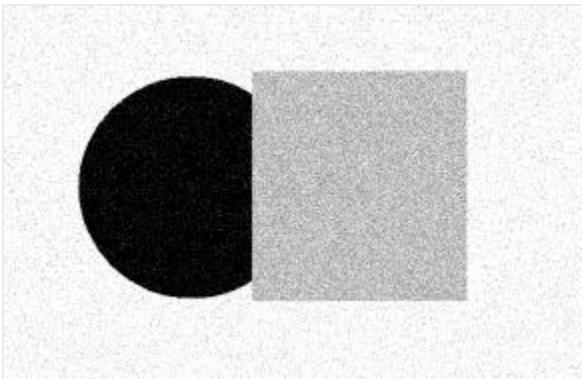
Input image



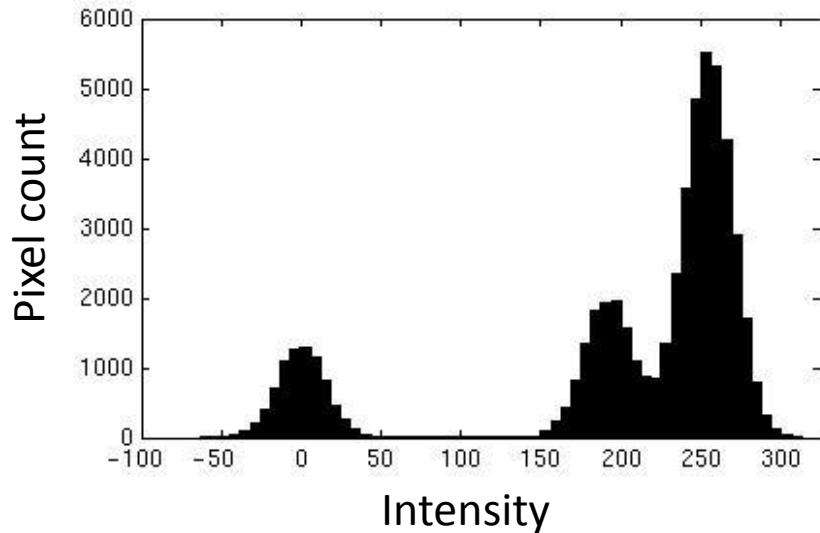
Input image



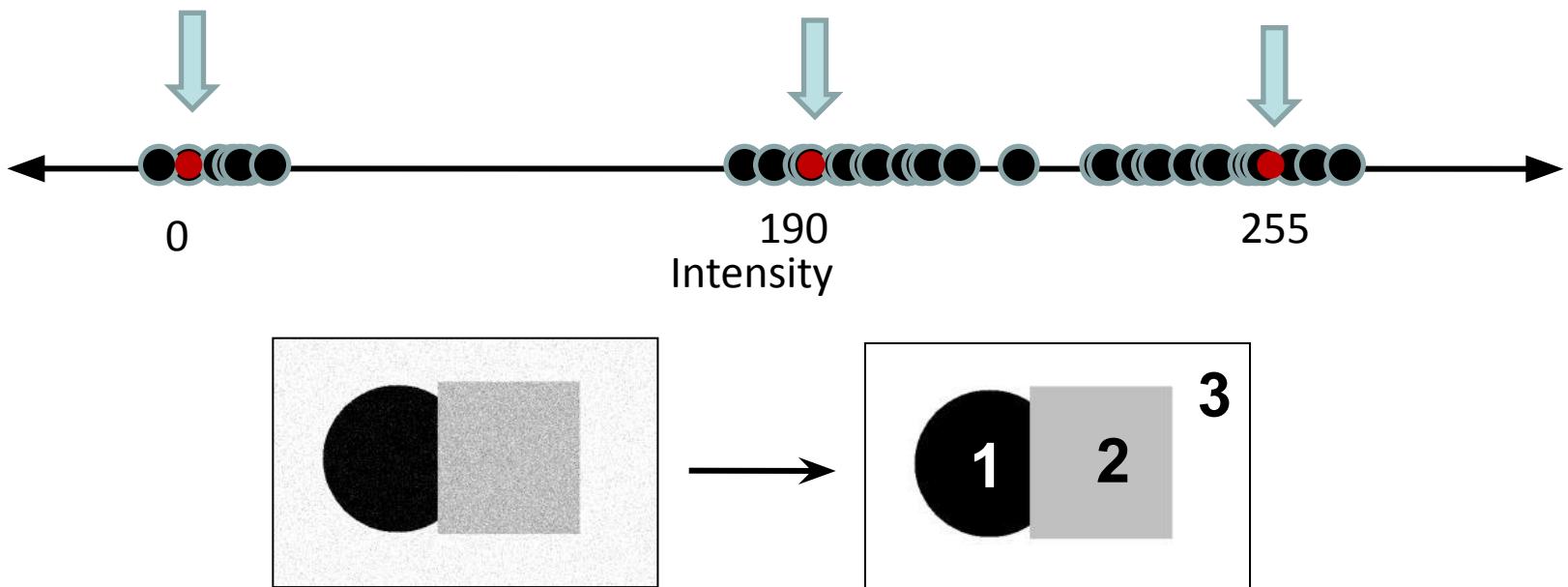
Slide credit: Kristen Grauman, Charles P. Neblett, and Ranjay Krishna



Input image



- Now how to determine the three main intensities that define our groups?
- We need to cluster.



- **General idea:** choose three “centers” as the representative intensities, and label every pixel according to which of these centers it is nearest to.
- **Best cluster centers** are defined as those that minimize Sum of Square Distance (SSD) between all points and their nearest cluster center  $c_i$ :

$$SSD = \sum_{cluster i} \sum_{x \in cluster i} (x - c_i)^2$$

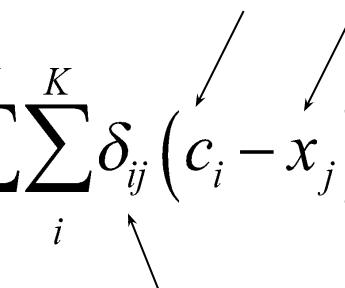
Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# Clustering for Summarization

Goal: cluster to minimize variance in data given clusters

- Preserve information

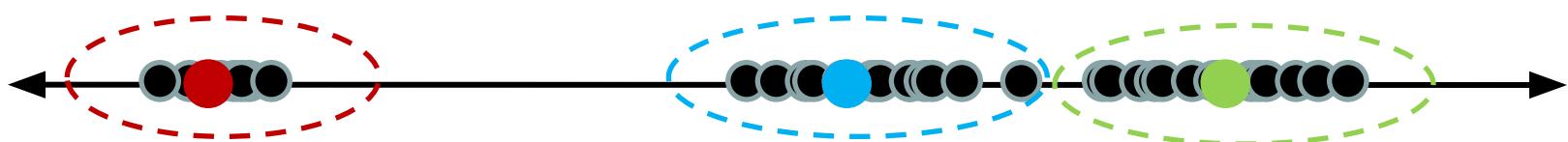
$$c^*, \delta^* = \arg \min_{c, \delta} \frac{1}{N} \sum_j^N \sum_i^K \delta_{ij} (c_i - x_j)^2$$

Cluster center      Data  
  
Whether  $x_j$  is assigned to  $c_i$

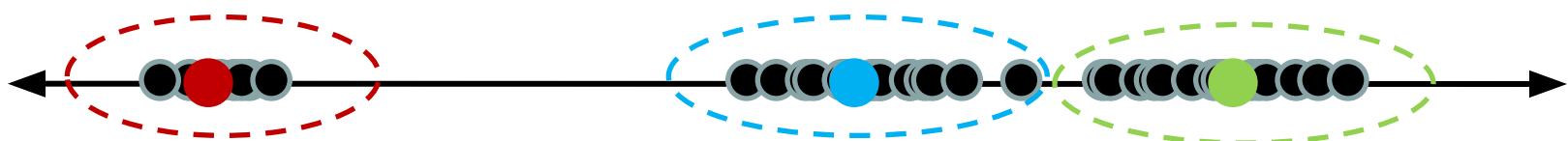
Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# Clustering - but how?

- With this objective, it is a “chicken and egg” problem:
  - If we knew the *cluster centers*, we could allocate points to groups by assigning each to its closest center.



- If we knew the *group memberships*, we could get the centers by computing the mean per group.



Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# K-means clustering

1. Initialize ( $t = 0$ ): cluster centers  $c_1, \dots, c_K$
2. Compute  $\delta^t$ : assign each point to the closest center
  - $\delta^t$  denotes the set of assignment for each  $x_j$  to cluster  $c_i$  at iteration  $t$
$$\delta^t = \operatorname{argmin}_{\delta} \frac{1}{N} \sum_j^K \sum_i \delta_{ij}^{t-1} (c_i^{t-1} - x_j)^2$$
3. Computer  $c^t$ : update cluster centers as the mean of the points
$$c^t = \operatorname{argmin}_c \frac{1}{N} \sum_j^K \sum_i \delta_{ij}^t (c_i^{t-1} - x_j)^2$$
4. Update  $t = t + 1$ , Repeat Step 2-3 till stopped



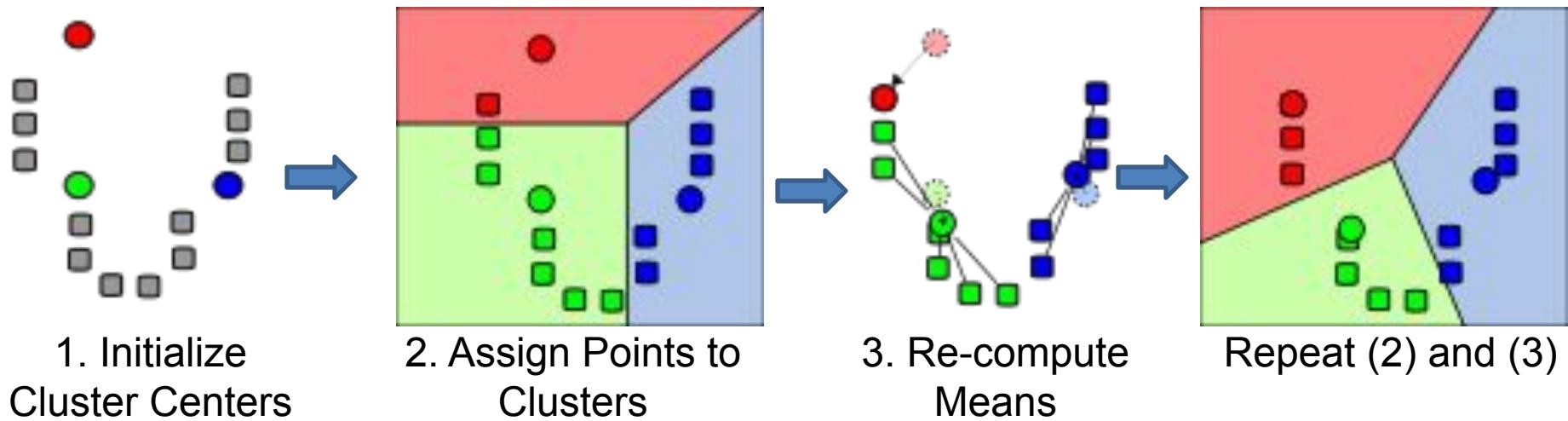
Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# K-means clustering

1. Initialize ( $t = 0$ ): cluster centers  $c_1, \dots, c_K$ 
  - Commonly used: random initialization
2. Compute  $\delta^t$ : assign each point to the closest center
3. Computer  $C^t$ : update cluster centers as the mean of the points
$$c^t = \operatorname{argmin}_c \frac{1}{N} \sum_j^K \sum_i \delta_{ij}^t (c_i^{t-1} - x_j)^2$$
4. Update  $t = t + 1$ , Repeat Step 2-3 till stopped
  - $C^t$  (or assignments) doesn't change anymore  
⇒ Convergence, stop.

Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

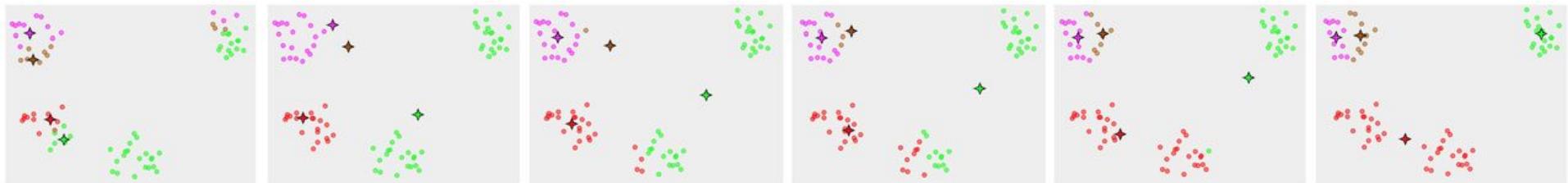
# K-means clustering



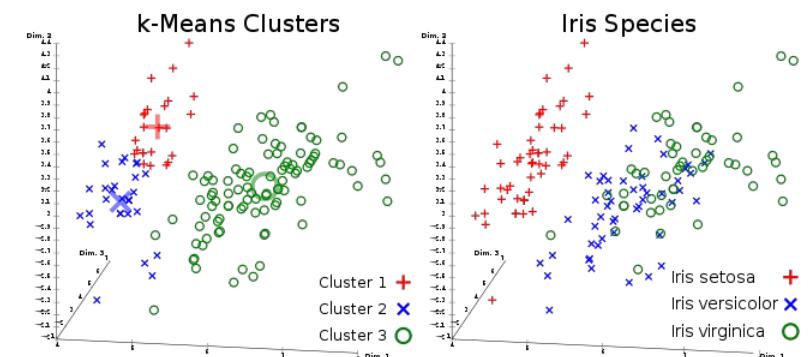
Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# K-means clustering

- Converges to a *local minimum* solution
  - Initialize multiple runs



- Better fit for spherical data



- Need to pick K (# of clusters)

Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# Segmentation as Clustering



Original image



2 clusters



3 clusters

Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# Feature Space

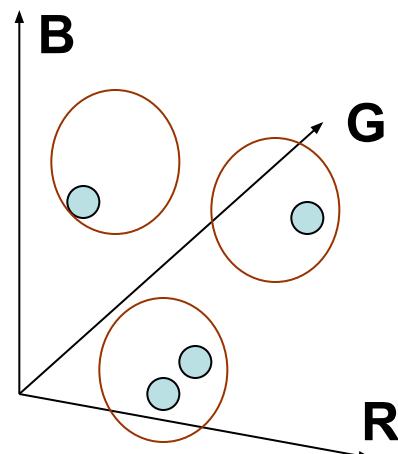
- Depending on what we choose as the *feature space* (=representation), we can group pixels in different ways.
- Grouping pixels based on **intensity** similarity



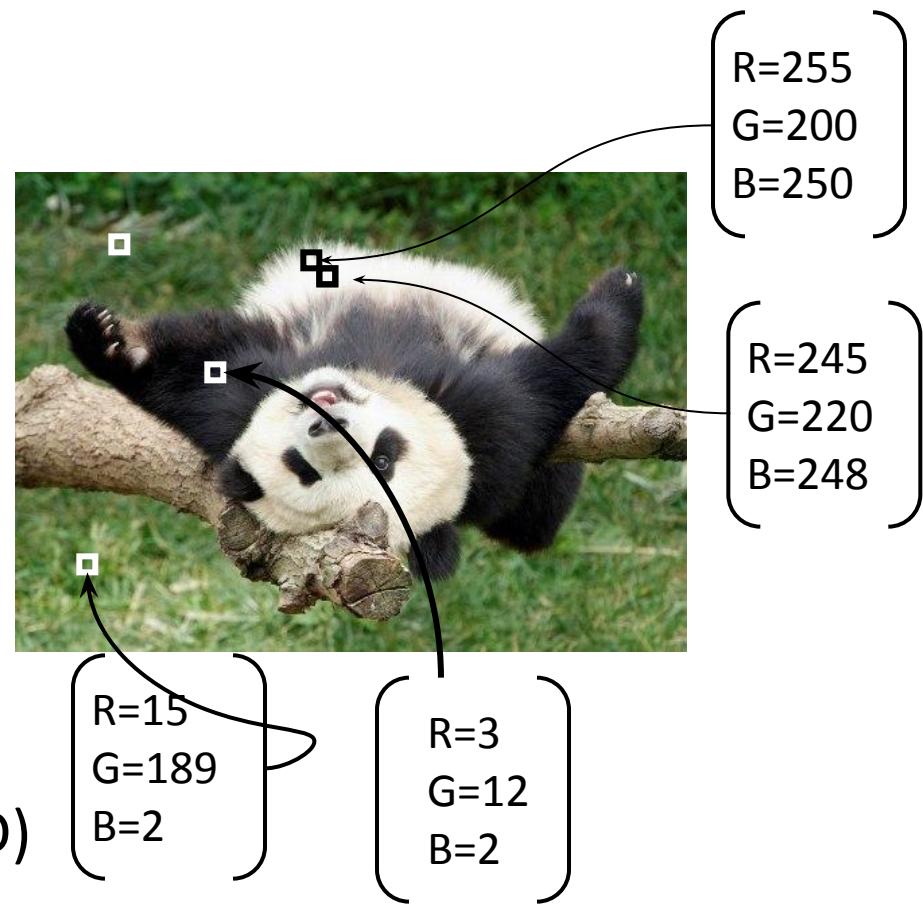
- Feature space: intensity value (1D)

# Feature Space

- Depending on what we choose as the *feature space*, we can group pixels in different ways.
- Grouping pixels based on **color** similarity

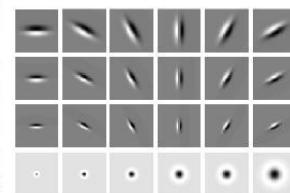
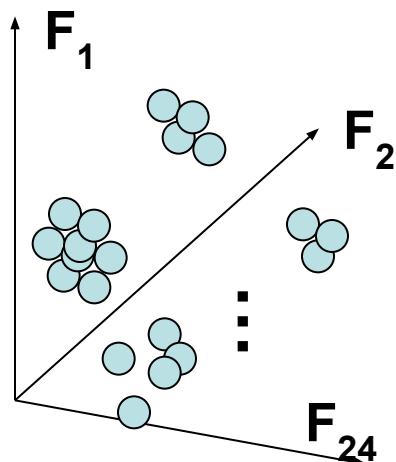


- Feature space: color value (3D)



# Feature Space

- Depending on what we choose as the *feature space*, we can group pixels in different ways.
- Grouping pixels based on **texture** similarity



Filter bank of  
24 filters

- Feature space: filter bank responses (e.g., 24D)

# Features greatly affect K-Means

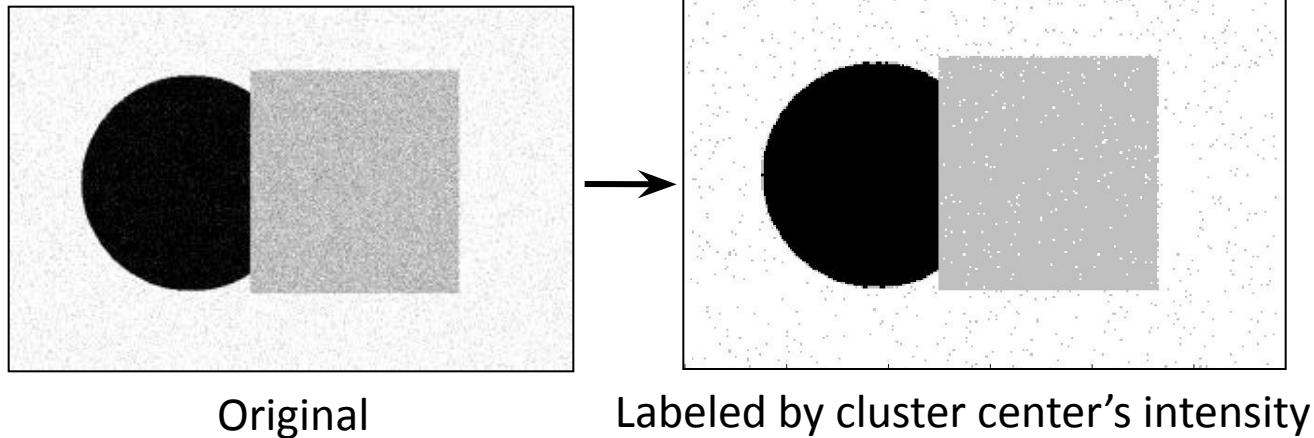


Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

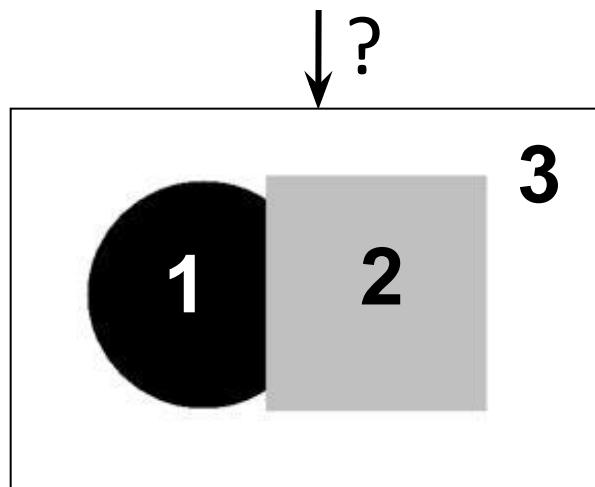
Image source: Forsyth & Ponce

# Smoothing Out Cluster Assignments

- Assigning a cluster label per pixel may yield outliers:

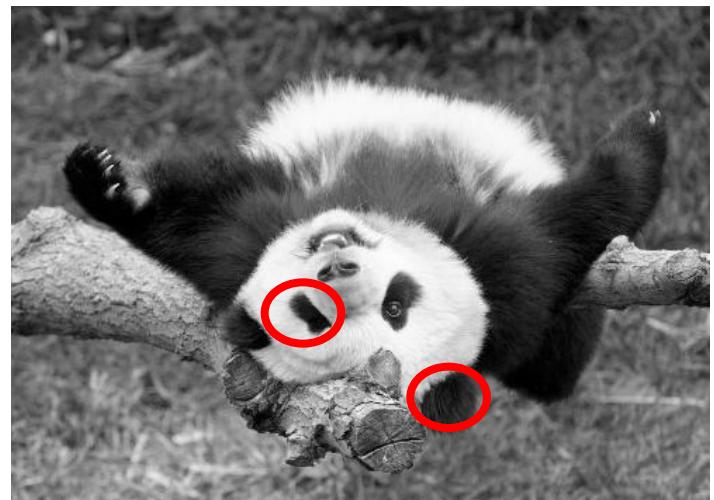
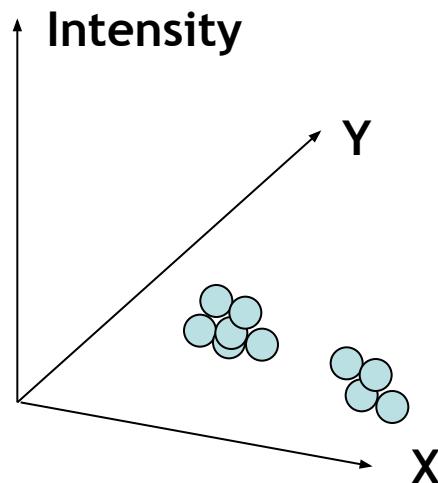


- How can we ensure they are spatially smooth?



# Segmentation as Clustering

- Depending on what we choose as the *feature space*, we can group pixels in different ways.
- Grouping pixels based on *intensity+position* similarity
- 



⇒ Way to encode both *similarity* and *proximity*.

Slide credit: Kristen Grauman, Charles Jacobs, and Ranjay Krishna

# How to evaluate clusters?

- Generative
  - How well are points reconstructed from the clusters?
- Discriminative
  - How well do the clusters correspond to labels?
    - Can we correctly classify which pixels belong to the panda?
  - Note: unsupervised clustering does not aim to be discriminative as we don't have the labels.

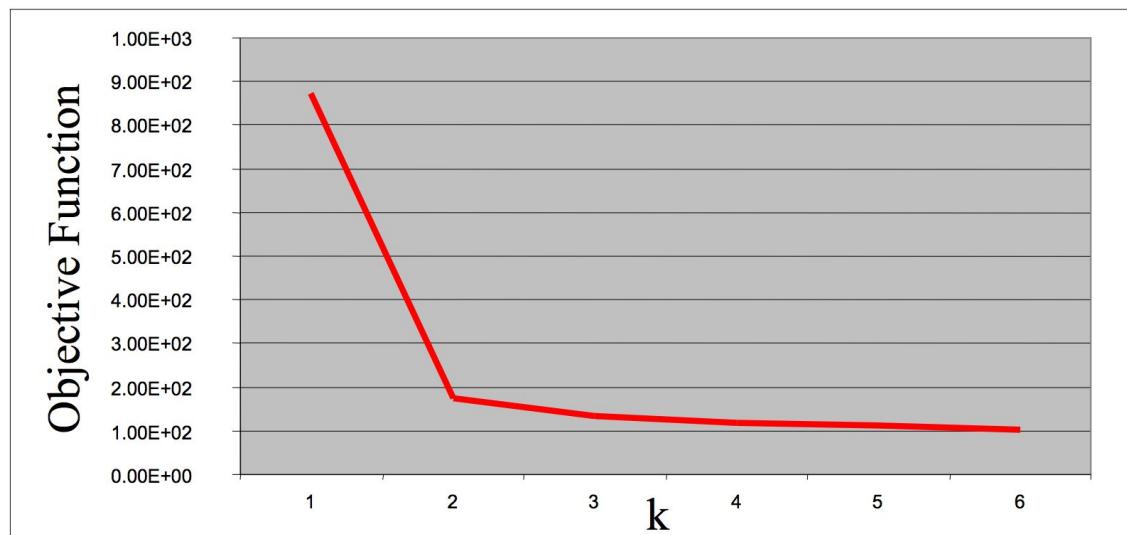
Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# How to choose the number of clusters?

Try different numbers of clusters in a validation set and look at performance.

We can plot the objective function values for  $k$  equals 1 to 6...

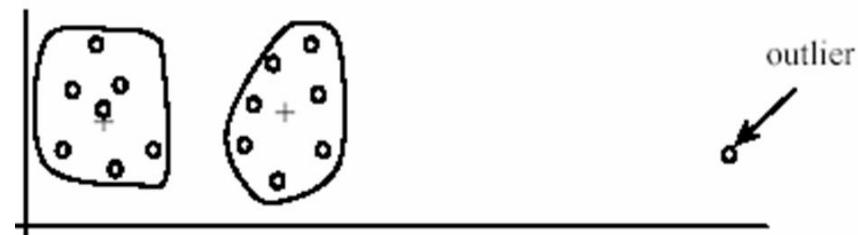
The abrupt change at  $k = 2$ , is highly suggestive of two clusters in the data. This technique for determining the number of clusters is known as “knee finding” or “elbow finding”.



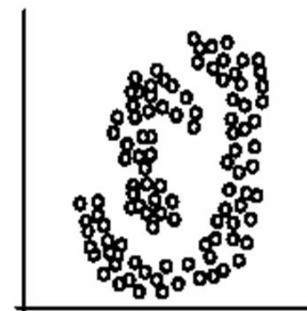
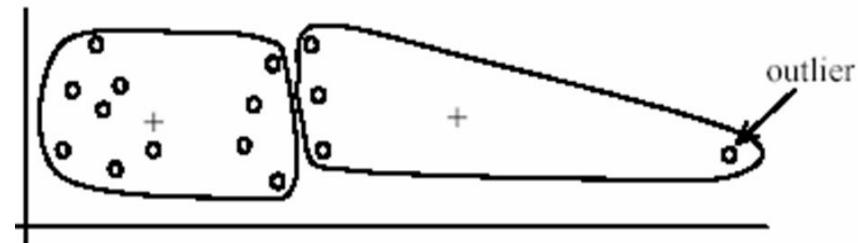
Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna

# K-Means pros and cons

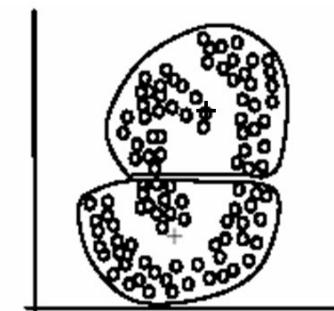
- Pros
  - Finds cluster centers that minimize conditional variance (good representation of data)
  - Simple and fast, Easy to implement
- Cons
  - Need to choose K
  - Sensitive to outliers
  - Prone to local minima
  - All clusters have the same parameters (e.g., distance measure is non-adaptive)
  - \*Can be slow: each iteration is  $O(KNd)$  for N d-dimensional points
- Usage
  - Unsupervised clustering
  - Rarely used for pixel segmentation



(B): Ideal clusters



(A): Two natural clusters



(B):  $k$ -means clusters

Adapted from slides by Juan Carlos Niebles, and Ranjay Krishna