

# Advanced Data Structures

## Fibonacci Heaps

# Today

- Part 1: Fibonacci Heaps Data Structure
- Agenda:
  1. A quick review on priority queues
  2. What is a Fibonacci Heap ?
  3. Amortized Analysis
  4. Basic Operations
  5. Why Fibonacci ?

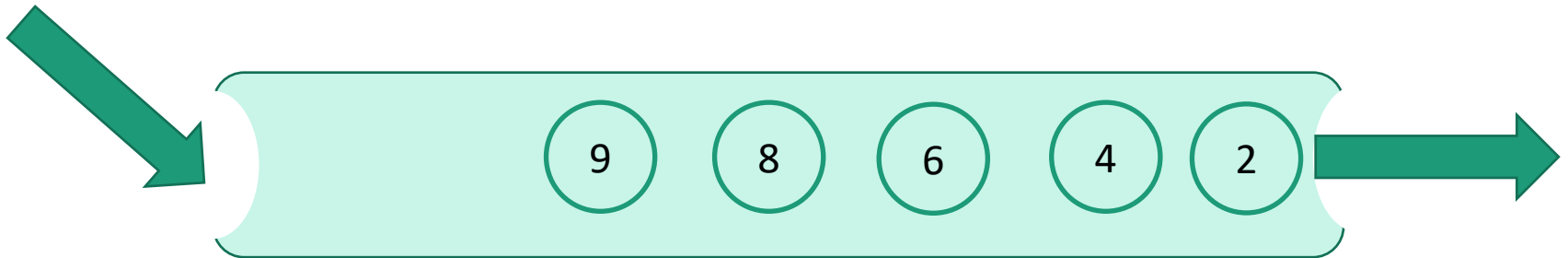
# Brief review

for a discussion of priority queues!

# Priority Queue

What is the problem Fibonacci heaps try to solve?

A structure like this where we only care about the smallest value at any point is called a priority queue.



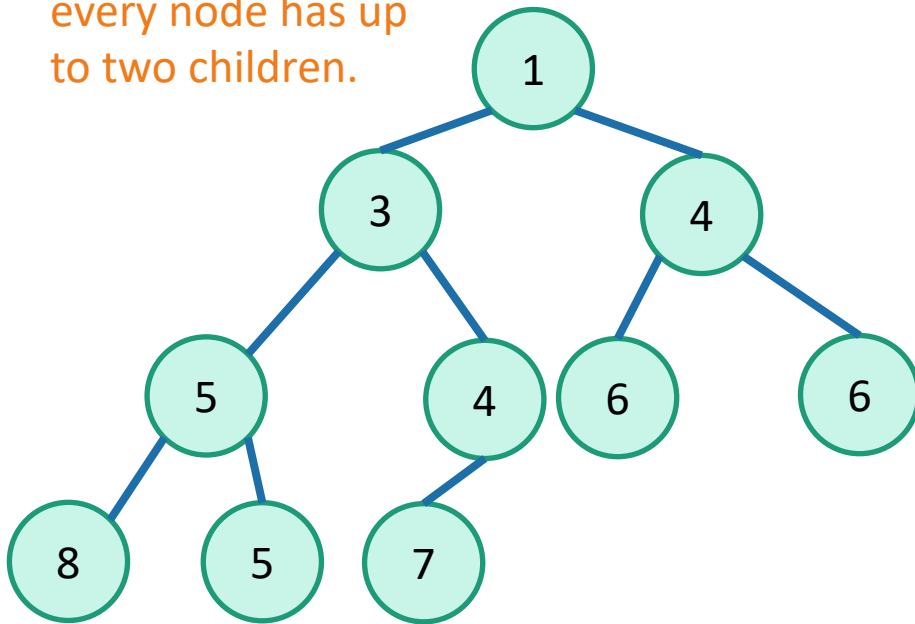
Application : Dijkstra's Algorithm

# Binary Heap

What is the problem Fibonacci heaps try to solve?

A binary heap is a binary tree where each node stores exactly one element with its key.

every node has up to two children.



1. Every level is full

- Last one from left to right

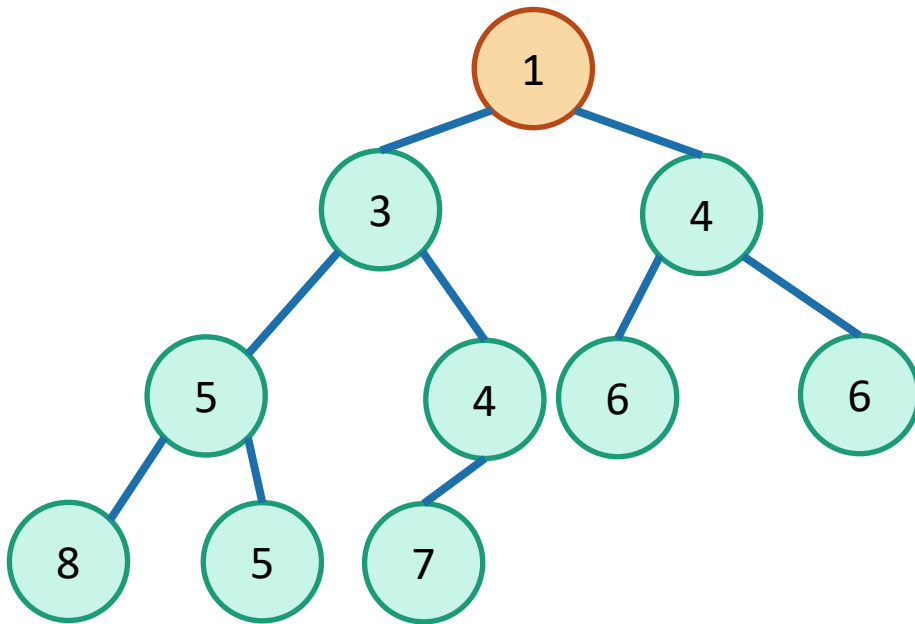
2. Heap property

- No child is smaller than it's parent

# Binary Heap

What is the problem Fibonacci heaps try to solve?

**Root node** : the smallest value is always the root.



1. Every level is full

- Last one from left to right

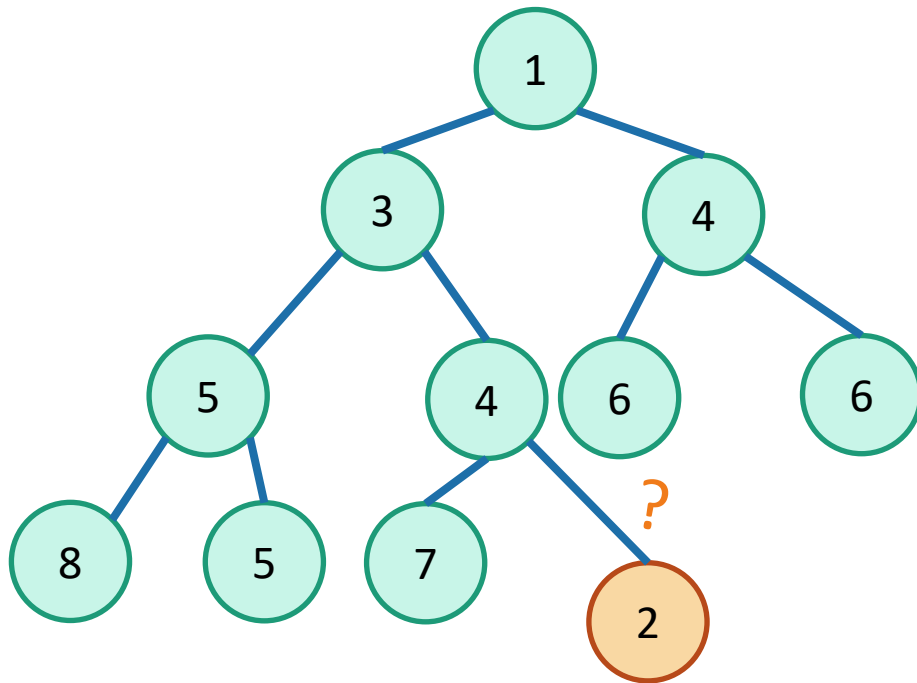
2. Heap property

- No child is smaller than it's parent

# Binary Heap

What is the problem Fibonacci heaps try to solve?

How do we insert a new element into the heap when a new message arrives?



Insert new node

1. Every level is full

- Last one from left to right

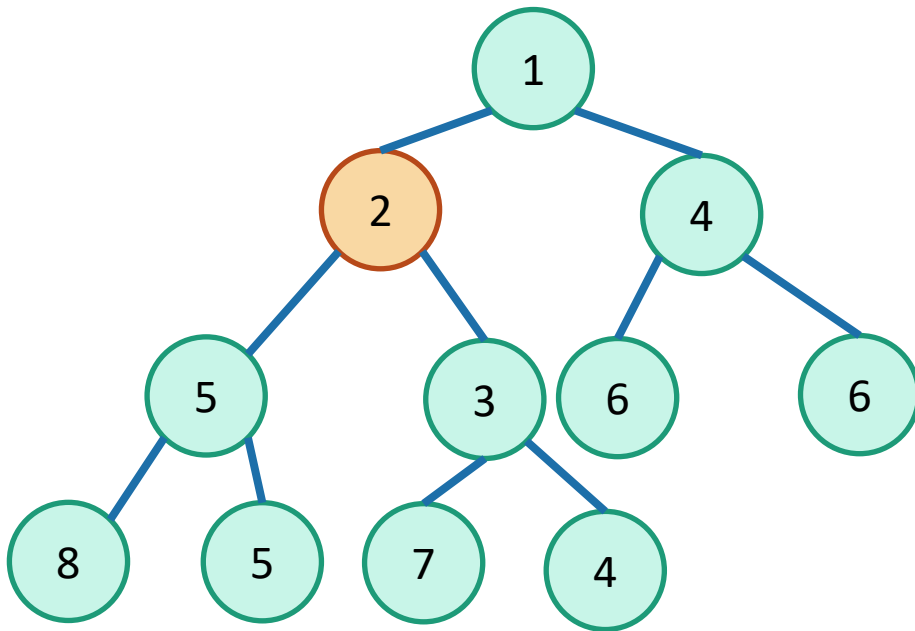
2. Heap property

- No child is smaller than its parent

# Binary Heap

What is the problem Fibonacci heaps try to solve?

How do we insert a new element into the heap when a new message arrives?



Insert at the last level from left,  
bubbling up the new element until  
property 2 is restored.

1. Every level is full

- Last one from left to right

2. Heap property

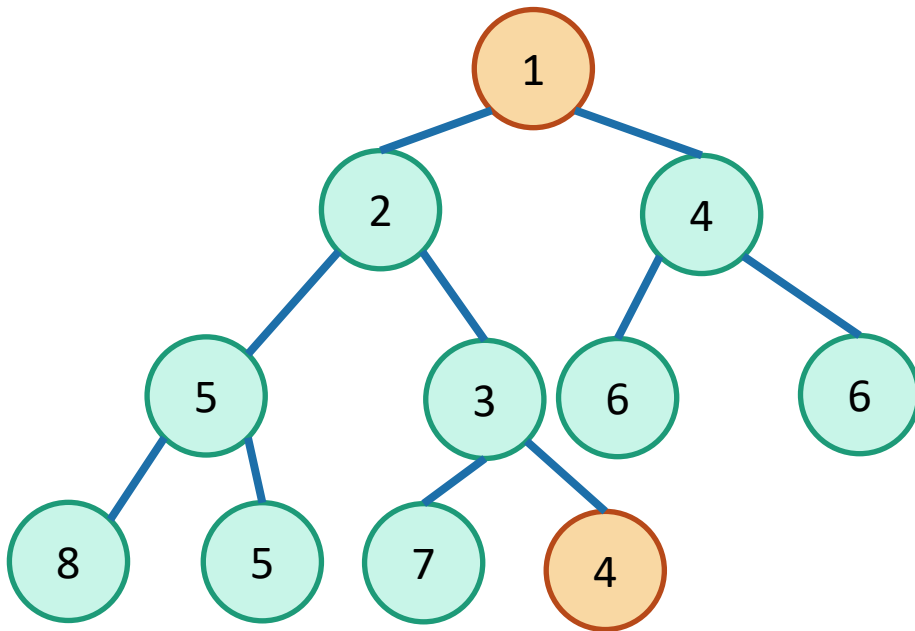
- No child is smaller than its parent



# Binary Heap

What is the problem Fibonacci heaps try to solve?

What happens when we want to send the most important message?



ExtractMin Operation : swap the root with the right-most element of the last level. Delete, bubble up.

1. Every level is full

- Last one from left to right

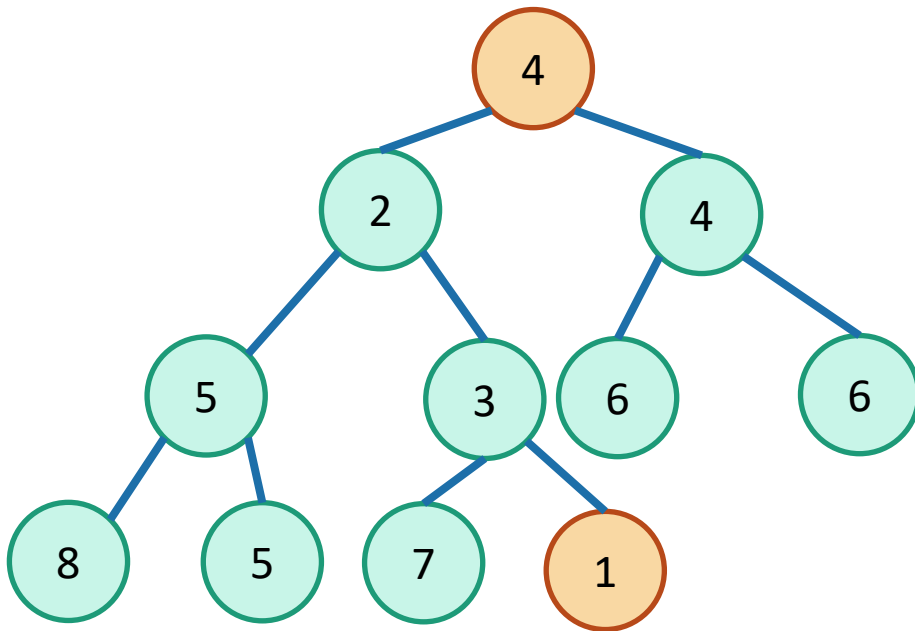
2. Heap property

- No child is smaller than it's parent

# Binary Heap

What is the problem Fibonacci heaps try to solve?

What happens when we want to send the most important message?



1. Every level is full

- Last one from left to right

2. Heap property

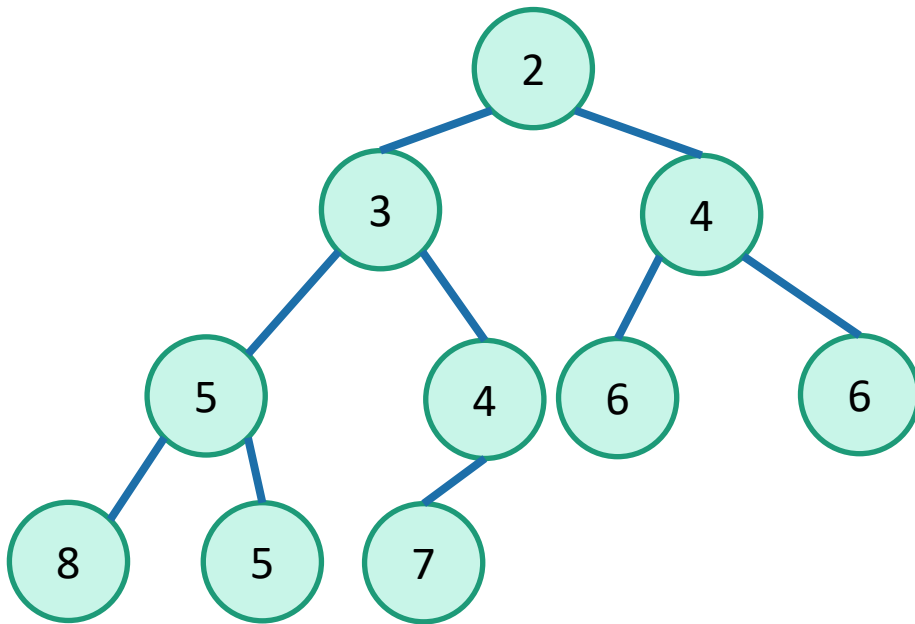
- No child is smaller than its parent

ExtractMin Operation : swap the root with the right-most element of the last level. Delete node, heapify.

# Binary Heap

What is the problem Fibonacci heaps try to solve?

What happens when we want to send the most important message?



1. Every level is full

- Last one from left to right

2. Heap property

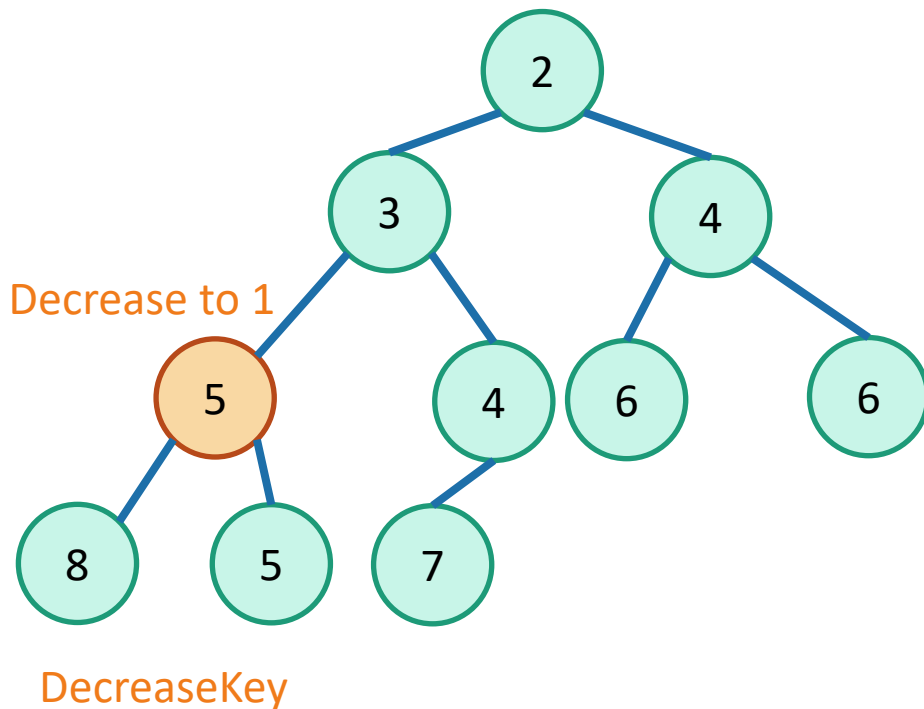
- No child is smaller than its parent

ExtractMin Operation : swap the root with the right-most element of the last level. Delete node, heapify.

# Binary Heap

What is the problem Fibonacci heaps try to solve?

What happens when we want to change priorities of our elements ?



1. Every level is full

- Last one from left to right

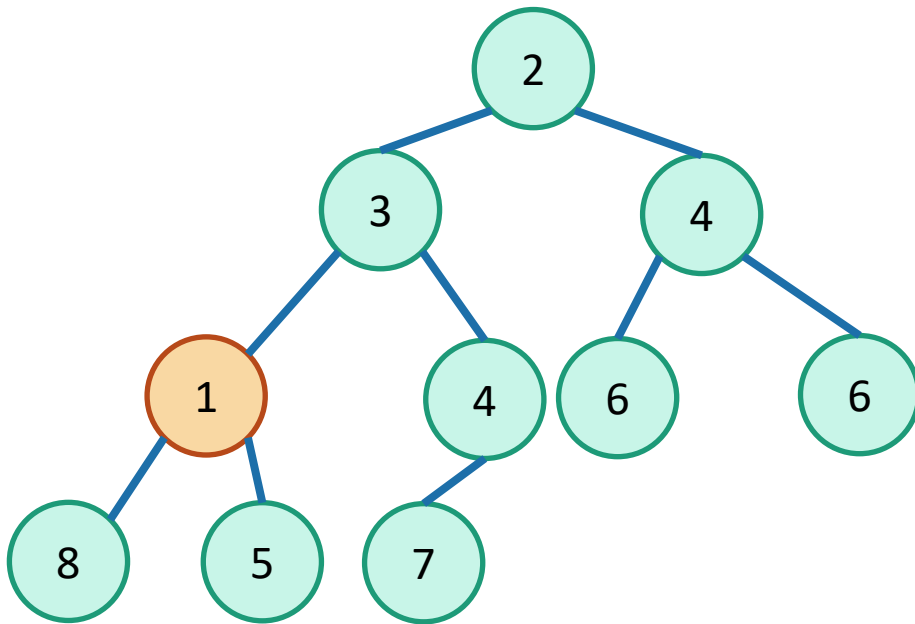
2. Heap property

- No child is smaller than it's parent

# Binary Heap

What is the problem Fibonacci heaps try to solve?

What happens when we want to change priorities of our elements ?



DecreaseKey : increase the priority,  
heapify

1. Every level is full

- Last one from left to right

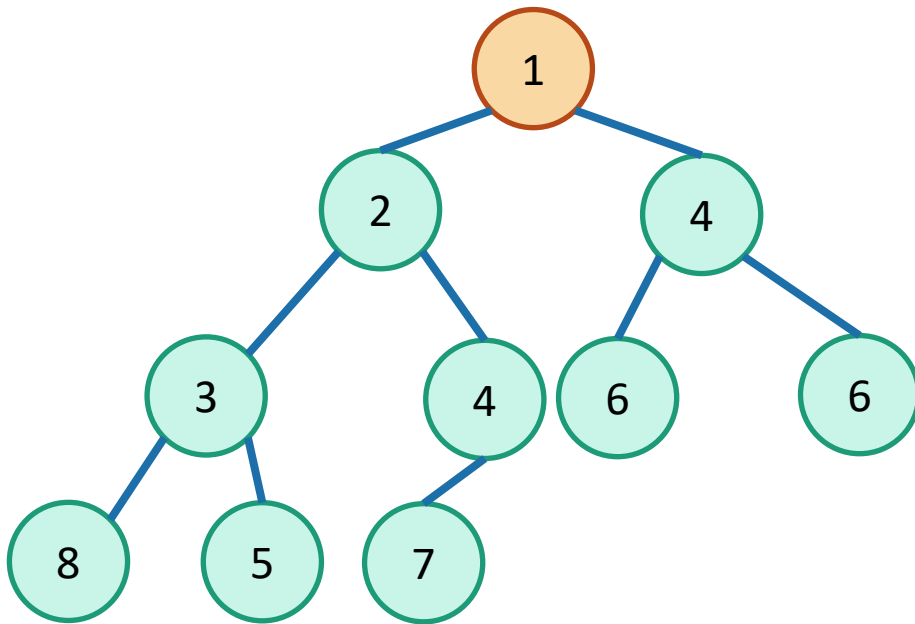
2. Heap property

- No child is smaller than it's parent

# Binary Heap

What is the problem Fibonacci heaps try to solve?

What happens when we want to change priorities of our elements ?



DecreaseKey : increase the priority,  
heapify

1. Every level is full

- Last one from left to right

2. Heap property

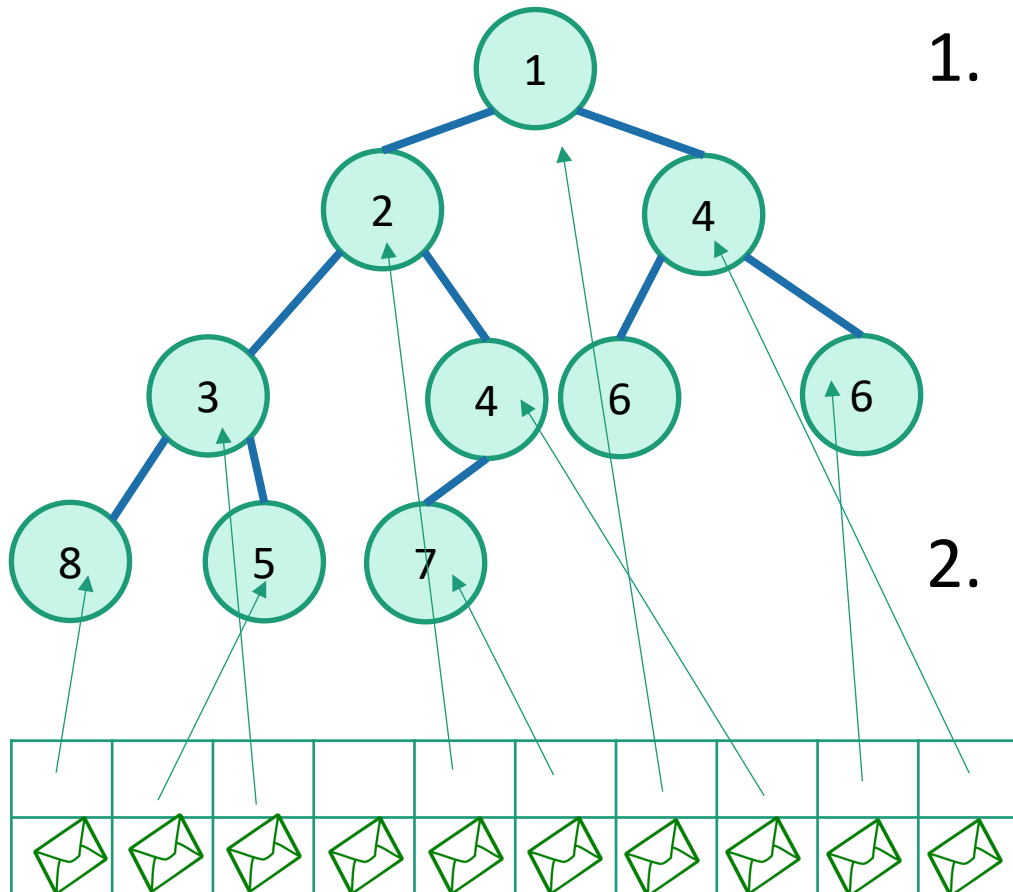
- No child is smaller than it's parent

# Binary Heap

What is the problem Fibonacci heaps try to solve?

What happens when we want to change priorities of our elements ?

DecreaseKey : How to find the node ? Lookup table



1. Every level is full

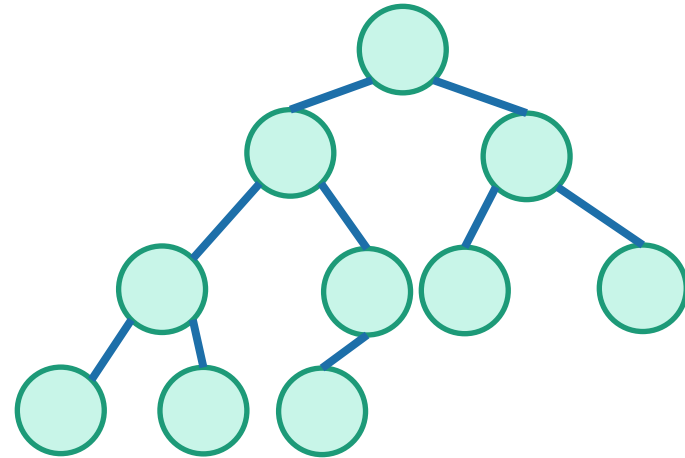
- Last one from left to right

2. Heap property

- No child is smaller than it's parent

# Operations: Priority Queue

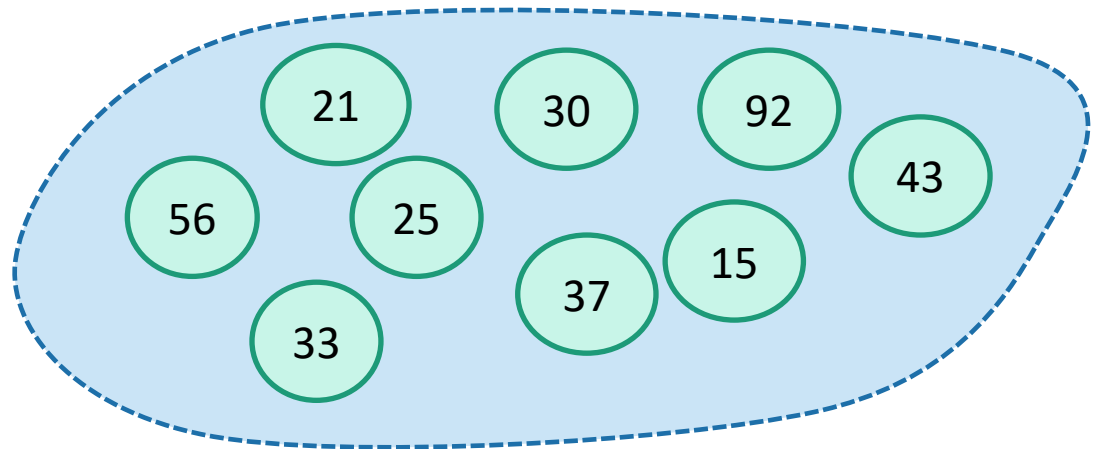
1. GetMin :  $O(1)$
2. Insert :  $O(\log n)$
3. ExtractMin :  $O(\log n)$
4. DecreaseKey :  $O(\log n)$





# Operations: Priority Queue

1. GetMin :  $O(1)$  :  $O(1)$
2. Insert :  $O(\log n)$  :  $O(1)$
3. ExtractMin :  $O(\log n)$  :  $O(???)$
4. DecreaseKey :  $O(\log n)$  :  $O(1)$



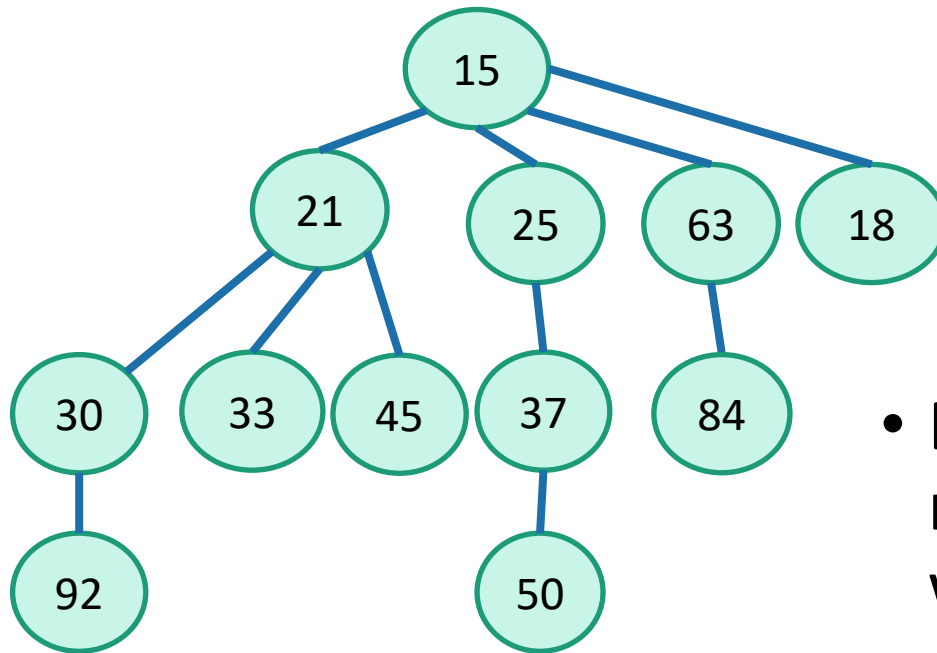
# End review

Back to Fibonacci Heaps!

# Fibonacci Heap

What is the problem Fibonacci heaps try to solve?

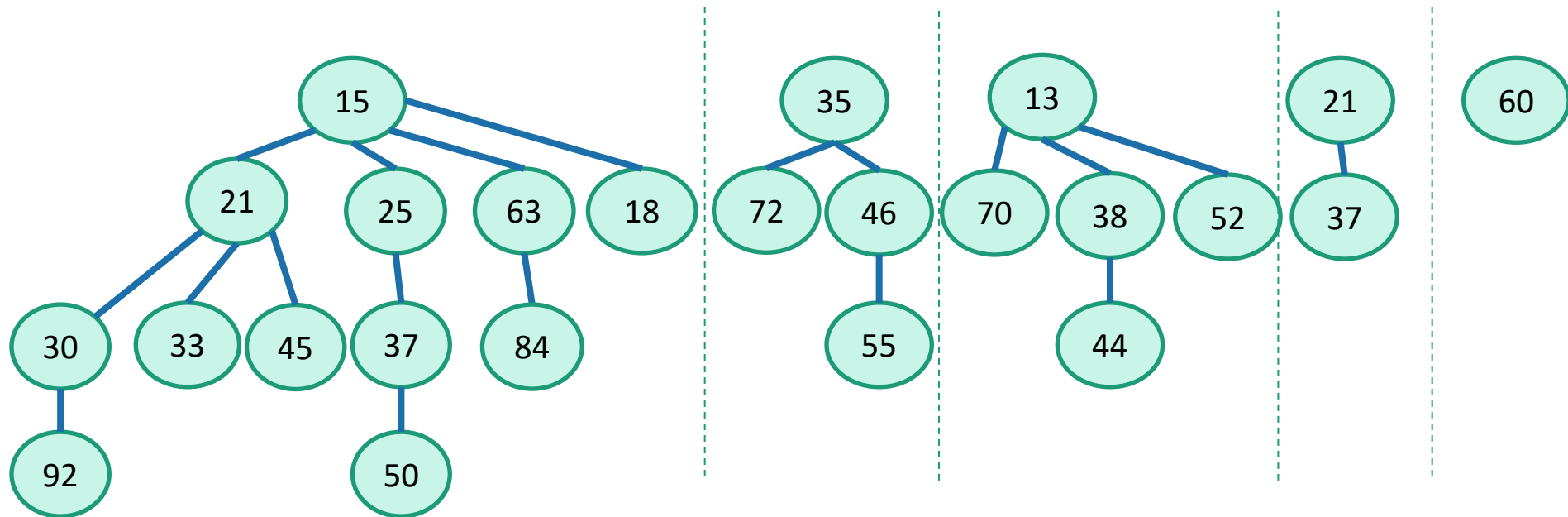
Based on binary heap but looser structure



- Every node can have as many children as it wants.

# Fibonacci Heap

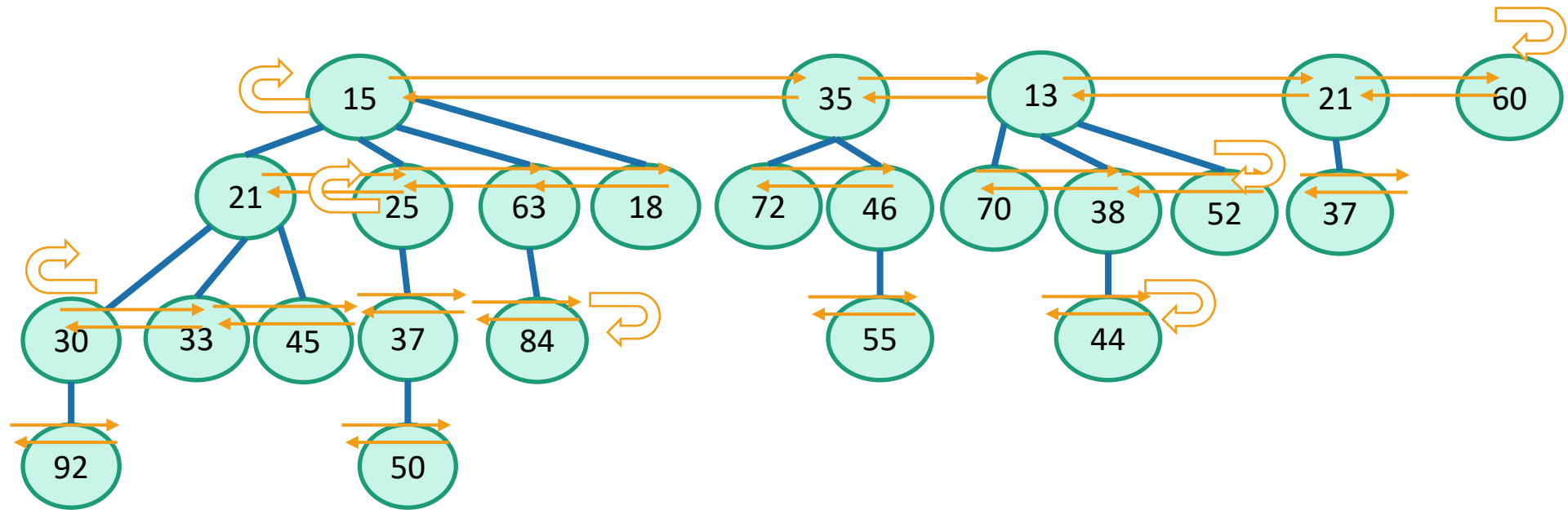
What is the problem Fibonacci heaps try to solve?



- Allow the heap to contain multiple trees

# Fibonacci Heap

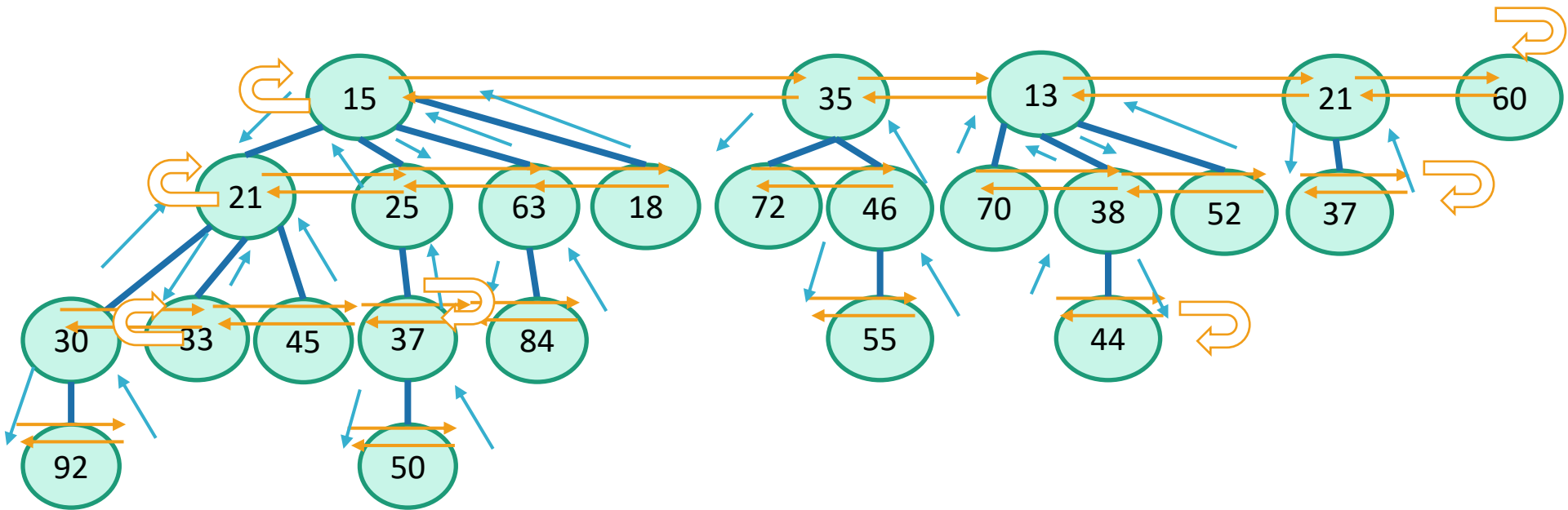
What is the problem Fibonacci heaps try to solve?



- Allow the heap to contain multiple trees ; store in circular doubly linked list

# Fibonacci Heap

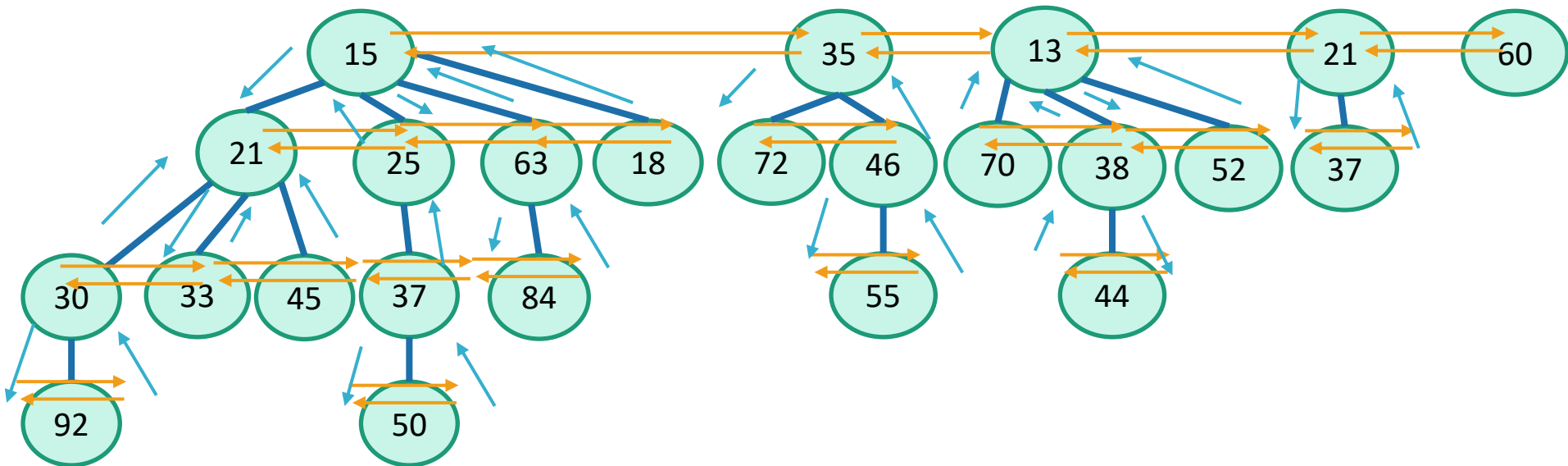
What is the problem Fibonacci heaps try to solve?



- Allow the heap to contain multiple trees ; store in circular doubly linked list
- Additional references : point up and down

# Fibonacci Heap

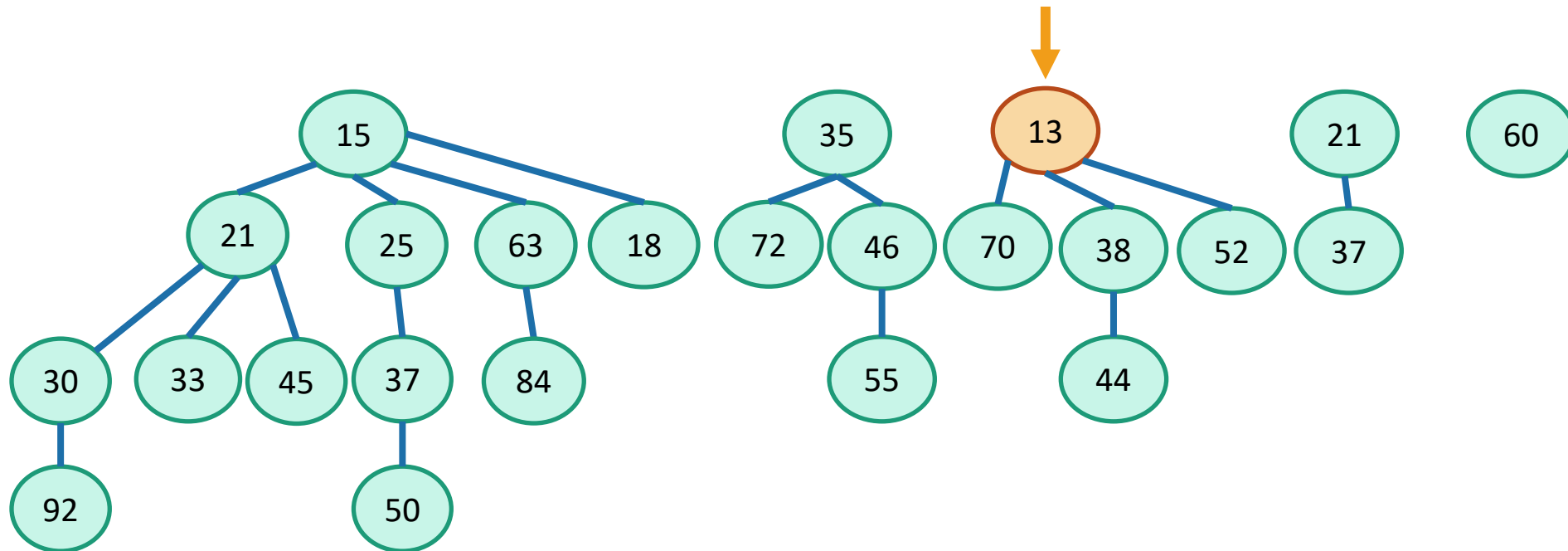
What is the problem Fibonacci heaps try to solve?



- Heap property  
No child is smaller than it's parent

# Fibonacci Heap

What is the problem Fibonacci heaps try to solve?



- Heap property

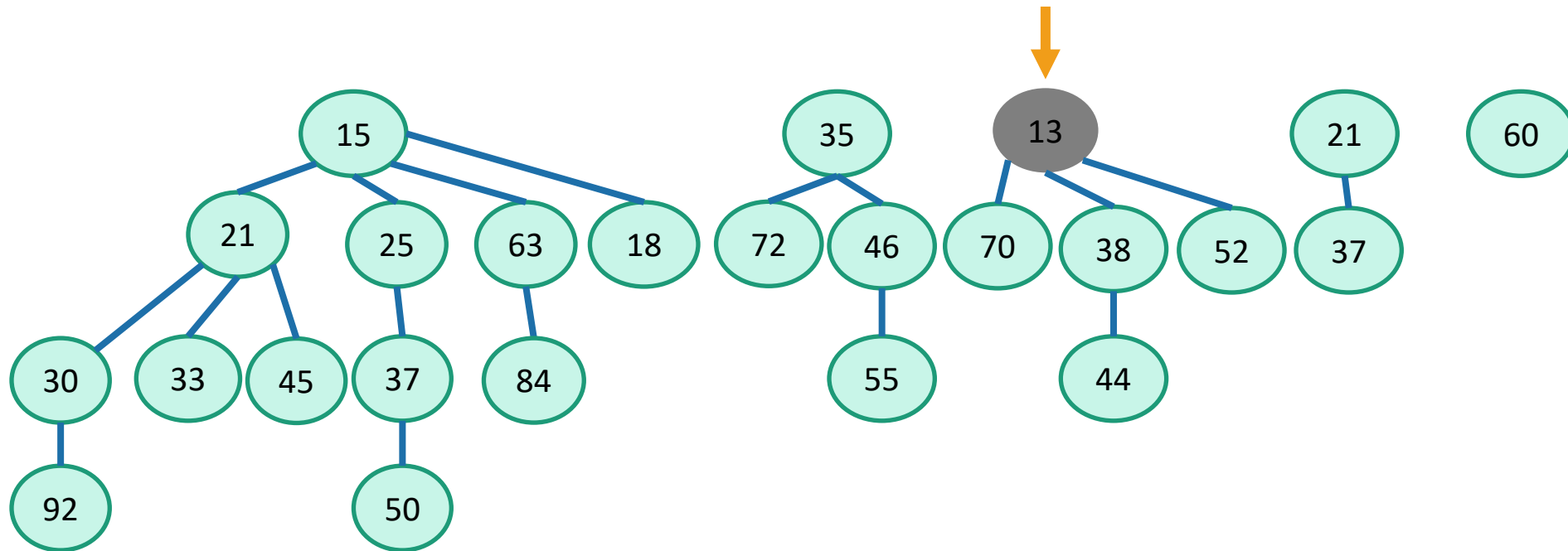
No child is smaller than its parent

smallest element of the heap is always one of the roots.



# Fibonacci Heap

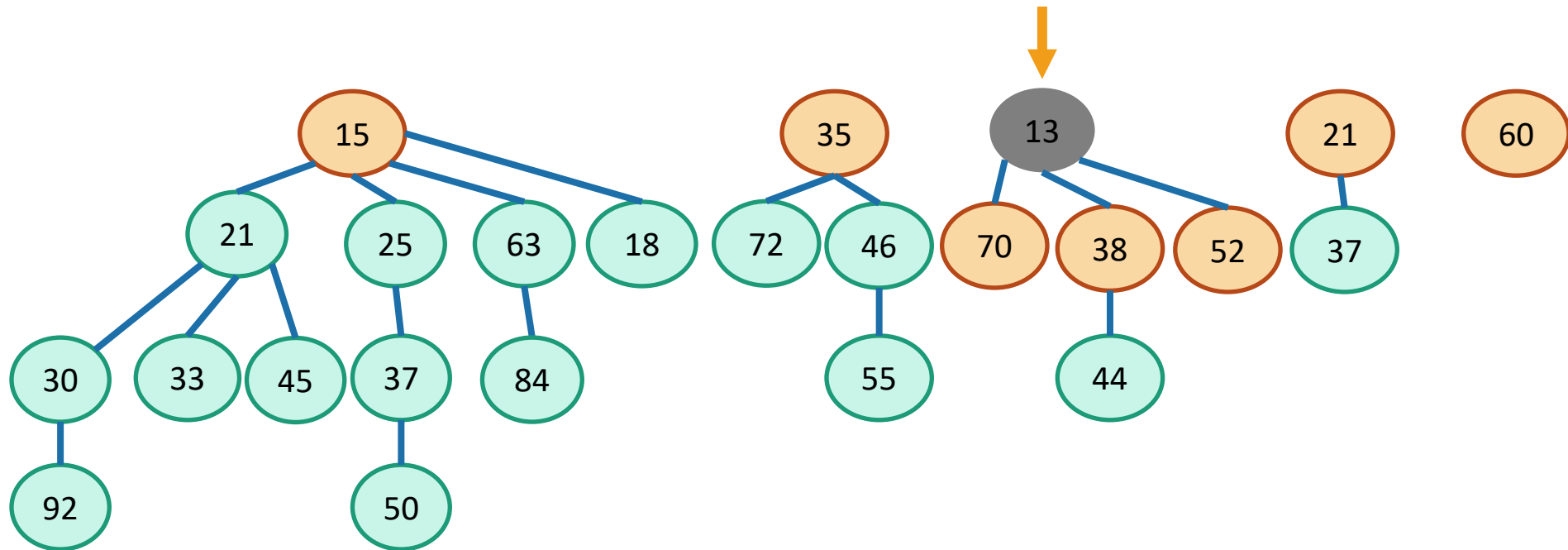
What is the problem Fibonacci heaps try to solve?



If we remove the smallest element,  
Which element is the next smallest one?

# Fibonacci Heap

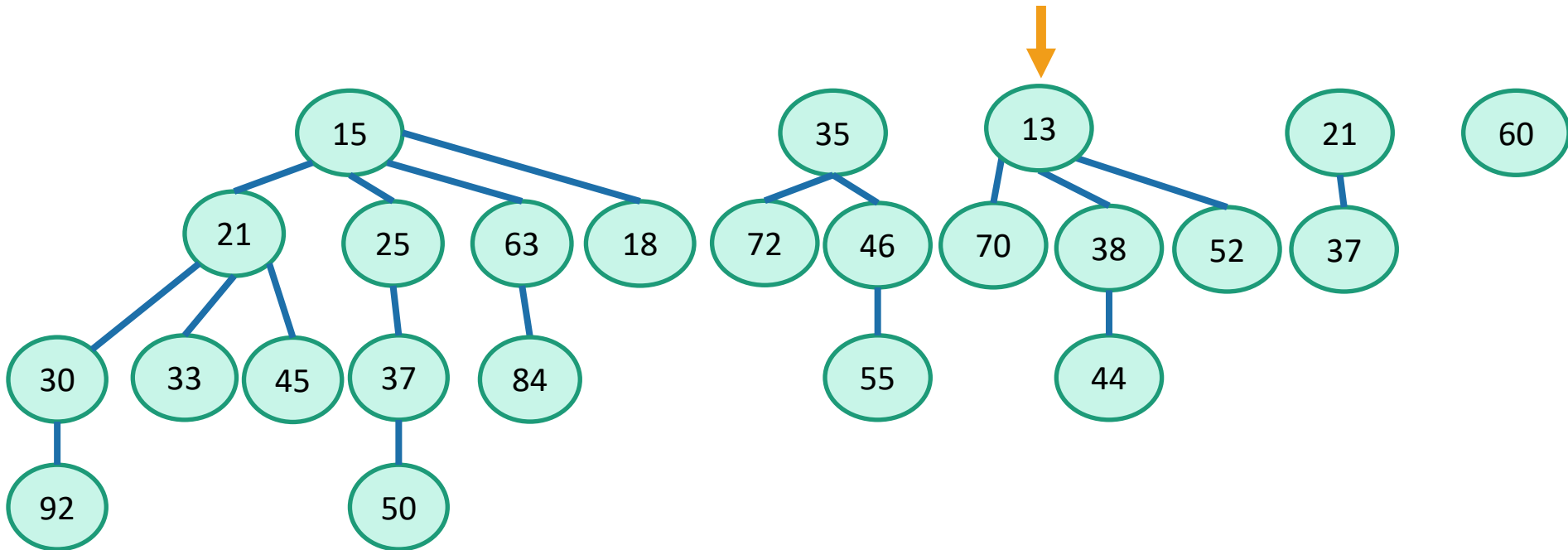
What is the problem Fibonacci heaps try to solve?



If we remove the smallest element,  
Which element is the next smallest one?

# Fibonacci Heap

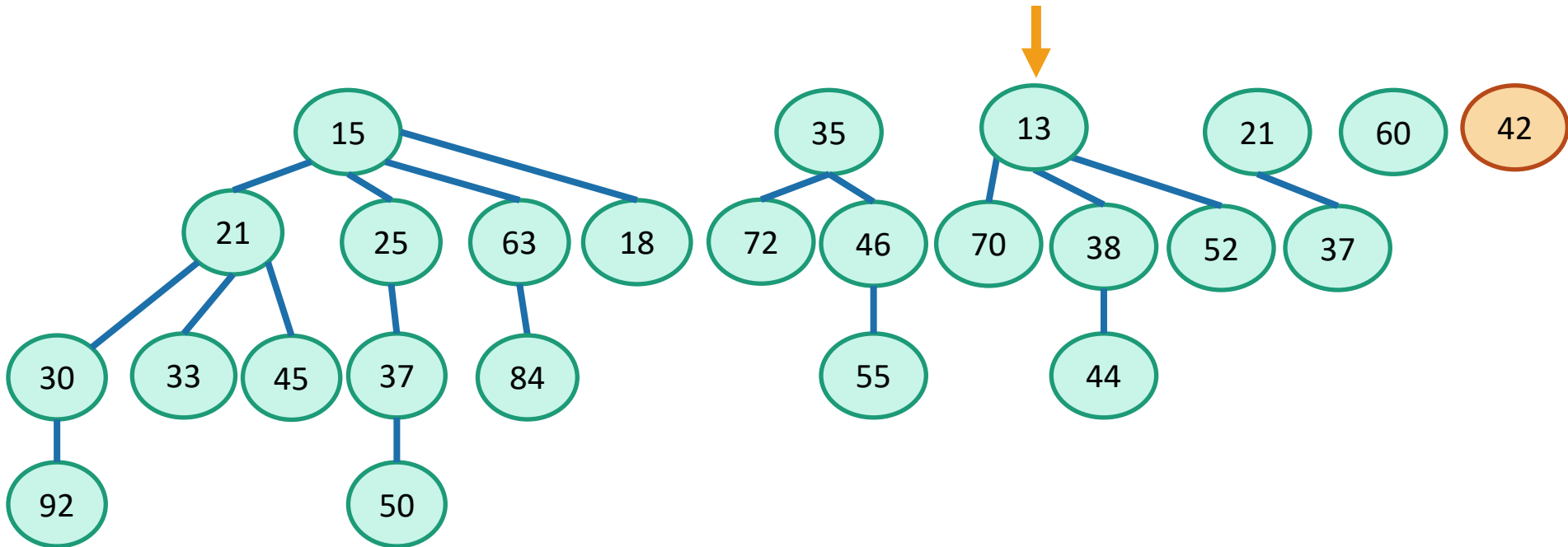
Operations : GetMin



GetMin  
 $O(1)$

# Fibonacci Heap

Operations : Insert

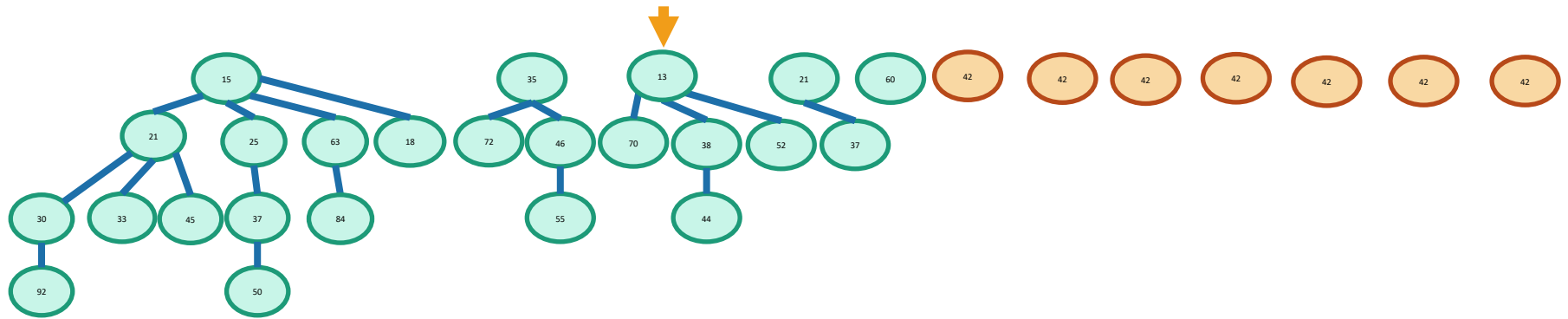


GetMin  
 $O(1)$

Insert  
 $O(1)$

# Fibonacci Heap

## Operations : Insert

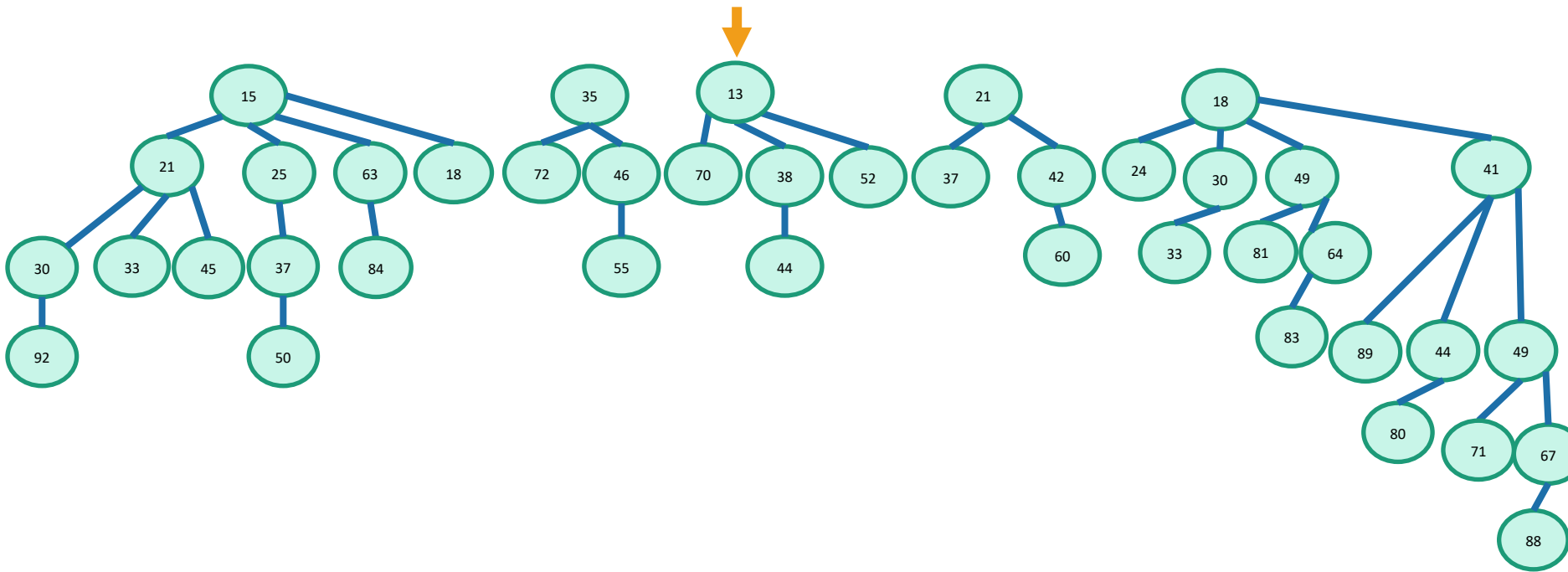


GetMin  
 $O(1)$

Insert  
 $O(1)$

# Fibonacci Heap

Operations : Insert



GetMin  
 $O(1)$

Insert  
 $O(1)$

# Fibonacci Heap

## Amortized Analysis

Say :

- Insert : work 1
- $\rightarrow \#roots += 1$
- ExtractMin : work  $\# roots + 10$
- $\rightarrow \#roots = 5$

100	• Insert	1
	• Insert	1
	• ...	...
	• Insert	1
	• ExtractMin	110
	• ExtractMin	15
	• ExtractMin	15
50	• Insert	1
	• Insert	1
	• ...	...
	• Insert	1
	• ExtractMin	65
	• ExtractMin	15

# Fibonacci Heap

## Amortized Analysis

- Insert : work 1
- $\rightarrow \#roots += 1$
- ExtractMin : work  $\# roots + 10$
- $\rightarrow \#roots = 5$

ExtractMin :  $O(15)$

Insert :  $O(1)$

100	• Insert	2
	• Insert	2
	• ...	...
	• Insert	2
50	• ExtractMin	10
	• ExtractMin	15
	• ExtractMin	15
	• Insert	2
	• Insert	2
	• ...	...
	• Insert	2
	• ExtractMin	15
	• ExtractMin	15



# Fibonacci Heap

## Amortized Analysis

- Insert : work 1
- $\rightarrow \#roots += 1$
- ExtractMin : work  $\# roots + 10$
- $\rightarrow \#roots = 5$

ExtractMin :  $O(5 + 10)$

Insert :  $O(1)$

100	• Insert	2
	• Insert	2
	• ...	...
	• Insert	2
50	• ExtractMin	10
	• ExtractMin	15
	• ExtractMin	15
	• Insert	2
	• Insert	2
	• ...	...
	• Insert	2
	• ExtractMin	15
	• ExtractMin	15

# Fibonacci Heap

## Amortized Analysis

- Insert : work 1
- $\rightarrow \#roots += 1$
- ExtractMin : work  $\# roots + 10$
- $\rightarrow \#roots = 5$

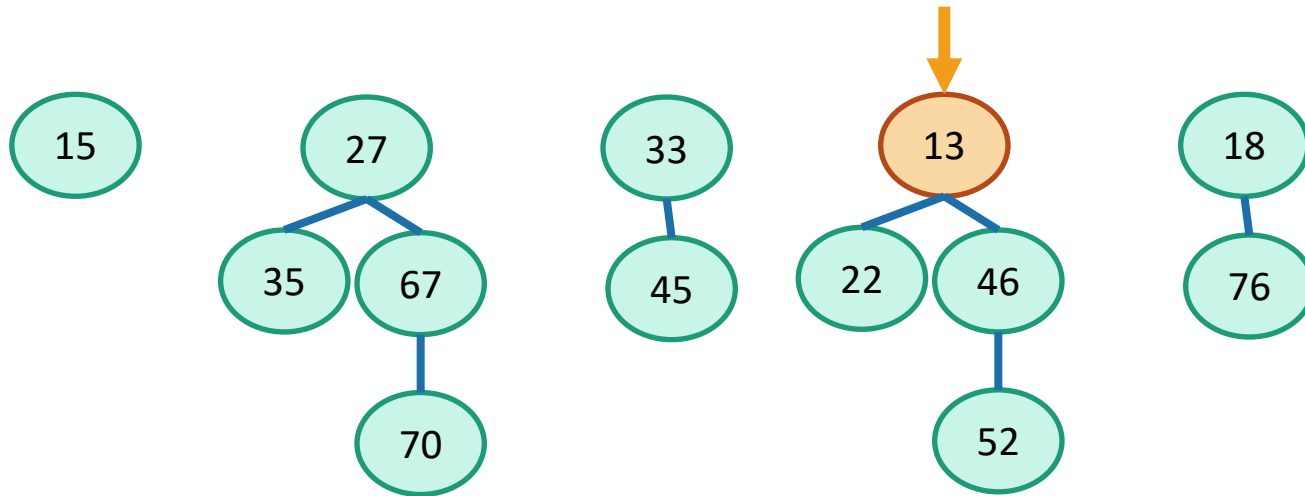
ExtractMin :  $O(\#root \text{ after } +extra \text{ work})$

Insert :  $O(1)$

100	• Insert	2
	• Insert	2
	• ...	...
	• Insert	2
50	• ExtractMin	10
	• ExtractMin	15
	• ExtractMin	15
	• Insert	2
	• Insert	2
	• ...	...
	• Insert	2
	• ExtractMin	15
	• ExtractMin	15

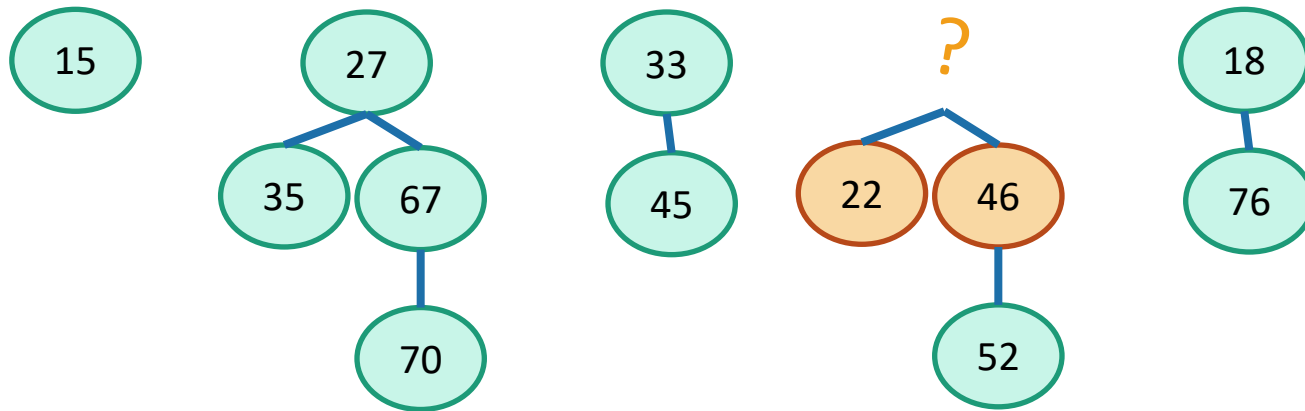
# Fibonacci Heap

Operations : ExtractMin



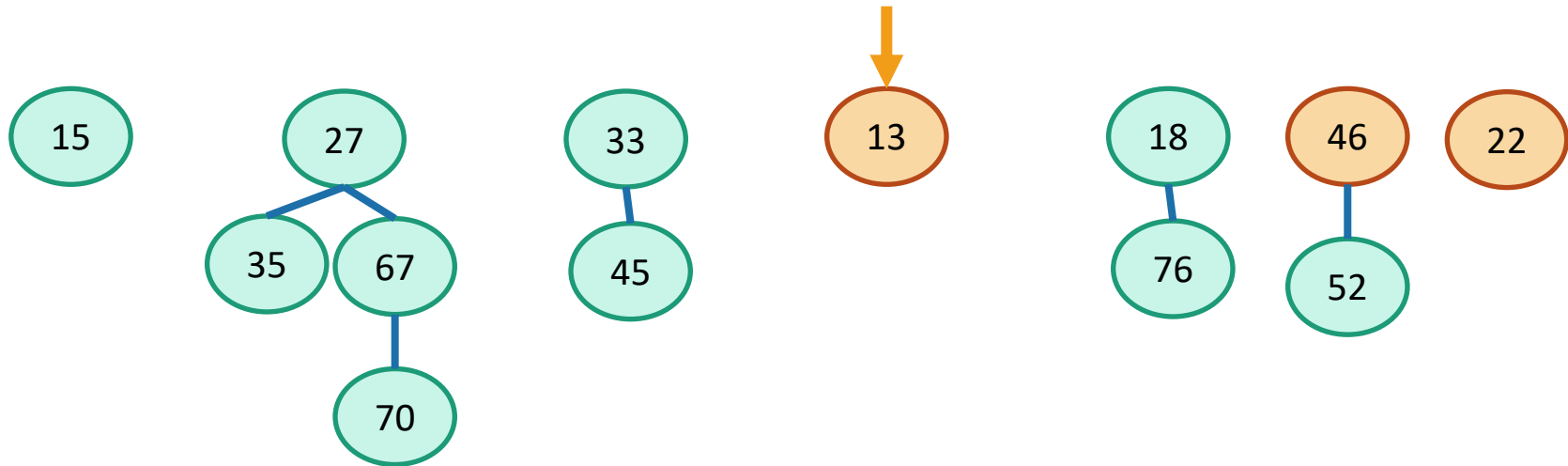
# Fibonacci Heap

Operations : ExtractMin



# Fibonacci Heap

Operations : ExtractMin

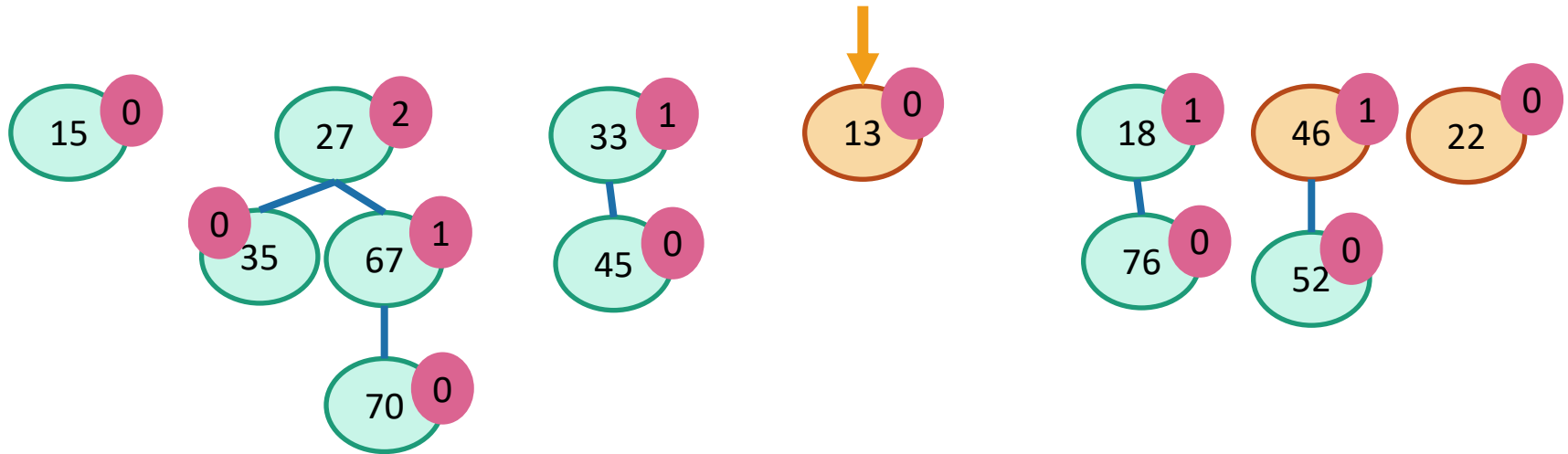


- Remove Minimum

↓ # trees  
↓ # of children

# Fibonacci Heap

Operations : ExtractMin

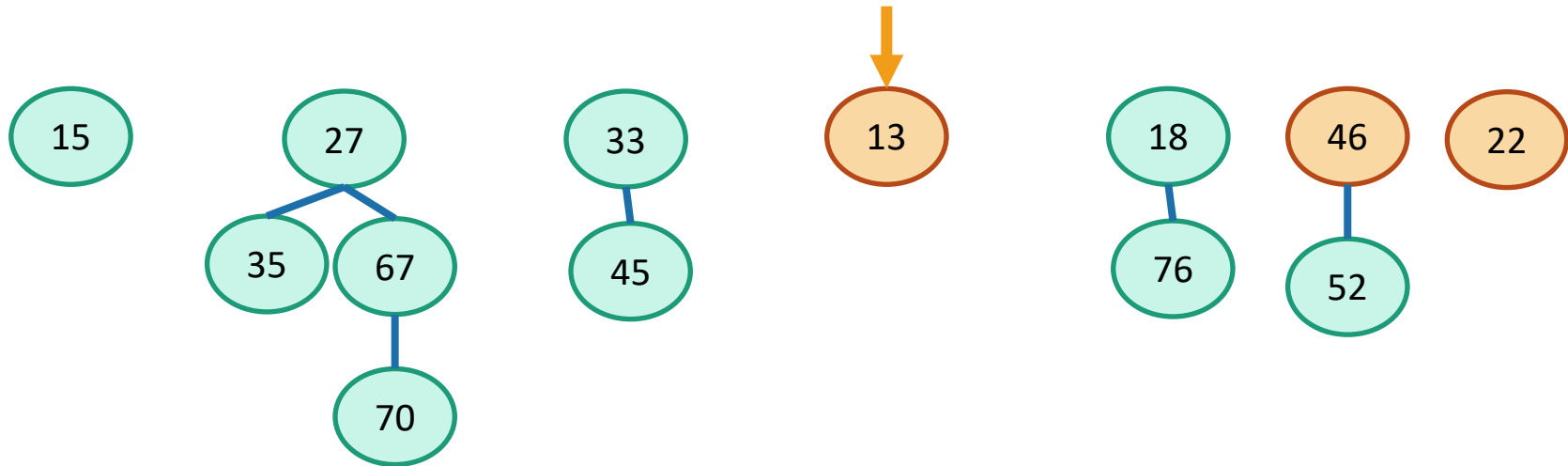


- Remove Minimum

↓ # trees  
↓ # of children ~ degree

# Fibonacci Heap

Operations : ExtractMin

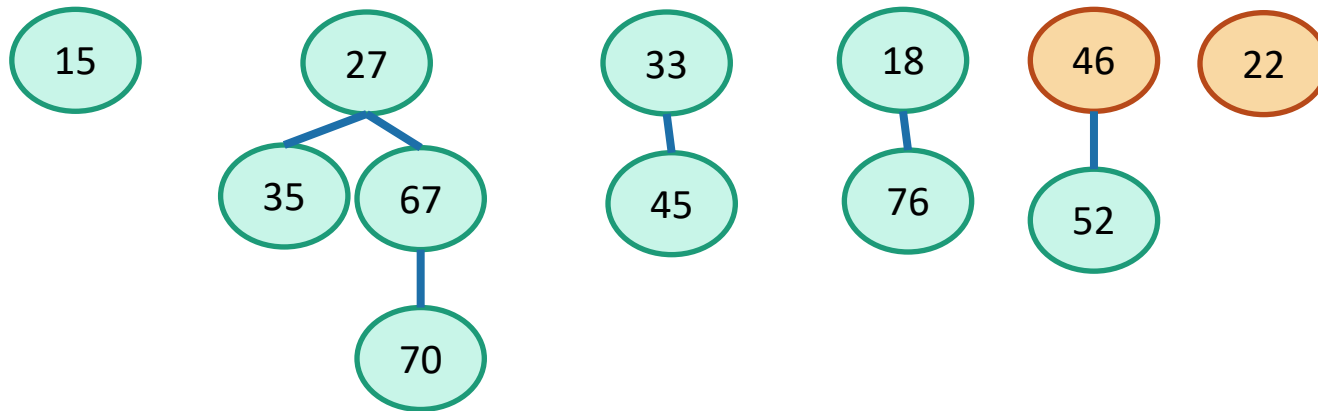


- Remove Minimum  
 $O(\text{maximum degree})$

↓ # trees  
↓ # max degree

# Fibonacci Heap

## Operations : ExtractMin



- Remove Minimum  
 $O(\text{maximum degree})$
- Clean up : reduce #trees by merging

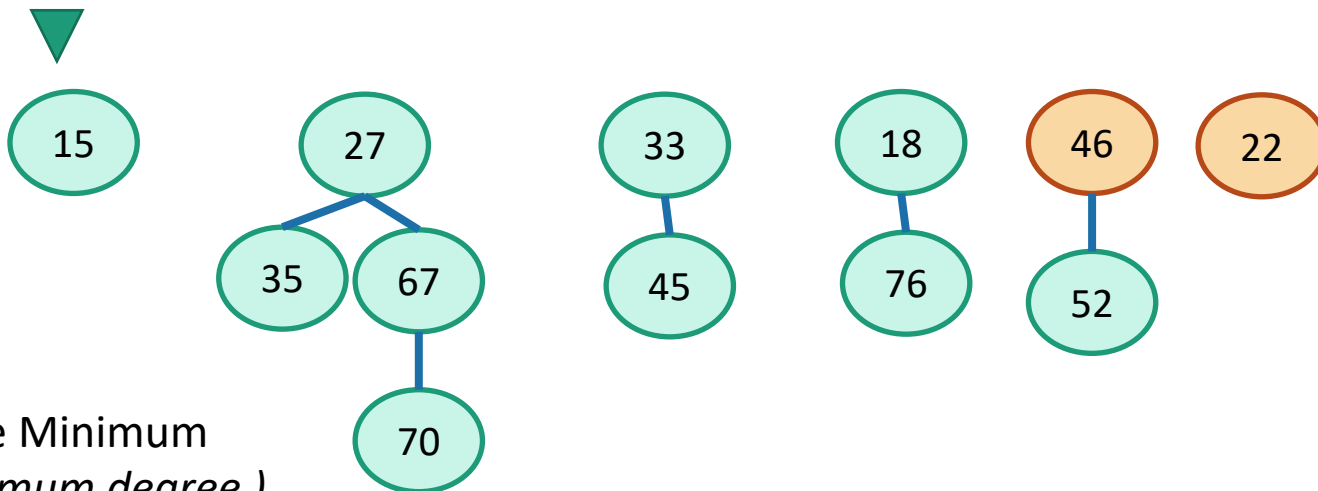
↓ # trees  
↓ # max degree



# Fibonacci Heap

## Operations : ExtractMin

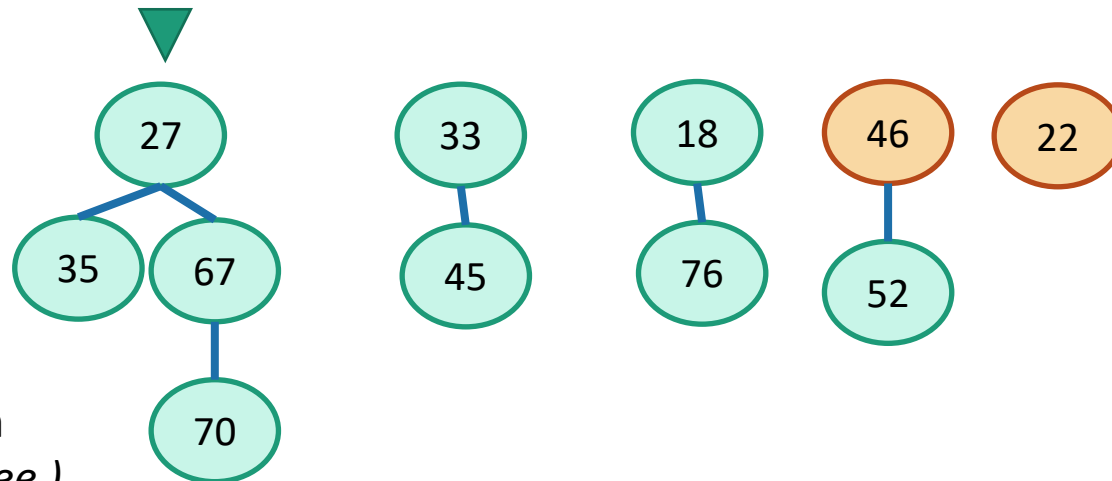
0	1	2	3
---	---	---	---



- Remove Minimum  
 $O(\text{maximum degree})$
- Clean up : reduce #trees by merging

# Fibonacci Heap

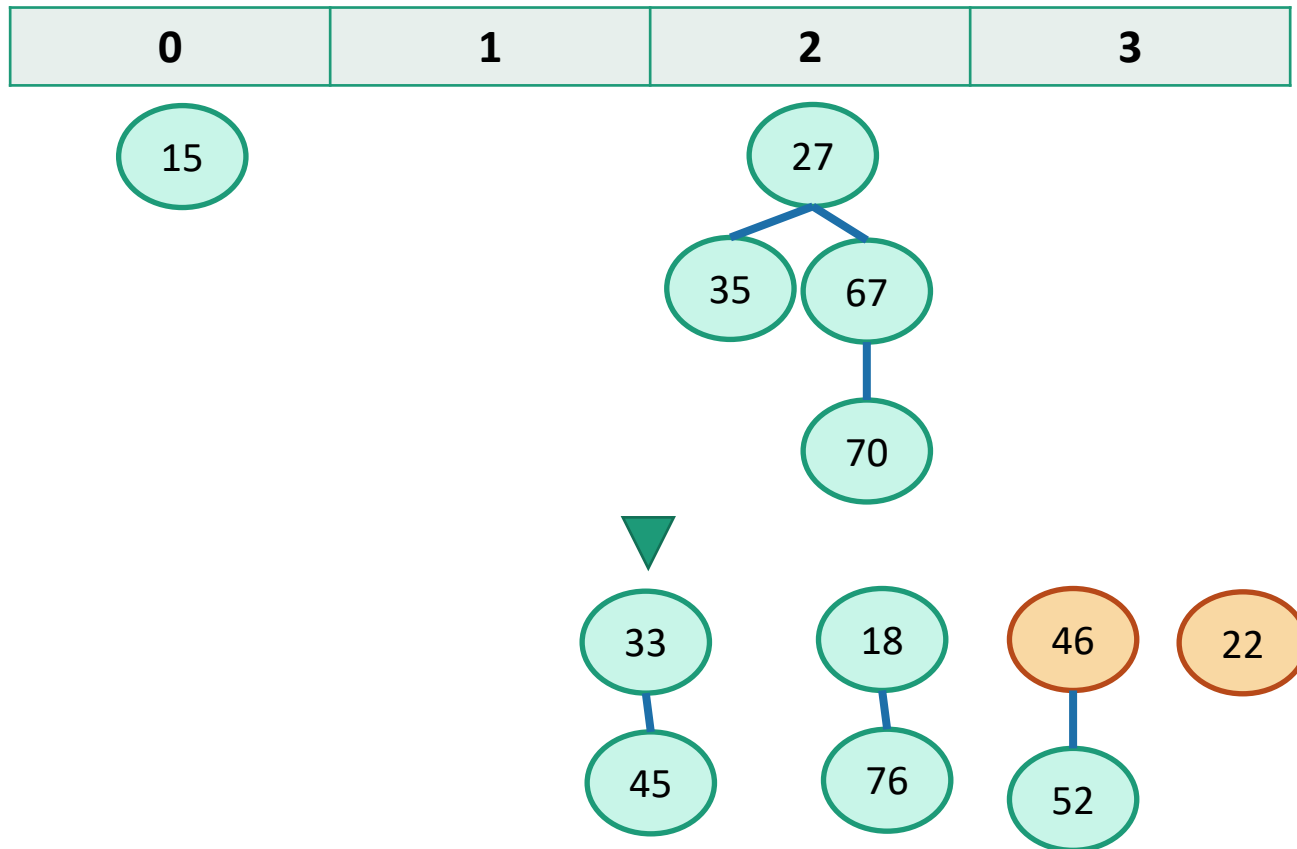
## Operations : ExtractMin



- Remove Minimum  
 $O(\text{maximum degree})$
- Clean up : reduce #trees by merging

# Fibonacci Heap

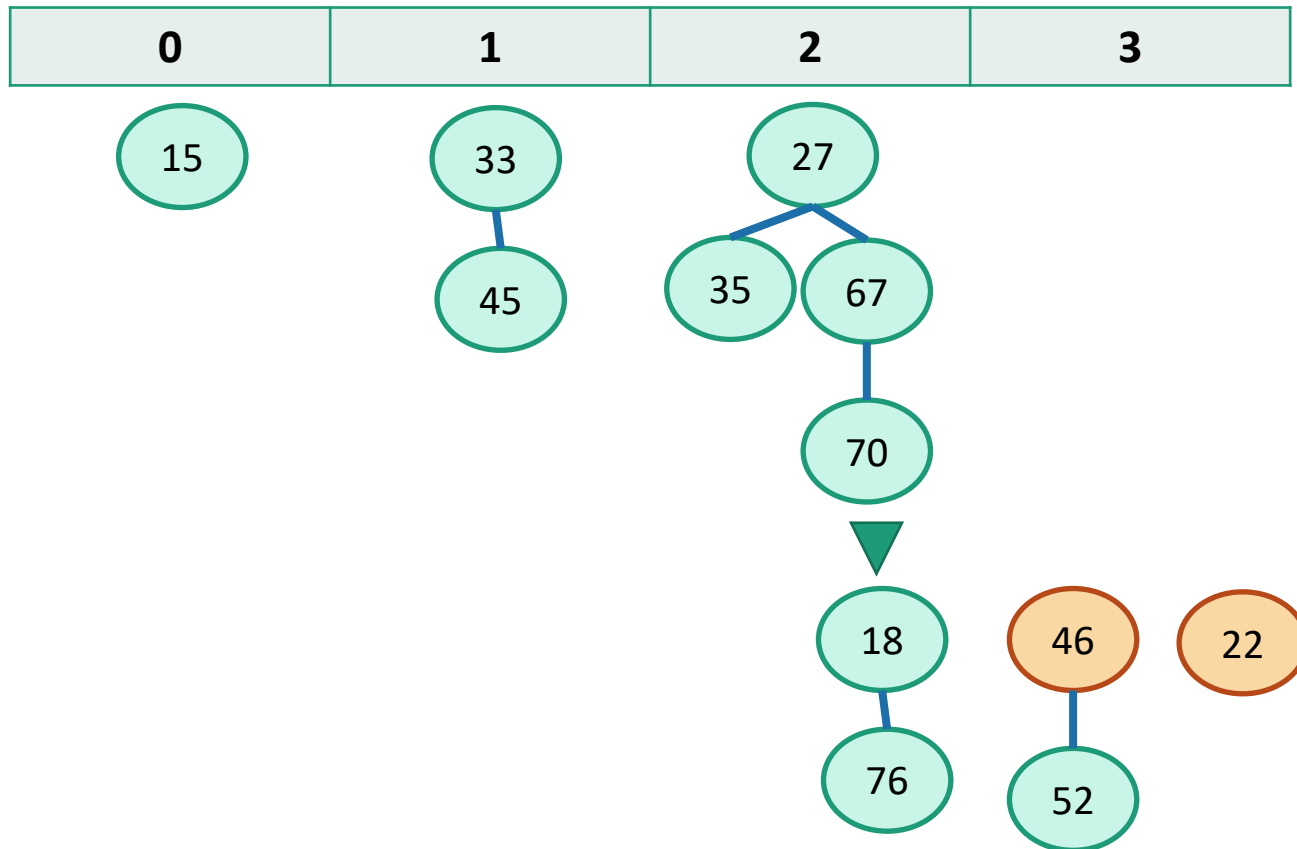
## Operations : ExtractMin



- Remove Minimum  
 $O(\text{maximum degree})$
- Clean up : reduce #trees by merging

# Fibonacci Heap

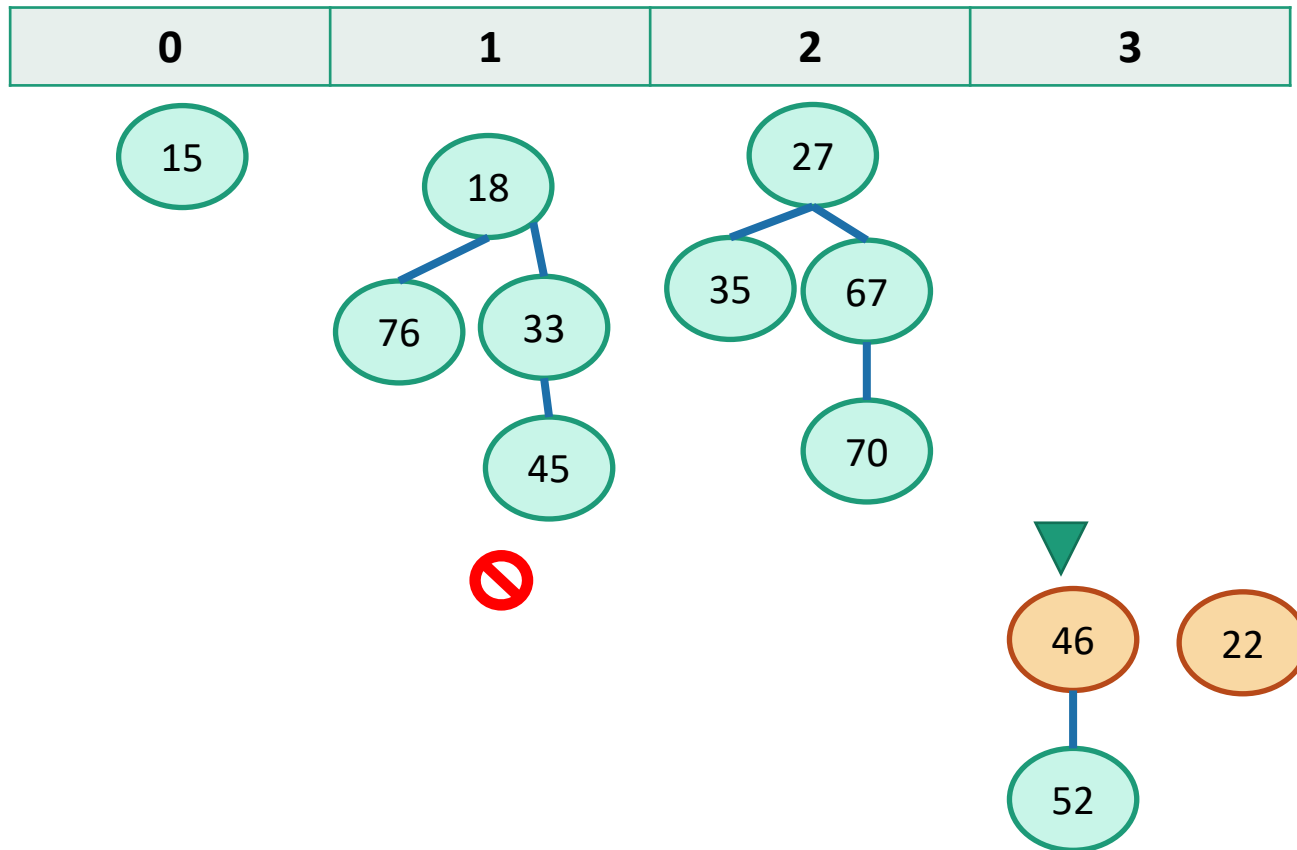
## Operations : ExtractMin



- Remove Minimum  
 $O(\text{maximum degree})$
- Clean up : reduce #trees by merging

# Fibonacci Heap

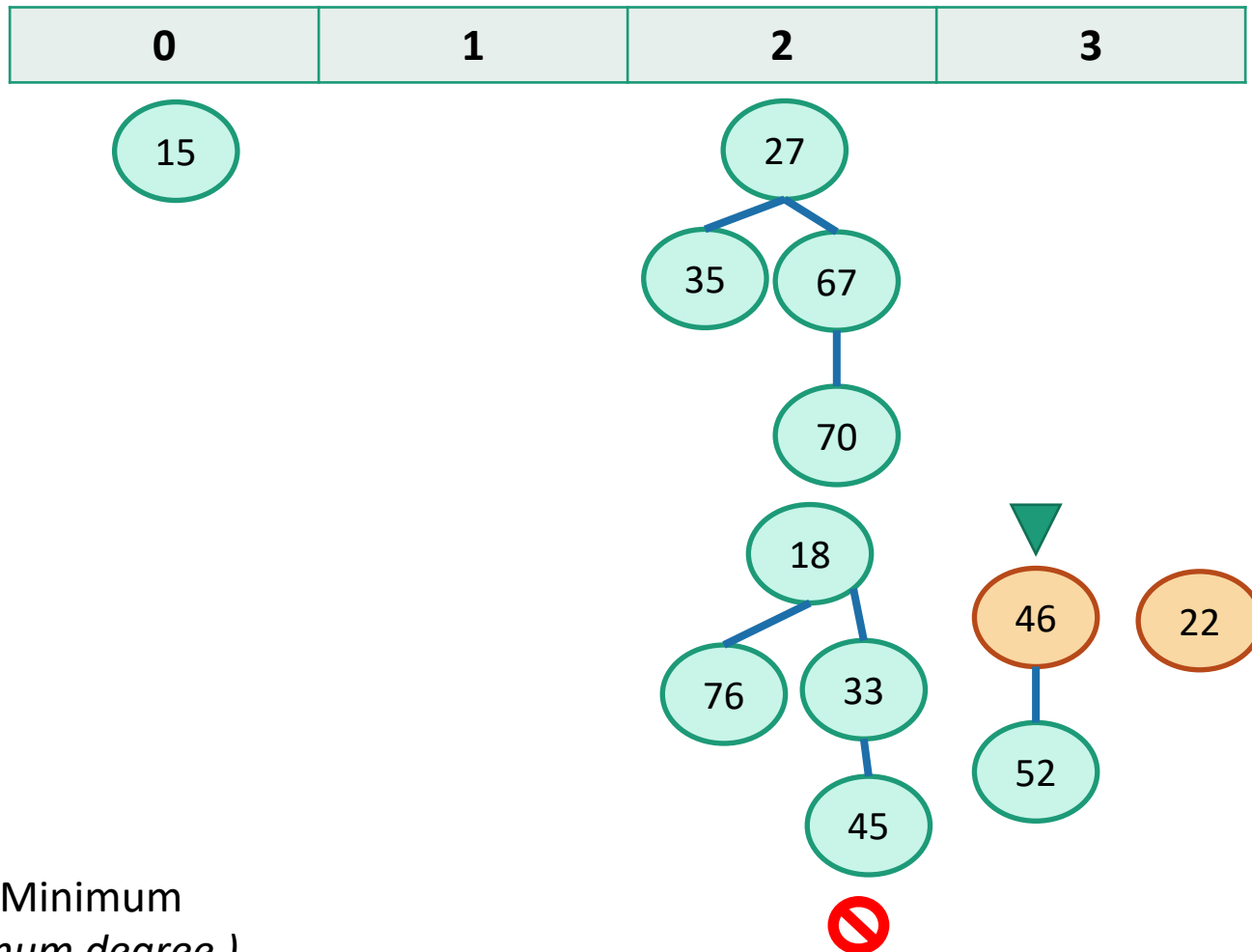
## Operations : ExtractMin



- Remove Minimum  
 $O(\text{maximum degree})$
- Clean up : reduce #trees by merging

# Fibonacci Heap

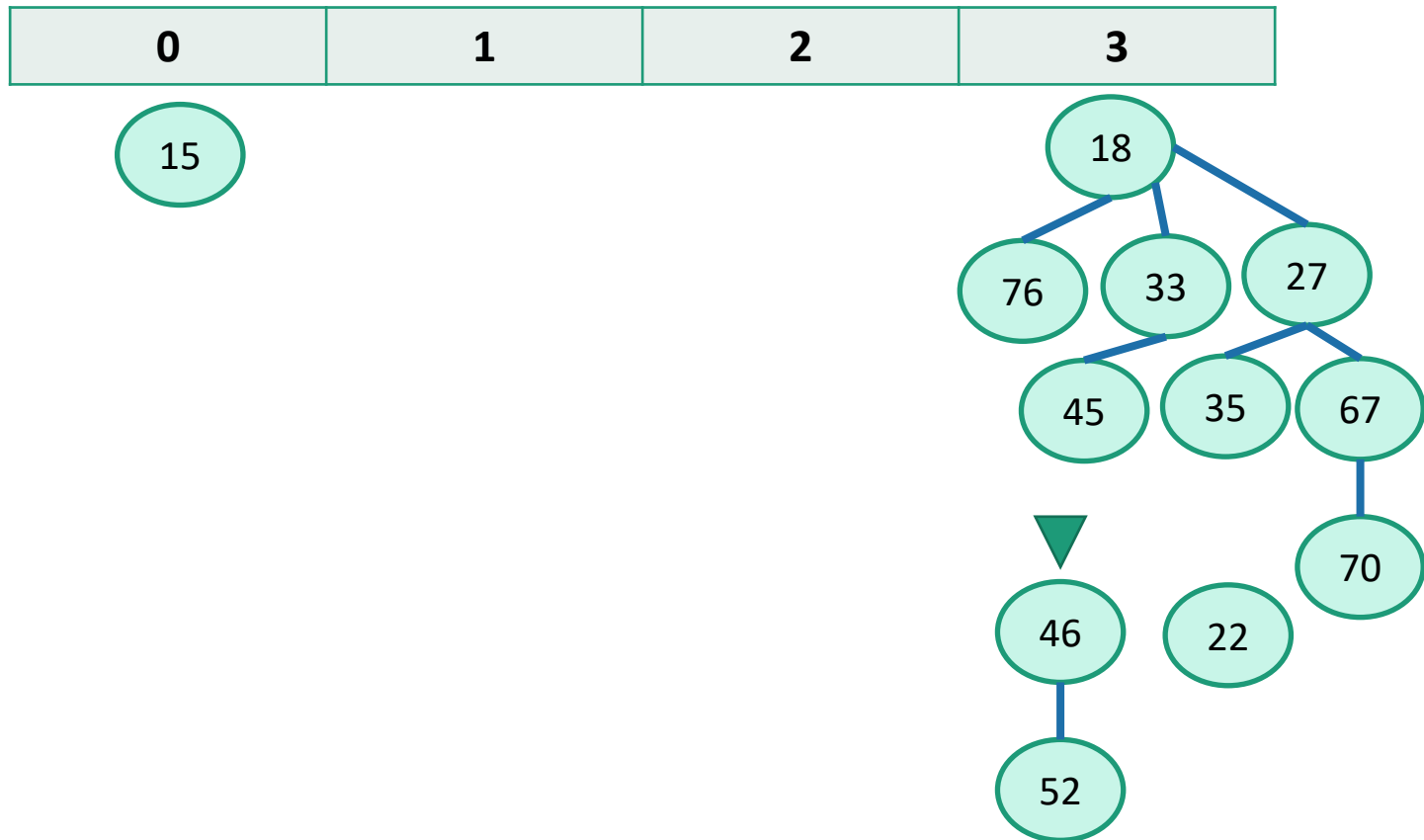
## Operations : ExtractMin



- Remove Minimum  
 $O(\text{maximum degree})$
- Clean up : reduce #trees by merging

# Fibonacci Heap

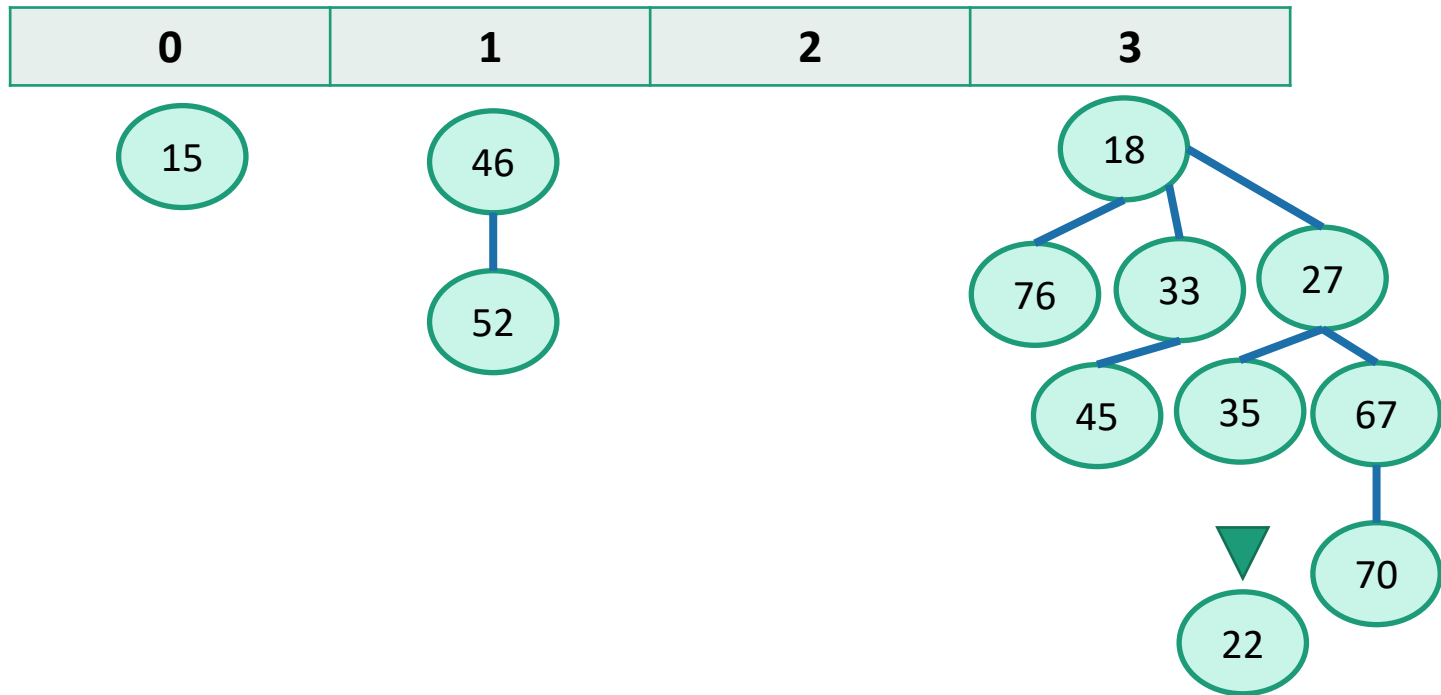
## Operations : ExtractMin



- Remove Minimum  
 $O(\text{maximum degree})$
- Clean up : reduce #trees by merging

# Fibonacci Heap

## Operations : ExtractMin

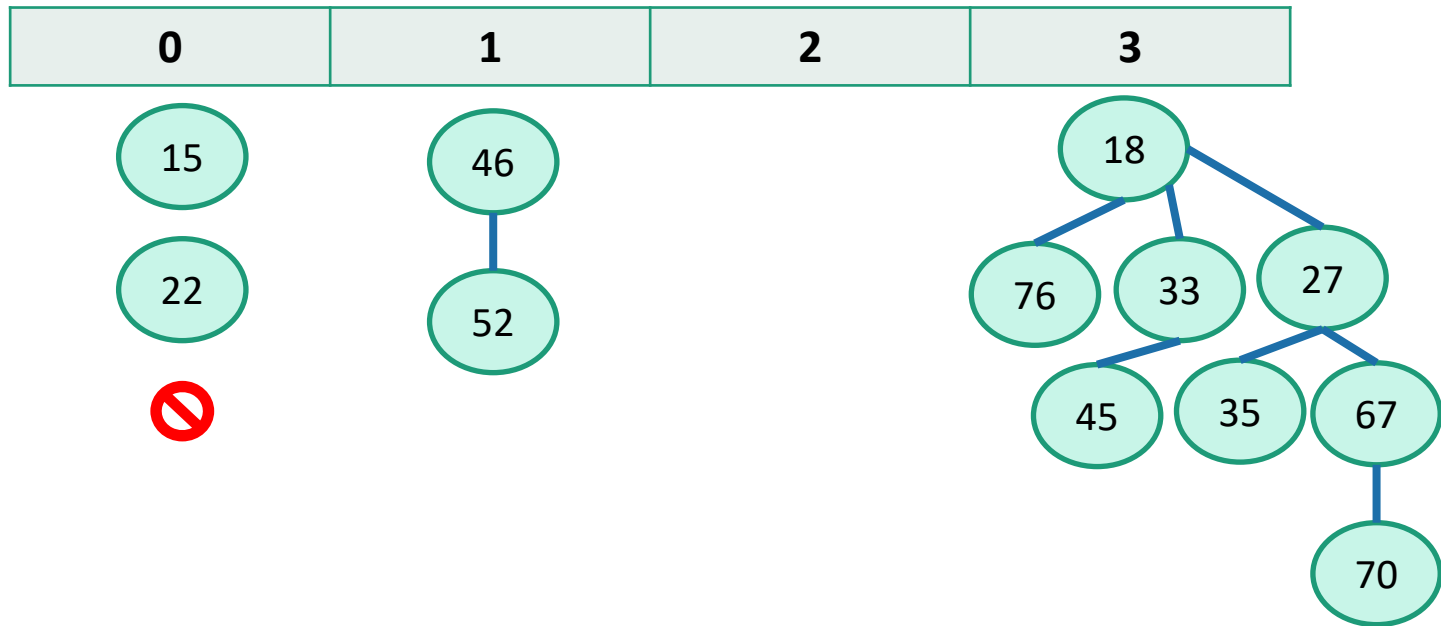


- Remove Minimum  
 $O(\text{maximum degree})$
- Clean up : reduce #trees by merging



# Fibonacci Heap

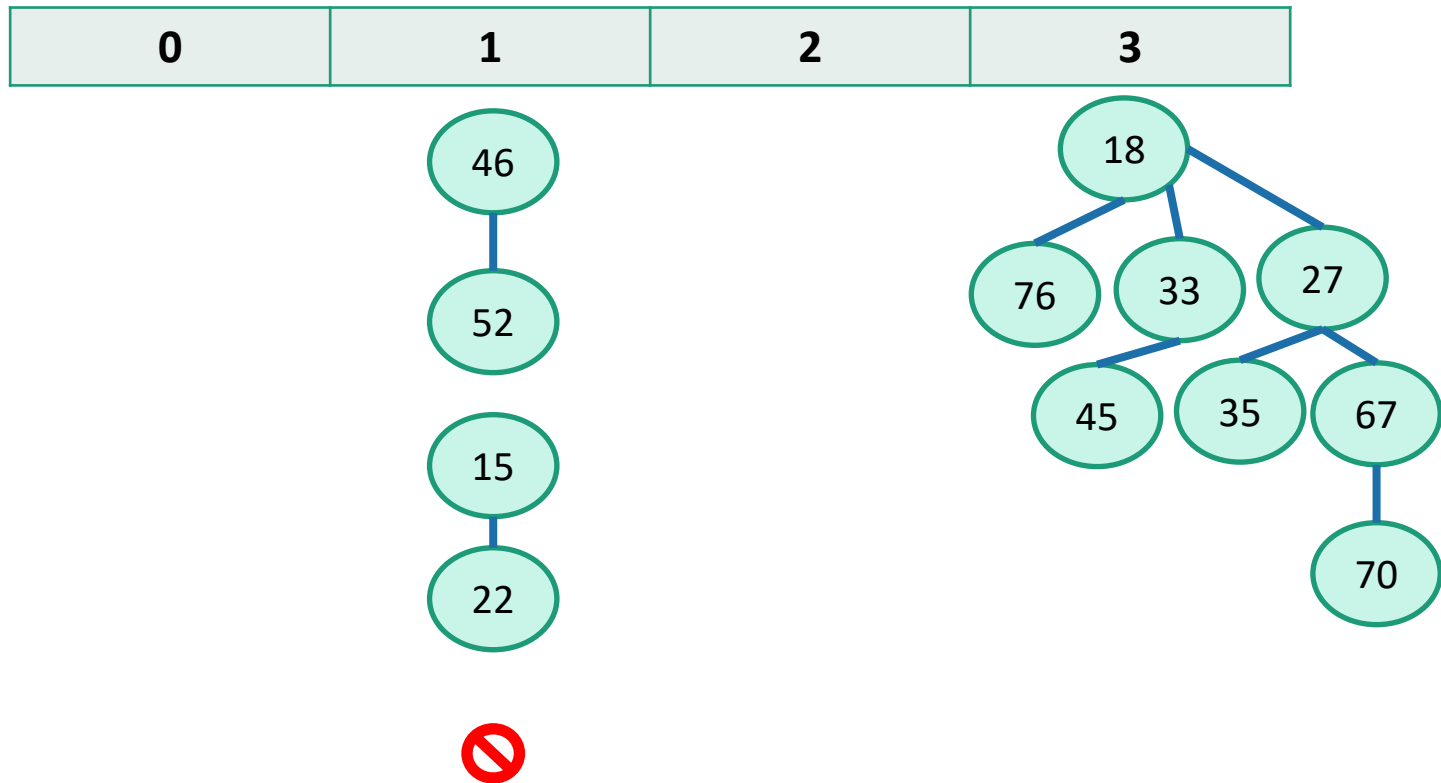
## Operations : ExtractMin



- Remove Minimum  
 $O(\text{maximum degree})$
- Clean up : reduce #trees by merging

# Fibonacci Heap

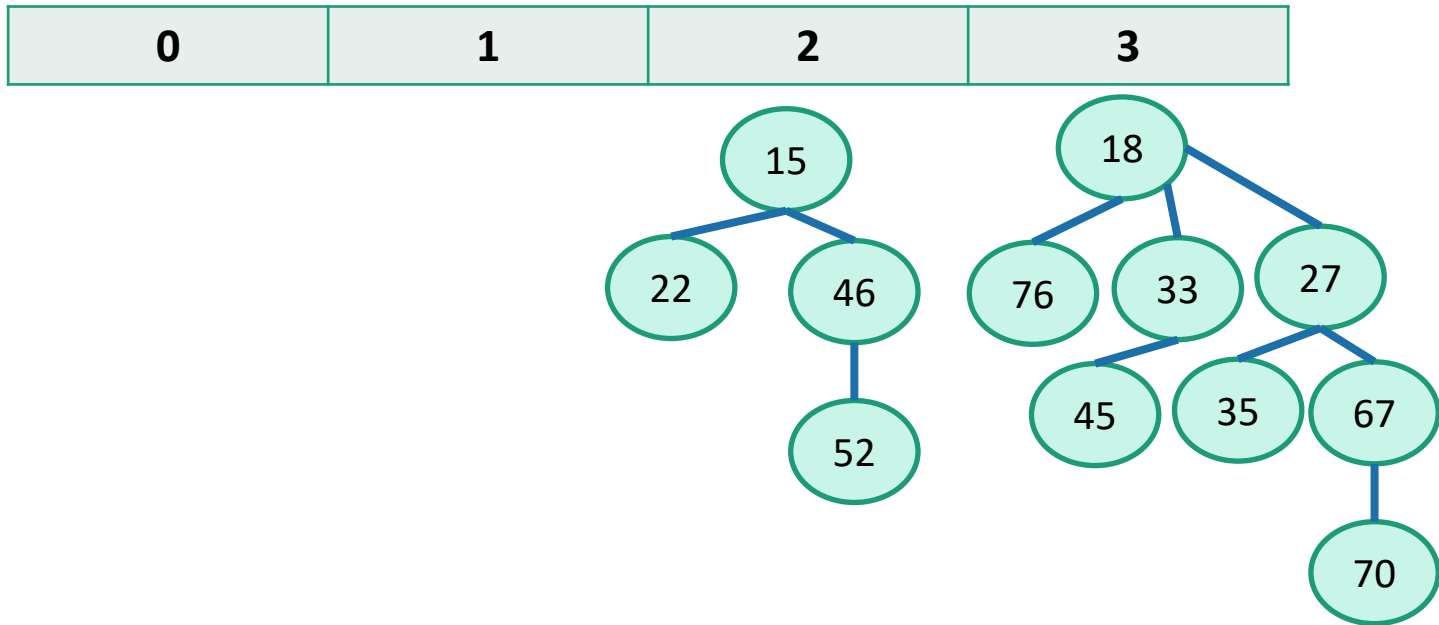
## Operations : ExtractMin



- Remove Minimum  
 $O(\text{maximum degree})$
- Clean up : reduce #trees by merging

# Fibonacci Heap

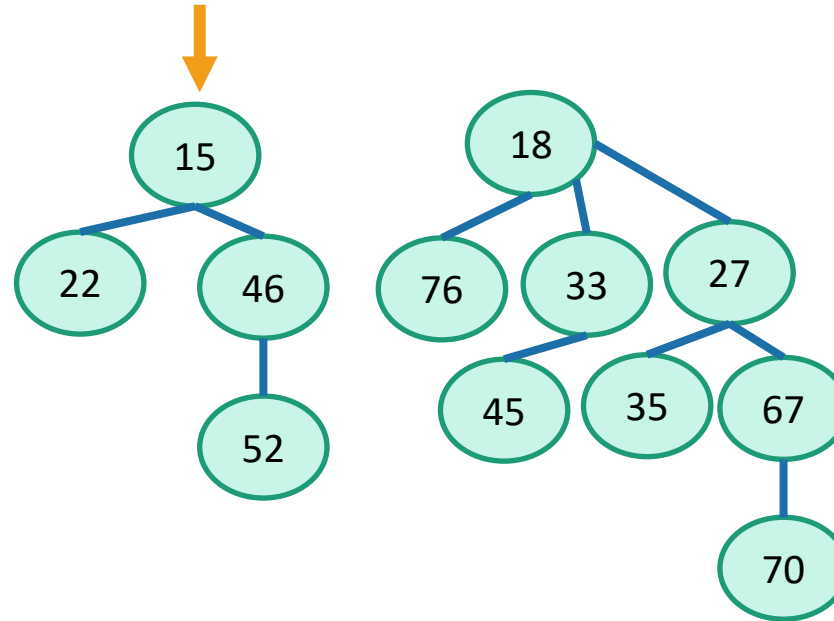
## Operations : ExtractMin



- Remove Minimum  
 $O(\text{maximum degree})$
- Clean up : reduce #trees by merging
- $O(\text{maximum degree} + \text{\#trees})$

# Fibonacci Heap

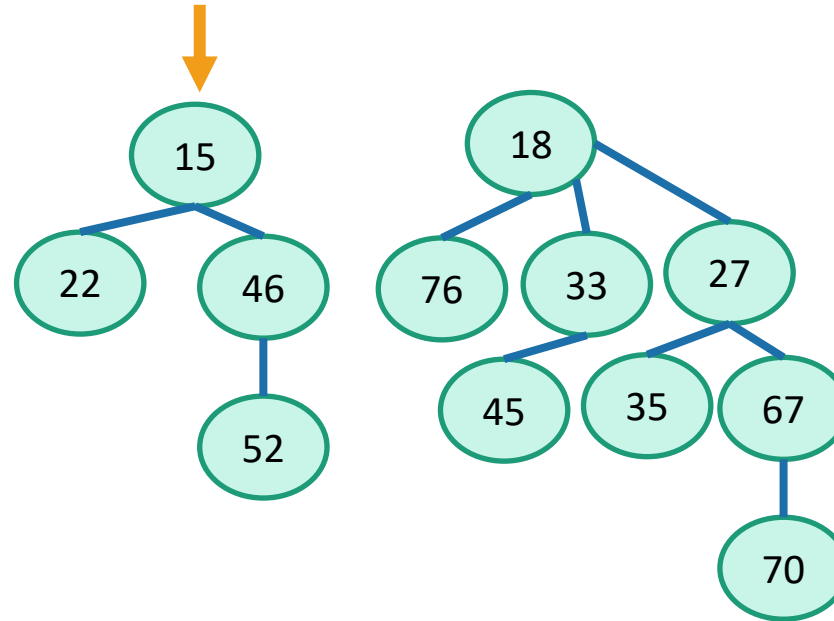
## Operations : ExtractMin



- Remove Minimum  
 $O(\text{maximum degree})$
- Clean up : reduce #trees by merging  
 $O(\text{maximum degree} + \text{\#trees})$
- Rebuild heap  
 $O(\text{maximum degree})$

# Fibonacci Heap

Operations : ExtractMin



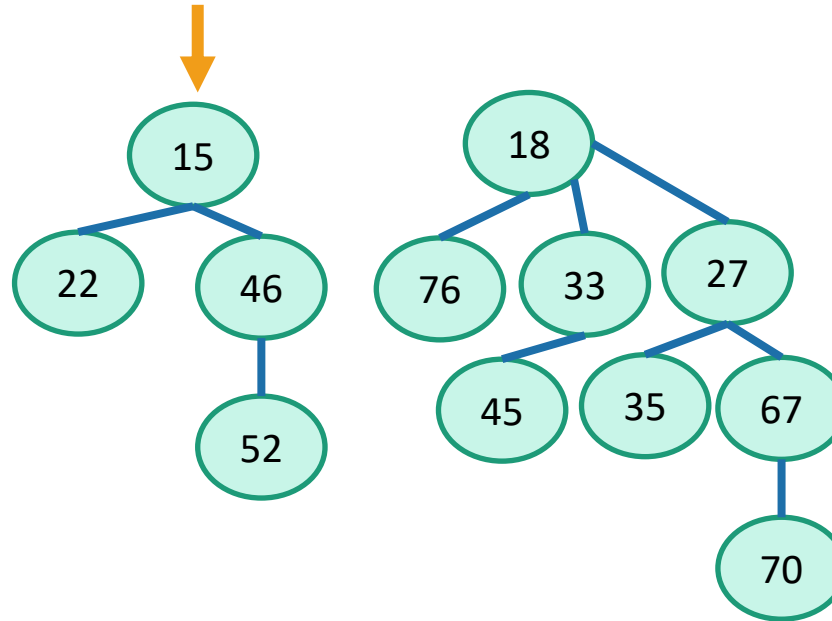
Actual cost :  $ci = O(rank(H)) + O(trees(H))$

Its rank = number of children.

H = heap

# Fibonacci Heap

Operations : ExtractMin



**Actual cost :**  $O(\text{maximum degree} + \text{\#trees})$

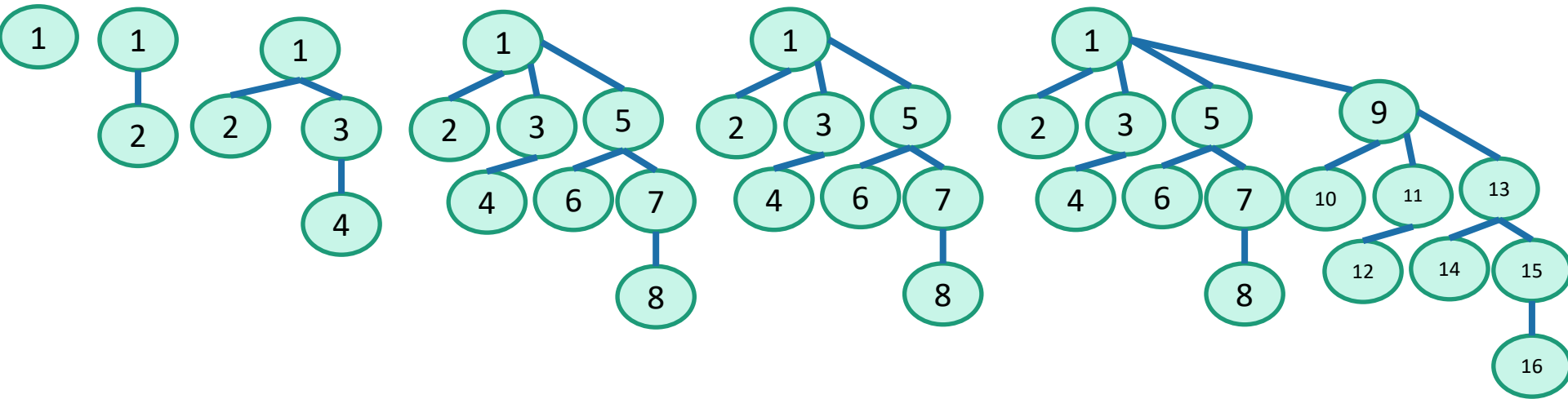
**We had amortized analysis :**  $O(\text{\# roots after} + \text{max degree})$

**$O(\text{max degree})$**

# Fibonacci Heap

## Bionomial tree

how large some tree with a given degree can be.



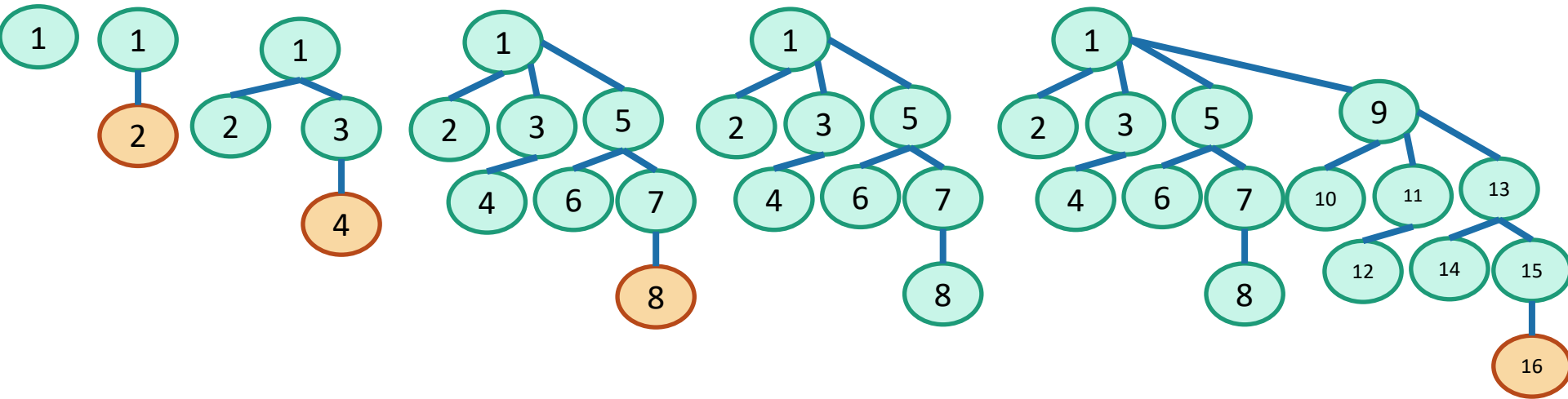
### ExtractMin :

- Replace node with it's children
- Merge nodes with equal degree

# Fibonacci Heap

## Bionomial tree

how large some tree with a given degree can be.



Degree  $d \rightarrow 2^d$  nodes

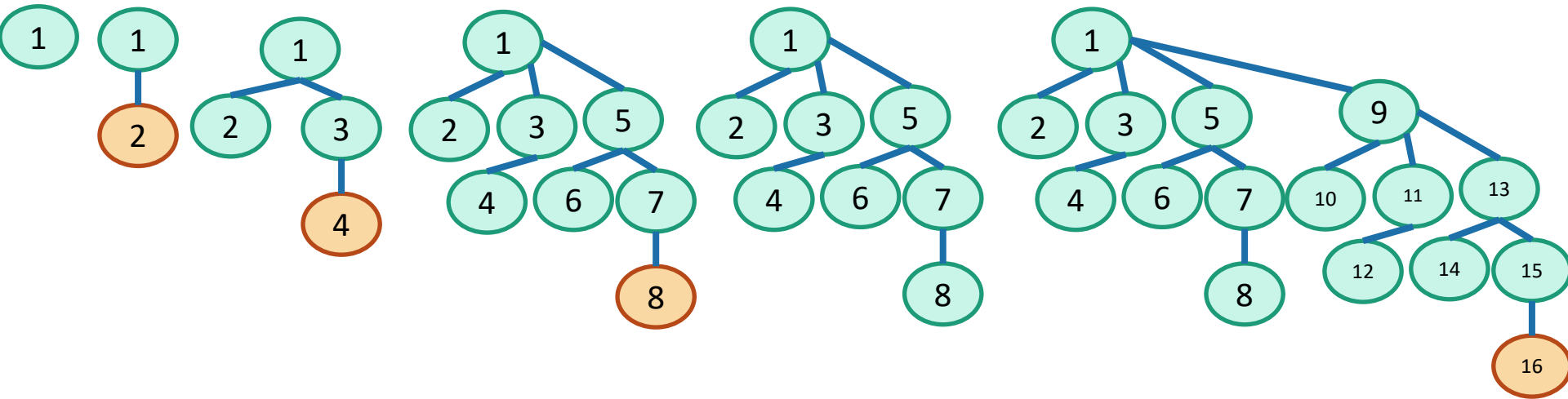
$K$  nodes  $\rightarrow$  degree  $\log_2(k)$



# Fibonacci Heap

## Bionomial tree

how large some tree with a given degree can be.



Degree  $d \rightarrow 2^d$  nodes

Max degree  $\log_2(n)$

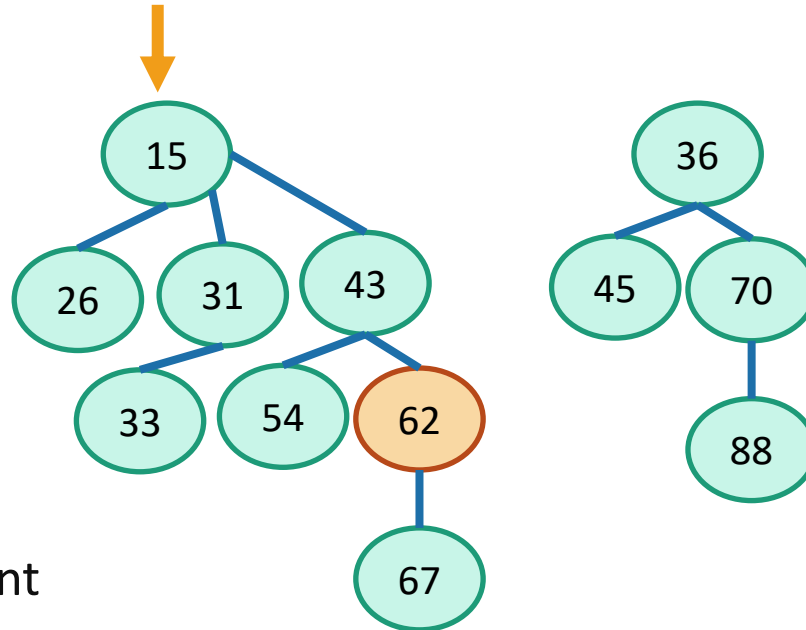
# Fibonacci Heap

## Time complexity comparison

Binary Heap			
GetMin	Insert	ExtractMin	DecreaseKey
$O(1)$	$O(\log n)$	$O(\log n)$	$O(\log n)$
Fibonacci Heap			
GetMin	Insert	ExtractMin	DecreaseKey
$O(1)$	$O(1)$	$O(\log n)$	?

# Fibonacci Heap

## Operations: DecreaseKey

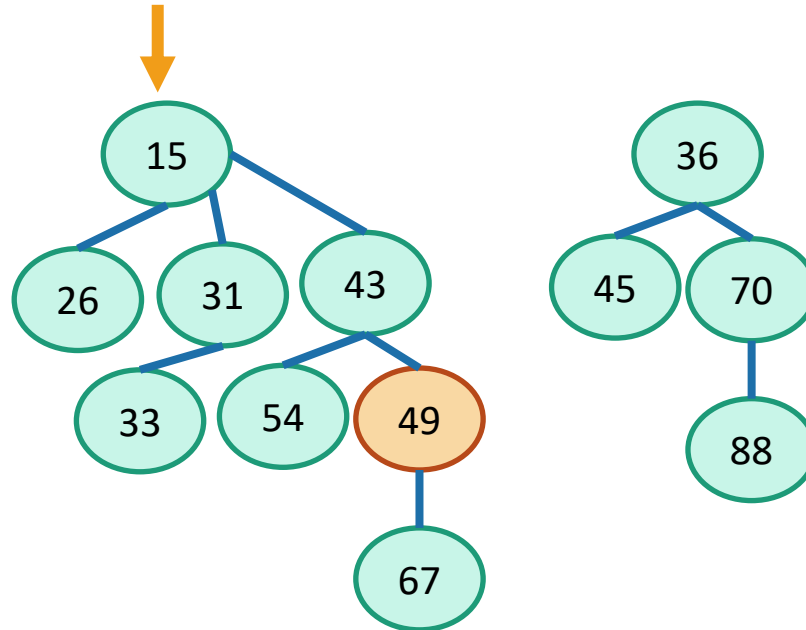


If new value  $\geq$  parent

→ Do nothing

# Fibonacci Heap

Operations: DecreaseKey

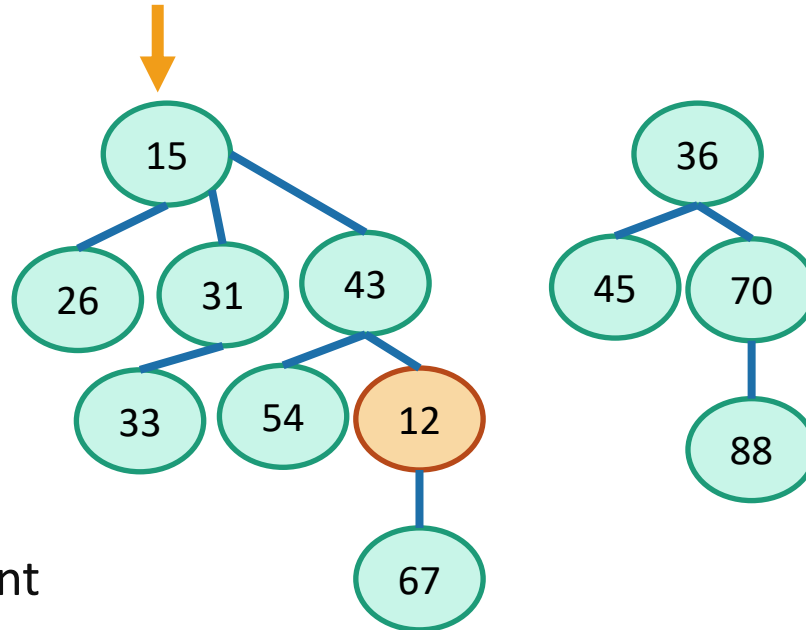


If new value  $\geq$  parent :

→ Do nothing

# Fibonacci Heap

## Operations: DecreaseKey



If new value  $\geq$  parent

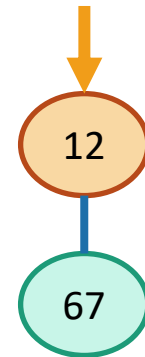
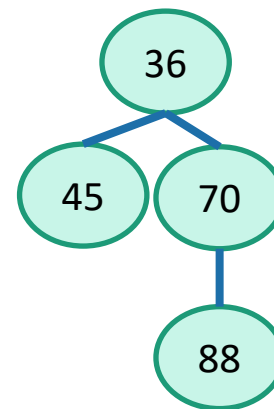
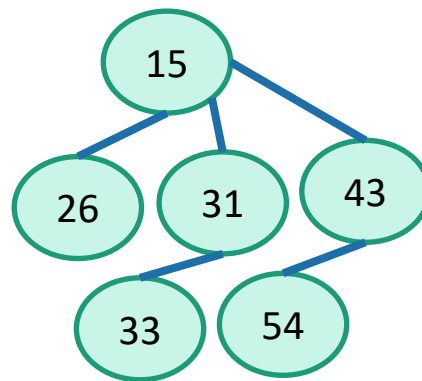
→ Do nothing

If new value  $<$  parent :

→ Cut out node

# Fibonacci Heap

## Operations: DecreaseKey



If new value  $\geq$  parent

→ Do nothing

If new value  $<$  parent :

→ Cut out node

$O(1)$

#roots +=1

Same as insert!

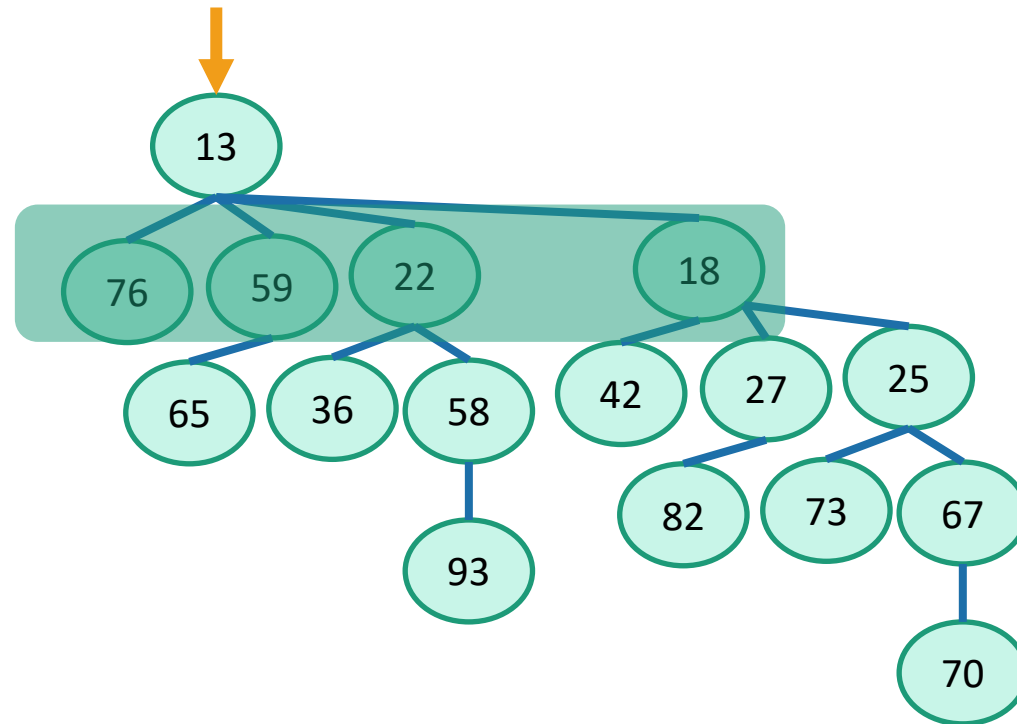
→

ExtractMin

$O(\log n)$   
amortized

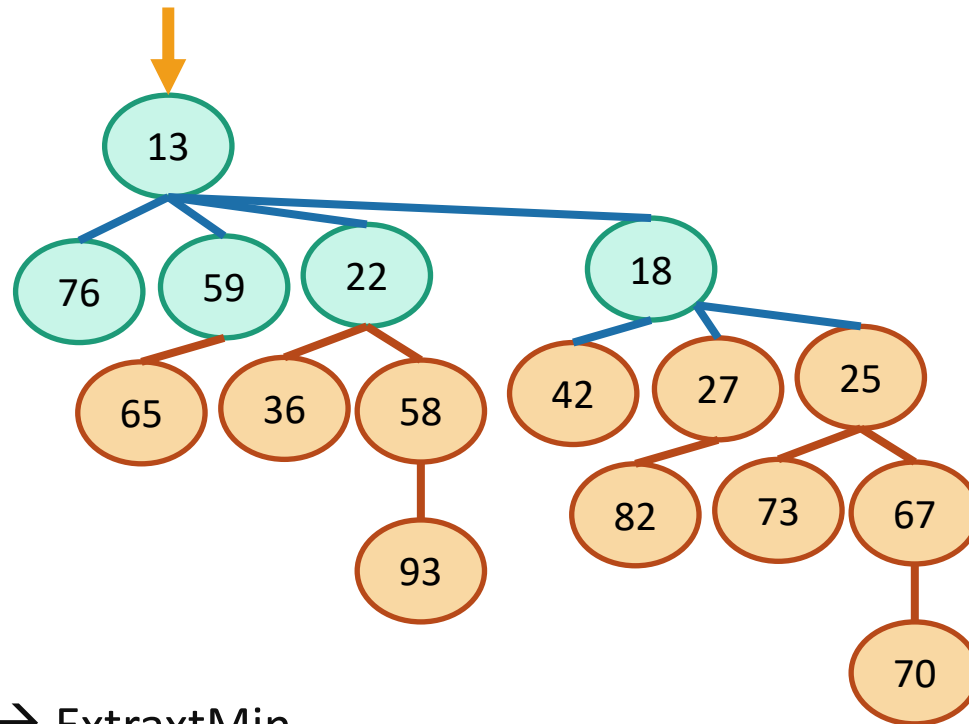
# Fibonacci Heap

Operations: DecreaseKey



# Fibonacci Heap

Operations: DecreaseKey

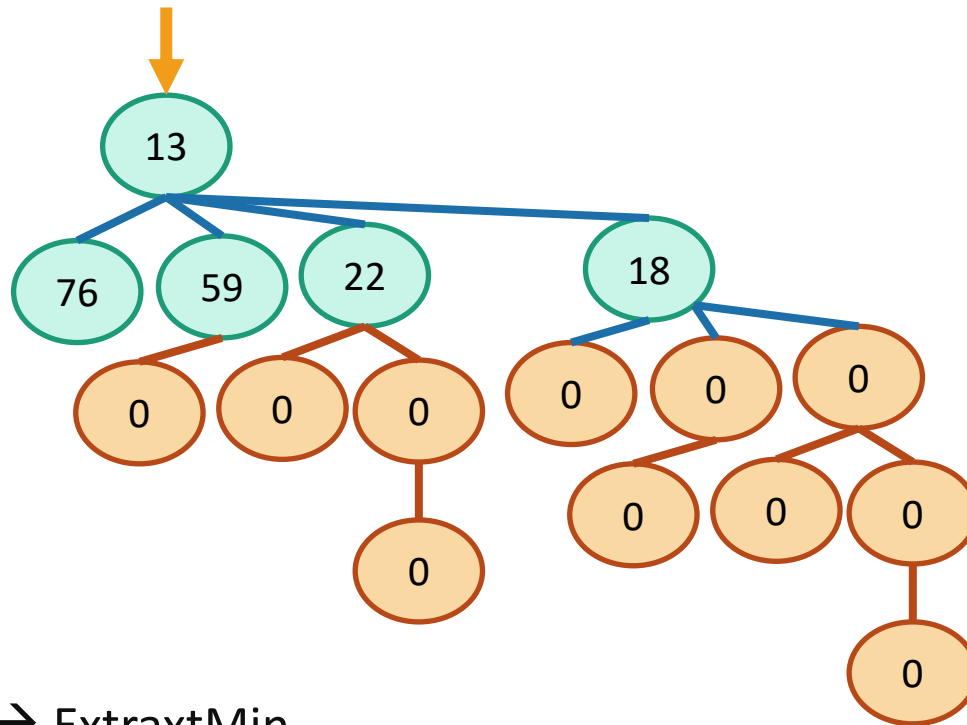


DecreaseKet to 0 → ExtraxtMin



# Fibonacci Heap

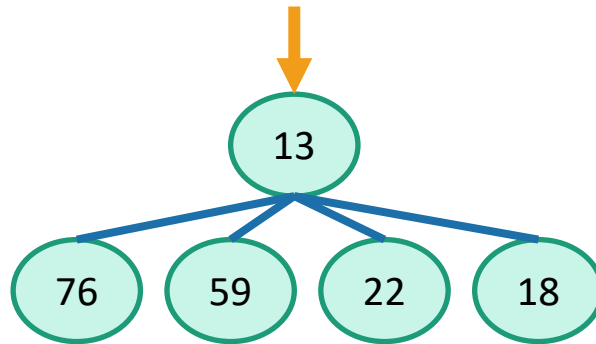
Operations: DecreaseKey



DecreaseKet to 0 → ExtraxtMin

# Fibonacci Heap

Operations: DecreaseKey



Degree  $d$  :

$2^d$  nodes  $\rightarrow$  at least  $d+1$  nodes

Max degree :

$\log_2(n) \rightarrow n-1$

# Fibonacci Heap

- Don't cut out nodes :

DecreaseKey slow

ExtractMin fast

- Cut out nodes :

DecreaseKey fast

ExtractMin slow

# Fibonacci Heap

- Don't cut out nodes :

DecreaseKey slow

ExtractMin fast

- Cut out nodes :

DecreaseKey fast

ExtractMin slow

Cut out at most one child per node :

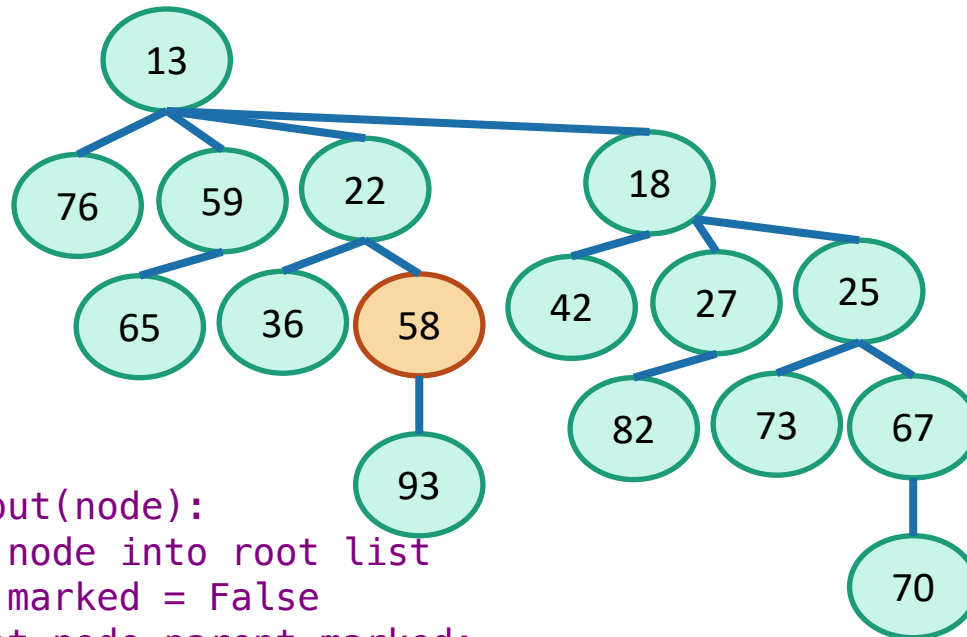
DecreaseKey fast

ExtractMin fast

# Fibonacci Heap

## Operations: DecreaseKey

Cut out at most one child per node

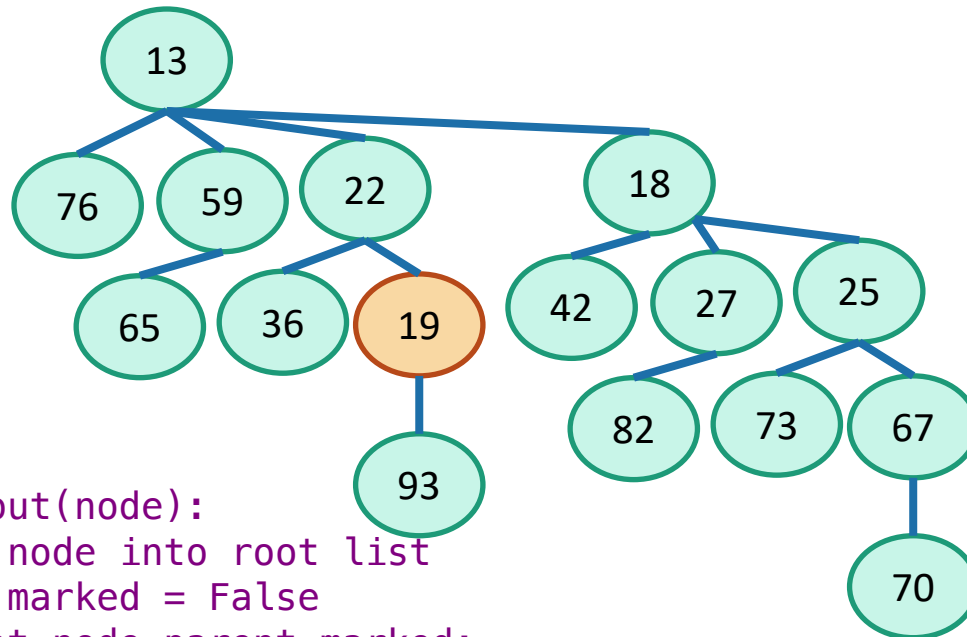


```
def cut_out(node):  
    move node into root list  
    node.marked = False  
    if not node.parent.marked:  
        node.parent.marked = True  
    else :  
        cut_out(node.parent)
```

# Fibonacci Heap

## Operations: DecreaseKey

Cut out at most one child per node

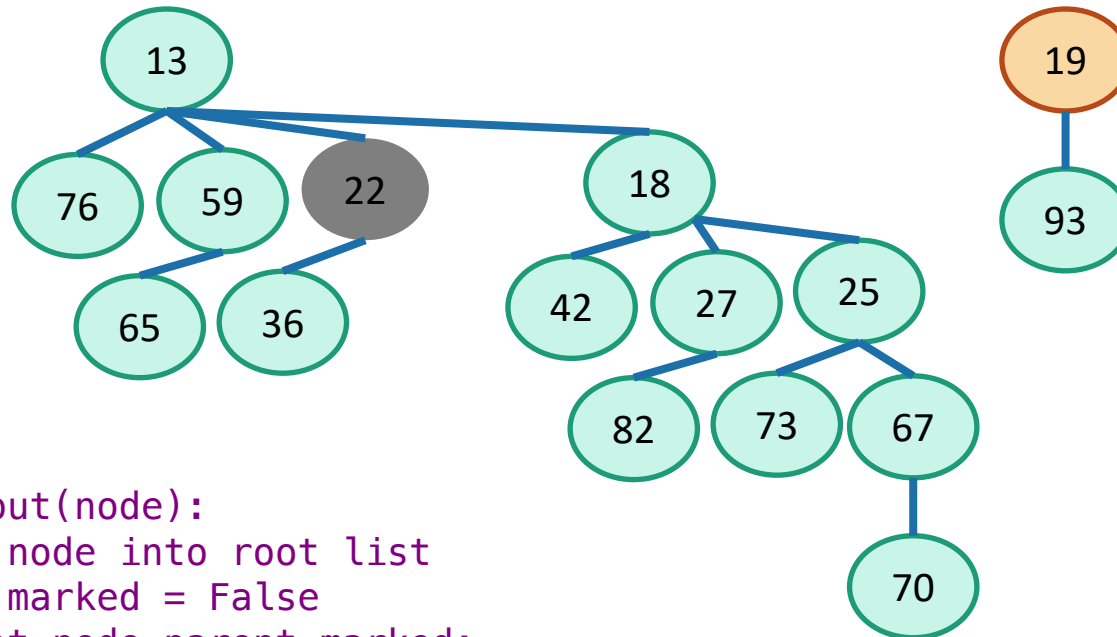


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# Fibonacci Heap

## Operations: DecreaseKey

Cut out at most one child per node

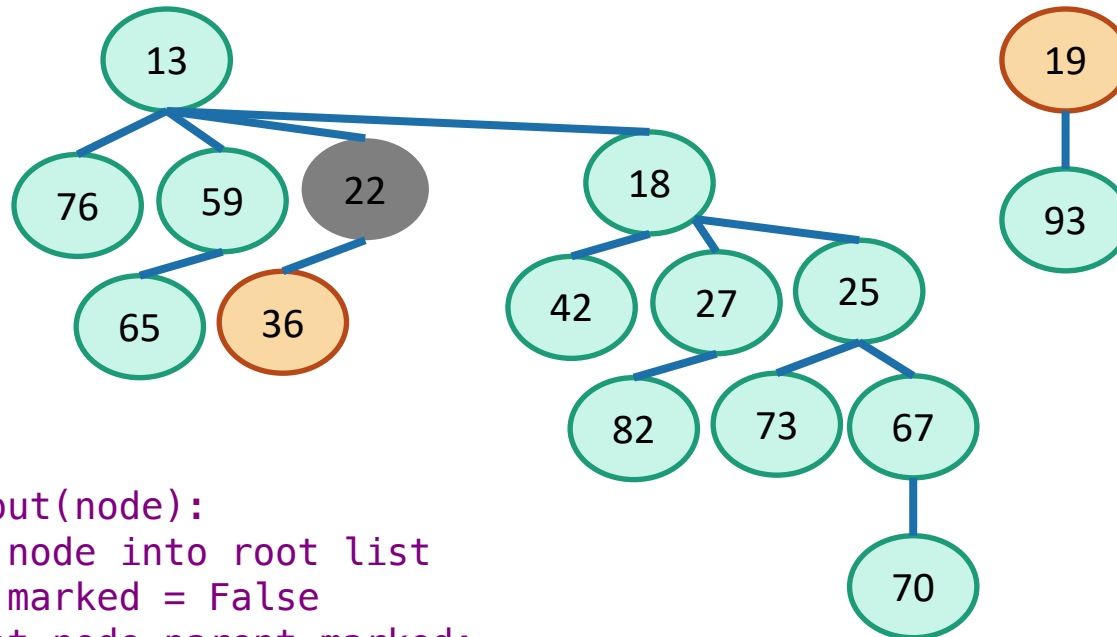


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```

# Fibonacci Heap

## Operations: DecreaseKey

Cut out at most one child per node



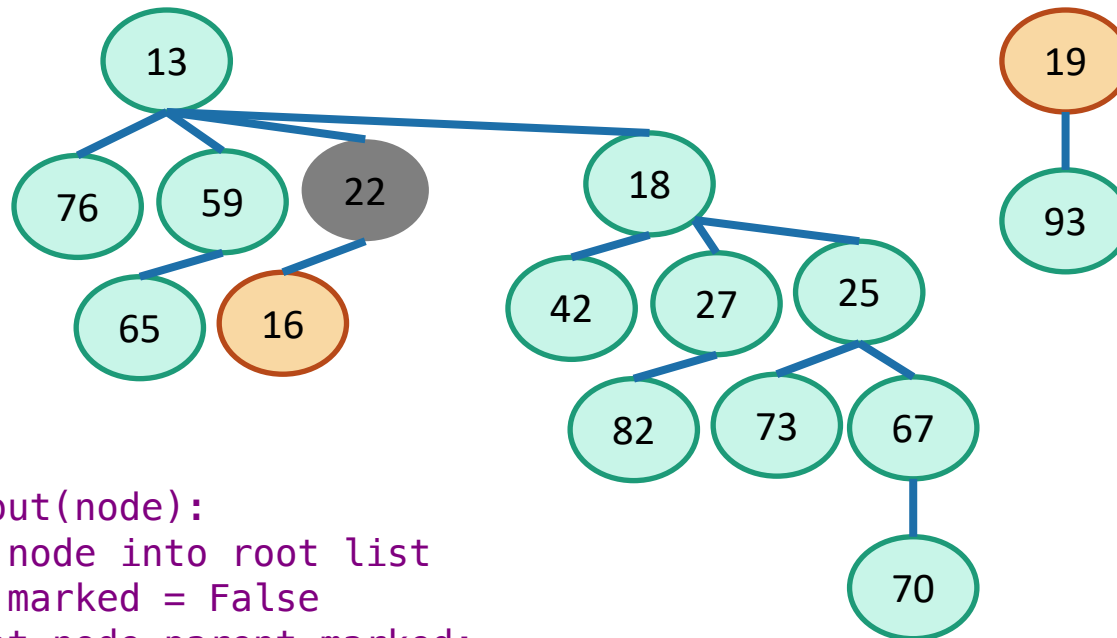
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```



# Fibonacci Heap

## Operations: DecreaseKey

Cut out at most one child per node

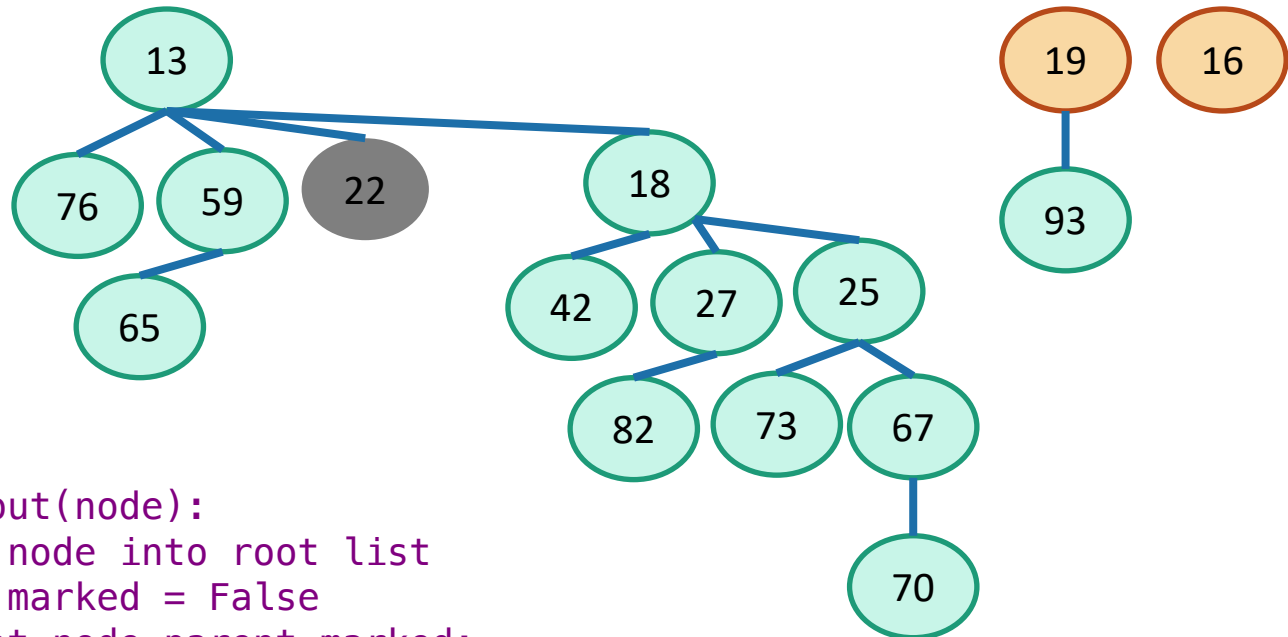


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    node.marked = False  
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        node.parent.marked = True  
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        cut_out(node.parent)
```

# Fibonacci Heap

## Operations: DecreaseKey

Cut out at most one child per node

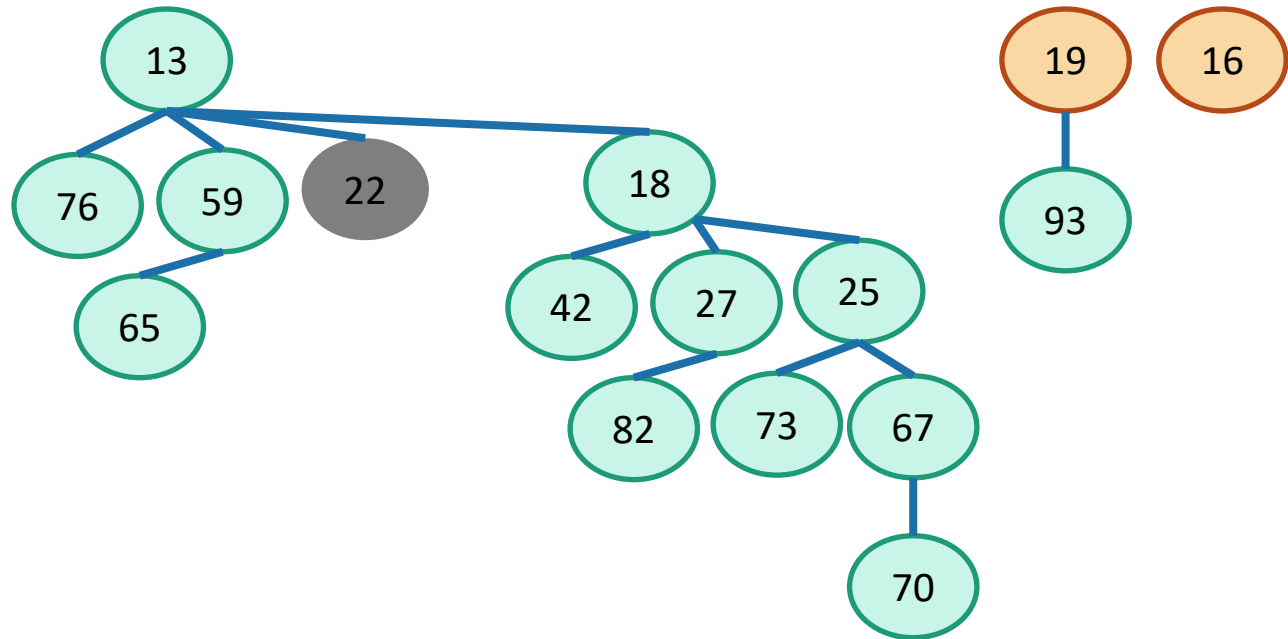


```
def cut_out(node):  
    move node into root list  
    node.marked = False  
    if not node.parent.marked:  
        node.parent.marked = True  
    else :  
        cut_out(node.parent)
```

# Fibonacci Heap

## Operations: DecreaseKey

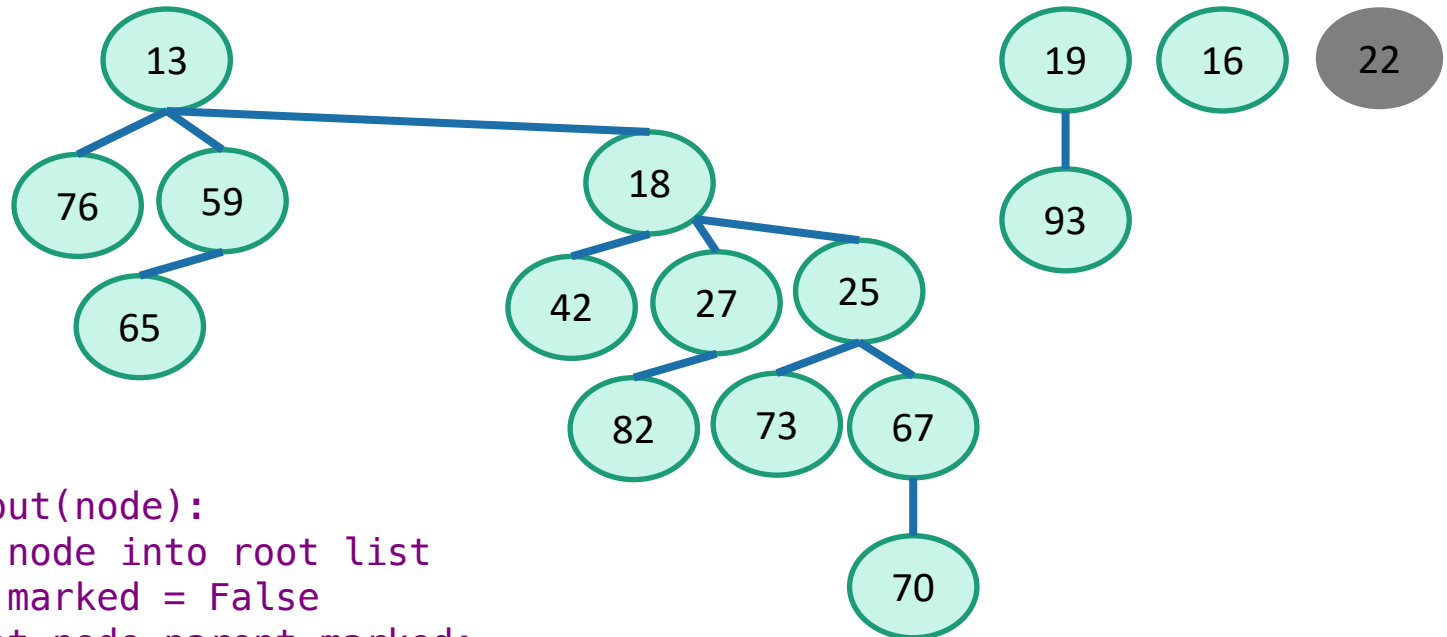
Cut out at most one child per node



# Fibonacci Heap

## Operations: DecreaseKey

Cut out at most one child per node



```
def cut_out(node):  
    move node into root list  
    node.marked = False  
    if not node.parent.marked:  
        node.parent.marked = True  
    else :  
        cut_out(node.parent)
```

# Fibonacci Heap

## Operations: DecreaseKey

Binary Heap			
GetMin	Insert	ExtractMin	DecreaseKey
$O(1)$	$O(\log n)$	$O(\log n)$	$O(\log n)$
Fibonacci Heap			
GetMin	Insert	ExtractMin	DecreaseKey
$O(1)$	$O(1)$	$O(\log n)$	$O(1)$

# Fibonacci Heap

## Operations: DecreaseKey

1. Why does DecreaseKey take  $O(1)$  time?
2. How is ExtractMin still fast?
3. Do node degrees grow logarithmically?

# Fibonacci Heap

Why does DecreaseKey take  $O(1)$  time?

K DecreaseKey  $\rightarrow$  cut out  $\leq 2k$  nodes

Worst case :  $O(n)$ . , Amortized :  $O(1)$

# Fibonacci Heap

How is ExtractMin still fast?

- Insert :

*Add 1 tree*

*Clean up 1 node*

- DecreaseKey :

*Add  $\leq 2$  trees*

*Clean up  $\leq 2$  nodes*



# Fibonacci Heap

Do node degrees grow logarithmically?

- degree  $d$  tree :  $2^d$  nodes

→ *max degree  $O(\log n)$*

# Fibonacci Heap

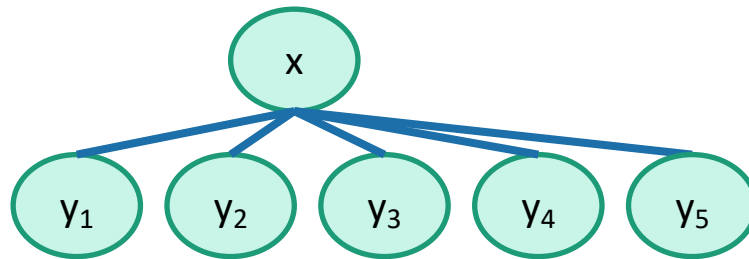
Do trees grow exponentially?

- Smallest degree  $d$  tree :  $2^d$  nodes

→ *max degree  $O(\log n)$*

# Fibonacci Heap

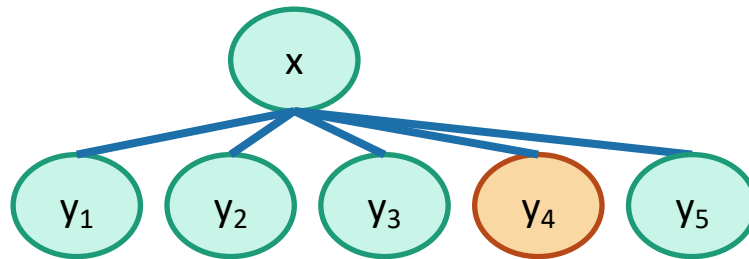
Do trees grow exponentially?



Minimum degree?

# Fibonacci Heap

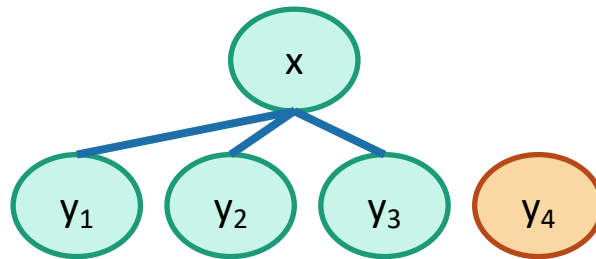
Do trees grow exponentially?



Minimum degree?

# Fibonacci Heap

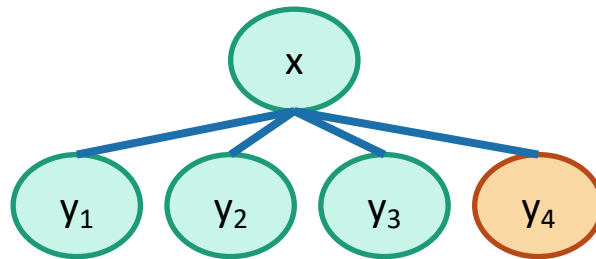
Do trees grow exponentially?



Minimum degree?

# Fibonacci Heap

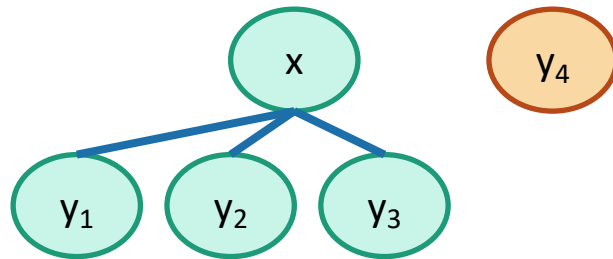
Do trees grow exponentially?



degree  $\geq 3$

# Fibonacci Heap

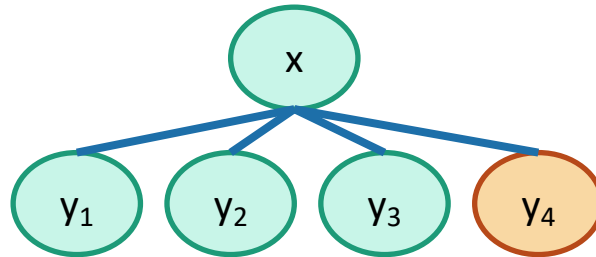
Do trees grow exponentially?



degree  $\geq 2$

# Fibonacci Heap

Do trees grow exponentially?



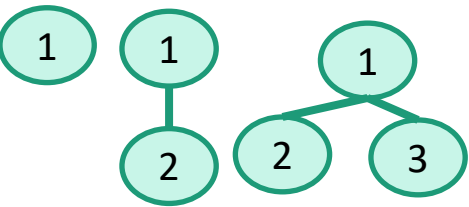
degree  $\geq 2$

degree of  $i$ th child  $\geq i-2$



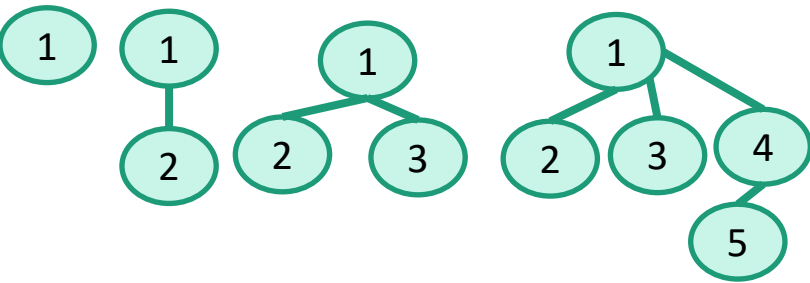
# Fibonacci Heap

Do trees grow exponentially?



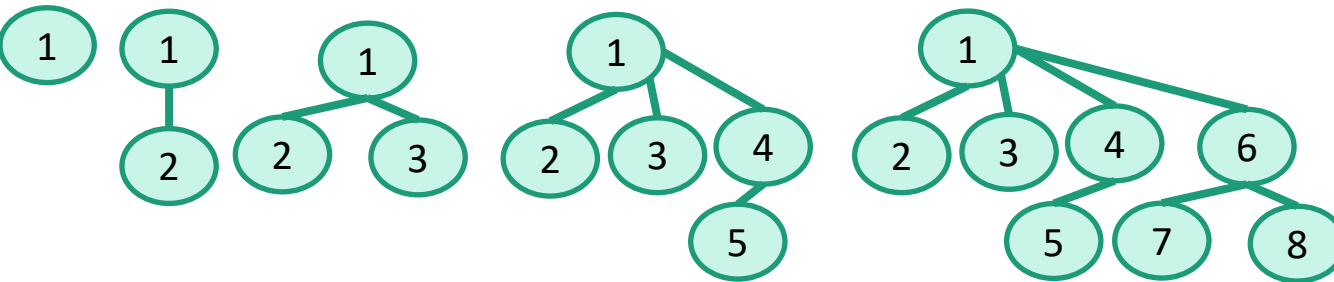
# Fibonacci Heap

Do trees grow exponentially?



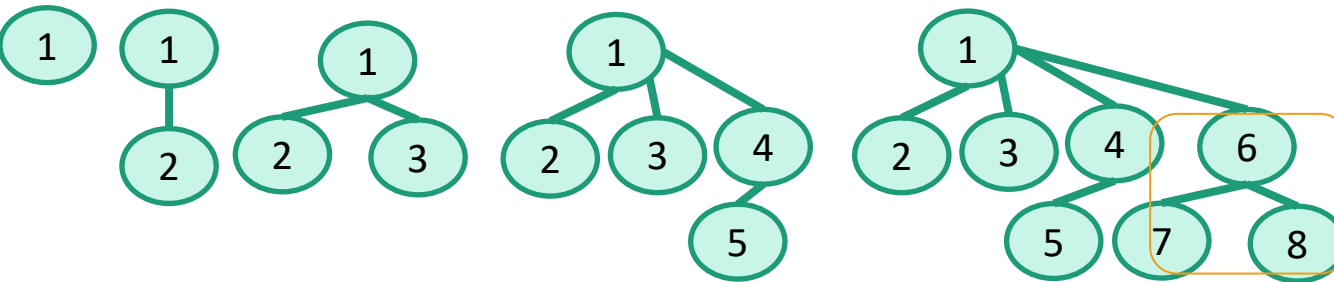
# Fibonacci Heap

Do trees grow exponentially?



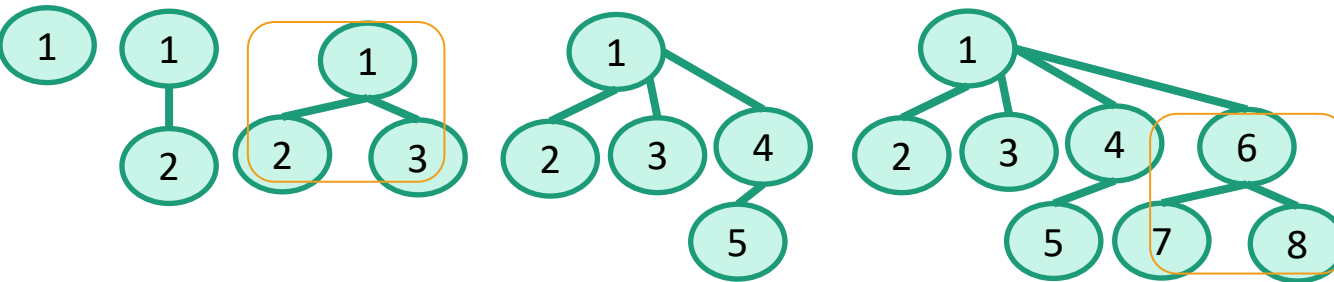
# Fibonacci Heap

Do trees grow exponentially?



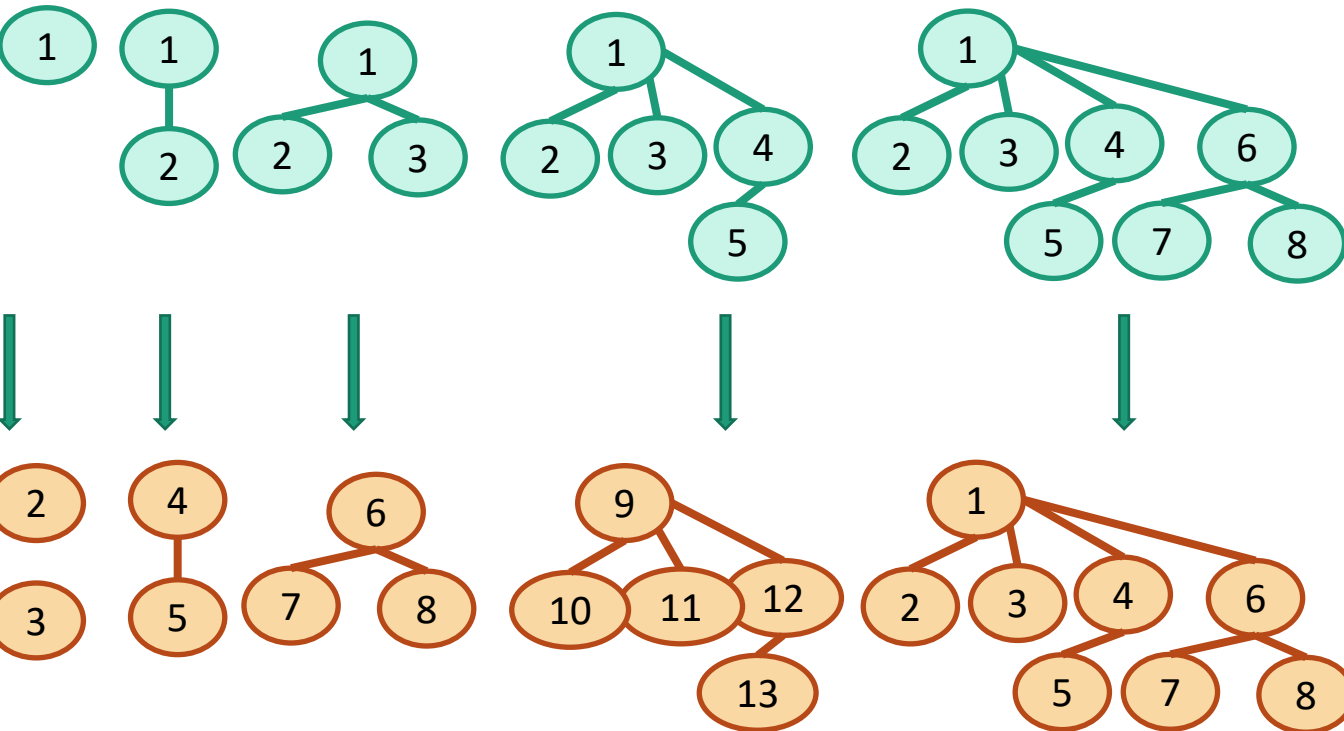
# Fibonacci Heap

Do trees grow exponentially?



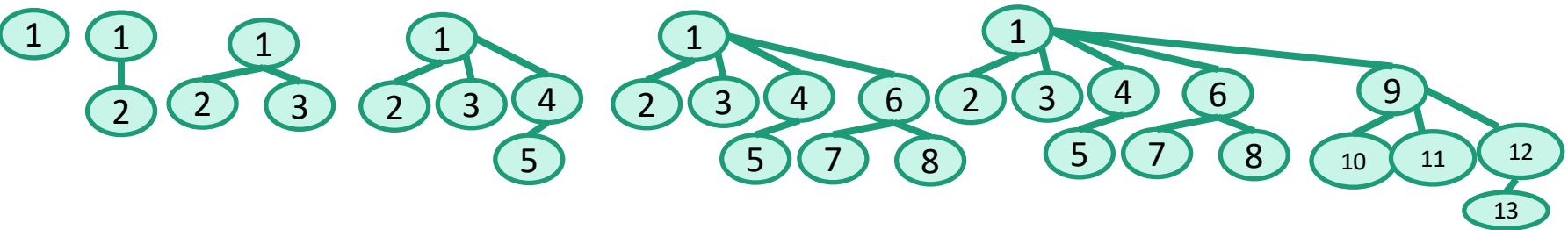
# Fibonacci Heap

Do trees grow exponentially?



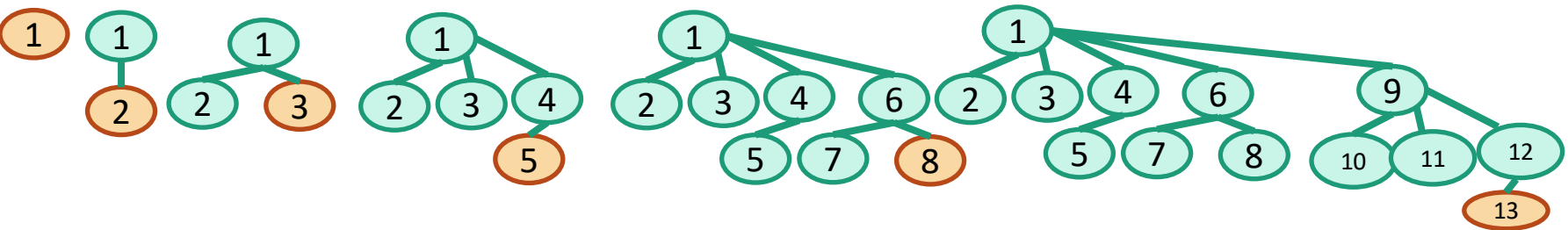
# Fibonacci Heap

Do trees grow exponentially?



# Fibonacci Heap

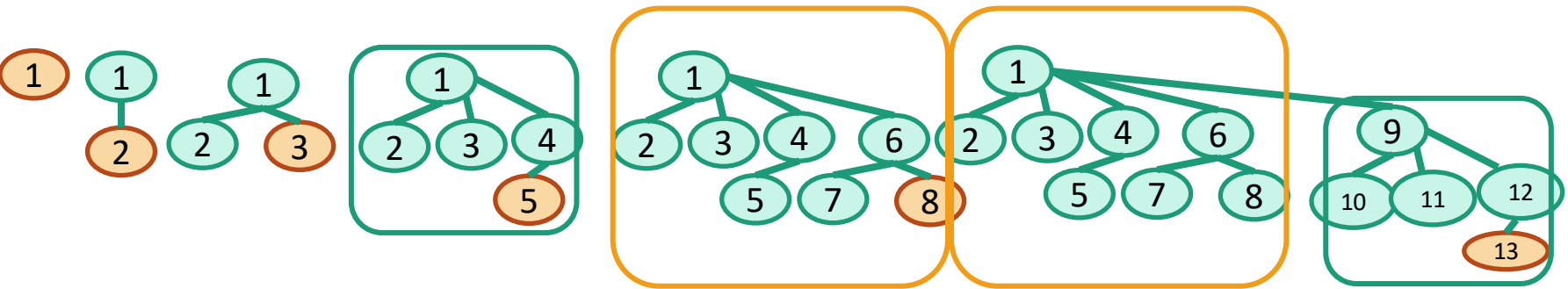
Do trees grow exponentially?





# Fibonacci Heap

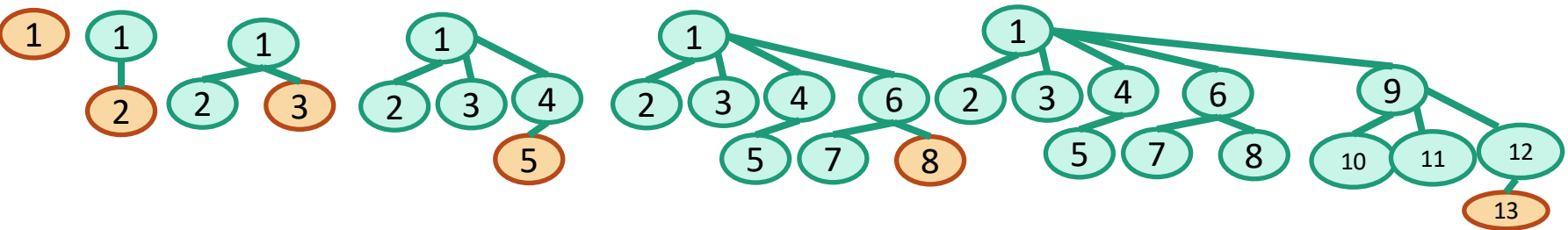
Do trees grow exponentially?



Fibonacci numbers : 0, 1, 1, 2, 3, 5, 8, 13

# Fibonacci Heap

Do trees grow exponentially?



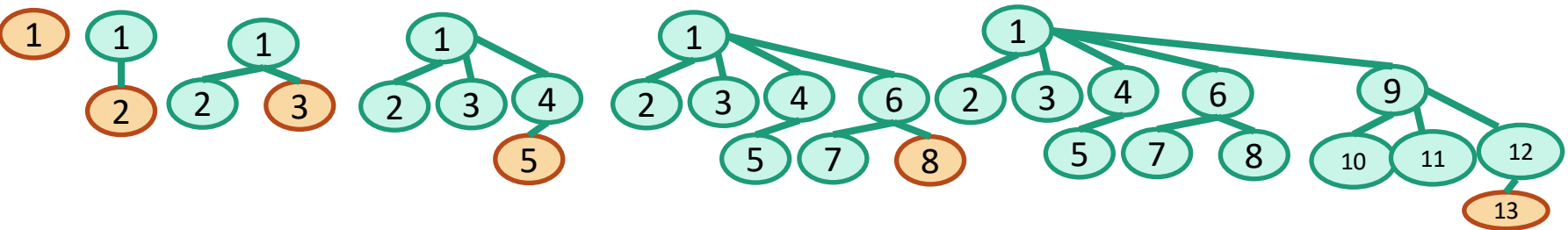
Fibonacci numbers : 0, 1, 1, 2, 3, 5, 8, 13

Ration of two adjacent values in the sequence : golden ratio

$$\frac{233}{144} \approx 1.68 \approx \varphi = \frac{1 + \sqrt{5}}{2}$$

# Fibonacci Heap

Do trees grow exponentially? Yes !



“Size of tree with degree  $d$ ”  $\geq \varphi^d$

# Fibonacci Heap vs Binary Heap

Binary Heap			
GetMin	Insert	ExtractMin	DecreaseKey
$O(1)$	$O(\log n)$	$O(\log n)$	$O(\log n)$
Fibonacci Heap			
GetMin	Insert	ExtractMin	DecreaseKey
$O(1)$	$O(1)$	$O(\log n)$	$O(1)$