# Video frame prediction using self-attention

Course: Advanced machine learning using neural networks.

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# 1 Background

In many applications where deep learning is employed, the order of the input data matters for its interpretation. Examples of this includes natural language processing, where the order of the words is important in order to decipher the meaning of a sentence, and in time series analysis the order of the data is important to predict the future behaviour. In order to analyse such data, the deep learning models need a notion of time or sequence position. Traditionally, this has been solved by the use of recurrent neural networks (RNN) in which the data is fed sequentially through the network and the output from the network for a given sequence position depends on the network output at previous positions.

However, due to the sequential nature of the network analysis such approaches are typically slow, and long range dependencies in the data are difficult to capture. In order to overcome these limitations, transformer networks based on the concept of self-attention have emerged as an alternative to RNN in almost all areas of sequence analysis. In a transformer network, the entire data is fed to the network at once, allowing for parallelization and considerable speedup of both training and inference. At each step, an attention gate encodes how much weight should be placed at a certain input in the analysis of the sequence. However, since all steps in the sequence are analyzed in parallel, the notion of time has to be encoded into the data itself, through time embedding.

In this homework, you will build a auto-encoder with self-attention for predicting the next frame in a video sequence. The videos that you will analyze are simulated sequences of non-interacting spherical particles bouncing in a box.

## 2 Structure of the network

The structure of the network that you will implement is inspired by [1], where the concept of a transformer network was first introduced. Here, that network is adapted to the problem of video frame prediction.

First, each frame of the video sequence is downsampled through a series of convolutional steps to a one-dimensional representation of the original image of length n, such that the dimension of the output from the encoder when applied to a sequence of length t is (n,t). Next, these representations of the original frames are analyzed in a transformer encoder, including a time embedding layer and multiple attention gates. The output of this encoder is another one-dimensional array of length n. Finally, a convolutional decoder converts the output of the encoder to a new image of same shape as the input video frames.

#### 2.1 Encoder

The first part of the network is the encoder, which transforms each input image into a one-dimensional representation of the image. The architecture of the encoder that will be explored in this homework is a *convolutional encoder*, consisting of a convolutional neural network with a dense top, and the encoder part of a *vision transformer* [2]. The sequence of input images are transformed by the encoder into a sequence of one-dimensional arrays, which is passed on to the later part of the network.

### 2.2 Time embedding

To encode the notion of time into the sequence of one-dimensional representations produced by the encoder, we will make use of the idea introduced in [3], called time2vec. The main idea is that a meaningful representation of time must be able to represent both a linear progression as well as periodically returning patterns. To accommodate this, it was suggested to represent time as a d-dimensional vector,

$$\vec{t}_i(\tau) = \mathcal{F}_i(\omega_i \tau + \varphi_i),\tag{1}$$

where  $\tau$  is the input time series, the function  $\mathcal{F}_i(x) = \sin(x)$  for 0 < i < d-1 and  $\mathcal{F}_i(x) = x$  for i = 0. The frequencies  $\omega_i$  and phases  $\varphi_i$  are learned parameters. In the current context, the time series  $\tau$  is represented by the output of the convolutional decoder. Below is the code for implementing time2vec with a single time-periodic component using tensorflow.

```
class Time2Vector(Layer):
  def __init__(self, seq_len, **kwargs):
    super(Time2Vector, self). init ()
    self.seq len = seq len
  def build(self, input shape):
    self.weights linear = self.add weight(name='weight linear',
                                shape=(int(self.seq len),),
                                initializer='uniform',
                                trainable=True)
    self.bias_linear = self.add_weight(name='bias_linear',
                                shape=(int(self.seq_len),),
                                initializer='uniform',
                                trainable=True)
    self.weights periodic = self.add weight(name='weight periodic',
                                shape=(int(self.seq len),),
                                initializer='uniform',
                                trainable=True)
    self.bias periodic = self.add weight(name='bias periodic',
                                shape=(int(self.seq len),),
```

```
initializer='uniform',
trainable=True)
```

```
def call(self, x):
    x = tf.math.reduce_mean(x[:,:,:], axis=-1)
    time_linear = self.weights_linear * x + self.bias_linear
    time_linear = tf.expand_dims(time_linear, axis=-1)

time_periodic = tf.math.sin(tf.multiply(x, self.weights_periodic) + self.bias_periodic time_periodic = tf.expand_dims(time_periodic, axis=-1)
    return tf.concat([time_linear, time_periodic], axis=-1)
```

#### 2.3 Attention gates

The output from the time embedding layer is appended to the outputs from the encoder to give a representation of the initial video sequence having dimension (n+d,t). The next step is to apply attention gates to this representation. The purpose of the attention gates is to let the network learn how much emphasis should be placed on the data in a certain video frame when predicting the next frame. One way to achieve this is via the scaled dot-product attention, in which the (n+d,t)-dimensional representation of the video is transformed to three new representations q, k and v, of dimensions  $(d_k,t)$  (for q, k) and  $(d_v,t)$  (for v), through three densely connected layers. These three representations are known as query, key and value, respectively, and form the inputs to the attention gate. The output of the attention gate is calculated as

$$Attention(q, k, v) = \operatorname{softmax}(q \cdot k^{\mathrm{T}} / \sqrt{d_k})v, \tag{2}$$

to output the attention weights of a given input sequence. Often, multiple such attention gates work in parallel in order for the network to attend to multiple parts of the sequence simultaneously. This is called *multi-headed attention*. The outputs from the parallel attention gates are concatenated and passed through a densely connected layer to combine the information of all attention gates in a multi-headed attention layer.

Below is an example implementation of a single attention gate:

```
class SingleAttention(Layer):
    def __init__(self, d_k, d_v):
        super(SingleAttention, self).__init__()
        self.d_k = d_k
        self.d_v = d_v

def build(self, input_shape):
        self.query = Dense(self.d_k, input_shape=input_shape,
        kernel_initializer='glorot_uniform', bias_initializer='glorot_uniform')
        self.key = Dense(self.d_k, input_shape=input_shape,
        kernel_initializer='glorot_uniform', bias_initializer='glorot_uniform')
        self.value = Dense(self.d_v, input_shape=input_shape,
        kernel_initializer='glorot_uniform', bias_initializer='glorot_uniform')
```

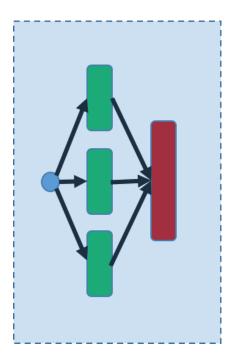


Figure 1: Attention gate. The input is passed through three parallel dense layers (green), and the output from these layers are passed through a scaled dot product attention layer (red).

```
def call(self, inputs): # inputs = (in_seq, in_seq, in_seq)
  q = self.query(inputs[0])
  k = self.key(inputs[1])

attn_weights = tf.matmul(q, k, transpose_b=True)
  attn_weights = tf.map_fn(lambda x: x/np.sqrt(self.d_k), attn_weights)
  attn_weights = tf.nn.softmax(attn_weights, axis=-1)

v = self.value(inputs[2])
  attn_out = tf.matmul(attn_weights, v)
  return attn_out
```

### 2.4 Transformer encoder

From the multi-headed attention gate, the transformer encoder is constructed as shown in the diagram below. Multiple such transformer encoders can be stacked to increase the depth of the network. The task of this part of the network is to predict the next one-dimensional representation of a video frame, given a sequence of such one-dimensional representations.

#### 2.5 Decoder

The final part of the network is a decoder network, which takes a one-dimensional representation of an image as input, and produces the original image as an output. Think

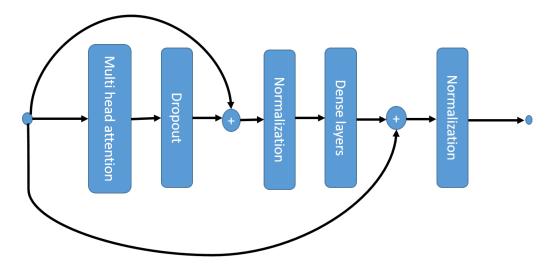


Figure 2: The transformer encoder

of the decoder as an inverse CNN network, which takes a low-dimensional representation of the image as input, passes it through a series of convolutional layers and upsampling step to produce the original image. In the final network, this decoder is appended to the output to the transformer encoder to predict the next image in the video sequence.

## 3 Task

Your task is to implement a transformer network as sketched above for the analysis of video sequences.

- 1: The first step is to pretrain a convolutional encoder and decoder as an autoencoder. In other words, during this step of the training, frames are passed through the encoder individually, and the output from the encoder is passed directly to the decoder. The job of the decoder is to reconstruct the original video frame from the downsampled representation provided by the convolutional encoder. How does the performance of the autoencoder depend on the number of features extracted at the bottleneck? **3p**
- 2: Now, implement instead the vision transformer as the image encoder network. In this case, the latent representation of the image is given by the so called "class token output" of the vision transformer. Using the same structure of the decoder as in part 1, train a vision transformer/convolutional decoder pair in the same way as above. Plot and interpret the output from the attention gates within the vision transformer.2p
- 3: After pretraining the two decoder/encoder pairs in parts 1 and 2 above, train transformer encoders to predict the downsampled representations of the next video frame. Train separate transformer encoder for the two encoder/decoder pairs. Remember to keep the pretrained weights of the encoders/decoders fixed at this stage! Experiment with different number of transformer layers of different sizes. Do these parameters influence the performance of the network? How far ahead can the transformer predict the sequence of frames? 3p

• 3: Benchmark the performance of the transformer network based on the vision transformer to the network based on a convolutional encoder. How do they compare when having multiple particles in the videos? **2p** 

### 4 Examination

For the examination you should present your own code and your result to the tasks above. You should be able to describe the functionality of transformers and attention gates. Make sure to be well prepared as time is short.

# References

- [1] Vaswani, A. et al. Attention is all you need. Advances in Neural Information Processing Systems 2017-Decem, 5999-6009 (2017). URL https://arxiv.org/abs/1706.03762v5. 1706.03762.
- [2] Is, M., For, R. & At, E. An image is worth 16x16 words. The International Conference on Learning Representations (2021).
- [3] Kazemi, S. M. et al. Time2Vec: Learning a Vector Representation of Time. arXiv (2019). URL http://arxiv.org/abs/1907.05321. 1907.05321.