



Modelling Software-based Systems

Lecture 4 Correctness by Construction with the Modelling Language Event-B using the Refinement

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General Summary

- 1 Correctness by Construction
- 2 The refinement of models
- 3 Example of the clock
- 4 Summary of the refinement
- **6** Example of the factorial function refined into an algorithm
- 6 Designing a algorithm for computing the factorial function
- Review of Event-B
- 8 Intermezzo on the Event B modelling notation
- Transformations of Event-B models
- Conclusion
- Summary

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Correctness by Construction

- Correctness by Construction is a method of building software -based systems with demonstrable correctness for security- and safety-critical applications.
- Correctness by Construction advocates a step-wise refinement process from specification to code using tools for checking and transforming models.
- Correctness by Construction is an approach to software/system construction
 - starting with an abstract model of the problem.
 - progressively adding details in a step-wise and checked fashion.
 - each step guarantees and proves the correctness of the new concrete model with respect to requirements

The Cleanroom Method as CbC

- The Cleanroom method, developed by Harlan Mills and his colleagues at IBM and elsewhere, attempts to do for software what cleanroom fabrication does for semiconductors: to achieve quality by keeping defects out during fabrication.
- In semiconductors, dirt or dust that is allowed to contaminate a chip as it is being made cannot possibly be removed later.
- But we try to do the equivalent when we write programs that are full of bugs, and then attempt to remove them all using debugging.

The Cleanroom Method as CbC

The Cleanroom method, then, uses a number of techniques to develop software carefully, in a well-controlled way, so as to avoid or eliminate as many defects as possible before the software is ever executed. Elements of the method are:

- specification of all components of the software at all levels;
- stepwise refinement using constructs called "box structures";
- verification of all components by the development team;
- statistical quality control by independent certification testing;
- no unit testing, no execution at all prior to certification testing.

Critical System Development Life-Cycle Methodology



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Problems for Modelling systems

- Systems are generally very complex
- Invariant should be strong enough for proving safety properties
- Problems for modelling: finding suitable mathematical structures, listing events or actions of the system, proving proof obligations, . . .

Solution : refining models

- To understand more and more the system
- To distribute the complexity of the system
- To distribute the difficulties of the proof
- To improve explanations
- Validation (step by step)
- Refinement (invariant & behavior)

refinement between two events (I)

definition

Let x be the abstract variable (or list of variables) and I(s,c,x) the abstract invariant, y the concrete variable (or list of variables) and J(s,c,x,y) the concrete invariant.

Let c be a concrete event observing the variable y and a an event observing the variable x and preserving I(s,c,x).

Event c refines event a with respect to x, I(s, c, x), y and J(s, c, x, y), if

$$AX(s,c) \vdash I(s,c,x) \land J(s,c,x,y) \Rightarrow [c](\neg [a](\neg J(s,c,x,y)))$$

```
 \begin{cases} \textbf{ANY } u \ \textbf{WHERE} \\ G(u,s,c,x) \\ \textbf{THEN} \\ x:|ABAP(u,s,c,x,x') \\ \textbf{END} \end{cases} \overset{def}{\equiv} \begin{cases} \textbf{ANY } v \ \textbf{WHERE} \\ H(v,s,c,y) \\ \textbf{WITNESS} \\ u:WP(u,s,c,v,y) \\ x':WV(v,s,c,y',x') \\ \textbf{THEN} \\ y:|CBAP(v,s,c,y,y') \\ \textbf{END} \end{cases}
```

The two events a and c are normalised by a relationship called BA(e)(s,c,x,x'), which simplifies the notations used.

The two events a and c are equivalent to events of the following normalized form:

- a is equivalent to begin $x: |(\exists u.G(u, s, c, x) \land ABAP(u, s, c, x, x'))|$ end
- c is equivalent to begin $y: |(\exists v. H(v, s, c, y) \land CBAP(v, s, c, y, y'))|$ end

Explanations for the refinement

```
(Hypothesis)
(1) AX(s,c) \vdash I(s,c,x) \land J(s,c,x,y) \Rightarrow [c](\neg [a](\neg J(s,c,x,y)))
equivalent to
( Definition of [a]:[a](\neg J(s,c,x,y))\equiv
\forall x'.(\exists u.G(u,s,c,x) \land ABAP(u,s,c,x,x')) \Rightarrow \neg J(s,c,x',y)))
(2) AX(s,c) \vdash I(s,c,x) \land J(s,c,x,y) \Rightarrow [c](\neg(\forall x'.(\exists u.G(u,s,c,x))))
ABAP(u, s, c, x, x')) \Rightarrow \neg J(s, c, x', y)
equivalent to
(Transformation by simplification of logical connectives)
(3) AX(s,c) \vdash I(s,c,x) \land J(s,c,x,y) \Rightarrow [c](\exists x'.(\exists u.G(u,s,c,x) \land \exists x,y)) \Rightarrow [c](\exists x'.(\exists x'.
ABAP(u, s, c, x, x')) \wedge J(s, c, x', y)
equivalent to
( Definition of [c])
```

$$\begin{array}{l} \textbf{(4)} \ AX(s,c) \vdash \\ I(s,c,x) \land J(s,c,x,y) \Rightarrow (\forall y'. (\exists v. H(v,s,c,x) \land CBAP(v,s,c,y,y')) \Rightarrow \\ ((\exists x'. (\exists u. G(u,s,c,x) \land ABAP(u,s,c,x,x')) \land J(s,c,x',y'))) \\ \textit{equivalent to} \\ \textbf{(Transformation by quantifier elimination } \forall) \end{array}$$

(5)
$$AX(s,c) \vdash I(s,c,x) \land J(s,c,x,y) \Rightarrow (\exists v.H(v,s,c,y) \land CBAP(v,s,c,y,y')) \Rightarrow ((\exists x'.(\exists u.G(u,s,c,x) \land ABAP(u,s,c,x,x')) \land J(s,c,x',y')))$$
 equivalent to

(Transformation by elimination of connector \wedge)

(6)
$$AX(s,c) \vdash$$

$$I(s,c,x) \land J(s,c,x,y) \land (\exists v.H(v,s,c,y) \land CBAP(v,s,c,y,y')) \Rightarrow ((\exists x'.(\exists u.G(u,s,c,x) \land ABAP(u,s,c,x,x')) \Rightarrow J(s,c,x',y')))$$

```
equivalent to (Transformation by elimination of quantifier \exists) (7) AX(s,c) \vdash I(s,c,x) \land J(s,c,x,y) \land H(v,s,c,y) \land CBAP(v,s,c,y,y') \Rightarrow ((\exists x'.(\exists u.G(u,s,c,x) \land ABAP(u,s,c,x,x')) \land J(s,c,x',y'))) equivalent to (Transformation by property of quantifier \exists) (8) AX(s,c) \vdash I(s,c,x) \land J(s,c,x,y) \land H(v,s,c,y) \land CBAP(v,s,c,y,y') \Rightarrow ((\exists x'.((\exists u.G(u,s,c,x) \land ABAP(u,s,c,x,x')) \land J(s,c,x',y'))))
```

equivalent to

(Transformation by elimination of \wedge)

(9)

- $AX(s,c) \vdash I(s,c,x) \land J(s,c,x,y) \land H(v,s,c,y) \land \\ CBAP(v,s,c,y,y') \Rightarrow (((\exists u.G(u,s,c,x))$
- 2 $AX(s,c) \vdash I(s,c,x) \land J(s,c,x,y) \land H(v,s,c,x) \land CBAP(v,s,c,y,y') \Rightarrow ((\exists x'. \exists u. (ABAP(u,s,c,x,x')) \land J(s,c,x',y')))$

property refinement between events (II)

Let x be the abstract variable (or list of variables) and I(s,c,x) the abstract invariant, y the concrete variable (or list of variables) and J(s,c,x,y) the concrete invariant. the concrete invariant.

Let c be a concrete event observing the variable y and a an event observing the variable x and preserving I(s,c,x).

Event c refines event a with respect to x, I(s,c,x), y and J(s,c,x,y) if, and only if,

- $(GRD) \ AX(s,c) \vdash I(s,c,x) \land J(s,c,x,y) \land H(v,s,c,x) \land CBAP(v,s,c,y,y') \Rightarrow \exists u.G(u,s,c,x)$
- $\begin{array}{c} \textbf{(SIM)} \ AX(s,c) \vdash I(s,c,x) \land J(s,c,x,y) \land H(v,s,c,x) \land \\ CBAP(v,s,c,y,y') \Rightarrow & ((\exists x'. \exists u. ABAP(u,s,c,x,x') \land J(s,c,x',y'))) \end{array}$

Proof obligations for Event-B refinement)

- (INIT) AX(s,c), $CInit(s,c,y') \vdash \exists x'. (AInit(s,c,x') \land J(s,c,x',y'))$
- (GRD) $AX(s,c), I(s,c,x), J(s,c,x,y), H(v,s,c,x), CBAP(v,s,c,y,y') \vdash$ $(((\exists u.G(u,s,c,x)))$
- (GRD-WIT) AX(s,c), I(s,c,x), J(s,c,x,y), H(v,s,c,x), CBAP(v,s,c,y,y'), $WP(u, s, c, v, y) \vdash G(u, s, c, x)$
- (SIM) $AX(s,c), I(s,c,x), J(s,c,x,y), H(v,s,c,x), CBAP(v,s,c,y,y') \vdash$ $((\exists x'.(\exists u.ABAP(u,s,c,x,x')) \land J(s,c,x',y')))$
- (SIM-WIT) AX(s,c), I(s,c,x), J(s,c,x,y), H(v,s,c,x), CBAP(v,s,c,y,y'), $WP(u, s, c, v, y), WV(v, s, c, y, x') \vdash ABAP(u, s, c, x, x')) \land J(s, c, x', y')$
- (WFIS-P) $AX(s,c) \vdash I(s,c,x) \land J(s,c,x,y) \land H(v,s,c,x) \land CBAP(v,s,c,y,y') \vdash$ $\exists u.WP(u,s,c,v,y)$
- (WFIS-V) $AX(s,c) \vdash I(s,c,x) \land J(s,c,x,y) \land H(v,s,c,x) \land CBAP(v,s,c,y,y') \vdash$ $\exists x'.WV(v,s,c,y,x')$
- (TH) $AX(s,c) \vdash I(s,c,x) \land J(s,c,x,y) \vdash SAFE_1(s,c,x,y)$ Telecom Nancy 2024-2025 (Dominique Méry)

```
MACHINE CM
                REFINES AM
SEES F
VARIABLES u
INVARIANTS
 jnv_1: J_1(s, c, x, y)
 jnv_r: J_r(s,c,x,y)
THEOREMS
 th_1: SAFE_1(s, c, x, y)
 th_n: SAFE_n(s, c, x, y)
VARIANTS
 var_1 : varexp_1(s, c, y)
  var_t : varexp_t(s, c, y)
EVENTS
  EVENT initialisation
    BEGIN
      u: |(CInit(s, c, u'))|
    END
  EVENT c
           REFINES a
    ANY v WHERE
      H(v, s, c, y)
    WITNESS
    u: WP(u, s, c, v, y)
    x': WV(v, s, c, y', x')
    THEN
      y: |CBAP(v, s, c, y, y')|
  END
```

The machine CM is a model describing a set of events $\mathsf{E}(\mathsf{CM}\)$ modifying the y variable declared in the clause **VARIABLES**.

- A clause **REFINES** indicates that the CM machine refines a AM machine and E(AM) is the set of abstract events in AM.
- A particular event defines the initialisation of variable y according to the relationship CInit(s, c, y').
- The property "Event c refines event a with respect to x, I(s,c,x), y and J(s,c,x,y)" is denoted by the expression c refines a. Events a and c are attached to two machines AM and CM; the invariant attached to each event is the invariant of its machine.

END

- A clause **INVARIANTS** describes the inductive invariant invariant J(s,c,x,y) that this machine is assumed to respect provided that the associated verification conditions are shown to be valid in the theory induced by the context E mentioned by the clause **SEES**. J(s,c,x,y) is the gluing invariant linking the variable y to the variable x.
- The clause THEOREMS introduces the list of safety properties derived in the theory. These properties relate to the variables y and x and must be proved valid. It is possible to add theorems about sets and constants; this can help the proofs to be carried out during the verification process.
- To conclude this description, we would like to add that events can carry very important information for the proof process, in particular for proposing witnesses during event refinement. Furthermore, each event has a status (ordinary, convergent, anticipated) which is important in the production of verification conditions. The clause VARIANTS is linked to events of convergent and anticipated status. The event c (concrete) explicitly refines an event a of the AM machine.

Event-B machine refinement REFINES

definition

The machine CM refines the machine AM , if any event c of CM refines an event a of AM :

 $\forall c.c \in E(CM) \Rightarrow \exists a.a \in E(AM) \land e \text{ refines } a.$

- Each machine has an event skip which does not modify the machine's variables.
- A concrete event c can refine an event skip whose effect is not to modify x in the abstract machine AM.
- The invariant of AM is I(s,c,x) and that the initialisation of AM is $AInit(s,c,x^{\prime}).$
- \bullet The proof witnesses are used to give properties of the parameter u and the variable x which have disappeared in the machine CM but for which the user must give an expression according to the state of CM .

Refinement between two machines



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Example of a clock

 A machine M1 models hours or a machine M1 reports observations of hours

Example of a clock

- A machine M1 models hours or a machine M1 reports observations of hours
- and a machine M2 reports hours and minutes.
- A very special case of refinement called *superposition* and the proof is fairly straightforward.

CONTEXT C

CONSTANTS HM

AXIOMS

```
MACHINE M1 SEES C
VARIABLES h
INVARIANTS
 @inv1\ h \in H
EVENTS
 EVENT INITIALISATION
    then
   @act1\ h : \in H
 end
 EVENT h1
    where
   @grd1\ h < 23
   then
   @act1\ h := h+1
 end
 EVENT h2
   where
   @grd1\ h = 23
   then
   @act1\ h := 0
 end
end
```

```
MACHINE M2
 REFINES M1
  SEES C
VARIABLES h m
 INVARIANTS
 @inv1 \ m \in M
 theorem @inv2 h \in H
EVENTS
  EVENT INITIALISATION
    then
   @act1\ h : \in H
   @act2\ m:\in\ M
  end
  EVENT h1m1
    where
   @ard1 h < 23
   @grd2\ m\ <\ 59
    then
   @act2\ m := m+1
  end
```

```
EVENT h1m2 REFINES h1
    where
   @qrd1\ h < 23
   @grd2\ m = 59
    then
   @act1\ h := h + 1
   @act2 \ m := 0
 end
 EVENT h2m1 REFINES h2
    where
   @grd1\ h = 23
   @grd2\ m = 59
    then
   @act1\ h := 0
   @act2\ m := 0
 end
 EVENT h2m2
    where
   @ard1 h = 23
   @qrd2\ m\ <\ 59
    then
   @act1 \ m := m+1
 end
end
```

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Refinement of a model by another one (I)



Refinement of a model by another one (II)



(REF1): refinement of initial conditions

$$Initc(y) \Rightarrow \exists x * (Init(x) \land J(x,y))$$
:

The initial condition of the refinement model imply that there exists an abstract value in the abstract model such that that value satisfies the initial conditions of the abstract one and implies the new invariant of the refinement model.

(REF2): refinement of events

$$I(x) \wedge J(x,y) \wedge ce(y,y') \Rightarrow \exists x'.(ae(x,x') \wedge J(x',y'))$$
:

The invariant in the refinement model is preserved by the refined event and the activation of the refined event triggers the corresponding abstract event.

(REF3): refinement of stuttering steps

$$I(x) \wedge J(x,y) \wedge ce(y,y') \Rightarrow J(x,y')$$
:

The invariant in the refinement model is preserved by the refined event but the event of the refinement model is a new event which was not visible in the abstract model; the new event refines skip.

(REF4): Refinement does not introduce more blocking states

$$I(x) \wedge J(x,y) \wedge (G_1(x) \vee \ldots \vee G_n(x)) \Rightarrow H_1(y) \vee \ldots \vee H_k(y)$$
:

The guards of events in the refinement model are strengthened and we have to prove that the refinement model is not more blocked than the abstract.

(REF5): Well-definedness of variant

$$I(x) \wedge J(x,y) \Rightarrow V(y) \in \mathbb{N}$$

Proof obligations for refinement

(REF6): Well behaviour of new events

$$I(x) \wedge J(x,y) \wedge ce(y,y') \Rightarrow V(y') < V(y)$$
:

New events should not block forever abstract ones.

Proof obligations for refinement

(REF7): Feasibility of refined events

$$\Gamma(s,c) \; \vdash \; I(x) \; \land \; J(x,y) \; \land \; grd\left(E\right) \; \Rightarrow \; \exists y' \cdot P(y,y')$$

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Designing a algorithm for computing $\lambda x.x!$

Computing $\lambda x.x!$

The problem is to derive an algorithm which is computing the function $\lambda x.x!$.

```
#ifndef _A_H
#define _A_H
/*@ axiomatic mathfact {
  @ logic integer mathfact(integer n);
  @ axiom mathfact_1: mathfact(0) == 1;
  @ axiom mathfact_rec: \ forall integer n; n >= 1
  => mathfact(n) == n * mathfact(n-1);
  @ } */

/*@ requires n >= 0;
  ensures \ result == mathfact(n);
  */
int codefact(int n);
#endif
```

Context for computing $\lambda x.x!$.

```
CONTEXT A-functions
 CONSTANTS factorial n
 AXIOMS
  @axm1n \in \mathbb{N}
  @axm2\ factorial \in \mathbb{N} \leftrightarrow \mathbb{N}
  @axm3\ 0 \mapsto 1 \in factorial
  @axm4 \ \forall \ a. \ \forall \ b. \ a \ \in \ \mathbb{N} \ \land \ b \ \in \ \mathbb{N} \ \land \ a \mapsto b \ \in \ factorial
                                                 \Rightarrow a+1 \mapsto (a+1)*b \in factorial
  @axm5 \ \forall \ f. \ f \in \mathbb{N} \leftrightarrow \mathbb{N} \ \land \ 0 \mapsto 1 \in f
   \land (\forall a, b, a \in \mathbb{N} \land b \in \mathbb{N} \land a \mapsto b \in f
                                   \Rightarrow a+1 \mapsto (a+1)*b \in f
                     \Rightarrow factorial \subseteq f
  theorem @th1 \ factorial \in \mathbb{N} \to \mathbb{N}
  theorem @th2 \ factorial(0) = 1
  theorem @th3 \forall u . u \in \mathbb{N} \land u \neq 0 \Rightarrow factorial(u) = u * factorial(u-1)
  @axm6 n > 3
end
```

Machine B-prepost for stating the pre/post specification

```
\begin{array}{llll} \text{MACHINE} & B-prepost & \text{SEES} & A-functions \\ \text{VARIABLES} & r \\ \text{INVARIANTS} \\ @inv1 & r & \in \mathbb{Z} \\ \text{EVENTS} & \\ \text{EVENT} & INITIALISATION \\ & \text{then} \\ @act1 & r & : \in \mathbb{Z} \\ \text{end} & \\ \text{EVENT} & computing1 \\ & \text{then} \\ @act1 & r & := factorial(n) \\ & \text{end} & \\ end & \\ \end{array}
```

- Defining variables and invariant
- r is the variable for the result.
- *n* is the constant containing the input of the process.

Machine C-computing for stating the computing process

```
MACHINE C-computing REFINES B-prepost
  SEES A-functions
 VARIABLES r \ fac \ x
 INVARIANTS
 @inv1\ fac \in \mathbb{N} \to \mathbb{N}
 @inv2\ dom(fac) \subseteq 0..n
  @inv3\ dom(fac) \neq \varnothing
  @inv4 \ \forall \ i.i \in dom(fac) \Rightarrow fac(i) = factorial(i)
  @inv5 x \in dom(fac)
  @inv6\ dom(fac) = 0..x
  EVENT INITIALISATION REFINES INITIALISATION
      then
    @act1 \ r : \in \mathbb{Z}
    @act2\ fac\ :=\ \{\ 0\ \mapsto\ 1\}
    \bigcirc act3 \ x := 0
  end
```

- Two new variables x and fac are introduced for storing the sequence factorial by iterating over x
- Condition of termination is that $n \in dom(fac)$
- fac(i) = factorial(i) is expressing the relationship between computed values and mathematically defined values of the sequence.

Machine C-computing for stating the computing process

```
EVENT computing REFINES computing 1
   where
  @ard1 \ n \in dom(fac)
   then
  @act1 \ r := fac(n)
end
convergent EVENT step2
   where
  @qrd11 \ x \in dom(fac)
  @grd12 \ x + 1 \notin dom(fac)
  @ard13 \ n \notin dom(fac)
   then
  @act11\ fac(x+1) := (x+1) * fac(x)
  @act1 \ x := x + 1
   end
   VARIANT 0..n \setminus dom(fac)
```

- the event final is controled by the condition $n \in dom(vv)$ meaning that we have finally reached the computing goal.
- SIM proof obligations are generated.
- the event step-computing is refining iteration and when it observed, the variant is decreasing.
- it refines skip

Machine D-prealgo for getting an algorithmic process

```
MACHINE D-prealao REFINES C-computing
 SEES A-functions
 VARIABLES r \ v fac \ c fac \ fac \ x
 INVARIANTS
 @inv1\ vfac \in \mathbb{N}
 @inv2\ cfac\ \in\ \mathbb{N}
 @inv3\ cfac < n
 @inv4\ cfac \ge 0
 @inv6\ cfac \in dom(fac)
 @inv5\ vfac = fac(cfac)
 @inv7\ cfac + 1 \notin dom(fac)
 @inv8\ dom(fac) = 0..cfac
 @inv9 \ x = cfac
  EVENT INITIALISATION
     then
    @act1 \ r : \in \mathbb{N}
    @act2\ fac := \{0 \mapsto 1\}
    @act3\ cfac := 0
    @act4\ v\ fac := 1
    @act5 x := 0
  end
```

- Two new variables are introduced for storing really useful data namely the last computed values of the two sequences.
- Obviously, vfac and cfac satsify vfac = fac(cfac)
- Previous properties of abstrcat variables are safety properties which are no more to be reproved, thanks to refienement.

Machine D-prealgo for getting an algorithmic process

```
EVENT computing3 REFINES computing2
   where
  @ard2\ cfac = n
   then

    The two events SIMulate the

  @act1 \ r := v fac
                                             abstract events.
end

    However, the guards are

convergent EVENT step3 REFINES step2
   where
                                             strengthened and are made
  @qrd1\ cfac \neq n
                                             closer to an implementation:
   then
  @act1\ vfac := (cfac + 1) * vfac
                                             cfac < n implies
  @act2\ cfac\ :=\ cfac\ +1
  @act3 \ fac(cfac+1) := (cfac+1) * fac(cfac) \notin dom(fac) \ \text{and} \ cfac = n
                                              implies that n \in dom(fac).
  @act4 \ x := x + 1
end
```

Machine E-algo for getting an algorithmic machine

```
VARIABLES r v fac c fac
INVARIANTS
 theorem @thm1 \ vfac = factorial(cfac)
 @inv1 \ r \in \mathbb{N}
 @computation\_inv1\ fac \in \mathbb{N} \to \mathbb{N}
 @inv2\ dom(fac) \subseteq 0..n
 @inv3\ dom(fac) \neq \varnothing
 @inv4 \ \forall \ i.i \in dom(fac) \Rightarrow fac(i) = factor interval and they disappear from 
 @inv5 x \in dom(fac)
 @inv6\ dom(fac) = 0..x
 @algorithm\_inv1\ vfac \in \mathbb{N}
 @algorithm\_inv2\ cfac\ \in\ \mathbb{N}
 @algorithm\_inv3\ cfac < n
 @algorithm\_inv4\ cfac > 0
 @algorithm\_inv6\ cfac \in dom(fac)
 @algorithm\_inv5\ vfac = fac(cfac)
 @inv7\ cfac + 1 \notin dom(fac)
 @inv8\ dom(fac) = 0..cfac
 @inv9 \ x = cfac
 VARIANT n - cfac
```

- The variables fac is now the machine.
- It is playing the role of model variables as ghost variables.
- Invariants and safety properties are preserved through refinement

Machine E-algo for getting an algorithmic machine

```
EVENT INITIALISATION
   then
  @act1 \ r : \in \mathbb{N}
  @act3\ cfac := 0
  @act4\ v\ fac := 1
end
EVENT computing4 REFINES computing3
   where
  @qrd2\ cfac = n
   then
  @act1 \ r := v fac
end
convergent EVENT step4 REFINES step3
   where
  @grd1\ cfac \neq n
   then
  @act1\ vfac := (cfac + 1) * vfac
  @act2\ cfac := cfac + 1
end
```

Assignments of fac are removed.

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Simple Form of an Event

- An event of the simple form is denoted by :

```
 \begin{array}{l} < event\_name > \; \widehat{=} \\ \textbf{WHEN} \\ < condition > \\ \textbf{THEN} \\ < action > \\ \textbf{END} \end{array}
```

where

- $< event_name >$ is an identifier
- < condition > is the firing condition of the event
- < action > is a generalized substitution (parallel "assignment")

Non-deterministic Form of an Event

- An event of the non-deterministic form is denoted by :

```
 < event\_name > \triangleq \\ \mathbf{ANY} < variable > \mathbf{WHERE} \\ < condition > \\ \mathbf{THEN} \\ < action > \\ \mathbf{END}
```

where

- $< event_name >$ is an identifier
- < variable > is a (list of) variable(s)
- < condition > is the firing condition of the event
- < action > is a generalized substitution (parallel "assignment")

Shape of a Generalized Substitution

```
A generalized substitution can be
```

```
- Simple assignment : x := E
- Generalized assignment : x : P(x, x')
```

- Set assignment : $x :\in S$

- Parallel composition : U



Invariant Preservation Verification (0)

INVARIANT ∧ GUARD ⇒
ACTION establishes INVARIANT

Invariant Preservation Verification (1)

- Given an event of the simple form :

```
 \begin{array}{c} \textbf{EVENT EVENT} & \cong \\ \textbf{WHEN} \\ G(x) \\ \textbf{THEN} \\ x := E(x) \\ \textbf{END} \\ \end{array}
```

$$| I(x) \wedge G(x) \implies I(E(x))$$

Invariant Preservation Verification (2)

- Given an event of the simple form :

```
\begin{array}{ll} \textbf{EVENT EVENT} & \widehat{=} \\ \textbf{WHEN} \\ G(x) \\ \textbf{THEN} \\ x: |P(x,x') \\ \textbf{END} \end{array}
```

$$I(x) \wedge G(x) \wedge P(x,x') \implies I(x')$$

Invariant Preservation Verification (3)

- Given an event of the simple form :

```
 \begin{array}{c} \textbf{EVENT EVENT} & \widehat{=} \\ \textbf{WHEN} \\ G(x) \\ \textbf{THEN} \\ x : \in S(x) \\ \textbf{END} \end{array}
```

$$I(x) \land G(x) \land x' \in S(x) \implies I(x')$$

Invariant Preservation Verification (4)

- Given an event of the non-deterministic form :

```
 \begin{array}{c} \textbf{EVENT EVENT} \; \stackrel{\triangle}{=} \\ \textbf{ANY} \; v \; \textbf{WHERE} \\ G(x,v) \\ \textbf{THEN} \\ x := E(x,v) \\ \textbf{END} \\ \end{array}
```

$$I(x) \wedge G(x,v) \implies I(E(x,v))$$

Refinement Technique (1)

- Abstract models works with variables \boldsymbol{x} , and concrete one with \boldsymbol{y}
- A gluing invariant J(x,y) links both sets of vrbls
- Each abstract event is refined by concrete one (see below)

Refinement Technique (2)

- Some new events may appear : they refine "skip"
- Concrete events must not block more often than the abstract ones
- The set of new event alone must always block eventually

Correct Refinement Verification (1)

- Given an abstract and a corresponding concrete event

```
\begin{array}{l} \textbf{EVENT ea} & \widehat{=} \\ \textbf{WHEN} \\ G(x) \\ \textbf{THEN} \\ x := E(x) \\ \textbf{END} \end{array}
```

```
\begin{array}{l} {\rm EVENT\ ec} & \cong \\ {\rm WHEN} & \\ H(y) \\ {\rm THEN} & \\ y := F(y) \\ {\rm END} & \end{array}
```

and invariants I(x) and J(x,y), the statement to prove is :

$$I(x) \wedge J(x,y) \wedge H(y) \Longrightarrow G(x) \wedge J(E(x),F(y))$$

Correct Refinement Verification (2)

- Given an abstract and a corresponding concrete event

```
\begin{array}{ll} \mathsf{EVENT} \ \mathsf{ea} & \widehat{=} \\ \mathbf{ANY} \ v \ \mathbf{WHERE} \\ G(x,v) \\ \mathbf{THEN} \\ x \coloneqq E(x,v) \\ \mathbf{END} \end{array}
```

```
 \begin{array}{c} \textbf{EVENT ec} & \cong \\ \textbf{ANY } w \textbf{ WHERE} \\ H(y,w) \\ \textbf{THEN} \\ y := F(y,w) \\ \textbf{END} \end{array}
```

$$\begin{array}{ccc} I(x) & \wedge & J(x,y) & \wedge & H(y,w) \\ \Longrightarrow & \\ \exists v \cdot (G(x,v) & \wedge & J(E(x,v),F(y,w))) \end{array}$$

Correct Refinement Verification (3)

- Given a NEW event

```
\begin{array}{ll} \text{EVENT EVENT} & \widehat{=} \\ \text{WHEN} \\ H(y) \\ \text{THEN} \\ y := F(y) \\ \text{END} \end{array}
```

and invariants I(x) and J(x,y), the statement to prove is :

$$I(x) \wedge J(x,y) \wedge H(y) \implies J(x,F(y))$$

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General form of proof obligations for an event e

- $INIT/I/INV : C(s,c), INIT(c,s,x) \vdash I(c,s,x)$
- $\bullet \ \ e/I/INV: C(s,c), I(c,s,x), G(c,s,t,x), P(c,s,t,x,x') \vdash I(c,s,x')$
- $e/act/FIS : C(s,c), I(c,s,x), G(c,s,t,x) \vdash$
- e/act/WD : $C(s,c), I(c,s,x), G(c,s,t,x) \vdash \exists x'. P(c,s,t,x,x')$

| Well-definedness of an Axiom | m / WD | m is the axiom name |
|---|--------------------|---|
| Well-definedness of a Derived Axiom | m / WD | m is the axiom name |
| Derived Axiom | m / THM | m is the axiom name |
| Well-definedness of an Invariant | v / WD | v is the invariant name |
| Well-definedness of a Derived Invariant | m / WD | m is the invariant name |
| Well-definedness of an event Guard | t / d / WD | t is the event name d is the action name |
| Well-definedness of an event Action | t / d / WD | t is the event name d is the action name |
| Feasibility of a non-det. event Action | t / d / FIS | t is the event name d is the action name |
| Derived Invariant | m / THM | m is the invariant name |
| Invariant Establishment | INIT. / v / INV | v is the invariant name |
| Invariant Preservation | t / v / INV | t is the event name v is the invariant name |

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- Transformations of Event-B models

algorithms by J.-R. Abrial

WHEN
P
Q
THEN
S
END

 $\begin{array}{c} \textbf{WHEN} \\ P \\ \neg Q \\ \textbf{THEN} \\ T \\ \textbf{END} \end{array}$

are merged into

 $\begin{array}{c} \textbf{WHEN} \\ P \\ \textbf{THEN} \\ \textbf{WHILE} \quad Q \quad \textbf{DO} \\ S \\ \textbf{END}; \\ T \\ \textbf{END} \end{array}$

Side Conditions:

- P must be invariant under S.
- The first event must have been introduced at one refinement step below the second one.
- Special Case : If P is missing the resulting "event" has no guard

algorithms by J.-R. Abrial

 $\begin{array}{c} \textbf{WHEN} \\ P \\ Q \\ \textbf{THEN} \\ S \\ \textbf{END} \end{array}$

 $\begin{array}{c} \textbf{WHEN} \\ P \\ \neg Q \\ \textbf{THEN} \\ T \\ \textbf{END} \end{array}$

are merged into

 $\begin{array}{c} \textbf{WHEN} \\ P \\ \textbf{THEN} \\ \textbf{IF} \ Q \ \textbf{THEN} \ S \\ \textbf{ELSE} \ T \\ \textbf{END}; \\ \textbf{END} \end{array}$

Side Conditions:

- The disjunctive negation of the previous side conditions
- Special Case : If P is missing the resulting "event" has no guard

Deriving an algorithm

Translating the machine E-algo to an algorithm

```
#include mits.h>
#include "factorial.h"
int codefact(int n) {
  int cfac = 0:
  int vfac = 1:
  /*@ loop invariant cfac >= 0 && cfac <= n && mathfact(cfac) ==
    loop assigns cfac, vfac;
    loop variant n-cfac:
  while (cfac != n) {
    vfac = (cfac+1)*vfac;
    cfac = cfac + 1:
  return vfac:
```

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Conclusion

- Refinement helps in discovering invariants
- Refinement helps in proving invariants
- The choice of the good abstraction is not very simple and is a challenge by itself

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Summary on refinement

- Refining means making models more deterministic
- Refining means adding new variable and new events
- Refining is simulating
- Refining preserves safety properties of the refined model.
- The very abstract model is crucial.
- The process should be incremental to make proofs easier for the proof tool.
- Problem : Preserving the liveness properties