Experiments on automation of formal verification of devices at the binary level

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Soutenance de PFE (Option R&D)

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Section 1

Motivation

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- Motivation
 - Security critical systems
 - Formal verification with HOL4
 - Network Interface Controllers (NIC)
- Contract-based verification
 - Pipeline
 - How trustful is it?
 - How powerful is it?
- Proof-producing verification
- 4 Conclusion

Security critical systems

Privacy

- Smartphones
- Smart TVs

Integrity

- Hospital equipment
- Traffic control systems
- Power plants

Security critical systems

Privacy

- Smartphones
- Smart TVs

Integrity

- Hospital equipment
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- Power plants

Problem: complex systems almost always contain bugs

Security critical systems - vulnerable

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Security critical systems - vulnerable



Figure: "It's Insanely Easy to Hack Hospital Equipment" [1]

Security critical systems - vulnerable



Figure: "It's Insanely Easy to Hack Hospital Equipment" [1]



Figure: "Remote Exploitation of an Unaltered Passenger Vehicle" [2, 3]





MINIX 3







Formal proof [4]:

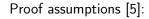
- The binary code correctly implements its abstract specification.
- The specification guarantees integrity and confidentiality.



Formal proof [4]:

- The binary code correctly implements its abstract specification.
- The specification guarantees **integrity** and **confidentiality**.
- Integrity: data cannot be changed without permission.
- Confidentiality: data cannot be read without permission.

Secure operating systems





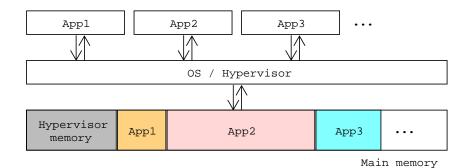
Secure operating systems



Proof assumptions [5]:

 Use of Direct Memory Access (DMA) is excluded, or only allowed for trusted drivers that have to be formally verified by the user.

What is DMA?



What is DMA?

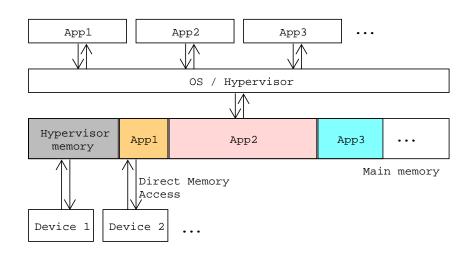


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Objective: show absence of errors (in modelisation) of real systems

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Formal proof

machine checkable proofs using rigorous semantic

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Classic bug-prone software → need tests, less trustworthy

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Examples: HOL4, Coq, Isabelle

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Examples: HOL4, Coq, Isabelle

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SMT solvers, model checkers

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Network Interface Controller (NIC)

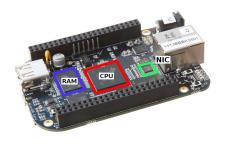
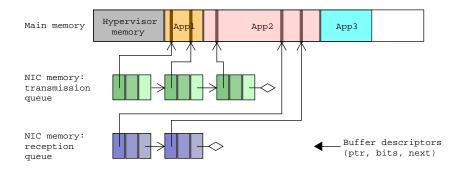
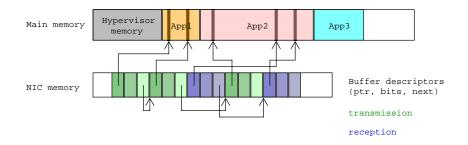


Figure: BeagleBone Black.

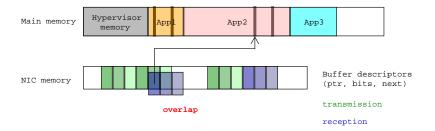
NIC: How it works



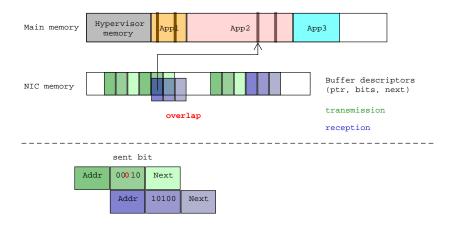
NIC: How it works



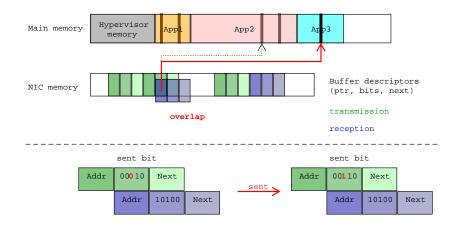
NIC: How it can fail



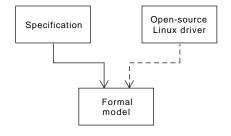
NIC: How it can fail



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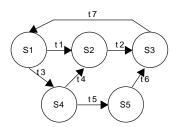
NIC: How it has been modeled [6]



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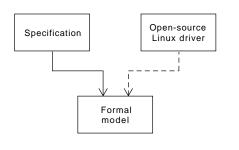
Specification Open-source Linux driver Formal model

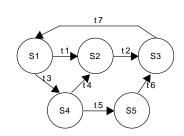
Transition system:



NIC: How it has been modeled [6]

Transition system:

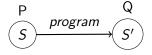




Unspecified behavior \rightarrow "dead" state

Hoare Triple

Hoare Triple



Hoare Triple

$$\forall S. P(S)$$

{*P*}



Hoare Triple

$$\forall S. P(S) \land S' = program(S)$$

$$\overbrace{S} \xrightarrow{program}$$

{*P*} program



Hoare Triple

$$\forall S. \ P(S) \land S' = program(S) \implies Q(S')$$



 $\{P\}$ program $\{Q\}$



Weakest precondition

Weakest precondition WP such that:

 $\{WP\}$ program $\{Q\}$

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$$\{WP\}$$
 program $\{Q\}$

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$$\Big(\forall S. \ P(S) \implies WP(S) \Big) \implies \{P\} \ \textit{program} \ \{Q\}$$

$$WP = f(program, Q)$$

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Low-level lemmas:

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 $\bullet \ \{\neg \textit{dead} \land \textit{well}_\textit{configured}\} \ \textit{transition} \ \{\neg \textit{dead}\}$

Low-level lemmas:

- {¬dead ∧ well_configured} transition {¬dead}
- $\{\neg overlapping \land \neg cyclic\}\ transition\ \{\neg overlapping\}$

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Intermediate lemmas:

- Invariant: rx _invariant _well _defined
- Invariant: tx_invariant_well_defined

Low-level lemmas:

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Intermediate lemmas:

- Invariant: rx _invariant _well _defined
- Invariant: tx_invariant_well_defined

Security theorems:

- $\forall tx_bd$. readable(tx_bd) BD = Buffer Descriptor
- $\forall rx_bd$. writable(rx_bd)

Low-level lemmas:

- {¬dead ∧ well_configured} transition {¬dead}
- $\{\neg overlapping \land \neg cyclic\}\ transition\ \{\neg overlapping\}$
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Research question

Can we apply traditional software verification techniques and tools to show security properties of hardware devices?

HolBA: HOL4 Binary Analysis platform

- Verification platform at binary level
- Centered around its Intermediate Language, BIR
- Features proof-producing tools
 - Weakest precondition generation

Section 2

Contract-based verification

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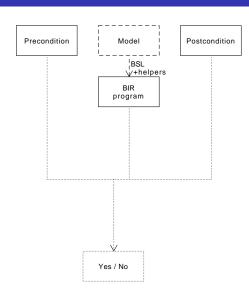
0. Translate the model in BIR



 $transition_{BIR} \\$

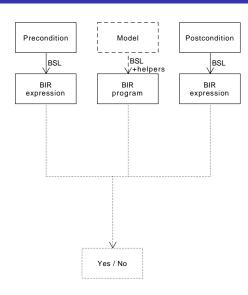
- 0. Translate the model in BIR
- 1. Formulate a Hoare Triple

 $\{P\}$ transition_{BIR} $\{Q\}$



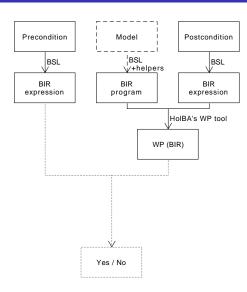
- 0. Translate the model in BIR
- 1. Formulate a Hoare Triple
- 2. Translate P and Q to BIR

 $\{P_{BIR}\}\ transition_{BIR}\ \{Q_{BIR}\}$



- 0. Translate the model in BIR
- 1. Formulate a Hoare Triple
- 2. Translate P and Q to BIR
- 3. Generate the WP

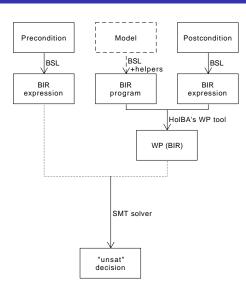
$$P_{BIR}(S) \implies WP_{BIR}(S)$$



- 0. Translate the model in BIR
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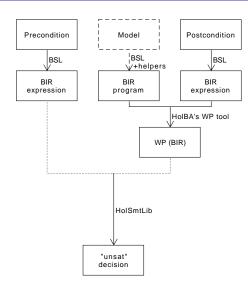
Satisfiability Modulo Theories

- external tools
- SMT-LIB 2.0



- 0. Translate the model in BIR
- 1. Formulate a Hoare Triple
- 2. Translate P and Q to BIR
- 3. Generate the WP

$$\neg \Big(P_{BIR}(S) \implies WP_{BIR}(S) \Big)$$
"unsat"?



- 0. Translate the model in BIR
- 1. Formulate a Hoare Triple
- 2. Translate P and Q to BIR
- 3. Generate the WP
- 4. Translate the goal into a SMT-compatible expression

$$\neg \Big(P(S) \implies WP(S) \Big)_{SMT}$$
"unsat"?

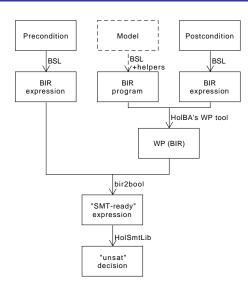
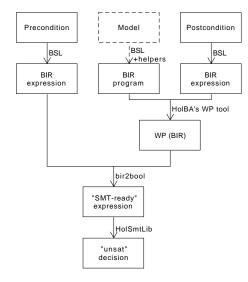
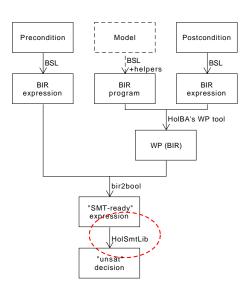


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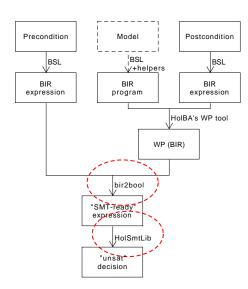
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SMT solvers don't produce proofs



- SMT solvers don't produce proofs
- bir2bool isn't proof-producing



- SMT solvers don't produce proofs
- bir2bool isn't proof-producing
- The BIR model may be wrong

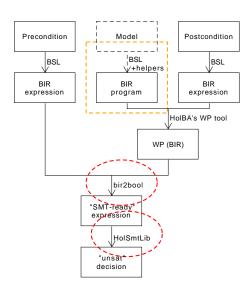


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Not proof-producing

Easier non-proof producing platforms exist

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- $\bullet \ \mathsf{SMT} \ \mathsf{logic} \colon \mathbf{QF} _\mathsf{AUFBV} \to \mathbf{Q} \mathsf{uantifier}\text{-}\mathbf{Free}$

How powerful is it?

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Cannot compose theorems

How powerful is it?

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Cannot compose theorems

Work in progress in HolBA

Section 3

Proof-producing verification



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ightarrow Some theorems cannot be proved with previous pipeline

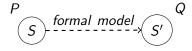


- ightarrow Some theorems cannot be proved with previous pipeline
- ightarrow We would like to prove them anyway

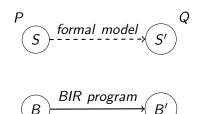


- ightarrow Some theorems cannot be proved with previous pipeline
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- ightarrow We want to use them with the current proof

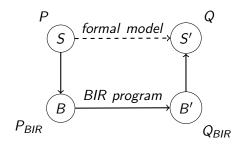
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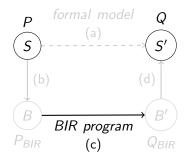
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$$\{P\}\ BIR_prog\ \{Q\}$$
 \equiv
 $\forall S\ S'.\ exec\ S\ BIR_prog\ S'$
 $\Longrightarrow\ P\ S\ \Longrightarrow\ Q\ S'$



$$\{P\}\ BIR_prog\ \{Q\}$$
 \equiv
 $\forall S\ S'.\ exec\ S\ BIR_prog\ S'$
 $\Longrightarrow\ P\ S\ \Longrightarrow\ Q\ S'$
 $\forall S\ S'.\ exec\ S\ BIR_prog\ S'\ \stackrel{def}{=}$

 $\forall B \ B'.(B' = BIR \ exec \ BIR \ prog \ B$

 $\land R S B) \Longrightarrow R S' B'$

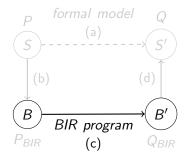
(a) (b) (d) (d) (B) BIR program PBIR (c) QBIR

formal model



$$\{P\}\ BIR_prog\ \{Q\}$$
 \equiv
 $\forall S\ S'.\ exec\ S\ BIR_prog\ S'$
 $\Longrightarrow\ P\ S\ \Longrightarrow\ Q\ S'$
 $\forall S\ S'.\ exec\ S\ BIR_prog\ S'\ \stackrel{def}{=}$
 $\forall B\ B'.(B'=BIR\ exec\ BIR\ prog\ B'$

 $\land R S B) \Longrightarrow R S' B'$



$$\{P\} \ BIR_prog \ \{Q\}$$

$$\equiv$$

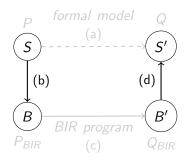
$$\forall S \ S'. \ exec \ S \ BIR_prog \ S'$$

$$\Rightarrow P \ S \Rightarrow Q \ S'$$

$$\forall S \ S'. \ exec \ S \ BIR_prog \ S' \stackrel{def}{=}$$

$$\forall B \ B'. (B' = BIR_exec \ BIR_prog \ B$$

$$\land R \ S \ B) \Rightarrow R \ S' \ B'$$



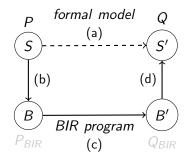
$$\{P\} \ BIR_prog \ \{Q\}$$

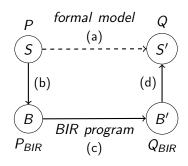
$$\equiv$$

$$\forall S \ S'. \ exec \ S \ BIR_prog \ S'$$

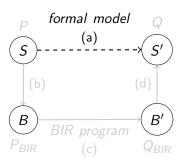
$$\Longrightarrow \ P \ S \implies Q \ S'$$

 $\forall S \ S'. \ \text{exec} \ S \ BIR_prog \ S' \stackrel{\text{def}}{=}$ $\forall B \ B'.(B' = BIR_exec \ BIR_prog \ B$ $\land R \ S \ B) \Longrightarrow R \ S' \ B'$

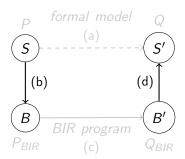




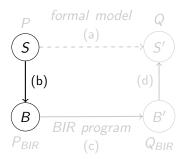
(a) $\forall S \ S'$. exec $S \ BIR_prog \ S' \stackrel{\text{def}}{=} \ \forall B \ B'.(B' = BIR_exec \ BIR_prog \ B \ \land R \ S \ B) \implies R \ S' \ B'$



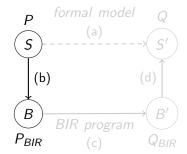
- (a) $\forall S S'$. exec $S BIR prog S' \stackrel{def}{=}$ $\forall B \ B'.(B' = BIR \ exec \ BIR \ prog \ B$ $\land R S B) \Longrightarrow R S' B'$
 - Relation between S and B: R S B



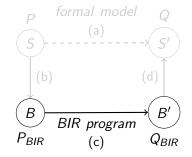
- (a) $\forall S \ S'$. exec $S \ BIR_prog \ S' \stackrel{\text{def}}{=} \ \forall B \ B'.(B' = BIR_exec \ BIR_prog \ B \ \land R \ S \ B) \implies R \ S' \ B'$
 - Relation between S and B: R S B
- (b) Injectivity: $\forall S. \exists B. R S B$



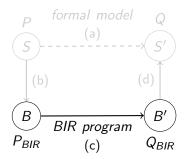
- (a) $\forall S \ S'$. exec $S \ BIR_prog \ S' \stackrel{\text{def}}{=} \ \forall B \ B'.(B' = BIR_exec \ BIR_prog \ B \ \land R \ S \ B) \implies R \ S' \ B'$
 - Relation between S and B: R S B
- (b) Injectivity: $\forall S. \exists B. R S B$
- (b) $\forall B. (\exists S. R S B \land P S) \implies P_{BIR} B$



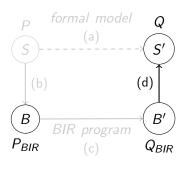
- (a) $\forall S \ S'$. exec $S \ BIR_prog \ S' \stackrel{\text{def}}{=} \ \forall B \ B'.(B' = BIR_exec \ BIR_prog \ B \ \land R \ S \ B) \implies R \ S' \ B'$
 - Relation between S and B: R S B
- (b) Injectivity: $\forall S. \exists B. R S B$
- (b) $\forall B. (\exists S. R S B \land P S) \Longrightarrow P_{BIR} B$
- (c) $\forall B \ B'$. ($\mathbf{P_{BIR}} \ B \land B' = \mathbf{BIR_exec} \ BIR_prog \ B$) $\Longrightarrow \mathbf{Q_{BIR}} \ B'$



- (a) $\forall S \ S'$. exec $S \ BIR_prog \ S' \stackrel{\text{def}}{=} \ \forall B \ B'.(B' = BIR_exec \ BIR_prog \ B \ \land R \ S \ B) \implies R \ S' \ B'$
 - Relation between S and B: R S B
- (b) Injectivity: $\forall S. \exists B. R S B$
- (b) $\forall B. (\exists S. R S B \land P S) \Longrightarrow P_{BIR} B$
- (c) $\{P_{BIR}\}\ BIR\ program\ \{Q_{BIR}\}$



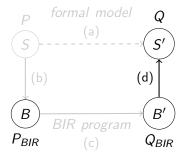
- (a) $\forall S \ S'$. exec $S \ BIR_prog \ S' \stackrel{\text{def}}{=} \ \forall B \ B'.(B' = BIR_exec \ BIR_prog \ B \ \land R \ S \ B) \implies R \ S' \ B'$
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- (b) $\forall B. (\exists S. R S B \land P S) \implies P_{BIR} B$
- (c) $\{P_{BIR}\}$ BIR program $\{Q_{BIR}\}$
- (d) $\forall B'. \ \mathbf{Q_{BIR}} \ B' \Longrightarrow$ $(\forall S \ S' \ B. \ \mathbf{P_{BIR}} \ B \land \mathbf{R} \ S \ B \ \land \ \mathbf{R} \ S' \ B'$ $\Longrightarrow \ \mathbf{Q} \ S \ S')$



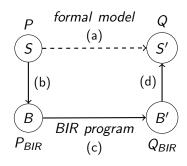
Notation: $P_{BIR} B \stackrel{def}{=} BIR_{eval} P_{BIR} B$

Where:

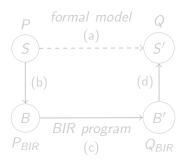
- B is a BIR state
- P_{BIR} is a BIR expression



- (a) $\forall S \ S'$. exec $S \ BIR_prog \ S' \stackrel{def}{=} \ \forall B \ B'.(B' = BIR_exec \ BIR_prog \ B \ \land R \ S \ B) \implies R \ S' \ B'$
 - Relation between S and B: R S B
- (b) Injectivity: $\forall S. \exists B. R S B$
- (b) $\forall B. (\exists S. R S B \land P S) \implies P_{BIR} B$
- (c) $\{P_{BIR}\}\ BIR\ program\ \{Q_{BIR}\}$
- (d) $\forall B'. \mathbf{Q_{BIR}} B' \Longrightarrow$ $(\forall S S' B. \mathbf{P_{BIR}} B \land \mathbf{R} S B \land \mathbf{R} S' B' \Longrightarrow \mathbf{Q} S S')$



- 1. $\forall S \ S'$. exec $S \ BIR_prog \ S' \stackrel{\text{def}}{=}$ $\forall B \ B'.(B' = BIR_exec \ BIR_prog \ B$ $\land R \ S \ B) \implies R \ S' \ B'$
- 2. Relation between S and B: R S B
- **3.** Injectivity: $\forall S. \exists B. R S B$
- **4.** $\forall B. (\exists S. R S B \land P S) \Longrightarrow P_{BIR} B$
- 5. $\{P_{BIR}\}$ BIR program $\{Q_{BIR}\}$
- 6. $\forall B'. Q_{BIR} B' \Longrightarrow$ $(\forall S S' B. P_{BIR} B \land R S B \land R S' B' \Longrightarrow Q S S')$



Proof overview - automate?

Theorem	Length of proof (LoC)	Ease to automate
1. def $S o S'$ (a)	_	Hard? (lifter)
2. def relation (R)	_	Easy
3. Injectivity	10	Very easy
4. $P \rightarrow P_{BIR}$ (b)	4	Very easy
5. Hoare Triple (c)	151	Medium? *2
6. $Q_{BIR} \rightarrow S$ (d)	48	Should be easy *1

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^{*1} Need 2 simple tactics

^{*2} Need smart tactics (multi-pass, goal aware)

Section 4

Conclusion

Conclusion

- Automation is feasible
- Can reduce proof lengths and complexity
- Trustworthy if proof-producing

Questions

References I

- [1] K. Zetter, "It's insanely easy to hack hospital equipment." [Online]. Available: https://www.wired.com/2014/04/hospital-equipment-vulnerable/
- [2] A. Greenberg, "Hackers remotely kill a jeep on the highway—with me in it." [Online]. Available: https://www.wired.com/2015/07/hackers-remotely-kill-jeep-highway/
- [3] D. C. Miller and C. Valasek, "Remote exploitation of an unaltered passenger vehicle."
- [4] What is proved and what is assumed | seL4. [Online]. Available: https://sel4.systems/Info/FAQ/proof.pml
- [5] Is seL4 proven secure? \mid FAQ \mid seL4 docs. [Online]. Available: https: //docs.sel4.systems/FrequentlyAskedQuestions#is-sel4-proven-secure
- [6] J. Haglund, "Formal verification of systems software."

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References II

[7] T. Tuerk, "Interactive theorem proving (ITP) course." [Online]. Available: https://hol-theorem-prover.org/hol-course.pdf

HolBA overview

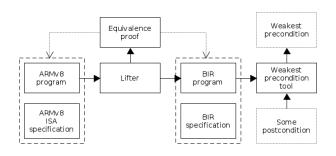


Figure: Overview of the HolBA framework (lifter and WP tool)

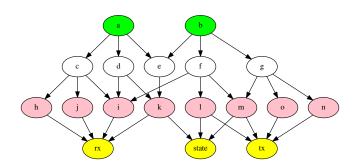


Figure: Fringe of an ideally-shaped proof

Pipeline public interface

```
val thm = prove_contract "cjmp"
  cjmp_prog_def
  (* Precondition *) (blabel_str "entry", btrue)
  (* Postcondition *) (
    [blabel_str "end"],
    beq ((bden o bvarimm32) "y", bconst32 100)
)
```

BSL: BIR Simple Language

```
bite (
 borl [
   ble ((bden o bvarimm64) "x", bconst64 100),
   bnot (ble (bplus ((bden o bvarimm64) "y", bconst64 1),
               bconst64 10)),
   ble (bplus ((bden o bvarimm64) "x",
                (bden o bvarimm64) "y"),
         bconst64 20)
 ],
  bmult ((bden o bvarimm64) "x", bconst64 2),
  bplus (bmult ((bden o bvarimm64) "x", bconst64 3),
         bconst64 1)
```

BIR pretty-printer - disabled

```
BExp IfThenElse
  (BExp BinExp BIExp Or
     (BExp BinExp BIExp Or
        (BExp BinPred BIExp LessOrEqual
           (BExp Den (BVar "x" (BType Imm Bit64))) (BExp Const (Imm64 100w)))
        (BExp UnaryExp BIExp Not
           (BExp BinPred BIExp LessOrEqual
              (BExp_BinExp_BIExp_Plus (BExp_Den (BVar "y" (BType_Imm Bit64)))
                 (BExp Const (Imm64 lw))) (BExp Const (Imm64 l0w)))))
     (BExp BinPred BIExp LessOrEqual
        (BExp BinExp BIExp_Plus (BExp_Den (BVar "x" (BType_Imm Bit64)))
           (BExp Den (BVar "y" (BType Imm Bit64)))) (BExp Const (Imm64 20w))))
  (BExp_BinExp_BIExp_Mult (BExp_Den (BVar "x" (BType_Imm_Bit64)))
     (BExp Const (Imm64 2w)))
  (BExp BinExp BIExp Plus
     (BExp BinExp BIExp Mult (BExp Den (BVar "x" (BType Imm Bit64)))
        (BExp Const (Imm64 3w))) (BExp Const (Imm64 1w)))
```

BIR pretty-printer - enabled

```
BExp If
 (BExp Or
    (BExp LessOrEqual
        (BExp Den (BVar "x" (BType Imm Bit64))) (BExp Const (Imm64 100w)))
    (BExp Not
       (BExp LessOrEqual
           (BExp Plus
              (BExp_Den (BVar "y" (BType_Imm Bit64))) (BExp_Const (Imm64 lw))
           (BExp Const (Imm64 10w))))
    (BExp LessOrEqual
       (BExp Plus
           (BExp Den (BVar "x" (BType Imm Bit64)))
           (BExp_Den (BVar "y" (BType_Imm Bit64))))
        (BExp Const (Imm64 20w))))
BExp Then
 (BExp Mult (BExp Den (BVar "x" (BType Imm Bit64))) (BExp Const (Imm64 2w)))
BExp Else
 (BExp Plus
    (BExp Mult
        (BExp Den (BVar "x" (BType Imm Bit64))) (BExp Const (Imm64 3w)))
    (BExp Const (Imm64 lw)))
```

Exception pretty-printer and LogLib

```
TRACE @ nic helpersLib::prove p imp wp] smt ready tm:
 -(if (nic dead = θw) Λ (nic init state = 2w) then lw else θw) ||
 (-(if nic init state = 1w then 1w else 0w) || if 1w = 0w then 1w else 0w) &&
((if nic init state = 1w then 1w else 0w) |
 (-(if nic init state = 2w then lw else 0w) || if nic dead = 0w then lw else 0w) &&
 ((if nic init state = 2w then 1w else 0w) |
  (¬(if nic init state = 3w then lw else 0w) || if lw = 0w then lw else 0w) &&
  ((if nic init state = 3w then 1w else 0w) |
   (¬(if nic init state = 4w then lw else 0w) || if lw = 0w then lw else 0w) &&
   if (nic init state = 4w) v (1w = 0w) then 1w else 0w))) =
 Handled exception: [init automaton doesnt die] Z3 ORACLE PROVE failed
 - Structure: nic helpersLib
 - Function: prove p imp wp
 - Message: at Z3.Z3 SMT Oracle:
Z3 not configured: set the HOL4 Z3 EXECUTABLE environment variable to point to the Z3 executable file.
[DEBUG @ nic helpersLib::prove p imp wp] Asking Z3 for a SAT model...
[DEBUG @ nic helpersLib::prove p imp wp] Failed to compute a SAT model. Ignoring.
error in quse /NOBACKUP/tholac/holba-reborn/examples/nic/test-early-wp.sml : HOL ERR {message = "at Z3.Z3 SMT
Oracle:\nZ3 not configured: set the HOL4 Z3 EXECUTABLE environment variable to point to the Z3 executable fi
le.", origin function = "prove p imp wp", origin structure = "nic helpersLib"}
error in load test-early-wp : HOL ERR {message = "at Z3.Z3 SMT Oracle:\nZ3 not configured: set the HOL4 Z3 E>
ECUTABLE environment variable to point to the Z3 executable file.", origin function = "prove p imp wp", origin
n structure = "nic helpersLib"}
Uncaught exception: HOL ERR {message = "at Z3.Z3 SMT Oracle:\nZ3 not configured: set the HOL4 Z3 EXECUTABLE of
nvironment variable to point to the Z3 executable file.", origin function = "prove p imp wp", origin structur
e = "nic helpersLib"}
```

Continuous Integration (CI) - tests



Continuous Integration (CI) - static analysis

