Announcements & Such

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- Administrative Stuff
 - HW #5 first resubmission is due on Thursday.
 - My handout "Working with LMPL Interpretations" is posted (useful for part of HW #5). I will discuss this (again) today.
 - From now on, my office hours are: 4-6pm Tuesdays.
- Today: Chapter 6 LMPL Semantics
 - Validity and Invalidity in LMPL.
 - *Constructing* LMPL interpretations (to establish ⊭ claims).
 - Next: Natural Deductions in LMPL (i.e., rules for the quantifiers).

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How Do We *Prove* ⊨ Claims in LMPL?

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- In LSL, we had *systematic*, truth-table procedures for proving *both* negative (⊭) *and* affirmative (⊨) semantical claims.
- The method of constructing LMPL interpretations *is* a general way to establish *negative* (⊭) LMPL-semantical claims.
- We will *not* be learning any systematic methods for (*directly*) establishing *affirmative* (⊨) LMPL-semantical claims. There *are* such methods, but they are beyond the scope of this course.^a
- In LMPL, we will rely on *natural deduction proofs* to give us an (*in*direct) method for demonstrating the *validity* of LMPL argument-forms. We'll talk about LMPL natural deductions soon.

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Constructing LMPL Interpretations to Prove ⊭ Claims

- The notion of *semantic consequence* (\models) in LMPL is defined in the usual way. We say that $p_1, \ldots, p_n \models q$ in LMPL *iff* there is no LMPL interpretation on which all of p_1, \ldots, p_n are true, but q is false.
- In HW #5, you are asked to prove that $p_1, \ldots, p_n \neq q$, for various p's and q's. This means you must *construct* (or, *find*) LMPL interpretations on which p_1, \ldots, p_n are all true, but q is false.
- On page 2 of my "Working with LMPL Interpretations" handout, I have included two problems of this kind. There, I explain in detail *how I arrived at* my interpretations. This is a method you should emulate.
- On your HW's and exams, you will **not** need to explain *how you arrived* at your interpretations. But, you will need to *demonstrate* that your interpretations *really are counterexamples* (*i.e.*, that they *really are* interpretations on which p_1, \ldots, p_n are all true, but q is false).

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Construction of LMPL Interpretations: Examples

- Here are six sample problems that require you to *construct* (or, *find*) LMPL interpretations that are *counterexamples* to \vdash claims (the first two of these are solved on p. 2 of my handout on constructing LMPL interpretations):
- (1) $(\forall x)(Fx \to Gx), (\forall x)(Fx \to Hx) \neq (\forall x)(Gx \to Hx)$
- (2) $(\exists x)(Fx \& Gx), (\exists x)(Fx \& Hx), (\forall x)(Gx \rightarrow \sim Hx) \not\models (\forall x)[Fx \leftrightarrow (Gx \lor Hx)]$
- (3) $(\forall x)Fx \leftrightarrow (\forall x)Gx \not = (\exists x)(Fx \leftrightarrow Gx)^a$
- (4) $(\forall x)Fx \leftrightarrow A \not\models (\forall x)(Fx \leftrightarrow A)^{b}$
- (5) $Fa \rightarrow (\exists x)Gx \not = (\exists x)Fx \rightarrow (\exists x)Gx^{c}$
- (6) $(\exists x)(\forall y)(Fx \to Gy) \not\models (\exists y)(\forall x)(Fx \to Gy)^d$

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<sup>a</sup>One solution: \mathcal{D} = \{a, b\}, \operatorname{Ext}(F) = \{a\}, \operatorname{Ext}(G) = \{b\}.
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^aIf an LMPL argument with k predicate letters is *in*valid, then there exists a *counterexample interpretation* \mathcal{I} whose domain \mathcal{D} has no more than 2^k elements. So, *exhaustive search* over *all* interpretations such that $|\mathcal{D}| \leq 2^k$ is a *decision procedure* for LMPL-validity. Note: this means checking $2^{2^{k} \cdot k}$ matrices. This is too many to check, even for small k. If k = 2, then $2^{2^k \cdot k} = 2^8 = 256$. For k = 3, this is 16777216! See pages 212–215 of Hunter's *Metalogic* (our 140A text). We discuss this in 140A.

bOne solution: $\mathcal{D} = \{a, b\}$, 'A' is \bot , $\operatorname{Ext}(F) = \{a\}$.

^cOne solution: $\mathcal{D} = \{a, b\}$, $\operatorname{Ext}(F) = \{b\}$, $\operatorname{Ext}(G) = \emptyset$.

dOne solution: $\mathcal{D} = \{a, b\}$, $\operatorname{Ext}(F) = \{a\}$, $\operatorname{Ext}(G) = \emptyset$.

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Construction of LMPL Interpretations: Example #1

- (1) $(\forall x)(Fx \to Gx)$, $(\forall x)(Fx \to Hx) \neq (\forall x)(Gx \to Hx)$
- To prove (1), we need to construct (find) an interpretation $\mathcal I$ such that:
- (i) ' $(\forall x)(Fx \rightarrow Gx)$ ' is true in 1.
- (ii) ' $(\forall x)(Fx \rightarrow Hx)$ ' is true in 1.
- (iii) ' $(\forall x)(Gx \rightarrow Hx)$ ' is false in \mathcal{I} .
- **Step 1**: We begin *provisionally* with the smallest domain $\mathcal{D} = \{a\}$.
- **Step 2**: We make sure that the object a is a *counterexample* to the conclusion ' $(\forall x)(Gx \to Hx)$ '. That is, we make sure that the *instance* ' $Ga \to Ha$ ' of the conclusion is *false* on \mathcal{I} . So, we must have $a \in \text{Ext}(G)$, but $a \notin \text{Ext}(H)$. We can achieve this by: $\text{Ext}(G) = \{a\}$, and $\text{Ext}(H) = \emptyset$.
- **Step 3**: At the same time, we try to make *both* of the premises $(\forall x)(Fx \rightarrow Gx)'$ and $(\forall x)(Fx \rightarrow Hx)'$ true on \mathcal{I} .

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In this case, we can make both premises true simply by ensuring that a ∉ Ext(F). The simplest way to do this is to stipulate that Ext(F) = Ø
 — which yields the following interpretation that does the trick:

- We have discovered an interpretation $\mathcal{I}_{(1)}$ on which ' $(\forall x)(Fx \to Gx)$ ' and ' $(\forall x)(Fx \to Hx)$ ' are both true, but ' $(\forall x)(Gx \to Hx)$ ' is false (*demonstrate this*!). Therefore, claim (1) is true.
- When you're asked to prove a claim like (1), you must do 2 things:
 - *Report* an interpretation (like I_2) which serves as a counterexample to the validity of the LMPL argument-form, *and*
 - Demonstrate that your interpretation really is a counterexample —
 i.e., show that your interpretation makes all the premises true and
 the conclusion false, using the methods above. You do not need to
 explain the process which led to the discovery of the interpretation.

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Construction of LMPL Interpretations: Example #2

- (2) $(\exists x)(Fx \& Gx), (\exists x)(Fx \& Hx), (\forall x)(Gx \rightarrow \sim Hx) \neq (\forall x)[Fx \leftrightarrow (Gx \lor Hx)]$
- We need an interpretation \mathcal{I} on which ' $(\exists x)(Fx \& Gx)$ ', ' $(\exists x)(Fx \& Hx)$ ', and ' $(\forall x)(Gx \to \sim Hx)$ ' are all \top , but ' $(\forall x)[Fx \leftrightarrow (Gx \lor Hx)]$ ' is \bot .
- **Step 1**: We begin with the smallest possible domain $\mathcal{D} = \{a\}$.
- **Step 2**: We make sure that a is a *counterexample* to the conclusion $(\forall x)[Fx \mapsto (Gx \vee Hx)]$. So, we make its *instance* ' $Fa \mapsto (Ga \vee Ha)$ ' \bot on A. One way to do this is: $A \in Ext(F)$, $A \notin Ext(G)$, and $A \notin Ext(H)$. So far, we have the following: $Ext(F) = \{a\}$, and $Ext(G) = Ext(H) = \emptyset$.
- **Step 3**: Now, we must make *all three* of the premises (*i*) ' $(\exists x)(Fx \& Gx)$ ', (*ii*) ' $(\exists x)(Fx \& Hx)$ ', and (*iii*) ' $(\forall x)(Gx \to \sim Hx)$ ' \top on \mathcal{I} . In order to make (*i*) \top on \mathcal{I} , we must ensure that there is some object in the domain \mathcal{D} which satisfies *both* 'F' and 'G'. But, since a must *not* satisfy both 'F' and 'G', this means we will need to *add another object b* to our domain \mathcal{D} .

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- This new object b must be such that: $b \in \text{Ext}(F)$, and $b \in \text{Ext}(G)$. Now, we have $\text{Ext}(F) = \{a, b\}$, $\text{Ext}(G) = \{b\}$, and $\text{Ext}(H) = \emptyset$.
- All that remains is to ensure that premises (ii) and (iii) are also \top on \mathcal{I} . In order to make (ii) \top on \mathcal{I} , we'll need to make sure that there is some object in \mathcal{D} which satisfies both 'F' and 'H'. We could try to make b satisfy all three 'F', 'G', and 'H'. But, if we were to do this, then premise (iii) would become false on \mathcal{I} , since its instance ' $Gb \rightarrow \sim Hb$ ' would then be false on \mathcal{I} . Thus, we'll need to add a third object c to \mathcal{D} such that: $c \in Ext(F)$, $c \notin Ext(G)$, and $c \in Ext(H)$ and that does the trick:

$$I_{(2)}$$
: $egin{array}{c|cccc} F & G & H \\ \hline a & + & - & - \\ b & + & + & - \\ c & + & - & + \\ \hline \end{array}$

• We have discovered an interpretation $T_{(2)}$ on which ' $(\exists x)(Fx \& Gx)$ ', ' $(\exists x)(Fx \& Hx)$ ', and ' $(\forall x)(Gx \to \sim Hx)$ ' are all \top , but on which ' $(\forall x)[Fx \leftrightarrow (Gx \lor Hx)]$ ' is false (*demonstrate this!*). \therefore claim (2) is true.

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Construction of LMPL Interpretations for *⊭*: Procedure

- 1. Begin with smallest domain possible $\mathcal{D} = \{\alpha\}$.
- 2. Make the conclusion of the \neq claim false (for α).
 - That is, make the *a*-instance of the conclusion false.
- 3. Try to make all premises of the \neq claim true (for α).
 - That is, make the *a*-instance of each of the premises true.
- 4. If you succeed, then you're done. Now report and verify your matrix.
- 5. If you fail, then add a new individual β to $\mathcal{D} = \{\alpha, \beta\}$, and continue.
- 6. Make the conclusion of the \neq claim false.
 - If the conclusion is an ∀ claim, then it's already false.
 - If it's an \exists , then you must make sure its *b*-instance is also false.
- 7. Make the premises of the \neq claim true.
 - If a premise is an \forall claim, then *all* its instances must be true.
 - If it's an \exists claim, only *one* of its instances needs to be true.
- 8. If you succeed, you're done. If not, add another (y) to \mathcal{D} . Repeat ...

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Using Sentential Reasoning to "Verify" LMPL ⊨ Claims

$$(\forall x)(\exists y)(Fx \& Gy) = (\exists y)(\forall x)(Fx \& Gy)$$

• To see why, think about the truth-conditions for each side:

$$(\forall x)(\exists y)(Fx \& Gy) \approx (\exists y)(Fa \& Gy) \& (\exists y)(Fb \& Gy) \& \cdots$$

$$\approx [(Fa \& Ga) \lor (Fa \& Gb) \lor \cdots] \& [(Fb \& Ga) \lor (Fb \& Gb) \lor \cdots] \& \cdots$$

$$\approx [Fa \& (Ga \lor Gb \lor \cdots)] \& [Fb \& (Ga \lor Gb \lor \cdots)] \& \cdots$$

$$\approx (Fa \& Fb \& Fc \& \cdots) \& (Ga \lor Gb \lor Gc \lor \cdots)$$

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(\exists y)(\forall x)(Fx \& Gy) \approx (\forall x)(Fx \& Ga) \lor (\forall x)(Fx \& Gb) \lor \cdots
\approx [(Fa \& Ga) \& (Fb \& Ga) \& \cdots] \lor [(Fa \& Gb) \& (Fb \& Gb) \& \cdots] \lor \cdots
\approx [Ga \& (Fa \& Fb \& \cdots)] \lor [Gb \& (Fa \& Fb \& \cdots)] \lor \cdots
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$$\approx (Ga \vee Gb \vee Gc \vee \cdots) \& (Fa \& Fb \& Fc \& \cdots)$$

• .: These two formulas are *equivalent*, since the two red formulas are

$$(Ga \vee Gb \vee \cdots) \& (Fa \& Fb \& \cdots) \approx (Fa \& Fb \& \cdots) \& (Ga \vee Gb \vee \cdots)$$

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Natural Deduction Proofs in LMPL

- The natural deduction rules for LMPL will *include* the rules for LSL that we already know (viz., Ass., &E, &I, \neg E, \neg I, \sim E, \sim I, DN, \vee E, \vee I, Df.).
- Plus, we will be *adding* 4 new rules. We will need both introduction and elimination rules for each of the two quantifiers (∃I, ∃E, ∀I, ∀E).
- As in LSL, the system will be *sound and complete* (140A!). That is, ⊢ will apply to the same sequents that ⊨ does in our semantics for LMPL.
- We begin with the simplest: the introduction rule for \exists (\exists I). Intuitively, if we have proved $\phi\tau$ for some individual constant τ , then we may infer that ϕ is true of *something* (*e.g.*, that $(\exists x)\phi x$).
- *E.g.*, if we've proved 'Pa & Qa', we may validly infer ' $(\exists x)(Px \& Qx)$ '.
- We may also infer ' $(\exists x)(Pa \& Qx)$ ' and ' $(\exists x)(Px \& Qa)$ ' from 'Pa & Qa'.
- These (and similar) considerations lead us to the ∃I rule ...

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The Rule of ∃-Introduction

Rule of \exists -**Introduction**: For any sentence $\phi\tau$, if $\phi\tau$ has been inferred at line j in a proof, then at line k we may infer $(\exists v)\phi v$, labeling the line 'j \exists I' and writing on its left the numbers that occur on the left of j.

$$a_1,..., a_n$$
 (j) $\phi \tau$
 \vdots
 $a_1,..., a_n$ (k) $(\exists v)\phi v$ j $\exists I$

Where $\lceil (\exists v) \phi v \rceil$ is obtained syntactically from $\phi \tau$ by:

- Replacing *one or more occurrences* of τ in $\phi \tau$ by a *single* variable ν .
- Note: the variable v *must not already occur in* the expression $\phi \tau$. [This prevents *double-binding*, *e.g.*, ' $(\exists x)(\exists x)(Fx \& Gx)$ '.]
- And, finally, prefixing the quantifier $\lceil (\exists v) \rceil$ in front of the resulting expression (which may now have both $\lceil v \rceil$'s and $\lceil \tau \rceil$'s occurring in it).

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The Rule of ∀-Elimination

Rule of \forall -**Elimination**: For any sentence $\lceil(\forall v)\phi v\rceil$ and constant τ , if $\lceil(\forall v)\phi v\rceil$ has been inferred at a line j, then at line k we may infer $\phi\tau$, labeling the line 'j \forall E' and writing on its left the numbers that appear on the left of j.

$$a_1,..., a_n$$
 (j) $(\forall \nu)\phi \nu$

$$\vdots$$

$$a_1,..., a_n$$
 (k) $\phi \tau$ j $\forall E$

Where $\phi \tau$ is obtained syntactically from $(\forall v) \phi v$ by:

- Deleting the quantifier prefix $(\forall v)$.
- Replacing *every occurrence* of v in the open sentence ϕv by *one and the same* constant τ . [This prevents *fallacies*, *e.g.*, $(\forall x)(Fx \& Gx)$ Fa & Gb.]
- Note: since '∀' means *everything*, there are *no* restrictions on *which* individual constant may be used in an application of ∀E.

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An Example Proof Involving Both ∃I and ∀E

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Let's prove that $(\forall x)(Fx \to Gx), Fa \vdash (\exists x)(\sim Gx \to Hx).$

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1	(1)	$(\forall x)(Fx\rightarrow Gx)$	Premise
2	(2)	Fa	Premise
3	(3)	~Ga	Assumption
4	(4)	~Ha	Assumption
1	(5)	Fa→Ga	1 ∀E
1,2	(6)	Ga	5,2 →E
1,2,3	(7)	Λ	3,6 ~E
1,2,3	(8)	~~Ha	4,7 ~I
1,2,3	(9)	Ha	8 DN
1,2	(10)	~Ga→Ha	3,9 →I
1,2	(11)	(∃x)(~Gx→Hx)	10 JI

• This example illustrates a typical pattern in quantificational proofs: quantifiers are removed from the premises using elimination rules, sentential (*viz.*, LSL) rules are applied, and then quantifiers are reintroduced using introduction rules to obtain the conclusion.

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The Rule of ∀-Introduction: Some Background

- It is useful to think of a universal claim $\lceil (\forall v) \phi v \rceil$ as a *conjunction* which asserts that the predicate expression ϕ is satisfied by *all objects* in the domain of discourse (*i.e.*, the conjunction $\lceil \phi a \& (\phi b \& (\phi c \& ...)) \rceil$ is true).
- So, in order to be able to *introduce* the universal quantifier (*i.e.*, to *legitimately infer* $\lceil (\forall v) \phi v \rceil$ in a proof), we must be in a position to prove $\phi \tau$, for *any* individual constant τ . This is called *generalizable reasoning*.
- \bullet Consider the following legitimate introduction of a universal claim:

Problem is: $(\forall x)(Fx \rightarrow Gx)$, $(\forall x)Fx \vdash (\forall x)Gx$

1	(1) $(\forall x)(Fx \rightarrow Gx)$	Premise
2	(2) (∀x)Fx	Premise
1	(3) Fa→Ga	1 ∀E
2	(4) Fa	2 ∀E
1,2	(5) Ga	3,4 →E
1,2	(6) (∀x)Gx	5 V I

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The Rule of \forall -Introduction: II

- We can legitimately infer ' $(\forall x)Gx$ ' at line 6 of this proof, because our inference to 'Gb' is *generalizable i.e.*, we could have deduced ${}^{r}G\tau$, for *any* individual constant τ using *exactly parallel* reasoning.
- However, consider the following *il*legitimate "∀-Introduction" step:

1	(1)	(∀x)(Fx→Gx)	Premise	
2	(2)	Fb	Premise	
1	(3)	Fb→Gb	1 ∀ E	
1,2	(4)	Gb	2,3 →E	
1,2	(5)	(∀x)Gx	4 VI	NO!!

- This is *not* a valid inference, since $(\forall x)(Fx \rightarrow Gx), Fb \not\models (\forall x)Gx!$
- So, what went wrong? The problem is that the inference to 'Gb' at (4) is *not* generalizable. We can *not* deduce " $G\tau$ " for $any \tau$ from the premises ' $(\forall x)(Fx \to Gx)$ ' and 'Fb'. We can *only* infer 'Gb'.

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The Rule of ∀-Introduction: III

Rule of \forall -**Introduction**: For any sentence $\phi\tau$, if $\phi\tau$ has been inferred at a line j, then *provided that* τ *does not occur in any premise or assumption whose line number is on the left at line* j, we may infer $(\forall v)\phi v$ at line k, labeling the line 'j \forall 1' and writing on its left the same numbers as occur on the left at line j.

$$a_1, \dots, a_n$$
 (j) $\phi \tau$
 \vdots
 a_1, \dots, a_n (k) $(\forall v) \phi v$ j $\forall I$

Where $\lceil (\forall v) \phi v \rceil$ is obtained by:

- Replacing *every* occurrence of τ in $\phi \tau$ with ν and prefixing $\lceil (\forall \nu) \rceil$. [Again, 'every' prevents *fallacies*, *e.g.*, $(\forall x)(Fx \to Gx) (\forall x)(\forall y)(Fx \to Gy)$.]
- τ *does not occur in* any of the formulae a_1, \ldots, a_n . [ensures *generalizability*]
- ν *does not occur in* $\phi \tau$. [prevents *double-binding*]

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The Rule of ∀-Introduction: Four Examples

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• Here are four examples of LMPL sequents involving the three quantifier rules we've learned so far (∃I, ∀E, and ∀I).

(1)
$$(\forall x)(Fx \rightarrow Gx) \vdash (\forall x)Fx \rightarrow (\forall x)Gx$$

$$(2) \sim (\exists x)(Fx \& Gx) \vdash (\forall x)(Fx \rightarrow \sim Gx)$$

(3)
$$\sim (\forall x)Fx \vdash (\exists x) \sim Fx$$

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$$(4) \ (\forall x)[Fx \to (\forall y)Gy] \vdash (\forall x)(\forall y)(Fx \to Gy)$$

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Proof of (1)

Problem is: $(\forall x)(Fx \rightarrow Gx) \vdash (\forall x)Fx \rightarrow (\forall x)Gx$

1 (1)	$(\forall x)(Fx\rightarrow Gx)$	Premise
2 (2)	(∀x)Fx	Assumption
1 (3)	Fa→Ga	1 ∀ E
2 (4)	Fa	2 VE
1,2 (5)	Ga	3,4 →E
	(∀x)Gx	5 ∀I
1 (7)	$(\forall x)Fx\rightarrow (\forall x)Gx$	2,6 →I

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Proof of (2) Problem is: $\sim (\exists x)(Fx\&Gx) + (\forall x)(Fx \rightarrow \sim Gx)$ $(1) \sim (\exists x)(Fx\&Gx)$ Premise 2 (2) Fa Assumption 3 (3) Ga Assumption (4) Fa&Ga 2,3 &1 2,3 4 3I (5) (3x)(Fx&Gx)1.2.3 1.5 ~E (6) Λ 1,2 3.6 ~1 ~Ga (8) Fa→~Ga 2,7 → (9) $(\forall x)(Fx \rightarrow \sim Gx)$ 8 AI

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	Proof of (3)	
Problem is:	$x = (\forall x) + (\exists x) = Fx$	
1 2 3 3 2,3 2 2 2 1,2 1	(1) ~(∀x)Fx (2) ~(∃x)~Fx (3) ~Fa (4) (∃x)~Fx (5) Λ (6) ~~Fa (7) Fa (8) (∀x)Fx (9) Λ (10) ~~(∃x)~Fx (11) (∃x)~Fx	Premise Assumption Assumption 3 ∃I 2,4 ~E 3,5 ~I 6 DN 7 ∀I 1,8 ~E 2,9 ~I 10 DN

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Branden Fitelson Philosophy 12A Notes 22 Proof of (4) Problem is: $(\forall x)(Fx \rightarrow (\forall y)Gy) \vdash (\forall x)(\forall y)(Fx \rightarrow Gy)$ (1) $(\forall x)(Fx \rightarrow (\forall y)Gy)$ Premise 1 2 (2) Fa Assumption (3) Fa→(∀y)Gy 1 ∀E 1,2 (4) (∀y)Gy 3,2 →E (5) Gb 1,2 4[′] ∀E (6) Fa→Gb 2,5 → 6 ∀I (7) (∀y)(Fa→Gy) (8) $(\forall x)(\forall y)(Fx \rightarrow Gy)$ 7 ∀I UCB Philosophy Chapter 6 04/20/10