

## Henkin's Model and Metatheorem 45.14

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**Henkin's Model.** Let  $T$  be a consistent, negation-complete, and closed first order theory. Henkin's model  $M$  is a denumerable interpretation for  $T$  such that for each WFF  $A$  of  $T$ ,  $A$  is true on  $M$  iff  $\vdash_T A$ . The existence of such a model  $M$  undergirds metatheorem 45.14. Characterizing  $M$  will involve doing five things: (1) specifying  $M$ 's (denumerable) domain  $D$ , (2) saying for each constant symbol  $c$  of  $T$  which object  $d$  in the domain  $M$  assigns to  $c$ , (3) saying for each  $n$ -place function symbol  $f$  which  $n$ -ary function  $\mathbf{f}$  is assigned to  $f$  by  $M$ , (4) saying for each  $n$ -place predicate symbol  $\mathfrak{f}$  which  $n$ -ary property  $\mathbf{F}$  (i.e., which set of ordered  $n$ -tuples of closed terms of  $T$ , since we identify properties with their *extensions*) is assigned by  $M$  to  $\mathfrak{f}$ , and (5) saying for each propositional symbol  $p$  of  $T$ , what truth-value is assigned to  $p$  by  $M$ . Here is Henkin's  $M$ , followed by a proof of 45.14 (arguably the most important metatheorem of the entire course).

1. The domain  $D$  of  $M$  is the set of closed terms of  $T$ . This set contains all the constant symbols  $a', a'', a''', \dots, b', b'', b''', \dots, c', c'', c''', \dots$  of  $T$  (the  $b$ 's and  $c$ 's are effectively enumerable sets of new constant symbols that may be added to  $Q$  for  $Q+$  purposes and/or for the purpose of ensuring  $T$  is closed).  $D$  also contains all the closed terms with function symbols:  $f^{**'}a', f^{***}b'a'', \dots$  of  $T$ .

**Important Digression on Symbols, Abstract Objects, Types, and Tokens.** It is important to note that the symbols of  $T$  are *abstract objects*, and they are *types not tokens*. You should not confuse a token of a symbol with the symbol itself. For instance, when I write a token inscription " $a$ " (the physical inscription between the quotation marks preceding this parenthetical remark), I have not written down the symbol itself. It is not tokens of symbols of  $T$  that get assigned to objects by  $M$ , but rather the symbols themselves. For instance, when I say that the numeral "1" gets interpreted as the number one (which is also an abstract object), I do not mean that the token inscription that appears between the quotation marks on this sheet of paper (two lines up from this line) gets interpreted as the number one. Rather, I mean that the symbol itself (the numeral *type* of which the aforementioned physical inscription on this sheet of paper is a token) gets interpreted as the number one. So, interpretations assign objects (either abstract or concrete) to abstract objects which are types and not tokens. We understand the denotation of a token inscription (e.g.) " $a$ " assigned by  $M$  by (i) recognizing that " $a$ " is a token of a certain type, and then (ii) consulting  $M$  to see which object gets assigned to the type of which " $a$ " is a token.

2. To each constant symbol  $c$  of  $T$ ,  $M$  assigns to  $c$  the constant symbol  $c$  itself. For instance, the constant symbol (type!) of which the inscription " $a$ " is a token gets assigned by  $M$  the symbol (type!)  $a'$  itself.
3. To each  $n$ -place function symbol  $f$  of  $T$ ,  $M$  assigns the  $n$ -ary function  $\mathbf{f}$  with arguments and values in  $D$ , which is defined by the following rule: The value of  $\mathbf{f}(x_1, \dots, x_n)$  for the arguments  $x_1 = t_1, x_2 = t_2, \dots, x_n = t_n$ , where  $t_1, \dots, t_n$  are closed terms of  $T$ , is the closed term  $ft_1, \dots, t_n$  of  $T$  itself. For instance,  $M$  will assign to the 2-place function symbol (type!)  $f^{***}$  of  $T$  the two-place function  $\mathbf{f}(x_1, x_2)$ , which is such that  $\mathbf{f}(t_1, t_2) = f^{***}t_1t_2$ , for all closed terms  $t_1$  and  $t_2$  of  $T$ .
4. To each  $n$ -place predicate symbol  $\mathfrak{f}$  of  $T$ ,  $M$  assigns the (or any)  $n$ -ary property  $\mathbf{F}$  whose extension is the set of ordered  $n$ -tuples  $\langle t_1, \dots, t_n \rangle$  of closed terms of  $T$  such that  $\vdash_T \mathfrak{f}t_1 \dots t_n$ . For instance, to the 2-place predicate symbol  $F^{***}$ ,  $M$  assigns the (or any) property whose extension is the set of ordered pairs  $\langle t_1, t_2 \rangle$  of closed terms of  $T$  such that  $\vdash_T F^{***}t_1t_2$ , i.e.,  $F^{***}t_1t_2$  is a theorem of  $T$ .
5. To each propositional symbol  $p$  of  $T$ ,  $M$  assigns T to  $p$  if  $\vdash_T p$ , and F to  $p$  if  $\not\vdash_T p$ .

**Metatheorem 45.14.** Any consistent, closed, negation-complete first-order theory  $T$  has a denumerable model  $M$ , where  $M$  is defined in accordance with (1)–(5) above.

*Proof.* We will actually prove something *stronger* than 45.14: For each WFF  $A$  of  $T$ ,  $A$  is true on  $M$  iff  $\vdash_T A$ . We only need to worry about the *closed* WFFs (*sentences*) of  $T$ , since  $A$  is true on  $M$  iff  $A^c$  is true on  $M$  (40.7), and  $\vdash_T A$  iff  $\vdash_H A^c$  (45.5). So, we'll show that all sentences  $A$  of  $T$  are such that  $A$  is true on  $M$  iff  $\vdash_T A$ . The proof will be by strong induction on  $n =$  the # of connectives + the # of quantifiers in  $A$ .

**Basis Step.**  $n = 0$ . In this case,  $A$  is either a propositional symbol  $p$  or  $A$  is of the form  $\mathfrak{f}t_1 \dots t_n$ , where  $\mathfrak{f}$  is an  $n$ -place predicate symbol of  $T$ , and  $t_1, \dots, t_n$  are closed terms of  $T$ . In these cases, the desired result (that  $A$  is true on  $M$  iff  $\vdash_T A$ ) follows directly from clauses (4) and (5) of the definition of  $M$ , respectively.

**Inductive Step.**  $n > 0$ . Here, we assume as our strong inductive hypothesis:

(IH) For each sentence  $A$  with fewer than  $n$  connectives + quantifiers,  $A$  is true on  $M$  iff  $\vdash_T A$ .

Using (IH), we'll prove that, for all sentences  $A$  of  $T$  with exactly  $n$  connectives and quantifiers,  $A$  is true on  $M$  iff  $\vdash_T A$ . There are only three cases that we need to consider, for the three kinds of sentences of  $T$ :

**Case 1.**  $A = \sim B$ , for some  $B$  with  $n - 1$  connectives and quantifiers. Goal:  $A$  is true on  $M \Leftrightarrow \vdash_T A$ .

( $\Rightarrow$ ) Suppose that  $A$  is true on  $M$ . Then,  $B$  is false on  $M$ . So, by (IH),  $\nvdash_T B$  [(IH) applies to  $B$ , since it is a *sentence*]. Then, by the negation-completeness of  $T$ ,  $\vdash_T \sim B$ . That is,  $\vdash_T A$ .  $\square$

( $\Leftarrow$ ) Contrapositive: If  $A$  is not true on  $M$ , then  $\nvdash_T A$ . Suppose  $A$  is not true on  $M$ . Then,  $B$  is true on  $M$ . So, by (IH),  $\vdash_T B$ . Then, by the consistency of  $T$ ,  $\nvdash_T \sim B$ . That is,  $\nvdash_T A$ .  $\square$

**Case 2.**  $A = B \supset C$ , for some  $B, C$  with  $< n$  connectives + quantifiers. Goal:  $A$  is true on  $M \Leftrightarrow \vdash_T A$ .

( $\Rightarrow$ ) Contrapositive: If  $\nvdash_T A$ , then  $A$  is not true on  $M$ . Suppose  $\nvdash_T A$ . Then, by the negation-completeness of  $T$ ,  $\vdash_T \sim A$ . That is,  $\vdash_T \sim(B \supset C)$ . But, we have the tautological schema  $\vdash_T \sim(B \supset C) \supset B$ , and  $\vdash_T \sim(B \supset C) \supset \sim C$ . So, two applications of MP yield  $\vdash_T B$  and  $\vdash_T \sim C$ . So, by (IH),  $B$  is true on  $M$ . And, by the consistency of  $T$  and (IH),  $\nvdash_T C$ , and  $C$  is not true on  $M$ . Since  $C$  is a *sentence*, we can conclude that  $C$  is *false* on  $M$ . Therefore, since  $B$  is true on  $M$  and  $C$  is false on  $M$ ,  $(B \supset C)$  is not true on  $M$ . That is,  $A$  is not true on  $M$ .  $\square$

( $\Leftarrow$ ) Contrapositive: If  $A$  is not true on  $M$ , then  $\nvdash_T A$ . Suppose  $A$  is not true on  $M$ . Then,  $B$  is true on  $M$  and  $C$  is false (hence, not true) on  $M$ . So, by (IH),  $\vdash_T B$  and  $\nvdash_T C$ . Then, by the negation-completeness of  $T$ ,  $\vdash_T \sim C$ . Tautological schema:  $\vdash_T B \supset (\sim C \supset \sim(B \supset C))$ . So, two applications of MP yield:  $\vdash_T \sim(B \supset C)$ . That is,  $\vdash_T \sim A$ . By the consistency of  $T$ ,  $\nvdash_T A$ .  $\square$

**Case 3.**  $A = \bigwedge v_j B$ , for some  $B$  with  $n - 1$  connectives and quantifiers. This time, there are two cases: (3.1)  $B$  is closed, and (3.2)  $B$  is open. In both cases, our goal is to show that  $A$  is true on  $M \Leftrightarrow \vdash_T A$ .

(3.1)  $B$  is closed.

( $\Rightarrow$ ) Suppose  $A [\bigwedge v_j B]$  is true on  $M$ . Then, by (40.6),  $B$  is also true on  $M$ . So, by (IH),  $\vdash_T B$  [(IH) applies to  $B$ , since it is a *sentence*]. Hence, by (45.4),  $\vdash_T \bigwedge v_j B$ . That is,  $\vdash_T A$ .  $\square$

( $\Leftarrow$ ) Suppose  $\vdash_T A [\vdash_T \bigwedge v_j B]$ . By K4 ( $B$  is closed),  $\vdash_T \bigwedge v_j B \supset Bt/v_j [\vdash_T \bigwedge v_j B \supset B]$ . Then, by MP,  $\vdash_T B$ . So, by (IH),  $B$  is true on  $M$ . And, by (40.6),  $\bigwedge v_j B$  — i.e.,  $A$  — is true on  $M$ .  $\square$

(3.2)  $B$  is open. Since  $A$  is closed, the only free variable in  $B$  is  $v_j$ .

( $\Rightarrow$ ) Suppose  $A [\bigwedge v_j B]$  is true on  $M$ . By (40.6),  $B$  is true on  $M$ . By (40.20),  $Bt/v_j$  is true on  $M$  for every closed term  $t$  of  $T$ . By (IH),  $\vdash_T Bt/v_j$  for every closed term  $t$  of  $T$  (since every such  $Bt/v_j$  is a *sentence*). Then, by the closedness of  $T$ ,  $\vdash_T \bigwedge v_j B$ . That is,  $\vdash_T A$ .  $\square$

( $\Leftarrow$ ) Suppose  $\vdash_T A [\vdash_T \bigwedge v_j B]$ . By K4,  $\vdash_T \bigwedge v_j B \supset Bt/v_j$ , for every closed term  $t$  of  $T$ . Hence, by MP,  $\vdash_T Bt/v_j$ , for every closed term  $t$  of  $T$ . So, by (IH),  $Bt/v_j$  is true on  $M$ , for every closed term  $t$  of  $T$  (since every such  $Bt/v_j$  is a *sentence*). By (40.21),  $\bigwedge v_j B$  [i.e.,  $A$ ] is true on  $M$ .  $\square$

This completes the inductive step, and with it the proof of metatheorem 45.14. The key lemmas we used here were: 40.6, 40.7, 40.20, 40.21, 45.4, 45.5. The least trivial of these are 40.20 and 40.21. Make sure you understand the proofs of these lemmas, as well as their rôles in this proof of metatheorem 45.14.  $\square$